

1 **Cisplatin/Etoposide and Concurrent Radiotherapy With or Without**
2 **Celecoxib in Patients With Unresectable Locally Advanced Non-small**
3 **Cell Lung Cancer (NSCLC)**

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Study Outline

Study title: Cisplatin/Etoposide and Concurrent Radiotherapy With or Without Celecoxib in Patients With Unresectable Locally Advanced Non-small Cell Lung Cancer (NSCLC)

Type of study: The physician initiated phase II randomized clinical trial^[11]_{SEP}

Participants: Patients with unresectable stage III NSCLC confirmed with cytology or histology were eligible.

Primary endpoint: Overall Survival (OS)

Secondary endpoints: Treatment-related toxicities, progression-free survival (PFS), and to evaluate the survival benefit of CCRT+C arm compared to CCRT arm in patients with COX-2 high-risk genotype.

Study design: This is a single-institution, open-label, randomized phase II trial

Expected number of participants: 50 patients in the test group, 50 patients in the control group^[11]_{SEP}

Inclusion criteria:

- 18-70 years old, male or female
- Histological or cytological confirmed stage III NSCLC
- Eastern Cooperative Oncology Group (ECOG) performance status ≤ 1
- $\leq 10\%$ weight loss in the 3 months before inclusion
- inoperable AJCC stage IIIA, or IIIB
- Normal organ function

Exclusion Criteria:

- active uncontrolled infection
- clinically significant cardiovascular disease
- history of other malignancies
- forced expiratory volume in 1 s <40% of normal
- previous treatment with radiotherapy, chemotherapy or immunotherapy

Duration of study:

Start time: 12/2011^[L]_{SEP}

Expected end time: 11/2015

Radiation Therapy:

Target Volume: The definitions of volumes will be in accordance with the standard protocol of simplified intensity-modulated radiotherapy (sIMRT).

Dose: A dose of 60 Gy (2 Gy per fraction) started on the first day of chemotherapy.

Treatment technique: sIMRT.

Chemotherapy

1. Cisplatin/Etoposide: 50 mg/m²/d of cisplatin on days 1, 8, 29, and 36 and 50 mg/m²/d of etoposide on days 1–5 and 29–33. All the concurrent chemotherapy agents are administered intravenously.

2. Celecoxib: 200mg twice daily was started one week before initiation of radiotherapy and was continued without interruption until the end of radiation therapy.

Follow-up duration: 5 years

1. Background

Approximately 30% of patients with non-small cell lung cancer (NSCLC) have locally advanced diseases (LA-NSCLC) [1]. Although the concurrent chemotherapy and radiation (CRT) are considered the standard care [2], novel agents are needed to improve therapeutic efficacy and selectively reduce normal tissue injury.

Overexpression of cyclooxygenase-2 (COX-2) has been reported in NSCLC [3] [4]. Increased COX-2 expression is associated with more aggressive tumor behavior and poor prognosis in NSCLC patients [5]. COX-2 may also play a part in patient survival after ionizing radiation [6-8]. The preclinical findings suggested that COX-2 inhibitors might potentially improve radiotherapy or chemoradiotherapy. A phase I clinical trial demonstrated that the selective COX-2 inhibitor celecoxib can be safely administered concurrently with thoracic radiotherapy [9]. Additionally, selective COX-2 inhibitors are used as a type of nonsteroidal anti-inflammatory drug (NSAID) and it is generally believed that inflammation significantly participates in the pathogenesis of radiation injury [10]. Therefore, COX-2 inhibitor celecoxib might provide a reduction in radiation-induced lung toxicity, which is dose-limiting toxicity for lung cancer.

It is critical to identify patients who may benefit from COX-2 target therapy. We previously reported that -1195G/A SNP (rs689466) in the COX-2 promoter region, is associated with a different survival advantage in inoperable locally advanced NSCLC treated with chemoradiation or radiation alone [8]. Tumors carrying unfavorable -1195AA genotype were more radiation resistant than those with the -1195GA + GG genotypes and need intensive treatment. Functional study showed that the -1195G to A change creates a c-MYB binding site and, thus, displays a higher promoter activity. Compared with the -1195G-containing counterparts, the

–1195AA carriers showed significantly increased COX-2 expression in vitro and in vivo.

On the basis of these results, here we design a randomized phase II clinical trial, which tries to evaluate the value of combined selective COX-2 inhibition with standard concurrent chemoradiation therapy (CCRT) for patients with unresectable stage III NSCLC.

2. Purpose

To determine the value of combined selective COX-2 inhibition with standard concurrent chemoradiation therapy (CCRT) for patients with unresectable stage III NSCLC, with a focus on survival, treatment-related lung toxicity, and the prediction role for the COX-2 –1195G/A polymorphism.

3. Eligibility

3.1 Inclusion Criteria

- 18-70 years old, male or female
- Histological or cytological confirmed stage III NSCLC
- Eastern Cooperative Oncology Group (ECOG) performance status ≤ 1
- $\leq 10\%$ weight loss in the 3 months before inclusion
- inoperable AJCC stage IIIA, or IIIB
- Normal organ function

3.2 Exclusion Criteria

- active uncontrolled infection

- 131 • clinically significant cardiovascular disease
- 132 • history of other malignancies
- 133 • forced expiratory volume in 1 s <40% of normal
- 134 • previous treatment with radiotherapy, chemotherapy or immunotherapy

135 3.3 Criteria for Discontinuation of Protocol Treatment

- 136 • Patient's refusal.
- 137 • A delay in protocol treatment of greater than 2 weeks.
- 138 • Protocol treatment is discontinued for non-tumor reasons
- 139 • In the opinion of the Investigator, indicates that continued treatment with
- 140 all study therapy is not in the best interest of the participant.

141 4. Study Design

142 This is a single-institution, open-label, randomized phase II trial of celecoxib
 143 administered concurrently with cisplatin, etoposide, and radiation therapy in
 144 patients with locally advanced NSCLC, to determine the feasibility, activity, and
 145 toxicity of this combination on unresectable NSCLC, and further to examine
 146 biomarkers to predict response to the treatment.

| | Treatment protocols |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The control group (CCRT arm) | 60Gy of thoracic radiation therapy concurrent with etoposide 50mg/m ² on days 1–5 and cisplatin 50mg/m ² on days 1 and 8 every 4 weeks for two cycles alone |
| Test group (CCRT+C arm) | The exact same treatment with control group + celecoxib (200mg twice daily was started one week before initiation of radiotherapy |

| | |
|--|----------------------------------------------------------------------------|
| | and was continued without interruption until the end of radiation therapy) |
|--|----------------------------------------------------------------------------|

4.1 Study Endpoint

- Primary endpoint: overall survival
- Secondary endpoints: treatment-related toxicities, progression-free survival (PFS), and to evaluate the survival benefit of CCRT+C arm compared to CCRT arm in patients with COX-2 high-risk –1195AA genotype

4.2 Evaluations Before, During and After Treatment.

4.2.1 Pretreatment evaluation (within 1 week prior to treatment)

- H&P
- Performance scoring
- Blood count, liver and renal function tests, and electrolyte test
- Pulmonary function test
- ECG
- Bronchoscopy
- Chest and abdominal CT
- Brain MRI or CT
- Radionuclide bone scan
- Adjunctive use of chest MRI or FDG positron emission tomography (FDG-PET) when available

4.2.2 Evaluation during treatment

- H&P
- Toxicity evaluation based on CTC 3.0 criteria every week

- Necessary test to evaluate disease or toxicities
- QOL analysis at the end of the 4th week

4.2.3 Evaluation after treatment

- H&P
- Chest CT and abdominal CT/ultrasound
- QOL analysis at the end of treatment
- Response evaluation at four weeks after treatment

4.3 SNP Genotyping

Genomic DNA was extracted from a 5-mL blood sample that was collected in a blinded manner at baseline. Each specimen was stored at -80°C. The COX-2-1195G/A polymorphisms were genotyped using Sequenome MassArray method as previously described [8]. The high-risk genotype was -1195AA homozygote, and low-risk group included -1195GA and -1195GG genotypes.

4.4 Radiation Therapy

4.3.1 Target Volumes

- 1) Definition of the GTV: the gross tumor volume (GTV) includes the primary disease as well as any involved regional lymph nodes, which are defined as those with a short-axis diameter of at least 1 cm on CT scan or with a short-axis diameter of less than 1 cm but with high fluorodeoxy-glucose (FDG) uptake on PET-CT scan. The primary tumor is contoured using pulmonary window CT settings and nodal GTV using the mediastinal window. The use of PET or MRI to distinguish tumor from fluid/atelectasis is encouraged.

- 2) Definition of the CTV: the clinical tumor volume (CTV) is defined to be the primary tumor plus a 0.6 cm to 0.8 cm margin, ipsilateral hilum, subcarinal, and the ipsilateral mediastinal to the highest lymph node stations involved. Elective treatment of the mediastinum and supraclavicular fossae will not be done.
- 3) Definition of the PTV: the PTV includes the CTV plus a total margin of at least 0.5 cm.
- 4) All of the patients underwent simplified intensity-modulated radiotherapy (sIMRT).

4.3.2 Radiation Dose

- 1) The total dose will be 60Gy in 30 fractions. Patients will receive treatment 5 days per week, in once daily fractions, 2 Gy per fraction.
- 2) Normalization of the treatment plan will cover 95% of the PTV with the prescription dose. The target dose uniformity should be within +7% and -7%. Inhomogeneity corrections will be used when radiation doses are calculated.
- 3) The maximum spinal cord dose should not exceed 45 Gy at any point. The mean lung dose (MLD) should be less than 17Gy. The lung volume receiving >20 Gy (V20), which is calculated by using total lung volume minus GTV, is limited to no more than 30%. The lung volume receiving >30 Gy (V30) is no more than 20%.

4.5 Chemotherapy

- 1) Cisplatin/Etoposide: 50 mg/m²/d of cisplatin on days 1, 8, 29, and 36 and 50 mg/m²/d of etoposide on days 1–5 and 29–33

- 217 2) Celecoxib: 200mg twice daily was started one week before initiation of
 218 radiotherapy and was continued without interruption until the end of
 219 radiation therapy
- 220 3) All the concurrent chemotherapy agents are administrated intravenously.
- 221 4) Etoposide/cisplatin and Celecoxib dose modifications for Treatment-related
 222 toxicity

| | Etoposide | Cisplatin |
|-------------------------------------------------------|-------------------------------------|-------------------------------------|
| <u>Hematologic toxicity</u> | | |
| ANC>1000/mm ³ | 100% | 100% |
| ANC 500-999/mm ³ | 75% | 75% |
| | Hold | Hold |
| ANC <500/mm ³ | treatment until recovery | treatment until recovery |
| PLT>80000/mm ³ | 100% | 100% |
| PLT 50000-79000/mm ³ | 75% | 75% |
| | Hold | Hold |
| PLT<50000/mm ³ | treatment until recovery | treatment until recovery |
| ANC1000/mm ³ and fever≥38° | Hold treatment until recovery | Hold treatment until recovery |
| <u>Neuralgia/muscular pain/ arthralgia</u> | | |
| Grade 0-1 | 100% | 100% |

| | | |
|-----------------|----------------|----------------|
| Grade 2 | 75% | 75% |
| | Hold | Hold |
| Grade 3 or more | treatment | treatment |
| | until recovery | until recovery |

Esophagitis

| | | |
|-----------------|----------------|----------------|
| Grade 0-2 | 100% | 100% |
| Grade 3 | 75% | 75% |
| | Hold | Hold |
| Grade 4 or more | treatment | treatment |
| | until recovery | until recovery |

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224 **5. Patients Assessment and Follow Up**

225 **5.1 Response Assessment**

226 The response will be evaluated using RECIST criteria.

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228 Response Criteria: Evaluation of target lesions

| | |
|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| Complete Response (CR) | Disappearance of all target lesions |
| Partial Response (PR) | At least a 30% decrease in the sum of the LD of target lesions, taking as reference the baseline sum LD |
| Stable Disease (SD) | Neither sufficient shrinkage to qualify for PR nor sufficient increase to qualify for PD, taking as reference the smallest sum LD since the treatment |

| | |
|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | started |
| Progressive Disease (PD) | At least a 20% increase in the sum of the LD of target lesions, taking as reference the smallest sum LD recorded since the treatment started or the appearance of one or more new lesions |

LD: Longest Diameter

5.2 Adverse Event Evaluation

Treatment-related toxicities would be evaluated using NCI CTCAE, v4.0.

5.3 Follow Up after Treatment

The patients will be followed up every 3 months from hospital medical records and/or by phone. The follow-up evaluations should consist of a history, physical examination, and a thoracic CT at intervals of 3 months or earlier if clinically indicated. Other imaging examinations will be obtained when recurrence is suspected.

6. Statistical Considerations

6.1 Hypothesis

Adding celecoxib, a COX-2 inhibitor to the concurrent chemoradiation may improve the OS without increasing or even reducing lung toxicity compared to concurrent chemoradiation only. And patients with the high-risk genotype would benefit the most from COX-2 blockade.

6.2 Sample Size and Power

The primary endpoint was overall survival (OS). We reported a 2-year OS rate of 48% for the EP based CCRT [11]. Liao et al. reported a 2-year OS rate of 67% with combined CCRT with celecoxib [9]. The power analysis and sample size estimation were completed using the log-rank test. Assuming 10% of patients would be lost at follow-up, with the proposed sample size of 50 subjects per arm, it provides 70% power to detect 19% superiority (67% vs. 48%) in OS at two years from randomization with a two-sided type I error rate of 0.05. The secondary endpoints were treatment-related toxicities, progression-free survival (PFS), and to evaluate the survival benefit of CCRT+C arm compared to CCRT arm in patients with COX-2 high-risk -1195AA genotype.

6.3 Statistical Designs

Survival rates were calculated from the day of randomization and estimated using the Kaplan-Meier method. The difference between two arms was estimated using student's t-test, nonparametric Mann - Whitney u test or χ^2 -test. Hazard ratios (unadjusted and adjusted) were estimated using Cox proportional hazards models. Toxicity rates and response rates were compared by Fisher's exact test. A two-sided $P < 0.05$ was considered statistically significant.

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