# Beta-hydroxy-beta-methylbutyrate supplementation and skeletal muscle in healthy and muscle-wasting conditions

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## Abstract

Beta-hydroxy-beta-methylbutyrate (HMB) is a metabolite of the essential amino acid leucine that has been reported to have anabolic effects on protein metabolism. The aims of this article were to summarize the results of studies of the effects of HMB on skeletal muscle and to examine the evidence for the rationale to use HMB as a nutritional supplement to exert beneficial effects on muscle mass and function in various conditions of health and disease.

The data presented here indicate that the beneficial effects of HMB have been well characterized in strength-power and endurance exercise. HMB attenuates exercise-induced muscle damage and enhances muscle hypertrophy and strength, aerobic performance, resistance to fatigue, and regenerative capacity. HMB is particularly effective in untrained individuals who are exposed to strenuous exercise and in trained individuals who are exposed to periods of high physical stress. The low effectiveness of HMB in strength-trained athletes could be due to the suppression of the proteolysis that is induced by the adaptation to training, which may blunt the effects of HMB. Studies performed with older people have demonstrated that HMB can attenuate the development of sarcopenia in elderly subjects and that the optimal effects of HMB on muscle growth and strength occur when it is combined with exercise. Studies performed under in vitro conditions and in various animal models suggest that HMB may be effective in treatment of muscle wasting in various forms of cachexia. However, there are few clinical reports of the effects of HMB on muscle wasting in cachexia; in addition, most of these studies evaluated the therapeutic potential of combinations of various agents. Therefore, it has not been possible to determine whether HMB was effective or if there was a synergistic effect. Although most of the endogenous HMB is produced in the liver, there are no reports regarding the levels and the effects of HMB supplementation in subjects with liver disease. Several studies have suggested that anabolic effects of HMB supplementation on skeletal muscle do not occur in healthy, non-exercising subjects. It is concluded that (i) HMB may be applied to enhance increases in the mass and strength of skeletal muscles in subjects who exercise and in the elderly and (ii) studies examining the effects of HMB administered alone are needed to obtain conclusions regarding the specific effectiveness in attenuating muscle wasting in various muscle-wasting disorders.

Keywords Cachexia; Sarcopenia; Leucine; Supplements; Exercise; HMB

Received: 23 November 2016; Revised: 8 March 2017; Accepted: 20 March 2017

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## Introduction

Exacerbated loss of skeletal muscle is a hallmark of cachexia, which occurs frequently in patients with chronic infections,

cancer, liver cirrhosis, congestive heart failure, renal and pulmonary insufficiency, and other disorders. Slow but progressive loss of muscle mass and strength is common during ageing. Both cachexia-related and ageing-related

© 2017 The Authors. Journal of Cachexia, Sarcopenia and Muscle published by John Wiley & Sons Ltd on behalf of the Society on Sarcopenia, Cachexia and Wasting Disorders This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes. sarcopenia decrease the ability to respond to illness or injury, worsen the prognosis of many diseases, and significantly enhance morbidity and mortality.<sup>1</sup>

Although knowledge of the aetiology and pathogenesis of the loss of muscle mass in cachexia and in elderly subjects is emerging, muscle wasting in these conditions is associated with a poor responsiveness to anabolic stimuli that makes conventional nutritional strategies ineffective. Furthermore, various therapeutic agents and nutritional supplements (e.g. growth hormone, branched-chain amino acids, and glutamine) have not been shown to produce consistent effects on muscle or present adverse side effects.<sup>2–5</sup> Thus, addressing these conditions remains an open problem. Therefore, there is an ongoing intensive search for novel therapies that can attenuate the loss of muscle mass and strength in muscle-wasting disorders and in the elderly.

Studies performed during the past 20 years, mostly in athletes, indicate that beta-hydroxy-beta-methylbutyrate (HMB) is a promising agent that may be applied to enhance increases in the mass and strength of muscle, aerobic performance, and resistance to fatigue. The aims of the article are (i) to summarize the results of animal and human studies that have examined the effects of HMB on skeletal muscle and (ii) to examine the evidence for the rationale for the use of HMB as a nutritional supplement in various conditions of health and disease.

## Synthesis and metabolism of HMB

The HMB is a metabolite of leucine, which is one of the three essential branched-chain amino acids (BCAA; leucine, valine, and isoleucine). These amino acids share similar metabolic pathways. Leucine has a well-known anabolic role in muscle by acting as a signalling molecule that stimulates protein synthesis.

The first reaction in the pathway of HMB synthesis in the body (*Figure* 1) is the reversible transamination of leucine to alpha-ketoisocaproic acid (KIC) by BCAA aminotransferase. This reaction primarily occurs in skeletal muscle. Because the activities of the next enzymes in leucine catabolism [branched-chain alpha-keto acid dehydrogenase (BCKD) and KIC dioxygenase] are low in skeletal muscle, most of the KIC is released from the muscles into the blood and is further metabolized in various tissues.

The majority of KIC is decarboxylated by BCKD to isovaleryl-CoA in the liver mitochondria where it is next gradually converted to acetyl-CoA and ketone bodies. It is estimated that only 5–10% of the KIC is metabolized by the cytosolic enzyme KIC dioxygenase to produce HMB.<sup>6</sup> Expression of KIC dioxygenase is also high in the kidneys but is low in the brain and skeletal muscle.<sup>7</sup> On the basis of KIC dioxygenase activities were estimated that a 70 kg human would produce from 0.2 to 0.4 g HMB/day depending on the level of dietary leucine.<sup>8</sup> The estimates have been confirmed

Figure 1 Pathways of HMB synthesis and catabolism HMB, beta-hydroxy-beta-methylbutyrate; KIC, alpha-ketoisocaproic acid; HB, betahydroxybutyrate; HMG-CoA, 3-hydroxy-3-methyl-glutaryl-CoA. 1, BCAA aminotransferase; 2, BCKA dehydrogenase; 3, KIC dioxygenase; 4, glutamine synthetase; 5, alanine aminotransferase.



recently in humans using bolus injection of isotopically labelled HMB. The rate of appearance for HMB was 0.66  $\mu mol/kg$  fat-free mass/hour that accounts for 0.66% of leucine turnover.<sup>9</sup> The HMB levels in blood plasma are 2–5  $\mu M$  both before and after feeding a mixed meal, and the levels increase after consumption of a meal containing leucine.

Isotopic data showed that the primary fate of HMB catabolism (*Figure* 1) is conversion to 3-hydroxy-3-methyl-glutaryl-CoA (HMG-CoA), which is a direct precursor of cholesterol.<sup>10</sup> A small amount of HMB is excreted in the urine. Kidney excretion may account for the relatively short half-life of HMB, which was found to be approximately 1 h in rats, 2 h in pigs, and 1–3 h in humans.<sup>10</sup> Additional detailed information regarding HMB biosynthesis and metabolism is provided in other articles.<sup>10,11</sup>

## **Effects of HMB**

The HMB exerts a number of effects that may explain the potential benefits of its supplementation on muscle mass and performance (*Figure 2*).

#### Effects on protein metabolism in skeletal muscle

The HMB has been shown to affect muscle protein turnover by stimulating protein synthesis via up-regulation of anabolic signalling pathways and by decreasing proteolysis via downregulation of catabolic signalling pathways.

The HMB stimulates protein synthesis via mTOR, a protein kinase that has a central role in controlling mRNA translation efficiency.<sup>12</sup> Recently, Girón *et al.* used rat L6

myotubes to show that HMB was much more effective than leucine in increasing protein synthesis through the mTOR system and that the effects of leucine on protein synthesis and the mTOR pathway were enhanced when the L6 cells were transfected with a plasmid that codes for KIC dioxygenase.<sup>7</sup> Increases in the expression of pituitary growth hormone mRNA and the IGF-1 levels in blood serum of rats after 1 month of HMB supplementation suggest that HMB may also stimulate protein synthesis through the growth hormone/IGF-1 axis.<sup>13</sup>

Muscle protein breakdown is decreased by HMB via two major protein degradation pathways, the ubiquitin proteasome and the autophagy-lysosome systems. HMB has been shown to decrease proteasome expression and proteasome enzyme activities, attenuate the up-regulation of caspases, and reduce the apoptosis of myonuclei.<sup>14–17</sup> We found that HMB treatment of septic rats suppressed myofibrillar protein degradation more in the soleus muscle (which is composed mostly of red, slow-twitch fibres) than in extensor digitorum longus muscle (which is composed mostly of white, fast-twitch fibres).<sup>15</sup>

The aforementioned studies collectively indicate that HMB supplementation may restore the balance between protein synthesis and proteolysis in skeletal muscle. Kimura *et al.* suggested that beneficial effects of HMB include activation of the PI3K/Akt signalling pathway leading to FoxO1 and FoxO3a phosphorylation and attenuated MuRF-1 expression.<sup>18</sup> Other effects of HMB that could potentially affect muscle growth and performance include expression of the proliferation marker MyoD and differentiation-specific markers MEF2 and stimulation of myogenic cell proliferation via the MAPK/ERK and PI3K/Akt pathways.<sup>19</sup> Recent studies have demonstrated that HMB supplementation increases mitochondrial biogenesis and fat oxidation.<sup>20</sup> Vallejo *et al.* 

Figure 2 Suggested mechanisms for the favourable effects of HMB supplementation on skeletal muscle.



demonstrated that dietary supplementation of mice with HMB enhances calcium release from the sarcoplasmic reticulum during repetitive bouts of activity, which suggests that HMB improves excitation-contraction coupling in muscle cells.<sup>21</sup>

#### Effects on leucine metabolism

It can be hypothesized that the administration of HMB affects the metabolism of its precursors. An increase in the levels of leucine in the blood plasma, a decrease in leucine clearance, and no significant changes in leucine oxidation were observed in healthy rats treated with HMB.<sup>22</sup> The increase in the level of leucine in the blood plasma was associated with decreased concentrations of alanine, glutamine, and glutamate, which indicated that the conversion of leucine to KIC by BCAA aminotransferase in the muscles decreased (please see *Figure* 1 for more clarification on this point). The data indicate that the HMB supplementation may decrease the dose of leucine required to promote its positive effects on protein balance or ameliorate its decreased levels as occurs in various muscle-wasting disorders.

Leucine concentrations in blood plasma and muscles were not altered after oral HMB consumption in humans.<sup>12</sup> We suppose that the difference between the results of animal and human studies is due to the dose, duration, and route of HMB administration. HMB was infused in a dose of 0.1 g/kg for 210 min in the animal study; 2.42 g (~ 0.04 g/kg) of HMB was given orally in a single dose in the human study.

#### Effects on cholesterol metabolism

The effects of HMB on the cholesterol levels in exercising humans were summarized by Nissen et al.<sup>11</sup> Compared with the placebo, HMB supplementation (3 g HMB/d) resulted in a decrease in total cholesterol and LDL cholesterol and a decrease in systolic blood pressure in subjects whose average starting cholesterol was higher than 5.17 mmol/L. In contrast, no effect was observed in subjects with cholesterol values below 5.17 mmol/L. Nissen and Abumrad suggested that the cholesterol synthesized from HMB may be utilized for repair or regeneration of damaged cells and that some of the favourable effects of HMB may be related to its role in cholesterol metabolism.<sup>10</sup> However, an increase in the blood cholesterol level was observed in healthy rats 24 h after HMB administration.<sup>22</sup> We suggest that studies to examine the effects of HMB on blood lipids in various populations are needed.

### HMB as a nutritional supplement

A major advantage of the use of HMB as a nutritional supplement is associated with its anti-catabolic action in skeletal muscle. Here, we summarize reports of the effects of HMB supplementation on the muscles with respect to exercise, as well as in elderly subjects, those with muscle-wasting disorders, and healthy subjects.

# Exercise performance and effects of HMB supplementation

Data from animal and human studies suggest that during exercise protein synthesis in the muscles remains unchanged or decreases and that protein breakdown is unchanged or increases.<sup>23</sup> After exercise, protein synthesis increases, and a net gain in muscle protein may be achieved by regular exercise. The adaptive increase in protein synthesis that leads to hypertrophy is likely mediated by activation of the Akt/mTOR/p70 S6 kinase pathway.<sup>24</sup> However, if sufficient rest is not included in a training programme, prolonged exercise may lead to symptoms of poor performance, fatigue, depression, and impairment of immune functions. Muscle injury frequently occurs after unaccustomed exercise, notably if the exercise involves a large amount of eccentric (muscle lengthening) contractions. The initial mechanical trauma is believed to cause an inflammatory response that clears the debris from the injured area.<sup>25</sup> The results are a decrease in force production, muscular soreness, and increases in inflammatory markers, for example, lactate dehydrogenase and creatine kinase in the blood.

Exercise greatly increases energy expenditure and promotes the oxidation of BCAA. Studies with <sup>13</sup>C-labelled leucine showed that the oxidation of BCAAs increases two-fold to three-fold during exercise.<sup>26,27</sup> Significant decreases in the levels of blood plasma leucine occur following aerobic (11 to 33%), anaerobic (5–8%), and strength-training (30%) exercise sessions.<sup>28</sup> There are no reports regarding the production of HMB and its levels in the blood plasma during or after exercise.

Through a Medline search, we found 18 human studies that reported various benefits of HMB in both strengthpower and endurance sports (*Table* 1). The reported benefits include positive effects on muscle hypertrophy, strength, reduction of muscle damage, aerobic performance, resistance to fatigue, and regenerative capacity. The conclusion of a meta-analysis of studies, in which the duration of the training was at least 3 weeks and included resistance training two or more times a week was that HMB supplementation increases lean mass and strength.<sup>46</sup> On the other hand, several studies, specifically those in strength-trained athletes, do not support beneficial effects of HMB (*Table* 2). Table 1 Human studies that report beneficial effects of beta-hydroxy-beta-methylbutyrate in exercise

| Study design  | Benefits   | Reference  |
|---|--|--|
| Untrained individuals, HMB (1.5 or 3 g/day),  | ↑ muscle mass and strength,  | Nissen <i>et al.</i> <sup>8</sup>  |
| resistance training for 3 or 7 weeks<br>Untrained men, HMB (3 or 6 g/day), resistance<br>training for 8 weeks                         | ↓ muscle damage<br>↑ muscle mass and strength,<br>↓ muscle damage                              | Gallagher et al. <sup>29</sup>   |
| Untrained individuals, HMB (3 g/day), resistance  | ↑ muscle damage<br>↑ muscle mass and strength,   | Jowko et al. <sup>30</sup>   |
| Recreationally resistance-trained men, HMB (3 g)<br>before lower body resistance exercise   | Combination of HMB and cold<br>water immersion after exercise<br>improved performance recovery | Gonzalez <i>et al.</i> <sup>31</sup>   |
| Strength-trained and power-trained individuals,<br>HMB (3 g/day) and ATP (400 mg/day), resistance<br>training for 8 weeks             | ↑ LBM and strength   | Lowery et al. <sup>32</sup>  |
| Volleyball players, HMB (3 g/day) for 7 weeks   | ↑ muscle mass and strength,<br>↑ anaerobic performance   | Portal et al. <sup>33</sup>  |
| Non-resistance-trained men, amino acid-based<br>formula containing HMB, heavy resistance<br>training for 12 weeks                     | ↑ muscle mass and strength,<br>↓ muscle damage   | Kraemer <i>et al.</i> <sup>34</sup>  |
| Non-resistance-trained men, HMB (3 g/day) + KIC<br>(0.3 g/day) for 14 days prior to a single bout of<br>heavy resistance exercise     | ↓ muscle damage  | Van Someren <i>et al.</i> <sup>35</sup>  |
| Volunteers running at least 48 km/week, HMB<br>(3 g/day) for 6 weeks prior to a prolonged<br>run (20 km)                              | ↓ muscle damage  | Knitter <i>et al.</i> <sup>36</sup>  |
| Cyclists, HMB (3 g/day) for 2 weeks<br>Recreationally active subjects, HMB (3 g/day),<br>ergometer tests over a 4 week period         | ↑ aerobic performance<br>↑ aerobic performance   | Vukovich and Dreifort <sup>37</sup><br>Robinson <i>et al.</i> <sup>38</sup>                |
| Active college students, HMB (3 g/day), exercise for 5 weeks  | ↑aerobic performance   | Lamboley <i>et al.</i> <sup>39</sup>   |
| Rowers, HMB (3 g/day) for 12 weeks<br>Athletes practicing wrestling, judo, jiu-jitsu, karate<br>or rowing: HMB (3 g/day) for 12 weeks | ↑ LBM and aerobic performance ↑ LBM and aerobic performance                                    | Durkalec-Michalski and Jeszka <sup>40</sup><br>Durkalec-Michalski and Jeszka <sup>41</sup> |
| Trained and untrained individuals, HMB (3 g/day), resistance training for 4 weeks   | ↑ LBM and muscle strength<br>regardless of gender and<br>training status                       | Panton <i>et al.</i> <sup>42</sup>   |
| Resistance-trained men, HMB (3 g/day), resistance   | ↑ LBM and muscle strength  | Wilson <i>et al.</i> <sup>43</sup>   |
| Resistance-trained men, HMB (3 g) before  | ↓ muscle damage and improved   | Wilson <i>et al.</i> <sup>44</sup>   |
| Untrained individuals, HMB (3 g/day),<br>high intensity training for 4 weeks  | ↑ physical working capacity  | Miramonti <i>et al.</i> <sup>45</sup>  |

LMB, lean body mass.

Some researchers analysed conditions in which HMB was effective and/or ineffective and proposed that HMB is particularly effective in untrained individuals who are exposed to strenuous exercise and in trained individuals who are exposed to periods of high physical stress.<sup>56–58</sup> The low effectiveness of HMB in strength-trained athletes could be due to the suppression of the proteolysis that is induced by the adaptation to training, which may blunt the effects of HMB.<sup>51</sup> Other studies suggest that a longer pre-exercise supplementation period may be necessary.47 The position statement of the International Society of Sports Nutrition declared that HMB enhances recovery by attenuating exercise-induced skeletal muscle damage, appears to be most effective when consumed for 2 weeks prior to an exercise bout, and enhances skeletal muscle hypertrophy, strength, and power when an appropriate exercise protocol is utilized.59

#### Elderly and effects of HMB supplementation

Sarcopenia, which is present in approximately 5 to 10% of persons over 65 years of age is associated with weakness, falls, and a decreased ability to respond to illness or injury.<sup>60</sup> Muscle wasting may be exacerbated during a period of disuse during a prolonged bed rest and by decreased food intake, particularly during an illness.

A hallmark of sarcopenia in elderly subjects is a decreased ability to increase muscle protein synthesis in response to anabolic signals such as food intake and resistance exercise. In other words, this condition suppresses the stimulatory effect of food and other signals on mRNA translation, the rate-controlling step for protein synthesis, which is primarily regulated by the mTOR signalling pathway. Such anabolic resistance of muscle to nutrients is probably due to oxidative stress and low-grade inflammation.

| Study design  | Result  | Reference                           |
|---|---|-------------------------------------|
| Non-resistance-trained subjects, HMB<br>(40 mg/kg /day) for 6 days before maximal<br>isokinetic exercise of elbow flexors | No beneficial effect on muscle soreness, arm girth, and torque measures                 | Paddon-Jones et al. <sup>47</sup>   |
| Resistance-trained men, HMB (0.3 or 6 g/day), resistance training for 28 days   | No beneficial effect on muscle strength and body<br>composition                         | Kreider <i>et al.</i> <sup>48</sup> |
| Rugby players, HMB (3 g/daý) for 6 weeks  | No beneficial effect on aerobic and anaerobic ability                                   | O'Connor and Crowe <sup>49</sup>    |
| Rugby players, HMB (3 g/day) for 6 weeks  | No beneficial effect on muscle strength and<br>endurance                                | O'Connor and Crowe <sup>50</sup>    |
| Resistance-trained athletes, HMB (3 g/day)<br>for 6 weeks   | No beneficial effect on muscle strength, body composition, and markers of muscle damage | Slater et al. <sup>51</sup>         |
| Football players, HMB (3 g/day) for 4 weeks   | No beneficial effect on muscle strength and body<br>composition                         | Ransone <i>et al.</i> <sup>52</sup> |
| Resistance-trained subjects, HMB (3 g/day)<br>for 9 weeks   | No beneficial effect on body composition  | Thomson <i>et al.</i> <sup>53</sup> |
| Football players, HMB (3 g/day) for 10 days   | No beneficial effect on anaerobic power and creatine kinase and myoglobin in blood      | Hoffman <i>et al.</i> <sup>54</sup> |
| Recreational exercisers, HMB (3 g/day) + KIC<br>(0.3 g/day) for 11 days before downhill running                           | No benefits on indices of muscle damage   | Nunan <i>et al.<sup>55</sup></i>    |

Table 2 Human studies not supporting beneficial effects of beta-hydroxy-beta-methylbutyrate in exercise

There is growing evidence that the severe decreases in the skeletal muscle mass and function that occur with ageing may be mitigated by HMB supplementation (*Table* 3). Based on the meta-analysis of seven randomized controlled trials, HMB supplementation can prevent the loss of lean body mass in older adults without causing a significant change in fat mass.<sup>72</sup> The rationale for HMB supplementation in ageing

subjects is strongly supported by recent findings of a negative correlation between the HMB levels in blood plasma with age and the lower levels of KIC dioxygenase in the livers of old rats than in young rats.<sup>73</sup>

Apart from the benefits to muscle, there are other effects of HMB that may be beneficial to old people. HMB ameliorated the effects of ageing in the dendritic tree of

#### Table 3 Effects of beta-hydroxy-beta-methylbutyrate on muscle in elderly

| Study design  | Effects of HMB  | Reference   |
|---|---|---|
| Animal studies  |   |   |
| Rats, 20 months of age, HMB and $\beta$ -alanine<br>supplementation (equivalent to human doses of<br>3 and 2.4 g per day, respectively) for 8 weeks   | No significant effect on muscle mass, force or fatigability; $\downarrow$ expression of MuRF1   | Russ et al. <sup>61</sup>   |
| Mice, 19 months of age, HMB (514 mg/kg) or<br>β-alanine (411 mg/kg) supplementation for 8 weeks   | $\downarrow$ decline in muscle function   | Vallejo et al. <sup>21</sup>  |
| Rats, 34 months of age, hindlimb suspension<br>for 2 weeks and reload for 2 weeks, HMB<br>(340 mg/kg/day) orally  | $\downarrow$ fibre area (in plantaris and soleus muscles)   | Hao <i>et al.</i> <sup>17</sup>   |
| Rats, 34 months of age, hindlimb suspension<br>for 2 weeks and reload for 2 weeks, HMB<br>(340 mg/kg/day) orally for 35 days  | ↑ muscle mass, fibre cross-sectional area and<br>proliferation of stem cells during the reloading period  | Alway et al. <sup>62</sup>  |
| Human studies   |   |   |
| HMB/Arg/Lys mixture (2 g/5 g/1.5 g per day) for 1 year<br>Bed-ridden subjects, HMB (2 g/day) for 2 or 4 weeks<br>HMB (3 g/day) and exercise for 8 weeks<br>HMB/Arg/Lys mixture (2/5/1.5 g per day) for 12 weeks | <ul> <li>↑ lean tissue mass and protein turnover</li> <li>↓ urinary urea nitrogen excretion</li> <li>↑ body fat loss</li> <li>↑ limb circumference, leg and handgrip strength;</li> <li>↑ whole body protein synthesis</li> </ul> | Baier <i>et al.</i> <sup>63</sup><br>Hsieh <i>et al.</i> <sup>64</sup><br>Vukovich <i>et al.</i> <sup>65</sup><br>Flakoll <i>et al.</i> <sup>66</sup> |
| HMB/Arg/Lys mixture (2/5/1.5 g per day) for 1 year  | ↑ muscle mass, ↑ muscle strength only when vitamin D status was adequate  | Fuller et al. <sup>67</sup>   |
| HMB/Arg/Gln mixture for 2 weeks<br>HMB (1.5 g/day) + mild fitness programme for<br>8 weeks  | ↑ collagen synthesis in muscle<br>↑ muscle strength, ↑ physical performance parameters  | Williams <i>et al.<sup>68</sup></i><br>Berton <i>et al.<sup>69</sup></i>  |
| HMB (3 g/day), bed rest for 10 days followed<br>by resistance training for 8 weeks  | HMB supplementation preserves muscle mass during bed rest   | Deutz et al. <sup>70</sup>  |
| HMB (3 g/day) for 24 weeks, both exercise and non-exercise groups   | ↑ muscle strength, no difference between exercising<br>and non-exercising groups  | Stout et al. <sup>71</sup>  |

the pyramidal neurons in the medial prefrontal cortex of both male and female rats and improved the working and cognitive flexibility in old-age rats.<sup>74,75</sup> Studies are needed to examine whether HMB supplementation in elderly subjects also improves the anabolic response of muscle to a meal.

#### Cachexia and effects of HMB supplementation

There is scientific consensus that the loss of muscle protein in cachexia cannot be reversed by nutritional therapy. A major pathogenic process involves the activation of the ubiquitinproteasome system by several pathways, including cytokines, reactive oxygen species, and cyclooxygenases. In addition, the up-regulation of autophagy and lysosomal genes has been documented at the transcript and protein levels in various catabolic conditions.<sup>76</sup> There are reports demonstrating that muscles composed mostly by white fibres are more sensitive to catabolic stimuli, particularly to sepsis, when compared with muscles with high content of red fibres.<sup>77,78</sup> Also with advancing age, there is a preferential atrophy of white fibres.<sup>79</sup> However, the explanation of origin of these clinically important differences in response of red and white fibres to signals causing the loss of muscle is not available.

In muscle-wasting disorders, it increases the activity of the BCKD, the rate-limiting enzyme in leucine oxidation, resulting in the use of leucine and the other two BCAAs as important sources of energy.<sup>80–82</sup> It may be hypothesized that enhanced flux of KIC through BCKD decreases the metabolism of KIC via the KIC dioxygenase pathway and impairs HMB production. However, there are no reports regarding HMB production and its levels in cachectic illness.

The therapeutic potential of HMB in the treatment of cachexia was investigated using various experimental protocols. Through a Medline search, we found eight studies performed under *in vitro* conditions (*Table* 4), 11 under *in vivo* conditions using various animal models of muscle wasting (*Table* 5), and 13 in various forms of cachexia in humans (*Table* 6).

Experimental studies performed using in vitro models (mainly murine myotubes) and animal models of muscle wasting indicate that HMB may be effective in a number of disorders, notably in conditions of enhanced proteolysis in sepsis, cancer, immobilization, and steroid medication. Most of the studies demonstrated that the action of HMB is mediated by attenuating the proteasome activity and protein breakdown and not by stimulating protein synthesis (Tables 4 and 5). In human studies, positive results were observed in chronic pulmonary disease, hip fracture, and in AIDS-related and cancer-related cachexia but not in rheumatoid cachexia, renal failure, and gastric bypass (Table 6). Unfortunately, these clinical studies frequently used mixed supplements that contained various components including glutamine, arginine, leucine, higher caloric or protein content, and vitamins. Therefore, it was not possible to determine which of the supplements was effective or if there was a synergistic effect.

It should be noted that sarcopenia and/or cachexia is the most common complication of cirrhosis and adversely affects survival, quality of life, and the development of other complications.<sup>110</sup> Considering that most of the HMB is synthesized from leucine in the liver and that the activation of leucine oxidation in skeletal muscle causes a decrease in the leucine level in the blood plasma of subjects with liver cirrhosis,<sup>111,112</sup> impaired HMB synthesis and its deficiency may be expected to develop in liver disease. However, to date, no animal or human studies of HMB supplementation in liver disease have been performed.

| Table 4 | Effects of | beta-hydroxy- | beta-methyl | butyrate | under | in vitro | conditions of | of skeleta | muscle | atroph | ny |
|---------|------------|---------------|-------------|----------|-------|----------|---------------|------------|--------|--------|----|
|---------|------------|---------------|-------------|----------|-------|----------|---------------|------------|--------|--------|----|

| Model  | Effects of HMB  | Reference                            |
|--|---|--------------------------------------|
| Murine myotubes exposed to HMB (50 $\mu$ M) and PIF  | ↓ depression of protein synthesis   | Eley et al. <sup>83</sup>            |
| Murine myotubes exposed to HMB (50 µM) and PIF   | protein degradation and proteasome activity   | Smith et al. <sup>84</sup>           |
| Murine myotubes exposed to HMB (50 µM) and PIF,<br>LPS, or angiotensin II                              | ↓ proteasome activity   | Mirza et al. <sup>85</sup>           |
| Murine myotubes exposed to HMB (50 $\mu$ M) and LPS, TNF- $\alpha$ , IFN- $\gamma$ , or angiotensin II | $\downarrow$ proteolysis, ROS formation and caspase activation  | Eley et al. <sup>16</sup>            |
| Murine myotubes exposed to HMB (50 $\mu\text{M})$ and LPS  | $\downarrow$ protein degradation and caspase activation   | Russell and<br>Tisdale <sup>86</sup> |
| Murine myotubes exposed to HMB (50 μM)<br>and dexamethasone  | $\downarrow$ protein degradation, $\downarrow$ expression of atrogin-1 and MuRF1,<br>$\downarrow$ reduction of myotube size | Aversa et al. <sup>87</sup>          |
| Murine myotubes exposed to HMB (10 mM)<br>and myostatin  | ↓ fibre atrophy   | Mobley et al. <sup>88</sup>          |
| Rat L6 myotubes exposed to HMB (25 μM)<br>and dexamethasone  | $\downarrow$ lysosomal proteolysis induced by dexamethasone   | Girón et al. <sup>89</sup>           |

PIF, proteolysis-inducing factor; LPS, lipopolysaccharides.

| Table 5 | Effects of | f beta-hydroxy- | beta-methyl | butyrate in | animal | models of | muscle | wasting |
|---------|------------|-----------------|-------------|-------------|--------|-----------|--------|---------|
|         |            |                 |             |             |        |           |        |         |

| Study design  | Effects  | Reference                         |
|---|--|-----------------------------------|
| Rats, AH-130 ascites hepatoma, HMB-enriched chow for 24 days  | $\downarrow$ body weight and muscle loss   | Aversa et al. <sup>90</sup>       |
| Rats, Walker 256 tumour, HMB (320 mg/kg) by   | ↑ survival time  | Caperuto et al. <sup>91</sup>     |
| Mice, MAC 16 tumour, HMB (0.25 g/kg) by   | ↓ body weight loss   | Mirza et al. <sup>85</sup>        |
| Rats, Walker 256 tumour, HMB (76 mg/kg) by  | ↓ tumour weight, ↓ NF-ĸB signalling, ↑ glycogen<br>content in liver and muscle   | Nunes et al. <sup>92</sup>        |
| Mice, MAC 16 tumour, HMB (0.25 g/kg) or EPA (0.6 g/kg), or both by p.o. gavage for 8 days   | ↓ muscle protein degradation and proteasome activity<br>in all groups compared to controls, ↑ protein synthesis<br>in HMB group  | Smith <i>et al.</i> <sup>14</sup> |
| Rats, endotoxin (5 mg/kg i.p.), HMB (0.5 g/kg)<br>via osmotic pump for 24 hours   | ↓ proteolysis and proteasome activity in muscle  | Kovarik et al. <sup>15</sup>      |
| Rats, monolateral hindlimb immobilization or<br>dexamethasone treatment, leucine (2.7 g/kg/day)<br>or HMB (0.6 g/kg/day) orally for 1, 2, 3 or 7 days                                     | No effect of on muscle mass and fibre cross-sectional<br>area in both models, ↓ expression of Mafbx/Atrogin<br>after dexamethasone. Leucine had favourable effects<br>on most of the parameters. | Baptista et al. <sup>93</sup>     |
| Mice, Duchenne muscular dystrophy model<br>(mdx mice), diet with added creatine, linoleic acid,<br>alpha-lipoic acid or HMB (individually and in<br>combination) and exercise for 8 weeks | $\downarrow$ muscle loss and grip strength fatigue, $\uparrow$ grip strength   | Payne et al. <sup>94</sup>        |
| Rats, dexamethasone and co-administration of HMB (320 mg/kg/day orally) for 21 days   | ↓ the loss of body weight, lean mass and reduction of<br>fibre cross-sectional area  | Girón <i>et al.<sup>89</sup></i>  |
| Rats, co-administration of dexamethasone and<br>HMB (150 or 600 mg/kg/day orally) for 5 days  | ↓ muscle loss and damage and reduction in grip   | Noh <i>et al.<sup>95</sup></i>    |
| Mice, calorie restricted (–30%) and exercise,<br>HMB (0.5 g/kg orally) for 6 weeks  | Greater grip strength, gastrocnemius mass and fibre<br>cross-sectional area. No atrogin-1 expression while<br>elevation in controls.   | Park et al. <sup>96</sup>         |

EPA, eicosapentaenoic acid.

| Table 6 | 6 Effects of | beta-hydroxy-k | peta-methylbutyrate in | humans with musc | le-wasting disorder |
|---------|--------------|----------------|------------------------|------------------|---------------------|
|---------|--------------|----------------|------------------------|------------------|---------------------|

| Origin of muscle loss   | Study design  | Effects   | Reference  |
|---|---|---|--|
| AIDS  | HMB/Arg/Gln mixture<br>(3/14/14 g per day) for 8 weeks                                | $\uparrow$ lean body mass and improved immune status  | Clark et al. <sup>97</sup>   |
| Cancer  | HMB/Arg/Gln mixture   | $\uparrow$ body weight and FFM  | May et al. <sup>98</sup>   |
| Cancer  | HMB/Arg/Gln mixture   | Trend towards an increased body mass  | Berk <i>et al.<sup>99</sup></i>  |
| AIDS or cancer  | HMB/Arg/Gln mixture<br>(3/14/14 g per day) for 8 weeks                                | Decreased feeling of weakness, increased<br>RBC, haematocrit, lymphocytes, eosinophils,<br>and urea | Rathmacher <i>et al.</i> <sup>100</sup>  |
| Chronic obstructive   | HMB (3 g/day) for 7 days  | Improved pulmonary function, $\downarrow CRP$   | Hsieh et al. <sup>101</sup>  |
| Chronic cardiac or<br>pulmonary disease                           | Oral supplementation with proteins<br>and HMB (1.5 g HMB/day) for<br>90 days          | Decreased mortality, improved indices of nutritional status   | Deutz <i>et al.</i> <sup>102</sup>   |
| Chronic pulmonary<br>disease                                      | Oral supplementation with proteins<br>and HMB (1.5 g HMB/day) for                     | Improved body composition, health-related quality of life, and muscle strength                      | Olveira <i>et al.</i> <sup>103</sup>   |
| Critically ill trauma<br>patients, bed rest,<br>enteral nutrition | HMB (3 g/day), HMB/Arg/Gln mixture<br>or placebo via feeding tube for<br>28 days      | Improvement in nitrogen balance   | Kuhls et al. <sup>104</sup>  |
| Total knee  | HMB/Gln/Arg mixture   | Prevention of reduction of maximal strength   | Nishizaki et al. <sup>105</sup>  |
| Hip fracture  | HMB (3 g)/vitamin D/protein   | Accelerated healing, shortening   | Ekinci <i>et al</i> . <sup>106</sup>   |
| Gastric bypass  | HMB/Gln/Arg mixture   | No benefits when compared with controls   | Clements et al. <sup>107</sup>   |
| Renal failure<br>Rheumatoid arthritis                             | HMB (3 g/day) for 6 months<br>HMB/GIn/Arg mixture<br>(3/14/14 g per day) for 12 weeks | No benefits<br>No benefits when compared with placebo   | Fitschen <i>et al.</i> <sup>108</sup><br>Marcora e <i>t al.</i> <sup>109</sup> |

#### Healthy subjects and effects of HMB consumption

The HMB is one of the most frequently used supplements not only among athletes but also by people aiming to losses fat mass and prevent the age-related loss of skeletal muscle. However, some studies indicate that the beneficial effects of HMB on skeletal muscle observed in subjects who exercise, elderly subjects and/or in muscle-wasting conditions do not occur in healthy, non-exercising subjects. Nissen and Abumrad reported in a study of non-exercising women who received supplements of 3 g of HMB/day for 4 weeks that there were no changes in the body composition whereas in a similar study in which the women were subjected to resistance exercise, an increase in lean tissue and a decrease in fat mass were observed.<sup>10</sup> In our study, the HMB counteracted the changes in the muscles of septic rats but had no effect in healthy animals.<sup>15</sup>

The explanation of the lack of favourable effects of HMB on muscle in healthy individuals who do not engage in a regular physical activity is not available. It seems that HMB modulates the balance between protein synthesis and proteolysis in a favour of anabolic reactions and that its requirement increases in conditions of accelerated turnover of muscle proteins that occurs in exercise and muscle-wasting conditions. In this context, it should be noted that impaired insulin sensitivity has been demonstrated in healthy sedentary rats supplemented with HMB for 4 weeks.<sup>113</sup>

## Dosage and drug forms

A dose of 3 g of HMB per day is routinely recommended by manufacturer to maintain or improve muscle mass and function. This dose corresponds to intake of approximately 60 g of leucine. Consumption of such an amount of leucine should enhance the activity of the BCKD (the rate-limiting enzyme of BCAA catabolism) and the oxidation of all the three BCAA, which would cause depletion of valine and isoleucine in body fluids. Such an imbalance in concentrations of the BCAA could exert adverse effects on protein metabolism in various tissues, and therefore, HMB supplementation cannot be replaced by leucine.<sup>114</sup>

Commercially, HMB is available as the calcium salt. Recently, administration of HMB in a free-acid form of HMB in a gel has been investigated. Fuller *et al.* showed that administration of the free form of HMB resulted in more rapid and higher plasma concentrations and improved clearance of the HMB when compared with the calcium salt form and suggested that administration of HMB as free acid could improve HMB availability and efficacy to tissues.<sup>115</sup> However, another study demonstrated higher bioavailability of HMB after administration of the calcium salt of HMB when compared with free-acid form.<sup>116</sup> Further studies are needed to determine the pros and cons of these two forms of HMB.

## **Toxicity and adverse effects**

Several studies have demonstrated that supplemental HMB is well tolerated and has no toxic effects.<sup>11,100,117</sup> However, although HMB is made naturally by the body, it is possible that the positive effects of HMB on the protein balance in muscle may exert some adverse effects in other tissues. Stimulation of protein synthesis and suppression of proteolysis by HMB decreases the release of various amino acids from muscles to the blood and may impair their availability in visceral tissues. Glutamine is of particular interest on the basis that it acts as an essential substrate for enterocytes and immune cells and its deficiency decreases protein synthesis in skeletal muscle.<sup>118,119</sup> The plasma glutamine concentration is already low in many patients with critical illness, and a decreased glutamine level has been reported after HMB treatment.<sup>22</sup> Therefore, studies are needed to examine whether the positive effects of HMB on muscle mass in cachexia are associated with glutamine depletion and adverse effects in other tissues.

## Conclusions

The reports summarized here indicate that HMB provides a number of benefits to subjects involved in strength-power and endurance sports. The effects on muscle mass and strength, particularly during resistance training, are likely related to the suppression of proteolysis and a positive effect on protein synthesis. Its benefits in aerobic performance are probably more associated with improved mitochondrial biogenesis and fat oxidation. Favourable effects on the recovery from exercise-induced damage may be related to the role of HMB as a precursor of cholesterol, which modulates membrane fluidity and affects ion channels, and membrane excitability.

Studies have demonstrated that HMB can prevent the development of sarcopenia in elderly subjects and that the optimal action of HMB on muscle growth and strength occurs when it is combined with exercise. Unfortunately, exercise is performed only by a small percentage of elderly subjects. Several studies suggest that HMB supplementation is ineffective in healthy sedentary subjects.

Studies performed under *in vitro* conditions and animal studies suggest that HMB may be effective as a treatment

for muscle wasting in various forms of cachexia. However, clinical reports are rare, and most of them examined the therapeutic potential of combinations of various agents. It was therefore not possible to determine, which of the supplements was effective. Further studies examining the effects of HMB administered alone are needed to reach a conclusion regarding the specific effectiveness of HMB in attenuating muscle wasting in a range of catabolic conditions. Although most of the endogenous HMB is produced in the liver and impaired HMB production may be assumed to occur in liver disease, there are no reports regarding the metabolism of HMB and the effects of its supplementation in subjects with liver disease.

## Acknowledgements

The author was supported in part by the programmes PRVOUK P37/02 and PROGRES Q40/02. The author of this manuscript certifies that he complies with ethical guidelines for authorship and publishing in the Journal of Cachexia, Sarcopenia and Muscle.<sup>120</sup>

## **Conflict of interest**

None declared.

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