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### Thyroid hormone receptor (TR) mutants implicated in human hepatocellular carcinoma display an altered target gene repertoire

Ivan H. Chan, Ph.D. and Martin L. Privalsky, Ph.D.\*

Department of Microbiology College of Biological Sciences University of California at Davis

#### Abstract

Thyroid hormone receptors (TRs) are hormone-regulated transcription factors that control multiple aspects of normal physiology and development. Mutations in TRs have been identified at high frequency in certain cancers, including human hepatocellular carcinomas (HCCs). The majority of HCC TR mutants bear lesions within their DNA recognition domains, and we have hypothesized that these lesions change the mutant receptors' target gene repertoire in a way crucial to their function as oncoproteins. Using stable cell transformants and expression array analysis, we determined that mutant TRs isolated from two different HCCs do, as hypothesized, display a target gene repertoire distinct from that of their normal TR progenitors. Only a subset of genes regulated by wild-type TRs were regulated by the corresponding HCC-TR mutants. More surprisingly, the HCC-TR mutants also gained the ability to regulate additional target genes not recognized by the wild-type receptors, and were not simply restricted to repression, but could also activate a subset of their target genes. We conclude that the TR mutants isolated from HCC have sustained multiple alterations from their normal progenitors that include not only changes in their transcriptional outputs, but also changes in the genes they target; both are likely to contribute to neoplasia.

#### INTRODUCTION

Thyroid hormone receptors (TRs) play key roles in normal physiology and development (Brent, 2000; Buchholz *et al.*, 2006; Flamant *et al.*, 2006; Harvey and Williams, 2002; Yen, 2001). Two distinct genetic loci encode TRs, denoted  $\alpha$  and  $\beta$ , each of which is alternatively spliced to generate additional receptor diversity. TR $\alpha$ 1 and TR $\beta$ 1 are among the most abundant of these receptor isoforms, and exert distinct, if partially overlapping, biological roles (Harvey and Williams, 2002; Lazar, 1993; Murata, 1998; Yen, 2001). Both TR $\alpha$ 1 and TR $\beta$ 1 bind to specific DNA sequences (response elements), and regulate the expression of adjacent target genes up or down (Katz and Koenig, 1993; Lazar, 1993; Lazar, 2003; Yen, 2001). On "positively-regulated" target genes, TRs recruit corepressors and repress gene

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<sup>\*</sup>To whom correspondence should be addressed. Address: Department of Microbiology, One Shields Avenues, University of California at Davis, Davis, CA 95616 Phone: 530 752-3013, Fax: 530 752-9014, mlprivalsky@ucdavis.edu. Supplementary information is available at Oncogene's website.

transcription in the absence of T3, but release corepressors, recruit coactivators, and activate gene transcription in the presence of T3 (Cheng, 2000; Harvey and Williams, 2002; Tsai and Fondell, 2004; Zhang and Lazar, 2000). Less is understood about "negatively-regulated" target genes that are activated by TRs in the absence, but repressed in the presence, of hormone; this inverted T3 response presumably arises due to alterations in coregulator recruitment or function, perhaps reflecting combinatorial interactions with other transcription factors also arrayed on the same promoter (Berghagen *et al.*, 2002; Matsushita *et al.*, 2007; Meyer *et al.*, 1997; Nygard *et al.*, 2003; Tagami *et al.*, 1997).

Disruptions of TR function lead to disease (Cheng, 2005; Yen and Cheng, 2003). Inherited mutations in the TR $\beta$  locus result in Human Resistance to Thyroid Hormone (RTH) Syndrome, an endocrine disorder (DeGroot, 1996; Kopp *et al.*, 1996; Refetoff, 1993; Refetoff *et al.*, 1993; Yen, 2003). A virally transduced mutant form of TR $\alpha$  contributes to leukemogenesis by the avian erythroblastosis retrovirus (Graf and Beug, 1983; Privalsky, 1992; Sap *et al.*, 1986; Weinberger *et al.*, 1986). Spontaneous mutations in TR $\alpha$  and TR $\beta$  are found at high frequency in human hepatocellular carcinomas (HCCs), renal clear cell carcinomas, and certain thyroid malignancies and are believed to participate in the initiation or progression of these malignancies (Chan and Privalsky, 2006; Cheng, 2003; Gonzalez-Sancho *et al.*, 2002). Virtually all of these TR mutants are impaired in the ability to exchange coactivator for corepressor in response to physiological concentrations of T3. As a result, the mutant receptors retain corepressor inappropriately and can function as dominant-negative inhibitors of wild-type receptor function (Chan and Privalsky, 2006; Lin *et al.*, 1997; Lin *et al.*, 1996; Privalsky, 2008).

Given this commonality, why do certain dominant-negative TRs produce primarily endocrine disease, whereas others are closely associated with neoplasia? Notably the mutant TRs found in RTH-Syndrome represent single mutational events, whereas the mutant TRs found in neoplasia are typically aggregates of multiple genetic lesions, often including mutations in the DNA recognition domain of these receptors (Kamiya *et al.*, 2002; Lin *et al.*, 1999; Puzianowska-Kuznicka *et al.*, 2002; Refetoff, 1993; Yen and Cheng, 2003). We have proposed that single TR mutations give rise to simple dominant-negative receptors that produce endocrine disorders, whereas additional mutations further modify the dominantnegative phenotype, at least in part by altering target gene recognition, to generate the neoplastic phenotype (Chan and Privalsky, 2006; Privalsky, 2008). To test this hypothesis, we employed a microarray analysis to compare the target gene profiles of wild-type and HCC-mutant TRs. We report that the HCC TR mutants tested displayed widespread alterations in their target gene repertoire compared to the corresponding wild-type controls, and we discuss how these changes may contribute to oncogenesis.

#### MATERIALS AND METHODS

#### Isolation of Stable Transformants, Microarray Analysis, and Reporter Assay

HepG2 transformants bearing pCIneo constructs of human wild-type TR $\alpha$ 1, wild-type TR $\beta$ 1, TR $\alpha$ 1-I, TR $\beta$ 1-N or an empty plasmid control were generated using a G418 co-selection methodology (Chan and Privalsky, 2006). Individual cell clones were propagated

and screened for integration and expression of the TRs by PCR/reverse-transcriptase PCR. Six to twelve independent cell clones positive for the expression of each receptor were pooled and were treated with 100 nM T3 or with ethanol carrier alone for 6h in DMEM containing 10% hormone-stripped FBS (this time period was chosen to focus primarily on direct response genes). The cells were harvested and RNA was isolated using an RNeasy kit (Qiagen Inc, Valencia CA). The purified RNA was submitted to the University of California, Davis, Cancer Center Gene Expression Resource for subsequent cDNA probe generation, hybridization to and scanning of Affymetrix GeneChip Human Gene 1.0 ST microarrays (Affymetrix Incorporated, Santa Clara, CA, USA). Raw microarray data was normalized by the Robust Multichip Array (RMA) method and p-value adjustments were performed by the Benjamini-Hochberg method using R software and the affylmGUI package (Irizarry *et al.*, 2003; Smyth, 2004). Heat maps were generated with GenePattern (Reich *et al.*, 2006). Three independent biological repeats were analyzed for each of the five transformant pools. Luciferase reporter assays were performed by transient transfection of these stable transformants (Chan and Privalsky, 2006).

#### **Reverse transcriptase PCR**

RNA was isolated from cells using Qiagen's RNeasy kit following the manufacturer's protocols. Complementary DNA was synthesized using Qiagen's QuantiTect kit and 1 µg RNA. Semi-quantitative reverse-transcriptase PCR was performed using GoTaq DNA Polymerase (Promega, Madison WI) and the primer sequences listed in supplementary Table S1. PCR products were analyzed on 8% Tris-acetate-EDTA polyacrylamide gels, stained with SYBR Green, and quantified with a Flurochem8900 Imager (Alpha Innotech, San Leandro, CA, USA).

#### RESULTS

#### HCC TR mutants are impaired in transcriptional activation and act as dominant negative inhibitors of wild-type TRs in reporter assays

HepG2 are a well-characterized human HCC cell line that lacks known TR mutations and expresses modest levels of wild-type TRa1 and TR $\beta$ 1 (Chamba *et al.*, 1996; Lin *et al.*, 1996). We generated stable HepG2 transformants expressing a representative HCC-TR $\alpha$ 1 mutant (denoted TR $\alpha$ 1-I), a representative HCC-TR $\beta$ 1 mutant (denoted TR $-\beta$ 1-N), wild-type TRa1 (TRa1-WT), wild-type TR $\beta$ 1 (TR $\beta$ 1-WT), or an empty expression vector control (Fig. 1a). We first examined the overall T3 response in these cells using a luciferase reporter containing an archetypal DR4 positive-response element (Fig. 1b). The HepG2 transformants containing the empty expression plasmid exhibited a low level T3-mediated regulation of this reporter mediated by the endogenous TRs present in these cells (Darby et al., 1991; Theriault et al., 1992). Transformants bearing the TRa1-WT constructs displayed a significantly enhanced T3 response (Fig. 1b); a similar, though more modest T3 response was also observed for TR\beta1-WT (Fig. 1b). In contrast, HepG2 transformants expressing the TRa1-I or TR $\beta$ 1-N mutants displayed reduced levels of reporter expression in the absence of T3 compared to the empty vector controls, and a severely attenuated reporter gene activation in response to T3. These results support prior findings that these HCC TR mutants are impaired for T3-induced transcriptional activation (Chan and Privalsky, 2006).

# The HCC TR mutants repress only a subset of the genes repressed by wild-type TRs in the absence of T3, and have gained a novel ability to repress additional targets not recognized by wild-type TRs

We next compared the patterns of cellular gene expression in our HepG2 transformants by use of a microarray analysis. The TRa1-I and TRB1-N mutants have been proposed to operate in cancer by constitutively mimicking the repressive functions mediated by the wildtype receptors in the absence of T3 (Chan and Privalsky, 2006). Therefore we first identified the cellular genes repressed by the mutant TRs relative to the empty-vector transformants, and compared these genes to those repressed by the wild-type TRs relative to the empty vector transformants, all in the absence of T3 (symbolized as  $\downarrow$ TR<sup>-T3</sup>) (Fig. 2a and 2b). Representative target genes were confirmed by rt-PCR (Fig. 2c and data not shown). Notably, several genes, such as BICC1, were strongly repressed by all TRs tested (Fig. 2b and 2c). However, other genes were repressed by the wild-type TR, but not by the corresponding mutant under these conditions. For example, DOCK9 was repressed by TRa1-WT but not TRa1-I, and PROM1 was repressed by both TRa1-WT and TR $\beta$ 1-WT, but not by either mutant (Figs. 2b, 2c). Reciprocally, certain genes were selectively repressed by the mutant version of a given receptor: for example CDCA7L was repressed only by the TR $\alpha$ 1-I mutant, and not by TR $\alpha$ 1-WT, whereas SLC2A2 was preferentially repressed by the TR<sup>β</sup>1-N mutant compared to TR<sup>β</sup>1-WT (Fig. 2b and 2c). A more comprehensive list of all genes described in this study is provided in supplementary Table S2.

These results support our hypothesis that the mutant receptors are selective dominantnegatives able to repress some, but not all the genes repressed by their wild-type progenitors. Significantly, our data also demonstrated an additional, if limited, capability of the mutant receptors to repress target genes that were not repressed by the corresponding wild-type receptors, indicating that the mutations in these receptors did not simply narrow, but actually redirected their target gene repertoire.

We next examined the effect of T3 on the same panel of genes that were repressed by TRs in the absence of this hormone. As anticipated from our reporter gene assays, the TR $\alpha$ 1-I and TR $\beta$ 1-N mutants behaved as hormone-independent, constitutive repressors ( $\downarrow$ TR<sup>-T3</sup> remained  $\downarrow$ TR<sup>+T3</sup>) on most of their cellular target genes (e.g. CDC7AL for TR $\alpha$ 1-I and TR $\beta$ 1-N, or BHMT2 for TR $\alpha$ 1-I) (Fig. 3c, 3d, 3e, Table S2). In contrast, repression by the wild-type receptors was at least partially reversed by T3 for certain target genes (e.g. ERRFI1 for both TR $\alpha$ 1-WT and TR $\beta$ 1-WT, and, to a lesser extent, DOCK9 for TR $\alpha$ 1-WT; Fig. 3a, 3b, Table S2). However other wild-type TR target genes behaved constitutively and were equally repressed by the wild-type receptor in both the absence and presence of T3 (e.g. CAP2 for TR $\alpha$ 1-WT or BHMT2 for TR $\beta$ 1-WT; Fig. 3d and 3e). These results were confirmed for representative genes by rt-PCR (data not shown). Target genes that are repressed more strongly in response to T3 than in its absence (e.g. negatively regulated genes;  $\downarrow$ TR<sup>-T3</sup> changes to  $\downarrow \downarrow$ TR<sup>+T3</sup>) are discussed separately, below. We conclude that wild-type TRs possess a mix of hormone-independent and hormone-reversible repressive properties, with the latter largely absent for the HCC-mutants.

# The HCC mutant TRs are not exclusively transcriptional repressors, but are also able to activate a limited set of target genes in the presence of T3

Although several of the genes characterized above were derepressed by T3, most were not activated by T3 above the empty vector controls. We therefore next searched for TR gene targets that were up-regulated above basal levels by T3 (i.e.  $\uparrow TR^{+T3}$ ) (Fig. 4a, 4b, and validated by rt-PCR, Fig. 4c). As expected, TR $\alpha$ 1-I and TR $\beta$ 1-N failed to induce many of the cellular genes induced by the corresponding wild-type receptors under these conditions (e.g. PTAFR, Fig. 4b and 4c). However, these mutants retained an unanticipated ability to activate several of the same genes activated by the wild-type TRs (e.g. HEPACAM, Fig. 4b, and by rt-PCR, Fig. 4c), and actually gained an ability to activate a novel set of target genes not regulated by the wild-type TRs (e.g. GNG12; Fig. 4b, and by rt-PCR, Fig. 4c).

Further comparison of this same panel of genes in the absence as well as in the presence of T3 revealed more information (Fig. 5). As expected, many of the genes regulated by the wild-type receptors were expressed at higher levels in the presence of T3 than in its absence. This grouping included genes activated by receptor in the absence of T3, but expressed at still higher levels in the presence of T3 (i.e.  $\uparrow TR^{-T3}$  changes to  $\uparrow \uparrow TR^{+T3}$ ), such as ENPP2 (Fig. 5a). It also included genes expressed at basal levels (i.e. equal to empty vector controls) in the absence of T3, and induced above basal only in the presence of T3 (i.e. basalTR<sup>-T3</sup> changes to ↑TR<sup>+T3</sup>), such as ANGPTL2 and PPL (Fig. 5b, 5c). Yet other target genes were activated by the wild-type TRs essentially independent of T3 status (i.e.  $\uparrow TR^{-T3}$ remains  $\uparrow TR^{+T3}$ ), such as CYBD1 (Fig. 5d). Although most of the targets activated by the HCC-TR mutants fell into this constitutively activated category, a subset of HCC-TR target genes retained a residual hormone response and were more strongly activated plus T3 versus minus T3 ( $\uparrow$ TR<sup>-T3</sup> became  $\uparrow\uparrow$ TR<sup>+T3</sup>; e.g. FYN and SGK, Fig. 5e, 5f). Representative genes were confirmed by rt-PCR (data not shown). Genes that were more strongly repressed in the presence of T3 (e.g. negatively regulated genes) are described below. We conclude that the HCC mutant TRs unexpectedly retain an ability to activate a subset of the genes induced by the corresponding wild-type receptor, and have also gained an ability to activate a novel, if limited, repertoire of additional genes not activated by the wild-type TRs.

# HCC TR mutants regulate a narrow subset of the T3-repressed, negative-response genes that are targeted by the wild-type TRs

Genes possessing "negative response elements" operate reciprocally to the positive response genes described above: they are preferentially repressed in the presence of T3, or preferentially activated in the absence of T3. To capture these additional TR targets, our next step was to identify the genes repressed by mutant or wild-type receptors in the presence of T3 ( $\downarrow$ TR<sup>+T3</sup>). This parsing found both overlap and divergence between the genes targeted by the wild-type versus the mutant TRs (Fig. 6). Notably most of the target genes repressed by TRs in the presence of T3 (identified by asterisks in Fig. 2b and 6b); the majority of these are hormone non-responsive genes ( $\downarrow$ TR<sup>-T3</sup> remains  $\downarrow$ TR<sup>+T3</sup>), rather than negative-response genes *per se* (Figure 6 and Table S1) and have already been discussed. However, several genes (such as ANKRD1 and PROM1, Fig. 6c) were reproducibly more strongly repressed in the presence of T3 ( $\downarrow$ TR<sup>+T3</sup>). The mutant TRs

exhibited an attenuated, although often still detectable, negative response to T3 on several of these same genes (Fig. 6c, Table S1).

Our final comparison was to identify the genes that are selectively activated by receptors in the absence of T3 ( $\uparrow$ TR<sup>-T3</sup>) (Fig. 7). A significant number of the targets previously identified as induced by the mutant or wild-type receptors in the presence of T3 were also induced in its absence (Figure 7 and Table S1). Many of these targets are not negative response genes *per se*, but rather fall into the two subclasses of positive response genes already discussed: those that are constitutively up-regulated in a hormone-independent fashion ( $\uparrow$ TR<sup>-T3</sup> remain  $\uparrow$ TR<sup>+T3</sup>), and those that are up-regulated in the absence of hormone, and further up-regulated in the presence of T3 ( $\uparrow$ TR<sup>-T3</sup> change to  $\uparrow\uparrow$ TR<sup>+T3</sup>); these are indicated by asterisks in Fig. 4b and Fig. 7b. Although much more rare, genes that do fit our definition of negative regulation, preferentially up-regulated in the absence, relative to the presence, of T3 ( $\uparrow\uparrow$ TR<sup>-T3</sup> changes to  $\uparrow$ TR<sup>+T3</sup> or  $\uparrow$ TR<sup>-T3</sup> changes to basalTR<sup>+T3</sup>), were also identified. These included genes regulated preferentially by the wild-type receptors (e.g. APCDD1, Fig. 7c) or preferentially by the mutant receptors (e.g. GLT25D2 and CX3CR1, Fig. 7c; TRα1-WT exhibited little or no regulation of these genes, whereas TRβ1-WT displayed an attenuated response compared to the two TR mutants).

We conclude that the HCC TR $\alpha$ 1-I and TR $\beta$ 1-N mutants are generally restricted to a narrower panel of target genes and a narrower dynamic range of transcriptional output than are the wild-type TRs. Nonetheless, both TR $\alpha$ 1-I and TR $\beta$ 1-N have also acquired an ability to target novel genes not regulated by the wild-type receptors, and can regulate a subset of their target genes up or down at least as strongly as can their wild-type TR progenitors.

#### The perturbed gene expression mediated by the HCC-TR mutants parallel known alterations of the transcriptosome found in primary HCC tumors

At least seven of the genes we identify as expressed at higher levels in the HCC TR mutant transformants compared to wild-type (i.e. either activated by the mutant TRs but not by the wild-type TRs, or repressed by the wild-type TRs but not by the mutant TRs) have been previously identified as upregulated in primary HCC tumors (Table 1). At least eight of the genes we identify as expressed at lower levels in the TR HCC mutants compared to wild-type TRs (i.e. genes activated by wild-type TRs but not by the mutants) have been previously identified as down-regulated in primary HCC tumors (Table 1). A yet-additional panel of the target genes aberrantly regulated by the TR mutants studied here, although not previously associated with HCC, have been implicated in other forms of neoplasia (Table 2 and supplementary Table S3). These comparisons support the relevance of our cell culture analysis to the tumor transcriptosome *in vivo*, and suggest that the altered gene repertoire of the TR mutants likely contributes to the overall changes in gene expression characteristic of HCC.

#### DISCUSSION

# TR mutants implicated in human HCC are selective antimorphs, repressing only a subset of the genes targeted by wild-type TRs

Dominant-negative mutant TRs play roles in RTH-Syndrome, avian erythroleukemia, HCC, RCCC and thyroid cancers (Chan and Privalsky, 2006; Graf and Beug, 1983; Kamiya *et al.*, 2002; Lin *et al.*, 1999; Puzianowska-Kuznicka *et al.*, 2002; Rietveld *et al.*, 2001; Yen and Cheng, 2003; Zenke *et al.*, 1990). What accounts for the ability of certain of these TR mutants to induce neoplasia, whereas others are exclusively associated with endocrine disorders? Notably the mutant TRs isolated from human HCCs function not only as dominant-negative inhibitors on certain reporter genes but often have sustained additional mutations that alter their DNA recognition properties (Chan and Privalsky, 2006). A similar phenomenon was observed for the oncogenic v-Erb A allele and for certain RCCC-TR mutants (Bonde and Privalsky, 1990; Chen *et al.*, 1993; Sharif and Privalsky, 1991; Ventura-Holman *et al.*, 2008; Rosen and Privalsky, 2009). We hypothesized that these changes might confer an altered target gene repertoire that contributes to the ability of these receptors to induce neoplasia. Supporting this commonality, v-Erb A, when expressed in transgenic mice, induces HCC (Barlow *et al.*, 1994).

We report here an analysis of target gene expression in HepG2 cells expressing wild-type or mutant TRs. This approach confirmed a key aspect of our working hypothesis: the TR $\alpha$ 1-I and TR $\beta$ 1-N mutants each repressed only a subset of the cell genes repressed by the corresponding wild-type receptors, and repression by the mutants was typically independent of hormone. This result is consistent with our reporter experiments and with *in vitro* data that the TR $\alpha$ 1-I mutant binds to a more narrow set of natural and artificial DNA binding elements than did wt-TR $\alpha$ 1 (supplementary Fig. S1, and Chan and Privalsky, 2006). Notably, our approach also identified an additional set of target genes repressed by the TR $\alpha$ 1-I or TR $\beta$ 1-N mutants but not by the wild-type receptors. TR $\alpha$ 1-I binds better to at least one artificial DNA sequence than does TR $\alpha$ 1-WT (supplementary Fig. S1 and Chan and Privalsky, 2006), and it is likely these HCC-TR cellular target genes possess related, mutant-specific response elements.

Therefore the mutations in the HCC-TR mutants have not simply narrowed their gene recognition properties, but have also shifted them to encompass novel targets. We favor the model that this altered target gene repertoire arises primarily from the altered DNA sequence recognition properties of these HCC-TR mutants; however we cannot exclude the possibility that alterations in transcriptional regulation after DNA binding may also contribute. For example, a coactivator required for activation of a specific subset of target genes may be recruited by the wild-type but not by the mutant TRs.

# Unexpectedly, the HCC-TR mutants were able to activate transcription of a subset of the target genes induced by the wild-type receptors, plus an additional set of mutant-specific target genes

Our study also identified genes whose expression was increased by the introduction of a given TR. A subset of these genes were induced by the wild-type TRs more strongly in the

terminal "AF-1" domain, which is known to mediate hormone-independent transcriptional activation (Yen, 2001). Our results support prior studies indicating that wild-type TRs exert a spectrum of possible responses to hormone ranging from derepression to activation (Yen, 2001).

Unexpectedly, a panel of genes were also induced above basal levels by introduction of the HCC mutant receptors. These mutant-activated genes were generally a subset of those activated by the wild-type TRs, although a few were unique to a given mutant receptor. Most were activated by the mutant receptors in a T3-independent fashion, perhaps through the actions of the AF-1 domain, which is untouched by the mutations in TRa1-I and TR $\beta$ 1-N. However, a narrow subset of these target genes were activated more strongly by the HCC mutant receptors in the presence versus the absence of hormone. This is a particularly unexpected result for the TRa1-I mutant, which is largely incapable of activation in artificial reporter gene assays (Chan and Privalsky, 2006). However the TRa1-I mutant retains the ability to bind hormone *in vitro*, and it is possible that the remnants of the AF2 domain in this mutant are sufficient to regenerate a T3 response on certain promoters. Alternatively, endogenous TRs are present at low levels in the HepG2 cells (Chamba *et al.*, 1996); it is possible that dimmers may form between mutant and endogenous TRs, with the endogenous TR partner conferring a T3-response on target genes determined by the mutant TR partner.

We conclude that the HCC TR mutants can behave both as constitutive repressors on certain target genes, and as constitutive activators on others. In much more restricted circumstances, these same mutants also display a residual T3 response on a specific subset of their target gene repertoire. This opens the possibility that certain aspects of the HCC neoplastic phenotype may be responsive to treatment with TR agonists or antagonists, an issue that should be explored further in future studies.

#### The altered gene repertoire of the HCC-TR mutants extends to negative response genes and reveals divergent modes of target gene recognition

An additional category of TR targets, denoted negative response genes, are expressed at lower levels in the presence versus the absence of T3, and have been implicated in the ability of wild-type TRs to suppress cell proliferation in the presence of T3. Although relatively few of these negative-response genes were detected by our array approach, we did find examples in all three possible categories: targets negatively regulated by T3 only in the wild-type TR transformants, only in the mutant TR transformants, or in both types of transformants. These results extent our prior observations using a prototypic negative response reporter derived from the collagenase promoter (Chan and Privalsky, 2006).

TRs are believed to be recruited to many negative response genes through protein-protein interactions with other transcription factors, rather than by direct DNA binding (Desbois *et al.*, 1991; Sharif and Privalsky, 1992). Notably TR $\alpha$ 1-I has a substitution in a highly conserved lysine (codon 74). K74 is located in the receptor DNA recognition helix and

makes base specific contacts in the major groove of the DNA binding site (Rastinejad *et al.*, 1995). As a result, it is not surprising that the TR $\alpha$ 1-I mutant displays an altered recognition of genes regulated through direct receptor/DNA contacts (presumably the bulk of the positive-response genes identified here). However, K74 has been shown to also exert unusual functions on negative response genes. An artificial lysine to alanine substitution in TR $\alpha$ 1 (K74A) converts the mutant TR from a negative response regulator of the collagenase promoter into a positive response regulator (Uht *et al.*, 2004). It has been suggested that the wild-type lysine at codon 74 may contribute to the direction of the hormone response by sensing whether nuclear receptors are bound to DNA (a positive response gene) or not (a negative response gene) (Meyer *et al.*, 1997; Starr *et al.*, 1996). At least some of the alterations in cellular gene regulation observed in our current study may reflect the dual roles of this lysine both as a sensor and as a mediator of DNA binding.

#### The TRa1-I and TR $\beta$ 1-N mutants have both convergent and divergent target repertories

Mutations in TR $\alpha$ 1 and in TR $\beta$ 1 occur separately in certain HCC tumors, indicating that aberrant regulation by either isoform may contribute to neoplasia (Lin *et al.*, 1999). In fact, certain genes identified here, such as PROM1, were regulated by both wild-type receptor isoforms, but not by either mutant; conversely other genes that were targeted by both mutants, but by neither wild-type isoform, such as GNG12. These targets define a common mode of gene expression through which either mutant might independently contribute to establishment of the oncogenic phenotype. Nonetheless, approximately 47% of HCCs bear mutations in both TR isoforms, suggesting that mutations in TR $\alpha$ 1 and TR $\beta$ 1 can also work together to play complementary roles in a single tumor (Lin *et al.*, 1999). In fact, other sets of the target genes characterized here were regulated by one or the other mutant but not by both, perhaps helping to explain how the two mutant TRs might be better than one in tumorigenesis.

What roles do these gene play in cancer initiation or progression? Wild-type TRs can act as inhibitors of tumor invasiveness, metastasis, and proliferation (Garcia-Silva and Aranda, 2004; Martinez-Iglesias *et al.*, 2009); one role of these TR mutants, therefore, may be to interfere in a dominant-negative fashion with the antiproliferative properties of the wild-type TRs. Consistent with this proposal, our TR $\alpha$ 1-WT transformants were inhibited for anchorage independent growth, whereas the TR $\alpha$ 1-I transformants were not (Chan and Privalsky, 2006). Perhaps also reflecting the same phenomenon, we noted a slight bias against the wild-type TRs when generating our stable HepG2 transformants: 31% of the G418 clones were TR $\alpha$ 1-WT positive and 34% were TR $\beta$ 1-WT positive, whereas 45% were TR $\alpha$ 1-I positive and 42% were TR $\beta$ 1-N positive.

Studies on thyroid and pituitary neoplasia associated with mutant TR expression have implicated several specific pathways that contribute to tumor suppression by wild-type TRs and to tumor promotion by TR mutants, including alterations in Wnt signaling, cyclin D1 regulation, and phosphatidylinositol 3-kinase (PI3K) activity (Furumoto *et al.*, 2005; Furuya *et al.*, 2006; Miller *et al.*, 2001). Our current study is consistent with this concept that the HCC-TR mutants function, in part, by counteracting the growth suppressive properties of the wild-type TRs. However, we also demonstrate that the HCC-TR mutants can regulate

novel genes not recognized by the wild-type receptors, and may also operate through novel, pro-oncogenic pathways. A partial list of the genes we identify here as aberrantly regulated by the HCC-TR mutants, and which have been implicated previously in various aspects of oncogenic signaling, is provided in Table 1 and Table 2. Among these are tumor suppressors in the Wnt signaling pathway (DKK1 and WT1), known oncogenes (PAK1 and SGK) and genes involved in other features of cancer such as metastasis (VCAN) and survival (AGR2) (Lee *et al.*, 2004; Mikheev *et al.*, 2008; Ramachandran *et al.*, 2008; Ricciardelli *et al.*, 2009; Vadlamudi and Kumar, 2003; Vogelstein and Kinzler, 2004; Zhang *et al.*, 2005). More analysis will be required to determine the precise role of these targets in HCC, their relationship to other cancers associated with mutant TRs, and their significance relative to the growth regulatory properties of the wild-type TRs.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Figure 1. HCC TR mutants are impaired in transcriptional activation

(a) Schematic of wild-type and mutant TRs. The different TRs are depicted with the locations of the DNA binding (DBD), hormone binding domain (HBD), and HCC mutations indicated. (b) Impaired reporter activation in HCC mutant TR transformants. HepG2 cells stably integrated with TR $\alpha$ 1-WT, TR $\beta$ 1-WT, TR $\alpha$ 1-I, TR $\beta$ 1-N or an empty plasmid control (no-receptor, NR) were transiently transfected with a DR4-TK-luciferase reporter and pCH110 as an internal control. The cells were treated 24 hrs later with T3 or vehicle alone,

harvested 48 hrs after transfection, and the luciferase activity was determined relative to  $\beta$ -galactosidase activity (mean + S.E.M., n = 3).



Figure 2. In the absence of T3, the HCC TR mutants repress a distinct set of genes compared to wild-type TRs

(a) Venn diagram of gene transcripts down-regulated in each TR transformant compared to the empty vector (NR) control, all in the absence of T3. HepG2 cells transformed with the TR alleles indicated, or with the empty plasmid control, were incubated in the absence of T3 (vehicle only) for 6 hrs.; RNA was isolated, and used to probe the arrays. Transcripts down-regulated in each TR transformant compared to the empty vector control (NR) were identified using a Benjamini-Hochberg adjusted p-value of <0.05. (b) Heat map clustering of the genes from panel (a). For each gene, dark blue indicates lowest expression, dark red

indicates highest expression, with intermediate values represented by lighter shades. These comparisons were minus T3; asterisks indicate genes that were also down-regulated in the presence of T3 (see Figure 6). (c) rtPCR on representative genes identified in panel (b), using gene-specific primers. The level of expression of each gene product was defined as "1" for the empty vector control in the absence of T3 (mean + S.E.M., n = 3). An "\*" indicates that the difference between the TR transformant and the empty vector control was significant at a P value 0.05.

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Figure 3. Certain target genes that are down-regulated by TRs in the absence of T3 are derepressed by T3; others are not

(a-e) Expression levels, minus or plus T3 treatment, of representative gene transcripts from the panel of genes identified in Figure 2. Microarray intensity signal values (mean + S.D., n = 3) are presented for HepG2 transformants bearing each of the TR expression vectors indicated. An "\*" indicates that the difference between the TR transformant and the empty vector control was significant at a P value 0.05.



### Figure 4. The HCC mutant TRs are able to up-regulate a distinct subset of target genes in the presence of T3

(a) Venn diagram of gene transcripts up-regulated in each TR transformant compared to the empty vector (NR) control, all in the presence of T3. HepG2 cells transformed with the TR alleles indicated, or with the empty plasmid control, were incubated with T3 for 6 hrs.; RNA was isolated, and used to probe the arrays. Transcripts up-regulated in each TR transformant compared to the empty vector control (NR) were identified using a Benjamini-Hochberg adjusted p-value of <0.05. (b) Heat map clustering of the genes from panel (a). For each

gene, dark blue indicates lowest expression, dark red indicates highest expression, with intermediate values represented by lighter shades. These comparisons were plus T3; asterisks indicate genes that were also up-regulated in the absence of T3 (see Figure 7). (c) rtPCR on representative genes identified in panel (b), using gene-specific primers. The level of expression of each gene product was defined as "1" for the empty vector control in the absence of T3 (mean + S.E.M., n = 3). An "\*" indicates that the difference between the TR transformant and the empty vector control was significant at a P value 0.05; "#" indicates a P value 0.1.

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Figure 5. Certain target genes are constitutively up-regulated by TRs independent of hormone status; others are up-regulated only in response to T3

(a-f) Expression levels, minus or plus T3 treatment, of representative gene transcripts from the panel of genes identified in Figure 4. Microarray intensity signal values are presented (mean + S.D., n = 3) are presented for HepG2 transformants bearing each of the TR expression vectors indicated. An "\*" indicates that the difference between the TR transformant and the empty vector control was significant at a P value 0.05.



Figure 6. The mutant and wild-type TRs negatively regulate distinct sets of target genes in response to T3

(a) Venn diagram of gene transcripts down-regulated in each TR transformant compared to the empty vector (NR) control, all in the presence of T3. HepG2 cells transformed with the TR alleles indicated, or with the empty plasmid control, were incubated with T3 for 6 hrs.; RNA was isolated, and used to probe the arrays. Transcripts down-regulated in each TR transformant compared to the empty vector control (NR) were identified using a Benjamini-Hochberg adjusted p-value of <0.05. (b) Heat map clustering of the genes from panel (a).

For each gene, dark blue indicates lowest expression, dark red indicates highest expression, with intermediate values represented by lighter shades. These comparisons were plus T3; asterisks indicate genes that were also down-regulated in the absence of T3 (see Figure 2). (c) Expression levels, minus or plus T3 treatment, of representative gene transcripts from the genes identified in panel (b). Microarray intensity signal values are presented (mean + S.D., n = 3). An "\*" indicates that the difference between the TR transformant and the empty vector control was significant at a P value 0.05.

Page 24



### Figure 7. The mutant and wild-type TRs positively regulate distinct sets of target genes in the absence of T3

(a) Venn diagram of gene transcripts up-regulated in each TR transformant compared to the empty vector (NR) control, all in the absence of T3. HepG2 cells transformed with the TR alleles indicated, or with the empty plasmid control, were incubated in the absence of T3 (vehicle only) for 6 hrs.; RNA was isolated, and used to probe the arrays. Transcripts up-regulated in each TR transformant compared to the empty vector control (NR) were identified using a Benjamini-Hochberg adjusted p-value of <0.05. (b) Heat map clustering of the genes from panel (a). For each gene, dark blue indicates lowest expression, dark red indicates highest expression, with intermediate values represented by lighter shades. These

comparisons were minus T3; asterisks indicate genes that were also up-regulated in the presence of T3 (see Figure 4). (c) Expression levels, minus or plus T3 treatment, of representative gene transcripts from the genes identified in panel (b). Microarray intensity signal values are presented (mean + S.D., n = 3). An "\*" indicates that the difference between the TR transformant and the empty vector control was significant at a P value 0.05.

#### Table 1

Aberrantly expressed genes previously identified in HCC that are also aberrantly regulated by the HCC TR mutants.

Gene Symbol	Gene	Exp. in HCC	Misreg. By	
Repressed by wt, not mutant TR, or activated by mutant, not wt TR				
CAP2	CAP, adenylate cyclase-associated protein, 2	Ť	αI	
CSF1	colony stimulating factor 1 (macrophage)	Ť	αI	
GPC3	glypican 3	Ť	αΙ, βΝ	
HDAC1	histone deacetylase 1	Ť	αI	
HDAC5	histone deacetylase 5	Ť	αI	
PROM1	prominin 1	Ť	αΙ, βΝ	
TTR	transthyretin (prealbumin, amyloidosis type I)	Ť	αI	
Activated by wt, not mutant TR				
C1S	complement component 1, s subcomponent	$\downarrow$	αI	
CES1	carboxylesterase 1 (monocyte/macrophage serine esterase 1)	$\downarrow$	αI	
HMOX1	heme oxygenase (decycling) 1	$\downarrow$	αI	
PCK1	phosphoenolpyruvate carboxykinase 1	$\downarrow$	βΝ	
PLAGL1	pleiomorphic adenoma gene-like 1	$\downarrow$	αI	
PTAFR	platelet-activating factor receptor	$\downarrow$	αΙ, βΝ	
SERPINF2	serpin peptidase inhibitor, clade F, member 2	$\downarrow$	αI	
TIMP3	TIMP metallopeptidase inhibitor 3	$\downarrow$	αI	

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#### Table 2

Partial list of cancer implicated genes aberrantly regulated by the HCC TR mutants.

Gene Symbol	Gene	Implicated Role	
AGR2	anterior gradient 2 homolog	cancer cell survival	
APOL6	apolipoprotein l, 6	cancer cell apoptosis	
CEACAM1	carcinoembryonic antigen-related cell adhesion molecule 1	tumor suppressor	
CSF1	colony stimulating factor 1	cancer progression	
CX3CR1	chemokine (c-x3-c motif) receptor 1	cell migration/survival	
DKK1	dickkopf homolog 1	tumor suppressor	
ERRFI1	erbb receptor feedback inhibitor 1	tumor suppressor	
FGF2	fibroblast growth factor 2	cell survival/metastasis	
FYN	fyn oncogene related to src, fgr, yes	oncogene	
GPC3	glypican 3	tumor suppressor	
MCC	mutated in colorectal cancers	tumor suppressor	
NR0B2	nuclear receptor subfamily 0, group b, member 2	tumor suppressor	
NRCAM	neuronal cell adhesion molecule	cancer progression	
PAK1	p21/cdc42/rac1-activated kinase 1	oncogene	
PAWR	prkc, apoptosis, wt1, regulator	tumor suppressor	
PLAGL1	pleiomorphic adenoma gene-like 1	tumor suppressor	
PTPRJ	protein tyrosine phosphatase, receptor type, j	tumor suppressor	
SGK	serum/glucocorticoid regulated kinase 1	oncogene	
TIMP3	timp metallopeptidase inhibitor 3	tumor suppressor	
TMEFF1	transmembrane protein w/ egf-like and two follistatin-like domains $1 \label{eq:constraint}$	tumor suppressor	
VCAN	chondroitin sulfate proteoglycan 2 (versican)	metastasis	
WT1	wilms tumor 1	tumor suppressor	