

## Long-Term Effect of Febuxostat on Endothelial Function in Patients With Asymptomatic Hyperuricemia: A Sub-Analysis of the PRIZE Study

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**Background:** Xanthine oxidase is involved in the production of uric acid and the generation of superoxide anion. We evaluated the long-term effect of febuxostat, a non-purine selective xanthine oxidase inhibitor, on endothelial function in patients with asymptomatic hyperuricemia.

**Methods:** In the PRIZE study, patients with hyperuricemia were randomly assigned to either add-on febuxostat treatment (febuxostat group) or non-pharmacologic hyperuricemia treatment (control group). Among the 514 participants, endothelial function was assessed in 41 patients in the febuxostat group and 38 patients in the control group by flow-mediated vasodilation (FMD) of the brachial artery at the beginning of the study and after 12 and/or 24 months of treatment (63 men; median age, 68.0 years).

**Results:** The least squares mean concentration of serum uric acid was significantly lower in the febuxostat group than in the control group at 6 months (mean between-group difference [febuxostat group - control group], -2.09 mg/dL [95% confidence interval (CI), -2.520 to -1.659]; P < 0.001), 12 months (mean between-group difference, -2.28 mg/dL [95% CI, -2.709 to -1.842]; P < 0.001), and 24 months (mean between-group difference, -2.61 mg/dL [95% CI, -3.059 to

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-2.169]; P < 0.001). No significant differences were found between groups in the least squares mean estimated percentage change in FMD at 12 months (mean between-group difference, -0.56% [95% Cl, -1.670 to 0.548]; P = 0.319) and at 24 months (mean between-group difference, -0.60% [95% Cl, -1.886 to 0.685]; P = 0.357).

**Conclusion:** Febuxostat treatment did not alter endothelial function assessed by FMD during a 2-year study period in patients with asymptomatic hyperuricemia.

Keywords: xanthine oxidase, xanthine oxidase inhibitor, febuxostat, flow-mediated vasodilation, endothelial function, hyperuricemia

#### INTRODUCTION

Endothelial dysfunction is regarded as the initial step in the pathogenesis of atherosclerosis and plays a critical role in progression to cardiovascular complications (1, 2). In addition, endothelial function has been shown to be an independent predictor of future cardiovascular events (3, 4). Therefore, it is important to select an appropriate intervention that will effectively improve or augment endothelial function to prevent cardiovascular events in the management of patients with cardiovascular disorders.

Xanthine oxidase (XO) has been regarded as one of the major oxidase enzymes involved in the generation of reactive oxygen species (ROS) (5, 6). During purine metabolism catalyzed by XO, not only uric acid but also superoxide anion (O2<sup>.-</sup>) is generated concomitantly (7). Therefore, generation of ROS and production of uric acid are simultaneously increased with an increase in XO activity. ROS are involved in endothelial dysfunction by decreasing nitric oxide (NO) bioavailability through increasing NO inactivation and decreasing NO production via endothelial NO synthase uncoupling (2, 5). Although it remains unclear whether hyperuricemia is causally related to endothelial dysfunction in humans, experimental studies have indicated the possibility that hyperuricemia per se causes endothelial dysfunction through increasing inflammation or oxidative stress (8-10). Therefore, XO inhibitors have been expected to augment endothelial function by decreasing the generation of ROS and lowering serum uric acid levels (11, 12). Febuxostat is a non-purine selective XO inhibitor (13, 14). The short-term effect of febuxostat on endothelial function in humans has been investigated in a few studies (15-17). However, little information exists regarding the long-term effect of febuxostat on endothelial function in patients with asymptomatic hyperuricemia.

The PRIZE (program of vascular evaluation under uric acid control by xanthine oxidase inhibitor, febuxostat: multicenter, randomized controlled) study was a prospective, multicenter study conducted to evaluate the inhibitory effect of febuxostat on the progression of carotid artery intima-media thickness (IMT) over a 2-year follow-up period (18). In that study, flowmediated vasodilation (FMD) of the brachial artery, an index of endothelial function, was measured in a subset of participants. Therefore, we carried out the present study as a pre-specified subanalysis of the PRIZE study to evaluate the long-term effect of febuxostat treatment on endothelial function assessed by FMD of the brachial artery in patients with asymptomatic hyperuricemia.

#### MATERIALS AND METHODS

#### **Study Design and Patients**

The rationale and design of the PRIZE study (University Hospital Medical Information Network Center: ID 000012911) have been described previously (18, 19). In brief, the PRIZE study was a multicenter, prospective, randomized, open-label and blindedendpoint trial carried out at 48 Japanese institutions. Eligible patients were at least 20 years of age and had asymptomatic hyperuricemia with a serum uric acid level >7.0 mg/dL and a maximum IMT of the common carotid artery (CCA) >1.1 mm, defined as a carotid arterial plaque in the guidelines of the Japan Society of Ultrasonics in Medicine and the Japan Academy of Neurosonology (20). Patients who had taken any serum uric acidlowering agents within the 8-week period before assessment of eligibility, those who had gouty tophus, and those who had had symptoms of gouty arthritis within 1 year before assessment of eligibility were excluded. Other exclusion criteria are described elsewhere (19).

Between May 2014 and June 2016, a total of 514 patients with asymptomatic hyperuricemia were enrolled and randomly assigned in a 1:1 ratio to either add-on febuxostat treatment (febuxostat group: n = 257) or non-pharmacologic hyperuricemia treatment (control group: n = 257). Randomization was stratified on the basis of age, sex, presence or absence of type 2 diabetes, serum uric acid level (<8.0 or  $\geq$ 8.0 mg/dL), and maximum CCA-IMT (<1.3 or >1.3 mm) (19). Treatment of patients in the febuxostat group was initially started with febuxostat at a dose of 10 mg daily. The dose could be increased to 20 mg daily at 1 month and 40 mg daily at 2 months. Febuxostat 40 mg daily was the targeted maintenance dose. At 3 months or later, febuxostat could be further increased up to 60 mg daily. When serum uric acid levels decreased to  $\leq 2.0 \text{ mg/dL}$  during the study period, the maintenance dose of febuxostat was decreased by 20 mg. Participants were followed up annually for 2 years.

The primary endpoint of the PRIZE study was the percentage change in mean CCA-IMT from baseline to 24 months after treatment. Carotid ultrasound examinations were performed at the beginning of treatment and after 12 and 24 months of treatment. Exploratory endpoints included percentage changes in FMD of the brachial artery from baseline to 12 and 24 months of treatment (19). In some participating institutions, measurement of FMD of the brachial artery was optional. Among a total of 514 patients, serial measurement of FMD was performed in 41 patients in the febuxostat group and 38 patients in the control group at the beginning of the study and after 12 and/or 24 months of treatment. The data for these 79 patients from 10 institutions were analyzed in the present study. This sub-study is a pre-specified analysis (19). The study protocol was approved by the local institutional review boards and independent ethics committees at all sites. The study protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki. Written informed consent for participation in the study was obtained from all subjects.

#### **Study Protocol**

All assessments were performed in the morning, after overnight fasting, in a quiet, dark, and air-conditioned room (constant temperature of 22 to 25°C). Subjects were kept in the supine position throughout the study. A 23-gauge polyethylene catheter was inserted into the left deep antecubital vein to obtain blood samples. The vascular response to reactive hyperemia in the brachial artery was used for the assessment of endotheliumdependent FMD. FMD measurements were performed by skilled and trained physicians or sonographers without detailed knowledge of the baseline clinical characteristics of the subjects.

# Measurement of Flow-Mediated Vasodilation

The same protocol for measurement of FMD in the brachial artery was used at all study sites. FMD was measured with the same ultrasound instrument specialized for FMD measurement in all institutions. A high-resolution linear artery transducer was coupled to computer-assisted analysis software (UNEXEF18G, UNEX Co, Nagoya, Japan) that used an automated edge detection system for measurement of brachial artery diameter. Detailed information on measurement of FMD of the brachial artery is provided in the online-only Data Supplement. In brief, FMD was measured by using a protocol in which an occlusion cuff placed around the forearm was inflated to 50 mm Hg above systolic blood pressure for 5 min to induce reactive hyperemia. Percentage of FMD [(Peak diameter - Baseline diameter)/Baseline diameter] was used for analysis (21). Intra-observer variability (coefficient of variation) was 10.1–11.2% (22).

#### **Statistical Analysis**

All reported probability values were 2-sided, and a probability value of <0.05 was considered statistically significant. Continuous variables are summarized as mean and standard deviation for normally distributed continuous variables or median (interquartile range [IQR]) for skewed ones. The Shapiro-Wilk test was used to evaluate normality. For between-group comparisons of continuous values, Student's *t*-test and the Wilcoxon test were used according to their respective distributions. Categorical variables are presented as frequencies and percentages and were compared by means of the  $\chi^2$  test. We used a mixed-effects model to estimate changes in serum uric acid and percentage changes in FMD over time by treatment (febuxostat group vs. control group). To estimate group differences in serum uric acid and percentage changes in FMD, models included treatment, follow-up time, and a

treatment  $\times$  follow-up time interaction term. The model for percentage changes in FMD included covariates of age, sex, serum uric acid levels at each time point, and FMD at baseline. The data were processed using R 4.0.1. (R Foundation for Statistical Computing, Vienna, Austria).

## RESULTS

#### **Baseline Clinical Characteristics**

The baseline clinical characteristics of the subjects are summarized in **Table 1**. Of the 79 patients (mean age, 67.3 years; SD, 10.3 years), 63 (79.7%) were men, and 16 (20.3%) were women. Seventy-six (96.2%) had hypertension, 40 (50.6%) had dyslipidemia, 20 (25.3%) had diabetes mellitus, 11 (13.9%) were current smokers, 1 (1.3%) had previous gouty arthritis, 7 (8.9%) had previous myocardial infarction, 4 (5.1%) had stroke, and 10 (12.7%) had heart failure. There was no significant difference between the febuxostat group and the control group in any of the variables at baseline. In the febuxostat group, 11 (26.8%) patients received 10 mg, 15 (36.6%) received 20 mg, 3 (7.3%) received 30 mg, 7 (17.1%) received 40 mg, and 1 (2.4%) received 60 mg daily as the final adjusted dose of febuxostat.

#### Serum Uric Acid Level Control

Baseline serum uric acid levels were 7.67 mg/dL (95% confidence interval [CI], 7.38 to 7.96 mg/dL) in the febuxostat group and 7.51 mg/dL (95% CI, 7.21 to 7.82 mg/dL) in the control group. Significant differences in the serum uric acid levels were seen between the two groups at 6, 12, and 24 months (Figure 1). The least squares means of serum uric acid were lower in the febuxostat group than in the control group at 6 months (5.29 mg/dL [95% CI, 5.00 to 5.59] vs. 7.38 mg/dL [95% CI, 7.07 to 7.69]; mean between-group difference [febuxostat group - control group], -2.09 mg/dL [95% CI, -2.52 to -1.66]; P < 0.001), 12 months (5.24 mg/dL [95% CI, 4.94 to 5.54] vs. 7.51 mg/dL [95% CI, 7.20 to 7.83]; mean betweengroup difference, -2.28 mg/dL [95% CI, -2.71 to -1.84]; P < 0.001), and 24 months (4.76 mg/dL [95% CI, 4.46 to 5.07] vs. 7.38 mg/dL [95% CI, 7.06 to 7.70]; mean between-group difference, -2.61 mg/dL [95% CI, -3.06 to -2.17]; P < 0.001).

#### **Endothelial Function**

Baseline FMD values were  $5.34\% \pm 2.61\%$  in the febuxostat group and  $4.59\% \pm 2.73\%$  in the control group. Estimated percentage changes in FMD from baseline at 12 and 24 months in the febuxostat group and control group are shown in **Figure 2**. There were no significant differences between the febuxostat group and control group in the least squares means of estimated percentage changes in FMD at 12 months (-0.38% [95% CI, -1.07 to 0.31] vs. 0.18\% [95% CI, -0.64 to 1.00]; mean between-group difference, -0.56% [95% CI, -1.67 to 0.55]; P = 0.319) and 24 months (-0.46% [95% CI, -1.28 to 0.37] vs. 0.14\% [95% CI, -0.70 to 0.98]; mean between-group difference, -0.60% [95% CI, -1.89 to 0.69]; P = 0.357).

Diabetic complications accounted for 20 (25.3%) of the 79 cases: 9 in the febuxostat group and 11 in the control group. We

Variable	All (n = 79)	Control group (n = 38)	Febuxostat group (n = 41)	P-value
Male, n (%)	63 (79.7)	30 (78.9)	33 (80.5)	1.000
Body mass index, kg/m <sup>2</sup> (median [IQR])	25.1 (22.6-27.1)	25.5 (23.3-27.1)	24.8 (22.3–26.4)	0.298
Systolic blood pressure, mm Hg (mean $\pm$ SA)	$130.4 \pm 14.7$	$130.2 \pm 15.1$	$130.6 \pm 14.5$	0.886
Diastolic blood pressure, mm Hg (mean $\pm$ SA)	$75.7 \pm 10.1$	$76.7 \pm 10.9$	$74.7 \pm 9.4$	0.383
Current smoker, n (%)	11 (13.9)	2 (5.3)	9 (22.0)	0.069
Comorbidities, n (%)				
Hypertension	76 (96.2)	36 (94.7)	40 (97.6)	0.947
Dyslipidemia	40 (50.6)	20 (52.6)	20 (48.8)	0.907
Diabetes mellitus	20 (25.3)	11 (28.9)	9 (22.0)	0.649
Previous gouty arthritis	1 (1.3)	O (O)	1 (2.4)	1.000
Previous myocardial infarction	7 (8.9)	3 (7.9)	4 (9.8)	1.000
Prior PCI	6 (7.6)	3 (7.9)	3 (7.3)	1.000
CABG	O (O)	O (O)	O (O)	NA
Stroke	4 (5.1)	2 (5.3)	2 (4.9)	1.000
Heart failure	10 (12.7)	4 (10.5)	6 (14.6)	0.834
Medication, n (%)				
Antihypertensive drugs	78 (98.7)	37 (97.4)	41 (100)	0.970
ARBs	52 (65.8)	25 (65.8)	27 (65.9)	1.000
ACE inhibitors	10 (12.7)	5 (13.2)	5 (12.2)	1.000
Calcium channel blockers	57 (72.2)	27 (71.1)	30 (73.2)	1.000
β-blockers	28 (35.4)	17 (44.7)	11 (26.8)	0.100
Diuretics	23 (29.1)	12 (31.6)	11 (26.8)	0.829
Lipid-lowering drugs	34 (43.0)	16 (42.1)	18 (43.9)	1.000
Statins	33 (41.8)	15 (39.5)	18 (43.9)	0.865
Ezetimibe	4 (5.1)	1 (2.6)	3 (7.3)	0.663
Antiplatelet drugs	27 (34.2)	13 (34.2)	14 (34.1)	1.000
Aspirin	23 (29.1)	10 (26.3)	13 (31.7)	0.780

SD indicates standard deviation; IQR, interquartile range; PCI, percutaneous coronary intervention; CABG, coronary artery bypass grafting; ARB, angiotensin receptor blocker; ACE, angiotensin-converting enzyme; NA, not applicable.

examined the interaction between the presence and absence of diabetic complications and found no interaction in the present model (p for interaction = 0.886) (**Figure 3**).





## Relationship Between Serum Uric Acid Levels and Flow-Mediated Vasodilation

There was no significant difference in the relationship between estimated percentage change in FMD and serum uric acid levels at 24 months between the febuxostat group and control group (P for interaction = 0.550, P for treat = 0.687) (**Figure 4**). In addition, there was no significant difference in the relationship between estimated percentage change in FMD and change in serum uric acid levels at 24 months between the two groups (P for interaction = 0.066, P for treat = 0.126) (**Figure 5**).

## DISCUSSION

The results of the present study demonstrated that 24 months of febuxostat treatment did not alter endothelial function assessed by FMD of the brachial artery in patients with asymptomatic hyperuricemia. To our knowledge, this is the first study in which the long-term effect of febuxostat treatment on endothelial function was investigated in patients with hyperuricemia.

NO is directly inactivated by  $O_2^{-}$  that is concomitantly generated in the process of purine metabolism catalyzed by XO. Direct reaction of NO with  $O_2^{-}$  results in the



formation of peroxynitrite, a highly potent oxidant (6, 23). Tetrahydrobiopterin, an essential cofactor required for catalytic activity of endothelial NO synthase (eNOS), is oxidized to the biologically inactive form by peroxynitrite, leading to eNOS uncoupling with reduced NO formation and increased  $O_2^{--}$  production (24). Therefore, NO bioavailability is decreased by  $O_2^{--}$  generated in the process of purine metabolism catalyzed by XO through increased NO inactivation and/or decreased NO production, resulting in endothelial dysfunction. Experimental studies have indicated the possibility that uric acid *per se* causes endothelial dysfunction by being absorbed into endothelial cells through uric acid transporters and increasing inflammation or oxidative stress in endothelial cells (8, 9). Considering those putative mechanisms underlying endothelial dysfunction

in patients with hyperuricemia, XO inhibitors are expected to ameliorate endothelial function through decreasing the generation of ROS and lowering serum uric acid levels in patients with hyperuricemia. Indeed, treatment with allopurinol, an XO inhibitor, has been shown clinically to improve endothelial function assessed by FMD (25, 26). Febuxostat is a non-purine selective XO inhibitor that is officially approved for treatment of patients with asymptomatic hyperuricemia in Japan. Febuxostat has been shown to have a stronger inhibitory effect than that of allopurinol on XO (27). In addition, febuxostat is expected to have antioxidative and antiatherosclerotic effects that are superior to those of allopurinol (28). Therefore, febuxostat potentially has a more beneficial effect than allopurinol on endothelial function in patients with hyperuricemia.

The short-term effect of febuxostat on endothelial function in humans has been investigated in a few studies. Tsuruta et al. reported that 4 weeks of febuxostat treatment improved endothelial function assessed by FMD in patients with hyperuricemia on hemodialysis (15), whereas Nakata et al. reported that endothelial function assessed by peripheral artery tonometry deteriorated after 3 months of febuxostat treatment in patients with hyperuricemia (16). Hays et al. reported that 6 weeks of febuxostat treatment did not improve coronary endothelial function assessed by magnetic resonance imaging in patients with stable coronary artery disease (17). Taken together, it remains controversial whether short-term febuxostat treatment ameliorates endothelial function in humans. Moreover, the longterm effect of febuxostat treatment on endothelial function remains unclear. In the present study, we showed that FMD was not improved at 12 and 24 months after febuxostat treatment in patients with asymptomatic hyperuricemia. Our findings support the main results of the PRIZE study. The PRIZE study showed that 24 months of febuxostat treatment did not delay the progression of carotid IMT in patients with asymptomatic hyperuricemia (18). These findings suggest that long-term febuxostat treatment has little antiatherosclerotic effect in patients with hyperuricemia. Although the precise





FIGURE 4 | Relationship between estimated percentage change in flow-mediated vasodilation (FMD) and serum uric acid levels at 24 months in the febuxostat group and the control group adjusted for baseline FMD.



reasons for the ineffectiveness of febuxostat treatment on endothelial function are unclear, one possible explanation is that the final doses of febuxostat were lower than expected. In the present study, 40 mg daily was a targeted maintenance dose of febuxostat. However, only 8 (19.5%) of 41 patients in the febuxostat group received febuxostat at  $\geq$ 40 mg daily after 24 months. Therefore, we cannot exclude the possibility that the doses of febuxostat were inadequate to exert beneficial effects on endothelial function independent of the urate-lowering effect. Further studies are needed to determine whether adequate doses of febuxostat ameliorate endothelial function in patients with asymptomatic hyperuricemia.

A major limitation of the present study is the small sample size. Since this study was a sub-analysis, and FMD was a voluntary measurement parameter in the PRIZE study, the number of study subjects was relatively small. If the present results were obtained by a simple group comparison at 24 months, the power would be  $20 \sim 25\%$  at best, and although the power is expected to be a little higher due to the mixed effects model used in this study, the number of cases is too small to be considered robust. Further studies with larger numbers of participants are needed to confirm the long-term effect of febuxostat on endothelial function in patients with asymptomatic hyperuricemia. Since most of the study's participants were men, the results of the present study may not be generalizable to female subjects with asymptomatic hyperuricemia (29). Moreover, all of the participants were Japanese. Therefore, the results may not be generalizable to other populations. A large proportion of the patients in the present study had comorbidities such as hypertension, dyslipidemia and diabetes mellitus as well as a smoking habit and a history of cardiovascular diseases, all of which are associated with endothelial dysfunction. Although there was no statistical difference in the prevalence of those comorbidities between the febuxostat group and control group,

we cannot deny the possibility that those comorbidities affected the results of the present study.

#### CONCLUSION

In patients with asymptomatic hyperuricemia, 24 months of febuxostat treatment did not alter endothelial function. The results of the present study do not support the use of febuxostat for ameliorating endothelial function in this population.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## **ETHICS STATEMENT**

The study protocol was approved by the local institutional review boards and independent ethics committees at all sites.

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YH and TM drafted the article and conception of this study. HY performed the statistical analysis. KE, HT, KK, TK, NO,

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NT, MO, and HW measured the FMD. AT and KN revised the article critically for important intellectual content. All authors contributed to the article and approved the submitted version.

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#### SUPPLEMENTARY MATERIAL

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