

## Research Article

# Pulmonary Function and Arterial Stiffness in Chronic Heart Failure

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Arterial stiffness contributes to heart failure and is decreased by angiotensin receptor blockers (ARBs). This cross-sectional study aimed to assess associations of lung function and ARB with arterial stiffness in patients with chronic heart failure. 354 outpatients (168 males; 186 females;  $68.2 \pm 7.2$  years old) with chronic heart failure were evaluated. Lung function parameters, including forced vital capacity (FVC), forced expiratory volume in 1 s ( $FEV_1$ ), and  $FEV_1$  to FVC ratio ( $FEV_1/FVC$ ), were assessed. The cardio-ankle vascular index (CAVI) was used to estimate arterial stiffness. Unadjusted correlation analyses revealed a positive association of CAVI with ARB but not ACEI, and a negative correlation with  $FEV_1$  ( $r = -0.2987$ ,  $p < 0.0001$ ). Multiple stepwise regression analyses showed that ARB and  $FEV_1$  ( $p < 0.0001$ ) were independent predicting factors for CAVI. These findings suggest that reduced pulmonary function is associated with increased CAVI. Pulmonary function protection could be used to improve the prognosis in heart failure, but additional studies are necessary.

## 1. Introduction

Increasing evidence demonstrates the positive associations of arterial stiffness with multiple cardiovascular diseases, including heart failure [1–3]. Meanwhile, arteriosclerosis progression increases arterial stiffness [4]. This in turn puts important pressure on the weakened heart, increasing the forward pressure wave signal, which precipitates ventricular ejection termination [1, 5]. In addition, arterial stiffness affects diastolic function as well as ventricular relaxation [6]. Based on these findings, arterial stiffness currently constitutes a hot topic in the field of heart failure prevention research.

Impaired pulmonary function is well known in individuals suffering from chronic heart failure. For instance, a study assessing 20 stable ambulatory individuals presenting congestive heart failure caused by cardiomyopathy indicated that tidal expiratory flow limitation is common [7]. In addition, patients with stable mitral valve disease display reduced forced expiratory volume in 1 s ( $FEV_1$ ) and forced vital capacity (FVC), and increased residual volume (RV), which were associated with valvular disease severity [8]. The cardiothoracic ratio was shown to be inversely correlated

with total lung capacity as well as with vital capacity [9]. Overall, patients with chronic heart failure present restrictive ventilatory defect and altered diffusing capacity. Previous reports suggested that altered pulmonary function is related to arterial stiffness in both healthy subjects and other population groups, including children [10] and patients with hypertension [11], diabetes, kidney ailments, and lung diseases [12]. However, the relationship between lung function and arterial stiffness in individuals suffering from chronic heart failure is currently unknown.

Multiple studies reported the protective effects of angiotensin receptor blockers (ARBs) against arterial stiffness [13]. Indeed, ARB treatment, as low-dose monotherapy or combined with a calcium channel blocker (CCB), has beneficial effects on arterial stiffness in patients with hypertension who do not achieve blood pressure control with routine monotherapy. However, the relation between ARB-reduced arterial stiffness and heart failure remains unclear.

Arterial stiffness is readily assessed by novel devices in clinic. Previous studies used pulse wave velocity as an index of arterial stiffness, but this index is influenced by blood pressure at the time of the measurement and may be

influenced if blood pressure varies during the measurement [14]. A new index, namely, the cardio-ankle vascular index (CAVI), was developed for assessing arterial wall stiffness parameter [15]. As CAVI is independent of blood pressure, it is increasingly employed in evaluating arterial stiffness. The importance of arterial stiffness measured by CAVI in diabetes [16], atherosclerosis [17], hypertension [18], and kidney diseases [19] was revealed in several clinical studies, indicating that CAVI is high in atherosclerotic disease. Elevated PWV [1, 2, 20–22] and CAVI [23–25] were observed in people suffering from heart failure. However, the factors contributing to elevated CAVI in heart failure remain unclear.

Therefore, the current study aimed to assess potential associations among pulmonary function, ARB, and arterial stiffness as evaluated using CAVI instead of PWV in individuals suffering from chronic heart failure. We found that decreased pulmonary function is associated with elevated CAVI, while ARB was correlated to declined CAVI. Overall, pulmonary function protection and ARB use may improve and ameliorate heart failure prognosis.

## 2. Methods

**2.1. Ethical Approval.** This study was approved by the ethics committee of Zhejiang Hospital, with exemption from informed consent requirement. It was according to the provisions of the Declaration of Helsinki (as revised in Brazil in 2013).

**2.2. Study Population.** From April 2008 to March 2010, 354 consecutive outpatients above 18 years, previously diagnosed with stable chronic heart failure, were recruited at the Heart Failure Clinic of Zhejiang Hospital. Chronic heart failure was diagnosed according to ESC and AHA/ACC recommendations [26]. Individuals with history of acute myocardial infarction or ischemic stroke in the past 3 months, dementia, severe chronic pulmonary disease, and end-stage kidney disease were excluded. In addition, patients with lung cancer and emphysema diagnosed by computerized tomography were also excluded.

**2.3. Clinical and Biological Indexes.** A standard questionnaire recording age, sex, smoking, drinking, comorbidities, medication, and medical history was used. The same experienced doctor assessed brachial blood pressure for all patients, three times consecutively by mercury sphygmomanometry, after at least 5 min of rest. Venous blood specimens were used for plasma glucose concentration measurements as well as serum level assessment of total cholesterol and triglycerides. Serum creatinine and plasma BNP and hs-CRP were assessed using routine techniques.

**2.4. Echocardiography.** M-mode and two-dimensional echocardiography were performed using an ultrasound system (Hewlett Packard, USA) equipped with a 2.5 MHz transducer. M-mode echocardiography was used to assess left ventricular (LV) end-diastolic dimension (LVEDD) and LV end-systolic dimension (LVESD). LV ejection fraction (LVEF) was

employed as an index of LV systolic function. LV diastolic function (LVDF) indices were next assessed by determining transmitral flow velocity using the routine Doppler echocardiographic technique; E velocity and A velocity were obtained as previously described [27], and E/A ratios were derived.

**2.5. CAVI Measurement.** CAVI was assessed on a VaSera VS-1000 vascular screening system (Fukuda Denshi, Japan), as described previously [11]. This index helps assess stiffness of the aorta, femoral artery, and tibial artery and is in principle independent from blood pressure. After automatic measurement, right and left CAVI were obtained and averaged.

**2.6. Lung Function Measurement.** Lung function assays were carried out using a computerized spirometer (Yaeger, MS-PET, Germany). The patient was in the sitting position, with nose clips. FVC and FEV<sub>1</sub> from most accurate assays [28] were recorded. FEV<sub>1</sub> to FVC ratios (FEV<sub>1</sub>/FVC) were calculated.

**2.7. Statistical Analysis.** The SAS software (SAS, USA) was used for all statistical analyses. Means and proportions were assessed by standard normal z-test and Fisher's exact test, respectively. Nonnormally distributed continuous data were submitted to logarithmic transformation for normalization. Variables significant in correlation analyses at  $p < 0.05$  were entered in multivariable linear analyses, performed to determine independent predictors of CAVI. A  $p$  value below 0.05 was deemed to reflect statistical significance.

## 3. Results

**3.1. Patient Features.** Among the 363 individuals with diastolic heart failure, nine were excluded, including three who declined pulmonary function tests and six without satisfactory carotid waveforms. Thus, 354 cases were included in the final analyses. Their clinical features are reported by gender in Table 1. The 168 male and 186 female patients had similar ages; no significant differences were observed in systolic and diastolic blood pressure, total cholesterol level, E/A ratio, hypertension, and atrial fibrillation diagnoses, as well as the percentages of patients taking ACEI/ARB, beta-blockers, diuretics, and aspirin (all  $p > 0.05$ ). Compared with women, men had higher BMI, hs-CRP, serum triglycerides, and creatinine and LVEDD, LVESD, FVC, FEV<sub>1</sub>, and coronary heart disease rates, with lower heart rate, BNP, fasting glucose, prevalence of diabetes mellitus, FEV<sub>1</sub>, and FEV<sub>1</sub>/FVC, as well as CAVI (all  $p < 0.05$ ). Of the 168 men, 33 (19.6%) were current smokers (>1 cigarette/day, >1 year) and 51 (30.4%) had consumed alcohol for >10 years (alcohol intake >5 g/day). Less women had smoking (12, 6.4%) and drinking (6, 3.2%) habits (both  $p < 0.05$ ).

**3.2. Association of Arterial Stiffness Parameters with Clinical Indexes.** The associations of CAVI parameters with other clinical indexes in all subjects are summarized in Tables 2 and 3. Univariate analyses demonstrated positive associations of CAVI with age ( $r = 0.346$ ), systolic blood pressure ( $r =$

TABLE 1: Clinical characteristics of the participants in chronic heart failure.

Clinical characteristic	All patients ( <i>n</i> = 354)	Male ( <i>n</i> = 168)	Female ( <i>n</i> = 186)	<i>p</i> value
Age (years)	68.2 ± 7.2	69.1 ± 6.9	67.4 ± 7.4	0.2887
Body mass index (kg/m <sup>2</sup> )	25.99 ± 3.20	26.03 ± 2.40	25.95 ± 3.80	<0.0001
Systolic BP (mmHg)	130.7 ± 7.0	130.1 ± 7.5	131.5 ± 6.4	0.0601
Diastolic BP (mmHg)	79.2 ± 7.3	80.2 ± 6.8	78.4 ± 7.8	0.1040
Heart rate (beats/min)	73.5 ± 9.7	72.8 ± 8.6	74.2 ± 10.5	0.0118
Current smoking, <i>n</i> (%)	39 (11.0%)	33 (19.6%)	6 (3.2%)	<0.0001
Alcohol intake, <i>n</i> (%)	63 (17.8%)	51 (30.4%)	12 (6.4%)	<0.0001
BNP (pg/mL)	138 (96 to 152)	131 (114 to 151)	149 (88 to 154)	<0.0001
hs-CRP (mmol/L)	2.08 ± 2.41	2.16 ± 2.21	1.99 ± 2.61	0.0296
<i>Biochemical parameters</i>				
Fasting glucose (mmol/L)	5.81 ± 1.49	5.62 ± 1.09	5.99 ± 1.62	<0.0001
Triglyceride (mmol/L)	1.89 ± 1.10	1.93 ± 1.28	1.84 ± 0.90	<0.0001
Total cholesterol (mmol/L)	5.21 ± 0.99	4.99 ± 0.92	5.41 ± 1.00	0.2530
HDL (mmol/L)	1.01 ± 0.09	0.96 ± 0.08	1.00 ± 0.10	0.3650
LDL (mmol/L)	3.28 ± 0.58	3.44 ± 0.56	3.24 ± 0.60	0.4750
VLDL (mmol/L)	0.26 ± 0.19	0.30 ± 0.22	0.24 ± 0.17	0.6010
Serum creatinine (μmol/L)	74.3 ± 18.3	87.4 ± 15.9	62.4 ± 10.5	<0.0001
<i>Related disorders</i>				
Hypertension, <i>n</i> (%)	255 (72.0)	118 (70.4)	137 (74.0)	0.4753
Diabetes mellitus, <i>n</i> (%)	81 (22.0)	27 (16.1)	54 (29.0)	0.0037
Atrial fibrillation, <i>n</i> (%)	30 (8.5)	12 (7.2)	18 (9.7)	0.4086
Coronary heart disease, <i>n</i> (%)	132 (37.3)	78 (46.4)	54 (29.0)	0.0359
<i>Treatment drugs</i>				
ACEI/ARB, <i>n</i> (%)	180 (50.8)	96 (57.2)	84 (45.2)	0.3749
Beta-blockers, <i>n</i> (%)	135 (38.1)	63 (37.5)	72 (38.7)	0.9095
Diuretics, <i>n</i> (%)	165 (46.6)	105 (62.5)	60 (32.5)	0.0506
Aspirin, <i>n</i> (%)	138 (39.0)	60 (35.6)	78 (42.0)	0.4607
Statin, <i>n</i> (%)	54 (15.3)	42 (25.0)	12 (6.4)	0.0010
<i>Echocardiography parameters</i>				
LAD (mm)	32.9 ± 4.0	33.6 ± 4.1	32.2 ± 3.9	0.4933
LVEDD (mm)	48.1 ± 4.9	49.7 ± 5.2	47.0 ± 4.0	0.0005
LVESD (mm)	30.7 ± 4.9	31.9 ± 5.3	29.6 ± 3.5	<0.0001
Ejection fraction, %	55.3 ± 7.5	55.3 ± 8.2	55.9 ± 6.9	0.0169
E/A ratio	0.74 ± 0.18	0.73 ± 0.17	0.75 ± 0.18	0.3784
<i>Pulmonary function</i>				
FVC (L)	2.76 ± 0.82	3.06 ± 0.81	2.28 ± 0.56	<0.0001
FEV1 (L)	2.16 ± 1.50	2.44 ± 1.88	1.76 ± 0.54	<0.0001
FEV1/FVC ratio	75.66 ± 11.48	75.24 ± 10.21	76.29 ± 13.10	0.0211
CAVI	8.57 ± 1.10	8.47 ± 1.10	8.66 ± 1.09	0.0005

Values are mean ± SD. *p* values reflect the differences between males and females.

ACEI: angiotensin converting enzyme inhibitor; ARB: angiotensin receptor blocker; LAD: left atrial diameter; LVEDD: left ventricular end-diastolic diameter; LVESD: left ventricular end-systolic diameter; E/A ratio: ratio of early transmitral flow velocity to atrial flow velocity; BNP: B-type natriuretic peptide; hs-CRP: high-sensitivity C-reactive protein; FVC: forced volume capacity; FEV1: forced expiratory volume in 1 s; CAVI: cardio-ankle vascular index.

0.119), hs-CRP ( $r = 0.216$ ), and BNP ( $r = 0.212$ ) (all  $p < 0.05$ ), and negative associations with body mass index (BMI,  $r = -0.204$ ), FVC ( $r = -0.271$ ), E/A ratio ( $r = -0.176$ ), and FEV1 ( $r = -0.2986$ ) (all  $p < 0.001$ ; Table 2).

Multiple stepwise regression was carried out for the identification of clinical indexes independently predicting CAVI. Interestingly, age ( $\beta = 0.039 \pm 0.009$ ), BMI ( $\beta = -0.057 \pm 0.0174$ ), ARB ( $\beta = -0.301 \pm 0.129$ ), FEV1 ( $\beta = -0.525 \pm 0.112$ ), E/A ratio ( $\beta = -0.808 \pm 0.337$ ), and BNP ( $\beta = -0.006 \pm 0.002$ )

(all  $p < 0.05$ ) were found to be independent determinants of CAVI (Table 3). After adjustment for medication and history of hypertension and coronary heart disease, similar results were obtained (data not shown).

#### 4. Discussion

In this report, we showed that pulmonary function and ARB were significantly associated with changes of arterial stiffness

TABLE 2: Correlation analyses for CAVI.

	<i>R</i>	<i>P</i>
Age	0.346	<0.001
Gender	0.086	0.104
Body mass index	-0.204	<0.001
Systolic BP	0.119	0.026
Diastolic BP	-0.085	0.114
Current smoking	0.065	0.220
hs-CRP	0.216	<0.001
Hypertension	-0.014	0.790
Diabetes mellitus	0.050	0.320
Coronary heart disease	0.008	0.878
ACEI	0.028	0.600
ARB	-0.100	0.056
FVC	-0.271	0.030
FEV1	-0.299	<0.001
FEV1/FVC ratio	-0.048	0.376
LVEF	-0.006	0.917
E/A ratio	-0.176	0.001
BNP	0.212	<0.001

ACEI: angiotensin converting enzyme inhibitor; ARB: angiotensin receptor blocker; LAD: left atrial diameter; LVEDD: left ventricular end-diastolic diameter; LVESD: left ventricular end-systolic diameter; E/A ratio: ratio of early transmitral flow velocity to atrial flow velocity; BNP: B-type natriuretic peptide; hs-CRP: high-sensitivity C-reactive protein; FVC: forced volume capacity; FEV1: forced expiratory volume in 1 s; CAVI: cardio-ankle vascular index.

TABLE 3: Multivariate linear analyses for CAVI.

	$\beta \pm SE$	<i>P</i>
Age	0.039 $\pm$ 0.009	<0.001
Body mass index	-0.057 $\pm$ 0.017	<0.001
ARB	-0.301 $\pm$ 0.129	0.020
FEV1	-0.525 $\pm$ 0.112	<0.001
E/A ratio	-0.808 $\pm$ 0.337	0.017
BNP	-0.006 $\pm$ 0.002	0.0046

ARB: angiotensin receptor blocker; E/A ratio: ratio of early transmitral flow velocity to atrial flow velocity; BNP: B-type natriuretic peptide; hFEV1: forced expiratory volume in 1 s.

monitored with CAVI in subjects suffering from chronic heart failure, after adjustment for potential confounders.

Pulse wave velocity is an index of arterial stiffness, but this index is influenced by blood pressure at the time of the measurement and may be influenced if blood pressure varies during the measurement [14]. On the other hand, CAVI is independent of blood pressure and its importance in relation to arterial stiffness has been shown in diabetes [16], atherosclerosis [17], hypertension [18], and kidney diseases [19]. Elevated PWV [1, 2, 20–22] and CAVI [23–25] were observed in people suffering from heart failure.

A number of factors are known to affect lung function, such as training, age, height, asthma, and sex, among others [29, 30]. All of these factors can affect the final result of FEV<sub>1</sub>. The relationship between lung function and arterial stiffness

has been widely studied over the past few years. A previous report found that FEV<sub>1</sub> was negatively correlated with arterial stiffness, which was still significant after adjusting for age, height, and cardiovascular risk factors [31]. In addition, recent findings revealed an inverse correlation of FEV<sub>1</sub> and carotid-femoral pulse wave velocity (CFPWV) in elderly patients [32]. Interestingly, it was found that individuals suffering from COPD showed significantly higher CFPWV values than control subjects [33]. Meanwhile, a trial assessing individuals above 20 years of age indicated a significant association of decreased FEV<sub>1</sub> with enhanced arterial stiffness measured by peripheral pulse pressure in the general population [34]. In a report assessing 45 hypertensive outpatients, another measure of arterial stiffness, CAVI, was independently associated with FEV<sub>1</sub>/FVC [11]. However, others found discrepant data. The Seattle Nikkei Health Study did not observe a correlation between abnormal lung function and aortic PWV [35]. Different clinical characteristics of participants may account for the discrepancies among studies. Our study firstly showed CAVI was significantly associated with FEV<sub>1</sub> in individuals suffering from chronic heart failure after adjusting for confounding factors, confirming an evident association of pulmonary function with arterial stiffness. Nevertheless, as stated above, many factors can affect FEV<sub>1</sub> and additional studies with a larger sample size are necessary to rule out the possible interference of these factors on the association between arterial stiffness and FEV<sub>1</sub>.

The mechanisms explaining the associations between pulmonary function and arterial stiffness in subjects suffering from chronic heart failure remain largely unknown. Excessive inflammatory responses contribute to arterial injury, which could cause vascular changes and stiffness [36]. In addition, oxidative stress and endothelium dysfunction pathogenetically contribute to the negative correlation between lung function and arterial stiffness in chronic heart failure [37, 38]. One may exert an influence on the other or they could be all affected by external factors, such as patient habits and metabolic disruption. This intrinsic association of pulmonary function with arterial stiffness in individuals suffering from heart failure merits further study.

Multiple reports confirmed the protective impact of ARB on arterial stiffness in essential hypertension and diabetes [39, 40]. Guidelines have been provided for heart failure treatment with ACE inhibitors or ARB [41]. However, conflicting data were obtained in randomized controlled trials comparing ARB and ACEI. While the ELITE I study indicated better outcomes of ARB treatment, the ELITE II study indicated similar efficacy for heart failure [42]. This study indicated a relationship between ARB and arterial stiffness, which suggested a potential advantage of ARB in chronic heart failure patients, especially those with arterial stiffness. ARB as an alternative of ACEI may need reconsideration, but additional studies are necessary to address specifically this issue.

A few limitations of this study should be mentioned. First and foremost, it had a cross-sectional design, which cannot determine the chronological order or cause-to-effect relationship among pulmonary function decline, ARB use, and arterial stiffness. Therefore, prospective studies are needed.



In addition, we did not distinguish individuals with preserved or reduced LVEF among subjects suffering from heart failure. Furthermore, all enrolled subjects could perform the pulmonary function test; thus, these findings might not reflect the situation of patients with severe heart failure. There remained the possibility that there might be some different backgrounds between patients with ARB and those with ACEI. Lastly, the sample size was relatively small, and the current data need to be confirmed in larger populations.

## 5. Conclusion

Pulmonary function and ARB could be associated with arterial stiffness monitored with CAVI in individuals suffering from chronic heart failure. Pulmonary function protection could be used to improve the prognosis in heart failure, but additional studies are necessary.

## Competing Interests

The authors declare that there are no competing interests regarding the publication of this paper.

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