# Advances in neonatal screening for primary immune deficiencies (Review)

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Abstract. The congenital disorders of immune competence are known as primary immunodeficiencies (PID) and are mainly characterized by a pathological susceptibility to infection. These infections are mostly of time repetitive and drug resistant in nature. The number of infected infants has reached over 200 and is on the increase. Additionally, clinical severity of the disease has been confirmed to be extensive. The increasing number of these severe PIDs is due to the lack of specific as well as efficient management avenues. New assays and concepts for newborn screening of severe primary immune deficiencies are being explored and the present review focused on these new upcoming strategies for improved screening of neonates.

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# 1. Introduction

The conciliated ability to fight infections in the environment due to exposure to chemotherapy, radiation, glucocorticoids and anti-rheumatic drugs, malnutrition, aging, malignancies or metabolic diseases is the major hallmark of acquired immune deficiency. However, the inability to fight infections right from birth is termed primary immunodeficiencies (PID)

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and these are genetically determined disorders of protective immune function. At present, over 240 distinct entities of PIDs are known and are present as phagocytic disorders, complement deficiencies, T-cell deficiencies and, predominantly, antibody disorders (1). Despite scientific advancements in the field, patients with primary antibody deficiencies in particular are prone to a significant diagnostic delay, thereby promoting an increased likelihood to develop and progress infection-associated organ damage (2,3). For instance, chronic lung disease, characterized by moderate to severe bronchiectasis observed in these patients, remains an unresolved threat to the overall life expectancy despite virtually normal levels of serum immunoglobulin G following replacement therapy (4,5). Clinical experience endorses disease awareness and should guide investigations into newborn screening, disease progression and future therapeutic strategies (6).

PID are not rare diseases and should be considered in all patients with severe or recurrent infections admitted to health care centres. To reduce significant morbidity associated with antibody deficiency diseases and to improve patient quality-of-life and the overall survival, it is crucial that strategies be employed that would allow earliest-possible diagnosis of these patients. Such strategies include means of newborn screening, and prompt initiation of immunoglobulin replacement therapy as well as further supportive care. The present review article focused on the latest updates in the field of neonatal screening for primary immunodeficiency disorders.

#### 2. Neonatal screening and its importance

Case procedures already anticipate the patient-centred approach that is employed at present to identify primary immunodeficiency diseases based on warning signs circularization and clinical pattern education to alleviate or prevent the impact of a delayed diagnosis (7). In addition, sophisticated diagnostic tools such as molecular assays suitable for high throughput screening of newborns have been identified in the PID field and are currently undergoing evaluation (7). Furthermore, a modern management of patients requiring substitution of immunoglobulin products, including those diagnosed with (severe) combined immunodeficiencies, primary B-cell immunodeficiencies and selected disorders such as the Wiskott-Aldrich syndrome, has advanced to provide a more sustainable therapeutic effect and to improve the patients' quality-of-life than merely replacing antibody protection (8,9). The summarized prevalence of PIDs ranges from 1/500 to 1/2.000 of the general population; however, PID are misdiagnosed in many cases because of their prolonged course of disease which often worsens the outcome. Severe forms of PID require immediate treatment ranges from 2 to 8/100.000 live births, making high demands on the effectiveness and availability of diagnostic tests to detect them as early as possible. The purpose of neonatal testing is the early recognition of treatable, mostly genetically determined diseases that manifest with a high rate of morbidity and mortality. The earliest possible diagnosis of severe PID improves their prognosis and treatment efficiency significantly (10). This stems primarily from the possibility to initiate early preventive measures to avoid infection, and to prevent iatrogenic damage, such as that caused by the administration of recommended vaccinations, including the rotavirus vaccine (live vaccine), which is safe for immunocompetent infants (11). The prevention of such complications significantly improves overall survival prior to and after performing curative hematopoietic stem cell transplantation (HSCT), gene therapy (GT) or supportive enzyme replacement therapy or immunoglobulin replacement therapy.

# **3.** DNA-based newborn screening assays for severe PID: Overview and updates

The requirements for screening markers for severe PID are usually more demanding. Severe combined immunodeficiencies (SCID) and XLA are pathogenetically and immunophenotypically characterized by the absence of T and/or B lymphocytes. In the evaluation of different laboratory methods for the detection of these PID, the measurement of episomal excision products of lymphocyte receptors (TRECs and KRECs) has prevailed, as this method, both in terms of test quality and practical feasibility, is consistent with prospective screenings (12). When applying the evaluation criteria of population-based screening tests to the measurement of TRECs and KRECs for the detection of the pronounced neonatal deficiency of autologous T and/or B lymphocytes, the implementation of newborn screening has been viewed positively (13).

The principle of measuring episomal excision products of lymphocyte receptors is based on naturally occurring recombination and affinity maturation of the T-cell and B-cell receptors (14). Sections are excised from the germline DNA of immunoglobulin genes that are not involved in the recombination process of the antigen receptors. While T lymphocytes in the thymus initially excise portions of the  $\delta$ -locus in order to then recombine the  $\alpha$ -locus, so-called TRECs are created, which spontaneously form circular DNA fragments that are not replicated further during cell division. Following a similar principle, a deletion occurs in B lymphocytes in the bone marrow during the Vk-Jk rearrangement, in the process of which KRECs are formed. A recent study utilized a uniform PCR strategy to determine the presence and number of copies of TRECs and KRECs in dried blood samples from neonates (15). This has resulted in development of a TREC-KREC screening test that offers good resolution for low copy numbers, allowing for the implementation of a diagnostic threshold defined by the user in the screening laboratory, thus making it possible to differentiate 'typical' SCID and XLA patients from those with borderline screening results observed in profound combined immunodeficiency diseases.

### 4. Immunophenotype screening in premature newborns

Immunophenotyping often fails to provide a definitive assessment of the functional relevance of an anticipated severe PID, especially when the flow cytometric analysis or clinical examination suggests the existence of an 'atypical' phenotype. Therefore, for the completion of flow cytometric tests, i) the lymphocytic proliferation and stimulation ability, as well as ii) the functionality of the innate diversity of the immune repertoire should also be examined in vitro (16). Infants born before 37 weeks of gestation may suffer from a variety of cardiovascular, neurological, metabolic and gastrointestinal complications, in addition to an increased susceptibility to respiratory and urinary tract infections (17). Although many risk factors have been linked to preterm birth, the multi-factorial causes remain unresolved in the majority of affected infants, limiting the availability of effective interventions. In developed countries, the birth rate of premature newborns is 5-12% with an increasing trend, posing concern for newborn screening programs in particular and for public healthcare systems in general. Thus, if dried blood spot samples are taken prior to the 32nd week of gestation, a second analysis conducted subsequently for newborn screening tests of metabolic disorders to distinguish abnormal results of genetic origin from physiological immaturity is useful in the timely detection of PIDs (17). Thus, this approach is clearly beneficial in the timely diagnosis of PIDs which in turn, results in improved treatment planning. However, confirmatory genetic testing is also essential after immunophenotyping to confirm the presence of PIDs and is therefore discussed below.

### 5. Genetic confirmation of PIDs

Over 18 monogenetic defects have been described, resulting in a phenotype of SCID (18). Similarly, primary agammaglobulinemia with some missing B-lymphocytes can be traced back to more than six genetic defects (1). In terms of pathogenesis, the underlying defects can be associated with maturation disorders, especially of the lymphatic lineage in the bone marrow, as well as in primary and secondary lymphatic tissues. Flow cytometric evaluation of the peripheral blood makes it possible for SCID patients to be classified according to the T- B+/- NK+/system, which indicates the underlying genetic defect (1). The molecular and cell functional heterogeneity of SCID is also evident in the clinical treatment experience, which led to the application of 'gene-specific' protocols in conditioning and stem cell transplantation or GT for SCID patients (19,20).

Decision making with regard to stem cell transplantation has been based on the clinical course, as well as cellular and immunofunctional tests. However, the presymptomatic early identification of newborns with severe PID poses a new challenge for the algorithms of treatment decision. Immunological function testing of infants, in particular, is challenged by the lack of sufficient reference ranges, because age-weighted control samples are not readily available. Therefore, molecular genetic tests are of particular importance for newborns with neonatal deficiency of autologous T lymphocytes and a suspected SCID or PCID phenotype. At the same time, the initiation of a curative therapy should not be delayed, by either the time required for molecular testing, or by the absence of a final genetic diagnosis. In newborns with severe neonatal deficiency of autologous B lymphocytes and suspicion of agammaglobulinemia transferred maternal immunity, which usually lasts up to 6 months following birth, it can help to sustain the time until a complete genetic diagnosis prior to initiation of treatment is made (21).

## 6. Latest advances

At present, molecular genetic testing in a clinical context is predominantly performed through the selection of annotated candidate genes for the suspected congenital immunodeficiency. However, the limitation to candidate genes is an issue with regard to the molecular heterogeneity and known overlapping effects in the clinical phenotype, especially in the case of a sequential approach ('gene-by-gene'). Recent molecular genetic methods can partially or completely overcome these limitations; for example, DNA microarrays, allele-specific PCR, allele-specific primer extension, PCR oligonucleotide ligation and hybridization methods including ligation PCR (22,23). However, sequence-analytical challenges in view of ever increasing amounts of data are currently the primary obstacle to a timely reporting of results. On the other hand, the latest generation of sequencers suggests a cost- and time-efficient analysis of entire transcriptomes, exomes or even genomes (24). The advantage of transcriptome or genome-wide tests is the ability to simultaneously examine comprehensively known candidate genes sequentially and to identify new disease-associated genes for PID. In view of the evidence-based justification for lifelong supportive or invasive therapies, such as HSCT, this is likely to become increasingly important (25).

In conclusion, investigations into making diagnosis of PIDs specific and effective as well as novel diagnostic assays to be used for newborn screening of severe PID are ongoing.

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