



Systemic immune-inflammation index and prognosis of advanced non-small cell lung cancer

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Management of patients with non-small cell lung cancer (NSCLC) is based on histologic diagnosis and staging. Although stage of disease at diagnosis remains the mainstay of treatment, its exact prognostic significance is matter of debate (1). Patients' outcome is not homogeneous within the same stage and after the same treatments; this led evaluating other tumor-related factors (vascular or lymphatic emboli, tumor grade) possibly influencing survival (2,3). Similarly, mutations of driver oncogenes (which represent the basis for currently employed targeted therapies) have been assessed as a prognostic indicators, with different mutations associated to more favorable or, conversely, unfavorable outcome (4). Although less extensively studied, deregulation of cancer cell metabolism, favoring replicative immortality, invasion and metastasis has also been suggested as a potential determinant of cancer progression (5).

Thus, extensive literature exists on prognostic impact of tumor-related factors, whereas host-related factors have been much less deeply evaluated. Systemic inflammation has been shown to be present in approximately half of operable (stage I–III) patients with NSCLC and measure of plasma C reactive protein (CRP) levels is considered an excellent marker of this condition (6); although this finding agrees with the well-established relationship between CRP levels and burden of primary cancer [for example, the

number of patients with increased CRP levels is relatively low in stage IA (30%) but increases dramatically in stage IB (60–70%) NSCLC], the independent prognostic impact of CRP levels on one side and of pT parameter on the other, is proven: this would suggests that tumor bulk and systemic inflammation are correlated but independent predictors (6).

A recent cohort study performed by Berardi *et al.*, entitled “Pre-treatment systemic immune-inflammation represents a prognostic factor in patients with advanced non-small cell lung cancer”, published in the *Annals of Translational Medicine* (7), enrolled 311 patients with advanced NSCLC undergoing first-line chemo- or targeted therapy and evaluated the prognostic value of Systemic Immune-Inflammation Index (SII) for both overall survival and progression-free survival. SII is a composite index taking into account platelet, neutrophil and lymphocyte counts [SII = (platelet × neutrophils)/lymphocyte count]. The main findings of Berardi *et al.* included: (I) both overall survival and progression-free survival were significantly lower in the higher SII group than in the lower SII group; (II) higher dichotomized SII was one of the leading prognostic factors of survival (overall and progression-free) of advanced NSCLC. These results are extremely interesting and confirm that a better understanding of putative inflammatory indicators could play a vital role in assisting physicians to identify which patients are considered

at a higher probability of unfavorable prognosis, justifying an appropriate management plan in advance. Thus, Berardi *et al.* (7) brought another significant stone for building of knowledge that host-specific factors are as important as tumor factors in determining the outcome of NSCLC. However, how systemic inflammation worsens the prognosis of lung cancer patients remains matter of speculation.

It has been suggested that, in resectable lung cancer, systemic inflammation could help cancer cells to maintain a microenvironment favoring survival of remnant cells after a resection considered complete (1,6). Pro-inflammatory cytokines and associated growth factors participate in carcinogenesis and tumor development tanks to their actions on cancer cell growth, survival, proliferation and migration (1,6). Of note, high CRP levels are associated with the existence of neoplastic vascular emboli, and microscopic invasion of hematic and lymphatic vessels is a key step of tumor spread (6). The prognostic significance of high CRP could be explained by the relationship existing between systemic inflammation and nutritional status (8). Chronic inflammation induces catabolic processes and reduced caloric intake due to the malignancy, symptoms and, possibly, treatments, may be at the origin of fat and muscle loss (9). We found that lower BMI and sarcopenia were independent negative prognostic factors in lung cancer requiring pneumonectomy, together with higher CRP levels (10). The negative impact of poor nutritional status and sarcopenia concerned both short-term (post-operative) and long-term period (10). We confirmed these findings in a larger patient cohort of NSCLC undergoing all kind of resection and showed that not-only pre-surgery BMI but also pre-disease BMI affected long-term survival (11). In patients undergoing pneumonectomy, BMI and total psoas area (a measure of sarcopenia) were strongly and directly correlated; BMI was inversely related to CRP levels, whereas sarcopenia was associated with high CRP levels, underlining the important interplay between systemic inflammation, nutritional status and muscle waste (10).

Systemic inflammation could also exert a deleterious effect on lung cancer through interaction with tumoral immune microenvironment (8), which is a major prognostic determinant in resected primary lung cancer: high levels of mature dendritic cells (mDC) and of CD8+ lymphocytes in the operative specimens are robust independent positive prognostic factors, reflecting the function of a well-organized anti-tumor immune microenvironment (8,12). The presence of B lymphocytes contributes to function of these tertiary lymphoid structures and is associated

to a better outcome as well (13). Of note, induction chemotherapy in stage IIIA disease does not alter the tumor immune contexture, nor its prognostic significance, supporting the concept of potential beneficial synergistic effect of immunotherapy and chemotherapy (14).

It has been observed that the number of mDC and CD8+ lymphocytes in operative specimens is directly correlated with albumin and pre-albumin levels (indicators of nutritional status) and inversely correlated with pre-operative CRP levels (indicator of systemic inflammation) (8). Furthermore, correlations exist also between the number of CD8+ T cells and mDC in the resection specimen and the existence of several associated conditions and clinical features (such as previous stroke, chronic bronchitis, usual body weight), suggesting that poor nutritional status and/or chronic systemic inflammation could impact the intra-tumor immune contexture and the patient prognosis, possibly through a complex interplay (8). In the specific sub-group of resected lung cancer with COPD, a condition also associated with systemic inflammation, we observed that Global Initiative for Chronic Lung Disease (GOLD) stage of COPD was directly correlated with the coexpression of PD-1/TIM-3 (T-cell immunoglobulin and mucin domain-containing molecule-3) by CD8 T cells, suggesting the exhaustion of these cells, and, in agreement, a loss of favorable prognosis of CD8 T cell infiltration in patients with COPD (15).

Overall, large scale analysis of immune cells shows clearly that even beyond complexity of immune environment, CD8+ T cells inside the immune microenvironment are generally protective, meanwhile CD4+ regulatory T cells (Tregs) and neutrophils would exert a pro-tumoral action (16). Thus, the tumour stroma, which hosts the tumor immune environment, should be considered as a complex medium, composed of both “good” and “bad” immune cells, whose mutual interaction have not been clearly analysed yet although it may explain immunotherapy failure in a large percentage of patients in most cancers, including NSCLC. Although neutrophilia has been considered a negative prognostic factor of NSCLC for several years, other parameters, taking into account neutrophil count have been more extensively assessed in the last few years, including the neutrophil/lymphocyte ratio, and, even more recently, the SII, which takes into account also platelets.

Platelets are involved in occurrence of metastasis: circulating tumor cells adhere to the activated platelets forming tumor microthrombi. Thanks to adhesion

molecules, these microthrombi can adhere to endothelial cells in microvessels: this is the first step in the genesis of hematogenous metastases. The molecules involved in adhesion to platelets and the vascular endothelium are the surface glycosaminoglycans of tumor cells which are modified and contain a tetrasaccharide of the sialyl Lewis X or sialyl Lewis A type, whose presence is associated with a poor tumor prognosis (17,18). Platelet and endothelial receptor for these modified glycosaminoglycans is P-selectin, whose depletion is associated with a reduction in metastases in animal models (17,18). It has been suggested that higher concentration of platelets could more easily lead to the formation of venous thrombi. It has also been pointed out that platelets would act as “cloaks” for circulating tumor cells by shielding them from the attack of natural killer (NK) cells. Finally, platelets secrete a variety of growth factors and angiogenesis-regulating proteins promoting tumor growth and metastasis. It should be underlined that interactions between platelets and cancer cells are to be considered as specular, that means that cancer cells might first stimulate activity of platelet (together with and increased production), and then platelets might enhance tumor growth, invasion, and metastasis (19,20).

Neutrophils are main actors of acute inflammation and infection, and play a major role in innate immunity; their role in cancer immunity is less known as compared to CD8+ or mDC. Analyses of tumor specimen showed that neutrophils represent a large proportion of immune cells, and a negative correlation between counts of neutrophils and T cells (both CD4 and CD8) has been reported, which is in agreement with their putative immunosuppressive functions in cancers (21).

Among neutrophils, different subtypes exist and, differently from healthy volunteers, who have normal density neutrophils (NDNs), in cancer patients a subpopulation of neutrophils with strong immunosuppressive functions can be found in both blood and tissue, namely the low density neutrophils (LDNs), which have been suggested to be PMN-MDSCs (polymorphonuclear myeloid-derived suppressor cells) (22). These PMN-MDSCs may express PD-L1 and could exert their immunosuppressive function in cancer through PD-L1 axis. Inhibition of STAT3 may decrease the population of MDSCs and increase the number of local tumor-infiltrating lymphocytes, representing a promising new way to enhance efficacy of immunotherapies (22).

Tumor-infiltrating neutrophils are derived from circulating neutrophils and accumulating evidence

(including the work of Berardi *et al.*) on deleterious effect of high concentration of circulating neutrophils could be regarded in the light of their impact on constitution and function of tumoral immune environment: thus translation from knowledge of function of tumoral neutrophils to circulating ones warrants urgent, specific studies in large series of lung cancer patients with both localized and disseminated disease.

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Footnote

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