

SCIENTIFIC REPORTS



OPEN

A Photonic Switch Based on a Hybrid Combination of Metallic Nanoholes and Phase-change Vanadium Dioxide

Miao Sun¹ , Mohammad Taha², Sumeet Walia², Madhu Bhaskaran², Sharath Sriram², William Shieh¹ & Ranjith Rajasekharan Unnithan¹

A photonic switch is an integral part of optical telecommunication systems. A plasmonic bandpass filter integrated with materials exhibiting phase transition can be used as a thermally reconfigurable optical switch. This paper presents the design and demonstration of a broadband photonic switch based on an aluminium nanohole array on quartz utilising the semiconductor-to-metal phase transition of vanadium dioxide. The fabricated switch shows an operating range over 650 nm around the optical communication C, L, and U band with maximum 20%, 23% and 26% transmission difference in switching in the C band, L band, and U band, respectively. The extinction ratio is around 5 dB in the entire operation range. This architecture is a precursor for developing micron-size photonic switches and ultra-compact modulators for thin film photonics.

Photonic switches are devices used in optical communication and computing network that can establish or release the connection of optical signals¹. There is a huge demand for ultra-compact photonic switches because of the rapid advancements in the high-data-rate fibre-optic communication systems, and high-speed optical computing systems^{2,3}.

The switching operation in an optical domain can be achieved by opto-mechanism⁴, acousto-optic⁵, magneto-optic⁶, or electro-optic methods⁷⁻⁹. Photonic switches, where thermal energy is used for changing electro-optics properties of the switch, are called thermally reconfigurable photonic/optical switches. Thermally reconfigurable optical switches have several advantages such as easy fabrication, structural simplicity and ample choices of a thermo-optic functional materials¹⁰. However, thermally reconfigurable photonic switches are bulky and hence integration with state-of-the-art electronics is a challenge.

Plasmonics offers an attractive platform to bridge the size mismatch between optical devices and electronics, and hence enable compact integration of these devices on a single chip¹¹. Surface plasmons (SP) are free electron oscillations propagating at a metal-dielectric interface, accompanied by electromagnetic oscillations¹². Enhanced localization of electric field can be achieved by using the plasmonics, and this effect allows for the development of nanoscale-optic devices beyond the diffraction limit¹³⁻¹⁶. Nanoscale devices based on plasmonic metamaterials attract keen interest for the development of next-generation optoelectronic devices^{17,18}, including metasurface filters¹⁹⁻²², metamaterials switches and modulators²³.

Plasmonic wavelength filters based on perforated metallic film integrated with suitable materials exhibiting phase transition can be used as a thermally reconfigurable photonic switch operate in submicron scale^{24,25}. One such material is vanadium dioxide (VO₂), a canonical Mott material with a large refractive index change from 3.24 + 0.30*i* to 2.03 + 2.64*i* at 1550 nm during semiconductor-to-metal (semi-metal) phase transition²⁶. VO₂ has been extensively explored in recent years because of its large refractive index change during the phase transition²⁷. The phase transition can be triggered by thermal heating in milliseconds, light irradiation in femtoseconds or external electrical field in picoseconds²⁸⁻³¹. Combining submicron light confinement of plasmonics with large refractive index change of VO₂ can be exploited for making novel photonic devices. Recently, versatile

¹Electrical & Electronic Engineering Department, University of Melbourne, Parkville, 3010, Australia. ²Functional Materials and Microsystems Research Group and the Micro Nano Research Facility, RMIT University, GPO Box 2476, Melbourne, Victoria, 3001, Australia. Correspondence and requests for materials should be addressed to M.S. (email: miaos1@student.unimelb.edu.au) or R.R.U. (email: r.ranjith@unimelb.edu.au)

applications of plasmonics by utilizing VO₂ phase transition have been explored, such as metasurfaces^{24,32}, optical memory device³¹, nanoscale antenna³³, temperature sensor³⁴, rewriteable devices³⁵, and ring modulator³⁶. For electro-optical applications, the precise control of VO₂ phase transition is essential^{37,38}. A switchable metasurface based on VO₂ working at THz region is recently proposed based on computer simulations which can be switched from broadband absorber to a reflecting broadband halfwave plate by temperature tuning³⁹. VO₂ – Ag thermal waveguide switch is experimentally demonstrated with a typical 50% roll-off frequency of 25 kHz in 10 μm waveguide length³⁹. The thermal waveguide switch gives an idea of switching time which is around microsecond using thermal trigger for the plasmonic VO₂ switch⁴⁰. Recently, VO₂ – Ag and VO₂ – Au based thermally-driven optical switches operating near IR region (800 nm to 900 nm) have been demonstrated with 4% and 1.2% transmission respectively using a square arrangement of holes⁴¹.

In this manuscript, we present, using both computational and experimental methods, a broadband photonic switch based on a hybrid combination of a hexagonal array of holes and vanadium oxide. The hexagonal arrangement of holes in aluminium is fabricated on a quartz substrate, followed by the deposition of VO₂ on the nanohole array. The proposed geometry has several advantages. The fabricated photonic switch is polarization independent due to the hexagonal arrangement of holes. A hexagonal arrangement will also increase the holes per area and increase the efficiency of the device. Aluminium is CMOS compatible and inexpensive compared to gold and silver. A high transmission of 37.5% at optical communication region is achieved in the hole array with an optimized device geometry and a thin layer of VO₂ with a thickness of 25 nm atop hole array. The switching of the plasmonic hole array is achieved thermally by changing the refractive index of VO₂ that results in a 21% transmission change with 4.3 dB extinction ratio in the C band, 23% transmission change with 5 dB extinction ratio in the L band, and 26.5% transmission change with 5.3 dB extinction ratio in the U band with an operating range over 650 nm. It is for the first time that such a combination of the hexagonal array with semiconductor-to-metal phase transition of VO₂ has been explored for the fabrication of a thermally reconfigurable photonic switch, resulting in such high transmission efficiency in the optical communication range.

Device Design and Simulation

Ebbesen reported in 1998⁴² the extraordinary optical transmission in a thin metal film when the hole diameter is under the cutoff of the first propagating mode. The dominant resonant surface plasmon excitation leads to a wavelength selection with 1000 times higher transmission than the prediction of conventional aperture theory⁴³. This extraordinary optical transmission has been observed in noble metal thin films like Ag, Au, Cu, as well in transition metals like Co, Ni, W⁴⁴, over a wide range of frequencies^{45–47}. Ever since, extensive research has been conducted on structures with periodic roughness like nanoparticles, grooves, and arrays using subwavelength holes⁴⁸. The subwavelength hole arrays in thin metallic film exhibit the character of optical filters with enhanced transmission, which has been widely applied, and the major contributions are focused on biomolecular sensors⁴⁹, nano-antennas⁵⁰, plasmonic optical filters⁵¹, plasmonic modulators and switches^{41,52}. For the hole array based filters that are operating in transmission mode, resonant peaks in transmission are dominated by the surface plasmon mode excited at the cylindrical hole boundaries and two facets. In a triangular (hexagonal) hole array based plasmonic filter, the transmission peaks can be approximately predicted using the equation (1) given by⁵³

$$\lambda_{max} = \frac{a}{\sqrt{\frac{4}{3}(i^2 + ij + j^2)}} \sqrt{\frac{\epsilon_m \epsilon_d}{\epsilon_m + \epsilon_d}},$$

where a is the pitch of the array, ϵ_m and ϵ_d refer to the dielectric constants of metal and dielectric material, and i, j refer to scattering order. The λ_{max} actually is the minimum transmission of wavelength right before the resonance peak at longer wavelength. The scattering orders can be considered to be $i = 1, j = 0$, if only the first transmission minimum before the peak is considered. Using the above equation, it is possible to optimize the hole array filter to any wavelength of interest. Detailed optimization of the filter to operate at optical telecommunication range was carried out using finite element method implemented in COMSOL Multiphysics. The simulation mesh size has been adjusted according to the maximum computing power available in our lab. The simulation model consists of 100 nm thick layer of aluminium on a semi-infinite glass substrate with the refractive index value, 1.45. The refractive indices of aluminium for different wavelengths were taken from Johnston and Christy⁵⁴. Top view of a section of simulation model is shown in Fig. 1(a), which shows quartz substrate and 100 nm thick aluminium along with hole geometry (without top layer of VO₂). The resonance peak is finely tuned to 1.55 μm by varying the pitch of hole array to 1010 nm ($P = 1010$ nm) and keeping hole diameter ($D = 560$ nm) and the thickness of aluminium (100 nm) constant. A 10-nm Chromium layer is inserted beneath the Al film as an adhesion layer using transition boundary condition. The refractive indices are taken from Johnston and Christy⁵⁵. The transition boundary condition is used for simulating ultra-thin layers with thickness hard to be covered by mesh size of the simulation model. A VO₂ layer was added on the top of aluminum layer with holes filling the VO₂ to make the photonic switch. The thickness of VO₂ was varied from between 100 nm and 25 nm (using transition layer/transition boundary condition) to study its effect on transmission and switching. A 500-nm air superstrate is constructed on the top of VO₂ (not shown in Fig. 1(a)). To simulate a large hexagonal array, periodic boundary condition was applied on four sides of the model as shown in Fig. 1(a). The incident light was set to propagate along the z-axis (perpendicular to the surface of hole array, x-y plane) with TE polarization using port boundary condition. A 400-nm Perfect match layer (PML) is added to the top boundary and the bottom boundary to absorb the outgoing wave and to ensure that no reflection goes into the interior region. Figure 1(b) shows the schematics of the photonic switch with hole array deposited with VO₂ along with an extended metal pad for heating VO₂ to change its phase. The Al pad is used for attaching heater for characterizing the transmission and switching of the photonic switch for different temperature values.

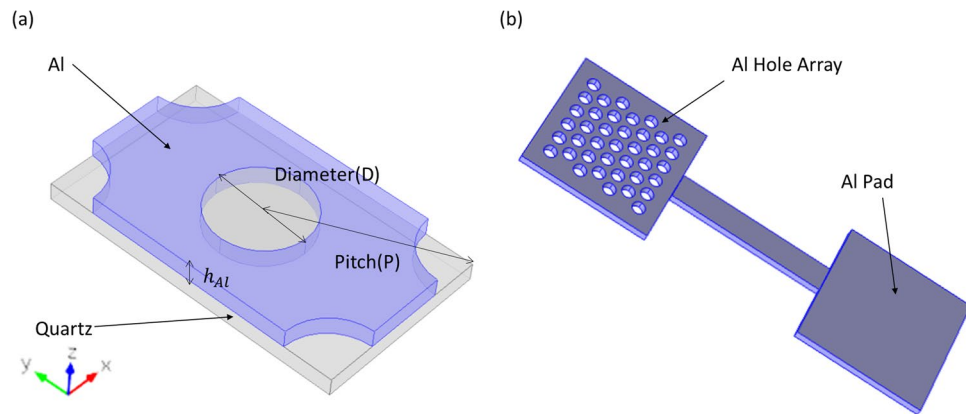


Figure 1. (a) Simulation model of a hexagonal arrangement of holes in aluminium. The peak wavelength is tuned to 1550 nm with pitch (P) = 1010 nm, hole diameter (D) = 560 nm and aluminium thickness of 100 nm. (b) Schematics of the device with hexagonal nanohole array in aluminium along with extended metal pad for heating. (The top VO_2 layer is not shown).

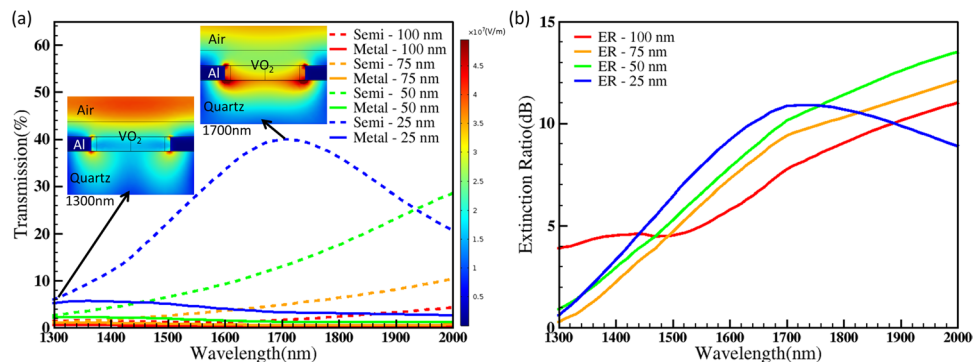


Figure 2. (a) Simulated spectrum of the photonic switch made of aluminium nanohole array and VO_2 for semiconductor (dash line) and metallic phases (solid line) with 100-nm, 75-nm, 50-nm and 25-nm thickness VO_2 (simulation parameters used: thickness of aluminium: 100 nm, diameter of holes: 560 nm, pitch of holes: 1010 nm, and thickness of VO_2 : 100 nm/75 nm/50 nm/25 nm). Two insets show the electrical field distribution of the cross-section of photonic switch with 25-nm VO_2 in semiconductor phase at wavelengths of 1300 nm and 1700 nm respectively. The color legend of the insets is shown on the right hand side. (b) Extinction Ratio (ER) of the switch with respect to wavelength showing its switching ability and broad working wavelength with 100-nm, 75-nm, 50-nm and 25-nm VO_2 respectively.

Figure 2(a,b) show the simulated transmission spectrum and extinction ratio of the above photonic switch optimized to operate in telecommunication wavelength for both semiconducting and metallic phases of VO_2 with different thickness 100 nm, 75 nm and 25 nm. The 2 insets of Fig. 2(a) show electrical field distributions on the cross-section of the device with 25-nm VO_2 in semiconductor phase at wavelengths of 1300 nm and 1700 nm respectively. The results show that the device work in the reflection mode with more E-field energy reflected and concentrated on the super-substrate air rather than passing through at 1300 nm, whereas in transmission mode the E-field energy concentrated in the cylindrical waveguide and transmitted through the substrate quartz at 1700 nm. The simulation results are in agreement with detailed mode analysis discussed in the prior art⁵⁶. The transmission and the extinction obtained in C, L, and U band are given in Table 1. The wavelength was swept from 1300 nm to 2000 nm.

It was found that as the VO_2 film thickness decreases, there is an increase in the extinction ratio and transmission. However, practically it is difficult to reduce the thickness of VO_2 to less than 25 nm by keeping the uniformity of the film, especially filling on the Al nanoholes without creating patches. Hence there is a trade-off between the feasibility of fabrication and device performance. For the photonic switch, the thickness of VO_2 was selected to be 25 nm to increase the transmission efficiency of C, L and U band (example, 3.4% and 39.5% transmission in the metallic and semiconducting phase of VO_2 with extinction ratio 10.6 dB at 1675 nm as shown in Fig. 2). The results also show that the switch operates in a broad wavelength range from 1530 nm to 2000 nm with less than 3-dB loss with 25-nm VO_2 . This ensures that the photonic switch covers the wavelength window used in optical communications. These results also show that the device can act as a switch by changing the phase of VO_2 from semiconducting (ON state) to metallic state (OFF state) with the application of heat (68 °C).

Thickness_VO ₂ [nm]	TD _C [%]	TD _L [%]	TD _U [%]	ER _C [dB]	ER _L [dB]	ER _U [dB]
100	0.8	1	1.3	5.1	6.2	7.3
75	2.4	3.3	4.0	6.3	8	9
50	6	8.5	11	7	8.3	9.7
25	25	32	36.5	8.2	9.6	10.6

Table 1. *The transmission difference (*TD*) is difference between the transmission of semiconductor phase and metallic phase of VO₂. The transmission difference and extinction ratio in C, L, and U band for different thickness of VO₂ are taken from Fig. 2. *TD_C*, *TD_L*, *TD_U*: corresponds to maximum transmission difference in C, L and U bands; *ER_C*, *ER_L*, *ER_U*: corresponds to the maximum extinction ratio in C, L and U band.

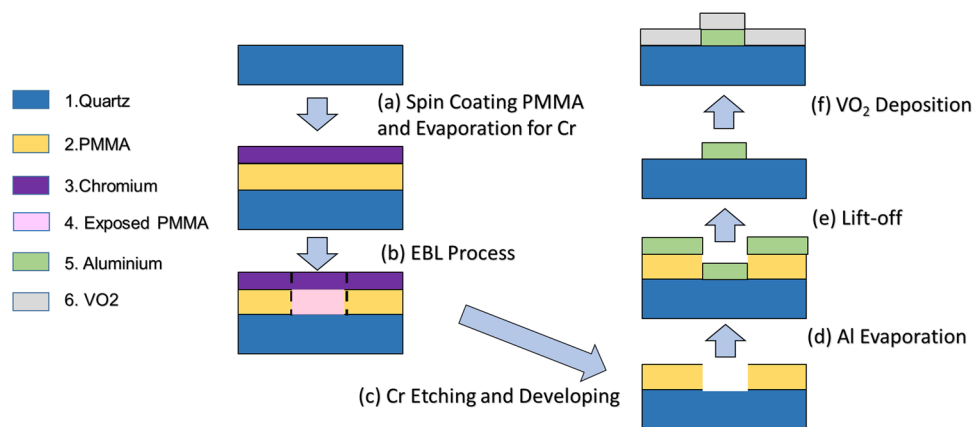


Figure 3. Fabrication process flowchart of the photonic switch with the material legend shows on the left. The light blue arrow shows the fabrication flow direction from step (a) to step (f). The material legend shows on the left-hand side.

Device Fabrication and Characterization

Based on the above simulation results, photonic switches were fabricated on a 500 μm thick quartz substrate using electron beam lithography (EBL) (Vistec EBPG5000plusES). The fabrication steps are shown in Fig. 3 (steps (a)–(f)). In the first step (a), a 350 nm thick double layer of PMMA (Polymethyl methacrylate) was spun on the quartz substrate by Pico Track PCT-150RRE, followed by depositing a 30 nm Cr on the top of PMMA using Electron beam evaporation (EBPVD: Intlvac Nanochrome II) in order to have a conducting surface for EBL patterning. In the second step (b), EBL was used to write the nanohole array pattern on PMMA. Before developing the patterned sample, the conductive layer of Cr was removed by wet etching. This is followed by developing the sample into a mixer of MIBK (Methyl isobutyl ketone) and IPA to make holes in PMMA as shown in step (c). Following this, a 100-nm aluminium thin film was deposited using EBPVD (step (d)) followed by lift off in step (d). Prior to the Al metallization, the substrate was coated with 10 nm Chromium as adhesion layer within the same deposition step. The fabricated hole array is expected to have a hole diameter of 560 nm and pitch of 1010 nm. Extra metallic pad made of aluminium was connected to the hole array for heating the device shown in Fig. 1(b). In the final step (f), VO₂ is deposited on top of the aluminium. A quartz substrate is cleaned and plasma treated in an argon environment to enhance adhesion between the VO₂ film and the substrate. VO₂ is deposited using the pulsed-DC magnetron sputtering technique. A Vanadium (99.99%) target is used in for sputtering. The sputtering chamber is allowed to reach 4.0×10^{-7} Torr before the introduction of the Ar: O₂ gas mixture. Ar: O₂ mixtures is introduced with a flow rate of 12.25:5.25 sccm respectively (for 30% O₂). Sputtering is done at 2.8×10^{-3} Torr pressure, a power of 200 W with 25 kHz pulse frequency and 5 μs reverse time. Deposition is done for 45 minutes at room temperature producing amorphous VO₂. Subsequently, the as-deposited VO₂ films are annealed in a furnace, evacuated to low vacuum to achieve a pressure of ~ 250 mTorr, at 550 $^{\circ}\text{C}$ for 90 min. Post-deposition annealing at low pressure enhances the level of control over oxygen vacancies and limits oxygen loss, which happens at a rapid rate in VO₂ thin films. X-ray photoelectron spectroscopy (XPS), X-ray diffraction (XRD), and micro-Raman spectroscopy were conducted to characterize the VO₂ thin films⁵⁷. The thin films showed good insulator–metal transition, as expected at ~ 68 $^{\circ}\text{C}$ for the VO₂ phase of vanadium oxide⁵⁷. This allows the formation of excellent VO₂ thin films on top of Al nanohole array.

The fabricated photonic switch was characterized using Craic Technologies 20/30 PVTM spectrophotometer and thermal stage as shown in Fig. 4(a). The inset shows SEM image of the hole array in aluminium. As a first step, the nanohole array on aluminium without VO₂ was characterized to obtain transmission spectrum with respect to wavelength as shown in Fig. 4(b). The experimentally obtained spectrum (black colour) is superimposed with the simulated spectrum (red colour). The experimentally measured peak wavelength is 1447 nm, which is red shifted by 37 nm compared to the peak value of 1410 nm from simulations. The shift is due to fabrication tolerances including slightly larger hole diameter due to undercutting in walls of holes (average hole diameter between

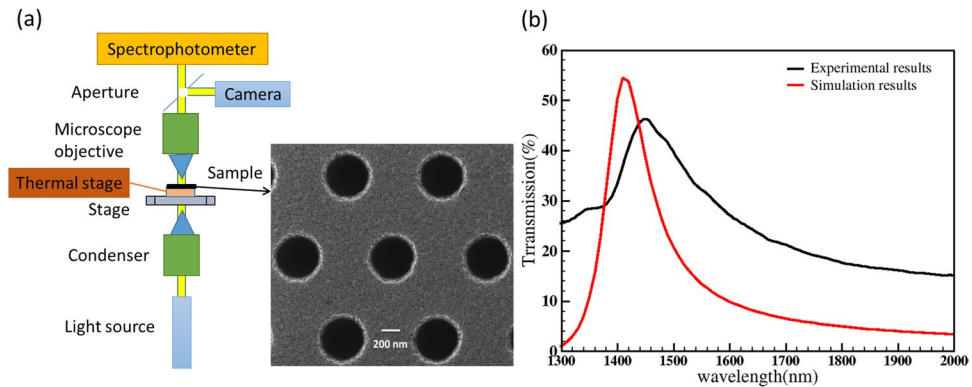


Figure 4. (a) Schematic of the experimental setup to measure the optical transmission of the photonic switch with respect to wavelength at different temperatures. The setup consists of CRAIC Technologies 20/30 PVTM micro-spectrophotometer and thermal stage. The light transmitted through the switch is collected by a microscope objective and focused onto the entrance aperture of the spectrophotometer. A beam splitter is used for splitting the light into both camera and the spectrometer. The camera is used for sample alignment. The inset shows the scanning electron microscope image (SEM) of the fabricated aluminium nanohole array with 570 nm diameter and 1010 nm pitch (b) Numerically and experimentally obtained transmission spectra from the aluminium nanohole array.

top and the bottom is varied between 540 nm to 590 nm due to nanofabrication and measurement tolerances and hence 570 nm is used in simulations). The maximum transmission from the simulation and experiment were 54% and 47% respectively. After this measurement, a VO_2 layer was deposited on the nanohole array to make the photonic switch. The above transmission measurements were repeated for the hole array with VO_2 (the photonic switch), and results are discussed in the following section.

After the VO_2 deposition, the cross-section of the photonic switch was taken using FIB to study the distribution of VO_2 across an area in the sample as shown in Fig. 5(a,b). The SEM images show that VO_2 covers the sample almost uniformly except creating small patches with no VO_2 in the holes. These patches slightly reduce the extinction ratio of the device due to light leakage in the metallic phase. This can be avoided with increased VO_2 thickness at the cost of decreasing transmission percentage. Hence, there exists a trade-off between switching performance and transmission percentage of the device as observed in the simulation results.

In order to find out a suitable temperature range for switching the phase of VO_2 , a 25 nm VO_2 film on the glass substrate was used. The pristine VO_2 film was deposited using the same sputtering conditions as the photonic switch. The transmission spectrum of the VO_2 film was measured. In order to achieve VO_2 phase transition, the VO_2 film was heated from room temperature, 294 K (semiconductor phase) to 360 K (metallic phase). Figure 5(c) shows transmission of the VO_2 film in semiconducting phase (294 K) and metallic phase (360 K). All transmission measured was normalised with respect to the measured area to obtain absolute transmission. In the semiconductor phase, the transmission increases with respect to wavelength, from 76% to 92%, while in metallic phase the transmission drops from 48% to 36%. This result has shown that there is a large difference of 39% in transmission between semiconductor and metallic phase at 1550 nm wavelength and this property can be exploited for making photonic switches and modulators.

Based on the results obtained by testing only VO_2 sample, the temperature was swept for the photonic switch from room temperature 294 K (semiconductor phase) to 360 K (metallic phase). Figure 5(d) shows transmission spectrum of the photonic switch plotted with respect to different temperatures. As the temperature was increased from 294 K, the maximum transmission has decreased from 37.5% to 11.8% due to the phase change of VO_2 from semiconductor to a metallic phase. It is also noted that the peak wavelength of 1725 nm in semiconductor phase (294 K) is slightly blue shifted to 1505 nm when the sample is heated up to metallic phase (360 K). This is due to a low refractive index value of VO_2 in metallic phase (real part of refractive index reduced from 3.24 to 2.03). This result is also confirmed by simulation of the photonic switch. Transmission of the switch at 1550 nm with respect to temperature was studied by heating the sample from 294 K to 360 K in step of 5 K followed by cooling the switch along the same temperature range as shown in Fig. 5(e). The results show that there is an optical transmission hysteresis curve during heating and cooling cycles which is consistent with the VO_2 material characters³⁹. The fabricated switch has 21% transmission change at C band, 23% transmission change at L band and 26.5% transmission change in U band. The two insets of Fig. 5(e) depicts the simulated electrical field intensity distribution of the photonic switch (VO_2/Al nanohole array) in semiconductor phase and metallic phase of VO_2 respectively. The electric field is highly confined in the holes due to the large refractive index contrast between the Al and VO_2 . The maximum transmission peak is 37.5% at 1725 nm (VO_2 semiconductor phase) and the transmission reduced to 10.5% during the switching of VO_2 phase to metallic (27% transmission difference).

From Fig. 4(b), the experimentally obtained peak wavelength of Al nanohole array alone is 47% at 1447 nm. But the peak wavelength of the photonic switch (after depositing VO_2 in the hole array) is red-shifted to 1725 nm. The red-shift is mainly due to the refractive index of VO_2 in the nanohole array and fabrication tolerances. Cross-section of a single hole with VO_2 was taken using Focused Ion Beam after depositing platinum (Pt) in the

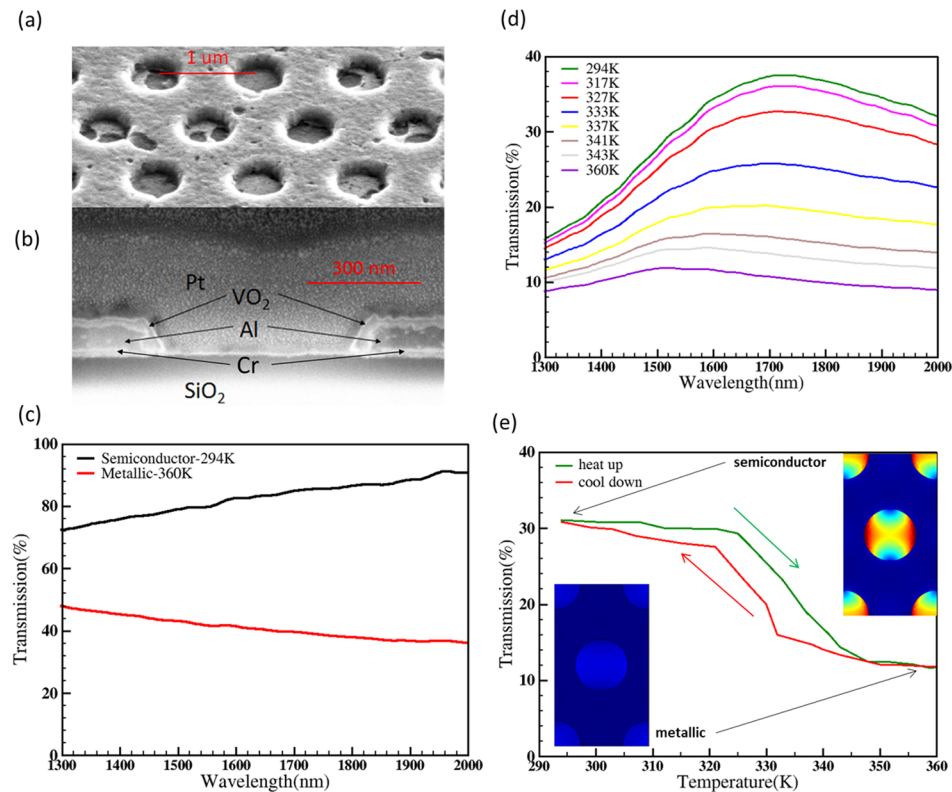


Figure 5. (a) SEM image of a tilted top view of the fabricated Al/VO₂ nanohole array in the photonic switch. (b) Cross-section of a single hole obtained using Focused ion beam (FIB) (platinum (Pt) was deposited for contrast). Different materials are marked on the image. From the measurements, Al layer is around 80 nm-thick with 20 nm Cr beneath as adhesion layer, and the VO₂ layer is 25 nm thick. The diameter of hole is 570 nm based on the average of the top and bottom diameter due to the tilted side wall. (c) Experimentally obtained transmission spectrum of the pristine VO₂ layer with respect to wavelength for both semiconductor (red line) and metallic (black line) phases. (d) Experimentally measured transmission of the Al/VO₂ nanohole array in the photonic switch with respect to temperature (varied from 294 K to 360 K) during which VO₂ switches from semiconductor phase to metallic phase. (e) Experimentally measured optical transmission hysteresis of the photonic switch at 1550 nm during heating and cooling cycle (temperature range: 290 K to 360 K). The two inset images show the simulated E-field intensity distribution in the of VO₂/Al nanohole array at 1550 nm for metallic and semiconductor phases respectively. The colour legend, the cold tone (blue) to warm tone (red) colour refers to lower intensity to higher intensity ($|E|^2$).

hole for better contrast as shown in Fig. 5(b). From the cross-section measurements, the thickness of Al, VO₂ and Cr is measured to be 80 nm, 25 nm and 20 nm respectively, and the hole diameter is taken as 570 nm from the average of the top and bottom diameter due to the undercut. These experimentally obtained values are used in the simulation model of the photonic switch to obtain the transmission spectra of VO₂ in its semiconductor as well as metallic phase. The simulation results are plotted in Fig. 6(a) and are matching with experimentally measured values. The peak transmission wavelength in semiconductor phase from the simulation is 1735 nm (33.2%) that is close to experimentally obtained the value of 1725 nm (37.5%). From the simulation results, the transmission difference in the photonic switch between the semiconductor and metallic phases of VO₂ is 16.5% in C band, 24% in L band and 28% in U band which is also close to experimentally obtained values 21% in C band, 23% in L band and 26.5% in U band. Figure 6(b) shows experimentally measured extinction ratio of the photonic switch for different wavelengths of operation. The extinction ratio is 4.3 dB in C band, 4.9 dB in L band and 5.3 dB in U band. The results also show that the switch operates in a 650 nm wavelength range from 1350 nm to 2000 nm with less than 3-dB loss. There is a small light leakage in the photonic switch as shown in Fig. 6(a) due to missing VO₂ film in some holes (Fig. 5(a)) and also due to 25 nm thickness of VO₂. The temperature cycling experiment results are provided in supplementary material (S1) with neglected transmission variance. The same heating/cooling process is repeated for each individual measurement and hence the switching effect observed in the device is repeatable.

Conclusion

We have shown for the first time, a photonic switch using vanadium dioxide as switching material with a hexagonal nanohole array structure, with a maximum 37% transmission at optical communication band. The fabricated switch can achieve 21%, 23% and 26.5% transmission difference in switching with extinction ratio 4.3 dB, 4.9 dB and 5.3 dB in C, L, U-band respectively with a wide operating range over 650 nm. The wide operating range, high transmission and compact device footprint (thickness of 125 nm) give us more flexibility and efficiency in

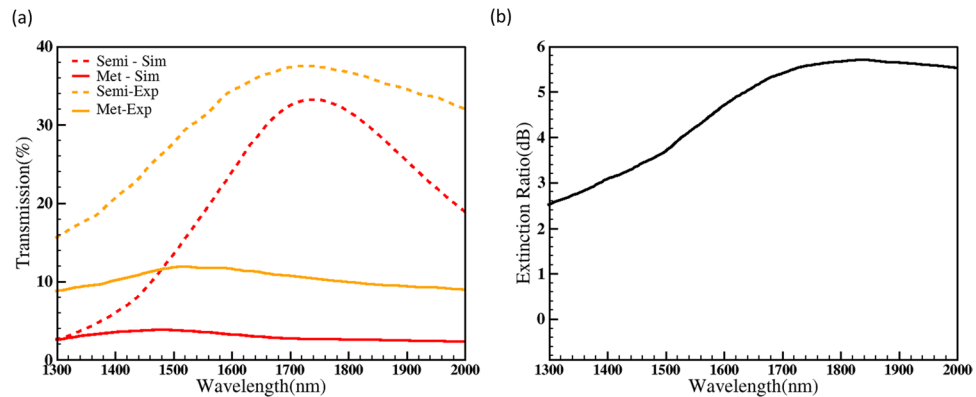


Figure 6. (a) Transmission spectra of the photonic switch (570 nm diameter and 1010 nm pitch) for the aluminium thickness of 80 nm, 20 nm Cr and 25 nm VO₂ for semiconductor phase (dash line) and metallic phases (solid line) from experiments and simulations. (b) The extinction ratio of the switch measured from experiments (Extinction ratio: 4.3 dB in C-band, 4.9 dB in L-band and 5.3 in U-band).

integration and application. In the future, we will further explore the other phase change approaches to increase the response speed of switches, such as using external voltage or laser pumping. The results will have potential applications in developing ultra-compact photonic switches, optical modulators in silicon photonics for optical communications.

Data availability. All data used in this manuscript are present in the manuscript and its supplementary information.

References

- Teich, M. C. & Saleh, B. E. A. Photonic Switching and Computing, 3rd edition. Fundamentals of photonics. Chapter 21, 832–873 (Wiley Interscience, 2001).
- Miller, D. A. B. Device Requirements for Optical Interconnects to Silicon Chips. *Proc. IEEE* **97**(7), 1166–1185 (2009).
- Segawa, T., Ibrahim, S., Nakahara, T., Muranaka, Y. & Takahashi, R. Low-power optical packet switching for 100-Gb/s burst optical packets with a label processor and 8 × 8 optical switch. *J. Lightwave Technol.* **34**(8), 1844–1850 (2016).
- Liu, L., Yue, J. & Li, Z. All-Optical Switch Based on a Fiber-Chip-Fiber Opto-Mechanical System With Ultrahigh Extinction Ratio. *IEEE Photon. J.* **9**(3), 1–8 (2017).
- Galichina, A., Velichko, E. & Aksenov, E. Acousto-Optic Switch Based on Scanned Acoustic Field. in International Conference on Next Generation Wired/Wireless Networking, 690–696: Springer (2016).
- Selvaraj, J. *et al.* Enhancement for High Speed Switching of Magneto-Optic Fiber-Based Routing using Single Magnetizing Coil. *IEEE Trans. Magn.* (2017).
- Rajasekharan, R. *et al.* Electrically reconfigurable nanophotonic hybrid grating lens array. *Appl. Phys. Lett.* **96**, 233108 (2010).
- Won, K. *et al.* Electrically Switchable Diffraction Grating Using a Hybrid Liquid Crystal and Carbon Nanotube-Based Nanophotonic Device. *Adv. Optical Mater.* **1**(5), 368–373 (2013).
- Timothy D.W. & Rajasekharan, R. Liquid Crystals for Nanophotonics. [Li, Q] Liquid Crystals Beyond Displays: Chemistry, Physics, and Applications. Chapter 17, 525–568. (John Wiley & Sons, 2012).
- Dai, D., Shan, H., Song, L. & Wang, S. Reconfigurable silicon photonics: devices and circuits. in Proc. SPIE 1024207–1024207-11 (2017).
- Hayashi, S. & Okamoto, T. Plasmonics: visit the past to know the future. *J. Phys. D* **45**(43), 433001 (2012).
- Barnes, W. L., Dereux, A. & Ebbesen, T. W. Surface plasmon subwavelength optics. *Nat.* **424**(6950), 824–830 (2003).
- Aramesh, M. *et al.* Coupling of a single-photon emitter in nanodiamond to surface plasmons of a nanochannel enclosed silver nanowire. *Opt. Exp.* **22**(13), 15530–15541 (2014).
- Yokogawa, S., Burgos, S. P. & Atwater, H. A. Plasmonic color filters for CMOS image sensor applications. *Nano Lett.* **12**(8), 4349–4354 (2012).
- De Abajo, F. G. Colloquium: Light scattering by particle and hole arrays. *Rev. Mod. Phys.* **79**(4), 1267 (2007).
- Sun, M., Shieh, W. & Unnithan, R. R. Design of Plasmonic Modulators With Vanadium Dioxide on Silicon-on-Insulator. *IEEE Photon. J.* **9**(3), 1–10 (2017).
- Zheludev, N. I. & Kivshar, Y. S. From metamaterials to metadevices. *Nat. Mater.* **11**, 917, 10/23/online (2012).
- Monticone, F. & Alu, A. Metamaterial, plasmonic and nanophotonic devices. *Rep. Prog. Phys.* **80**(3), 036401 (2017).
- Hedayati, M. K. & Elbahri, M. Review of Metasurface Plasmonic Structural Color. *Plasmonics.* **12**, 1463–1479 (2017).
- Turpin, P. J. *et al.* Reconfigurable and Tunable Metamaterials: A Review of the Theory and Applications. *Int. J. Antennas Propag.* **18**, 429837 (2014).
- Garcia-Vidal, F. J., Martín-Moreno, L. & Pendry, J. B. Surfaces with holes in them: new plasmonic metamaterials. *Pure Appl. Opt.* **7**, S97–S101 (2005).
- Mary, A., Rodrigo, S. G., Martín-Moreno, L. & García-Vidal, F. J. Plasmonic metamaterials based on holey metallic films. *J. Phys.: Condens. Matter.* **20**, 304215 (2008).
- Chen, H. T. *et al.* Active terahertz metamaterial devices. *Nat.* **444**, 597 (2006).
- Niloufar, R. H. & Junsuk, R. Metasurfaces Based on Phase-Change Material as a Reconfigurable Platform for Multifunctional Devices. *Mater.* **10**, 1046 (2017).
- Morin, F. J. Oxides Which Show a Metal-to-Insulator Transition at the Neel Temperature. *Phys. Rev. Lett.* **3**, 34 (1959).
- Verleur, H. W., Barker, A. S. & Berglund, C. N. Optical Properties of VO₂ between 0.25 and 5 eV. *Phys. Rev.* **172**(3), 788–798 (1968).
- Rodrigo, S. G., de León-Pérez, F. & Martín-Moreno, L. Extraordinary optical transmission: fundamentals and applications. *Proc. IEEE* **104**(12), 2288–2306 (2016).
- Rini, M. *et al.* Photoinduced phase transition in VO₂ nanocrystals: ultrafast control of surface-plasmon resonance. *Opt. Lett.* **30**(5), 558–560 (2005).

29. Wu, B. *et al.* Electric-field-driven phase transition in vanadium dioxide. *Phys. Rev. B* **84**, 24 (2011).
30. Jostmeier, T. *et al.* Thermochromic modulation of surface plasmon polaritons in vanadium dioxide nanocomposites. *Opt. Exp.* **24**(15), 17321–17331 (2016).
31. Lei, D. Y. *et al.* Optically-Triggered Nanoscale Memory Effect in a Hybrid Plasmonic-Phase Changing Nanostructure. *ACS Photonics* **2**(9), 1306–1313 (2015).
32. Liu, H. W., Lu, J. P. & Wang, X. R. S. Metamaterial based on the phase transition of VO₂. *Nanotech.* **29**(13), 024002 (2018).
33. Muskens, O. L. *et al.* Antenna-assisted picosecond control of nanoscale phase transition in vanadium dioxide. *Light Sci. Appl.* **5**(10), e16173 (2016).
34. Guo, P. *et al.* Conformal Coating of a Phase Change Material on Ordered Plasmonic Nanorod Arrays for Broadband All-Optical Switching. *ACS nano* **11**(1), 693–701 (2016).
35. Zhang, H. T. *et al.* Imprinting of Local Metallic States into VO₂ with Ultraviolet Light. *Adv. Funct. Mater.* **26**(36), 6612–6618 (2016).
36. Briggs, R. M., Pryce, I. M. & Atwater, H. A. Compact silicon photonic waveguide modulator based on the vanadium dioxide metal-insulator phase transition. *Opt. Exp.* **18**(11), 11192–11201 (2010).
37. Sanchis, P. *et al.* Recent advances in hybrid VO₂/Si devices for enabling electro-optical functionalities. *Proc. of SPIE* **10106**, 101060P-1 (2017).
38. Gray, A. *et al.* Ultrafast THz field control of electronic and structural interactions in vanadium dioxide. arXiv preprint arXiv:1601.07490 (2016).
39. Ding, F., Zhong, S. M. & Bozhevolnyi, S. I. Vanadium Dioxide Integrated Metasurfaces with Switchable Functionalities at Terahertz Frequencies. *Adv. Optical Mater.* 1701204 (2018).
40. Joushaghani, A. *et al.* Sub-volt broadband Hybrid plasmonic-vanadium dioxide switches. *Appl. Phys. Lett.* **102**, 061101 (2013).
41. Suh, J. *et al.* Modulated optical transmission of subwavelength hole arrays in metal-VO₂ films. *Appl. Phys. Lett.* **88**(13), 133115 (2006).
42. Ebbesen, T. W., Lezec, H. J., Ghaemi, H., Thio, T. & Wolff, P. Extraordinary optical transmission through sub-wavelength hole arrays. *Nat.* **6668**, 667–669 (1998).
43. Ghaemi, H., Thio, T., Grupp, D., Ebbesen, T. W. & Lezec, H. Surface plasmons enhance optical transmission through subwavelength holes. *Phys. Rev. B* **58**(11), 6779 (1998).
44. Przybilla, F. *et al.* Optical transmission in perforated noble and transition metal films. *J. Opt. A: Pure Appl. Opt.* **8**, 458–463 (2006).
45. Rivas, J. G., Schotsch, C., Bolivar, P. H. & Kurz, H. Enhanced transmission of THz radiation through subwavelength holes. *Phys. Rev. B* **68**(20), 201306 (2003).
46. Cao, H. & Nahata, A. Resonantly enhanced transmission of terahertz radiation through a periodic array of subwavelength apertures. *Opt. Exp.* **12**(6), 1004–1010 (2004).
47. Selcuk, S. *et al.* Trapped Electromagnetic Modes and Scaling in the Transmittance of Perforated Metal Films. *Phys. Rev. Lett.* **97**(6), 067403 (2006).
48. Koerkamp, K. K., Enoch, S., Segerink, F., Van Hulst, N. & Kuipers, L. Strong influence of hole shape on extraordinary transmission through periodic arrays of subwavelength holes. *Phys. Rev. Lett.* **92**(18), 183901 (2004).
49. Špačková, B., Wrobel, P., Bocková, M. & Homola, J. Optical biosensors based on plasmonic nanostructures: a review. *Proc. IEEE* **104**(12), 2380–2408 (2016).
50. Jeong, Y.-G. *et al.* Terahertz nano antenna enabled early transition in VO₂. arXiv preprint arXiv **1208**, 3269 (2012).
51. Rajasekharan, R. *et al.* Filling schemes at submicron scale: Development of submicron sized plasmonic colour filters. *Sci. Rep.* **4**, 6435 (2014).
52. Kim, S. *et al.* Electronically tunable extraordinary optical transmission in graphene plasmonic ribbons coupled to subwavelength metallic slit. *Nat. Com.* **7**, 12323 (2016).
53. McCrindle, I. J. H., Grant, J. P., Gouveia, L. C. P. & Cumming, D. R. S. Infrared plasmonic filters integrated with an optical and terahertz multi-spectral material. *Phys. Status Solidi A* **212**(8), 1625–1633 (2015).
54. Johnson, P. B. & Christy, R.-W. Optical constants of the noble metals. *Phys. Rev. B* **6**(12), 4370 (1972).
55. Johnson, P. B. & Christy, R.-W. Optical constants of transition metals: Ti, V, Cr, Mn, Fe, Co, Ni, and Pd. *Phys. Rev. B* **9**, 5056 (1974).
56. Wu, L., Bai, P., Zhou, X. & Li, E. P. Reflection and transmission Modes in Nanohole-Array-Based Plasmonic Sensors. *IEEE Photon. J.* **4**(1), 26–33 (2012).
57. Taha, M. *et al.* Insulator-metal transition in substrate-independent VO₂ thin film for phase-change devices. *Sci. Rep.* **7**, 27899 (2017).

Acknowledgements

RRU and MB acknowledge Australian Research Council support through DP170100363 and DE160100023 respectively. SW acknowledges the support through a Malcolm Moore Foundation Industry Research Award. Thanks Babak Nasr for helping with imaging sample and giving valuable advice for the fabrication. This work was partially performed in part at the Melbourne Centre for Nanofabrication (MCN) in the Victorian Node of the Australian National Fabrication Facility (ANFF). This project was undertaken with the assistance of resources and services from the National Computational Infrastructure (NCI), which is supported by the Australian Government.

Author Contributions

M.S. and R.R.U. conceived the modulator device idea. M.Y.O.T., S.S., S.W. and M.B. conceived the idea of VO₂ growth and deposition. M.S. has carried out simulations and nanofabrication. M.T. carried out VO₂ deposition. The project was supervised by R.R.U., W.S., M.B., S.S., S.W. Manuscript was prepared by M.S. in discussions with all the co-authors. All the co-authors have contributed to discussions, preparation of manuscript and interpretation of results.

Additional Information

Supplementary information accompanies this paper at <https://doi.org/10.1038/s41598-018-29476-6>.

Competing Interests: The authors declare no competing interests.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2018