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# Highly Enantioselective Epoxidation of $\alpha,\beta$ -Unsaturated Ketones Using Amide-Based Cinchona Alkaloids as Hybrid Phase-Transfer Catalysts

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**ABSTRACT:** A series of 20 one chiral epoxides were obtained with excellent yields (up to 99%) and enantioselectivities (up to >99% ee) using hybrid amide-based *Cinchona* alkaloids. Our method is characterized by low catalyst loading (0.5 mol %) and short reaction times. Moreover, the epoxidation process can be carried out in 10 cycles, without further catalyst addition to the reaction mixture. This methodology significantly enhance the scale of the process using very low catalyst loading.

atalytic enantioselective epoxidation of allylic alcohols,  $\checkmark$  introduced by Sharpless<sup>1</sup> in the 1980s, has been recognized as one of the most significant tools in asymmetric synthesis, since epoxides are considered versatile building blocks and intermediates in asymmetric organic transformations.<sup>2,3</sup> This fundamental discovery has significantly expanded over the last 40 years, especially in the field of metal catalysis<sup>4</sup> and organocatalysis.<sup>5</sup> With the growing demand for green and sustainable chemistry, the development of environmentally benign and cheap catalysts remains a great challenge in stereocontrolled organic synthesis.<sup>6</sup> In this area, phase-transfer catalysis (PTC) has become established as a comprehensive method,<sup>7</sup> owing to mild reaction conditions, operational simplicity, and no use of heavy metals. Particular attention in such work has been devoted to the asymmetric epoxidation of  $\alpha,\beta$ -unsaturated ketones, <sup>3a,8-10</sup> as an extension of the pioneering work by Wynberg et al.<sup>11</sup> on epoxidation of *E*-chalcones using quinine salts as catalysts. However, successful examples of highly enantioselective synthesis of epoxyketones still remain few in number. The most representative continuations of Wynberg's discovery were published in the 1990s by the Lygo<sup>12</sup> and Corey<sup>13</sup> groups. Alternative methods to improving the abilities of Cinchona-based catalysts were presented by the Park<sup>14</sup> and Siva<sup>15</sup> groups, who showed that adding surfactants to reaction mixtures or using ultrasound support increased the enantiomeric excess of products formed. On the other hand, Maruoka et al.<sup>16</sup> introduced efficient, but expensive, BINOL-based catalysts. Furthermore, other types of PTC catalysts, such as macrocyclic

compounds, peptides, guanidine salts, prolines, etc., have also been used, albeit without high enantioselectivities.  $^{17}\,$ 

Despite recent spectacular progress in asymmetric epoxidation of *E*-chalcones, there are several issues that prevent their general applicability. The main disadvantages of the methods discussed are as follows: multistep synthesis of catalysts, high catalyst loading, a frequent necessity to use special techniques, and long reaction times. Therefore, there is a strong need for research on rationally designed and efficient PTC catalysts, especially chiral ones, which meet additional requirements related to the possibility of their reuse. Herein, we report our own approach to enantioselective epoxidation by introducing a readily available and finely tunable library of hybrid *Cinchona* alkaloid-based catalysts, the potential application of which we have previously demonstrated in studies on alkylation of imino glycine esters.<sup>18</sup>

We began the present study with epoxidation of model *E*chalcone S1 using cinchonidine-based catalyst C1, leading to product P1 with high yield (91%), but low enantioselectivity (29% ee), as shown in Scheme 1. Next, we carried out catalyst screening under the given conditions, and we found that

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compound C5 allows the desired epoxides to be obtained with high yield (99%) and promising enantiomeric excess (71% ee). Also, reactions with catalysts based on the other *Cinchona* alkaloids give the desired products with excellent yield, but in racemic form.

Subsequently, we started to optimize the reaction conditions using C5 as the catalyst, and we noted that the ratio of hydrogen peroxide and aqueous solution of NaOH strongly affected the enantioselectivity. We postulate that the oxidant/base ratio affects the rate of hydrogen peroxide decomposition and formation of the reactive HOO<sup>-</sup> ion. Moreover, instead of toluene we found that a mixture of  $Et_2O$ /toluene (1:1) was the best solvent for most of these reactions. Finally, we showed that epoxidation of chalcone S1 under the newly found conditions was very efficient and proceeded for 1 h with an excellent enantiomeric excess (99% ee), using only 0.5 mol % of catalyst at 5 °C temperature. Lower catalyst loading resulted in decreased vield and ee value. All details of the optimization process are presented in the Supporting Information (Tables S2-S6). Under such optimal conditions we examined the reactivity and selectivity of  $\alpha_{,\beta}$ -unsaturated ketones S1-S21 as shown in Scheme 2.

All of the epoxides P1-P21 were obtained from the corresponding substrates S1-S21 with both excellent yield and excellent enantioselectivity. For substrates S1-S13, with various electron-differentiating substituents on the carbonyl group side, no significant changes in the extremely high selectivities (95-99% ee) were observed. Due to lower solubility of epoxides P6, P10, and P12 in the diethyl ether, reactions should be carried out longer (up to 48 h). Slightly lower enantiomeric excesses were noted for epoxidation of Echalcones with an electron-differentiating substituents in the phenyl ring on the double bond side S14-S17. In those four cases, achievement of complete conversion required the use of 1 mol % of the catalyst and the reactions were carried out in toluene (P13–P16 marked green, Scheme 2), but we noted very high yields and ee values (92-99%, 90-96% ee). With more challenging substrates S18-S21 we performed the epoxidation reactions with 3 mol % of the catalyst C5 and also in these cases we choose toluene as an optimal solvent (P18-P21 marked purple, Scheme 2). Epoxidation of S20 was conducted 72 h

# Scheme 2. Asymmetric Epoxidation of $\alpha,\beta$ -Unsaturated Ketones S1–S21 Using Catalyst C5<sup>*a*</sup>



"The ee values were determined by HPLC analysis using a chiral column Kromasil OD-H or Chiralcel AD and OB-H. <sup>b</sup>The ee values were determined by HPLC analysis using a chiral column Kromasil OD-H or Chiralcel AD and OB-H. <sup>c</sup>Reactions were carried out in toluene, and 1 mol % of **C5** was used.

leading to product with moderate yield 71% and high enantiomeric excess 97% ee. It is worth mentioning the great results obtained for (2E,4E)-1,5-diphenylpenta-2,4-dien-1-one **S18** (95% yield, 96% ee) and  $\alpha,\beta$ -unsaturated ketone **S21** containing aliphatic substituent (98% yield, 99% ee). In addition, all epoxides, except **P20**, can be isolated from organic layer using simple filtration by silica gel pad. Such results indicate a fairly universal character of the developed method, and to the best of our knowledge it is a first example of successful epoxidation such substrates using organocatalysts.

The obtained results may indicate a competitive  $\pi$ -stacking effect originating from the phenyl system on the double bond side, which may adversely affect the formation of the diastereomeric complex with the catalyst **C5**. In order to explain such high selectivity, we obtained monocrystals of **C5** by slowly evaporation of its saturated solution in wet acetone. Next, we performed a successful single-crystal X-ray diffraction analysis of catalyst **C5** (for details see the Supporting Information), which revealed its distinctive three-dimensional structure (Figure 1).

Let us consider one of the catalyst molecules as it occurs in a single crystal. The C5 molecule has an aromatic ring stacked in the direction determined by the amide function. Importantly, the arrangement of the phenyl group in the amide arm is nearly perpendicular, this conformational information creating an attractive chiral reaction cavity around the amide function. This



Figure 1. X-ray structure of selected molecule of catalyst C5. The solvent molecules, anions, and nonacidic protons were omitted for clarity, and thermal ellipsoids are drawn at the 50% probability level.

strongly implies that the expected hydrogen-bonding interaction would indeed bring an enone inside the cavity to provide an ideal proximity to the hydrogen peroxide ion. This hypothesis is supported by studies with *N*-methylated catalyst **C5** in which we obtained a racemic epoxide **P1**. Our proposed model of the transition state (Figure 2) posits that the chalcone substrate is stabilized by the hydrogen bond from the amide function of a catalyst.



Figure 2. Proposed transition-state model for catalyst C5 with E-chalcone.

A key element determining the high enantioselection of the reaction is the phenyl ring from the amide arm which has a  $\pi$ - $\pi$  stacking interaction with the  $\beta$ -phenyl group of substrate. Such interactions block one of the *E*-chalcone faces. Moreover, the hydroxyl group of the catalyst forms an ionic pair with the hydrogen peroxide ion (HOO<sup>-</sup>) via a hydrogen bond. Consequently, the hydrogen peroxide can reach the  $\beta$ -carbon atom of an enone exclusively from above to afford the  $\alpha S,\beta R$ -product of epoxidation.

The above results turned our attention to the possibility of further improving our reaction. After confirming the stability of catalyst C5 under PTC-epoxidation conditions, we decided to investigate the possibility of its reuse. Scheme 3 presents our concept of conducting 10 epoxidation cycles under subsequent conditions. The chalcone S1, in the presence of 1 mol % of catalyst C5, was used for the first reaction cycle.

After completion of the reaction, another portion of hydrogen peroxide and aqueous NaOH, accompanied by chalcone S1 were added (the second cycle). This procedure was repeated after each reaction cycle in order to maintain full conversion of the reaction. Thus, we were able to carry out epoxidation of chalcone S1 on a 5 g scale, after 10 reaction cycles, and the product was obtained in total with 97% yield and >99% ee. Note that during eight reaction cycles, the model catalytic reaction did not lose any efficiency or enantioselectivity; however, we terminated the experiment after the tenth cycle due to the slightly lowering of the conversion (to 97%). Given that the epoxidation reaction is very clean, after isolation of the desired product P1, we were also able to recover the catalyst C5 from a postreaction mixture with 99% efficiency, simply by precipitating it with the addition of diethyl ether. Given these advantages, the discussed procedure is an excellent solution for epoxidation on a multigram scale, as only 0.1 mol % of the catalyst was used, based on the final amount of the product obtained. Note that when such catalyst loading under classical batch conditions (without sequential addition of reagents) was used, the products were obtained in the form of a racemate with low yield. Such high efficiency of sequential addition of reagents is observed due to the continuous presence of 1 mol % of catalyst in the reaction mixture, which does not lose its activity over time or in the presence of the product.

In summary, we have developed an efficient method for the preparation of enantiomerically pure epoxyketones using hybrid amide-based *Cinchona* alkaloids as catalysts under PTC conditions. The low loading (0.5 mol %) of highly effective catalysts allowed us to obtain a wide range of such chiral epoxyketones with very high yields and with excellent enantioselectivity (up to 99% and 99% ee, respectively). To the best of our knowledge, these are unique results as compared to those obtained with application of known catalysts, while maintaining such low catalyst loading. Additionally, for the first





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time we presented the possibility of reusing *Cinchona* derivatives in the synthesis of optically pure epoxy ketones by follow-up epoxidation cycles without adding a fresh portion of catalyst between subsequent reactions. This approach could be highly valuable in the synthesis of potential building blocks in the field of medicinal, agrochemical, and material chemistry on a large scale. Further work on applying our catalyst library to asymmetric epoxidation with other enones is in progress.

# ASSOCIATED CONTENT

#### **3** Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.orglett.0c03272.

General remarks, experimental procedures, characterization of products, NMR spectra, and HPLC chromatograms (PDF)

# **Accession Codes**

CCDC 2016792 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data\_request/cif, or by emailing data\_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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#### **Author Contributions**

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# Notes

The authors declare no competing financial interest.

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