





## Inverse Relationship Between Serum Carotenoid Levels and Cardiovascular-Kidney-Metabolic Syndrome Among the General Adult Population

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#### **ABSTRACT**

**Objective:** To examine the relationship between serum carotenoid levels and cardiovascular-kidney-metabolic (CKM) syndrome in a representative sample of US adults.

**Methods:** Data from the fasting subsample of the NHANES 2017–2018 were analyzed using a survey-weighted approach to ensure the findings are representative of the broader US adult population. Serum levels of  $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein/zeaxanthin, and lycopene were measured using high-performance liquid chromatography. CKM syndrome stages were defined according to the 2023 American Heart Association guidelines, with advanced CKM syndrome categorized as stages 3 or 4. Associations between serum carotenoids and advanced CKM syndrome were assessed using logistic regression and weighted quantile sum (WQS) regression.

**Results:** The study included 1671 adults aged 20 years and older, with a mean age of 48.7 years and a gender distribution of 50.9% female and 49.1% male. Higher serum levels of α-carotene, β-carotene, α-cryptoxanthin, lutein/zeaxanthin, and lycopene were inversely associated with advanced CKM syndrome. Specifically, compared to the lowest quartile, the highest quartile of α-carotene had an odds ratio (OR) of 0.29 (95% CI: 0.16–0.55), β-carotene 0.35 (95% CI: 0.16–0.78), α-cryptoxanthin 0.23 (95% CI: 0.11–0.49), lutein/zeaxanthin 0.26 (95% CI: 0.14–0.48), and lycopene 0.58 (95% CI: 0.35–0.98). However, β-cryptoxanthin did not show a significant association. Moreover, the combined effect of all carotenoids was significantly negatively correlated with advanced CKM syndrome (OR = 0.67, 95% CI: 0.53–0.86), with lutein/zeaxanthin contributing the most (44.56%).

**Conclusions:** Elevated serum carotenoid levels are inversely associated with the prevalence of advanced CKM syndrome in a dose-dependent manner, with this association remaining consistent across diverse demographic and health subgroups.

Mengli Chen, Shuyue Cai, and Qinfeng Jia contributed equally to this work.

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### **Summary**

- This cross-sectional study found that higher serum levels of  $\alpha$ -carotene,  $\beta$ -carotene, lutein/zeaxanthin, and lycopene were significantly associated with a lower prevalence of advanced cardiovascular-kidney-metabolic (CKM) syndrome.
- The combined effect of six carotenoids showed an inverse relationship, with lutein/zeaxanthin contributing the most.
- These findings suggest a potential protective association between carotenoid levels and advanced CKM outcomes in US adults.

### 1 | Introduction

In October 2023, the American Heart Association (AHA) introduced the concept of cardiovascular-kidney-metabolic (CKM) syndrome, highlighting the interrelated nature of metabolic disorders—such as diabetes and obesity—alongside kidney diseases and cardiovascular conditions [1]. CKM syndrome is characterized as a systemic disorder marked by intricate interactions among metabolic risk factors, chronic kidney disease (CKD), and cardiovascular diseases [1]. This concept is increasingly supported by research [2], which shows a consistent association between metabolic abnormalities, cardiovascular disease (CVD), and CKD, with these conditions frequently occurring together in patients [3]. Moreover, each of these diseases is linked to poor survival rates and elevated mortality [3]. The shared underlying mechanisms among these conditions suggest that the progression of one may exacerbate the others, potentially leading to worse outcomes for patients.

Beyond its clinical framework, the CKM concept underscores the importance of addressing Social Determinants of Health (SDOH) to achieve equitable prevention and management [1]. According to the AHA, systematic integration of SDOH such as financial strain, access to healthcare, education, and environmental influences—into CKM care models is essential for mitigating health disparities and improving outcomes [1]. The overarching goal of the CKM framework is to guide interdisciplinary care that incorporates both clinical and social factors, reflecting the multifaceted nature of CKM syndrome [1]. However, while the present study does not directly address SDOH or the broader CKM framework, it aligns with these objectives by examining potential modifiable factors—specifically serum carotenoids and dietary carotenoid intake that may contribute to CKM prevention and management. Understanding these relationships can inform strategies to complement SDOH-focused interventions within the CKM framework.

Carotenoids are potent antioxidants that protect cells from oxidative damage by neutralizing free radicals [4]. Of the more than 700 identified carotenoids, six— $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lycopene, lutein, and zeaxanthin—account for over 95% of those present in human circulation [5]. Epidemiological evidence indicates that elevated serum carotenoid levels are associated with a reduced risk of cardiovascular

diseases, diabetes, kidney diseases, and other metabolic disorders [6, 7]. Furthermore, several cross-sectional studies have demonstrated that higher serum concentrations of carotenoids correspond with a lower prevalence of metabolic syndrome [8, 9].

Given their antioxidant properties, carotenoids have been extensively studied for their potential impact on CVD, metabolic disorders, and CKD. Numerous observational studies have demonstrated an inverse relationship between carotenoid levels and the risk of atherosclerotic cardiovascular disease [10]. In a study involving 3107 adults with diabetes, elevated serum  $\beta$ -carotene levels were associated with a reduced risk of cardiovascular mortality over a 14-year follow-up period [7]. Additionally, Hirahatake et al. conducted a prospective cohort analysis examining the link between serum carotenoids and kidney function, finding that higher carotenoid levels significantly reduced the risk of rapid kidney function decline over 5 years [11]. These findings suggest that elevated serum carotenoid levels may confer protective benefits across various health conditions, including CKM syndrome.

The mixed findings from these studies indicate that while carotenoids may offer some protective effects against CKM syndrome, the relationship is not consistently observed across all research. Some studies have shown that higher serum carotenoid levels are associated with a reduced risk of developing CVD [12], whereas others have found no significant association between serum carotenoid levels and CVD risk [13]. For example, a recent study reported that increased dietary carotenoid intake was linked to a lower prevalence of CKD among females, but no significant association was found for males [14]. Additionally, higher dietary intake of carotenoids, particularly  $\beta$ -carotene, has been associated with a reduced risk of developing type 2 diabetes (T2D) [15]. However, a randomized controlled trial found no evidence that β-carotene supplementation alone protects against metabolic syndrome [16]. Notably, no prior study has specifically examined the correlation between dietary carotenoid intake and CKM syndrome. Further research is necessary to clarify the potential benefits of carotenoids and to establish clear guidelines for their supplementation in the prevention and management of CKM syndrome.

No previous research has examined the association between serum carotenoid levels and the prevalence of CKM syndrome. This study hypothesizes that higher serum carotenoid levels and dietary carotenoid intake are associated with a lower prevalence of CKM syndrome. Utilizing data from the National Health and Nutrition Examination Survey (NHANES), this research aims to investigate these associations and provide valuable insights into the potential role of carotenoids in CKM syndrome.

## 2 | Materials and Methods

#### 2.1 | Study Population

The NHANES, conducted by the National Center for Health Statistics (NCHS) under the Centers for Disease Control and Prevention (CDC), is a cross-sectional study that evaluates the health and nutritional status of the US population. The survey

combines interviews with physical examinations and laboratory tests, offering a comprehensive dataset that reflects the health of the US population. NHANES employs a complex, multistage probability sampling design to ensure that the results are nationally representative. The NCHS Research Ethics Review Board approved the research protocols, and all participants provided written informed consent. Data are publicly available from the NHANES website at https://www.cdc.gov/nchs/nhanes/index.htm.

The study initially involved 3036 participants from the fasting subsample of NHANES 2017–2018. After excluding 325 individuals due to missing laboratory results or insufficient fasting duration (8 to <24h), 2711 participants remained. Further exclusions were made for those under 20 years old (n=416), missing serum carotenoid data (n=613), and pregnant individuals (n=11). The final analysis included 1671 participants.

## 2.2 | Measurement of Serum Carotenoid Levels

Carotenoids, including  $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein/zeaxanthin, and lycopene, make up over 95% of the carotenoids in human plasma or serum [17]. The NHANES database measured serum concentrations of these carotenoids using a modified high-performance liquid chromatography method with photodiode array detection. The specific carotenoids measured were  $\alpha$ -carotene, trans- $\beta$ -carotene, cis- $\beta$ -carotene,  $\alpha$ -cryptoxanthin,  $\beta$ -cryptoxanthin, combined lutein/zeaxanthin, and total lycopene. Serum  $\beta$ -carotene was determined by summing trans- $\beta$ -carotene and cis- $\beta$ -carotene concentrations. Detailed laboratory procedures and quality control protocols are available at https://wwwn.cdc.gov/Nchs/Nhanes/2017-2018/VITAEC\_J.htm.

## 2.3 | Definition of CKM Syndrome

The stages of CKM Syndrome, ranging from 0 to 4, are defined based on the 2023 AHA Presidential Advisory on CKM Health [1]. The classification of stages is as follows: Stage 0: individuals in this stage are characterized by the absence of CKM risk factors, such as hypertension; Stage 1: this stage includes individuals with overweight, obesity, or dysfunctional adipose tissue, but without the presence of additional metabolic risk factors or CKD; Stage 2: participants in this stage have developed additional metabolic risk factors or present with moderate- to high-risk CKD; Stage 3: individuals in this stage have very high-risk CKD or are predicted to have a high 10-year CVD risk; Stage 4: this final stage is characterized by the presence of established CVD, such as coronary artery disease. Detailed descriptions of these stages, as adapted to the NHANES data, are provided in Supporting Information. For the purpose of this study, advanced CKM syndrome was defined as stages 3 or 4, as these stages encompass individuals who either have or are at high risk of developing CVD.

## 2.4 | Assessment of Covariates

In this analysis, several covariates were included to address potential confounding factors and enhance the validity of the findings. The demographic variables comprised age (continuous), sex (male or female), race/ethnicity (Non-Hispanic White, Non-Hispanic Black, or other), and education level (less than high school, high school, or more than high school). Socioeconomic status was assessed using the family poverty income ratio (PIR), categorized as  $\leq 1.0$ , 1.1–3.0, or > 3.0, which represents the ratio of family income to a poverty threshold specific to family size, as defined by the US Department of Health and Human Services [18]. Drinking Status was categorized into nondrinker, low-tomoderate drinker (less than 2 drinks/day for men and less than 1 drink/day for women), and heavy drinker (2 or more drinks/ day for men and 1 or more drinks/day for women) [7]. Physical Activity was classified as inactive (no leisure-time physical activity), insufficiently active (moderate activity 1-5 times per week with MET of 3-6, or vigorous activity 1-3 times per week with MET >6), and active (more frequent moderate or vigorous leisure-time activity) [19]. The Healthy Eating Index (HEI), calculated from 24-h dietary recall data, evaluates diet quality according to the 2015-2020 Dietary Guidelines for Americans [20]. The HEI comprises 13 components with a maximum score of 100, providing a detailed measure of adherence to key dietary recommendations.

## 2.5 | Statistical Analyses

To address the complex multilevel sampling design of NHANES, all analyses incorporated sampling weights, strata, and primary sampling units (PSUs) to ensure nationally representative estimates. Continuous variables were reported as mean  $\pm$  standard deviation for normally distributed data and as median with quartiles for nonnormally distributed data. Normal distributions were analyzed using Student's t-test, while nonnormal distributions were assessed with the Mann–Whitney U test. Chi-square tests were used for categorical variables. The Benjamini–Hochberg (BH) method was used to control the false discovery rate (FDR) for multiple comparisons.

The analysis focused on adults aged 20 years and older from the NHANES fasting subsample, which represents approximately half of the US noninstitutionalized population. Missing data rates for physical examination and laboratory measurements in this subsample were low (<10%). Missing values for covariates were handled using multiple imputation with the "mice" package, employing a random forest algorithm.

The effect of individual serum carotenoids ( $\alpha$ -carotene,  $\beta$ -carotene,  $\alpha$ -cryptoxanthin,  $\beta$ -cryptoxanthin, combined lutein/zeaxanthin, and total lycopene) on advanced CKM syndrome (Stages 3 or 4) was evaluated using survey-weighted logistic regression models. Results are presented as odds ratios (ORs) with 95% confidence intervals (CIs). Variance inflation factors (VIFs) were calculated to assess multicollinearity among covariates in the regression models, and no evidence of multicollinearity was identified. Restricted cubic splines (RCS) with three knots placed at the 10th, 50th, and 90th percentiles of the independent variable distribution were used to evaluate the linearity assumption between the independent variables and the odds of the outcome, and to explore potential nonlinear relationships.

Spearman's correlation coefficients were calculated to assess correlations among the serum carotenoids. The combined effect of the six carotenoids on advanced CKM syndrome was analyzed using weighted quantile sum (WQS) regression. This approach involved creating a WQS index by assigning weights to each carotenoid concentration via bootstrap sampling. Carotenoid concentrations were divided into deciles, and the data were split into training (40%) and validation (60%) sets, with 5000 bootstrap iterations. The combined effect of the six carotenoids was assessed by regressing the WQS index on advanced CKM syndrome using a fully adjusted model. Data analysis was conducted using R (version 4.1.2), the "survey" package was used for the weight analysis, and the "gWQS" package was employed for WQS regression. Statistical significance was defined as a *p* value less than 0.05.

### 3 | Results

## 3.1 | Characteristics of Study Participants

The study analyzed 1671 adults aged 20 years and older from the NHANES 2017-2018 fasting subsample, identifying 391 participants (23.4%) with advanced CKM syndrome (Stages 3 or 4) (Table 1). The mean age was 48.70 years, with the advanced CKM group significantly older (68.45 vs. 44.55 years, p < 0.01). Gender distribution was balanced (50.89% female, 49.11% male), with a higher prevalence of males in the advanced CKM group (54.66%) and a slight female majority in the non-CKM group (52.06%), though this difference was not statistically significant (p=0.08). Significant differences were observed in race/ethnicity (p = 0.04), with Non-Hispanic Whites more common in the advanced CKM group, and in education levels, with lower education more prevalent in the advanced CKM group (p = 0.02). Family PIR differed, with more individuals in the middle PIR category (1.1–3.0) in the advanced CKM group (p = 0.04). There were no significant differences in serum cotinine levels. However, lifestyle factors, such as drinking status and physical activity, showed marked differences, with more nondrinkers and inactive individuals in the advanced CKM group (p < 0.01). Healthy Eating Index scores were consistent across both groups (p=0.90).

The distribution of serum and dietary carotenoids in adults in the NHANES 2017–2018 is shown in Table S1. Median serum concentrations were as follows:  $\alpha\text{-carotene}$  at  $2.81\,\mu\text{g/dL}$  (IQR:  $1.50-5.51\,\mu\text{g/dL}$ ),  $\beta\text{-carotene}$  at  $13.60\,\mu\text{g/dL}$  (IQR:  $7.92-23.11\,\mu\text{g/dL}$ ),  $\alpha\text{-cryptox}$  anthin at  $2.28\,\mu\text{g/dL}$  (IQR:  $1.63-3.22\,\mu\text{g/dL}$ ),  $\beta\text{-cryptox}$  anthin at  $6.31\,\mu\text{g/dL}$  (IQR:  $3.90-10.50\,\mu\text{g/dL}$ ), lutein/zeaxanthin at  $16.10\,\mu\text{g/dL}$  (IQR:  $11.00-23.30\,\mu\text{g/dL}$ ), and lycopene at  $37.50\,\mu\text{g/dL}$  (IQR:  $25.80-50.40\,\mu\text{g/dL}$ ). Dietary intake levels showed even broader variation:  $\alpha\text{-carotene}$  at  $45.00\,\mu\text{g/day}$  (IQR:  $11.00-186.00\,\mu\text{g/day}$ ),  $\beta\text{-carotene}$  at  $797.00\,\mu\text{g/day}$  (IQR:  $327.00-2607.00\,\mu\text{g/day}$ ),  $\beta\text{-cryptox}$  anthin at  $26.00\,\mu\text{g/day}$  (IQR:  $7.00-80.00\,\mu\text{g/day}$ ), lycopene at  $782.00\,\mu\text{g/day}$  (IQR:  $350.00-1551.00\,\mu\text{g/day}$ ), and lutein/zeaxanthin at  $1756.00\,\mu\text{g/day}$  (IQR:  $1.00-6213.00\,\mu\text{g/day}$ ).

In addition, Table S2 presents the survey-weighted characteristics of adults across CKM stages 0-4. The analysis reveals

significant reductions in serum carotenoid levels— $\alpha$ -carotene,  $\beta$ -carotene,  $\alpha$ -cryptoxanthin,  $\beta$ -cryptoxanthin, lutein/zeaxanthin, and lycopene—as CKM syndrome advances. Median levels of all six carotenoids were highest in Stage 0 and decreased progressively through Stages 1 to 4.

# 3.2 | Associations Between Serum Carotenoids and Advanced CKM Syndrome

The multiple logistic regression analysis revealed significant associations between serum carotenoid levels and advanced CKM syndrome among adults (Table 2). In both the crude model and Model, all carotenoids except β-carotene were inversely associated with advanced CKM syndrome. After adjusting for multivariable factors, significant inverse associations persisted for  $\alpha$ -carotene,  $\beta$ -carotene,  $\alpha$ -cryptoxanthin, lutein/zeaxanthin, and lycopene. Specifically, compared to the lowest quartile, the highest quartile of each carotenoid was associated with reduced odds of advanced CKM syndrome: α-carotene (OR=0.29 [95% CI: 0.16–0.55],  $p_{\rm trend} < 0.01), \; \beta \text{-carotene} \; ({\rm OR} = 0.35 \; [0.16 - 0.78], \;$ α-cryptoxanthin (OR = 0.23)[0.11-0.49], $p_{\text{trend}} = 0.05$ ), lutein/zeaxanthin (OR = 0.26)[0.14-0.48], $p_{\rm trend} < 0.01$ ),  $p_{\text{trend}}$  < 0.01), and lycopene (OR = 0.58 [0.35-0.98],  $p_{\text{trend}}$  = 0.05). In contrast, β-cryptoxanthin did not show a significant association after multivariable adjustment. Additionally, RCS regression indicated a linear relationship between all serum carotenoids levels and advanced CKM syndrome (Figure 1).

# 3.3 | Associations Between the Combine of Six Serum Carotenoids and Advanced CKM Syndrome

The pairwise Spearman's correlation coefficients among the six serum carotenoids ranged from 0.25 to 0.80, indicating varying degrees of correlation (Figure 2A). The WQS regression analysis demonstrated a significant negative association between the combined levels of all six carotenoids ( $\alpha$ -carotene,  $\beta$ -carotene,  $\alpha$ -cryptoxanthin,  $\beta$ -cryptoxanthin, lutein/zeaxanthin, and lycopene) and advanced CKM syndrome, with an OR of 0.67 (95% CI: 0.53–0.86, p<0.01). Figure 2B presents the estimated weights for each carotenoid's contribution to the overall association with advanced CKM syndrome. Notably, lutein/zeaxanthin had the highest weight, contributing 44.56% to the combined effect, suggesting a strong association with lower prevalence of advanced CKM syndrome.

## 3.4 | Subgroup Analysis and Sensitivity Analysis

The subgroup analyses examining the associations between serum lutein/zeaxanthin levels and advanced CKM syndrome revealed that none of the interaction terms were statistically significant (Table 3). This indicates that the association between serum lutein/zeaxanthin levels and advanced CKM syndrome was consistent across subgroups defined by these demographic and behavioral factors (including age, sex, race/ethnicity, education level, family PIR, serum cotinine, drinking status, and physical activity), with no notable differences observed.

**TABLE 1** | Survey-weighted characteristics of adults ≥ 20 years in the fasting subsample of NHANES 2017–2018.

|                         |                    | Advanced CKM syn |                  |           |
|-------------------------|--------------------|------------------|------------------|-----------|
| Characteristics         | Overall $(n=1671)$ | No (n=1280)      | Yes (n = 391)    | <b>p*</b> |
| Age, years              | $48.70 \pm 0.87$   | 44.55 ± 0.94     | $68.45 \pm 0.69$ | < 0.01    |
| Sex, %                  |                    |                  |                  | 0.08      |
| Female                  | 872 (50.89)        | 697 (52.06)      | 175 (45.34)      |           |
| Male                    | 799 (49.11)        | 583 (47.94)      | 216 (54.66)      |           |
| Race/ethnicity, %       |                    |                  |                  | 0.04      |
| Non-Hispanic White      | 558 (62.07)        | 378 (60.72)      | 180 (68.49)      |           |
| Non-Hispanic Black      | 397 (11.93)        | 300 (11.77)      | 97 (12.67)       |           |
| Other race              | 716 (26.00)        | 602 (27.51)      | 114 (18.85)      |           |
| Education level, %      |                    |                  |                  | 0.02      |
| Below high school       | 349 (12.12)        | 245 (10.72)      | 104 (18.82)      |           |
| High school             | 391 (28.64)        | 289 (28.13)      | 102 (31.07)      |           |
| Above high school       | 931 (59.24)        | 746 (61.15)      | 185 (50.11)      |           |
| Family PIR, %           |                    |                  |                  | 0.04      |
| ≤1.0                    | 301 (11.85)        | 233 (11.69)      | 68 (12.60)       |           |
| 1.1-3.0                 | 744 (39.18)        | 546 (37.15)      | 198 (48.83)      |           |
| >3.0                    | 626 (48.97)        | 501 (51.16)      | 125 (38.57)      |           |
| Serum cotinine, ng/mL   |                    |                  |                  | 0.44      |
| < 0.05                  | 937 (57.69)        | 704 (56.94)      | 233 (61.26)      |           |
| 0.05-2.99               | 331 (17.47)        | 252 (17.20)      | 79 (18.78)       |           |
| >2.99                   | 403 (24.84)        | 324 (25.87)      | 79 (19.96)       |           |
| Drinking status, %      |                    |                  |                  | < 0.01    |
| Nondrinker              | 523 (22.75)        | 344 (19.25)      | 179 (39.39)      |           |
| Low-to-moderate drinker | 1004 (66.49)       | 815 (68.66)      | 189 (56.18)      |           |
| Heavy drinker           | 144 (10.75)        | 121 (12.08)      | 23 (4.43)        |           |
| Physical activity, %    |                    |                  |                  | < 0.01    |
| Inactive                | 437 (20.99)        | 277 (17.89)      | 160 (35.72)      |           |
| Insufficiently active   | 485 (29.18)        | 373 (29.01)      | 112 (30.01)      |           |
| Active                  | 749 (49.83)        | 630 (53.11)      | 119 (34.28)      |           |
| Healthy eating index    | $49.20 \pm 0.75$   | $49.23 \pm 0.77$ | $49.05 \pm 1.36$ | 0.90      |

Note: Normally distributed continuous variables are described as means  $\pm$  SEs. Sampling weights were applied for calculation of demographic descriptive statistics; N reflect the study sample while percentages reflect the survey-weighted data.

Additional sensitivity analyses were conducted to verify the robustness of the associations between serum carotenoids and advanced CKM syndrome. First, adjusting for dietary carotenoid intakes did not significantly affect the inverse associations observed (Table S3). Second, incorporating dietary carotenoid supplements into the model retained significant associations for most carotenoids (Table S4). Third, when adjusting for both dietary intakes and supplements, the significant inverse relationships between serum carotenoids and

advanced CKM syndrome persisted (Table S5). Additionally, as shown in Table S6, higher oxidative balance scores (OBS) were significantly associated with a lower prevalence of advanced CKM syndrome (Stages 3 or 4) across all models. Furthermore, Figure S2 demonstrates that the combined effects of six serum carotenoids were significantly associated with lower prevalence of CVD (OR = 0.64 [0.48–0.84]; p < 0.01) and combined CVD and CKD (OR = 0.78 [0.63–0.96]; p = 0.02), but not CKD alone (OR = 0.75 [0.50–1.12]; p = 0.17).

Abbreviations: CKM, cardiovascular-kidney-metabolic; PIR, poverty income ratio.

<sup>\*</sup>p values were adjusted for multiple comparisons using the Benjamini–Hochberg method to control the false discovery rate.

**TABLE 2** Associations of quartiles of serum carotenoids levels with the prevalence of advanced CKM syndrome (Stages 3 or 4) among adults in NHANES 2017–2018.

|               | Serum carotenoids (μg/dL) |                  |                  |                  |                         |
|---------------|---------------------------|------------------|------------------|------------------|-------------------------|
|               | Quartile 1                | Quartile 2       | Quartile 3       | Quartile 4       | $p_{\mathrm{trend}}^{}$ |
| α-Carotene    |                           |                  |                  |                  |                         |
| Crude         | 1 [Reference]             | 0.88 (0.50-1.56) | 0.90 (0.54-1.49) | 0.56 (0.37-0.85) | 0.04                    |
| Model 1       | 1 [Reference]             | 0.59 (0.27-1.28) | 0.48 (0.23-0.98) | 0.26 (0.15-0.45) | < 0.01                  |
| Model 2       | 1 [Reference]             | 0.63 (0.35–1.15) | 0.54 (0.27-1.10) | 0.29 (0.16-0.55) | < 0.01                  |
| β-Carotene    |                           |                  |                  |                  |                         |
| Crude         | 1 [Reference]             | 0.88 (0.39-1.95) | 1.09 (0.62-1.90) | 0.74 (0.41-1.37) | 0.55                    |
| Model 1       | 1 [Reference]             | 0.75 (0.24-2.33) | 0.77 (0.34–1.76) | 0.30 (0.15-0.61) | 0.02                    |
| Model 2       | 1 [Reference]             | 0.85 (0.31-2.33) | 0.87 (0.39-1.97) | 0.35 (0.16-0.78) | 0.05                    |
| α-Cryptoxantl | nin                       |                  |                  |                  |                         |
| Crude         | 1 [Reference]             | 0.56 (0.35-0.88) | 0.34 (0.22-0.53) | 0.21 (0.12-0.39) | < 0.01                  |
| Model 1       | 1 [Reference]             | 0.56 (0.36-0.85) | 0.35 (0.20-0.64) | 0.22 (0.11-0.45) | < 0.01                  |
| Model 2       | 1 [Reference]             | 0.62 (0.38-1.01) | 0.38 (0.21-0.66) | 0.23 (0.11-0.49) | < 0.01                  |
| β-Cryptoxantl | nin                       |                  |                  |                  |                         |
| Crude         | 1 [Reference]             | 0.50 (0.35-0.71) | 0.27 (0.15-0.47) | 0.37 (0.19-0.73) | < 0.01                  |
| Model 1       | 1 [Reference]             | 0.63 (0.37–1.06) | 0.39 (0.20-0.75) | 0.42 (0.20-0.88) | 0.02                    |
| Model 2       | 1 [Reference]             | 0.59 (0.36-0.96) | 0.44 (0.21-0.90) | 0.50 (0.22-1.14) | 0.06                    |
| Lutein/zeaxar | thin                      |                  |                  |                  |                         |
| Crude         | 1 [Reference]             | 0.73 (0.44-1.21) | 1.01 (0.63-1.63) | 0.53 (0.37-0.76) | 0.04                    |
| Model 1       | 1 [Reference]             | 0.47 (0.27-0.84) | 0.64 (0.39-1.06) | 0.23 (0.12-0.41) | < 0.01                  |
| Model 2       | 1 [Reference]             | 0.50 (0.27-0.94) | 0.74 (0.49-1.13) | 0.26 (0.14-0.48) | < 0.01                  |
| Lycopene      |                           |                  |                  |                  |                         |
| Crude         | 1 [Reference]             | 0.57 (0.35-0.92) | 0.34 (0.22-0.51) | 0.29 (0.18-0.46) | < 0.01                  |
| Model 1       | 1 [Reference]             | 0.62 (0.33-1.17) | 0.63 (0.32-1.22) | 0.51 (0.29-0.89) | 0.04                    |
| Model 2       | 1 [Reference]             | 0.68 (0.36-1.28) | 0.69 (0.39-1.21) | 0.58 (0.35-0.98) | 0.05                    |

Note: Data are presented as OR (95% CI) unless indicated otherwise; Model 1 was adjusted for age (continuous), sex (male or female), and race (Non-Hispanic White, Non-Hispanic Black, or Other); Model 2 was adjusted for Model 1 plus education level (below high school, high school, or above high school), family PIR ( $\leq$ 1.0, 1.1–3.0, or > 3.0), serum cotinine (<0.05, 0.05–2.99, or > 2.99), drinking status (nondrinker, low-to-moderate drinker, heavy drinker), physical activity (inactive, insufficiently active, or active), and Healthy Eating Index (continuous).

Among the six carotenoids, lutein/zeaxanthin consistently showed the greatest contribution to these associations. These results collectively support the reliability and consistency of the observed inverse associations across various sensitivity analyses.

Table S7 displays the associations between quartiles of dietary carotenoid intake and advanced CKM syndrome. After adjusting for multivariable factors, the results indicate that dietary intakes of  $\alpha\text{-carotene},\ \beta\text{-carotene},\ \alpha\text{-cryptoxanthin},\ \beta\text{-cryptoxanthin},\ lutein/zeaxanthin,\ and\ lycopene\ did\ not\ exhibit\ significant\ trends\ or\ associations\ with\ advanced\ CKM\ syndrome\ across\ the\ quartiles.$ 

#### 4 | Discussion

In this large cross-sectional study involving 1671 adults aged 20 years and older from the NHANES 2017–2018 dataset, we observed that higher concentrations of several carotenoids in the bloodstream, including  $\alpha\text{-carotene},\ \beta\text{-carotene},\ \alpha\text{-cryptoxanthin, lutein/zeaxanthin, and lycopene, were associated with a lower prevalence of CKM syndrome. However, no significant association was found between <math display="inline">\beta\text{-cryptoxanthin}$  levels and CKM syndrome. The overall combined effect of carotenoids showed an inverse relationship with advanced stages of CKM syndrome. Notably, among the carotenoids studied, lutein/zeaxanthin showed the strongest association,

<sup>\*</sup>p values were adjusted for multiple comparisons using the Benjamini–Hochberg method to control the false discovery rate.

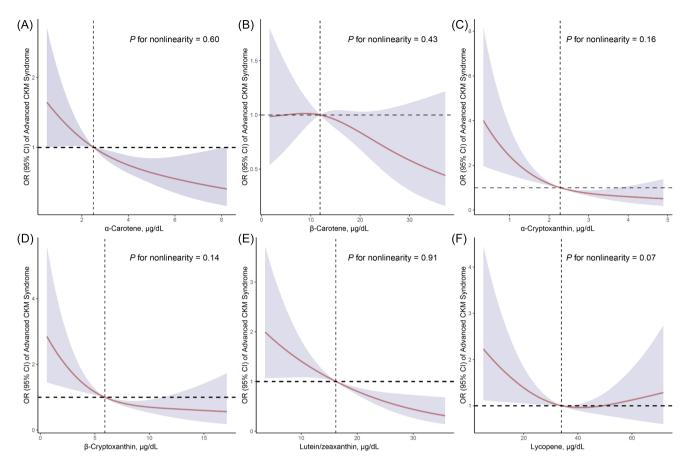


FIGURE 1 | Restricted cubic spline (RCS) analysis with multivariate-adjusted associations of serum carotenoids levels with advanced CKM syndrome (Stages 3 or 4) among adults in NHANES 2017–2018. Models are adjusted for age (continuous), sex (male or female), race (Non-Hispanic White, Non-Hispanic Black, or other), education level (below high school, high school, or above high school), family PIR ( $\leq$ 1.0, 1.1–3.0, or >3.0), serum cotinine (<0.05, 0.05–2.99, or >2.99), drinking status (nondrinker, low-to-moderate drinker, heavy drinker), physical activity (inactive, insufficiently active, or active), and HEI (continuous).

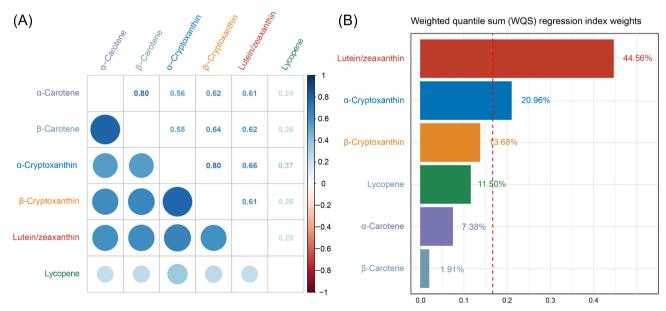


FIGURE 2 | Pairwise Spearman correlation coefficients among serum carotenoids (A). WQS model regression index weights for each carotenoid for advanced CKM syndrome (Stages 3 or 4) among adults in NHANES 2017–2018 (B). WQS regression was adjusted as age (continuous), sex (male or female), race (Non-Hispanic White, Non-Hispanic Black, or other), education level (below high school, high school, or above high school), family PIR ( $\leq$ 1.0, 1.1–3.0, or > 3.0), serum cotinine (<0.05, 0.05–2.99, or > 2.99), drinking status (nondrinker, low-to-moderate drinker, heavy drinker), physical activity (inactive, insufficiently active, or active), and HEI (continuous). WQS: weighted quantile sum.

**TABLE 3** | Stratified analyses of the associations between quartiles of serum lutein/zeaxanthin levels with the prevalence of advanced CKM syndrome (Stages 3 or 4) among adults in NHANES 2017–2018.

| Subgroups               |      | Serum lutein/zeaxanthin levels |                  |                   |                  |        |
|-------------------------|------|--------------------------------|------------------|-------------------|------------------|--------|
|                         | N    | Quartile 1                     | Quartile 2       | Quartile 3        | Quartile 4       | p-int* |
| Age                     |      |                                |                  |                   |                  | 0.33   |
| 20-59                   | 1032 | 1 [Reference]                  | 0.33 (0.11-1.03) | 0.87 (0.35-2.13)  | 0.47 (0.17-1.35) |        |
| ≥60                     | 639  | 1 [Reference]                  | 0.80 (0.41–1.57) | 1.04 (0.47-2.32)  | 0.41 (0.19-0.86) |        |
| Sex                     |      |                                |                  |                   |                  | 0.29   |
| Female                  | 872  | 1 [Reference]                  | 0.27 (0.13-0.59) | 0.62 (0.27-1.43)  | 0.17 (0.07-0.38) |        |
| Male                    | 799  | 1 [Reference]                  | 0.90 (0.44-1.82) | 1.25 (0.56-2.79)  | 0.45 (0.20-1.01) |        |
| Race/ethnicity          |      |                                |                  |                   |                  | 0.38   |
| Non-Hispanic White      | 558  | 1 [Reference]                  | 0.54 (0.20-1.49) | 0.86 (0.41-1.78)  | 0.28 (0.10-0.76) |        |
| Non-Hispanic Black      | 397  | 1 [Reference]                  | 0.50 (0.20-1.30) | 1.08 (0.31-3.83)  | 0.25 (0.07-0.88) |        |
| Other                   | 716  | 1 [Reference]                  | 0.24 (0.13-0.42) | 0.28 (0.16-0.49)  | 0.12 (0.07-0.23) |        |
| Education level         |      |                                |                  |                   |                  | 0.41   |
| Below high school       | 349  | 1 [Reference]                  | 0.15 (0.03-0.78) | 0.28 (0.09-0.86)  | 0.22 (0.05-0.97) |        |
| High school             | 391  | 1 [Reference]                  | 0.32 (0.09-1.09) | 1.00 (0.25-3.91)  | 0.23 (0.07-0.83) |        |
| Above high school       | 931  | 1 [Reference]                  | 0.79 (0.31-2.02) | 0.68 (0.25-1.86)  | 0.24 (0.10-0.58) |        |
| Family PIR              |      |                                |                  |                   |                  | 0.30   |
| ≤1.0                    | 301  | 1 [Reference]                  | 0.15 (0.05-0.40) | 0.55 (0.20-1.50)  | 0.41 (0.10-1.72) |        |
| 1.1-3.0                 | 744  | 1 [Reference]                  | 0.55 (0.22-1.35) | 0.88 (0.31-2.53)  | 0.28 (0.10-0.78) |        |
| > 3.0                   | 626  | 1 [Reference]                  | 0.58 (0.22-1.53) | 0.80 (0.26-2.46)  | 0.21 (0.05-0.80) |        |
| Serum cotinine, ng/mL   |      |                                |                  |                   |                  | 0.30   |
| < 0.05                  | 937  | 1 [Reference]                  | 0.46 (0.23-0.93) | 0.43 (0.22-0.83)  | 0.21 (0.08-0.52) |        |
| 0.05-2.99               | 331  | 1 [Reference]                  | 0.94 (0.13-6.66) | 3.18 (0.51–19.68) | 0.25 (0.06-1.04) |        |
| > 2.99                  | 403  | 1 [Reference]                  | 0.46 (0.16-1.31) | 1.32 (0.33-5.37)  | 0.27 (0.07-1.11) |        |
| Drinking status         |      |                                |                  |                   |                  | 0.21   |
| Nondrinker              | 523  | 1 [Reference]                  | 1.24 (0.47-3.31) | 1.37 (0.61–3.06)  | 0.42 (0.16-1.11) |        |
| Low-to-moderate drinker | 1004 | 1 [Reference]                  | 0.33 (0.12-0.88) | 0.53 (0.26-1.08)  | 0.19 (0.08-0.48) |        |
| Heavy drinker           | 144  | 1 [Reference]                  | 0.10 (0.03-0.40) | 0.77 (0.08-7.51)  | 0.10 (0.01-1.38) |        |
| Physical activity       |      |                                |                  |                   |                  | 0.29   |
| Inactive                | 437  | 1 [Reference]                  | 0.44 (0.13-1.51) | 0.57 (0.20-1.58)  | 0.39 (0.12-1.33) |        |
| Insufficiently active   | 485  | 1 [Reference]                  | 0.32 (0.10-1.03) | 0.14 (0.03-0.68)  | 0.12 (0.03-0.41) |        |
| Active                  | 749  | 1 [Reference]                  | 0.62 (0.15-2.58) | 1.96 (0.91-4.21)  | 0.19 (0.10-0.35) |        |

Note: Data are presented as OR (95% CI) unless indicated otherwise; Analyses were adjusted for age (continuous), sex (male or female), race (Non-Hispanic White, Non-Hispanic Black, or other), education level (below high school, high school, or above high school), family PIR ( $\leq$ 1.0, 1.1–3.0, or > 3.0), serum cotinine (<0.05, 0.05–2.99, or > 2.99), drinking status (nondrinker, low-to-moderate drinker, heavy drinker), physical activity (inactive, insufficiently active, or active), and HEI (continuous) when they were not the strata variables.

accounting for 44.56% of the total combined influence on advanced CKM syndrome.

As a newly recognized concept, CKM syndrome is a complex and multifaceted condition that profoundly affects overall

health and quality of life. For individuals with CKM syndrome, lifestyle management is crucial for slowing disease progression and preventing cardiovascular complications. The intake of antioxidant vitamins is particularly important in this context [21]. Carotenoids, which are potent antioxidants, are naturally

Abbreviations: CKM, cardiovascular-kidney-metabolic; *p*-int, *p* for interaction; PIR, poverty income ratio.

 $<sup>*</sup>p \ \text{values were adjusted for multiple comparisons using the Benjamini-Hochberg method to control the false discovery rate.}$ 

occurring pigments found in fruits, vegetables, and other plantbased foods. Certain carotenoids, such as beta-carotene, serve as precursors to vitamin A, an essential nutrient for human health.

Research has provided evidence suggesting that carotenoids may play a protective role in metabolic diseases, CVD and CKD. Previous studies have indicated that increasing β-carotene intake or elevating its serum or plasma levels may help to reduce cardiovascular risk [22]. For instance, a population-based study of middle-aged men found that higher levels of  $\beta$ -carotene in the bloodstream were associated with a reduced risk of developing congestive heart failure [23]. Additionally, a cross-sectional study based on NHANES data observed a link between higher carotenoid intake and lower odds of non-alcoholic fatty liver disease (NAFLD) [24]. Moreover, a community-based crosssectional analysis of 2148 participants aged 50-75 years in China reported an inverse correlation between serum carotenoid levels and the prevalence of metabolic syndrome [25]. Similarly, Ford et al., in a study conducted between 1988 and 1994 involving 8808 adults, found that individuals with metabolic syndrome generally had lower carotenoid levels, with the exception of lycopene [26]. Hirahatake et al. conducted a comprehensive analysis on the long-term effects of serum carotenoids on renal health. Their study found that individuals in the highest quartile of serum carotenoids had a significantly lower risk of experiencing a rapid decline in renal function compared to those in the lowest quartile [11]. In the context of Type 2 diabetes, high serum  $\beta$ -carotene levels were associated with an increased risk of cardiovascular mortality, while other individual carotenoids did not show significant effects on cardiovascular outcomes [7]. Although research on the relationship between carotenoids and the development of CKD is limited, recent studies suggest that dietary carotenoid intake may improve prognosis and reduce mortality risk in CKD patients [27]. Consistent with previous research, our study also found an inverse relationship between serum carotenoid levels and advanced CKM syndrome, suggesting that carotenoids may play a protective role in the development of CKM syndrome.

CKM syndrome is closely linked with inflammation, with systemic inflammation and oxidative stress playing pivotal roles in its development and progression [3]. Carotenoids, such as βcarotene, lutein, zeaxanthin, and lycopene, are well-regarded for their antioxidant properties. These antioxidants help mitigate oxidative stress and inflammation, both of which contribute to the onset and progression of various metabolic diseases. Reactive oxygen species (ROS) can activate inflammatory pathways, resulting in chronic inflammation. The kidneys are especially vulnerable to oxidative stress, as ROS can damage the glomeruli and tubules, impairing renal function and leading to chronic kidney disease and other renal complications [28]. Furthermore, oxidative stress plays a significant role in the remodeling of the heart and blood vessels, potentially leading to conditions such as left ventricular hypertrophy and atherosclerosis [29, 30]. Carotenoids, by reducing oxidative stress, help minimize the cellular damage caused by these ROS [31]. Additionally, carotenoids support the functionality of immune cells, enabling them to respond effectively to infections and other threats. Smoking and obesity are linked to lower serum carotenoid concentrations, which may indicate increased oxidative stress and a higher need for antioxidants in these individuals [32, 33]. Research has shown that lycopene can reduce the production of inflammation-related cytokines, potentially lowering the risk of metabolic disorders like obesity and insulin resistance [26]. Overall, carotenoids help mitigate oxidative damage by neutralizing free radicals and singlet oxygen, thereby reducing oxidative stress [34]. As a sensitivity analysis, we further incorporated the OBS into our investigation. OBS is a composite score reflecting the balance between pro-oxidant and antioxidant factors [35–38], including dietary components such as carotenoids. Our analysis revealed that OBS was inversely associated with advanced CKM syndrome, aligning with our findings on carotenoid concentrations. This further supports the association between oxidative stress and CKM syndrome and highlights the potentially important role that maintaining a balanced oxidative environment may play in the disease state.

Our study found that lutein and zeaxanthin exhibit the strongest protective effects against CKM syndrome among the carotenoids examined. These carotenoids have been shown to improve endothelial function, which is crucial for the health of blood vessels [39]. Longer telomeres are linked to a reduced risk of age-related diseases [40]. By preserving telomere length, lutein and zeaxanthin may help to lower the risk or delay the onset of conditions such as stroke, diabetes, cardiovascular disease, and cancer [40]. Additionally, these carotenoids have been found to reduce markers of oxidative cardiovascular damage, such as the oxidative modification of low-density lipoprotein cholesterol, which is closely associated with atherosclerosis development [41]. Therefore, lutein holds promise as a beneficial nutrient for CKM patients by mitigating chronic inflammation. Moreover, potential synergistic effects between different carotenoids, or between carotenoids and other nutrients, could further enhance their protective impact against CKM syndrome.

However, the impact of individual carotenoids on CKM syndrome protection may vary. Notably,  $\beta$ -cryptoxanthin was an exception, as its levels did not show a significant effect on CKM syndrome. This discrepancy may suggest that  $\beta$ -cryptoxanthin is metabolized or utilized differently from other carotenoids, or it could reflect unique dietary patterns associated with  $\beta$ -cryptoxanthin-rich foods. While  $\beta$ -cryptoxanthin has been shown to reduce the production of pro-inflammatory cytokines and mitigate inflammation in animal models [42], more clinical studies are needed to clarify its specific relationship with CKM syndrome.

While dietary carotenoids are generally linked to a reduced risk of cardiovascular disease, the impact of carotenoid supplements on CKM syndrome in this study was less clear. The relationship between dietary carotenoids and CKM syndrome in the general population is inconsistent. Some studies suggest that carotenoids have a protective effect against CVD, while others find no significant associations, particularly with high-dose  $\beta$ -carotene supplements [43]. In fact, some trials have reported an increased risk of adverse cardiovascular events among participants receiving  $\beta$ -carotene supplements compared with those who did not [44, 45]. A recent meta-analysis indicated that dietary  $\beta$ -carotene does not significantly affect the risk of major cardiovascular diseases [46]. At lower concentrations,  $\beta$ -carotene is a potent antioxidant that neutralizes free radicals and protects cells from oxidative damage [47, 48]. However, at high concentrations,  $\beta$ -carotene

may lose its antioxidant efficacy [47, 48]. Previous studies have shown that serum carotenoid levels are more strongly associated with health outcomes than dietary intake [24]. The variability in carotenoid absorption due to factors such as genetics, dietary fat intake, and the presence of other dietary components may also play a role [49]. The limited sample size in our study may have contributed to inconclusive results. Therefore, future research with larger sample sizes is needed to achieve more reliable and statistically significant findings.

Our study found that higher serum carotenoid levels were inversely associated with the prevalence of advanced CKM syndrome, while no such association was observed with dietary carotenoid intake. This suggests that blood levels of carotenoids may better reflect their bioavailability and protective effects in the body compared to dietary intake. The inverse relationship between serum lutein/zeaxanthin and CKM syndrome, in particular, suggests that carotenoid-rich diets could be beneficial for populations at risk for CKM syndrome. While our study did not find a direct link between dietary carotenoids and CKM, the strong association with serum carotenoids implies that increasing carotenoid intake through diet could potentially raise blood levels and provide a protective effect. These findings have important practical implications. If future studies confirm that increasing carotenoid intake can improve serum levels and reduce CKM syndrome risk, dietary interventions could become an accessible and cost-effective strategy for preventing or managing CKM syndrome in high-risk populations. Such interventions may include promoting the consumption of carotenoid-rich foods such as leafy greens, colorful fruits, and vegetables, or even considering supplementation in certain populations. Further longitudinal and interventional studies are needed to explore the potential benefits of dietary strategies or supplementation aimed at improving carotenoid status and reducing CKM syndrome risk.

Our study has several limitations. First, the cross-sectional design of this study limits the ability to establish causality, making it unclear whether serum carotenoid levels are a consequence of CKM syndrome or a contributing factor. Longitudinal studies are needed to explore the temporal relationship between carotenoid levels and CKM syndrome. Additionally, randomized controlled trials could help confirm the potential protective role of carotenoids and provide stronger evidence for their use in CKM prevention and management strategies. Second, some data, especially regarding dietary intake and lifestyle factors, were selfreported, which may introduce recall bias or misclassification. Third, the relatively small sample size may limit the visibility of associations between dietary carotenoid levels and CKM syndrome prevalence. Fourth, while we adjusted for major sociodemographic characteristics and lifestyle factors, there may still be unmeasured variables that could affect the relationship between carotenoid levels and CKM syndrome. Finally, the CKM syndrome staging in our study was adapted from the AHA proposal due to the limitations of the publicly available NHANES data. As not all AHA-recommended biomarkers and diagnostic criteria were available, our findings should be interpreted with caution. Future studies are warranted to incorporate a more comprehensive assessment of CKM syndrome staging using the full spectrum of AHA criteria.

## 5 | Conclusion

This cross-sectional analysis of a representative sample of US adults demonstrated a significant inverse relationship between the combined of all six serum carotenoids ( $\alpha\text{-carotene}, \beta\text{-carotene}, \alpha\text{-cryptoxanthin}, \beta\text{-cryptoxanthin}, lutein/zeaxanthin, and lycopene) and advanced CKM syndrome. Among these, lutein/zeaxanthin showed the strongest protective effect. Further research, including prospective studies and clinical trials, is warranted to confirm these associations and explore the underlying mechanisms driving the protective effects of carotenoids on CKM syndrome.$ 

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#### **Conflicts of Interest**

The authors declare no conflicts of interest.

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#### **Supporting Information**

Additional supporting information can be found online in the Supporting Information section.