

# Antibody isotype-specific engagement of Fc $\gamma$ receptors regulates B lymphocyte depletion during CD20 immunotherapy

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**CD20 monoclonal antibody (mAb) immunotherapy is effective for lymphoma and autoimmune disease. In a mouse model of immunotherapy using mouse anti-mouse CD20 mAbs, the innate monocyte network depletes B cells through immunoglobulin (Ig)G Fc receptor (Fc $\gamma$ R)-dependent pathways with a hierarchy of IgG2a/c>IgG1/IgG2b>IgG3. To understand the molecular basis for these CD20 mAb subclass differences, B cell depletion was assessed in mice deficient or blocked for stimulatory Fc $\gamma$ RI, Fc $\gamma$ RIII, Fc $\gamma$ RIV, or FcR common  $\gamma$  chain, or inhibitory Fc $\gamma$ RIIB. IgG1 CD20 mAbs induced B cell depletion through preferential, if not exclusive, interactions with low-affinity Fc $\gamma$ RIII. IgG2b CD20 mAbs interacted preferentially with intermediate affinity Fc $\gamma$ RIV. The potency of IgG2a/c CD20 mAbs resulted from Fc $\gamma$ RIV interactions, with potential contributions from high-affinity Fc $\gamma$ RI. Regardless, Fc $\gamma$ RIV could mediate IgG2a/b/c CD20 mAb-induced depletion in the absence of Fc $\gamma$ RI and Fc $\gamma$ RIII. In contrast, inhibitory Fc $\gamma$ RIIB deficiency significantly increased CD20 mAb-induced B cell depletion by enhancing monocyte function. Although Fc $\gamma$ R-dependent pathways regulated B cell depletion from lymphoid tissues, both Fc $\gamma$ R-dependent and -independent pathways contributed to mature bone marrow and circulating B cell clearance by CD20 mAbs. Thus, isotype-specific mAb interactions with distinct Fc $\gamma$ Rs contribute significantly to the effectiveness of CD20 mAbs *in vivo*, which may have important clinical implications for CD20 and other mAb-based therapies.**

## CORRESPONDENCE

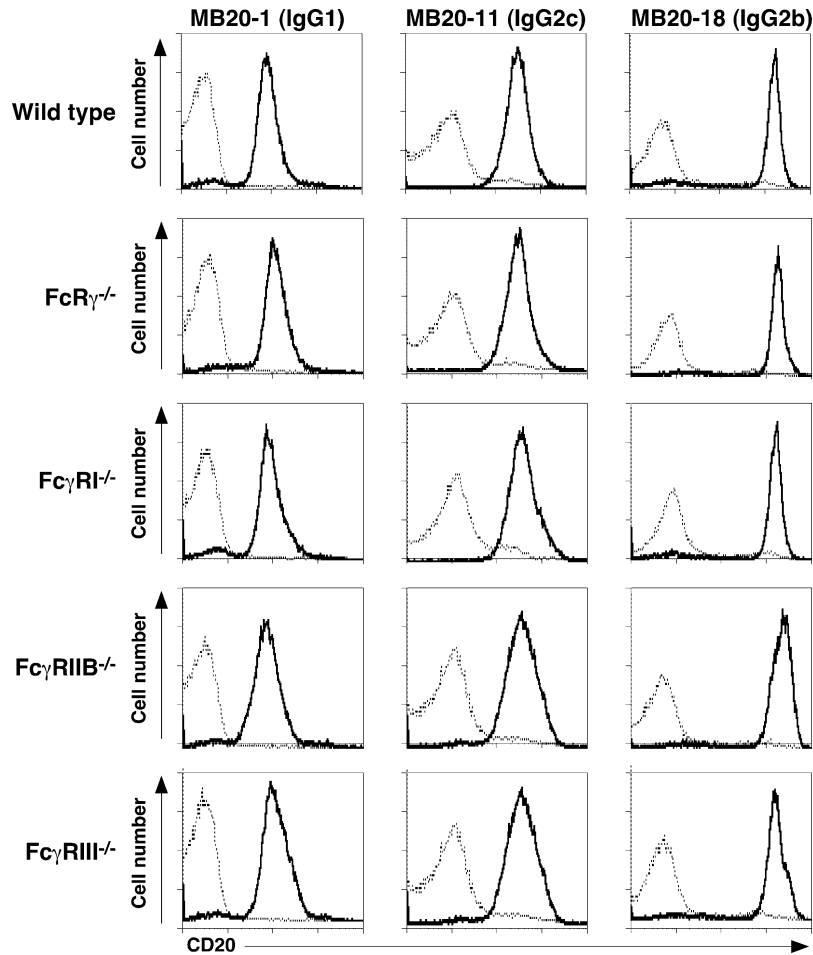
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Abbreviations used: Ab, antibody; ADCC, Ab-dependent cellular cytotoxicity; Fc $\gamma$ R, Fc receptor for IgG; FcR $\gamma$ , Fc receptor common  $\gamma$  chain.

Fc receptors for IgG (Fc $\gamma$ R) link innate and adaptive immunity by their ability to mediate effector cell interactions with antigen-antibody (Ab) complexes and Ab-coated target cells (1, 2). Mouse effector cells express four different Fc $\gamma$ R classes: Fc $\gamma$ RI (CD64), Fc $\gamma$ RIIB (CD32), Fc $\gamma$ RIII (CD16), and the recently described Fc $\gamma$ RIV (also termed FcRL3 and CD16-2; references 3–5). Fc $\gamma$ RIV is expressed by myeloid cells and shares 63% amino acid sequence identity with Fc $\gamma$ RIII (CD16) in humans (3–5). Fc $\gamma$ RI, Fc $\gamma$ RIII, and Fc $\gamma$ RIV are hetero-oligomeric receptors in which the respective ligand-binding  $\alpha$  chains generate stimulatory signals through ITAM sequences found within a shared common  $\gamma$  chain subunit (Fc receptor common  $\gamma$  chain [FcR $\gamma$ ]) that is required for Fc $\gamma$ R assembly. FcR $\gamma$  chain ITAM sequences are essential to initiate or augment effector cell responses such as Ab-dependent cellular cytotoxicity (ADCC) and phagocytosis (1, 2). High-affinity Fc $\gamma$ RI preferentially

binds monomeric IgG2a, whereas Fc $\gamma$ RIII binds with low affinity to IgG2a/IgG1/IgG2b, and Fc $\gamma$ RIV binds with intermediate affinity to IgG2a and IgG2b *in vitro* (1). In contrast to stimulatory Fc $\gamma$ Rs, Fc $\gamma$ RIIB contains ITIM sequences that inhibit effector cell responses. Coexpression of both activation and inhibitory Fc $\gamma$ Rs on macrophages, neutrophils, and mast cells appropriately balances protective and pathogenic innate effector responses after IgG immune complex engagement (6). Imbalances between stimulatory and inhibitory Fc $\gamma$ R functions can also contribute to autoimmunity in humans and mice (7).

Chimeric or radiolabeled mAb therapies directed against CD20 expressed by mature B lymphocytes represent an effective treatment for non-Hodgkin's lymphoma (8–12) and may treat rheumatoid arthritis, idiopathic thrombocytopenic purpura, hemolytic anemia, and other immune-mediated diseases (13, 14). Mouse anti-mouse CD20 mAbs (15) have provided a



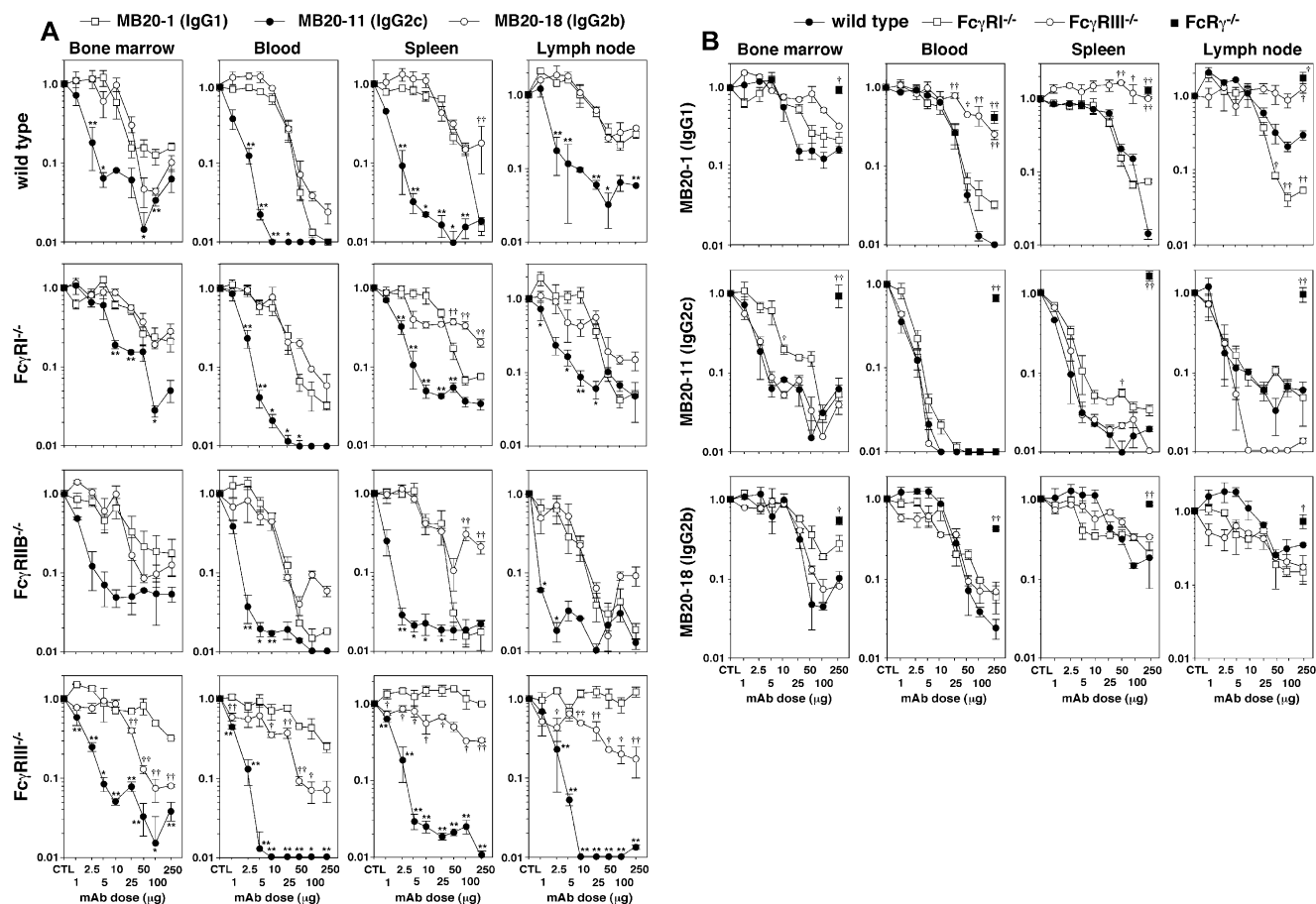
**Figure 1. IgG1, IgG2c, and IgG2b CD20 mAb reactivity with B cells in wild-type,  $FcR\gamma^{-/-}$ ,  $Fc\gamma RI^{-/-}$ ,  $Fc\gamma RIIB^{-/-}$ , and  $Fc\gamma RIIB^{-/-}$  mice.** CD20 mAb reactivity with enriched spleen B cells as assessed by indirect

immunofluorescence staining with flow cytometry analysis. Fluorescence intensities of CD20<sup>+</sup> cells stained with CD20 (solid line) or isotype-matched control (dashed line) mAbs shown on a four-decade log scale.

preclinical model for CD20 mAb immunotherapy amenable to mechanistic studies and genetic manipulation. In this model, CD20 mAbs engage the innate mononuclear phagocytic network and deplete blood and tissue B cells through Fc $\gamma$ R-dependent and complement-independent mechanisms (16, 17). These anti-mouse CD20 mAbs thereby provide effective tools for understanding how innate effector mechanisms function in vivo. B cell depletion is CD20 mAb isotype specific, with IgG2a/c mAbs exhibiting the greatest potency (16). An IgG2c CD20 mAb effectively depletes B cells in both  $Fc\gamma RI^{-/-}$  and  $Fc\gamma RIIB^{-/-}$  mice, but is not effective in  $FcR\gamma^{-/-}$  mice (16). The recently identified functional characteristics of Fc $\gamma$ RIV may explain the Fc $\gamma$ R dependence but Fc $\gamma$ RI and Fc $\gamma$ RIII independence of this IgG2c CD20 mAb in vivo. These Ab isotype-specific effects are clinically important because the antitumor effect of CD20 mAbs in humans depends in part on Fc $\gamma$ R-dependent immune activation (18), and a chimeric CD20 mAb of an isotype different than that used clinically does not deplete normal B cells in nonhuman primates (19). Moreover, human Fc $\gamma$ RIIa

and Fc $\gamma$ RIIIa polymorphisms correlate with the efficiency of B cell and tumor depletion during CD20 mAb therapy in lupus and lymphoma patients (20–22). Thus, a molecular understanding of the different roles of each Fc $\gamma$ R during B cell depletion is essential for mechanism-based predictions of biological outcomes for mAb-based immunotherapies.

To identify molecular mechanisms of innate effector cell function in vivo, B cell depletion was assessed in mice with Fc $\gamma$ RI, Fc $\gamma$ RIIB, Fc $\gamma$ RIII, Fc $\gamma$ RIV, or FcR $\gamma$  blockade or deficiency using IgG1, IgG2a/c, and IgG2b isotype mAbs that bind mouse CD20. We show that IgG1 CD20 mAb-induced B cell depletion predominantly, if not exclusively, required Fc $\gamma$ RIII expression, whereas IgG2a/c and IgG2b CD20 mAb-induced B cell depletion was primarily performed through Fc $\gamma$ RIV with potential Fc $\gamma$ RI interactions. In contrast, Fc $\gamma$ RIIB expression inhibited CD20 mAb-induced B cell depletion in vivo. These findings provide new insight into the therapeutic as well as potentially pathogenic innate effector mechanisms that can mediate ADCC in vivo.



**Figure 2. Isotype-specific CD20 mAb utilization of Fc $\gamma$ RI, Fc $\gamma$ RIIB, Fc $\gamma$ RIII, and Fc $\gamma$ R during B cell depletion.** (A) MB20-1 (IgG1), MB20-11 (IgG2c), or MB20-18 (IgG2b) CD20 mAb depletion of B cells in wild-type, Fc $\gamma$ RI $^{-/-}$ , Fc $\gamma$ RIIB $^{-/-}$ , and Fc $\gamma$ RIII $^{-/-}$  mice. Bone marrow (mature IgM $^{+}$ B220 $^{hi}$ ), blood (B220 $^{+}$ ), spleen (mature CD24 $^{+}$ CD21 $^{+}$ B220 $^{+}$ ), and peripheral lymph node (B220 $^{+}$ ) B cell numbers were determined for 7 d after mAb treatment at the indicated doses. Values ( $\pm$ SEM) represent the percentage of B cells present in mAb-treated mice (two or more mice per

value) relative to control mAb-treated littermates (250  $\mu$ g; two or more mice per value). Significant differences between sample means of mice treated with MB20-1 and MB20-11 mAbs (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ) or MB20-1 and MB20-18 mAbs (†,  $P < 0.05$ ; ††,  $P < 0.01$ ) are indicated. (B) Comparison of B cell depletion for each mAb isotype in Fc $\gamma$ RI $^{-/-}$ , Fc $\gamma$ RIII $^{-/-}$ , and Fc $\gamma$ R $^{-/-}$  mice as shown in A. Significant differences between sample means of wild-type mice and each mutant strain are indicated: †,  $P < 0.05$ ; ††,  $P < 0.01$ .

## RESULTS

### Isotype-specific CD20 mAb depletion of B cells in vivo

Six CD20 mAbs representative of each IgG isotype effective for B cell depletion, IgG1 (MB20-1 and MB20-14), IgG2a (MB20-16), IgG2c (MB20-11), and IgG2b (MB20-7 and MB20-18), were assessed for their ability to deplete blood and tissue B cells in vivo in a dose-dependent manner 7 d after mAb administration. Although the MB20-18 mAb reacted with B cells at the highest density among CD20 mAbs, each individual CD20 mAb reacted similarly with blood, spleen, and lymph node B220 $^{+}$  cells from wild-type, Fc $\gamma$ R $^{-/-}$ , Fc $\gamma$ RI $^{-/-}$ , Fc $\gamma$ RIIB $^{-/-}$ , and Fc $\gamma$ RIII $^{-/-}$  mice (Fig. 1 and not depicted).

When mAb depletion of tissue B cells in wild-type mice was assessed over a range of mAb concentrations (1–250  $\mu$ g/mouse), a hierarchy of depletion efficiencies for bone marrow, blood, spleen, and lymph node B cells was observed with

MB20-11 (IgG2c) displaying the greatest activity (Fig. 2 A and Table I). Similar, if not identical, results were obtained using the IgG2a (MB20-16) mAb (not depicted), suggesting that IgG2a/c mAbs were similar in their abilities to bind Fc $\gamma$ R. The IgG1 (MB20-1 and MB20-14) and IgG2b (MB20-18) mAbs depleted B cells similarly when used at low mAb concentrations, although the IgG1 mAbs depleted significantly more spleen B cells than the MB20-18 mAb when used at 250- $\mu$ g doses (Fig. 2 A, Table I, and not depicted) as described previously (16). Each of the mAbs (MB20-11, MB20-16, MB20-1, MB20-14, and MB20-18) was saturating at >25- $\mu$ g doses, which represented the maximal levels of depletion possible, even with higher mAb doses over a 7-d treatment period (Fig. 2 A and Table I). The MB20-7 mAb did not deplete B cells efficiently at any dose (not depicted). The high reactivity of MB20-18 with B cells (Fig. 1) may explain why this mAb depleted 84–94% of wild-type spleen B cells when used

**Table I.** Tissue B cell subset-specific depletion with CD20 mAbs<sup>a</sup>

Tissue	B subset <sup>a</sup>	CD20 mAb Isotype	B cells (%) remaining relative to control mAb treatment <sup>b</sup>				
			Wild-type	FcRγ <sup>-/-</sup>	FcγRI <sup>-/-</sup>	FcγRIII <sup>-/-</sup>	FcγRIIB <sup>-/-</sup>
Bone marrow	pro/pre	IgG1	140	149	172 <sup>c</sup>	67 <sup>d</sup>	84
		IgG2c	130	99	119	122	96
		IgG2b	141	61 <sup>d</sup>	127	107	74 <sup>d</sup>
	immature	IgG1	46	87	173 <sup>c,e</sup>	87	83
		IgG2c	75	87	120 <sup>e</sup>	114	31
		IgG2b	69	53	163 <sup>c</sup>	75	76
	mature	IgG1	17 <sup>f</sup>	82 <sup>e</sup>	23 <sup>f</sup>	40 <sup>f</sup>	19 <sup>f</sup>
		IgG2c	5 <sup>f</sup>	82 <sup>e</sup>	4 <sup>f</sup>	3 <sup>f</sup>	6 <sup>f</sup>
		IgG2b	8 <sup>f</sup>	54 <sup>c,e</sup>	28 <sup>f,d</sup>	9 <sup>f</sup>	10 <sup>f</sup>
Blood	B220 <sup>+</sup>	IgG1	2 <sup>f</sup>	41 <sup>f,e</sup>	4 <sup>f</sup>	34 <sup>f,e</sup>	2 <sup>f</sup>
		IgG2c	<1 <sup>f</sup>	70 <sup>c,e</sup>	1 <sup>f</sup>	1 <sup>f</sup>	<1 <sup>f</sup>
		IgG2b	4 <sup>f</sup>	43 <sup>c,e</sup>	8 <sup>f</sup>	7 <sup>f</sup>	6 <sup>f</sup>
Spleen	mature	IgG1	1 <sup>f</sup>	140 <sup>f,e</sup>	7 <sup>f</sup>	109 <sup>e</sup>	2 <sup>f</sup>
		IgG2c	2 <sup>f</sup>	182 <sup>f,e</sup>	3 <sup>f</sup>	2 <sup>f</sup>	2 <sup>f</sup>
		IgG2b	16 <sup>f</sup>	75 <sup>e</sup>	25 <sup>f,e</sup>	33 <sup>f</sup>	19 <sup>f</sup>
	T1	IgG1	28 <sup>f</sup>	88 <sup>d</sup>	7 <sup>f</sup>	34 <sup>c</sup>	11 <sup>f,d</sup>
		IgG2c	5 <sup>f</sup>	42 <sup>f,e</sup>	6 <sup>f</sup>	4 <sup>f</sup>	2 <sup>f</sup>
		IgG2b	14 <sup>f</sup>	55 <sup>e</sup>	13 <sup>f</sup>	14 <sup>f</sup>	13 <sup>f</sup>
	T2	IgG1	<1 <sup>f</sup>	79 <sup>e</sup>	5 <sup>f</sup>	100 <sup>e</sup>	3 <sup>f</sup>
		IgG2c	1 <sup>f</sup>	99 <sup>e</sup>	2 <sup>f</sup>	1 <sup>f</sup>	6 <sup>f,d</sup>
		IgG2b	6 <sup>f</sup>	48 <sup>f,e</sup>	9 <sup>f</sup>	13 <sup>f,e</sup>	4 <sup>f</sup>
Peripheral LN	B220 <sup>+</sup>	IgG1	27 <sup>f</sup>	163 <sup>e</sup>	6 <sup>f</sup>	104 <sup>e</sup>	3 <sup>f</sup>
		IgG2c	6 <sup>f</sup>	97 <sup>e</sup>	6 <sup>f</sup>	1 <sup>f</sup>	2 <sup>f</sup>
		IgG2b	35 <sup>f</sup>	72 <sup>d</sup>	15 <sup>f</sup>	19 <sup>f</sup>	6 <sup>f,d</sup>
Peritoneum	B220 <sup>+</sup>	IgG1	115	156	109	85	76
		IgG2c	52 <sup>c</sup>	107 <sup>d</sup>	52 <sup>f</sup>	71 <sup>c</sup>	73
		IgG2b	113	75	60	60	83
	B-1a	IgG1	147	125	79	54	63 <sup>d</sup>
		IgG2c	68	127	73	68	78
		IgG2b	131	78	67	65	53 <sup>d</sup>
	B-1b	IgG1	106	89	123	97	72
		IgG2c	66	135	68	69	75
		IgG2b	107	65	40	93	81
	B2	IgG1	60	179	89	89	34 <sup>f</sup>
		IgG2c	33 <sup>c</sup>	93 <sup>d</sup>	60 <sup>c</sup>	77	43 <sup>f</sup>
		IgG2b	50	74	76	94	50

<sup>a</sup>B cell subsets were: bone marrow pro-/pre-B (IgM<sup>-</sup>B220<sup>lo</sup>), immature B (IgM<sup>+</sup>B220<sup>hi</sup>), and mature B (IgM<sup>+</sup>B220<sup>hi</sup>); spleen mature (CD24<sup>+</sup>CD21<sup>+</sup>B220<sup>+</sup>), T1 (CD24<sup>hi</sup>CD21<sup>-</sup>B220<sup>+</sup>), and T2 (CD24<sup>hi</sup>CD21<sup>+</sup>B220<sup>+</sup>); and peritoneal B-1a (CD5<sup>+</sup>CD11b<sup>+</sup>IgM<sup>hi</sup>B220<sup>lo</sup>), B-1b (CD5<sup>-</sup>CD11b<sup>+</sup>IgM<sup>hi</sup>B220<sup>lo</sup>), and B2 (CD5<sup>-</sup>IgM<sup>lo</sup>B220<sup>hi</sup>). LN, lymph node.

<sup>b</sup>Pooled values indicate the percentages of B cells in CD20 mAb-treated mice (50–250 μg; IgG1 MB20-1, IgG2c MB20-11, and IgG2b MB20-18) relative to control mAb-treated littermates (50–250 μg; n ≥ 4 per value). Significant differences between mean B cell numbers in CD20 mAb-treated mice compared with control mAb-treated littermates are indicated:

<sup>c</sup>P < 0.05 and

<sup>d</sup>p < 0.01.

Significant differences between mean percentages of B cells in each mutant mouse strain after CD20 mAb treatment relative to percentages obtained in wild type mice are indicated:

<sup>e</sup>P < 0.05 and

<sup>f</sup>P < 0.01.

at 250 μg/mouse (Table I), whereas the MB20-7 and two other IgG2b CD20 mAbs only depleted 3–36% of B cells (16). The IgG1, IgG2a/c, and IgG2b CD20 mAbs significantly depleted mature bone marrow and circulating B cells, with T1, T2, and mature B cells depleted from the spleen and lymph

nodes, whereas peritoneal B cells were only significantly depleted using IgG2a/c CD20 mAbs in wild-type mice (Table I and not depicted). Isotype-matched control mAbs had no measurable effects on B cell numbers (not depicted). Two different IgG3 CD20 mAbs (MB20-3 and MB20-13) failed to

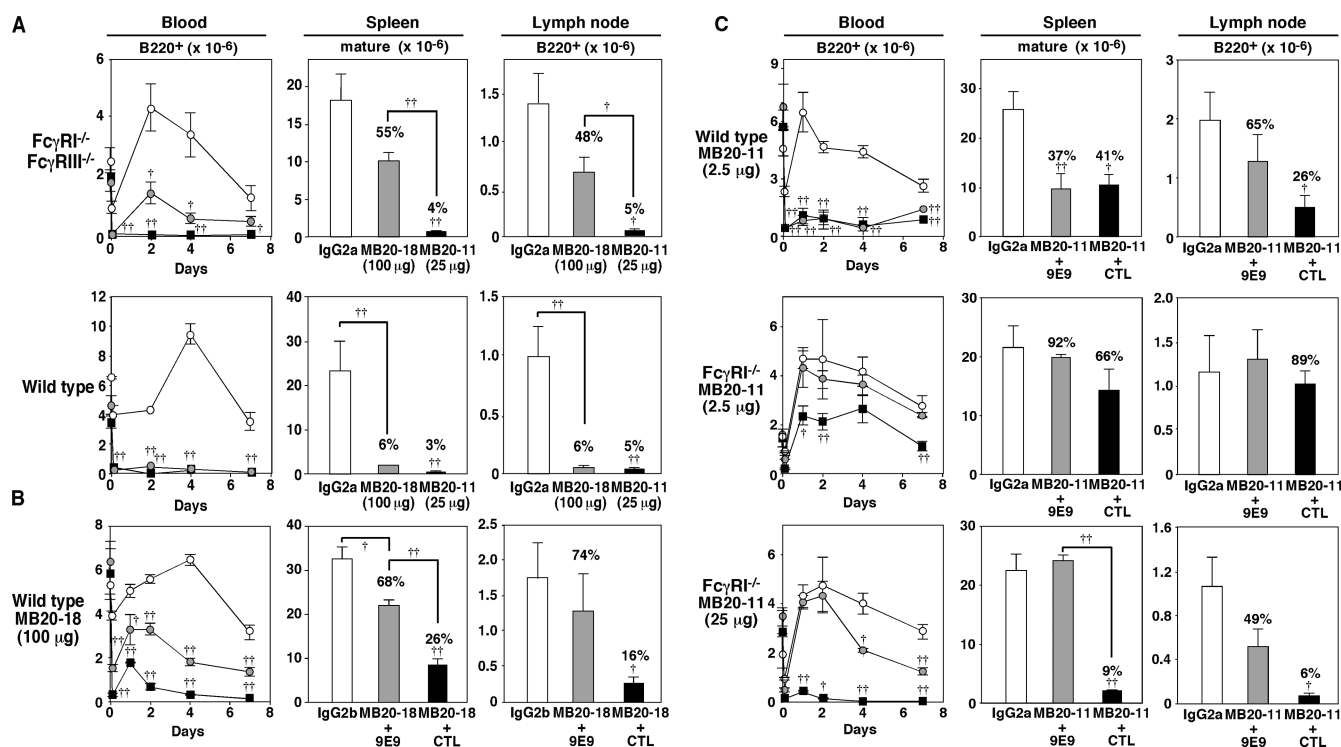
deplete significant numbers of tissue B cells when used at any concentration, as described previously (16). As in wild-type mice, the IgG2c (MB20-11) mAb was the most effective for B cell depletion in  $Fc\gamma RI^{-/-}$ ,  $Fc\gamma RIIB^{-/-}$ , or  $Fc\gamma RIII^{-/-}$  mice (Fig. 2 A and Table I). Isotype-matched control mAbs did not affect B cell numbers in  $FcR\gamma^{-/-}$ ,  $Fc\gamma RI^{-/-}$   $Fc\gamma RIIB^{-/-}$ , or  $Fc\gamma RIII^{-/-}$  mice (not depicted). Thus, IgG1, IgG2a/c, IgG2b, and IgG3 CD20 mAbs influenced B cell numbers through isotype- and  $Fc\gamma R$ -specific mechanisms.

### Roles for activating $Fc\gamma R$ s in B cell depletion

The roles of individual  $Fc\gamma R$ s in B cell depletion by CD20 mAbs were assessed by directly comparing B cell depletion in mice deficient in  $FcR\gamma$ ,  $Fc\gamma RI$ , or  $Fc\gamma RIII$ . IgG1, IgG2a/c, and IgG2b CD20 mAbs each required  $FcR\gamma$  expression for the majority of bone marrow, blood, and tissue B cell depletion (Fig. 2 B, Table I, and not depicted), as described previously (16). Uniquely,  $Fc\gamma RIII$  expression was required for MB20-1 (IgG1) mAb treatment but had no effect on IgG2a/c or IgG2b CD20 mAb-induced B cell depletion. Two inde-

pendent  $Fc\gamma RIII^{-/-}$  mouse lines (23, 24) generated identical results (not depicted). In contrast,  $Fc\gamma RI$  deficiency had much less dramatic effects on CD20 mAb-induced B cell depletion. Thus, IgG1 CD20 mAbs preferentially, if not exclusively, used  $Fc\gamma RIII$  for B cell depletion in vivo.

The role of the newly identified  $Fc\gamma RIV$  molecule in B cell depletion by IgG2b (100  $\mu$ g MB20-18) and IgG2c (25  $\mu$ g MB20-11) CD20 mAbs was assessed using  $Fc\gamma RI^{-/-}$ / $Fc\gamma RIII^{-/-}$  mice, where only  $Fc\gamma RIV$  is expressed. At these doses, both the IgG2b and IgG2c CD20 mAbs depleted significant numbers of blood and spleen B cells in both  $Fc\gamma RI^{-/-}$ / $Fc\gamma RIII^{-/-}$  and wild-type mice (Fig. 3 A). Combined  $Fc\gamma RI$ / $Fc\gamma RIII$  deficiencies inhibited IgG2b CD20 mAb-induced B cell depletion when compared with wild-type mice, suggesting that  $Fc\gamma RI$  and/or  $Fc\gamma RIII$  may contribute to IgG2b/c CD20 mAb depletion in addition to  $Fc\gamma RIV$ . Regardless,  $Fc\gamma RIV$  mediated effective IgG2b and IgG2c CD20 mAb-induced B cell depletion in the absence of both  $Fc\gamma RI$  and  $Fc\gamma RIII$  expression. The role of  $Fc\gamma RIV$  in B cell depletion by IgG2b CD20 mAbs was further verified



**Figure 3.  $Fc\gamma RIV$  mediates B cell depletion by IgG2b (MB20-18) and IgG2c (MB20-11) CD20 mAbs.** (A) Blood, spleen, and lymph node B cell depletion in  $Fc\gamma RI^{-/-}$ / $Fc\gamma RIII^{-/-}$  and wild-type mice treated with MB20-18 (100  $\mu$ g; gray circles/bars), MB20-11 (25  $\mu$ g; filled circles/bars), or control IgG2a (100  $\mu$ g; open circles/bars) mAbs. Values ( $\pm$ SEM) indicate mean circulating B cell numbers (per ml) before (time 0) and 1 h or 1, 2, 4, or 7 d after mAb treatment (three or more mice per value). Mean ( $\pm$ SEM) spleen or lymph node B cell numbers were determined 7 d after mAb treatment (three or more mice per group). (B) B cell depletion in wild-type mice treated with IgG2b control mAb (100  $\mu$ g; open circles/bars) or MB20-18 mAb (100  $\mu$ g; filled circles/bars) in com-

bin with  $Fc\gamma RIV$ -blocking 9E9 (200  $\mu$ g; gray circles/bars) or control (CTL; 200  $\mu$ g; filled circles/bars) mAb on day 0 as indicated. (C) B cell depletion in mAb-treated wild-type or  $Fc\gamma RI^{-/-}$  mice. Mice were treated with IgG2a control mAb (2.5 or 25  $\mu$ g; open circles/bars) or MB20-11 mAb (2.5 or 25  $\mu$ g; filled circles/bars) in combination with  $Fc\gamma RIV$ -blocking 9E9 mAb (200  $\mu$ g; gray circles/bars) or control (CTL; 200  $\mu$ g; filled circles/bars) mAb on day 0 as indicated. In A–C, significant differences between mean results for control and CD20 mAb-treated mice are indicated ( $\dagger$ ,  $P < 0.05$ ;  $\dagger\dagger$ ,  $P < 0.01$ ), with numbers indicating the mean relative percentages of B220<sup>+</sup> lymphocytes in MB20-11/MB20-18 mAb-treated mice compared with control mAb-treated littermates.

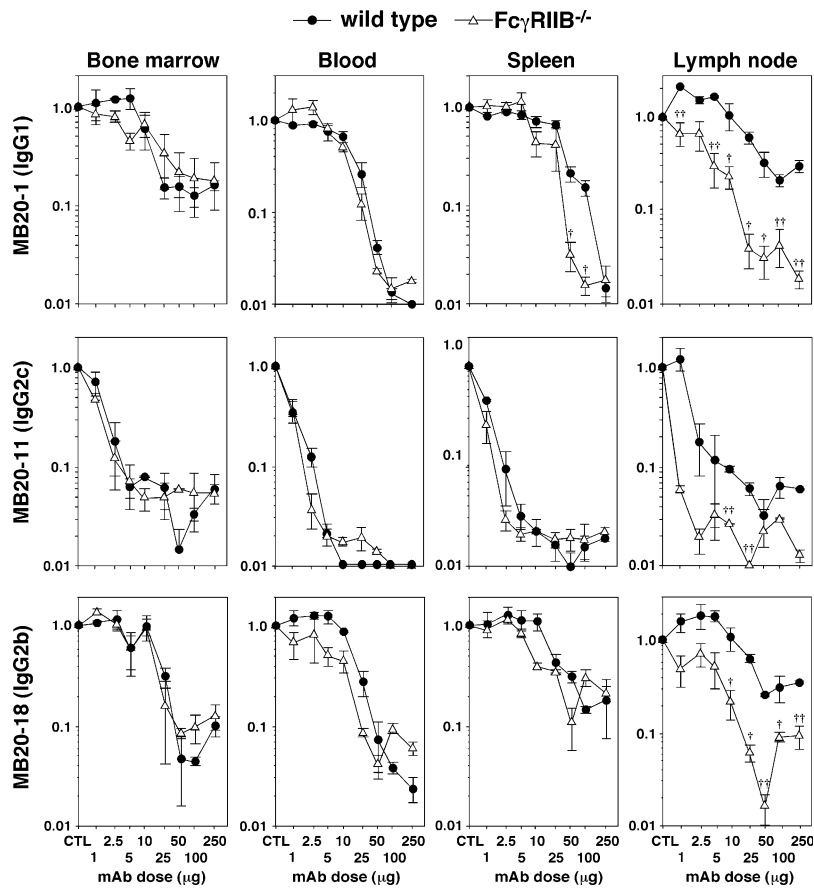
in wild-type mice using the recently described FcγRIV function-blocking mAb, 9E9 (5). The MB20-18 (IgG2b) mAb at 100 μg depleted between 50 and 90% of blood and tissue B cells, but this was significantly attenuated or eliminated when FcγRIV function was blocked using the 9E9 mAb (Fig. 3 B). These results suggest that FcγRIV preferentially mediates IgG2b CD20 mAb-induced B cell depletion.

In wild-type mice, the IgG2c MB20-11 mAb at 2.5 μg/mouse depleted most circulating (>95%) B cells by day 7 and significantly reduced spleen and lymph node B cell numbers (Fig. 3 C). Blocking FcγRIV activity with the 9E9 mAb in wild-type mice treated with low-dose MB20-11 mAb inhibited lymph node B cell depletion but did not significantly reduce blood and spleen B cell depletion. However, blocking FcγRIV function in FcγRI<sup>-/-</sup> mice significantly affected the ability of IgG2c CD20 mAbs to deplete B cells in vivo. Specifically, 60% of circulating B cells were depleted in FcγRI<sup>-/-</sup> mice treated with low-dose MB20-11 mAb (2.5 μg), whereas B cell depletion was not observed when FcγRIV function was also blocked. Circulating, splenic, and lymph node B

cells in FcγRI<sup>-/-</sup> mice were also significantly depleted by MB20-11 mAb at a 25-μg dose, but B cell depletion was significantly reduced when FcγRIV function was also blocked. Thus, FcγRIV contributed substantially to IgG2c CD20 mAb-induced B cell depletion, but FcγRI expression may also facilitate B cell depletion by the MB20-11 mAb, particularly when CD20 mAb doses are limiting.

**Role for FcγRIIB in B cell depletion**

As an inhibitory receptor expressed by monocytes and B cells, FcγRIIB deficiency could affect B cell depletion. Therefore, FcγRIIB<sup>-/-</sup> mice were treated with IgG1, IgG2a/c, and IgG2b CD20 mAbs over a range of concentrations. Splenic and lymph node B cell depletion by IgG1 CD20 mAb treatment was significantly augmented in FcγRIIB<sup>-/-</sup> mice when used at 50–100-μg doses (Fig. 4 and not depicted). Lymph node B cell depletion by IgG2a/c and IgG2b CD20 mAbs was also significantly enhanced by FcγRIIB deficiency (Fig. 4 and not depicted). The MB20-1 (IgG1) and MB20-18 (IgG2b) mAbs depleted >90% of

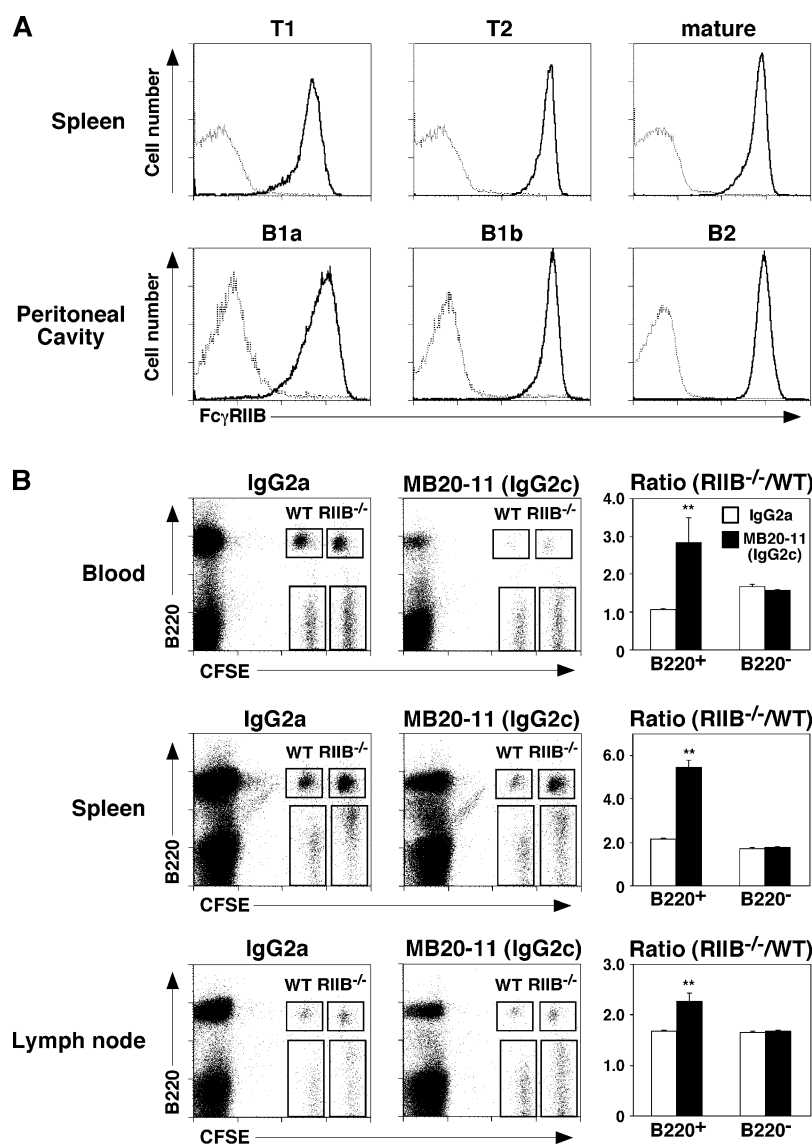


**Figure 4. FcγRIIB deficiency augments CD20 mAb-induced B cell depletion.** Bone marrow (mature IgM<sup>+</sup>B220<sup>hi</sup>), blood (B220<sup>+</sup>), spleen (mature CD24<sup>+</sup>CD21<sup>+</sup>B220<sup>+</sup>), and lymph node (B220<sup>+</sup>) B cell numbers were determined for wild-type and FcγRIIB<sup>-/-</sup> mice 7 d after MB20-1, MB20-11, or MB20-18 mAb treatment at the indicated doses. Values

(±SEM) represent the percentage of B cells present in mAb-treated mice (two or more mice per value) relative to control mAb-treated littermates (250 μg; two or more mice per value), with significant differences between sample means of wild-type mice and each mutant strain indicated: †, P < 0.05; ††, P < 0.01.

lymph node B cells when used at  $\geq 25$ - $\mu\text{g}$  doses in  $\text{Fc}\gamma\text{RIIB}^{-/-}$  mice, whereas these mAbs maximally depleted 70–80% of B cells when used at higher concentrations in wild-type littermates. The MB20-11 IgG2c mAb depleted 90% of lymph node B cells at 10-fold lower mAb concentrations in  $\text{Fc}\gamma\text{RIIB}^{-/-}$  mice. As a result, lymph node B cell depletion in  $\text{Fc}\gamma\text{RIIB}^{-/-}$  mice was as efficient as spleen

B cell depletion in CD20 mAb-treated wild-type mice.  $\text{Fc}\gamma\text{RIIB}$  deficiency did not significantly enhance the degree of bone marrow or blood B cell clearance. Within the peritoneal cavity,  $\text{Fc}\gamma\text{RIIB}$  deficiency did not enhance the degree of peritoneal B-1a and B-1b cell clearance after CD20 mAb treatments but did facilitate IgG1 CD20 mAb-induced depletion of conventional B2 cells (Table I). Thus, expression



**Figure 5.  $\text{Fc}\gamma\text{RIIB}^{-/-}$  B cells resist CD20 mAb-induced depletion in wild-type mice.** (A) Flow cytometry analysis of  $\text{Fc}\gamma\text{RIIB}$  expression (thick lines) by B cell subsets from  $\text{Fc}\gamma\text{RIIB}^{-/-}$  mice. Spleen B cell subsets were identified as mature ( $\text{CD}24^+\text{CD}21^+\text{B}220^+$ ), T1 ( $\text{CD}24^{\text{hi}}\text{CD}21^-\text{B}220^+$ ), and T2 ( $\text{CD}24^{\text{hi}}\text{CD}21^+\text{B}220^+$ ). Peritoneal cavity B cell subsets were identified as B-1a ( $\text{CD}5^+\text{CD}11\text{b}^+\text{IgM}^{\text{hi}}\text{B}220^{\text{lo}}$ ), B-1b ( $\text{CD}5^-\text{CD}11\text{b}^+\text{IgM}^{\text{hi}}\text{B}220^{\text{lo}}$ ), and B2 ( $\text{CD}5^-\text{IgM}^{\text{lo}}\text{B}220^{\text{hi}}$ ). Solid lines indicate 2.4G2 mAb staining, and dotted lines indicate isotype-matched control mAb reactivity. (B) Flow cytometry analysis of CFSE-labeled  $\text{B}220^+$  and  $\text{B}220^-$  lymphocytes from wild-type and  $\text{Fc}\gamma\text{RIIB}^{-/-}$  mice on day 1 indicating gates used to assess frequencies

of adoptively transferred CFSE<sup>+</sup> wild-type and  $\text{Fc}\gamma\text{RIIB}^{-/-}$  cells. Splenocytes from wild-type (WT) and  $\text{Fc}\gamma\text{RIIB}^{-/-}$  ( $\text{RIIB}^{-/-}$ ) mice were labeled with CFSE at different intensities, mixed, and transferred into wild-type littermates 1 d before treatment with MB20-11 or control mAb (25  $\mu\text{g}$ ). After 1 d, blood, spleen, and peripheral lymph node lymphocytes were isolated and assessed for B220 expression. Bar graphs indicate the relative ratios of cells from wild-type and  $\text{Fc}\gamma\text{RIIB}^{-/-}$  donors within the CFSE-labeled  $\text{B}220^+$  and  $\text{B}220^-$  lymphocyte populations. Results represent those obtained with three or more mouse pairs, with significant differences between sample means ( $\pm\text{SEM}$ ) indicated: \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ .

of this inhibitory receptor significantly reduced the effectiveness of B cell depletion by CD20 mAbs *in vivo*.

### Role for B cell FcγRIIB in B cell depletion

Spleen T1, T2, and mature B cells and peritoneal cavity B-1a, B-1b, and B2 cells uniformly expressed FcγRIIB, although there was variability in FcγRIIB expression densities between individual spleen and peritoneal cavity B cell subsets (Fig. 5 A). It was therefore assessed whether augmented B cell depletion in FcγRIIB<sup>-/-</sup> mice resulted from a change in B cell or monocyte FcγRIIB expression. FcγRIIB<sup>-/-</sup> splenocytes and control splenocytes from wild-type mice were differentially labeled with CFSE, mixed together in equal proportions, and adoptively transferred into recipient mice 1 d before CD20 mAb treatment. 1 d after CD20 mAb treatment, the relative frequencies of CFSE-labeled B220<sup>+</sup> and B220<sup>-</sup> lymphocytes in the blood, spleen, and lymph nodes were quantified by flow cytometry (Fig. 5 B). The relative frequency of wild-type B220<sup>+</sup> lymphocytes in the blood, spleen, and lymph nodes was more significantly reduced in MB20-11 CD20 mAb-treated mice when compared with the frequency of FcγRIIB<sup>-/-</sup> B220<sup>+</sup> lymphocytes. Control mAb treatment did not affect the relative ratios of B220<sup>+</sup> FcγRIIB<sup>-/-</sup> and B220<sup>+</sup> wild-type lymphocytes in these adoptive transfer experiments. Likewise, CD20 mAb treatment did not affect the relative ratios of B220<sup>-</sup> FcγRIIB<sup>-/-</sup> and B220<sup>-</sup> wild-type lymphocytes. Thus, FcγRIIB deficiency reduced the relative rate of B cell depletion compared with wild-type B cells, although FcγRIIB<sup>-/-</sup> B cells were effectively depleted after 7 d of CD20 mAb treatment (Table I).

### Role for FcγRs in B cell subset depletion

Subtle effects of FcγR deficiencies on B cell subset depletion were also observed (Table I). For example, the number of pro-/pre-B cells present in bone marrow after IgG1 mAb treatment was significantly increased in FcγRI<sup>-/-</sup> mice. Similar effects on immature bone marrow B cells in FcγRI<sup>-/-</sup> mice were observed after IgG1 and IgG2b mAb treatments. MB20-11 mAb treatment also increased spleen B cell numbers in FcRγ<sup>-/-</sup> mice compared with control mAb-treated littermates, predominantly due to increased numbers of immature B cells (not depicted). Thus, alterations in FcγR expression significantly affected the dynamics of B cell subset depletion after CD20 mAb treatment.

## DISCUSSION

The extent of B cell depletion induced by CD20 mAbs correlated closely with IgG isotype, with IgG2a/c mAbs being the most effective and IgG3 mAbs having modest effects *in vivo* (Fig. 1 and Table I; reference 16). Although our previous studies suggested reciprocal roles for FcγRI or FcγRIII in CD20 mAb-induced depletion of B cells (16), the current studies demonstrate that differential FcγR utilization explains differences in effectiveness between CD20 mAb isotypes. IgG1 CD20 mAb-induced B cell depletion was predominantly, if not exclusively, performed through low-affinity

FcγRIII (Fig. 2 and Table I). Preferential IgG1 interactions with FcγRIII for phagocytosis of IgG1-coated erythrocytes or immune complexes, and in animal models of experimental autoimmune hemolytic anemia and passive cutaneous anaphylaxis, have been demonstrated previously (25, 26). In contrast, IgG2b and IgG2a/c CD20 mAb-induced B cell depletion was primarily performed through intermediate affinity FcγRIV, although high-affinity FcγRI interactions may also contribute to this process (Figs. 2 and 3). Importantly, FcγRIV mediated effective B cell deletion by both IgG2a/c and IgG2b CD20 mAbs in the absence of both FcγRI and FcγRIII (Fig. 3 A). Because simultaneous blockade of FcγRIV function and FcγRI expression prevented B cell depletion by IgG2b and IgG2a/c CD20 mAbs, FcγRIII may have minimal interactions with these mAb isotypes. Although mouse IgG3 is reported to bind FcγRI (27), IgG3 CD20 mAbs had little effect *in vivo* (16). Thus, monocyte expression of either FcγRIV or FcγRIII is sufficient for B cell depletion when mAbs of the correct isotypes are considered. These findings explain why IgG2a/c CD20 mAb therapy was effective in both FcγRI<sup>-/-</sup> and FcγRIII<sup>-/-</sup> mice, but not in FcRγ<sup>-/-</sup> mice (Fig. 2 B and Table I; reference 16). Thereby, each IgG isotype demonstrated preferential specificity for different stimulatory FcγRs.

The importance of mAb isotype in immunotherapy has long been appreciated, particularly for mouse IgG2a mAbs (28–32). Like IgG2a/c CD20 mAbs for B cell depletion (Fig. 2 A and not depicted), IgG2a anti-erythrocyte mAbs induce more severe FcγR-dependent hemolytic anemia than IgG2b mAbs (33). However, because FcγRIV binds IgG2a and IgG2b mAbs with similar affinities *in vitro* (5), it was surprising that IgG2a/c CD20 mAbs depleted B cells at least 10-fold better than the IgG2b MB20-18 CD20 mAb *in vivo* (Fig. 1; reference 16). Moreover, the MB20-18 mAb primarily used in the current studies is the most potent of four IgG2b CD20 mAbs assessed for B cell depletion (16). It is therefore possible that engagement of both FcγRIV and FcγRI by IgG2a/c CD20 mAbs explains their higher potency *in vivo* (Fig. 3 A). High-affinity FcγRI may participate in some IgG2a-mediated effects in certain experimental model systems, although FcγRI may play a minor role *in vivo* because administered mAbs must compete with intrinsic circulating Abs for high-affinity FcγRI interactions (34). Determining the precise contribution of FcγRI to IgG2a/b/c CD20 mAb effectiveness *in vivo* will require the generation and characterization of FcγRIV-deficient mice. Alternatively, mAb isotype-specific structural features may explain the potency of IgG2a isotype CD20 mAbs *in vivo*. For example, IgG2a/c and IgG2b CD20 mAbs may bind cell surface CD20 differently or have different effects on target antigen/Ab densities during CD20 mAb-induced ADCC, or allow the efficient clustering of CD20 on the surface of B cells. IgG2a/c isotype switching may also select for CD20 mAbs with high intrinsic potencies, high mAb affinities, or unique fine specificities for CD20. Regardless, the greater activity of IgG2a/c CD20 mAbs *in vivo* was not explained



by unique effects on ADCC through Fc $\gamma$ RIIB negative regulation (Fig. 4).

CD20 mAb-induced B cell depletion was reduced by monocyte expression of Fc $\gamma$ RIIB in vivo, with Fc $\gamma$ RIIB deficiency also revealing tissue-specific effects on B cells (Fig. 4 and Table I). Fc $\gamma$ RIIB deficiency significantly enhanced lymph node B cell depletion by IgG1, IgG2c, and IgG2b CD20 mAbs, whereas Fc $\gamma$ RIIB deficiency only enhanced IgG1 CD20 mAb-induced spleen B cell depletion when used at higher mAb doses. Lymph node B cell depletion was less efficient than spleen B cell depletion at equivalent CD20 mAb doses, but lymph node and spleen B cell depletion were similar in the absence of Fc $\gamma$ RIIB expression. Likewise, Fc $\gamma$ RIIB deficiency did not significantly enhance B-1a or B-1b cell depletion from the peritoneal cavity (Table I), populations of B cells that are more resistant to CD20 mAb-induced depletion than peritoneal B2 cells (17). Therefore, circumventing the negative regulatory role of Fc $\gamma$ RIIB may be most advantageous during suboptimal mAb dosing or for B cell depletion within lymph nodes. Consistent with this, Fc $\gamma$ RIIB deletion enhances the cytotoxicity of human Fc region-chimerized or -humanized mAbs targeting tumors in vivo, including rituximab targeting of human lymphoma cells in nude mice (18). Surprisingly, however, Fc $\gamma$ RIIB<sup>-/-</sup> B cells were also more resistant to CD20 mAb-induced depletion than wild-type B cells (Fig. 5). Fc $\gamma$ RIIB<sup>-/-</sup> B cell resistance to CD20 mAb treatment did not result from reduced CD20 expression (Fig. 1), and peritoneal B-1a or B-1b cell resistance to CD20 mAb-induced depletion did not result from low Fc $\gamma$ RIIB expression (Fig. 5 A). Nonetheless, no significant relationship has been found between Fc $\gamma$ RIIB protein expression on diffuse large B cell lymphomas and the prognosis of patients or their outcome after rituximab therapy (35). Thus, circumventing monocyte inhibitory Fc $\gamma$ RII function in vivo could result in more effective immunotherapies, but this may be influenced by tissue-specific factors including the localization of target B cells or ADCC effector cells.

Although tissue B cell clearance was FcR $\gamma$  dependent, circulating B cell clearance was mediated through both FcR $\gamma$ -dependent and -independent pathways. In the absence of FcR $\gamma$  expression, 30–57% of circulating B cells were cleared by IgG1, IgG2a/c, and IgG2b CD20 mAbs on day 7 (Fig. 1, Table I, and not depicted). Similar results were obtained for mature bone marrow B cells, which include the recirculating B cell pool. Most IgG2b and IgG3 anti-mouse CD20 mAbs also deplete blood B cells but have modest, if any, effects on spleen B cells (16). This demonstrates that blood and circulating bone marrow B cells share common properties that allow their clearance without operable ADCC. Rapid blood B cell depletion is also observed in patients after CD20 mAb infusions (36–39). However, the current results suggest that blood B cell clearance may not necessarily correlate with tissue B cell clearance. Consistent with this, human Fc $\gamma$ RIIIa polymorphisms are not predictive of patient responses in chronic lymphocytic leukemia, which most

commonly involves blood and marrow (40). Thus, although Fc $\gamma$ R-mediated ADCC remains a primary mechanism for B cell depletion in vivo, Fc $\gamma$ R-independent pathways also influence the clearance of circulating B cells.

Collectively, these results indicate that the most important factors influencing CD20 mAb efficacy in vivo are mAb isotype and capacity to interact with Fc $\gamma$ Rs. These results also further support previous findings whereby Ab isotypes exhibit functional hierarchies in their relative abilities to engage different Fc $\gamma$ Rs in vivo. The current immunotherapy studies also correlate with models of adaptive immunity where IgG2a Abs are most efficient in providing optimal or substantial protection during bacterial, viral, and fungal infections (41–45). Thus, the intricate innate effector pathways used for B cell depletion in the current studies may have been selected evolutionarily for potency. The current observations also corroborate studies in lupus and lymphoma patients showing that human Fc $\gamma$ RIIa and Fc $\gamma$ RIIIa polymorphisms correlate with the efficiency of tumor and B cell depletion using a chimeric human IgG1 CD20 mAb (20–22). That mouse Fc $\gamma$ RIV is most structurally similar to human Fc $\gamma$ RIII (3–5) further implicates the importance of this receptor in human B cell depletion after CD20 mAb treatment. Understanding whether human Ab isotypes exhibit a functional hierarchy in their relative abilities to engage different Fc $\gamma$ Rs in vivo will be critical for better manipulating Fc $\gamma$ R function during immunotherapy or ameliorating the consequences of pathogenic autoantibodies. The current studies also indicate that it may be important to consider disease- and tissue-specific targeting effects when manipulating Fc $\gamma$ R expression or function for therapeutic benefit. Because therapeutic and pathogenic Abs are likely to share many common pathways and Fc $\gamma$ R-dependent processes, a further understanding of the molecular complexities of Fc $\gamma$ R function and signaling in vivo are essential to fully harness the potent stimulatory and inhibitory functions of this receptor system in treating human disease.

## MATERIALS AND METHODS

**Ab and immunofluorescence analysis.** Mouse CD20-specific mouse mAbs were as described previously (15). Hamster anti-mouse Fc $\gamma$ RIV mAb, 9E9, was as described previously (5, 46). Other mAbs included: B220 mAb RA3-6B2 (provided by R. Coffman, DNAX Corp., Palo Alto, CA); Thy1.2 mAb (Caltag); and CD1d (1B1), CD5 (53-7.3), CD11b (M1/70), CD16/32 (2.4G2), CD21 (7G6), and CD24 (M1/69) mAbs (BD Biosciences). Isotype-specific and anti-Ig or anti-IgM Abs were from SouthernBiotech.

Single-cell suspensions of bone marrow (bilateral femurs), spleen, and peripheral lymph node (paired axillary and inguinal) lymphocytes were generated by gentle dissection. To isolate peritoneal cavity leukocytes, 10 ml of cold (4°C) PBS was injected into the peritoneum of killed mice followed by gentle massage of the abdomen. Viable cells were counted using a hemocytometer, with relative lymphocyte percentages determined by flow cytometry analysis. Blood erythrocytes were lysed after immunofluorescence staining using FACS Lysing Solution (BD Biosciences). Single-cell leukocyte suspensions were stained on ice using predetermined optimal concentrations of each Ab for 20–60 min and fixed as described previously (47, 48). Cells with the light scatter properties of lymphocytes were analyzed by two- to four-color immunofluorescence staining with FACScan or FACSCalibur flow cytometer analysis (Becton Dickinson). Background staining was determined

using unreactive control mAbs (Caltag) with gates positioned to exclude  $\geq 98\%$  of the cells.

In some cases, B cell-enriched single-cell lymphocyte preparations were generated by incubating  $2 \times 10^8$  splenocytes with  $180 \mu\text{l}$  anti-Thy1.2 mAb-coated magnetic beads (Dyna) in 10 ml RPMI 1640 medium containing 5% FBS for 30 min at  $4^\circ\text{C}$ , followed by T cell removal using a magnet. B cell preparations were  $\geq 93\%$  B220<sup>+</sup> as determined by immunofluorescence staining with flow cytometry analysis. The B cell preparations were assessed for cell surface CD20 expression as described above, except  $10^6$  lymphocytes were incubated with each CD20 mAb at  $10 \mu\text{g}/\text{ml}$ , washed, and incubated with PE-conjugated goat anti-mouse IgG1, IgG2a, or IgG2b isotype-specific secondary Ab for immunofluorescence staining.

**Mice.** Fc $\gamma$ RI<sup>-/-</sup> and Fc $\gamma$ RIII<sup>-/-</sup> mice were as described previously (23) and crossed to generate Fc $\gamma$ RI<sup>-/-</sup>/Fc $\gamma$ RIII<sup>-/-</sup> mice. C57BL/6, Fc $\gamma$ RIIB<sup>-/-</sup> (B6.129S-Fcgr2<sup>mlRav</sup>), and Fc $\gamma$ RIII<sup>-/-</sup> (C57BL/6-Fcgr3<sup>ml5jv</sup>) mice were from The Jackson Laboratory. FcR $\gamma$ -deficient mice (FcR $\gamma$ <sup>-/-</sup>, B6.129P2-Fcrl1<sup>gm1</sup>) were from Taconic Farms. All mice were housed in a specific pathogen-free barrier facility and first used at 2–3 mo of age. All studies were approved by the Animal Care and Use Committee of Duke University.

**Immunotherapy.** Sterile anti-mouse CD20 and isotype control mAbs (1–250  $\mu\text{g}$ ) in 200  $\mu\text{l}$  PBS were injected through lateral tail veins. Blood leukocyte numbers were quantified by hemocytometer after red cell lysis, with blood and tissue B220<sup>+</sup> B cell frequencies determined by immunofluorescence staining with flow cytometry analysis as described previously (16, 17). Because equivalent results were obtained in mice treated with control IgG2a, IgG2b, or IgG1 mAbs, the results were pooled in some instances.

**Adoptive transfer experiments.** Unfractionated splenocytes from Fc $\gamma$ RIIB<sup>-/-</sup> and wild-type mice were labeled with 4 and 0.4  $\mu\text{M}$  Vybrant CFSE, respectively (Invitrogen), according to the manufacturer's instructions. The relative frequency of B220<sup>+</sup> cells among CFSE-labeled splenocytes was determined by immunofluorescence staining with flow cytometry analysis. Subsequently, equal numbers of CFSE-labeled B220<sup>+</sup> Fc $\gamma$ RIIB<sup>-/-</sup> and wild-type splenocytes ( $4 \times 10^7$ ) were injected i.v. into wild-type mice 1 d before i.v. injection of either MB20-11 or control mAbs. After 1 d, cells were harvested from each tissue and CFSE-labeled B220<sup>+</sup> cells were analyzed by immunofluorescence staining with flow cytometry analysis.

**Statistical analysis.** All data are shown as means  $\pm$  SEM. The Student's *t* test was used to determine the significance of differences between population means.

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