# RESEARCH ARTICLE

# Low-dose suramin in autism spectrum disorder: a small, phase I/II, randomized clinical trial

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#### Abstract

**Objective:** No drug is yet approved to treat the core symptoms of autism spectrum disorder (ASD). Low-dose suramin was effective in the maternal immune activation and Fragile X mouse models of ASD. The Suramin Autism Treatment-1 (SAT-1) trial was a double-blind, placebo-controlled, translational pilot study to examine the safety and activity of low-dose suramin in children with ASD. Methods: Ten male subjects with ASD, ages 5–14 years, were matched by age, IQ, and autism severity into five pairs, then randomized to receive a single, intravenous infusion of suramin (20 mg/kg) or saline. The primary outcomes were ADOS-2 comparison scores and Expressive One-Word Picture Vocabulary Test (EOWPVT). Secondary outcomes were the aberrant behavior checklist, autism treatment evaluation checklist, repetitive behavior questionnaire, and clinical global impression questionnaire. Results: Blood levels of suramin were 12  $\pm$  1.5  $\mu$ mol/L (mean  $\pm$  SD) at 2 days and 1.5  $\pm$  0.5  $\mu$ mol/L after 6 weeks. The terminal half-life was 14.7  $\pm$  0.7 days. A self-limited, asymptomatic rash was seen, but there were no serious adverse events. ADOS-2 comparison scores improved by  $-1.6 \pm 0.55$  points (n = 5; 95% CI = -2.3 to -0.9; Cohen's d = 2.9; P = 0.0028) in the suramin group and did not change in the placebo group. EOWPVT scores did not change. Secondary outcomes also showed improvements in language, social interaction, and decreased restricted or repetitive behaviors. Interpretation: The safety and activity of low-dose suramin showed promise as a novel approach to treatment of ASD in this small study.

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# Introduction

Autism affects 1-2% of children in the United States.<sup>1,2</sup> Dozens of single genes and chromosomal copy number variants (CNVs)<sup>3</sup> increase the relative risk of autism spectrum disorder (ASD) nearly 5-50 times over the current background risk. Yet no single gene or CNV causes ASD in 100% of children who carry the mutation,<sup>4</sup> and no single DNA mutation accounts for more than 1-2% of all ASD.<sup>5</sup> Specific environmental factors have also been shown to increase the risk of ASD.<sup>6,7</sup> However, no single child has all of the known genetic risk factors for ASD, or is exposed to all the same environmental risks. Although the noncore symptoms of ASD are highly heterogeneous from child to child, making each child unique, the same core features used for diagnosis - abnormalities in social communication, restricted interests, repetitive behaviors, adherence to routine, and/or atypical sensory behaviors are by definition expressed in every child. One approach to addressing the challenge of many etiologies of ASD is to define a common pathophysiology that can contribute to the core diagnostic symptoms, regardless of the initiating genetic and environmental triggers. We hypothesized that there is a conserved cellular response to metabolic perturbation or danger that is shared by all children with ASD. This is called the cell danger hypothesis.<sup>8</sup> Aspects of the cell danger response (CDR) are also referred to as the integrated stress response.9-11 Preclinical studies showed that the cell danger response in mice produced a treatable metabolic syndrome that was maintained by purinergic signaling. Antipurinergic therapy with suramin corrected both the behavioral and metabolic features of these genetic and environmental mouse models of ASD.<sup>12-14</sup>

The formulation of the cell danger hypothesis was based on the recognition that similar metabolic pathways were coordinately regulated as an adaptive response to cellular threat regardless of whether the perturbation was caused by a virus,<sup>15</sup> a bacterium,<sup>16</sup> genetic forms of mitochondrial disease,<sup>10</sup> or neurodevelopmental disorders with complex gene–environment pathogenic mechanisms like autism.<sup>17</sup> These metabolic pathways traced to mitochondria. Mitochondria are responsible for initiating and coordinating innate immunity<sup>18</sup> and produce stereotyped changes in oxidative metabolism under stress<sup>19</sup> that lead to the

regulated release of purine and pyrimidine nucleotides like ATP and UTP through cell membrane channels.<sup>20</sup> Inside the cell, ATP is an energy carrier. Outside the cell, extracellular ATP (eATP) is a multifunctional signaling molecule, a potent immune modulator,<sup>21</sup> and a damage-associated molecular pattern (DAMP) that can activate microglia, and trigger IL-1ß production and inflammasome assembly.<sup>22</sup> Extracellular purines like ATP, ADP, and adenosine, and pyrimidines like UTP are ligands for 19 different purinergic (P2X, P2Y, and P1) receptors.<sup>23</sup> The intracellular concentration of ATP (iATP) in mammalian cells is typically 1-5 mmol/L,<sup>24</sup> but drops when ATP is released through membrane channels under stress. Typical concentrations of extracellular adenine nucleotides in the unstirred water layer at the cell surface where receptors and ligands meet are about 1–10  $\mu$ mol/L, near the effective concentration for most purinergic receptors,<sup>25</sup> but can increase when ATP is released during cell stress. Concentrations of eATP in the blood are another 500 times lower (10-20 nmol/L).<sup>26</sup> Purinergic effectors like ATP are also coreleased with canonical neurotransmitters like glutamate, dopamine, and serotonin during depolarization at every synapse in which they have been studied<sup>23</sup> and play key roles in activity-dependent synaptic remodeling.<sup>27</sup> These and other features<sup>28-30</sup> led us to test the hypothesis that the  ${\rm CDR}^8$  was maintained by purinergic signaling.  $^{12-14}$ 

Suramin has many actions. One of its best-studied actions is as an inhibitor of purinergic signaling. It is the oldest member of a growing class of antipurinergic drugs (APDs) in development.<sup>31</sup> Suramin was first synthesized in 1916,<sup>32</sup> making it one of the oldest manmade drugs still in medical use. It is used to treat African sleeping sickness (trypanosomiasis), and remains on the World Health Organization list of essential medications. Concerns about the toxicity of high-dose suramin arose when the cumulative antitrypanosomal dose was increased 5 times or more over several months to treat AIDS or kill cancer cells during chemotherapy. When blood levels were maintained over 150  $\mu$ mol/L for 3–6 months at a time to treat cancer, a number of dose-limiting side effects were described.<sup>32</sup> These included adrenal insufficiency, anemia, and peripheral neuropathy. In contrast, mouse studies suggested that high-dose suramin was not necessary to treat autism-like symptoms. These studies showed that low-dose suramin

that produced blood levels of about 5–10  $\mu$ M was effective in treating ASD-like symptoms and did not produce toxicity even when used for at least 4 months.<sup>12,14</sup>

Here, we report the findings of the Suramin Autism Treatment-1 (SAT-1) trial, the first direct test of suramin, the cell danger hypothesis, and the relevance of abnormal purinergic signaling in children with ASD. These data help form the foundation for future studies that will test the safety and efficacy of suramin, provide fresh directions for the development of new antipurinergic drugs, and add support to the hypothesis that a potentially treatable metabolic syndrome may contribute to the pathogenesis of autism.

# **Materials and Methods**

#### Study design and participants

The SAT-1 trial was an investigator-initiated, phase I/II, double-blind, placebo-controlled, randomized clinical trial to examine the safety and activity of single-dose suramin or placebo in 10 children with autism spectrum disorders (ASD). All children met DSM-5 diagnostic criteria for autism spectrum disorder, and received confirmatory testing by Autism Diagnostic Observation Schedule, 2nd edition (ADOS-2) examination. Inclusion criteria were male subjects, ages 4-17 years, living in the San Diego, California region, with a confirmed diagnosis of ASD. Exclusion criteria included children who weighed less than the 5th percentile for age, took prescription medications, or had laboratory evidence of liver, kidney, heart, or adrenal abnormalities. Children living more than a 90-min drive from the testing sites in La Jolla, CA were excluded to eliminate the possibility of aberrant behaviors resulting from extended car travel. Children with known syndromic forms of ASD caused by DNA mutation or chromosomal copy number variation (CNV) were excluded in this first study. Families were asked not to change their children's therapy (e.g., supplements, speech, and behavioral therapies) or diet throughout the study period. The study was conducted between 27 May 2015 (date of the first child to be enrolled) and 3 March 2016 (date of the last child to complete the study).

# Regulatory approvals, registration, CONSORT, and informed consent

The research plan, clinical trial protocol, informed consents, advertising, and amendments were approved by the University of California, San Diego (UCSD) Institutional Review Board (IRB Project #150134) before implementation. The study was authorized by the U.S. Food and Drug Administration (IND#118212), and conformed to the World Medical Association Declaration of Helsinki–Ethical Principles for Medical Research Involving Human Subjects,<sup>33</sup> and the International Council for Harmonization (ICH) E6 Good Clinical Practice (GCP) guidelines. The trial was registered with clinical trials.gov (https://clini caltrials.gov/ct2/show/NCT02508259). Reporting of the SAT-1 trial conformed to CONSORT 2010 guidelines.<sup>34</sup> Signed informed consent, with additional consent for video and still image photography, were obtained from the parents of all participants before enrollment and randomization. Storyboards and social stories were created to review with parents, help children visualize and prepare for the study, and create the opportunity to ask questions (Figure S1, Data S2).

#### **Randomization and masking**

Twenty male subjects with ASD were screened. Sixteen met entry criteria. Ten participants could be matched by age, nonverbal IQ, and ADOS scores into five pairs. The randomization sequence was generated electronically by the biostatistical team. Subjects within each pair were allocated to receive suramin or saline according to the prospectively determined randomization sequence. The randomization sequence was concealed from the clinical team and implemented by the UCSD investigational pharmacy, which prepared drug and placebo for infusion. The design was double blind. The mask was not broken until all subjects had completed the study and all clinical data had been collected.

#### **Diagnostic and outcome procedures**

The diagnosis of each of the enrolled participants was confirmed by ADOS-2<sup>35</sup> comparison scores of  $\geq$ 7. Nonverbal IQ was tested by Leiter-3 examination.<sup>36</sup> The primary behavioral outcomes were ADOS scores and language assessed by standardized vocabulary testing. Expressive vocabulary was assessed by Expressive One-Word Picture Vocabulary Test (EOWPVT).<sup>37</sup> Primary outcomes were measured at baseline, and 2 days and 6 weeks after infusion. Secondary outcomes were the Aberrant Behavior Checklist (ABC),<sup>38</sup> Autism Treatment Evaluation Checklist (ATEC),<sup>39,40</sup> Clinical Global Impression of Improvement (CGI)<sup>41</sup> (Data S1), and Repetitive Behavior Questionnaire (RBQ).<sup>42</sup> Secondary outcomes were measured at baseline, and 7 days and 6 weeks after infusion.

#### **Protocol deviations**

The original protocol was designed to collect electroencephalography (EEG), heart rate variability (HRV), balance, gait, fine motor, and sensory motor data as secondary outcomes. However, the wide range in ages and abilities, small subject numbers, and task compliance difficulties made collection of these data incomplete and insufficiently powered to draw any conclusions. In addition, we found that major language advances were in the form of new speech fluency and new interest in speech and social communication, and not in new vocabulary. Peabody Picture Vocabulary Testing (PPVT) did not capture this new interest in communication. These data were incomplete and insufficiently powered for analysis.

# Drug and placebo administration

Suramin was provided as the hexasodium salt (MW 1429.2 g/mol) in 1 g lyophilized vials by Bayer Pharma AG (Leverkusen, Germany), under Dr. Naviaux's IND #118212. Lot #BXNOGW1, expiration date of 3 September 2018, was used in these studies. A 1 g vial was reconstituted in 10 mL of sterile water for infusion to prepare a 10% (100 mg/mL) solution. All infusions were conducted at the University of California, San Diego School of Medicine Clinical and Translational Research Institute (CTRI) in La Jolla, CA. Height and weight were recorded, vital signs and capillary oxygen saturation (pulse oximetry) measured, physical and neurological examinations were conducted,

and urine and blood for safety monitoring, pharmacology, and metabolomics were collected before the infusion. Each child then received a 50 mg test dose (0.5 mL of a freshly reconstituted 10% solution) of suramin in 5 mL of saline, or 5 mL of saline only given by slow intravenous (IV) push over 3 min, followed by a 10-mL flush of saline. One hour after the test dose, vital signs were repeated and a single infusion of either suramin (20 mg/kg, minus the 50 mg test dose, in 50 mL, up to a maximum of 1 g) or saline (50 mL IV) was given over 30 min, followed by a 10-mL flush of saline. One hour after completion of the infusion, vital signs and the physical and neurological examinations were repeated, blood was collected for safety monitoring and pharmacology, and the family discharged to home. A typical infusion visit to the Clinical Translational Research Institute (CTRI) lasted about 4 h from start to finish.

# Safety and adverse event monitoring

Blood and urine samples were collected for safety and toxicity monitoring at 5 times throughout the study: at baseline (32  $\pm$  6 days before the infusion; mean  $\pm$  SEM),



Figure 1. Trial profile.

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immediately before the infusion, 1 h after the infusion, 2 days after, and 45 days after the infusion. Unexpected and adverse events were recorded as they occurred and graded in severity according to the National Cancer Institute Common Terminology Criteria for Adverse Events v4.03 (CTCAE) scale. Additional pharmacovigilence monitoring included daily scripted phone calls in the first week, then 4 weekly calls until the exit examinations at 6 weeks. Each child received a formal neurological examination by a board-certified pediatric neurologist at baseline and at the end of the study. An independent data safety monitoring board (DSMB) reviewed the data and IRB communications for the study.

#### **Pharmacokinetics**

Plasma samples were collected for suramin pharmacokinetics (PK) before the infusion, at 1 h, 2 days, and 45 days postinfusion. Suramin concentrations were measured by high-performance liquid chromatography and tandem mass spectrometry (LC-MS/MS) as described previously.<sup>13</sup> See Supplemental Methods for details. The

Table 1. Group characteristics.

small number of PK samples per subject prevented a standard, noncompartmental analysis in individual subjects. The suramin drug concentrations were analyzed using a population PK approach with post hoc empiric Bayesian estimate of PK parameters in individual subjects. The PK data were fit to a two-compartment model using the computer program NONMEM (ICON, Dublin, Ireland).<sup>43</sup> PK parameters were scaled allometrically with volume terms scaled to linear body weight (kg<sup>1.0</sup>) and clearance terms scaled to weight (kg<sup>0.75</sup>). Scaled adult suramin parameters of compartmental volumes of distribution and clearance were used as initial parameter estimates and between subject variability only estimated for clearance (CL) and the peripheral volume of distribution ( $V_d$ ).

#### Pharmacometabolomics

Targeted, broad-spectrum, plasma metabolomic analysis, covering 63 biochemical pathways, was performed by LC-MS/MS as described previously<sup>44</sup> with minor modifications. In all, 431 of 610 targeted metabolites were measureable in plasma. See Supplemental Methods for details.

	Suramin group	Placebo group	
	Mean $\pm$ SD (range)	Mean $\pm$ SD (range)	2
Parameter	or Number	or Number	P value <sup>2</sup>
Number (male subjects)	5	5	N/A
Age (years)	8.9 ± 3.3 (5.7–13.6)	9.2 ± 3.8 (6.2–14.7)	0.88
Leiter IQ	82 ± 7.8 (75–92)	79 ± 8.8 (66–87)	0.69
ADOS Score	8.6 ± 0.9 (8–10)	9.4 ± 1.3 (7–10)	0.30
Weight (kg)	32 ± 14 (23–55)	40 ± 23 (24–80)	0.53
Weight percentile	64 ± 16 (42–84)	78 ± 30 (25–98)	0.40
Height (cm)	136 ± 23 (118–174)	137 ± 28 (113–180)	0.92
BSA <sup>1</sup> (m <sup>2</sup> )	1.09 ± 0.32 (0.87–1.63)	1.21 ± 0.46 (0.87–1.99)	0.64
Body mass index (kg/m <sup>2</sup> )	16.8 ± 1.1 (15.5–18.1)	19.9 ± 3.1 (16.2–24.7)	0.07
Head circumference (cm)	54.3 ± 2.8 (51.5–57.5)	54.5 ± 2.3 (51.5–57)	0.90
HC percentile	75 ± 30 (35–99)	75 ± 27 (42–97)	0.97
Age at ASD diagnosis (yrs)	3.2 ± 0.5 (2.5–3.75)	2.7 ± 0.3 (2.5–3.0)	0.10
Paternal age at birth (yrs)	37 ± 3.2 (35–41)	43 ± 12 (33–64)	0.62
Maternal age at birth (yrs)	35 ± 2.8 (32–38)	41 ± 6 (33–47)	0.053
Sibling with ASD	0	1	0.99
History of GI issues – current	0	1	0.99
Maintains a gluten-free diet	0	1	0.99
IVF conception	1	0	0.99
C-section delivery	1	1	0.99
History of premature birth	0	1	0.99
History of epilepsy <sup>3</sup> – current	0	0	0.99
History of developmental regression(s)	3	2	0.99
History of asthma – current	0	0	0.99
ASD symptom improvement with fever	2	1	0.99

BSA, body surface area; HC, head circumference; GI, gastrointestinal; IVF, in vitro fertilization; ASD, autism spectrum disorder.

<sup>1</sup>Mosteller method.

<sup>2</sup>Student's *t*-test for continuous data; Fisher's exact test for categorical data.

<sup>3</sup>Patients taking prescription drugs were excluded from the study. This included anticonvulsant medications.

# Sample size calculation and statistical analysis

This was a pilot study designed to obtain activity data and effect size estimates upon which future sample size calculations could be based. No data on suramin in autism were available for sample size calculations prior to this study. Each child was used as his own control to examine before and after treatment effects in a paired t-test design for the analysis of the ADOS, EOWPVT, ABC, ATEC, RBQ, and blood and urine safety data. Paired, nonparametric analysis was done by Wilcoxon signed-rank sum test. Categorical data, such as the presence or absence of adverse events or historical symptoms, was analyzed by Fisher's exact test. Two-way ANOVA (treatment × time), with Sidak post hoc correction, was used to analyze the 6-week summaries captured by the ADOS, CGI, and blood and urine safety analysis. Cohen's d – calculated as the mean difference of the paired, within-subject scores before and after treatment, divided by the standard deviation of the differences - was used as an estimate of effect size. Metabolomic data were logtransformed, scaled by control standard deviations, and analyzed by multivariate partial least squares discriminant analysis (PLSDA), with pairwise comparisons and post hoc correction for multiple hypothesis testing using Fisher's least significant difference method in MetaboAnalyst,45 or the false discovery rate (FDR) method of Benjamini and Hochberg. Metabolites with variable

Table 2. Summary of adverse or unanticipated events.

importance in projection (VIP) scores determined by PLSDA that were greater than 1.5 were considered significant. Methods were implemented in Stata (Stata/SE12.1, StataCorp, College Station, TX), Prism (Prism 6, Graph-Pad Software, La Jolla, CA), or R. Significant metabolites were grouped into pathways and their VIP scores summed to determine the rank-ordered significance of each biochemical pathway.

# **Results**

#### Participant disposition and demographics

Figure 1 illustrates the CONSORT flow diagram for patient recruitment, allocation, and analysis in the SAT-1 study. The two treatment groups were well matched (Table 1). The mean age was 9.1 years (range = 5-14). The mean nonverbal Leiter IQ was 80 (range = 66-92). The mean ADOS-2 comparison score was 9.0 (range = 7-10).

#### Safety monitoring and adverse events

Extensive monitoring revealed no serious toxicities (CTCAE grades 3–5). Neurologic examinations showed there was no peripheral neuropathy (Table 2). Analysis of free cortisol, hemoglobin, white blood cell count (WBC), platelets, liver transaminases, creatinine, and urine protein showed no differences in children who received suramin and placebo (Fig. 2). Five children who received suramin

No.	Events	Suramin ( $N = 5$ )	CTCAE <sup>1</sup> grade	Placebo ( $N = 5$ )	CTCAE <sup>1</sup> grade	P value <sup>2</sup>
1	Asymptomatic rash	5	1	0	_	0.0079
2	Uncomplicated URI <sup>3</sup>	2	1	2	1	0.99
3	Headache	1	1	0	_	0.99
4	Emesis $\times$ 1	14	1	1 <sup>5</sup>	1	0.99
5	Hyperactivity	2 <sup>6</sup>	1	1	1	0.99
6	Hypoglycemia <sup>7</sup>	1	2	1	2	0.99
7	Leukocytosis	0	_	1 <sup>8</sup>	1	0.99
8	Enuresis	1 <sup>9</sup>	1	0	-	0.99
9	Peripheral neuropathy	0	-	0	-	0.99
	Total:	13	_	6	_	0.12
	Nonrash AEs:	8	_	6	_	0.77

<sup>1</sup>CTCAE, common terminology criteria for adverse events v4.03. Mild to moderate = Grades 1–2; Serious = Grades 3–5. <sup>2</sup>Fisher's exact test.

<sup>3</sup>URI, upper respiratory tract infection, common cold. Infusions occurred October–February.

<sup>4</sup>In 7-year-old after pizza and slushee consumption after playing youth league basketball.

<sup>5</sup>In a 6-year-old after a car ride.

<sup>6</sup>In a 5- and 14-year-old intermixed with periods of calm focus in first week (the 14-year-old) or first 3 weeks (the 5-year-old).

<sup>7</sup>Six weeks after the infusion, after several days of a URI and fasting before lunch. Hypoglycemia was asymptomatic and corrected after a normal lunch.

<sup>8</sup>Leukocytosis (12.2k WBC) occurred on the day of the saline infusion and preceded a URI.

<sup>9</sup>In a 7-year-old briefly for a few days while sick with a cold. None of the events required medical intervention. No serious adverse events (SAEs) occurred in this study.

developed a self-limited, evanescent, asymptomatic, fine macular, patchy, morbilliform rash over 1–20% of their body (Fig. 2HI). This peaked 1 day after the infusion and disappeared spontaneously in 2–4 days. The mean number of AEs per participant was 1.9 (1.2 in the placebo group and 2.6 in the suramin group; 1.6 in the suramin group for a nonrash AE; RR = 1.3; 95% CI = 0.5–3.4; P = 0.77; Table 2). No serious adverse events (SAEs) occurred in this study. An independent data and safety monitoring board (DSMB) reviewed this information, as well as the clinical safety and toxicity data and IRB communications from the study, and found no safety concerns.

# **Pharmacokinetics**

Pharmacokinetic analysis showed that at 1 h after intravenous infusion of 20 mg/kg ( $558 \pm 41 \text{ mg/m}^2$ ;

mean  $\pm$  SD; Table S1), the suramin concentration was  $104 \pm 11.6 \ \mu mol/L$  (Fig. 3A). The distribution phase half-life was 7.4  $\pm$  0.55 h. The suramin levels rapidly fell below 100  $\mu$ mol/L and into the target range before day 2 in all subjects, with an average plasma level of suramin of 12.0  $\pm$  1.5  $\mu$ mol/L on day 2 (Fig. 3B, Table S1). Target concentrations of 1.5-15 µmol/L were maintained between 2 days and 6 weeks following the dose (Fig. 3). The steady-state volume of distribution was  $0.83 \pm 0.014$  L/kg (22.7  $\pm 2.6$  L/m<sup>2</sup>). The clearance was  $1.95 \pm 0.21 \text{ mL/h/kg} (0.056 \pm 0.011 \text{ L/h/m}^2)$ . The terminal elimination phase half-life  $(t_{1/2})$ was  $14.7 \pm 1.4$  days (Fig. 3B,D). A two-compartment PK model showed excellent fit between measured and predicted plasma levels ( $r^2 = 0.998$ ; Fig. 3C). These data are the first in the published literature on the pharmacokinetics of suramin in a pediatric population.



**Figure 2.** Safety monitoring. (A) Free cortisol, (B) proteinuria, (C) creatinine, (D) hemoglobin, (E) white blood cells (WBC), (F) platelets, (G) aspartate aminotransferase (AST), (H) rash – antecubital fossa, (I) chest. Data were analyzed by two-way ANOVA to test for treatment, time, and treatment  $\times$  time interaction effects. *P* and *F* values reflect the treatment effect. Only the rash was significantly different between suramin and placebo groups.



**Figure 3.** Pharmacokinetics of single-dose suramin in children with autism spectrum disorders. (A) Two-compartment model of suramin blood concentrations. The first 48 h were dominated by the distribution phase. Over 90% of the model is described by the elimination phase. (B) Plasma suramin concentrations. (C) A two-compartment model correlated well with measured values. (D) Pediatric PK parameters of suramin.

#### Pharmacometabolomics

Targeted plasma metabolomics was performed immediately before infusion, at 2 days, and 6 weeks after the infusion. The rank order of the top 35 of 48 significant metabolites 6 weeks after suramin treatment is illustrated in Figure 4. The rank order after 2 days is illustrated in Figure S2. Consistent with our previously published work using mouse models, the metabolic effects of suramin resulted in a decrease of the cell danger response<sup>8</sup> and restored more normal metabolism.<sup>12,13</sup> Purine metabolism was the single most changed pathway (Table 3, Table S2). Suramin increased healthy purines such as AICAR, which is an activator of the master metabolic regulator AMPdependent protein kinase (AMPK). 1-Methyl-adenine (1-MA) was also increased. 1-MA is derived from 1methyl-adenosine, a recently recognized marker of new protein synthesis and cell growth. Suramin decreased other purines in the plasma such as cAMP and dGDP (Fig. 4, Tables S3 and S4). Improvements in 1-carbon, folate, methionine, and cysteine metabolism were also found (Table 3, and Figure S3). Figure 5 illustrates the similarities found in the pharmacometabolomic response to suramin in MIA<sup>13</sup> and Fragile X mouse models<sup>12</sup> and

in children with ASD in this study. Twenty-one of the 28 (75%) pathways changed in ASD were also changed by suramin treatment in the mouse models of ASD (Fig. 5).

#### **Outcomes**

The primary outcome measures were ADOS-2 and Expressive One-Word Picture Vocabulary (EOWPVT) scores (Table 4). Parents reported that after suramin treatment, the rate of language, social, behavioral, and developmental improvements continued to increase for 3 weeks, then gradually decreased toward baseline over the next 3 weeks. The blood levels of suramin at 3 weeks were estimated to be 4.2  $\pm$  0.5  $\mu$ mol/L using our PK model. ADOS-2 comparison scores at 6 weeks improved by an average of  $-1.6 \pm 0.55$  points (mean  $\pm$  SD; n = 5; 95% CI = -2.3to -0.9; Cohen's d = 2.9; P = 0.0028) in the suramin treatment group and did not change in the saline group. We calculated P values by both parametric and nonparametric methods (Table 4). The mean ADOS comparison score in the suramin-treated group was 8.6  $\pm$  0.4 at baseline and 7.0  $\pm$  0.3 at 6 weeks. Two-way ANOVA of ADOS scores of suramin and placebo groups measured at baseline and at 6 weeks were also significant (treatment × time

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Figure 4. Suramin pharmacometabolomics. Rank order of metabolites and pathways that were changed by suramin at 6 weeks after treatment.

interaction F(1, 8) = 12.0; P = 0.0085; Figure S4A). ADOS scores were not changed in the saline-treated group (Table 4). EOWPVT scores did not change (Table 4). Several secondary outcome measures also showed improvements. These included improvements in ABC, ATEC, and CGI scores (Table 4). The Repetitive Behavior Questionnaire (RBQ) scores did not capture a change.

# Discussion

The aim of the SAT-1 trial was to test the safety, pharmacokinetics, and pharmacodynamics of low-dose suramin in children with ASD. A self-limited rash was seen, but no serious adverse events occurred. Pharmacometabolomic analysis showed that the pathways changed by suramin treatment in ASD were previously known mediators of the cell danger response (CDR)<sup>8</sup> and that purine metabolism was changed most. Seventy-five percent of the pathways changed by suramin in children with ASD were also changed by suramin in mouse models.<sup>12–14</sup>

#### Safety

Suramin has been used safely for nearly a century to treat both children and adults with African sleeping sickness. Although side effects occurred occasionally, these could be minimized by attention to patient nutritional status, proper dose, administration procedures, and measured blood levels of suramin.<sup>46</sup> The low dose of suramin used in this study produced blood levels of 1.5–15  $\mu$ mol/L for 6 weeks. Previous studies have never examined the sideeffect profile of suramin in this low-dose range. The sideeffect profile of high-dose suramin (150-270 µmol/L) is known from cancer chemotherapy studies.<sup>32</sup> The sideeffect profile from medium-dose suramin (50-100 µmol/ L) is known from African sleeping sickness studies.<sup>46</sup> However, the side-effect profile of low-dose suramin (5–15  $\mu$ mol/L) used for antipurinergic therapy (APT) in autism is unknown. Low-dose suramin was found to be safe in five children with ASD, ages 5-14 years, in this study.

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Table 3.

			Function bottom	Eveneted bite		PIC1	trees	Errotion of immort		
:	-	metabolites	proportion	in sample	Observed hits in the	enrichment	(sum VIP	(VIP score) explained	-	-
No	Pathway name	in the pathway (N)	(P = N/429)	ot 48 (P × 48)	top 48 metabolites	(obs/exp)	score)	(% of 94.6)	Increased	Decreased
-	Purine metabolism	26	0.061	2.9	5	1.7	10.2	11%	m	2
2	SAM, SAH, methionine,	15	0.035	1.7	5	3.0	9.5	10%	Ŋ	0
	cysteine, glutathione									
m	Microbiome metabolism	18	0.042	2.0	4	2.0	8.4	6%	4	0
4	Branch chain amino acid	12	0.028	1.3	4	3.0	7.4	8%	4	0
	metabolism									
ŋ	Bile acid metabolism	9	0.014	0.7	m	4.5	5.7	6%	Μ	0
9	Fatty acid oxidation and synthesis	37	0.086	4.1	m	0.7	5.0	5%	0	m
7	Amino acid metabolism (alanine)	4	0.009	0.4	2	4.5	4.3	5%	2	0
∞	Krebs cycle	6	0.021	1.0	2	2.0	4.3	5%	2	0
6	Pyrimidine metabolism	6	0.021	1.0	2	2.0	4.2	4%	2	0
10	Sphingomyelin metabolism	36	0.084	4.0	2	0.5	4.1	4%	2	0
11	1-Carbon, folate, formate,	ъ	0.012	0.6	2	3.6	4.0	4%	2	0
	glycine, serine									
12	GABA, glutamate, arginine,	9	0.014	0.7	2	3.0	3.9	4%	2	0
	ornithine, proline									
13	Tyrosine and phenylalanine	C	0.007	0.3	2	6.0	3.7	4%	2	0
	metabolism									
14	Cholesterol, cortisol,	16	0.037	1.8	2	1.1	3.5	4%	2	0
	nongonadal steroid									
15	Gamma-glutamyl and	2	0.005	0.2	1	4.5	2.4	2%	-	0
	other dipeptides									
16	Histidine, histamine, carnosine	4	0.009	0.4	1	2.2	2.3	2%	-	0
	metabolism									
17	Nitric oxide, superoxide, peroxide	2	0.005	0.2	-	4.5	2.2	2%	-	0
	metabolism									
18	Tryptophan, kynurenine,	9	0.014	0.7	1	1.5	2.1	2%	-	0
	serotonin, melatonin									
19	Glycolysis and gluconeogenesis	7	0.016	0.8	-	1.3	2.1	2%	-	0
	metabolism									
20	Vitamin C (ascorbate) metabolism	2	0.005	0.2	-	4.5	2.0	2%	-	0
21	Amino-sugar, hexose metabolism	Ŋ	0.012	0.6	1	1.8	1.9	2%	-	0
22	Phospholipid metabolism	73	0.170	8.2	1	0.1	1.6	2%	0	1
								Subtotal:	42	9
								Total:	48	



**Figure 5.** Shared biochemical pathways. 75% of the pathways that were altered by suramin in children with ASD were also altered in the mouse models. Asterisks (\*) indicate pathways that were changed at 2 days, but not at 6 weeks after treatment.

#### **Study limitations**

Limitations of the SAT-1 study included its small size and the suboptimal timing of the outcome measurements. Parents reported that the rate of new behavioral and developmental improvements continued to increase for the first 3 weeks after the single dose of suramin, as blood levels of suramin fell from 12 to 4  $\mu$ mol/L, then gradually decreased toward baseline over the next 3 weeks, as blood levels fell further from 4 to 1.5  $\mu$ mol/L. This pattern of response suggested a threshold effect at about 4  $\mu$ mol/L that could not have been predicted on the basis of what was known about suramin before this study, and outcomes were not measured at 3 weeks.

Another potential limitation of the trial was the selflimited rash. The rash was asymptomatic and resolved spontaneously in a few days. In theory, the rash may have biased parents in a way that caused them to either improve their scores on the ABC, ATEC, RBQ, and CGI, or to report more side-effects or adverse behaviors at both the 7-day and 6-week reports. Examiner-based ADOS scoring was more resistant to this potential bias, since the rash was not visible on exposed skin to the outcome examiners at any time. However, a design limitation of the study was that one of the two ADOS examiners was also assigned to conduct scripted phone interviews with the families, and might have been susceptible to unconscious bias even though the study remained blinded and the rash preceded any significant examiner-based outcomes by one and a half months.

Another potential weakness of this study was that ADOS scoring was not designed to be, and is not typically used as, a repeated measure of outcomes in autism treatment studies. This has occurred historically for two counterbalancing reasons: (1) because it is generally believed that ADOS scores are diagnostic and are not sensitive to change once the diagnosis is established, and (2) because training effects have the potential to produce improvements that are artifactual. With regard to the first point, under the right circumstances ADOS scores can be sensitive to change and have recently been used successfully as an outcome measure in a large autism treatment study.<sup>47</sup> With regard to the second point, if training effects occurred, they were asymmetric, since improvements were only observed in the suramin treatment group and were not observed in the placebo group (Table 4).

#### Psychopharmacology

Suramin has objective central nervous system (CNS) effects in animal models<sup>12-14</sup> and children with autism despite being unable to penetrate the blood-brain barrier.48 Suramin also has a number of peripheral effects on innate immunity, metabolism, pain, gut, autonomic, inflammatory, and other pathways regulated by purinergic signaling that may contribute to the beneficial effects observed.<sup>8,23</sup> Previous studies have shown that suramin is taken up into the CNS at the level of the brainstem, although not appreciably into the cerebrum or cerebellum.<sup>13</sup> There are eight circumventricular organs (CVOs) in the brain that contain neurons that lack a blood-brain barrier.<sup>49</sup> The area postrema in the brainstem is one of these CVOs that monitors the chemistry of the blood and transduces this information to higher centers in the brain for neuroendocrine, affective, cognitive, and behavioral integration. Rather than being a disadvantage, the peripheral actions and indirect CNS effects of suramin may have certain advantages by minimizing the risk of CNS toxicity. While new antipurinergic drugs (APDs) may soon be developed that can pass the blood-brain barrier, this appears not to be required to produce the behavioral effects of suramin in ASD.

# Conclusions

The SAT-1 trial examined the effects of low-dose suramin or placebo in 10 children with autism spectrum disorder. No safety concerns were found. A two-compartment pharmacokinetic model permitted accurate forecasting of plasma drug levels from 1 h to 6 weeks after the infusion. Metabolomic studies confirmed the importance of the cell danger response (CDR)<sup>8</sup> and purinergic signaling.<sup>12–14</sup> A single intravenous dose of suramin was associated with improved scores for language, social interaction, and

Outcome				Suramin						Placebo				
Instrument	Factor or behavior	Time after treatment (days)	Difference from baseline (mean $\pm$ SD)	95% CI	٥	<	P2	red.	Difference from baseline (mean ± SD)	95% CI	م	2	Å	Ъ
Primary outc ADOS-2	omes Comparison	45	$-1.6 \pm 0.55$	-2.3 to -0.9	2.9	<u>س</u>	0.0028	0.038	$-0.4 \pm 0.55$	-1.1 to +0.28	0.7	<u>ں</u>	0.18	0.16
	Raw	45	$-4.6 \pm 1.9$	-7.0 to -2.2	2.4	ъ	0.0062	0.039	$-0.4 \pm 1.8$	-2.7 to +1.9	0.22	ъ	0.65	0.58
	Social	45	$-3.2 \pm 1.9$	-5.6 to -0.8	1.7	ß	0.020	0.043	$0.0 \pm 1.7$	-2.2 to +2.2	0	ŋ	0.99	0.71
	Restr/Rep	45	$-1.4 \pm 0.89$	-2.5 to -0.29	1.6	ß	0.025	0.059	$-0.4 \pm 2.1$	-3.0 to +2.2	0.19	Ŋ	0.69	0.58
EOWPVT	Vocabulary	45	$-4.2 \pm 8.3$	-14.5 to +6.1	-0.51	ß	0.32	0.50	+2.0 ± 4.6	-3.8 to +7.8	0.43	ß	0.39	0.50
Secondary of	utcomes													
ABC	Stereotypy	7	$-3.6 \pm 2.1$	-6.2 to -1.0	1.7	ß	0.018	0.043	$+0.4 \pm 1.9$	-2.0 to +2.8	-0.21	Ŋ	0.67	0.68
	Stereotypy	45	$-4.0 \pm 2.3$	-6.9 to -1.1	1.7	ß	0.019	0.042	$+1.0 \pm 4.3$	-4.3 to +6.3	-0.23	Ŋ	0.63	0.69
ATEC	Total	7	$-10 \pm 7.7$	-20 to -0.46	1.3	ß	0.044	0.043	+7.2 ± 14	-10 to +25	-0.51	Ŋ	0.32	0.35
	Language	7	$-2.2 \pm 1.5$	-4.0 to -0.36	1.4	ß	0.021	0.059	$0.0 \pm 4.1$	-5.0 to +5.0	0	ъ	0.99	0.89
	Sociability	7	$-3.6 \pm 2.6$	-6.8 to -0.36	1.4	ß	0.025	0.063	$-0.8 \pm 2.8$	-4.3 to +2.6	0.29	ъ	0.55	0.58
	Language	45	$-2.0 \pm 1.4$	-2.7 to -0.49	1.4	ß	0.034	0.059	$-0.2 \pm 2.9$	-3.8 to +3.4	0.07	Ŋ	0.88	0.79
CGI	Overall ASD	45	$-1.8 \pm 1.04$	-3.4 to -0.15	1.7	ß	0.05	n/a	$0.0 \pm 0.34$	-0.55 to +0.55	0	ß	0.99	n/a
	E. Language	45	$-2.0 \pm 1.04$	-3.6 to -0.35	1.9	ъ	0.01	n/a	$0.0 \pm 0.34$	-0.55 to +0.55	0	ъ	0.99	n/a
	Social Inter.	45	$-2.0 \pm 1.04$	-3.6 to -0.35	1.9	ъ	0.01	n/a	$0.0 \pm 0.34$	-0.55 to +0.55	0	ъ	0.99	n/a
RBQ	Total	45	$-3.2 \pm 5.8$	-10.4 to +4.0	0.55	ß	0.28	0.22	$-0.8 \pm 3.3$	-4.9 to 3.3	0.24	Ŋ	0.62	0.47
ADOS-2, aut list; CGI, clin guage; Socic control. CGI ABC, ATEC, decrease. <sup>1</sup> A positive C ences and sn <sup>2</sup> P value fron	ism diagnostic c ical global impre- ial Inter., social i was analyzed b CGI, and RBQ z ohen's d reflect nall standard dei n nonparametric pai	bservation sc ssion survey; nteraction. A y two-way Al re severity sc s improvemer viations found red t-test ana paired Wilco	hedule, 2nd edition; EOW RBO, repetitive behavior of <i>nalysis</i> . ADOS, EOWPVT, NOVA (symptom × time t ores; negative differences nt, and a negative <i>d</i> reflec theore and after treatme lysis.	PVT, Expressive One questionnaire; Restr ABC, ATEC, and R before and after tre from baseline refle from baseline refle ts a decrease by co ent in this small stur ysis.	-Word Pic /Rep, rest BQ scores atment) v ct decrea rvention. by.	cture \ ricted with po sed se Cohei	/ocabulary or repetiti a analyzed ost hoc cc verity, tha n's d is lik	r Test; ABG ve behavio l by paire- prrection. I t is, impro ely an ove	, aberrant behavior chec ors; Overall ASD Sx, over a analysis before and af Vonparametric <i>P</i> values v verment. EOWPVT is a p vermate of the actual tr restimate of the actual t	klist; ATEC, autism all ASD symptoms; ter treatment using were not calculated erformance score; n reatment effect base	treatmen E. Langua I each su (n/a). Int (n/a). Int iegative o iegative o the	nt eva age, e ubject <i>terpre</i> differe e large	luation c expressiv as their tation. A tation. A ences ref e mean c	heck- e lan- own ADOS, lect a liffer-

Table 4. Outcomes.

decreased restricted or repetitive behaviors measured by ADOS, ABC, ATEC, and CGI scores. None of these improvements occurred in the five children who received placebo. The generalizability of these findings is unknown. Future studies will be needed to confirm these findings in larger numbers of children with ASD, and to evaluate whether a few doses of suramin given over a few months are safe and might facilitate continued improvements.

#### Special note from the authors

Suramin is not approved for the treatment of autism. Like many intravenous drugs, when administered improperly by untrained personnel, at the wrong dose and schedule, without careful measurement of drug levels and monitoring for toxicity, suramin can cause harm. Careful clinical trials will be needed over several years at several sites to learn how to use low-dose suramin safely in autism, and to identify drug–drug interactions and rare side effects that cannot currently be predicted. We strongly caution against the unauthorized use of suramin.

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# **Author Contributions**

Dr. Robert Naviaux raised the funding, obtained the regulatory approvals, conceived, designed, and directed the trial, analyzed the data, prepared the figures, and wrote the manuscript. Dr. Curtis, Dr. Westerfield, and Ms. Mash performed the neurodevelopmental testing, provided clinical coordination, and edited the manuscript. Dr. Reiner helped design the study, coordinated patient infusions and clinical care, and edited the manuscript. Dr. Li, Dr. Jane Naviaux, and Dr. Wang performed the metabolomic and pharmacokinetic analysis, analyzed the data, prepared the figures, and wrote parts of the manuscript. Dr. Jain and Ms. He helped design the study, prepared the randomization key, performed biostatistical analyses, and edited the manuscript. Dr. Bright directed the data compilation, integrity, and completeness analysis, provided independent biostatistical analysis, and edited the manuscript. Dr. Goh helped design the study, performed neurologic examinations, and edited the manuscript. Dr. Alaynick helped design the study and edited the manuscript. Dr. Capparelli analyzed the pharmacokinetic data, prepared the figures, and wrote parts of the manuscript. Dr. Sun and Ms. Adams provided investigational pharmacy support, implemented the clinical mask, and edited the manuscript. Ms. Arellano provided clinical coordination and edited the manuscript. Dr. Chukoskie helped design the study, analyzed the data, critically reviewed and edited the manuscript. Dr. Lincoln and Dr. Townsend helped design the study, directed the neurodevelopmental studies, wrote and edited the manuscript.

# **Conflict of Interest**

RKN has filed a provisional patent application related to antipurinergic therapy of autism and related disorders and is a scientific advisory board member for the Autism Research Institute and the Open Medicine Foundation. EVC is a DSMB member for Cempra Pharmaceuticals and The Medicines Company, and a consultant for Alexion. SG is co-owner of MitoMedical. The other authors declare no conflicts of interest.

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# **Supporting Information**

Additional Supporting Information may be found online in the supporting information tab for this article:

Table S1. Single-dose suramin pharmacokinetics.

**Table S2.** Suramin pharmacometabolomics. Pathwayschanged at 2 days.

 Table S3.
 Suramin pharmacometabolomics.
 Metabolites

 changed at 2 days.

**Table S4.** Suramin pharmacometabolomics. Metaboliteschanged at 6 weeks.

Figure S1. Storyboard illustration of each step of the infusion day visit.

**Figure S2.** Suramin pharmacometabolomics. Rank order of metabolites and pathways that were changed by suramin at 2 days after treatment.

**Figure S3.** Suramin pharmacometabolomics pathway visualization. (A) After 2 days. (B) After 6 weeks. Metabolites indicated in red were increased, and those in green were decreased compared to controls (see *z*-score scale in upper right).

Figure S4. Outcomes. (A) 6 Weeks ADOS comparison scores by two-way ANOVA. (B) 6 Weeks ADOS comparison score improvement after suramin. (C) 6 Weeks ADOS social affect score improvement after suramin. (D) 6 Weeks ADOS restricted and repetitive behavior score improvement after suramin. (E) 2 days ADOS comparison scores were not changed. (F) no change in 6 weeks ADOS scores in subjects receiving saline placebo. (G) no change in 6 weeks ADOS social affect scores in subjects receiving placebo. (H) no change in 6 weeks ADOS restricted and repetitive behavior scores in subjects receiving placebo. (I) no change in 6 weeks Expressive One-Word Picture Vocabulary scores. (J) 7-day improvement in ABC stereotypy scores after suramin. (K) 6-week Improvement in ABC stereotypy scores after suramin. (L) 7-day Improvement in ATEC total scores after suramin. (M) no change in 6 weeks EOWPVT scores after saline. (N) no change in 7 days ABC stereotypy scores after saline. (O) no change in 6 weeks ABC stereotypy scores after saline. (P) no change in 7 days ATEC total scores after saline. (Q) improved ATEC speech, language, and communication scores 7 days after suramin. (R) improved ATEC sociability scores 7 days after suramin. (S) improved ATEC speech, language, and communication scores 6 weeks after suramin. (T) improved ADOS comparison scores after dropping a subject who missed the 6week visit (N = 4). (U) no change in 7 days ATEC speech, language, and communication after saline. (V) no change in 7 days ATEC sociability after saline. (W) no change in 6 weeks ATEC speech, language, and communication scores 6 weeks after saline (X) no change in EOWPVT scores after dropping subject who missed the 6-week visit (N = 4). (Y) no change in 2 days ADOS scores after suramin. (Z) no change in 6 weeks RBQ total scores after suramin. (aa) improved core symptoms of ASD and other behaviors by CGI at 6 weeks after suramin. P values: \*0.05, \*\*0.01, \*\*\*0.001. (bb) Top 3, most changed symptoms named by parents in the 6-week CGI. (cc) no change in 2 days ADOS scores after saline. (dd) no change in 6 weeks RBQ total scores after saline.

**Data S1.** Clinical Global Impression (CGI) questionnaire. **Data S2.** Social Stories to Accompany the Storyboard Panels Describing Each Step of the Infusion Day Visit.