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# Structural and vibrational characterization of di-hydrated hydrochloride tacrine combining DFT with SQMFF approach

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# ABSTRACT

This research is a continuation of previously reported article on anhydrous freebase, cationic and hydrochloride tacrine. Here, structures and properties of di-hydrated species of cholinesterase inhibitor tacrine have been studied in gas phase and aqueous solution by using B3LYP/6-311G\* and wB97XD/6-311G\* levels of theory. Both methods show strong changes in the positions of two water molecules and similar solvation energies (-192.52 kJ/mol with the B3LYP method and − 191.95 kJ/mol with the other one). The B3LYP method predicts low gap values for the anhydrous (2.4572 eV) and di-hydrated (3.2708 eV) species of tacrine in gas phase than the wB97XD/ 6-311G\* method (7.2300 eV). Hence, higher reactivities are expected for the di-hydrated species in both media. Atoms in molecules (AIM) calculations support the lower stability of di-hydrated species in solution in agreement with its higher reactivity in this medium. Complete assignments of 104 vibration modes expected for di-hydrated hydrochloride by using the scaled mechanical force field (SQMFF) methodology have been reported. Both methods predict different assignments and scaled force constants presenting higher values those calculated with the wB97XD/6-311G\* method. The predicted IR, Raman and <sup>1</sup>H NMR spectra with both methods show good correlations with the corresponding experimental ones, however, better concordances between the  $^{13}$ C NMR and UV spectra are observed with the wB97XD/6-311G\* method.

# **1. Introduction**

Recently, vibrational assignments and structural properties of anhydrous species of two cationic and three hydrochloride forms of cholinesterase inhibitor tacrine together with the freebase were studied combining hybrid B3LYP calculations with the scaled harmonic force fields [\[1](#page-16-0)]. The aim of that study was to elucidate the different cationic and hydrochloride structures of tacrine and, more specifically, to understand why the Cl atom of hydrochloride tacrine is experimentally linked to an H atom of NH<sub>2</sub> group instead H of NH group. [Fig. 1](#page-1-0) shows clearly that the positive charge of cationic species is located on the N atom of NH group while the Cl atom of hydrochloride form bonded to one H of NH2 group. The explanation of that behaviour is related to the two water molecules bonded to hydrochloride species, one to H atom of NH group and the other one to Cl, which stabilize the structure of this form of tacrine as a di-hydrated species, as was experimentally observed (see [Fig. 1\)](#page-1-0)  $[2]$  $[2]$  $[2]$ .

Thus, theoretical structures and structural, topological and vibrational properties of anhydrous species of tacrine were published

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<span id="page-1-0"></span>[\[1\]](#page-16-0) and, due to the extension of that work was not possible to study the structures and properties of the di-hydrated species with the rigor and depth that are necessary to perform the complete vibrational assignments of this species of tacrine. As a continuation of that study and taking into account the importance of tacrine as a cholinesterase inhibitor used for treatment of Alzheimer's disease [3–[6\]](#page-16-0), the structures and properties of di-hydrated species of tacrine in gas phase and aqueous solution are here studied. Therefore, the theoretical initial structure of di-hydrated species of hydrochloride tacrine was taken from that experimental orthorhombic pseudo polymorph determined by X-ray diffraction [\[2\]](#page-16-0). Then, the structure of di-hydrated species was optimized in gas phase and aqueous solution by using B3LYP and wB97XD calculations. At that time, the vibrational spectra were completely assigned and compared with the previously reported for anhydrous hydrochloride tacrine [\[1,7](#page-16-0),[8](#page-16-0)]. Here, we consider the effects of dispersion in the di-hydrated species using the wB97XD method due to the presence of intra-molecular interactions generated by the two water molecules. This way, knowing that the method produces less stable structures with lower energy values (less negative values), as was reported for anhydrous tacrine and other species, comparisons of properties with those two B3LYP and wB97XD methods were performed [\[1,9](#page-16-0),[10\]](#page-16-0). To achieve those purposes, the experimental structure di-hydrated of hydrochloride tacrine was used as initial and, then, the opti-mizations were performed using the B3LYP method with the 6–311++G\*\*, 6-311G\* and 6-31G\* basis sets [[7,8\]](#page-16-0). After that, the complete vibrational assignments using the normal internal coordinates, transferable scaling factors and the harmonic force fields obtained with the SOMFF procedure and the Molvib program were accomplished  $[11-13]$  $[11-13]$ . Here, assignments of stretching, deformation and librations (wagging, rocking and twisting) modes of two water molecules have been performed and compared with those reported for the anhydrous species. When the di-hydrated species of tacrine is compared with the corresponding to trehalose very good concordances in the assignments and scaled force constants are observed [[14\]](#page-16-0).

### **2. Material and methods**

The theoretical initial structure of di-hydrated hydrochloride tacrine was the experimental CIF file determined by Moris et al. by Xray diffraction [[2](#page-16-0)]. First, the B3LYP/6-31G\* method was used to optimize the structures in gas phase and aqueous solution and, then, by using the other 6-311G\* and 6-311++G\*\* basis sets. Surprisingly, the calculations with the B3LYP/6-311++G\*\* level of theory produces error in the internal coordinates system because the introduction of diffusion functions in the used basis set resulted unsatisfactory for the reproduction of the experimental wavenumbers. Thus, B3LYP/6-311G\* calculations was employed, as in anhydrous tacrine [\[1\]](#page-16-0). Then, wB97XD/6-311G\* calculations were also performed for the di-hydrated species in both media. For all calculations in both media the set of Gaussian 16 programs was used [[15\]](#page-16-0). In solution, the polarizable continuum model (PCM) and universal solvation method (SMD) were employed [\[16](#page-16-0)–18]. The volume variations were computed by using the Moldraw program [\[19](#page-16-0)]. As in anhydrous tacrine, atomic charges, bond orders, stabilization energies and topological properties were computed with the natural bond orbital and atoms in molecules programs [\[20](#page-16-0)–22] while the Merz-Kollman charges and the *GaussView* program were used to calculate molecular electrostatic potentials (MEP) and the mapped MEP surfaces [[23,24\]](#page-16-0). Frontier orbitals and chemical potential, electronegativity, global hardness, global softness and global electrophilicity index descriptors were used to predict reactivity and behaviour of di-hydrated species [25–[28\]](#page-16-0). The harmonic force fields were computed with the SQMFF methodology and the Molvib program considering normal internal coordinates, transferable scaling factors and potential energy distribution (PED) contributions ≥10 % [11–[13\]](#page-16-0). Hence, all bands observed in the experimental vibrational spectra of di-hydrated tacrine have been assigned. Predicted Raman spectra in activities are converted to intensities using know equations [[29,30](#page-16-0)]. The GIAO method was employed to predict <sup>1</sup>H and <sup>13</sup>C NMR spectra while the electronic spectrum in aqueous solution was predicted by using the Gaussian 16 program [\[15](#page-16-0)]. Good correlations between the experimental and predicted NMR spectra have been obtained although the predicted electronic spectra by using the B3LYP/6-311G\* method is different from that obtained with the wB97XD/6-311G\* level of theory [[29\]](#page-16-0).



**Fig. 1.** Structures of anhydrous cationic and hydrochloride, and di-hydrated hydrochloride species of tacrine. Intramolecular H-bonds are represented with dashed lines while the water molecules as W1 and W2.

### **3. Results and discussion**

#### *3.1. Calculations in different media*

Optimized and experimental structures of di-hydrated tacrine can be observed in Fig. 2.

The optimizations with both methods and basis sets evidence strong changes in the positions of both water molecules, as observed in [Fig. 3,](#page-3-0) although higher changes are observed in W2 than W1. Thus, W1 is bonded to H of NH while W2 is linked to Cl atom, as shown in Figs. 2 and 3. In the experimental structure, W2 is spatially distributed while the H atoms of W1 are in different positions. In the optimized structures with both methods one H–O bond of W2 is located practically planar to the rings while in W1 the two H present different positions, however, the O atom is practically in the same place.

In [Table 1](#page-3-0) are summarized calculated total E uncorrected and corrected by zero-point vibrational energy ( $E_{ZPVE}$ ) together with the V and μ values for di-hydrated hydrochloride tacrine in the two media at different levels of theory.

Regarding the results, it is observed that the E and E<sub>ZPVE</sub> values obtained with the wB97XD/6-311G\* method in gas phase is approximately similar to predicted with the B3LYP/6-31G\* method, as also was observed for ethylene oxide dimer [\[10](#page-16-0)]. Besides, the dipole moment value for the di-hydrated species considering the dispersion (20.07 D) is similar to observed for the anhydrous species (20.42 D) while the V in gas phase (288.1  $\AA^3$ ) is comparable to predicted in aqueous solution with the B3LYP/6-31G\* method, as in ethylene oxide dimer [[10\]](#page-16-0). As expected, the di-hydrated tacrine present higher V and μ values than the anhydrous one due to the two water molecules. Also, the μ value rises from 19.82 D in the gas phase to 27.24 D in water. When the positions and directions of dipole moment vectors for di-hydrated and anhydrous tacrine are compared in [Fig. 4](#page-3-0) we observed few changes in the orientation and direction of vectors, however, higher variations in the magnitudes of those two species are observed.

# *3.2. Solvation energies*

Here, the solvation energies of di-hydrated tacrine with both methods were predicted in aqueous solution because the presence of two water molecules could play a very important role in the properties of tacrine in solution. Hence, in [Table 2](#page-4-0) are presented corrected solvation energies by ZPVE and by the total non-electrostatic terms of anhydrous and di-hydrated hydrochloride tacrine in aqueous solution by using the B3LYP/6-311G\* and wB97XD/6-311G\* methods. Comparisons of these values with published for antiviral and laxative agents and for some alkaloids are also observed [[1](#page-16-0),[26,28,31,32](#page-16-0)]. (ΔGc) are calculated as the difference between the corrected solvation energies by ZPVE ( $\Delta G_{\text{un}}^{\#}$ ) and by the non-electrostatic terms ( $\Delta G_{\text{ne}}$ ).

For example, for the B3LYP/6-311G\* method the  $\Delta G_{ZPVE}$  value is calculated as:  $-1226.9886 - (-1226.9236)$  Hartrees = -0.065 Hartrees x 627.51 kcal/mol =  $-40.78 \times 4.18 = -170.49$  kJ/mol. Then, the  $\Delta G_{\text{ne}}$  value of 22.03 kJ/mol is obtained from of optimizations in solution using PCM calculations. These calculations show that the value of di-hydrated species (− 192.52 kJ/mol) is slightly more negative than the anhydrous one and, hence, probably most soluble than that species (− 162.02 kJ/mol) due obviously to the two water molecules. A very important resulted is that the solvation energy with both methods and basis sets are approximately the same. Comparisons with other hydrochloride antiviral or alkaloids agents, tacrine evidence higher values or most negative solvation energy values. However, high values are observed in the cationic species of those compared agents probably due to that the groups associated to tacrine are different from those related to amantadine. But, the value of di-hydrated species of tacrine is lower than the laxative sodium picosulphate due to the presence of two Na $^+$  and two SO $^{2-}_4$  groups that increasing in notable form the solubility of that agent [\[32](#page-16-0)]. Hence, such changes justify the different biological activities observed in the compared species.



**Fig. 2.** Theoretical optimized structure and numbering of atoms for di-hydrated species of tacrine showing the intramolecular H-bonds with dashed lines (left) and experimental determined by X-ray diffraction (right) [[13\]](#page-16-0).

<span id="page-3-0"></span>

**Fig. 3.** Positions of two water molecules (W1 and W2) in the experimental and optimized structures of di-hydrated tacrine.

Computed total (*E*), dipolar moment (μ) and volume (V) values of anhydrous and di-hydrated hydrochloride tacrine in both media by using the B3LYPand wB97XD methods and different basis sets.

HYDROCHLORIDE ANHYDROUS				
<b>GAS PHASE</b>				
Method	E (Hartrees)	$E_{ZPVF}$ (Hartrees)	$\mu$ (D)	$V(\AA^3)$
B3LYP/6-311G*	$-1074.3185$	$-1074.0598$	16.39	246.9
<b>AQUEOUS SOLUTION</b>				
B3LYP/6-311G*	$-1074.3750$	$-1074.1155$	20.42	248.7
HYDROCHLORIDE DI-HYDRATED				
<b>GAS PHASE</b>				
B3LYP/6-31G*	$-1227.0177$	$-1226.7091$	18.76	302.2
B3LYP/6-311G*	$-1227.2323$	$-1226.9236$	19.82	290.9
wB97XD/6-311G*	$-1226.9658$	$-1226.6531$	20.07	288.1
<b>AQUEOUS SOLUTION</b>				
B3LYP/6-31G*	$-1227.0729$	$-1226.7650$	24.03	288.1
B3LYP/6-311G*	$-1227.2967$	$-1226.9886$	27.24	289.8
wB97XD/6-311G*	$-1227.0318$	$-1226.7182$	26.88	288.4



**Fig. 4.** Positions and orientations of dipole moment vectors for di-hydrated and anhydrous tacrine in solution by using B3LYP/6-311G\* level of theory.

### *3.3. Structural parameters*

Geometrical theoretical parameters for di-hydrated hydrochloride and anhydrous tacrine in the two media by using the B3LYP/6- 311G\* method are presented in [Table 3](#page-4-0) compared with the corresponding experimental determined by Moris et al. for di-hydrated hydrochloride tacrine [\[2\]](#page-16-0). The correlations between experimental and theoretical values are shown in the same table in terms of the root-mean-square deviation values (RMSD).

The optimized Cartesians coordinates of di-hydrated species of tacrine in gas phase and aqueous solution are shown in Tables S1 and S2 of supporting material. Reasonable correlations in the bond lengths are observed for the di-hydrated species in gas phase (0.135 Å) while in solution the value is closer to anhydrous one (0.070/0.069 Å). In relation to the bond angles, good concordances evidence the di-hydrated species, as expected because this form is similar to the compared one. The dihedral angles for the di-hydrated species in

## <span id="page-4-0"></span>*T. Sundius and S.A. Brandan* ´

### **Table 2**

Corrected solvation energies by ZPVE ((kJ/mol) and by the total non-electrostatic terms of anhydrous and di-hydrated hydrochloride tacrine in aqueous solution by using different levels of theory.



<sup>a</sup> This work.<br><sup>b</sup> From Ref [[1,26,28,31\]](#page-16-0).<br><sup>c</sup> From Ref [\[32](#page-16-0)].

# **Table 3**

 $\overline{a}$ 

Comparison of calculated geometrical parameters for the di-hydrated and anhydrous hydrochloride tacrine in both media by using the B3LYP/6-  $311\mbox{G}^{\star}$  method with the corresponding experimental in solid phase.



 $\footnotesize\begin{array}{c} \begin{array}{c} \text{a} \\ \text{b} \end{array}$  Ref [[2\]](#page-16-0) for orthorhombic pseudopolymorph for tacrine hydrochloride.

gas phase presents a very good agreement (6.7◦) however, in solution the dihedral N1–C8–C6–C4 shows signs change in this medium and, hence, low concordance are observed in these parameters, although a low value is observed for the di-hydrated species in solution (146.6◦), as compared with the anhydrous one (250.5◦). In the di-hydrated species, a clear enlargement of N2–H27 bond is evidenced as a consequence of Cl atom, hence, the distance of H27–Cl31 bond increasing from 2.027 Å in gas phase to 2.385 Å in solution while in the anhydrous species these values are slightly lower in both media, as observed in [Table 3.](#page-4-0) Thus, the N1–H30 bond presents a lower value than N2–H27 bond and higher than the anhydrous species due to the presence of W1. Hence, this H bond of N1–H30 with the water molecule justify that Cl is linked to H of NH<sub>2</sub> group and not to H of N1–H30 group, as reported for the anhydrous species [\[1\]](#page-16-0). The good correlations observed in the geometrical parameters suggest that the vibrational assignments can be performed with the optimized structure of di-hydrated tacrine.

#### *3.4. Charges, MEP and bond orders studies*

Previously, for the hydrochloride anhydrous tacrine, the atomic charges, molecular electrostatic potential (MEP) and bond orders were studied to explain and understand why in the experimental structure the Cl atom is linked to H of NH<sub>2</sub> group instead H of NH [\[1\]](#page-16-0). Such observation was justified by the two water molecules bonded to Cl and to H of NH group. In this work, those properties were also analysed for the di-hydrated species and, later, compared with the obtained for anhydrous species. Therefore, atomic Merz-Singh-Kollman (MK), Mulliken (MU) and natural population (NPA) charges for di-hydrated species in the two media were computed with the B3LYP/6-311G\* method. Table S3 shows those properties for the di-hydrated form of tacrine in both media while in Fig. 5 are presented the behaviours of three charges analysed for the anhydrous and di-hydrated species in the two media. Here, the analyses of the charges are presented only for those atoms involved in the H bond interactions with the two W1 and W2 water molecules. Those atoms are the related to A2 ring (see [Figs. 1 and 2](#page-1-0)) which are, N1, N2, C6, C8, C9, C10, C11, H26, H27, H30 and Cl31. In general, it is observed the same behaviours for the three charges in the two media but, the exhaustive and detailed analyses show important differences in the three charges on H26, H27 and H30, as expected because these atoms belong to NH<sub>2</sub> and NH groups common to both anhydrous and di-hydrated species.

First, the three charges on N1 and N2 present different and negative values but the di-hydrated form in gas phase shows the same MK and NPA charges on N2. In the di-hydrated species, the three charges on H26 have similar values while the charges on H27 change slightly in solution. Besides, the three charges on H30 show higher and positive values while the three charges on Cl atom show the most negative values. In general, the NPA charges show higher values than the other ones.

When the MEP values are evaluated for both species from Table S3 [\[23](#page-16-0)], it is observed less negative MEPs values on H30 indicating that these atoms are the most labile in both species and media. Besides, the MEPs on Cl atoms slightly increase in the di-hydrated species in the two media than the anhydrous ones while the MEPs on H30 decrease in the di-hydrated species. Higher MEPs values are observed on N2 than N1 but lower value is observed in the di-hydrated species as compared with the other one. If the mapped MEP surfaces are analysed for the di-hydrated species in both media from Fig.  $6$ , it is observed changes in the positions of water molecules



**Fig. 5.** Behaviours of atomic Merz-Singh-Kollman (MK), Mulliken and natural population (NPA) charges for the anhydrous and di-hydrated hydrochloride tacrine in gas phase and aqueous solution by using the B3LYP/6-311G\* method.

<span id="page-6-0"></span>

Fig. 6. Calculated electrostatic potential surfaces on the molecular surface of anhydrous and di-hydrated hydrochloride tacrine in gas phase and aqueous solution. B3LYP functional and 6-311G\* basis set. Isodensity value of 0.005.

and in the colorations in solution. The di-hydrated species in solution shows strong colorations.

Hence, a lower MEP value is observed in gas phase ( $\pm 0.0995$  a. u.), as compared with the value in solution ( $\pm 0.104$  a. u.). This observation support the higher solvation energy value observed for the di-hydrated species (− 192.52 kJ/mol) than the anhydrous one

### **Table 4**

Main delocalization energies (in kJ/mol) for the anhydrous and di-hydrated hydrochloride tacrine in gas and aqueous solution phases by using B3LYP/6-311G\* calculations.



a This work, Bold letters, total energy.

(− 162.02 kJ/mol). Strong red colours are located on Cl31 and O35 of W2 while strong blue colours on H33 and H34 of W1. These sites indicate clear nucleophilic and electrophilic regions, respectively.

Then, the totals bond orders (BO) by atom, as Wiberg bond index, are examined for the di-hydrated species from Table S3. The results for all atoms of both species show few changes in the BO in solution, however, an important decreasing is observed in the Cl31 atoms of anhydrous species (from 0.328 in gas to 0.145 in water) while in the di-hydrated one few change is observed in the BO because it decreases from 0.291 to 0.224. Thus, the H bond of Cl31 to H37 of W2 justify the lower change. Important results are observed when the Wiberg bond index matrix in the NAO basis are analysed for the H27⋯Cl31, H30⋯O32 and H37⋯Cl31 bonds of dihydrated species in both media. Thus, the BO of H27⋯Cl31 bond change from 0.1383 in gas to 0.082 in water, the BO of H30⋯O32 bond change from 0.0359 to 0.0551 while the BO of H37⋯Cl31 bond from 0.0393 to 0.052. Hence, the H27⋯Cl31 bond is weak compared to the H37…Cl31 bond, then, in solution the di-hydrated species is more strongly linked to two water molecules and, hence, the strong colorations observed in the MEP surface of this species in solution and, also, the higher solvation energy value of di-hydrated species.

#### *3.5. NBO and AIM studies*

To analyse the stabilities of the di-hydrated tacrine in both media two types of calculations were performed in this section because B3LYP/6-311G\* calculations evidence that the di-hydrated tacrine in both media present two types of intra-molecular H bonds interactions. One of them, is between W1 and H30 of N1–H30 bond and the other one is formed between the H27 of NH<sub>2</sub> group and Cl31 but that expected between the Cl31 and O35 of W2 was not observed, as shown in [Figs. 1 and 2](#page-1-0). Hence, NBO and AIM calculations were performed for both species of hydrochloride tacrine [\[20](#page-16-0)–22]. Hence, [Table 4](#page-6-0) shows the donor-acceptor energy interactions computed with the NBO program for both species in the two media by using B3LYP/6-311G\* [[20\]](#page-16-0).

Regarding [Table 4](#page-6-0), six different transitions were observed in those two species but three of them are only observed in solution while the other ones in the two media. Thus, the  $\Delta E_{LP\to IP^*}$ ,  $\Delta E_{\pi\to IP^*}$  and  $\Delta E_{LP\to \pi^*}$  transitions observed in solution present high energy values and they are related to interactions between lone pairs of N2, C9 and C10 with antibonding orbitals of different N1–C11, C6–C8 and C12–C14 bonds of A1 and A2 rings, as can be seen in [Table 4.](#page-6-0) The evaluation of total energy for both compared species shows a higher value for the anhydrous species in gas phase (4230.58 kJ/mol) while in solution the di-hydrated species present a higher E value (4018.56 kJ/mol). Hence, the di-hydrated species is the most stable in water due to its higher  $\Delta G_c$  value. Then, the anhydrous species is the most stable in gas phase. Similar results were observed for rimantadine and amantadine [\[28,31](#page-16-0)].

NBO studies suggest that the di-hydrated species is most stable in solution due to three transitions not observed in the anhydrous one. Now, intra-molecular interactions in those two species are also analysed by means of the topological properties computed in the bond critical points (BCPs) and ring critical points (RCPs) with the AIM 2000 program [\[21,22](#page-16-0)]. Hence, the electron density, the Laplacian values and the  $|\lambda_1|/\lambda_3$  ratio are computed in both media. The  $|\lambda_1|/\lambda_3$  ratios are calculated with the eigenvalues of the Hessian matrix *(λ1, λ2, λ3)*. In Table S4 are presented those properties for the di-hydrated and anhydrous hydrochloride species of tacrine in both media at the B3LYP/6-311G\* level of theory. The distances involved in new H bonds are also presented in the table. We know that the interaction is ionic or polar covalent if the ratio  $\lambda 1/\lambda 3 < 1$  and  $\nabla^2 \rho(r) > 0$  (closed-shell interaction). Fig. 7 shows details of the molecular models for the di-hydrated species in gas phase and solution by using B3LYP/6-311G\* level of theory. The graphics



**Fig. 7.** Details of the molecular models for the di-hydrated hydrochloride tacrine in gas phase (upper) and aqueous solution (bottom) showing the geometries of all their bond critical points (BCPs) and ring critical points (RCPs) at the B3LYP/6-311G\* level of theory.

<span id="page-8-0"></span>show clear differences in the number and characteristics of interactions. Thus, in gas phase are observed seven interactions (N1–H30⋯O32, N2–H27⋯Cl31, C12–H24⋯Cl31, C12–H24⋯O35, C13–H25⋯O32, C14–H28⋯O35 and O35–H37⋯Cl31) while in solution only five H bonds interactions. RCPA correspond to the RCP of A1, A2 and A3 rings while RCPN to the new RCP formed as consequence of H bonds.

Thus, the N1–H30⋯O32, N2–H27⋯Cl31, C12–H24⋯Cl31, C13–H25⋯O32, and O35–H37⋯Cl31 interactions are observed in solution. Previous NBO results evidenced that the di-hydrated species in gas phase is less stable than in solution (total energy  $=$ 3805.30 kJ/mol) while this AIM study support the higher stability of di-hydrated species in gas phase due to the seven H bonds interactions, a resulted different from obtained from NBO study. Table S2 shows that, (i) for the A1 ring the properties present higher values than the other ones, (ii) the N1–H30⋯O32 and N2–H27⋯Cl31 interactions have higher topological properties in gas phase while in solution change to the N1–H30…O32 and O35–H37…Cl31 interactions. Note that the new interactions in the two media present lower distances between its two involved atoms. The lower number of H bonds interactions obtained for the di-hydrated species in solution compared to that obtained in the gas phase suggests that this species is more stable in the gas phase and, less stable in solution.

Probably, the difference observed in the different MEP values (higher in gas phase) and in the colorations observed on surfaces justify the different results from NBO and AIM studies.

### *3.6. Frontier orbitals*

The anhydrous hydrochloride tacrine presents in gas phase and aqueous solution high reactivities in gas phase and water due to its low gap values calculated from the differences between the HOMO-LUMO (2.4572 and 1.3877 eV) [[1](#page-16-0)]. In this work, the effect of two water molecules on gap values and descriptors for the di-hydrated species in both media at different levels of theory are analysed. In particular, the influence of the B3LYP and wB97XD methods and of 6–31G\* and 6-311G\* basis sets are also evaluated. Table 5 shows the calculated HOMO, LUMO and gap values together with the chemical potential (*μ*), electronegativity (*χ*), global hardness (*η*), global softness (*S*) and global electrophilicity index (*ω*) descriptors compared with values predicted for some hydrochloride antiviral agents such as, rimantadine, adamantadine and oseltamivir and, alkaloid as hydrobromide of scopolamine [\[26](#page-16-0),[28,31,33](#page-16-0)].

Note that the equations used to calculate the descriptors are also presented in Table 5. Comparisons between HOMO-LUMO and

### **Table 5**



HOMO and LUMO, gap values and descriptors for the anhydrous and di-hydrated hydrochloride tacrine in gas phase and aqueous solution by using different levels of theory.

6From Ref. [[26\]](#page-16-0),  $\chi$  = - [E(LUMO)- E(HOMO)]/2; μ = [E(LUMO) + E(HOMO)]/2; η = [E(LUMO) - E(HOMO)]/2; S = ½η; ω = μ2/2η.<br>
<sup>a</sup> This work.<br>
<sup>b</sup> From Ref [[28\]](#page-16-0).<br>
<sup>c</sup> From Ref [\[31](#page-16-0)].<br>
<sup>d</sup> From Ref [[33\]](#page-16-0).

Gap energies of anhydrous and di-hydrated hydrochloride tacrine in both media and at different levels of theory are shown in Fig. S1. Regarding the figure, it is observed that the higher gap values and lower HOMO energy are observed for the di-hydrated species in both media with the wB97XD/6-311G\* method (grey colour) and the lower gap values for the anhydrous (yellow colour) while the B3LYP/ 6-311G\* and B3LYP/6-31G\* methods show few differences in the values. Thus, taking into account all employed methods the anhydrous species is the most reactive than the di-hydrated one in the two media. Moreover, comparing with the other antiviral and alkaloid species both forms of tacrine are most reactive, with exception of values calculated with the wB97XD/6-311G\* method. Analysing the descriptors, it is observed that when the value of global electrophilicity index (*ω*) is high (9.3961 eV) a lower gap is observed for the anhydrous species of tacrine (1.3877 eV). Thus, probably that descriptor has influence on reactivity in both media. This study show the clear influence of method and basis set on the gap and descriptor values of the two tacrine species. Thus, the B3LYP method with both 6-311G\* and 6-31G\* basis sets predict similar gap values but different from obtained with the wB97XD/6-311G\* method. In addition, the two water molecules decrease the reactivity of di-hydrated hydrochloride tacrine probably because it is hydrated.

### *3.7. Vibrational study*

B3LYP/6-311G\* and wB97XD/6-311G\* calculations have optimized the structures of di-hydrated hydrochloride tacrine with *C1*  symmetries, as in the anhydrous species although the number of normal vibration modes increases from 87 in the anhydrous species to 105 in the di-hydrated due to the two water molecules. Fig. 8 displays a comparison of experimental IR spectrum of tacrine in the solid state with the predicted for the di-hydrated and anhydrous species in water because better relationships are observed with these spectra [\[29](#page-16-0)]. Fig. 8 shows the IR spectra predicted with both methods. [Fig. 9](#page-10-0) show comparisons between the experimental Raman spectra of tacrine in the solid state with the corresponding predicted for the di-hydrated and anhydrous species in water [[29\]](#page-16-0). Reasonable correlations among the predicted Raman spectra with both methods can be seen when the activities are transformed to intensity [\[30](#page-16-0)].

Fig. S2 shows comparisons between the experimental infrared spectrum with the corresponding predicted in gas phase for both species while in Fig. S3 are compared the predicted infrared spectra for the anhydrous and di-hydrated species in gas phase by using the B3LYP/6-311G\* method. Few bands can be observed in the predicted IR spectra for the two species in gas phase while higher quantity of bands are observed in solution. Both, IR and Raman spectra in the gas phase were predicted for the isolated molecule where the packing forces are not considered and, for this reason, both spectra in solution show better correlations with the experimental one due to the H bonds interactions. A very important observation obtained from calculations is that both methods predict different assignments, as can be quickly seen from *GaussView* program [\[24](#page-16-0)]. Complete assignments of di-hydrated species were performed with the SOMFF methodology by using the Molvib program, transferable scaling factors and the normal internal coordinates  $[11-13]$  $[11-13]$ . [Table 6](#page-11-0) shows observed and calculated wavenumbers and assignments for di-hydrated and anhydrous hydrochloride tacrine in gas phase.

Previously, the IR and Raman bands between 2827/2686 and 720/693 cm<sup>-1</sup> regions confirm the presence of cationic species of tacrine in the solid phase [\[1\]](#page-16-0) but bands assigned to di-hydrated species are also assigned in that latter region, as detailed in [Table 6](#page-11-0). Some assignments are discussed below by main regions.



**Fig. 8.** Comparison between the experimental Infrared spectrum of hydrochloride tacrine in solid phase [[29\]](#page-16-0) with the predicted for anhydrous and di-hydrated hydrochloride tacrine in aqueous solution by using B3LYP/6-311G\* and wB97XD/6-311G\* methods.

<span id="page-10-0"></span>

**Fig. 9.** Comparison between the experimental Raman spectrum of hydrochloride tacrine in solid phase [[29\]](#page-16-0) with the predicted for anhydrous and di-hydrated hydrochloride tacrine in aqueous solution by using B3LYP/6-311G\* and wB97XD/6-311G\* methods.

# *3.7.1. 4000-2000* cm<sup>−1</sup> region

The wB97XD/6-311G\* method predict the two antisymmetric and symmetric stretching modes of water molecules between 3821 and 3565 cm<sup>-1</sup> different from the B3LYP/6-311G\* one (3692 and 3583 cm<sup>-1</sup>). In trehalose, these modes are also predicted in these regions [[14\]](#page-16-0). Here, it is very important clarify that these bands were not observed in the experimental IR spectra reported by Sorrenti el at for the mono and di-hydrated species of tacrine [\[34](#page-16-0)]. Hence, the shoulder and IR band at 3378 and 3330 cm<sup>-1</sup> can be assigned to the stretching modes of two water molecules, to the expected NH2 stretching modes and to N1–H30 stretching modes [[1,14,30](#page-16-0),[31\]](#page-16-0). Here, the B3LYP/6-311G\* method predict a symmetric NH<sub>2</sub> stretching mode at 2702 cm $^{-1}$  while the other method at 2904 cm $^{-1}$ , thus, the IR band at 2700 cm<sup>-1</sup> is assigned to that vibration mode. Previously, the very weak band at 2250 cm<sup>-1</sup> was assigned to N1–H30 stretching mode of hydrochloride form I while the band at 2319 cm<sup>-1</sup> was assigned to symmetric NH<sub>2</sub> stretching mode predicted at 2365 cm<sup>-1</sup> [\[1\]](#page-16-0). The group of IR and Raman bands and shoulders between 3163 and 2886 cm<sup>-1</sup> are assigned to the different stretching modes of CH2 and C–H groups of both species of tacrine [\[1,14,30,31](#page-16-0)].

# *3.7.2. 1800*–*1000 cm*<sup>−</sup> *1 region*

In this region, the deformations modes of two water molecules are predicting by two methods practically in the same positions while the deformation mode of NH<sub>2</sub> group at different wavenumbers. In trehalose, these deformation modes corresponding to the two water molecules are predicted by B3LYP/6-31G\* calculations at 1660 and 1623 cm<sup>-1</sup> and assigned to the IR bands at 1687 and 1639 cm1 [[14\]](#page-16-0). Hence, the strong IR bands at 1665 and 1615 cm<sup>-1</sup> are assigned to deformation modes of two water molecules while the bands at 1665 and 1650 cm<sup>-1</sup> can be assigned to NH<sub>2</sub> deformation modes [\[1,26,28](#page-16-0),[31\]](#page-16-0). In the anhydrous species that mode is assigned bands at 1000 and 1000 cm can be assigned to NH<sub>2</sub> deformation modes  $[1,20,20,31]$ . In the annyalous species that mode is assigned to 1665 cm<sup>-1</sup>. Note that the C=C, C–C and C–N stretching modes, wagging and rocking CH<sub>2</sub> dicting by two methods in approximately the same regions but in different positions, as detailed in [Table 6.](#page-11-0) The NH<sub>2</sub> rocking mode of di-hydrated species is predicted by the two methods coupled with other modes between 1139 and 1112 cm<sup>-1</sup> while in anhydrous species that mode is predicted as a pure mode at 1107  $cm^{-1}$ .

# *3.7.3. 1000*−10 cm<sup>−1</sup> region

In this region are expected the libration modes of water molecules and, in addition, to twisting and wagging modes of CH<sub>2</sub> and NH<sub>2</sub> together with other modes such as, C–C stretching modes, out-of-plane CH deformations and deformations and torsions of three rings. From [Figs. 1 and 2](#page-1-0) we observed that the O32 of W1 is bonded to N1–H30 bond while the Cl31 is linked to H37 of O35–H37 of W2 and, for these reasons, only the libration modes of W1 can be assigned in [Table 6.](#page-11-0) These modes are identified as rocking,  $pH_2O(W1)$ ; wagging, wagH2O(W1) and twisting, τwH2O(W1) modes. In the di-hydrated species of trehalose these modes are assigned to 451/308, 288/276 and 538 cm<sup>-1</sup>, respectively [[14\]](#page-16-0) while in the di-hydrated species of tacrine the  $pH_2O(W1)$ , wag $H_2O(W1)$  and  $\tau wH_2O(W1)$ modes are predict by the two methods coupled with other skeletal modes at 294/289, 212/196 and 264/140  $cm^{-1}$ , respectively. The twisting modes of W1 are also predict coupled with torsion modes of W2 (τH27-Cl31,τwN2-H27) at lower wavenumbers between 54 and 22 cm $^{-1}$ . The wagNH $_2$  and τwNH $_2$  vibration modes are assigned to the IR band of medium intensity at 540 cm $^{-1}$ , as predict by two calculations. In [Table 6](#page-11-0) can be seen the assignments of remains vibrational modes.

<span id="page-11-0"></span>Observed and calculated wavenumbers  $(cm<sup>-1</sup>)$  and assignments for the di-hydrated and anhydrous hydrochloride tacrine.



## <span id="page-12-0"></span>**Table 6** (*continued* )

 $\overline{a}$ 



Abbreviations: ν, stretching; β, deformation in the plane; γ, deformation out of plane; wag, wagging; τ, torsion; β<sub>R</sub>, deformation ring τ<sub>R</sub>, torsion ring; ρ, rocking; τw, twisting; *δ*, deformation; a, antisymmetric; s, symmetric; (A<sub>1</sub>), Ring1; (A<sub>2</sub>), Ring2; (A<sub>3</sub>), Ring3. <sup>a</sup> This work.

<span id="page-13-0"></span>b From scaled quantum mechanics force field.<br><sup>c</sup> From Ref [\[29](#page-16-0)].<br><sup>d</sup> From Ref [[1\]](#page-16-0). Italic letters, Ref [1], Bold letters, from *GaussView*.

### **4. Force constants**

Harmonic scaled force constants for the di-hydrated hydrochloride tacrine in gas phase were computed with both methods from the SOMFF methodology and the Molvib program  $[11–13]$  $[11–13]$ . These parameters can be seen in [Table 7](#page-14-0) compared with the corresponding to the anhydrous species, the antivirals rimantadine and amantadine, the alkaloid hydrobromide of scopolamine and, to the sugar non reducing disaccharide of glucose, trehalose [[1](#page-16-0),[14,26,28,31](#page-16-0)]. Different methods used are indicated in [Table 7](#page-14-0).

Regarding exhaustively all the force constants values, we observed that the wB97XD method predict higher force constants values than the B3LYP one with exception of constant of CH2 deformation which presents practically the same value. Comparing the force constants of di-hydrated and anhydrous species, it is observed slightly lower values of all constants except for the *f(νN-H)* force constant because the W1 water molecule kinked to H30 of N1–H30 bond decrease the frequency of N1–H30 stretching mode in the dihydrated (see [Table 6\)](#page-11-0) and, hence, the value of that force constant is higher in the anhydrous species. Comparing the values with trehalose, it is observed lower *f(νO-H)* force constant for the sugar due probably to different B3LYP/6-31G\* method used. The remaining force constants of trehalose have approximately similar values to tacrine due to that this sugar is di-hydrated as tacrine. Note that the *f(νO-H)* force constant for scopolamine is similar to tacrine despite this alkaloid presents one OH group instead one water molecule. The differences in the values observed with the antiviral agents are related not only to the structure and method used but to its fused rings different from tacrine, as can be seen in Fig. S4. The structures of anhydrous and di-hydrated species are observed in [Figs. 1 and 2.](#page-1-0)

# **5. NMR study**

The GIAO and hybrid B3LYP/6-311G\* and wB97XD/6-311G\* methods were used to predict <sup>1</sup>H and <sup>13</sup>C NMR chemical shifts of dihydrated and anhydrous hydrochloride tacrine. Comparisons of predicted chemical shifts with the corresponding experimental of anhydrous tacrine in D<sub>2</sub>O and monohydrate in polysol [\[29](#page-16-0)], respectively are summarized in [Tables 8 and 9.](#page-15-0) Correlations between experimental and predicted chemical shifts are presented in terms of RMSD values.

Better correlations for the <sup>1</sup>H nucleus are observed for the anhydrous species when the predicted values are compared with the experimental obtained for this species (0.2 ppm) while when the values for the di-hydrated species are compared with reported for the monohydrate of tacrine, the RMSDs values decrease with both methods from 1.5 to 0.8 ppm. Note that the two methods predict practically the same  ${}^{1}H$  NMR chemical shifts for the di-hydrated species. On the contrary, the  ${}^{13}C$  NMR chemical shifts are predicted between 1.8 ppm for the anhydrous species and 19.6 ppm for the di-hydrated one with the B3LYP/6-311G\* method while with the other method the RMSD value decreases to 13.3 ppm. These differences observed are attributed to 6-311G\* basis set and to solvent used.

### **6. Electronic spectra**

A comparison of experimental electronic spectrum of hydrochloride monohydrate tacrine in methanol solution with the predicted for the anhydrous and di-hydrated species in aqueous solution by using the B3LYP/6-311G\* and wB97XD/6-311G\* is shown in Fig. S4 [\[29](#page-16-0)]. Four bands with different intensities are observed in the experimental spectrum with a maximum located at 239 nm while the less intense at 216 and the other two at 322 and 335 nm. Only two bands at 475 and 650 nm shows the anhydrous species. The UV spectrum predicted for di-hydrated species with the B3LYP/6-311G\* method presents an intense band at 611 nm while the wB97XD/6-311G\* method predict two bands and a shoulder at 224, 281 and 349 nm, respectively. Hence, the anhydrous species is not present in an aqueous solution and the best UV spectrum of di-hydrated species is predicted with the wB97XD/6-311G\* method. These absorption bands observed are related to the  $\pi \rightarrow \pi^*$ ,  $n \rightarrow \pi^*$  and  $\pi^* \rightarrow \pi^*$  transitions predicted for both species by NBO calculations. As was previously mentioned, probably the freebase, cationic species of tacrine can exist in solution [[1](#page-16-0)].

# **7. Conclusions**

In this work, the theoretical structures of di-hydrated hydrochloride tacrine and its properties were determined in gas phase and aqueous solution. The results obtained by using B3LYP/6-311G\* and wB97XD/6-311G\* levels of theory were compared with the reported for the anhydrous species. The main results considering the effects of dispersion by using the wB97XD method are:

- Both methods evidence strong changes in the positions of two water molecules.
- Corrected and uncorrected energy values obtained with the wB97XD/6-311G\* method in gas phase are similar to predicted with the B3LYP/6-31G\* method.
- The dipole moment value for the di-hydrated species considering the dispersion (20.07 D) is similar to observed for the anhydrous species (20.42 D) while the V in gas phase (288.1  $\AA^3$ ) is comparable to predicted in aqueous solution with the B3LYP/6-31G\* method.

<span id="page-14-0"></span>Scaled internal force constants for di-hydrated and anhydrous hydrochloride tacrine in gas phase by using the B3LYP/6-311G\* and wB97XD/6-311G\* methods compared with other species with different properties.





Units are mdyn Å<sup>−1</sup> for stretching and mdyn Å rad<sup>−2</sup> for angle deformations.<br><sup>a</sup> This work.<br><sup>b</sup> From Ref. [[1\]](#page-16-0).<br><sup>c</sup> From Ref [\[28](#page-16-0)].<br><sup>d</sup> From Ref [[31\]](#page-16-0).<br><sup>e</sup> From Ref [\[26](#page-16-0)].<br>f From Ref [\[14\]](#page-16-0).

- As expected, the di-hydrated species of tacrine present higher V and μ values than the anhydrous one due to the two water molecules.
- The solvation energies with both methods present practically the same values and higher than the anhydrous one.
- The atomic charges show important differences on H atoms belonging to NH2 and NH groups common to both anhydrous and dihydrated species.
- Changes in the bond orders of di-hydrated species are observed when the medium change from gas to water. Thus, in solution the di-hydrated species is more strongly linked to two water molecules and higher solvation energy value for this species is observed.
- The NBO studies suggest higher stability of di-hydrated species in solution due to its higher solvation energy while the AIM calculations support the lower stability of di-hydrated species in solution in agreement with its higher reactivity in this medium.
- Both anhydrous and di-hydrated species of tacrine evidence high reactivity but the wB97XD/6-311G\* method predict higher gap values than the B3LYP/6-311G\* one.
- Both methods predict different assignments and scaled force constants, presenting higher force constants values with the wB97XD/  $6-311G*$  method.
- Good concordances are observed from comparisons of predicted IR, Raman and <sup>1</sup>H NMR spectra with both methods with the experimental ones however, the <sup>13</sup>C NMR and UV spectra evidence better correlations with the wB97XD/6-311G\* method.

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## **Data availability statement**

Data will be made available on request.

<span id="page-15-0"></span>Observed and calculated <sup>1</sup> H chemical shifts (*δ* in ppm) for the di-hydrated and anhydrous hydrochloride tacrine in aqueous solutions by using different level of theory.



<sup>a</sup>This work GIAO/B3LYP/6-311G\* Ref. to TMS.<br><sup>b</sup>Erom Ref [11

<sup>b</sup>From Ref [[1\]](#page-16-0).

 $c,d$ From Ref [[29\]](#page-16-0).

## **Table 9**

Observed and calculated13C chemical shifts (*δ* in ppm) for the di-hydrated and anhydrous hydrochloride tacrine in aqueous solutions by using different level of theory.



 $^{\rm a}$  This work GIAO/B3LYP/6-311G\* Ref. to TMS.  $^{\rm b}$  From Ref [[1\]](#page-16-0). c From Ref [\[29](#page-16-0)].

## **CRediT authorship contribution statement**

**Tom Sundius:** Conceptualization, Formal analysis, Investigation, Methodology. **Silvia Antonia Brandan:** ´ Investigation, Validation, Writing – original draft, Writing – review  $\&$  editing.

# **Declaration of competing interest**

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: The authors declare the following conflict of interests: Silvia Antonia Brandán is part of the Editorial Board for Heliyon Chemistry.

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### **Appendix A. Supplementary data**

Supplementary data to this article can be found online at [https://doi.org/10.1016/j.heliyon.2023.e20936.](https://doi.org/10.1016/j.heliyon.2023.e20936)

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