

Outcome of very preterm infants delivered outside tertiary perinatal centers in China: a multi-center cohort study

Shulin Pan^{1#}, Siyuan Jiang^{2#}, Su Lin¹, Shoo K. Lee^{3,4,5}, Yun Cao², Zhenlang Lin¹; on behalf of the Reduction of Infection in Neonatal Intensive Care Units using the Evidence-based Practice for Improving Quality (REIN-EPIQ) Study Group

¹Department of Neonatology, The Second Affiliated Hospital & Yuying Children's Hospital, Wenzhou Medical University, Wenzhou, China; ²Department of Neonatology, Children's Hospital of Fudan University, Shanghai, China; ³Maternal-Infant Care Research Centre and Department of Pediatrics, Mount Sinai Hospital, Toronto, Ontario, Canada; ⁴Department of Pediatrics, University of Toronto, Toronto, Ontario, Canada; ⁵Department of Obstetrics and Gynecology and Dalla Lana School of Public Health, University of Toronto, Toronto, Ontario, Canada ⁶Department of Obstetrics and Gynecology and Dalla Lana School of Public Health, University of Toronto, Toronto, Ontario, Canada ⁶Contributions: (I) Conception and design: S Pan, S Jiang, Y Cao, Z Lin; (II) Administrative support: Z Lin, Y Cao, SK Lee; (III) Provision of study materials or patients: None; (IV) Collection and assembly of data: S Pan, S Jiang, S Lin; (V) Data analysis and interpretation: S Pan, S Jiang; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

[#]These authors contributed equally to this work.

Correspondence to: Yun Cao. Department of Neonatology, Children's Hospital of Fudan University, 399 Wanyuan Road, Minhang District, Shanghai 201102, China. Email: yuncao@fudan.edu.cn; Zhenlang Lin. Department of Neonatology, The Second Affiliated Hospital & Yuying Children's Hospital, Wenzhou Medical University, Wenzhou 325000, China. Email: linzhenlang@hotmail.com.

Background: To describe the incidence of outborns among very preterm infants (VPIs, <32 weeks of gestation) in Chinese perinatal centers and to examine the association of outborn status with adverse outcomes.

Methods: A cohort study enrolling all VPIs admitted to 18 perinatal centers in China from May 1st, 2015 to April 30th, 2018. Neonatal outcomes including rates of discharge against medical advice (DAMA), in-hospital mortality, overall mortality, severe intraventricular hemorrhage (IVH) or periventricular leukomalacia (PVL), sepsis, bronchopulmonary dysplasia (BPD), necrotizing enterocolitis (NEC) and severe retinopathy of prematurity (ROP) were compared between outborn and inborn infants. A multivariate logistic regression model was used to estimate the independent association of outborn status with neonatal outcomes.

Results: Among 12,014 VPIs, 1,991 (16.6%) infants were outborn. Outborn infants had lower Apgar scores and higher illness severity score on admission. Mothers of outborn infants were less likely to receive antenatal steroids, prenatal care and caesarean section. The incidence of DAMA (18.0% *vs.* 12.5%, P<0.001), overall mortality (19.9% *vs.* 15.8%, P<0.001) and severe brain injury (10.8% *vs.* 9.1%, P=0.024) of outborn infants were significantly higher than inborn infants. Outborn status was independently associated with increased risks of DAMA (aOR, 1.6; 95% CI: 1.4–1.8), overall-hospital mortality (aOR, 1.3; 95% CI: 1.1–1.5) and severe IVH/PVL (aOR, 1.2; 95% CI: 1.0–1.5).

Conclusions: The incidence of outborn VPIs was high in China. Outborn infants were more likely to be delivered in an uncontrolled situation and were at significantly higher risk of neonatal mortality and severe brain injury compared with inborn infants. Quality improvement efforts are needed to facilitate in-utero transfer of high-risk pregnancies to tertiary centers.

Keywords: Preterm infants; outborn; outcome; China

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Introduction

Babies born at less than 32 (very preterm infants) weeks represent about 16% of all preterm births, but account for the majority of preterm deaths (1). Many studies from various countries reported better outcomes of preterm infants born in tertiary perinatal centers (inborn) compared with those delivered in hospitals without capability to provide comprehensive care for preterm infants and transferred to tertiary centers for further treatment (outborn) (2-10). Outborn infants were found to have higher risks of mortality and severe brain injury compared with inborn infants (2-4,6,8). In 2002, the American Academy of Pediatrics and American College of Obstetricians and Gynecologists recommended that births at <32 weeks' gestational age should occur at subspecialty perinatal centers (11).

Although there have been significant improvements of perinatal care in China, problems remain. More and more preterm infants received active care in NICUs in the recent decades, while the mortality and morbidities of very preterm infants remained high requiring quality improvement of perinatal care practices. Also, the regionalization of care for high risk mothers and the transferring systems of high-risk mothers instead of neonates has not well been established (12). Information about outborn status and outcomes of preterm infants in relation to outborn status has not been reported in China. In our study, we use the largest contemporary cohort of preterm infants born less than 32 weeks' gestation from 18 perinatal centers in China, aiming to describe the incidence of outborns in Chinese perinatal centers, and to compare neonatal outcomes of outborn and inborn infants in China. These data will provide insights to modification of current perinatal care system in China. We present the following article in accordance with the STROBE reporting checklist (available at http://dx.doi.org/10.21037/tp-20-232).

Methods

Study design and settings

The current study is a cohort study using data from a clinical database initially established for a cluster randomized controlled study entitled "Reduction of Infection in Neonatal Intensive Care Units using the Evidence-based Practice for Improving Quality (EPIQ)" (REIN-EPIQ study, clinicaltrials.gov #NCT02600195). Twenty-five hospitals prospectively collected clinical data, including maternal and neonatal characteristics, treatment in the NICU and neonatal outcomes, of all admitted preterm infants using this data base from May 1st, 2015 and April 30th, 2018. All data collection followed a standard manual of operations and definitions (13).

Among the original participating 25 hospitals, 18 hospitals were tertiary perinatal centers and were enrolled in this study. The characteristics of all centers are shown in Table S1. The median annual number of births was 17,000/year (IQR 9,330-21,000/year). The median bed number of NICU beds per hospital was 51 (IQR 30-68 beds). All centers admitted outborn infants except one hospital. Thirteen hospitals had dedicated transport teams to transfer outborn infants from referring hospitals. For perinatal centers without dedicated transport teams, local medical emergency transport systems provided the transportation, however, staff of these general transport systems were not trained in neonatal care and the ambulances were not equipped with incubators and other necessary equipment for neonatal transport. At the time of this study, the majority of transports were by land, very few by railway and no by air. All of the perinatal centers provided comprehensive care for infants with gestational age <28 weeks or birth weight <1,000 g. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of the Children's Hospital of Fudan University (NO. 201528) and written informed consent from the patients was not required because this study did not directly intervene in the diagnosis and treatment of individual patients.

Study population

All infants born at <32 weeks' gestation between May 1st, 2015 and April 30th, 2018 and admitted to participating NICUs within 7 days of birth were included in the study. Stillborn and delivery room deaths were not included. Infants were followed until death or discharge from the NICU. If infants were transferred from one to another participating NICU, outcomes were assigned to the NICU of first admission. If infants transferred to non-participating NICUs, data were collected until discharge from the participating unit.

Definitions

Inborn status was defined as infants born in the eighteen

perinatal centers participating in our study and subsequent admitted to the NICU of the same hospital. Outborn status was defined as infants born in level I or level II NICUs or hospitals without neonatal care, and transferred to one of the eighteen participating perinatal centers within 7 days after birth. The study included all infants born outside participating tertiary hospitals including those who were born at home.

Gestational age was determined using the hierarchy of best obstetric estimate based on prenatal ultrasound, menstrual history, obstetric examination, or all three. If the obstetric estimate was not available or was different from the postnatal pediatric estimate of gestation by more than two weeks, the gestational age was estimated using the Ballard Score (14,15). Small for gestational age (SGA) was defined as birth weight <10th percentile for the gestational age according to the Chinese neonatal birth weight values (16). Prenatal care was defined as at least one pregnancy-related hospital visit during pregnancy. Antenatal corticosteroid treatment was defined as partial or complete course of antenatal corticosteroids prior to birth. Transport Risk Index of Physiologic Stability (TRIPS) score (17,18) was used as an illness severity score on NICU admission. Maternal diabetes included gestational diabetes, Type 1 diabetes, Type 2 diabetes or diabetes with unknown type. Maternal hypertension included hypertension that was preexisting before current pregnancy, gestational hypertension and hypertension with unknown timing.

Discharged against medical advice (DAMA) was defined as parental choice to terminate treatment to infant before discharge was recommended by a physician. Logistical constraints prevented us from identifying whether infants discharged against medical advice survived, so we used predefined criteria to predict the likelihood of death (13). If DAMA infants required invasive or non-invasive mechanical ventilation, inotropes infusion, or total parenteral nutrition (no enteral feeds initiated) on the day of discharge, we predicted that they would die. Two investigators independently adjudicated the predicted mortality and differences were resolved by consensus.

Sepsis included both culture-proven sepsis and clinical sepsis. Culture-proven sepsis was diagnosed according to Stoll *et al.* (19). Clinical sepsis was diagnosed when all the following criteria were fulfilled: (I) infection-related clinical manifestations; (II) abnormal white blood cell count (white blood cell <5×10⁹/L or >20×10⁹/L), CRP level (\geq 8 mg/L),

or PCT level (>0.5 ng/mL); (III) antibiotics used or intended for ≥ 5 days; (IV) negative blood culture with no or negative cerebrospinal fluid culture; (V) no evidence of concurrent focal infection, including pneumonia, urinary tract infection, and necrotizing enterocolitis. NEC was defined as \geq stage 2 according to Bell's criteria (13). IVH was defined as \geq grade 3 according to Papile's criteria (20) or periventricular leukomalacia (PVL). PVL was defined as the presence of periventricular cysts on cranial ultrasound or cranial MRI scans. ROP was defined as \geq stage 3 according to the International Classification of ROP (21). BPD was defined as mechanical ventilation or oxygen dependency at 36 weeks' postmenstrual age or discharge (22). Overall mortality was defined as the sum of in-hospital death and predicted death among DAMA infants, divided by the total number of infants.

Statistical analysis

Maternal and neonatal characteristics were compared using Student's t-tests for continuous variables and Chi-square tests for categorical variables. Number of participants with missing data for each variable of interest was indicated. A multivariable logistic regression model was used to calculate adjusted odds ratios (aOR). The covariates controlled for in this model included sex, gestational age, SGA, maternal hypertension, maternal diabetes. Antenatal steroids, Apgar score and TRIPS score were not included because they were intermediate variables that could affect the prognosis of inborn or outborn infants and may weaken the relationship between inborn or outborn status and prognosis. The covariates of our model were similar to recent publications (2). We repeated the analysis including steroids in the covariates of the multivariate model, the association between outborn status and mortality and brain injury remained significant. Sensitivity analysis was done among singleton infants, infants with birth weight <1,500 g and infants who received complete care (excluding DAMA infants). We also used multilevel mixed-effects logistic regression models to examine the association of outborn status and neonatal outcomes accounting for the intracluster correlation among the infants admitted to same hospitals. Hospitals were considered as independent clusters with random effects in the models. A two-sided P value of <0.05 was used to determine statistical significance. Statistical analysis was performed using Stata 13.1 (StataCorp, 2013, College Station, TX, USA).

Results

Infant and maternal characteristics

A total of 12,014 preterm infants born before 32 weeks' gestation were admitted to 18 perinatal centers in China during the study period from May 1st, 2015 to April 30th, 2018. Of these, 10,023 (83.4%) infants were inborn, and 1,991 (16.6%) infants were outborn. For infants born <28 weeks' gestation and \geq 28 weeks, the outborn rates were 15.6% (232/1,485) and 16.7% (1,759/10,529), respectively. Overall, 81.8% (1,629/1,991) infants were admitted to referral NICUs within 24 hours of life.

Infants and maternal characteristics are shown in *Table 1*. Outborn infants were less likely to receive prenatal care or be delivered by cesarean section, and had lower mean gestational age and Apgar scores but higher TRIPS score on admission compared with inborn infants (*Table 1*). Antenatal steroid use was significantly lower among outborn (46.3%) infants compared with inborns (73.5%) (*Table 1*).

Outcomes

Table 2 shows that the incidence of DAMA was significantly higher among outborn infants (18.5%) compared with inborns (12.5%, P<0.001). In addition, the incidence of overall mortality (19.9% vs. 15.8%, P<0.001) and severe brain injury (10.8% vs. 9.1%, P=0.024) were higher in outborn infants than those of inborn infants. There were no differences in incidences of sepsis, NEC and severe ROP.

Table 3 shows that after adjustment, outborn status was significantly associated with a higher risk of DAMA (aOR, 1.6; 95% CI: 1.4–1.8), overall mortality (aOR, 1.3; 95% CI: 1.1–1.5) and severe IVH or PVL (aOR, 1.2; 95% CI: 1.0–1.5).

Sene Sensitivity analysis among singleton infants (Table S2), infants with birth weight <1,500 g (Table S3) and infants who received complete care (Table S4) showed similar results. The multilevel mixed-effects logistic regression models accounting for the intracluster correlation among the infants admitted to same hospitals also showed similar results (Table S5).

Discussion

To our knowledge, this is the first report of outborn very preterm infant outcomes in China. Although our 16.6% incidence of outborn infants among very preterm infants is similar to those reported by the Australian and New Zealand Neonatal Network (13%) and Canadian Neonatal Network (19%) (10), it is likely to be an underestimate because we only considered outborns admitted to perinatal centers in China. We did not have data on delivery room deaths births in level I or level II hospitals who were not transferred, or admissions to NICUs at free standing children's hospitals. The latter are important because traditionally tertiary level NICUs are located at children's hospitals instead of perinatal centers in China, and they account for a significant proportion of VPIs. Despite the underestimation, our incidence of outborn infants <28 weeks' gestation (15.6%) was significantly higher than some other developed countries like Japan (6.5%) (23). Since infants with the lowest gestational age are at highest risk of adverse outcomes in outborn, our findings indicate an urgent need for the development of a regionalization program of perinatal care in China to reduce the incidence of outborn infants.

We found that the outborn infants had lower Apgar scores, and higher TRIPS score than inborn infants. This may be the result of differences in medical resources and expertise between tertiary perinatal centers and lower level perinatal centers. In addition, the stress of inter-hospital transfer on the infant may result in higher illness severity on NICU admission and be ultimately associated with adverse outcomes (3,24,25). The incidence of maternal prenatal glucocorticoid use was significantly lower among outborn infants compared with inborns. One explanation may be the need for emergency delivery with resultant inadequate time for steroid administration. However, it is also possible that lack of staff awareness and routine practice guidelines for preterm births in lower level hospitals may also play important roles. Mothers of outborn infants were also less likely to have prenatal care and caesarean section, which may contribute to the higher incidence of adverse outcomes among these most vulnerable infants.

The risks of overall mortality and severe brain injury were also increased significantly among outborn infants compared with inborn infants. These results are consistent with previous studies (3,5,8,9,23). In addition, outborn infants were associated with higher risk-adjusted probability of severe brain injury and overall mortality than inborn infants and may reflect sub-optimal resuscitation at the time of birth, lack of appropriate expertise to care for these infants after birth and sub-optimal transport. There was no significant difference in the incidence of BPD, sepsis, and ROP between outborn and inborn infants. Outborn infants were at lower risk of NEC but this may be because the most

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	Inborn (N=10,023)	Outborn (N=1,991)	Р	
Gestational age, mean (SD)	29.9 (1.6)	29.8 (1.6)	0.01	
22 weeks, n/8 (%)	8/8 (100.0)	0/8 (0)		
23 weeks, n/14 (%)	14/14 (100.0)	0/14 (0)		
24 weeks, n/71 (%)	64/71 (90.1)	7/71 (9.9)		
25 weeks, n/175 (%)	149/175 (85.1)	26/175 (14.9)		
26 weeks, n/436 (%)	363/436 (83.3)	73/436 (16.7)		
27 weeks, n/781 (%)	655/781 (83.9)	126/781 (16.1)		
28 weeks, n/1,520 (%)	1,221/1,520 (80.3)	299/1,520 (19.7)		
29 weeks, n/2,166 (%)	1,795/2,166 (82.9)	371/2,166 (17.1)		
30 weeks, n/2,914 (%)	2,441/2,914 (83.8)	473/2,914 (16.2)		
31 weeks, n/3,929 (%)	3,313/3,929 (84.3)	616/3,929 (15.7)		
Birth weight, mean (SD)	1,388.1 (322.7)	1,393.3 (306.2)	0.5	
<750 g, n/194 (%)	168/194 (86.6)	26/194 (13.4)		
750–999 g, n/1,068 (%)	927/1,068 (86.8)	141/1,068 (13.2)		
1,000–1,249 g, n/2,732 (%)	2,254/2,732 (82.5)	478/2,732 (17.5)		
1,250–1,499 g, n/3,517 (%)	2,945/3,517 (83.7)	572/3,517 (16.3)		
1,500–1,999 g, n/4,132 (%)	3,409/4,132 (82.5)	723/4,132 (17.5)		
≥2,000 g, n/370 (%)	319/370 (86.2)	51/370 (13.8)		
/ale, n/N (%)	5,653/10,022 (56.4)	1,196/1,991 (60.1)	0.003	
SGA, n/N (%)	1,064/10,022 (10.6)	204/1,991 (10.3)	0.6	
Multiple birth ^a , n/N (%)	2,244/7,214 (31.1%)	335/1,347 (24.9%)	<0.001	
-min Apgar ≤3, n/N (%)	600/9,972 (6.0)	182/1,744 (10.4)	<0.001	
i-min Apgar ≤3, n/N (%)	120/9,606 (1.3)	56/1,528 (3.7)	<0.001	
RIPS score, median (IQR)	15.3 (13.0)	16.3 (1.0)	<0.001	
Prenatal care, n/N (%)	9,857/9,984 (98.7)	1,908/1,953 (97.7)	<0.001	
Naternal hypertension, n/N (%)	1,373/9,964 (13.8)	265/1,926 (13.8)	1.0	
/aternal diabetes, n/N (%)	1,286/9,966 (12.9)	131/1,924 (6.8)	<0.001	
Antenatal steroids, n/N (%)	7,275/9,895 (73.5)	812/1,755 (46.3)	<0.001	
Primigravida, n/N (%)	3,556/10,018 (35.5)	682/1,988 (34.3)	0.3	
Caesarean section, n/N (%)	4748/10,023 (47.4)	639/1,988 (32.1)	<0.001	

^aData on multiple birth was only collected during the last two years of study. SD, standard deviation; SGA, small for gestational age infant; TRIPS, Transport Risk Index of Physiologic Stability; IQR, interquartile range.

severely-ill outborn infants died at the early stage after birth and did not survive to develop these morbidities.

An important finding is that outborn infants were more likely to be DAMA and the majority of neonatal deaths

among outborn infants occurred after DAMA. There might be several explanations for this. First, parents who chose to deliver at lower level hospitals may be less compliant with medical advice and more likely to have their infants

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Table 2 Comparison of outcomes for inborn and outborn preterm infants admitted to NICUs						
	Inborn (N=10,023)	Outborn (N=1,991)	Р			
DAMA, n/N (%)	1,251/10,023 (12.5)	358/1,991 (18.0)	<0.001			
In-hospital mortality, n/N (%) ^a	662/8,772 (7.6)	121/1,633 (7.4)	0.8			
Overall mortality, n/N (%) ^b	1,588/10,023 (15.8)	396/1,991 (19.9)	<0.001			
Sepsis, n/N (%)°	741/10,023 (7.4)	153/1,991 (7.7)	0.6			
BPD, n/N (%) ^d	1,424/8,771 (16.2)	249/1,633 (15.3)	0.3			
IVH or PVL, n/N (%) ^e	806/8,832 (9.1)	192/1,771 (10.8)	0.024			
NEC, n/N (%) ^f	467/9,120 (5.1)	73/1,795 (4.1)	0.06			
Severe ROP, n/N (%) ^g	116/6,215 (1.9)	20/1,377 (1.5)	0.3			

^aIn-hospital mortality = number of in-hospital death/number of infants who received active care. ^bOverall mortality = (number of in-hospital death + number of predicted death among DAMA infants)/total number of infants. ^cIncidence of sepsis = number of infants with cultureproven sepsis or clinical sepsis/number of all admissions. ^dbronchopulmonary dysplasia. Incidence of BPD = number of infants who received active care and required mechanical ventilation or oxygen dependency at 36 weeks' postmenstrual age or discharge/number of infants who received active care. ^eintraventricular hemorrhage. PVL: periventricular leukomalacia. Incidence of IVH \ge grade 3 or PVL = number of infants with IVH \ge grade 3 or PVL/number of infants with neuroimaging results. ^fnecrotizing enterocolitis. Incidence of NEC = number of infants with NEC \ge stage 2/number of infants survived more than 72 hours. ^gretinopathy of prematurity. Incidence of ROP = number of infants with ROP \ge stage 3/number of infants with eye examinations in NICU. DAMA, Discharge against medical advice; BPD, bronchopulmonary dysplasia; IVH, intraventricular hemorrhage; PVL, periventricular leukomalacia; NEC, necrotizing enterocolitis; ROP, retinopathy of prematurity.

Table 3 Crude and adjusted risks of morality and morbidities for outborn infants compared with inborn infants

	Crude odds ratio			Adjusted odds ratio ^a		
	Inborn	Outborn	Р	Inborn	Outborn	Р
DAMA	Reference	1.5 (1.3–1.7)	<0.001	Reference	1.6 (1.4–1.8)	<0.001
In-hospital mortality	Reference	1.0 (0.8–1.2)	0.847	Reference	1.0 (0.8–1.2)	0.842
Overall mortality	Reference	1.3 (1.2–1.5)	<0.001	Reference	1.3 (1.1–1.5)	<0.001
Sepsis	Reference	1.0 (0.9–1.2)	0.651	Reference	1.0 (0.9–1.2)	0.784
BPD	Reference	0.9 (0.8–1.1)	0.319	Reference	0.9 (0.8–1.0)	0.181
IVH or PVL	Reference	1.2 (1.0–1.4)	0.024	Reference	1.2 (1.0–1.5)	0.012
NEC	Reference	0.8 (0.6–1.0)	0.060	Reference	0.8 (0.6–1.0)	0.040
Severe ROP	Reference	0.8 (0.5–1.3)	0.296	Reference	0.9 (0.5–1.4)	0.583

^aThe covariates controlled for in this model included sex, gestational age, small for gestational age infant, maternal hypertension, maternal diabetes. DAMA, Discharge against medical advice; BPD, bronchopulmonary dysplasia; IVH, intraventricular hemorrhage; PVL, periventricular leukomalacia; NEC, necrotizing enterocolitis; ROP, retinopathy of prematurity.

DAMA. Second, parents may be concerned about the longterm prognosis of their infants. Previous authors reported that infants who were DAMA account for 53-92% of VPI deaths in Chinese NICUs and concern for long term adverse outcomes was the most common reason given for the decision to DAMA (13,26-32). Finally, a lack of appropriate prenatal and postnatal counselling might also contribute to DAMA. We speculate that if more VPIs could be delivered in tertiary perinatal centers with optimized parental consulting, the rate of DAMA may decrease.

There are some limitations of our study should be noted. We did not collect the information about the treatment received by outborn infants had in the delivery hospital, the infants who died in the delivery room and attitude of VPI delivery in referral hospitals. Also, we did not collect the information on the level of neonatal care in each referral hospital or the distance of transport. In addition, we also did not collect information regarding the socioeconomic or education status of mothers. Outborn infants admitted to free-standing hospitals were also not included in our study because characteristics of admitted infants as well as care practices are different between perinatal centers and children's hospitals. Therefore, our outborn rate might have been underestimated. Some of the infants in DAMA groups actually would have morbidities after discharge or did not survive to develop these morbidities, the overall risk for morbidities would be lower.

The incidence of outborn VPIs was high in China, especially among infants with lower gestational ages. They were at significantly higher risk of neonatal mortality and severe brain injury compared with inborn infants. Policies and quality improvement efforts are needed to facilitate inutero transfer of high-risk pregnancies to tertiary centers, and ultimately to improve the outcomes of VPIs in China.

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China; Ling He, Jiangxi Provincial Children's Hospital, Jiangxi, China; Mingxia Li, MD, First Affiliated Hospital of Xinjiang Medical University, Xinjiang, China; Xinnian Pan, The Maternal and Child Health Hospital of Guangxi Zhuang Autonomous Region, Guangxi, China; Yan Guo, Children's Hospital of Nanjing Medical University, Jiangsu, China; Cuiqing Liu, Children's Hospital of Hebei Province, Hebei, China; Qin Zhou, The Affiliated Wuxi Maternity and Child Health Hospital of Nanjing Medical University, Jiangsu, China; Xiaoving Li, Qilu Children's Hospital of Shandong University, Shandong, China; Hong Xiong, Children's Hospital Affiliated to Zhengzhou University, Henan, China; Yujie Qi, Beijing Children's Hospital of Capital Medical University, Beijing, China; Mingyan Hei, The Third Xiangya Hospital of Central South University, Hunan, China.

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intervene in the diagnosis and treatment of individual patients.

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