Disease Progression and Multiparametric Imaging Characteristics of Spinocerebellar Ataxia Type 3 With **Spastic Paraplegia**

Zhi-Xian Ye, MSc,* Hao-Ling Xu, MSc,* Na-Ping Chen, MSc,* Xin-Yuan Chen, MD,* Meng-Cheng Li, MSc, Ru-Ying Yuan, MD, Wei Lin, BSc, Liangliang Qiu, MD, Minting Lin, MD, Wan-Jin Chen, MD, PhD, Ning Wang, MD, PhD, Jian-Ping Hu, MD, PhD, Ying Fu, MD, PhD, and Shi-Rui Gan, MD, as OSCCAR Investigators

Neurol Genet 2024;10:e200162. doi:10.1212/NXG.0000000000200162

Abstract

Background and Objectives

Spinocerebellar ataxia type 3 (SCA3) is a hereditary ataxia that occurs worldwide. Clinical patterns were observed, including the one characterized by marked spastic paraplegia. This study investigated the clinical features, disease progression, and multiparametric imaging aspects of patients with SCA3.

Methods

We retrospectively analyzed 249 patients with SCA3 recruited from the Organization for Southeast China for cerebellar ataxia research between October 2014 and December 2020. Of the 249 patients, 145 were selected and assigned to 2 groups based on neurologic examination: SCA3 patients with spastic paraplegia (SCA3-SP) and SCA3 patients with nonspastic paraplegia (SCA3-NSP). Participants underwent 3.0-T brain MRI examinations, and voxel-wise and volume-of-interest-based approaches were used for the resulting images. A tract-based spatial statistical approach was used to investigate the white matter (WM) alterations using diffusion tensor imaging, neurite orientation dispersion, and density imaging metrics. Multiple linear regression analyses were performed to compare the clinical and imaging parameters between the 2 groups. The longitudinal data were evaluated using a linear mixed-effects model.

Results

Forty-three patients with SCA3-SP (mean age, 37.58 years ± 11.72 [SD]; 18 women) and 102 patients with SCA3-NSP (mean age, 47.42years ± 12.50 [SD]; 39 women) were analyzed. Patients with SCA3-SP were younger and had a lower onset age but a larger cytosine-adenineguanine repeat number, as well as higher clinical severity scores (all corrected p < 0.05). The estimated progression rates of the Scale for the Assessment and Rating of Ataxia (SARA) and International Cooperative Ataxia Rating Scale scores were higher in the SCA3-SP subgroup than in the SCA3-NSP subgroup (SARA, 2.136 vs 1.218 points; ICARS, 5.576 vs 3.480 points; both p < 0.001). In addition, patients with SCA3-SP showed gray matter volume loss in the precentral gyrus with a decreased neurite density index in the WM of the corticospinal tract and cerebellar peduncles compared with patients with SCA3-NSP.

Discussion

SCA3-SP differs from SCA3-NSP in clinical features, multiparametric brain imaging findings, and longitudinal follow-up progression.

Go to Neurology.org/NG for full disclosures. Funding information is provided at the end of the article.

Correspondence

Dr. Gan ganshirui@fjmu.edu.cn or Dr. Fu fuying@fjmu.edu.cn or Dr. Hu fmrihjp@163.com

^{*}These authors contributed equally to this work as cofirst authors.

From the Department of Neurology and Institute of Neurology of First Affiliated Hospital (Z.-X.Y., H.-L.X., R.-Y.Y., W.L., L.Q., M.L., W.-J.C., N.W., Y.F., S.-R.G.), Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Radiology of First Affiliated Hospital (N.-P.C., M.-C.L., J.-P.H.); Department of Rehabilitation Medicine of First Affiliated Hospital (X.-Y.C.); and Department of Neurology (L.Q., M.L., W.-J.C., N.W., Y.F., S.-R.G.), National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China.

The article processing charge was funded by the authors.

This is an open access article distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives License 4.0 (CC BY-NC-ND), which permits downloading and sharing the work provided it is properly cited. The work cannot be changed in any way or used commercially without permission from the journal.

Glossary

AD = axial diffusivity; CST = corticospinal tract; DTI = diffusion tensor imaging; FA = fractional anisotropy; FDR = false discovery rate; FWE = family-wise error; GM = gray matter; HCs = healthy controls; HSP = hereditary spastic paraplegia; ICARS = International Cooperative Ataxia Rating Scale; MD = mean diffusivity; NDI = neurite density index; ODI = orientation dispersion index; RD = radial diffusivity; SARA = Scale for the Assessment and Rating of Ataxia; SCA3 = spinocerebellar ataxia type 3; SCA3-NSP = SCA3 patients with nonspastic paraplegia; SCA3-SP = SCA3 patients with spastic paraplegia; TIW = T1-weighted; TBSS = tract-based spatial statistics; TIV = total intracranial volume; VBM = voxel-based morphometry; WM = white matter.

Introduction

Spinocerebellar ataxia 3 (SCA3), also known as Machado– Joseph disease, is the most prevalent form of spinocerebellar ataxia worldwide. It is caused by variations located within the *ATXN3* gene,¹ which lead to the abnormal expansion of polyQ tracts in the ATXN3 protein within neurons, primarily affecting specific nuclei in different regions of the CNS, including the cerebellum, brainstem, spinal cord, cerebral areas, and peripheral nerves.^{2,3} The onset of the disease typically occurs during adulthood, and the clinical signs are highly heterogeneous, such as progressive ataxia, pyramidal signs, parkinsonism, dystonia, eye movement abnormalities, painful axonal neuropathy, and cognitive and psychiatric disturbances.³⁻⁵

Several clinical patterns have been recognized.⁶⁻⁸ In 1996, a study described 2 siblings affected by SCA3 who reported a spastic paraplegia without evident cerebellar ataxia, leading to the proposal of a spastic paraplegic subtype, referred as type V later.^{8,9} Our previous investigation involved 3 index patients of SCA3 with spastic paraplegia at an average onset age of 18 years with more than 80 cytosine-adenine-guanine (CAG) repeats.¹⁰ In addition, an MRI meta-analysis including 160 patients with SCA3 has demonstrated that the volume loss is mainly located in the right corticospinal tract (CST),¹¹ while another study performed on 91 patients with SCA3 has reported the CST damage by diffusion tensor imaging (DTI),¹² suggesting that the spastic paraplegic pattern may have a specific genotype and phenotype. Nonetheless, little is known about their genetic, clinical, and multiparametric imaging features.

The phenotypic diversity of SCA3 poses challenges not only for clinical diagnosis and management but also for successful therapeutic interventions during clinical trials.^{13,14} In the context of SCA3 subtype IV, treatment with levodopa has shown a notable clinical improvement^{15,16} but not for the other clinical subtypes, thereby highlighting the importance of the selection of patients with genetic and phenotypic homogeneity for future therapeutic trials in specific clinical subtypes.

In this study, we conducted a comprehensive investigation to assess the differences in genotype, clinical features, multiparametric brain MRI assessments, and longitudinal follow-up observations of SCA3 patients with spastic paraplegia (SCA3-SP) and SCA3 patients with nonspastic paraplegia (SCA3-NSP), demonstrating that SCA3-SP represents a distinct clinical subtype.

Methods

Standard Protocol Approvals, Registrations, and Patient Consents

This study was approved by the Ethics Committee for Medical Research of Fujian Medical University Hospital ([2019] 195; ClinicalTrials.gov identifier: NCT04010214). All enrolled patients provided written informed consent to share their personal data.

Participants and Design

A cohort of patients affected by SCA3 were recruited from the Organization in Southeast China for Cerebellar Ataxia Research (OSCCAR) from patients admitted to the Department of Neurology of the Fujian Medical University between October 2014 and December 2020, and they were retrospectively evaluated. Of the cohort, 249 had genetically confirmed SCA3 patients with CAG repeat expansion in the alleles of *ATXN3* gene in a range between 56 and 87.¹⁷ Moreover, healthy controls (HCs) comprising 44 sex- and age-matched patients with normal CAG repeat numbers within the *ATXN3* gene in a range from 12 to 44 were also included.¹⁷

Owing to the clinical similarities between SCA3-SP and hereditary spastic paraplegia (HSP),¹⁰ the patients with SCA3-SP were assessed in conjunction with the core attributes of HSP,¹⁸ including symmetrical spasticity affecting both lower limbs, thereby excluding those showing asymmetry or spasticity of the upper limbs. Thus, we confirmed a diagnosis of spasticity when at least 2 of the 4 criteria were present: hypermyotonia, myasthenia, hyperreflexia, and positive pathologic signs.¹⁹ On the contrary, patients without spasticity at the level of the bilateral lower limbs were classified as SCA3-NSP (Figure 1). In both cases, patients exhibited cerebellar ataxia. In addition, 134 of the 249 patients were excluded for several reasons, including homozygosity (3), presymptomatic patients (15), unilateral spastic paraplegia and/or upper limb involvement (68), and incomplete data (18). In total, 145 patients were included in the final analysis.

Two composite cerebellar ataxia scales, the Scale for the Assessment and Rating of Ataxia (SARA) and the International Cooperative Ataxia Rating Scale (ICARS), were used during the same visit,^{20,21} considering the age of patients during the neurologic examination and/or MRI. In addition, the "AAO" was defined as the time in which gait instabilities were first





SCA3 = spinocerebellar ataxias type 3; SCA3-SP = SCA3 patients with spastic paraplegia; SCA3-NSP = SCA3 patients with nonspastic paraplegia.

noticed while the "disease duration" was the interval from AAO and the patient's age during the examination. Patients with SARA score <3 were defined as presymptomatic SCA3, while those with SARA score \geq 3 were considered symptomatic SCA3.²² We collected signalment data about age at each examination and reported them in the flowchart of participants in Figure 1.

MRI Acquisition

The participants were examined using 3.0-T brain MRI (Siemens Skyra scanner). Sagittal anatomical images were acquired using a three-dimensional T1-weighted (T1W) magnetization-prepared rapid gradient-echo (MP-RAGE) sequence with the following scan parameters: TR = 2300ms, TE = 2.3 ms, TI = 900 ms, flip angle = 8° , field of view = 240×256 mm, number of slices = 192, voxel size = $1 \times 1 \times 1$ 1 mm^3 , and total acquisition time = 5.2 minutes. By contrast, the diffusion data were obtained using a simultaneous multislice echo-planar imaging (EPI) sequence using the following parameters: TR = 11700 ms, TE = 96 ms, field of view = 232 mm \times 232 mm, matrix size = 116 \times 116, voxel size = 2 \times 2 \times 2 mm, number of slices = 80, NEX = 1, 3 b = 0 images, 2 b shells = 1,000 and 2000 s/mm^2 with a diffusion encoding direction of 30 for each shell, and a total acquisition time of 12 minutes and 54 seconds. A total of 53 patients with SCA3 and 44 HCs underwent MRI. Experienced radiologists evaluated the collected data for quality control.

Postprocessing of the Volumetric T1W Image

Automatic and quantitative segmentations of the 3D T1W images were conducted using the FreeSurfer software,²³ and the volume of the cerebellum and subcortical and cortical structures were obtained and expressed as a percentage of the total intracranial volume (TIV).

T1-weighted images were further segmented into gray matter (GM), white matter (WM), and CSF using Statistical Parametric Mapping software (SPM)²⁴ on the MATLAB R2017b platform and then normalized to the standard space by diffeomorphic anatomical registration using the Exponentiated Lie algebra. Finally, the GM images were normalized using Jacobian determinants and smoothed using an 8-mm fullwidth at half-maximum Gaussian filter used in the voxel-wise statistical analyses.

Postprocessing of the Diffusion MRI Data

Owing to the sensitivity of the diffusion of water molecules within tissue, DTI can provide quantitative information on the brain microstructure.²⁵ Diffusion tensor images were first denoised and corrected for Gibb's ringing using MRtrix3 software, while the eddy current distortion and head motion corrections and the brain tissue extraction were performed using the FMRIB Software Library.²⁶ We performed the diffusion fitting to obtain DTI metrics, including fractional anisotropy (FA), mean diffusivity (MD), axial diffusivity (AD), and radial diffusivity (RD), derived from the image data with b = 0 and 1,000 s/mm², using the "dtifit" function in FSL.

The neurite orientation dispersion and density imaging (NODDI) is an advanced three-compartment biophysical diffusion model that is able to provide specific information of the brain microstructure, thereby overcoming the limitations of standard DTI.²⁷ The neurite density index (NDI) refers to the density of axons and dendrites, while the orientation dispersion index (ODI) represents the fanning and bending of axons and the pattern of sprawling dendritic processes.²⁷ Volume fraction of isotropic (Viso) diffusion is able to quantify the fractional volume occupied by extracellular fluid.^{27,28} Therefore, the NODDI model was fitted to calculate the NODDI-related

metrics, including NDI, ODI, and Viso, using the NODDI toolbox²⁹ based on Matlab (MathWorks, Natick, MA).

Tract-based spatial statistics (TBSS) analysis was performed based on the standardized TBSS framework to investigate the microstructural alterations of the WM. First, the FA images captured from all participants were aligned to an FMRIB58_ FA standard-space template by nonlinear registrations, and then, the mean image was thinned to create a mean FA skeleton with a threshold value of 0.2. Finally, the aligned FA images were projected onto the mean FA skeleton and onto other non-FA maps (including MD, AD, RD, Viso, NDI, and ODI) using the same nonlinear registration.

Statistical Analysis

The normality of the standardized residuals was evaluated using the Shapiro–Wilk test. Continuous variables are presented as means (SD) and categorical data as numbers (%). Univariate analysis to compare the demographic, clinical, and brain MRI parameters of patients with SCA3-SP and SCA3-NSP was conducted using the Mann–Whitney U or χ^2 test, and multiple linear regression models were used for comprehensive analysis of AAO, SARA, and ICARS scores.

In addition, the rate of disease progression (as assessed by SARA and ICARS) in patients with SCA3-SP and SCA3-NSP was analyzed using linear mixed-effects models.^{30,31} The correlations among repeated measurements of the same patient were calculated using participant-specific random effects despite missing data, unequal number of follow-up visits, and irregular intervals between visits. Disease duration was used as the time scale. The analysis was corrected for age and duration at baseline, sex, and number of expanded CAG repeats.

Voxel-based morphometry (VBM) analysis was used to identify differences in GM volume. Covariates of no interest, including sex, age, and the total intracranial volume of each participant, were included in the analysis. A one-way ANOVA was performed to investigate the GM differences among HCs, patients with SCA3-SP, and patients with SCA3-NSP using SPM12, followed by a specific test for the GM differences between patients with SCA3-SP and SCA3-NSP. When *p* values were <0.05, the results were considered statistically significant after false discovery rate (FDR) cluster-level correction.

A TBSS approach was used to investigate changes in diffusivity parameters along the WM tract using a general linear model by FSL, with age and sex as covariates. Differences among HCs and patients with SCA3-SP and SCA3-NSP were assessed using an FSL permutation test (FSL Randomize Tool, 5,000 permutations) and a Threshold-Free Cluster Enhancement option. The statistical map threshold was set at p < 0.05 using family-wise error (FWE) correction.

Statistical analyses were performed using SPSS v25.0 (IBM, Armond, New) and Prism v7.0 (GraphPad, San Diego, CA). p < 0.05 was considered statistically significant. Bonferroni

correction was applied to adjust the results for multiple comparisons.

Data Availability

The data supporting the findings of this study are available from the corresponding author on reasonable request.

Results

Demographic and Clinical Characteristics

A total of 145 patients with SCA3 were selected and further analyzed in this study, of whom 43 were classified as having SCA3-SP and 102 were categorized as having SCA3-NSP. Patients with SCA3 and HCs were matched for age and sex (p > 0.05), and the main demographic and clinical characteristics are reported in Table 1.

As per the univariate analysis, the SCA3-SP subgroup showed lower age (p < 0.001), earlier AAO (p < 0.001), larger number of CAG repeats (p < 0.001), and higher scores in SARA (p =0.005) and ICARS (p = 0.020) than the SCA3-NSP subgroup (Table 1). To exclude any influence of covariates between the 2 subgroups, we conducted multivariate regression analysis that showed that patients with SCA3-SP had an earlier AAO than those with SCA3-NSP (p = 0.016). In addition, even after adjusting for sex, age, disease duration, and normal and expanded CAG repeats, the SCA3-SP subgroup showed higher SARA and ICARS scores than the SCA3-NSP subgroup (p = 0.001 and p = 0.002, respectively). Additional data are presented in eTable 1. Similarly, differences in AAO, CAG repeats, SARA, and ICARS scores were found when comparing the MRI subsets of the 19 patients with SCA3-SP and 34 patients with SCA3-NSP. Additional data are presented in eTable 2.

Disease Progression of the SCA3-SP and SCA3-NSP Subgroups

A total of 78 patients had follow-up visits (22 SCA3-SP and 56 SCA3-NSP), with a median of 2 visits per participant (range, 2-5 visits). A linear mixed-effects model was used to analyze the differences in SARA and ICARS scores at longitudinal progression between patients with SCA3-SP and SCA3-NSP. Disease duration was selected as the time scale and adjusted for age at baseline, sex, duration at baseline, and the number of expanded CAG repeats. The estimated SARA progression rate in the SCA3-SP subgroup was 2.136 per year (standard error [SE] = 0.2235; *p* < 0.001), whereas the SCA3-SP subgroup showed a much slower progression rate of 1.218 per year (SE = 0.1048; p < 0.001) (Figure 2A; eFigure 1). Furthermore, a statistically significant difference in the SARA score was found between the 2 subgroups (0.918; SE = 0.2192; p < 0.001) (Table 2). By contrast, the estimated ICARS progression rate in the SCA3-SP subgroup was 5.576 per year (SE = 0.5605; p < 0.001), and the SCA3-SP subgroup showed a slower progression rate of 3.480 per year (SE = 0.2642; *p* < 0.001) (Figure. 2B; eFigure 1), and as mentioned

Table 1 Clinical Characteristics of Patients With SCA3 and Healthy Controls

Parameters	Mean (SD) or n (%)				
	Healthy controls	Whole SCA3	SCA3-SP	SCA3-NSP	p Value ^a
Total n	44	145	43	102	
Sex (female)	21 (47.7)	57 (39.3)	18 (41.9)	39 (38.2)	0.683
Age at examination, y	41.86 (11.37)	44.50 (13.04)	37.58 (11.72)	47.42 (12.50)	<0.001
Age at onset, y	NA	35.94 (11.78)	28.62 (10.41)	39.03 (10.96)	<0.001
Disease duration, y	NA	8.779 (5.057)	8.98 (4.42)	8.70 (5.32)	0.455
SARA scores, points	NA	11.89 (7.064)	14.49 (8.12)	10.80 (6.29)	0.005
ICARS scores, points	NA	30.54 (17.06)	36.16 (19.50)	28.18 (15.42)	0.020
Normal CAG repeats	NA	19.41 (6.977)	19.02 (7.05)	19.57 (6.98)	0.598
Expanded CAG repeats	NA	74.21 (4.059)	76.12 (4.68)	73.40 (3.48)	<0.001

Abbreviations: CAG = cytosine-adenine-guanine; ICARS = International Cooperative Ataxia Rating Scale; NA = not applicable; SARA = Scale for the Assessment and Rating of Ataxia; SCA3 = spinocerebellar ataxias type 3; SCA3-SP = SCA3 patients with spastic paraplegia; SCA3-NSP = SCA3 patients with nonspastic paraplegia.

Bold p value < 0.05.

a Mann–Whitney U test or Pearson χ^2 was used to compare variables between SCA3-SP and SCA3-NSP subgroups.

above, a difference in the ICARS score rates between the 2 subgroups was detected (2.096; SE = 0.5523; p < 0.001) (Table 2).

Volumetric MRI Features

Nineteen patients with SCA3-SP, 34 patients with SCA3-NSP, and 44 HCs underwent MRI. Volume-of-interest analyses adjusted for individual TIV showed a lower total cerebellar and brainstem volume in patients with SCA3-SP and SCA3-NSP than in HCs. In addition, a lower cerebellar volume was found in the SCA3-NSP group than in the SCA3-SP group (p < 0.05, eFigure 2), whereas no differences in volume between the SCA3-SP and SCA3-NSP subgroups were noted in the brainstem, subcortical, and cortical structures (p > 0.05). Additional data are presented in eTable 2.

VBM analysis of the brain GM volume was performed to investigate the differences between the SCA3-SP subgroup, SCA3-NSP subgroup, and HCs. ANOVA showed several differences, mainly in the cerebellum, precentral gyrus, postcentral gyrus,

Figure 2 Longitudinal Trajectories of Mean Scale for the Assessment and Rating of Ataxia (SARA) and International Cooperative Ataxia Rating Scale (ICARS) Scores for SCA3 Patients With Spastic Paraplegia (SCA3-SP) and SCA3 Patients With Nonspastic Paraplegia (SCA3-NSP) Using a Linear Mixed-Effects Model



(A–B) Longitudinal trajectories of mean SARA and ICARS scores showed lower progression for SCA3-SP than for SCA3-NSP. This observation included individuals sampled at different time points in the course of disease. Individuals were followed up for 0–5 visits. No individual was followed up for the full duration described on the x-axis. The graphs demonstrated the mean SARA and ICARS scores changes in the SCA3-SP and SCA3-NSP subgroups with covariates fixed at the following values: baseline age = 45 years, sex = male, baseline duration = 0 years, and the number of expanded CAG repeats = 75. Spaghetti plots demonstrating the change for individual participants are shown in eFigure 1.

Table 2 Comparison of SARA and ICARS Scores Progression Between SCA3-SP and SCA3-NSP Subgroups by Longitudinal Follow-Up Data

	SARA score			ICARS score		
Characteristics	Coefficient	95% CI	p Value	Coefficient	95% CI	p Value
Rate difference, y ^a	0.918	0.4848 to 1.3508	<0.001	2.096	1.0044 to 3.1866	<0.001
Baseline age, y	0.150	0.0368 to 0.2628	0.010	0.374	0.1008 to 0.6473	0.008
Sex (male)	1.228	-0.5592 to 3.0158	0.177	2.902	-1.4154 to 7.2194	0.186
Baseline duration, y	0.519	0.2919 to 0.7454	<0.001	1.296	0.7483 to 1.8432	<0.001
Expanded CAG repeats	0.365	0.0519 to 0.6783	0.023	0.757	0.0006 to 1.5132	0.0498
Duration at visit, y	1.218	1.0108 to 1.4250	<0.001	3.480	2.9585 to 4.0024	<0.001

Abbreviations: CAG = cytosine-adenine-guanine; ICARS = International Cooperative Ataxia Rating Scale; SARA = Scale for the Assessment and Rating of Ataxia; SCA3 = spinocerebellar ataxias type 3; SCA3-SP = SCA3 patients with spastic paraplegia; SCA3-NSP = SCA3 patients with nonspastic paraplegia. ^a The difference in rate of change in SARA and ICARS scores in the respective models between SCA3-SP and SCA3-NSP, which is the predictor of concern in this model.

precuneus, calcarine, parahippocampal gyrus, and basal ganglia (caudate and putamen) (p < 0.05, FDR-corrected at the cluster level; eFigure 3). Subsequent post hoc analysis showed reduced GM volumes in patients with SCA3-SP, mainly in the cerebellum, bilateral frontal lobes (precentral gyrus, middle frontal gyrus, and superior frontal gyrus), and parietal lobes (postcentral gyrus and precuneus) (p < 0.05, FDR-corrected at the cluster level; Figure 3A). When compared with the SCA3-NSP subgroup, the SCA3-SP subgroup had lower GM volumes within the right precentral gyrus, middle frontal gyrus, and precuneus (p < 0.05, FDR-corrected at the cluster level; Figure 3B).

TBSS Analysis of WM Microstructure in DTI and NODDI Metrics

We performed TBSS analysis to investigate differences in WM microstructure among patients with SCA3-SP, SCA3-NSP, and

HCs. As for DTI metrics, we noted statistically significant differences in FA, AD, and RD, as well as MD metrics in extensive regions among the 3 groups (p < 0.05, FWEcorrected, eFigure 4). However, no differences were identified in the FA, AD, RD, or MD metrics between the SCA3-SP and SCA3-NSP subgroups (p > 0.05). For the NODDI metrics, the ANOVA results revealed differences across broad brain regions among the 3 groups (p < 0.05, FWE-corrected, eFigure 5). Furthermore, within the SCA3-SP subgroup, lower NDI values were found in several regions, including the bilateral cerebral peduncles, optic radiation, internal capsules, fornix/stria terminalis, corona radiata, medial lemniscus, and superior and inferior cerebellar peduncles, when compared with those in the SCA3-NSP subgroup (p < 0.05, FWE-corrected; Figure 4). No differences in Viso and ODI scores were detected between the SCA3-SP and SCA3-NSP subgroups.





(A) Regions showcasing reductions in brain GM volume among the SCA3 patients with spastic paraplegia (SCA3-SP) compared with healthy controls. (B) Regions showcasing reductions in brain GM volume among the patients with SCA3-SP when compared with the patients with nonspastic paraplegia (SCA3-NSP). A post hoc test, p < 0.05, FDR-corrected.

Figure 4 TBSS Analysis of Neurite Density Index (NDI) Metrics Across Different Subgroups



Depicted are brain regions characterized by reduced NDI values of the SCA3 patients with spastic paraplegia (SCA3-SP) when compared with SCA3 patients with nonspastic paraplegia (SCA3-NSP). A post hoc test, p < 0.05, FWE-corrected.

Discussion

We conducted this study in a cohort of patients with SCA3 to investigate the clinical characteristics and alterations of the clinical pattern of SCA3-SP using multiparametric brain imaging. Our data demonstrated that the SCA3-SP subgroup had earlier AAO, larger expanded CAG repeats, and a more rapid progression rate based on SARA and ICARS scores than the SCA3-NSP subgroup. The MRI results revealed reduced GM volumes within the precentral gyrus, middle frontal gyrus, and precuneus of the SCA3-SP subgroup, along with a lower NDI value of the WM microstructure, when compared with those in the SCA3-NSP subgroups.

As the onset of SCA3 is typically during middle age,¹ in contrast to HSP, which is more frequently associated with early childhood through late adulthood,^{18,19} the early onset and presence of spastic paraplegia can lead to misdiagnosis of patients with SCA3. In our study, we demonstrated that the SCA3-SP subgroup presented with disease at an earlier age at onset than the SCA3-NSP subgroup, which is an additional distinctive aspect between the 2 subgroups, along with a larger number of expanded CAG repeats. Of interest, we further noticed that the number of expanded CAG repeats was inversely proportional to the AAO, clarifying the variability in the onset of SCA3-SP to some extent.

Owing to the controversial results obtained from certain case studies that associated patients with SCA3 of spastic paraplegia with a lack of atrophy within the midbrain, pons, or cerebellum by MRI assessments^{10,32} in contrast to a more recent study of SCA3 with both spastic paraplegia and cerebellar signs, accompanied by atrophy within the cerebellum and spinal cord,³³ we investigated patients with SCA3-SP with an average age of 28.6 years, with cerebellar signs. Volumetric MRI data indicated that patients with SCA3-SP exhibited atrophy in both the cerebellum and brainstem compared with that of HCs. In SCA3-SP, cerebellar signs and other symptoms, even if not detected in the first instance, appeared a few years later.

The distinctive pathologic characteristic of SCA3 involves the progressive degeneration of cerebellar cells and afferent and efferent fibers.^{13,34} By contrast, HSP is associated with degeneration primarily of the length-dependent CST and dorsal column.^{13,18} Furthermore, in this study, the SCA3-SP subgroup showed GM volume loss in the right precentral gyrus, in contrast to the SCA3-NSP subgroup. In addition, the NDI values decreased in the bilateral CST, internal capsule, cerebral peduncles, superior cerebellar peduncles, inferior cerebellar peduncles, and other brain regions in the SCA3-SP subgroup. Although direct histopathologic data would be auspicial to confirm these findings, the multimodal MRI data we used revealed volumetric loss in the right precentral gyrus and a decreased neurite density of the CST among patients with SCA3-SP, providing valuable insights into the understanding of the clinical manifestation of spastic paraplegia in the context of patients with SCA3.

Our study had several limitations. First, the MRI findings were derived from cross-sectional data, restricting our ability to infer time-dependent results and necessitating further investigation to confirm our findings. Second, MRI examinations were conducted on a relatively small sample size, and because of the lack of extensive follow-up data, linear models were adopted, although this may not be consistent with the trajectory of SCA3. Finally, although it provides a unique opportunity to noninvasively investigate neurite pathology at the microscopic level, it would be ideal if future investigations seek confirmation based on postmortem histopathology.

In summary, our data shed light on the clinical and multiparametric imaging characteristics of SCA3-SP, offering valuable insights into distinguishing between SCA3-SP subtypes. These findings have the potential to provide essential guidance for designing future clinical trials specifically tailored to address the therapeutic needs of this distinctive subtype.

Acknowledgment

The authors thank the patients, families, caregivers, and OSCCAR members who participated in this research.

Study Funding

This work was supported by the grants 82230039 (N.W.) and U21A20360 (Y.F.) from the National Natural Science Foundation of China, and by the grant 2021Y9128 (S.R.G.) from Joint Funds for the Innovation of Science and Technology of Fujian Province.

Disclosure

The authors report no relevant disclosures. Go to Neurology. org/NG for full disclosures.

Publication History

Received by *Neurology: Genetics* January 10, 2024. Accepted in final form April 12, 2024. Submitted and externally peer reviewed. The handling editor was Editor Stefan M. Pulst, MD, Dr med, FAAN.

Appendix Authors

Name	Location	Contribution	
Zhi-Xian Ye, MSc	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data; analysis or interpretation of data	
Hao-Ling Xu, MSc	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data; analysis or interpretation of data	

Name	Location	Contribution
Na-Ping Chen, MSc	Department of Radiology of First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data; analysis or interpretation of data
Xin-Yuan Chen, MD	Department of Rehabilitation Medicine of First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data
Meng- Cheng Li, MSc	Department of Radiology of First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data
Ru-Ying Yuan, MD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data
Wei Lin, BSc	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data
Liangliang Qiu, MD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Neurology, National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Major role in the acquisition of data
Minting Lin, MD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Neurology, National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Major role in the acquisition of data
Wan-Jin Chen, MD, PhD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Neurology, National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data

Appendix (continued)				
Name	Location	Contribution		
Ning Wang, MD, PhD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Neurology, National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data		
Jian-Ping Hu, MD, PhD	Department of Radiology of First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; study concept or design; analysis or interpretation of data		
Ying Fu, MD, PhD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Neurology, National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; study concept or design; analysis or interpretation of data		
Shi-Rui Gan, MD	Department of Neurology and Institute of Neurology of First Affiliated Hospital, Institute of Neuroscience, and Fujian Key Laboratory of Molecular Neurology; Department of Neurology, National Regional Medical Center, Binhai Campus of the First Affiliated Hospital, Fujian Medical University, Fuzhou, China	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data; study concept or design; analysis or interpretation of data		

References

- Klockgether T, Mariotti C, Paulson HL. Spinocerebellar ataxia. Nat Rev Dis Primers. 2019;5(1):24. doi:10.1038/s41572-019-0074-3
- Da Silva J, Teixeira-Castro A, Maciel P. From pathogenesis to novel therapeutics for spinocerebellar ataxia type 3: evading potholes on the way to translation. *Neuro*therapeutics. 2019;16(4):1009-1031. doi:10.1007/s13311-019-00798-1
- Rüb U, Schöls L, Paulson H, et al. Clinical features, neurogenetics and neuropathology of the polyglutamine spinocerebellar ataxias type 1, 2, 3, 6 and 7. Prog Neurobiol. 2013;104:38-66. doi:10.1016/j.pneurobio.2013.01.001
- Pedroso JL, Franca MC Jr., Braga-Neto P, et al. Nonmotor and extracerebellar features in Machado-Joseph disease: a review. *Mov Disord*. 2013;28(9):1200-1208. doi:10.1002/mds.25513
- Durr A. Autosomal dominant cerebellar ataxias: polyglutamine expansions and beyond. *Lancet Neurol.* 2010;9:885-894. doi:10.1016/S1474-4422(10) 70183-6
- Spinella GM, Sheridan PH. Research initiatives on Machado-Joseph disease: National Institute of neurological disorders and Stroke Workshop summary. *Neurology*. 1992; 42(10):2048-2051. doi:10.1212/wnl.42.10.2048
- Paulson H. Machado-Joseph disease/spinocerebellar ataxia type 3. Handb Clin Neurol. 2012;103:437-449. doi:10.1016/B978-0-444-51892-7.00027-9

- Mendonça N, França MC Jr., Gonçalves AF, Januário C. Clinical features of Machado-Joseph disease. Adv Exp Med Biol. 2018;1049:255-273. doi:10.1007/978-3-319-71779-1_13
- 9. Sakai T, Kawakami H. Machado-Joseph disease: a proposal of spastic paraplegic subtype. *Neurology*. 1996;46(3):846-847.
- Gan SR, Zhao K, Wu ZY, Wang N, Murong SX. Chinese patients with Machado-Joseph disease presenting with complicated hereditary spastic paraplegia. *Eur J Neurol.* 2009;16(8):953-956. doi:10.1111/j.1468-1331.2009.02639.x
- Liu H, Lin J, Shang H. Voxel-based meta-analysis of gray matter and white matter changes in patients with spinocerebellar ataxia type 3. *Front Neurol.* 2023;14:1197822. doi:10.3389/fneur.2023.1197822
- Inada BSY, Rezende TJR, Pereira FV, et al. Corticospinal tract involvement in spinocerebellar ataxia type 3: a diffusion tensor imaging study. *Neuroradiology*. 2021; 63(2):217-224. doi:10.1007/s00234-020-02528-3
- Synofzik M, Schule R. Overcoming the divide between ataxias and spastic paraplegias: shared phenotypes, genes, and pathways. *Mov Disord*. 2017;32(3):332-345. doi:10.1002/mds26944
- D'Abreu A, Franca MC Jr., Paulson HL, Lopes-Cendes I. Caring for Machado-Joseph disease: current understanding and how to help patients. *Parkinsonism Relat Disord*. 2010;16(1):2-7. doi:10.1016/j.parkreldis.2009.08.012
- Zhang XL, Li XB, Cheng FF, et al. Spinocerebellar ataxia type 3 with dopamineresponsive dystonia: a case report. World J Clin Cases. 2021;9(28):8552-8556. doi: 10.12998/wjcc.v9.i28.8552
- Kuo MC, Tai CH, Tseng SH, Wu RM. Long-term efficacy of bilateral subthalamic deep brain stimulation in the parkinsonism of SCA 3: a rare case report. *Eur J Neurol.* 2022;29(8):2544-2547. doi:10.1111/ene.15339
- Durr A, Stevanin G, Cancel G, et al. Spinocerebellar ataxia 3 and Machado-Joseph disease: clinical, molecular, and neuropathological features. *Ann Neurol.* 1996;39(4): 490-499. doi:10.1002/ana.410390411
- Shribman S, Reid E, Crosby AH, Houlden H, Warner TT. Hereditary spastic paraplegia: from diagnosis to emerging therapeutic approaches. *Lancet Neurol.* 2019; 18(12):1136-1146. doi:10.1016/S1474-4422(19)30235-2
- Salinas S, Proukakis C, Crosby A, Warner TT. Hereditary spastic paraplegia: clinical features and pathogenetic mechanisms. *Lancet Neurol.* 2008;7(12):1127-1138. doi: 10.1016/S1474-4422(08)70258-8
- Trouillas P, Takayanagi T, Hallett M, et al. International cooperative ataxia rating scale for pharmacological assessment of the cerebellar syndrome. The Ataxia Neuropharmacology Committee of the World Federation of Neurology. J Neurol Sci. 1997; 145(2):205-211. doi:10.1016/s0022-510x(96)00231-6
- Schmitz-Hubsch T, du Montcel ST, Baliko L, et al. Scale for the assessment and rating of ataxia: development of a new clinical scale. *Neurology*. 2006;66(11):1717-1720. doi: 10.1212/01.wnl.0000219042.60538.92
- Li QF, Dong Y, Yang L, et al. Neurofilament light chain is a promising serum biomarker in spinocerebellar ataxia type 3. *Mol Neurodegener*. 2019;14(1):39. doi: 10.1186/s13024-019-0338-0
- 23. FreeSurfer Software Suite. Updated June 26, 2023. Accessed March 2024. surfer.nmr. mgh.harvard.edu/
- 24. Statistical Parametric Mapping. Updated January 13, 2020. Accessed March 2024. fil. ion.ucl.ac.uk/spm/
- Andica C, Kamagata K, Hatano T, et al. MR biomarkers of degenerative brain disorders derived from diffusion imaging. J Magn Reson Imaging. 2020;52(6):1620-1636. doi:10.1002/jmri.27019
- 26. FMRIB Software Library. Updated October 2018. Accessed March 2024. fsl.fmrib.ox. ac.uk/fsl/fslwiki/
- Zhang H, Schneider T, Wheeler-Kingshott CA, Alexander DC. NODDI: practical in vivo neurite orientation dispersion and density imaging of the human brain. *Neuroimage*. 2012;61(4):1000-1016. doi:10.1016/j.neuroimage.2012.03.072
- Rasgado-Toledo J, Shah A, Ingalhalikar M, Garza-Villarreal EA. Neurite orientation dispersion and density imaging in cocaine use disorder. *Prog Neuropsychopharmacol Biol Psychiatry*. 2022;113:110474. doi:10.1016/j.pnpbp.2021.110474
- 29. NODDI Matlab Toolbox. Updated July 6, 2021. Accessed March 2024. surfer.nmr. mgh.harvard.edu/
- Laird NM, Ware JH. Random-effects models for longitudinal data. *Biometrics*. 1982; 38(4):963-974. doi:10.2307/2529876
- Saunders-Pullman R, Mirelman A, Alcalay RN, et al. Progression in the LRRK2associated Parkinson disease population. JAMA Neurol. 2018;75(3):312-319. doi: 10.1001/jamaneurol.2017.4019
- Kaneko A, Narabayashi Y, Itokawa K, et al. A case of Machado-Joseph disease presenting with spastic paraparesis. J Neurol Neurosurg Psychiatry. 1997;62(5):542-543. doi:10.1136/jnnp.62.5.542-a
- Song Y, Liu Y, Zhang N, Long L. Spinocerebellar ataxia type 3/Machado-Joseph disease manifested as spastic paraplegia: a clinical and genetic study. *Exp Ther Med.* 2015;9(2):417-420. doi:10.3892/etm.2014.2136
- Watanave M, Hoshino C, Konno A, et al. Pharmacological enhancement of retinoidrelated orphan receptor a function mitigates spinocerebellar ataxia type 3 pathology. *Neurobiol Dis.* 2019;121:263-273. doi:10.1016/j.nbd.2018.10.014