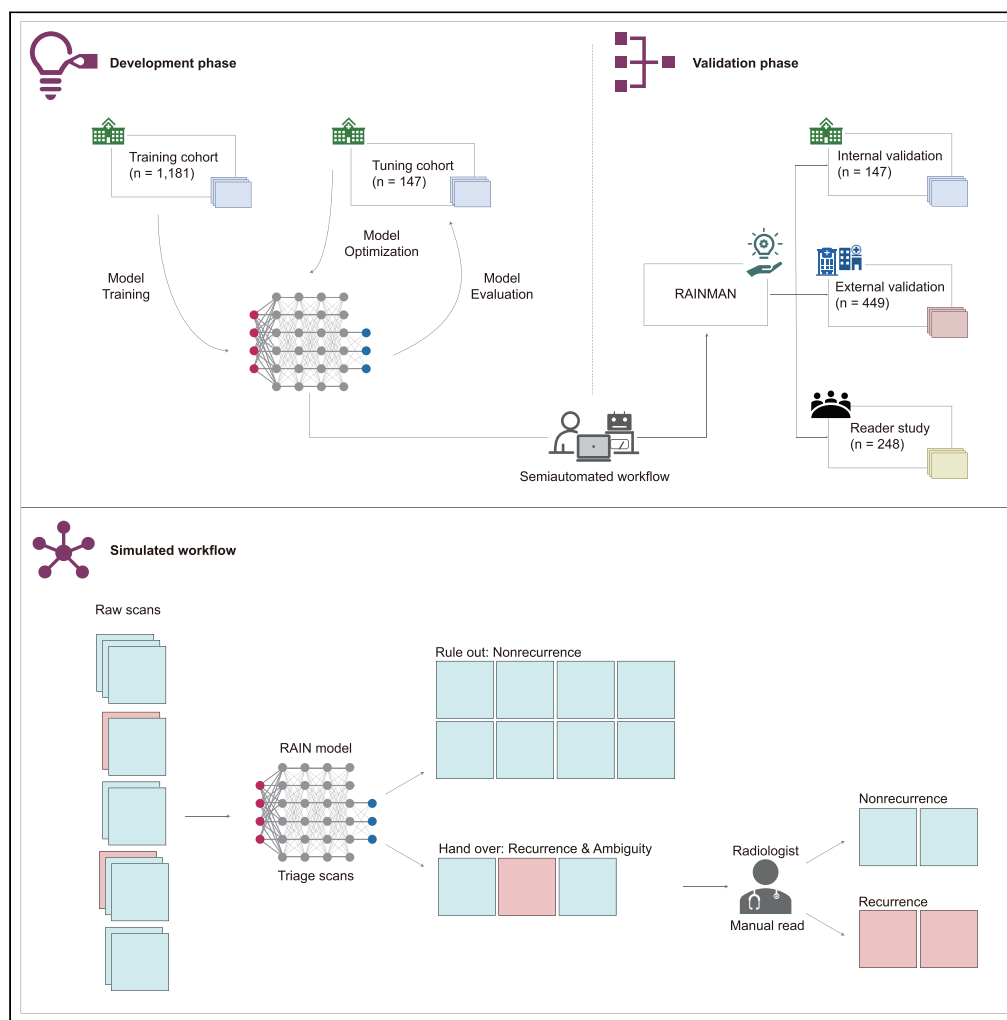


Article

A deep learning-based semiautomated workflow for triaging follow-up MR scans in treated nasopharyngeal carcinoma



Ying-Ying Huang,
Yi-Shu Deng, Yang
Liu, ..., Liang-Ru
Ke, Xing Lv, Chao-
Feng Li

guoxiang@sysucc.org.cn (X.G.)
kelr@sysucc.org.cn (L.-R.K.)
lvxing@sysucc.org.cn (X.L.)
lichao.feng@sysucc.org.cn
(C.-F.L.)

Highlights

A semiautomated workflow was constructed for monitoring recurrent NPC on MR scans

The workflow reduces radiologist workload while retaining undamaged performance

The workflow provided an approach utilizing virtues of machine and human



Article

A deep learning-based semiautomated workflow for triaging follow-up MR scans in treated nasopharyngeal carcinoma

Ying-Ying Huang,^{1,2,10} Yi-Shu Deng,^{1,3,4,10} Yang Liu,^{1,5,10} Meng-Yun Qiang,^{6,10} Wen-Ze Qiu,⁷ Wei-Xiong Xia,^{1,8} Bing-Zhong Jing,^{1,3} Chen-Yang Feng,^{1,3} Hao-Hua Chen,^{1,3} Xun Cao,^{1,9} Jia-Yu Zhou,^{1,8} Hao-Yang Huang,^{1,8} Ze-Jiang Zhan,^{1,8} Ying Deng,^{1,8} Lin-Quan Tang,^{1,8} Hai-Qiang Mai,^{1,8} Ying Sun,^{1,5} Chuan-Miao Xie,^{1,2} Xiang Guo,^{1,8,*} Liang-Ru Ke,^{1,2,*} Xing Lv,^{1,8,*} and Chao-Feng Li^{1,3,11,*}

SUMMARY

It is imperative to optimally utilize virtues and obviate defects of fully automated analysis and expert knowledge in new paradigms of healthcare. We present a deep learning-based semiautomated workflow (RAINMAN) with 12,809 follow-up scans among 2,172 patients with treated nasopharyngeal carcinoma from three centers (ChiCTR.org.cn, Chi-CTR2200056595). A boost of diagnostic performance and reduced workload was observed in RAINMAN compared with the original manual interpretations (internal vs. external: sensitivity, 2.5% [p = 0.500] vs. 3.2% [p = 0.031]; specificity, 2.9% [p < 0.001] vs. 0.3% [p = 0.302]; workload reduction, 79.3% vs. 76.2%). The workflow also yielded a triaging performance of 83.6%, with increases of 1.5% in sensitivity (p = 1.000) and 0.6%–1.3% (all p < 0.05) in specificity compared to three radiologists in the reader study. The semiautomated workflow shows its unique superiority in reducing radiologist's workload by eliminating negative scans while retaining the diagnostic performance of radiologists.

INTRODUCTION

Long-term surveillance in disease control is pivotal in managing cancer patients.^{1,2} Nasopharyngeal carcinoma (NPC), a cancer that prevails across East and Southeast Asia,³ has a 5-year overall survival (OS) of 87.4%, and a locoregional recurrence rate of 7.4%–15.0%.^{4–6} The annual proceeding of head and neck (H&N) magnetic resonance (MR) imaging has been a dominant follow-up scheme in monitoring locoregional disease control in NPC.^{7,8} However, the interpretation of overwhelming imaging data involves the consumption of time and labor by relatively scarce radiologists.⁹ Moreover, owing to histological and structural changes induced by radiation and disturbance of personal subjective judgment, even experienced radiologists may inevitably neglect some occult and atypical recurrence or waver for benign changes, resulting in mis- and missed diagnoses.^{10,11} False-positive scans can bring about superfluous procedures, placing both psychological and economic burdens on well-healed patients.^{10,11} Although double reading has slightly improved radiologist performance, it undeniably aggravates inefficiency and potentially increases false-positive results.¹² Therefore, a triaging workflow to eliminate the true negatives for which radiologist assessment is unnecessary and to distinguish the scans showing high likelihood of recurrence is needed.

An accumulation of advancements in deep learning (DL) engineered for computer-aided detection (CAD) in medical imaging has repeatedly been considered to save time and even improve radiologists' performance in the assessment of cancer screening.^{13–20} Among them, especially many studies have introduced successful CAD tool for identifying breast cancer. They have reported workload reduction of 19.3%–72.5% at CAD tool on digital breast examinations.^{16,17} However, a major drawback of some of these studies is that the radiologist still needs to evaluate all examinations, and thus the workload is not alleviated. Recently, inspiring work has evaluated

¹State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Guangdong Key Laboratory of Nasopharyngeal Carcinoma Diagnosis and Therapy, Guangzhou 510060, China

²Department of Radiology, Sun Yat-sen University Cancer Center, Guangzhou 510060, China

³Department of Information, Sun Yat-sen University Cancer Center, Guangzhou 510060, China

⁴School of Electronics and Information Technology, Sun Yat-sen University, Guangzhou 510006, China

⁵Department of Radiation Oncology, Sun Yat-sen University Cancer Center, Guangzhou 510060, China

⁶Department of Radiation Oncology, Cancer Hospital of The University of Chinese Academy of Sciences, Hangzhou 310005, China

⁷Department of Radiation Oncology, The Affiliated Cancer Hospital of Guangzhou Medical University, Guangzhou 510095, China

⁸Department of Nasopharyngeal Carcinoma, Sun Yat-sen University Cancer Center, Guangzhou 510060, China

⁹Department of Critical Care Medicine, Sun Yat-sen University Cancer Center, Guangzhou 510060, China

¹⁰These authors contributed equally

¹¹Lead contact

*Correspondence: guoxiang@sysucc.org.cn (X.G.), kelr@sysucc.org.cn (L.-R.K.), lvxing@sysucc.org.cn (X.L.), lichaofeng@sysucc.org.cn (C.-F.L.)

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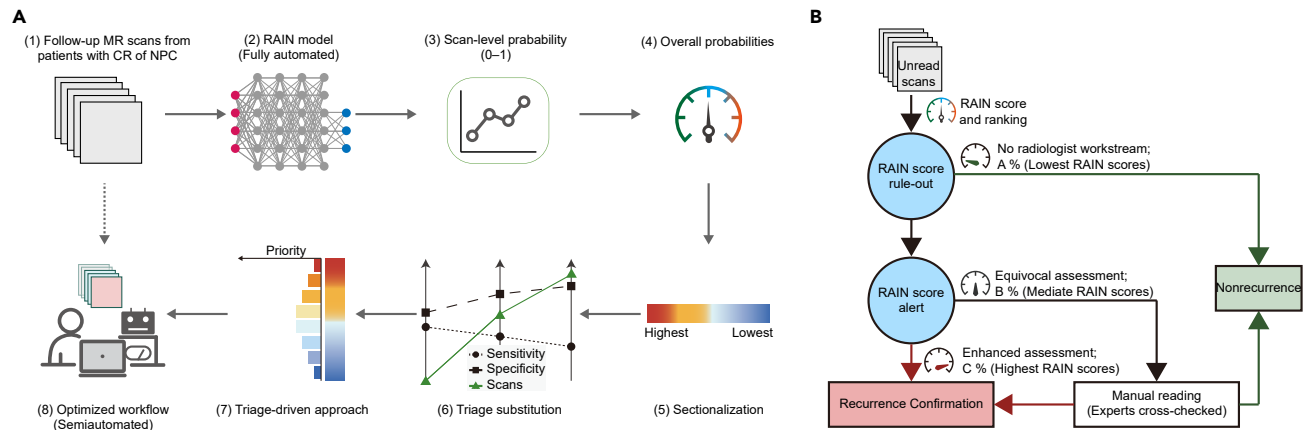


Figure 1. Study overview

(A) Study flow chart.

(B) Proposed workflow for preselecting follow-up H&N MR scans among patients with CR of NPC. Abbreviations: H&N, head and neck; MR, magnetic resonance; CR, complete remission; NPC, nasopharyngeal carcinoma; RAIN, Artificial Intelligence for detecting Recurrent Nasopharyngeal carcinoma.

value of DL in triaging medical images, whereby “high suspicious negative” examinations are remitted and the remaining examinations are further reviewed manually.^{18–20} Although sizable reductions in the radiologist workload would be achieved, damaged sensitivity might come with it. A fully automated model surely frees up all radiologists, but computer-human collaboration that well balances the advantages of fully automated analysis and manual reading would be more feasible and promising. The strength of DL is the potential capacity of an assisted DL-based CAD tool to conserve resources and reduce oversights in the surveillance process among cancer survivors with treated NPC. Although there have been several studies assessing the stand-alone performance of CAD tool in detecting primary NPC tumor,^{21–24} however, to date, there are no studies investigating DL-powered triaging approach in optimizing surveillance in survivors with cured NPC.

Here, we present a semiautomated triaging workflow (RAIN + MANUAL reading, RAINMAN) in a simulation analysis from a multicenter study (Figure 1). The RAINMAN workflow triages massive follow-up scans into three tiers as the detector first dismisses the majority of normal scans (no radiologist workstream) and singles out the high-confidence positives for enhanced assessment (enhanced assessment workstream), leaving the equivocal remainders for manual read. We envision that this computer-human collaboration will simplify surveillance among cancer patients.

RESULTS

Patient and scan characteristics

A total of 2,172 patients (mean age, 45.2 ± 10.3 years [standard deviation, SD]; 1,592 men) were enrolled in this study between September 10, 2007, and May 20, 2021 (Figure 2). Among them, 1,050 patients had confirmed recurrences. Clinical characteristics are listed in Table 1.

A total of 12,809 follow-up H&N MR scans were collected. Routine follow-up comprised annual MR inspection (mean interval, 8.0 ± 4.2 months [SD]) for monitoring locoregional disease reactivation (Table S1). A total of 8.2% (1,050 of 12,809) of MR scans were confirmed to have recurrence (Table S2).

Retrospective study results

The RAIN model had an area under the receiver operating characteristic curve (ROC-AUC) of 0.982 (95% confidential interval [CI] 0.974–0.990) on tuning cohort (Figure S1). In the validation cohorts, the RAIN obtained ROC-AUCs of 0.990 (95% CI 0.984–0.995) internally and 0.958 (95% CI 0.950–0.966) externally (Figure 3). Further analysis for the performance of the RAIN model in binary classification task is available in Table S3.

We determined a rule-out threshold that maintains undamaged sensitivity with the 80% lowest RAIN scores and an alert threshold that maintains undamaged specificity with the 3% highest RAIN scores on tuning cohort (Figure 4). Alternative choices of triage threshold are available in Table S4.

When 100% of the scans were manually read, the original radiologists (according to documented radiologic reports) obtained an ROC-AUC of 0.927 (95% CI 0.891–0.962), with a sensitivity of 88.6% (95% CI 81.4–95.8) and a specificity of 96.7% (95% CI 95.4–98.0) within internal validation cohort (Figure 3 and Table 2). In the internal validation cohort, 88.3% of unequivocal negatives were substituted by RAIN (no radiologist workstream), resulting in a workload reduction of 79.3% and manual reading of the rest (20.7% equivocal scans) (Figure 3 and Table 2). In the enhanced assessment workstream, there were 34.2% scan-detected recurrences preselected by the RAIN detector. This triage-driven, semiautomated analysis would thus result both in a performance boost (ROC-AUC 0.954 [95% CI 0.922–0.985]; sensitivity 91.1%

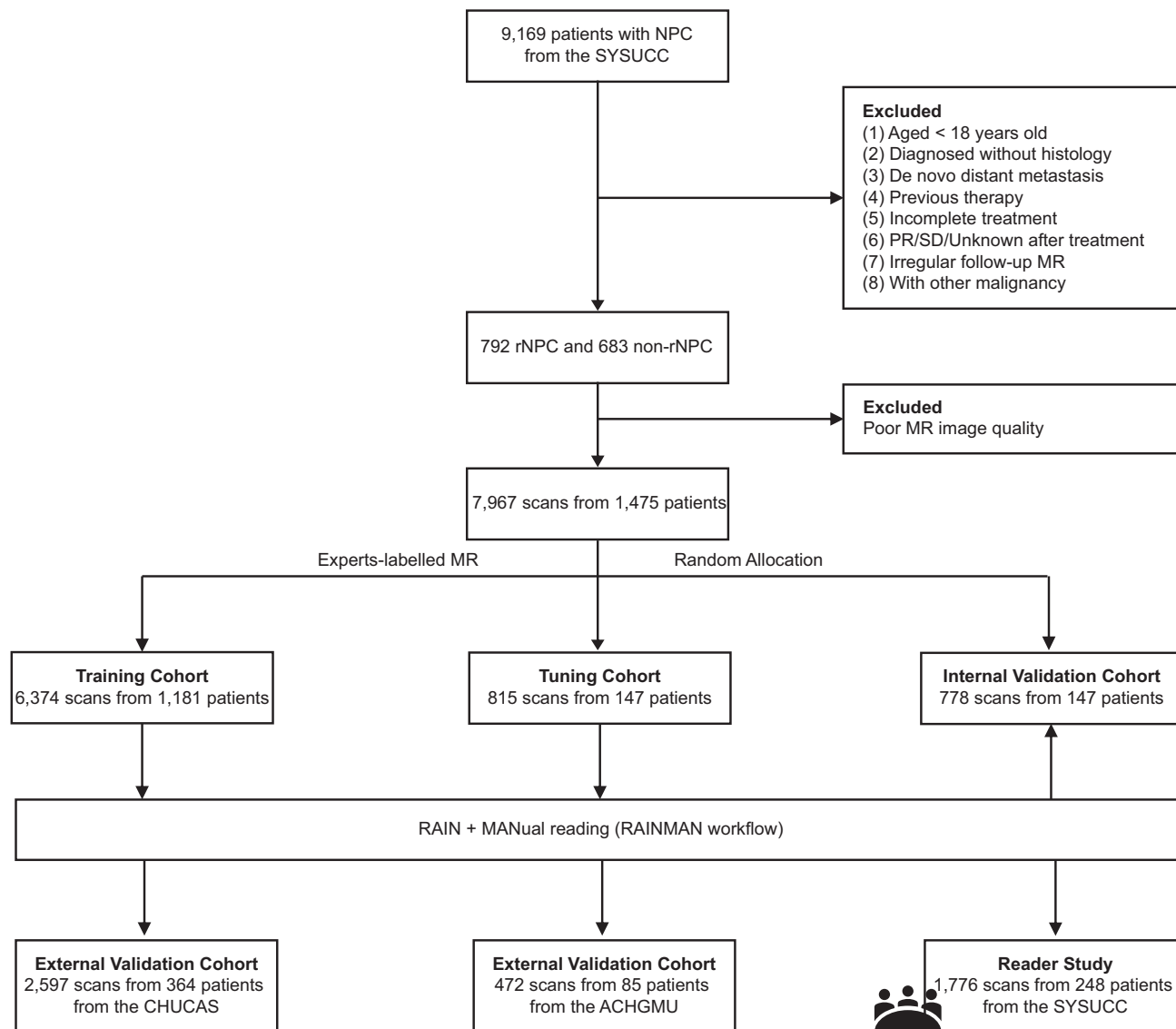


Figure 2. Study population flow chart

Abbreviations: NPC, nasopharyngeal carcinoma; PR, partial remission; SD, stable disease; rNPC, recurrent nasopharyngeal carcinoma; non-rNPC, non-recurrent nasopharyngeal carcinoma; MR, magnetic resonance; SYSUCC, Sun Yat-sen University Cancer Center; CHUCAS, Cancer Hospital of The University of Chinese Academy of Sciences; ACHGMU, The Affiliated Cancer Hospital of Guangzhou Medical University.

[95% CI 84.7–97.5; $p = 0.500$]; specificity 99.6% [95% CI 99.1–100.0; $p < 0.001$]) and 79.3% labor saving by helping radiologists to concentrate on equivocal scans while leaving unequivocal negatives for fully automated analysis (Figure 3A and Table 2).

In the external validation cohort, the RAIN detector preselected 29.8% positives and eliminated 81.3% negatives. Thus, the simulated application of the RAINMAN workflow resulted in a workload reduction of 76.2%, a promotion in ROC-AUC of 0.935 (95% CI 0.912–0.957), and a sensitivity of 88.5% (95% CI 83.9–93.1) for recurrence detection when compared to radiologists (original radiologists: ROC-AUC: 0.917 [95% CI 0.892–0.942], sensitivity: 85.3% [95% CI 80.3–90.4]). The semiautomated triaging strategy also resulted in an inferior specificity of 98.4% (95% CI 98.0–98.9) to that of radiologists (98.1% [95% CI 97.6–98.6]) (Figure 3B and Table 2).

Reader study results

The generalizability of RAIN was strengthened in a real-world screening cohort. The RAIN yielded an ROC-AUC of 0.969 (95% CI 0.960–0.979), with sensitivity of 91.0% (95% CI 84.0–8.1) and specificity of 91.7% (95% CI 90.4–93.0) in identifying scans with recurrence (Figure 5A and Table 2). Further analysis for the performance of the RAIN model in binary classification task is available in Table S3.

Table 1. Patient characteristics

	Training (n = 1,181)		Tuning (n = 147)		Internal validation (n = 147)		External validation (n = 449)		Reader study (n = 248)	
	rNPC (n = 634)	non-rNPC (n = 547)	rNPC (n = 79)	non-rNPC (n = 68)	rNPC (n = 79)	non-rNPC (n = 68)	rNPC (n = 191)	non-rNPC (n = 258)	rNPC (n = 67)	non-rNPC (n = 181)
Sex										
Male	481 (75.9)	394 (72.0)	57 (72.2)	48 (70.6)	60 (75.9)	52 (76.5)	142 (74.3)	176 (68.2)	46 (68.7)	136 (75.1)
Female	153 (24.1)	153 (28.0)	22 (27.8)	20 (29.4)	19 (24.1)	16 (23.5)	49 (25.7)	82 (31.8)	21 (31.3)	45 (24.9)
Age (y)	45.1 ± 10.3	43.2 ± 10.0	47.8 ± 10.2	45.1 ± 8.5	46.9 ± 10.0	42.7 ± 9.2	50.6 ± 10.2	46.6 ± 10.4	44.7 ± 10.9	42.9 ± 9.8
WHO pathological type										
NKSCC	613 (96.7)	542 (99.1)	78 (98.7)	67 (98.5)	72 (97.5)	67 (98.5)	169 (88.5)	250 (96.9)	66 (98.5)	180 (99.4)
KSCC	21 (3.3)	5 (0.9)	1 (1.3)	1 (1.5)	2 (2.5)	1 (1.5)	22 (11.5)	8 (3.1)	1 (1.5)	1 (0.6)
Clinical T stage^a										
cT1	31 (4.9)	131 (23.9)	1 (1.3)	16 (23.5)	4 (5.1)	17 (25.0)	14 (7.3)	41 (15.9)	5 (7.4)	14 (7.7)
cT2	113 (17.8)	97 (17.7)	11 (13.9)	12 (17.6)	14 (17.7)	11 (16.2)	23 (12.1)	51 (19.8)	6 (9.0)	38 (21.0)
cT3	359 (56.6)	273 (49.9)	48 (60.8)	35 (51.5)	49 (62.0)	29 (42.6)	77 (40.3)	120 (46.5)	38 (56.7)	102 (56.4)
cT4	131 (20.7)	46 (8.4)	19 (24.0)	5 (7.4)	12 (15.2)	11 (16.2)	77 (40.3)	46 (17.8)	18 (26.9)	27 (14.9)
Clinical N stage^a										
cN0	31 (4.9)	97 (17.7)	3 (3.8)	8 (11.8)	3 (3.8)	7 (10.3)	15 (7.9)	33 (12.8)	4 (6.0)	12 (6.6)
cN1	234 (36.9)	253 (46.3)	28 (35.4)	33 (48.5)	23 (29.1)	35 (51.5)	81 (42.4)	107 (41.5)	21 (31.3)	69 (38.1)
cN2	275 (43.4)	158 (28.9)	36 (45.6)	22 (32.4)	38 (48.1)	21 (30.9)	74 (38.7)	110 (42.6)	27 (40.3)	83 (45.9)
cN3	94 (14.8)	39 (7.1)	12 (15.2)	5 (7.3)	15 (19.0)	5 (7.3)	21 (11.0)	8 (3.1)	15 (22.4)	17 (9.4)
Clinical stage^a										
I	4 (0.6)	37 (6.8)	0 (0.0)	3 (4.4)	0 (0.0)	3 (4.5)	1 (0.5)	7 (2.7)	1 (1.5)	2 (1.1)
II	65 (10.3)	115 (21.0)	9 (11.4)	15 (22.1)	7 (8.8)	16 (23.5)	16 (8.4)	45 (17.4)	3 (4.5)	25 (13.8)
III	358 (56.5)	312 (57.0)	40 (50.6)	41 (60.3)	48 (60.8)	33 (48.5)	80 (41.9)	154 (59.7)	33 (49.3)	113 (62.4)
IV	207 (32.6)	83 (15.2)	30 (38.0)	9 (13.2)	24 (30.4)	16 (23.5)	94 (49.2)	52 (20.2)	30 (44.8)	41 (22.7)
Pre-EBV DNA (copies/ml)										
Available	41,370 ± 214,841	42,959 ± 214,221	12,034 ± 31,208	16,484 ± 41,815	66,684 ± 230,918	33,318 ± 121,711	NA	7,601 ± 51,914	1,785 ± 4,204	12,700 ± 42,962
NA	136 (21.5)	7 (1.3)	10 (12.7)	2 (2.9)	9 (11.4)	0 (0.0)	191 (100.0)	185 (71.7)	1 (1.5)	4 (2.2)
Chemotherapy										
Yes	556 (87.7)	452 (82.6)	72 (91.1)	61 (89.7)	74 (93.7)	60 (88.2)	188 (98.4)	253 (98.1)	62 (92.6)	161 (89.0)
No	78 (12.3)	95 (17.4)	7 (8.9)	7 (10.3)	5 (6.3)	8 (11.8)	3 (1.6)	5 (1.9)	5 (7.4)	20 (11.0)
Radiotherapy technique										
IMRT	563 (88.8)	546 (99.8)	71 (89.9)	68 (100.0)	69 (87.3)	68 (100.0)	169 (88.5)	258 (100.0)	67 (100.0)	181 (100.0)
3D-CRT	2 (0.3)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
2D-CRT	69 (10.9)	1 (0.2)	8 (10.1)	0 (0.0)	10 (12.7)	0 (0.0)	22 (11.5)	0 (0.0)	0 (0.0)	0 (0.0)
Dose to PTV _{rx} (cGy)	6,972 ± 120	6,962 ± 101	6,985 ± 143	6,954 ± 96	6,977 ± 115	6,953 ± 89	6,879 ± 277	6,984 ± 104	6,995 ± 58	6,977 ± 96
Dose to PTV _{nd} (cGy)	6,102 ± 1,693	5,994 ± 1,792	6,102 ± 1,629	6,173 ± 1,578	6,224 ± 1,496	6,099 ± 1,747	6,261 ± 977	6,380 ± 955	6,666 ± 858	6,435 ± 1,220

(Continued on next page)

Table 1. Continued

	Training (n = 1,181)		Tuning (n = 147)		Internal validation (n = 147)		External validation (n = 449)		Reader study (n = 248)	
	rNPC (n = 634)	non-rNPC (n = 547)	rNPC (n = 79)	non-rNPC (n = 68)	rNPC (n = 79)	non-rNPC (n = 68)	rNPC (n = 191)	non-rNPC (n = 258)	rNPC (n = 67)	non-rNPC (n = 181)
Post-EBV DNA (copies/ml)										
Available	224.7 ± 2647.0	1.5 ± 25.1	1.2 ± 8.1	1.9 ± 13.7	665.3 ± 2701.0	41.2 ± 253.4	28.1 ± 95.5	3.7 ± 15.7	6.7 ± 51.3	13.5 ± 112.2
NA	191 (30.1)	124 (22.7)	30 (38.0)	17 (25.0)	26 (32.9)	14 (20.6)	145 (75.9)	221 (85.7)	2 (3.0)	28 (15.5)
Follow-up interval (month)	6.0 ± 3.4	8.5 ± 4.7	6.0 ± 3.6	8.4 ± 4.8	6.2 ± 3.9	8.4 ± 4.4	6.2 ± 4.6	6.6 ± 3.2	5.9 ± 3.3	7.8 ± 3.8

Data are n (%) or mean ± standard deviation.

Abbreviations: rNPC, recurrent nasopharyngeal carcinoma; non-rNPC, non-recurrent nasopharyngeal carcinoma; WHO, World Health Organization; NKSCC, non-keratinizing squamous cell carcinoma; KSCC, keratinizing squamous cell carcinoma; EBV DNA, Epstein-Barr virus deoxyribonucleic acid; Pre-EBV DNA, pre-treatment plasma EBV DNA level; NA, not applicable; IMRT, intensity-modulated radiation therapy; 3D-CRT, three-dimensional conformal radiation therapy; 2D-CRT, two-dimensional conventional radiation therapy; PTVnx, primary tumor volume of nasopharynx; PTVnd, primary tumor volume of metastatic neck lymph node(s); Post-EBV DNA, posttreatment (3–6 months after radiation therapy) plasma EBV DNA level.

^aThe 8th edition of the American Joint Committee on Cancer (AJCC) guidelines was used for tumor, metastatic lymph node(s), and distant metastasis staging.

The RAIN detector preselected 10.4% positives and eliminated 86.8% negatives. Accordingly, the RAINMAN workflow resulted in a workload reduction of 83.6% scans. The ROC-AUC increased by 0.011–0.012, and the sensitivity increased by 1.5%–3.2%, while the specificity increased by 0.3%–2.9% among readers (Figure 5A and Table 2). Judgments per radiologist and the simulated workflow are presented in confusion matrices in Figure S2. There was a moderate degree of agreement among all combination pairs of readers with or without RAIN (Figure 5B).

DISCUSSION

We developed and validated a triage-driven, semiautomated workflow with a good balance between the strengths and limitations of a fully automated analysis and manual reading. In a simulation study among large populations across varying recurrence rates 3.8%–10.2%, RAINMAN was competent in freeing radiologists from examining 81.3%–88.3% true-negative scans and in preselecting 10.4%–34.2% high-confident positives for enhanced assessment. Also, a boost in diagnostic performance was observed (sensitivity 1.5%–3.2%; specificity 0.3%–2.9%). Notably, this diagnosis refinement process motivated by human-computer cooperation can be extrapolated to any validated DL algorithm, any cancer and even noncancerous disease imaging, and any medical institution.

Although good harvests have been reaped in the field of CAD,^{9,15,25–27} there will likely always be a need for a “human-in-the-loop” when complicated situations, especially those requiring an absolutely correct judgment (e.g., diagnosis in cancer or recurrence), are encountered.^{28–30} Our simulated triage workflow, which builds on a convolutional neural network (CNN)-based tool and triage-driven approach, has several potential implications for managing cancer patients. Foremost, the uniqueness of RAIN in profiling risk probability longitudinally and dynamically conforms to the clinical setting. With simultaneously comprehensive iterating cues from both the present scan and prior scan(s), our model is able to eliminate the interference of histological and structural changes induced by radiation. Additionally, despite the diverse scanning protocols and scanner vendors between or within centers, the generalization and robustness of RAIN have been validated in a multicenter observational study. Inspired by promising and recent advancements in human-computer interactions,^{16–18,29,30} we used the underlying risk prediction score generated by the DL algorithm for more prudent judgment. By deliberately leveraging the previous well-verified triage-driven approach,²⁹ this DL-based triage and radiologists-made decision assistance is more interpretable and transparent to the current clinical situation in reducing workloads while matching the diagnostic performance of radiologists.

Second, the high cure rate and certain recurrence rate of the studied cancer type (mostly recurrence free) in this work accord with resource-constrained scenarios.^{4–6} Most routine follow-up MR scans among these patients with complete remission (CR) of NPC show stable histological and structural changes induced by radiation that may not require manual review. Thus, approaches to shunt these normal scans to reduce radiologist workload are needed. The automated shunt is able to dismiss a large portion (76.2%–83.6%) of the follow-up scans as recurrence free for no radiologist assessment which significantly improves workflow specificity and efficiency. Notably, this first-sift prescreening would cause a larger workload reduction in a realistic population with a lower recurrence rate than retrospectively collected populations. This result implies that the clinical utility of semiautomated workflow hinges on the cohort composition. With clinical resources becoming increasingly scarce with accumulating imaging data (mostly normal) and a relative decrease in experienced radiologists, our proposed workflow could sift out safe patients, facilitating more reasonable medical resource allocation. Obviating single-human

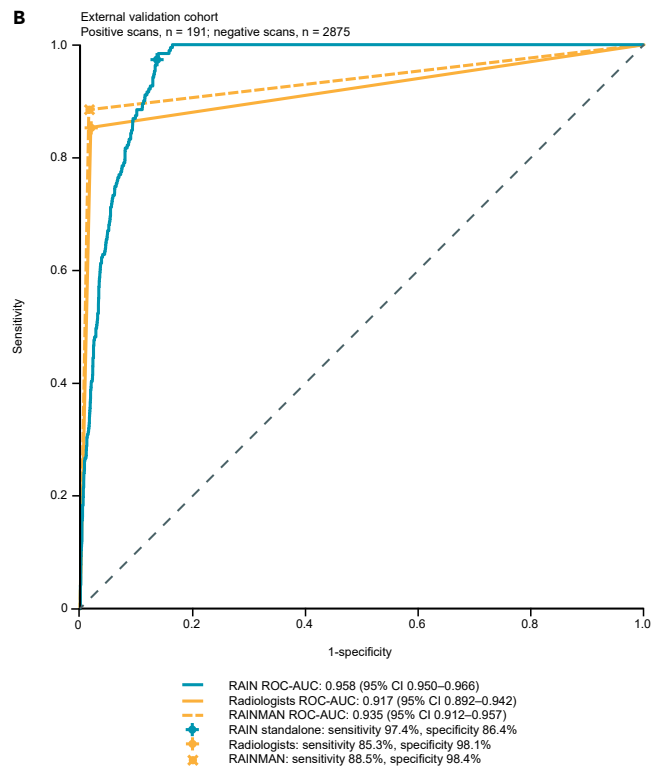
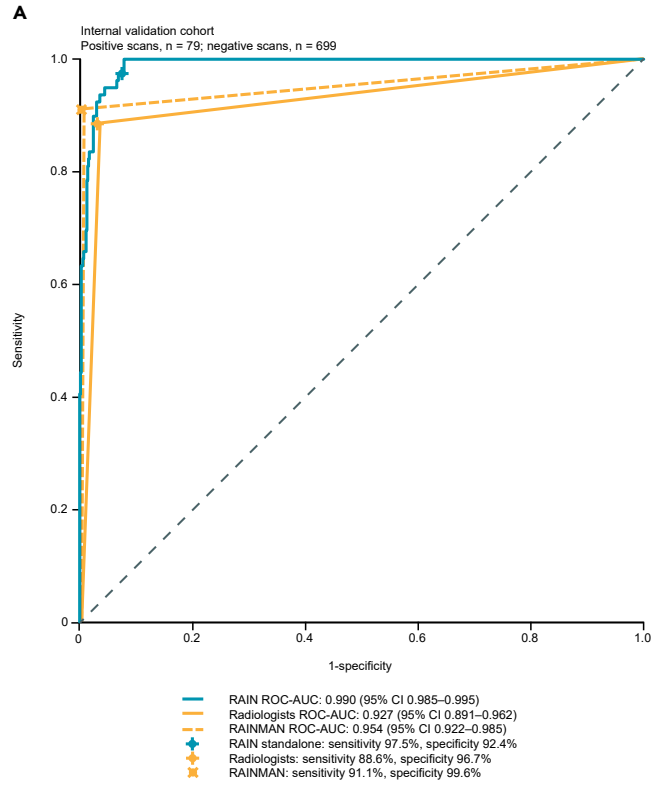


Figure 3. Performances of RAINMAN and original radiologists on retrospective validation cohorts

(A) Performances of RAINMAN and original radiologists on internal validation cohort.

(B) Performances of RAINMAN and original radiologists on external validation cohort.

Abbreviations: ROC-AUC, area under curve the receiver operating characteristic curve; RAIN, Artificial Intelligence for detecting Recurrent Nasopharyngeal carcinoma; RAINMAN, RAIN + MANual reading. See also Table S3.

assessment in normal scans (both time and discussion) potentially allows radiologists to focus on complicated and atypical scans that need human interpretations.

Last but not surprisingly, we found that the DL-motivated examination triage was able to preselect a subset with high risk. Accordingly, the sensitivity in detecting recurrences was markedly improved by complementary human-computer cooperation. Undeniably, owing to histological and structural changes induced by radiation, disturbance of subjective judgment, and heavy workload, clinicians may inevitably neglect some occult and atypical recurrence or mistake them for benign “mimickers.”^{10,11} Thus, a few individuals might end up with recurrence that, in hindsight, could have been detected on previous scans.³¹ The impartial judgment provided by the DL method may potentially reduce omissions in recurrence wavered by subjective influences. If the proposed workflow is implemented in clinical scenarios, there would be not only a reduction in the number of scan-detected recurrences but also an expected shift toward downstaging recurrence in the future.

In conclusion, our study shows that leveraging risk scores predicted by DL model and existing heuristics of radiologist decision-making in a triage-based approach that triages scans into mutually exclusive tiers could potentially reduce radiologist workload by over half and impartially present positives with high confidence. The described triage-driven approach offers a logical mode for status-wise clinical adoption and performance testing in management among cancer patients.

Limitations of the study

Several limitations should be noted in our work. A limitation of our study was that, while our model was built and evaluated on diverse and clinically representative cases from three expert cancer-care centers (diverse follow-up intervals, imaging protocols, and scanner vendors), the subjects were all from China.³ Additional cohorts with diversities in populations, races, and recurrence rates are required to confirm its

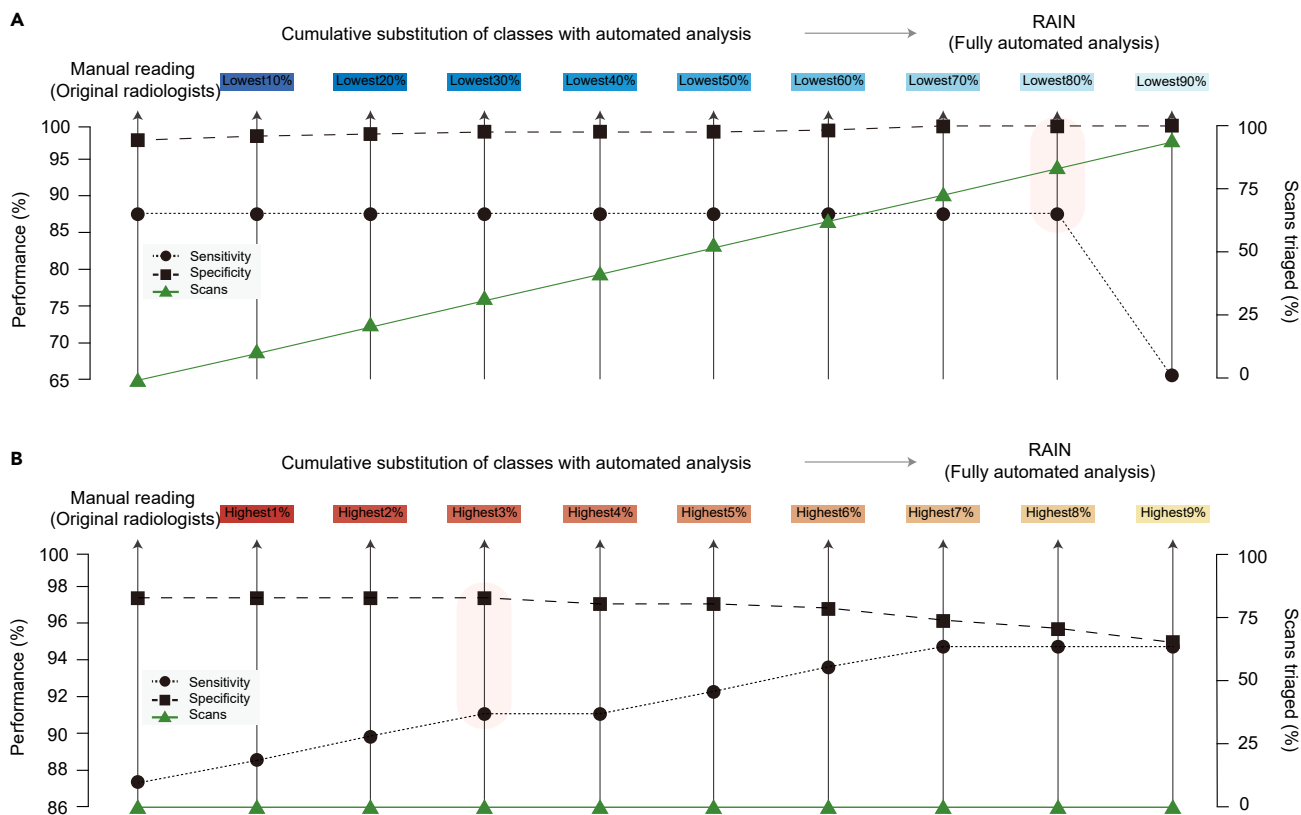


Figure 4. Generation of the rule-out and alert thresholds through triage substitution scheme on tuning cohort

The red rectangle in (A) indicates a stage retaining the undamaged sensitivity with maximum workload substitution. The red rectangle in (B) indicates a stage retaining undamaged specificity. Abbreviation: RAIN, Artificial Intelligence for detecting Recurrent Nasopharyngeal carcinoma. See also Table S4.

Table 2. Diagnostic performance and workload reduction simulation for radiologist(s) and RAINMAN workflow in retrospective and reader study

	RAIN (%) [95% CI]	Manual read (%) [95% CI]	RAINMAN (%) [95% CI]	Δ change (%)	p value
Retrospective study					
Internal validation cohort					
Original radiologists					
ROC-AUC	0.990 (0.984–0.995)	0.927 (0.891–0.962)	0.954 (0.922–0.985)	+0.027	0.004
Sensitivity	97.5 (77/79) [93.9–100.0]	88.6 (70/79) [81.4–95.8]	91.1(72/79) [84.7–97.5]	+2.5	0.500
Specificity	92.4 (646/669) [90.5–94.4]	96.7 (676/699) [95.4–98.0]	99.6 (696/699) [99.1–100.0]	+2.9	<0.001
Recurrence alert	97.5 (77/79)	0.0 (0/79)	34.2(27/79)	NA	NA
Non-recurrence elimination	92.4 (646/669)	0.0 (0/699)	88.3(617/699)	NA	NA
Workload reduction	100.0 (778/778)	0.0 (0/778)	79.3(617/778)	NA	NA
External validation cohort					
Original radiologists					
ROC-AUC	0.958 (0.950–0.966)	0.917 (0.892–0.942)	0.935 (0.912–0.957)	+0.018	0.007
Sensitivity	97.4 (186/191) [95.1–99.7]	85.3(163/191) [80.3–90.4]	88.5 (169/191) [83.9–93.1]	+3.2	0.031
Specificity	86.4 (2,484/2,875) [85.1–87.6]	98.1(2,820/2,875) [97.6–98.6]	98.4 (2,830/2,875) [98.0–98.9]	+0.3	0.302
Recurrence alert	97.4 (186/191)	0.0 (0/191)	29.8 (57/191)	NA	NA
Non-recurrence elimination	86.4 (2,484/2,875)	0.0 (0/2,875)	81.3(2,337/2,875)	NA	NA
Workload reduction	100.0 (3,066/3,066)	0.0 (0/3,066)	76.2(2,337/3,066)	NA	NA
Reader study					
Radiologist 1					
ROC-AUC	0.969 (0.960–0.979)	0.908 (0.863–0.953)	0.923(0.880–0.966)	+0.015	0.053
Sensitivity	91.0 (61/67) [84.0–98.1]	83.6 (56/67) [74.5–92.7]	85.1(57/67) [76.3–93.8]	+1.5	1.000
Specificity	91.7 (1,529/1,667) [90.4–93.0]	98.1(1,676/1,709) [97.4–98.7]	99.4 (1,701/1,709) [99.2–99.9]	+1.3	<0.001
Recurrence alert	91.0 (61/67)	0.0 (0/67)	10.4 (7/67)	NA	NA
Non-recurrence elimination	91.7 (1,529/1,667)	0.0 (0/1,709)	86.8 (1,484/1,709)	NA	NA
Workload reduction	100.0 (1,776/1,776)	0.0 (0/1,776)	83.6 (1,484/1,776)	NA	NA
Radiologist 2					
ROC-AUC	0.969 (0.960–0.979)	0.934 (0.895–0.974)	0.946 (0.909–0.983)	+0.012	0.137
Sensitivity	91.0 (61/67) [84.0–98.1]	88.1(59/67) [80.1–96.0]	89.6 (60/67) [82.0–97.1]	+1.5	1.000
Specificity	91.7 (1,529/1,667) [90.4–93.0]	98.8 (1,689/1,709) [98.3–99.3]	99.6 (1,702/1,709) [99.3–99.9]	+0.8	0.007
Recurrence alert	91.0 (61/67)	0.0 (0/67)	10.4 (7/67)	NA	NA
Non-recurrence elimination	91.7 (1,529/1,667)	0.0 (0/1,709)	86.8 (1,484/1,709)	NA	NA
Workload reduction	100.0 (1,776/1,776)	0.0 (0/1,776)	83.6 (1,484/1,776)	NA	NA
Radiologist 3					
ROC-AUC	0.969 (0.960–0.979)	0.950 (0.916–0.985)	0.961(0.929–0.992)	+0.011	0.170
Sensitivity	91.0 (61/67) [84.0–98.1]	91.0 (61/67) [84.0–98.1]	92.5 (62/67) [86.1–99.0]	+1.5	1.000
Specificity	91.7 (1,529/1,667) [90.4–93.0]	99.0 (1,692/1,709) [98.5–99.5]	99.6 (1,702/1,709) [99.3–99.9]	+0.6	0.031
Recurrence alert	91.0 (61/67)	0.0 (0/67)	10.4 (7/67)	NA	NA
Non-recurrence elimination	91.7 (1,529/1,667)	0.0 (0/1,709)	86.8 (1,484/1,709)	NA	NA
Workload reduction	100.0 (1,776/1,776)	0.0 (0/1,776)	83.6 (1,484/1,776)	NA	NA
Mean radiologist					
ROC-AUC	0.969 (0.960–0.979)	0.931(0.891–0.971)	0.943(0.906–0.980)	+0.012	–

(Continued on next page)

Table 2. Continued

	RAIN (%) [95% CI]	Manual read (%) [95% CI]	RAINMAN (%) [95% CI]	Δ change (%)	p value
Sensitivity	91.0 (61/67) [84.0–98.1]	87.6 (59/67) [79.5–95.6]	89.1(60/67) [81.5–96.6]	+1.5	1.000
Specificity	91.7 (1,529/1,667) [90.4–93.0]	98.6 (1,685/1,709) [97.7–99.2]	99.5 (1,700/1,709) [99.3–99.9]	+0.9	<0.001
Recurrence alert	91.0 (61/67)	0.0 (0/67)	10.4 (7/67)	NA	NA
Non-recurrence elimination	91.7 (1,529/1,667)	0.0 (0/1,709)	86.8 (1,484/1,709)	NA	NA
Workload reduction	100.0 (1,776/1,776)	0.0 (0/1,776)	83.6 (1,484/1,776)	NA	NA

Data are number with 95% confidential interval (CI) in parentheses, or percentages with raw data in parentheses and 95% CI in brackets.

Abbreviations: RAINMAN, Artificial Intelligence for detecting Recurrent Nasopharyngeal carcinoma + MANual reading; CI, confidential interval.

The operating points adopted in the RAINMAN workflow are based on two thresholds that triaged scans into three tiers, including high-confidence negatives for automated read, high-confidence positives, and equivocal cases for manual reading. The threshold setting and selection of operating points on the tuning cohort are presented in the Figure 4. Δ indicates differences in sensitivity, specificity, and workload reduction when the RAINMAN workflow is simulated. p values were calculated using the Delong's test or McNemar test.

performance. Another limitation was that cases of partial remission (PR) of the tumor (3.0%–13.0%)^{4–6} were not considered in this study. Third, because of the unreachable sites of some recurring disease, not all cases were diagnosed with histology. However, we examined nonpathologically confirmed recurrences according to strict criteria. Besides, certain occult recurrence may occur before clinical diagnostic recurrence. The date of recurrence is unlikely to correspond exactly to when the scan was acquired. A final limitation was that the thresholds for the DL algorithm were derived in our setting, and the results from other centers could be different.

STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

- KEY RESOURCES TABLE
- RESOURCE AVAILABILITY
 - Lead contact
 - Materials availability
 - Data and code availability
- EXPERIMENTAL MODEL AND STUDY PARTICIPANT DETAILS
 - Patient cohorts
- METHOD DETAILS
 - Data collection
 - Therapeutic regimens and follow-up
 - Clinical classification
 - MR scanning protocol
 - MR scan labeling
 - MR registration and network architectures
 - Establishment of triage-driven semiautomated workflow
 - Reader study
- QUANTIFICATION AND STATISTICAL ANALYSIS
- ADDITIONAL RESOURCES

SUPPLEMENTAL INFORMATION

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AUTHOR CONTRIBUTIONS

Conceptualization, C.-F.L., X.L., L.-R.K., and X.G.; methodology, Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., W.-Z.Q., B.-Z.J., C.-Y.F., H.-H.C., X.C., H.-Y.H., Y.S., and C.-F.L.; software, Y.-Y.H., Y.-S.D., B.-Z.J., C.-Y.F., H.-H.C., X.C., H.-Y.H., and C.-F.L.; validation, Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., and W.-Z.Q.; formal analysis, Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., W.-Z.Q., B.-Z.J., C.-Y.F., H.-H.C., X.C., J.-Y.Z., H.-Y.H., Z.-J.Z., and Y.D.; investigation,

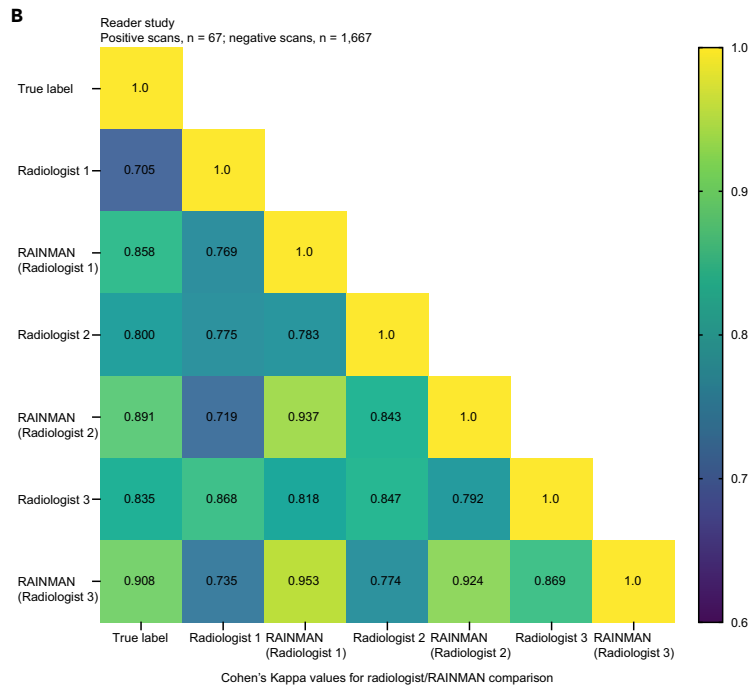
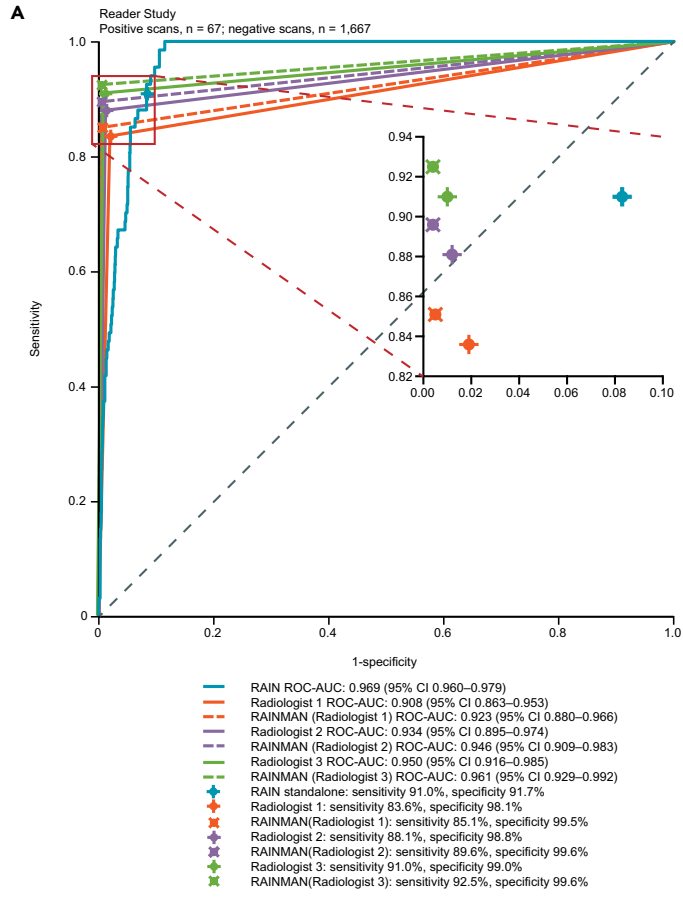


Figure 5. Performances of RAIN, readers, and simulated RAINMAN, and the degree of agreement for readers and simulated RAINMAN based on reader study

(A) Performances of RAIN, readers, and simulated RAINMAN.

(B) Degree of agreement for readers and simulated RAINMAN. Abbreviations: RAIN, Artificial Intelligence for detecting Recurrent Nasopharyngeal carcinoma; ROC-AUC, area under curve the receiver operating characteristic curve; RAINMAN, RAIN + MANUAL reading. See also [Figure S2](#) and [Table S3](#).

Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., W.-Z.Q., W.-X.X., B.-Z.J.; resources, C.-F.L., X.L., L.-R.K., X.G., C.-M.X., Y.S., H.-Q.M., and L.-Q.T.; data curation, Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., W.-Z.Q., C.-Y.F., H.-H.C., J.-Y.Z., H.-Y.H., Z.-J.Z., and Y.D.; writing – original draft, Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., W.-Z.Q., and B.-Z.J.; writing-review & editing, C.-F.L., X.L., L.-R.K., and X.G.; visualization, Y.-Y.H., Y.-S.D., Y.L., M.-Y.Q., and W.-Z.Q.; supervision, C.-F.L., X.L., L.-R.K., X.G., C.-M.X., Y.S., H.-Q.M., and L.-Q.T.; project administration, C.-F.L., X.L., L.-R.K., X.G., C.-M.X., Y.S., H.-Q.M., and L.-Q.T.; funding acquisition, C.-F.L., and X.L.

DECLARATION OF INTERESTS

The authors declare no competing interests.

INCLUSION AND DIVERSITY

We support inclusive, diverse, and equitable conduct of research.

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STAR★METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Software and algorithms		
Horos DICOM VIEWER (version 3.3.6)	Horos	https://www.horosproject.org
RadiAnt DICOM VIEWER (version 5.0.1)	RadiAnt	https://www.radiantviewer.cn
Python (version 3.6.10)	Python software	www.python.org
ANTsPy	ANTsPy	https://antspyx.readthedocs.io/en/latest/registration.html
ResNet18	(He et al., 2016)	https://openaccess.thecvf.com/content_cvpr_2016/papers/He_Deep_Residual_Learning_CVPR_2016_paper.pdf
Transformer	(Dosovitskiy et al., 2021)	https://openreview.net/pdf?id=YicbFdNTTy
R (version 3.5.1)	R software	https://www.r-project.org
SPSS (version 26.0.0.0)	IBM corporation	https://www.ibm.com/analytics
GraphPad Prism (version 9.0.2)	GraphPad Software	https://www.graphpad.com
Other		
Research Data Deposit	Sun Yat-sen University Cancer Center	https://www.researchdata.org.cn
Source code	Github	https://github.com/yydashu/RAIN
AJCC TNM staging system	(Amin et al., 2017)	https://link.springer.com/book/9783319406176

RESOURCE AVAILABILITY

Lead contact

Further information about the methods and requests for data or scripts should be directed to and will be fulfilled by the lead contact, Chao-Feng Li (lichao-feng@sysucc.org.cn).

Materials availability

This study did not generate new unique reagents.

Data and code availability

- De-identified patient standardized data have been deposited at the Research Data Deposit public platform (No. RDDA2023643426), and DOIs are listed in the [key resources table](#). They are available upon request if access is granted. To request access, contact Sun Yat-sen University Cancer Center.
- All original code has been deposited at the Github and is publicly available as of the date of publication. DOIs are listed in the [key resources table](#).
- Any additional information required to reanalyze the data reported in this paper is available from the [lead contact](#) upon request (lichao-feng@sysucc.org.cn).

EXPERIMENTAL MODEL AND STUDY PARTICIPANT DETAILS

Patient cohorts

In this multicentre retrospective study, patients with CR of non-disseminated NPC after radical (chemo)radiotherapy and followed annual H&N MR scans within 5 years between September 2007 and December 2021 were recruited from three hospitals in China and followed up until June 31, 2022.

Patients who met the following inclusion criteria were enrolled in this study: (i) aged ≥ 18 years with pathologically confirmed NPC at the initial diagnosis; (ii) restaged to I-IVa ($T_{1-4}N_{0-3}M_0$) according to the American Joint Committee on Cancer (AJCC) 8th edition staging classification system³²; (iii) treated with radical radiotherapy (RT) \pm neoadjuvant or concurrent chemotherapy; (iv) imaging confirmation of CR of gross tumor at the primary site and metastatic lymph nodes in the cervical region within 6 months post RT³³; (v) pretreatment, posttreatment and regular follow-up (at least annual) head and neck (H&N) magnetic resonance (MR) examinations; and (vi) high-resolution H&N follow-up MR images. Patients who met any of the following exclusion criteria were excluded from this study: (i) distant metastasis at first diagnosis; (ii) did not receive radical RT or had an interruption of RT > 7 days; (iii) absence or had pretreatment or follow-up MR images of insufficient quality

to obtain measurements (i.e., motion artifacts); (iv) unknown efficacy evaluation or partial remission (PR) or stable disease (SD) beyond 6 months after RT³³; (v) irregular follow-up surveys; or (vi) other malignancies or previous antitumour therapy.

Between September 10, 2007, and April 14, 2020, 792 eligible patients (1092 male) with CR of NPC who underwent annual follow-up MR surveys were collected from the Sun Yat-sen University Cancer Center (SYSUCC) and served as the training, tuning and internal validation cohorts. For external validation, 364 eligible patients (261 male) between July 20, 2009, and November 24, 2020, from Cancer Hospital of The University of Chinese Academy of Sciences (CHUCAS), and 85 eligible patients (57 male) between September 6, 2011, and September 4, 2020, from The Affiliated Cancer Hospital of Guangzhou Medical University (ACHGMU) were collected. From January to December 2021, 248 eligible cases (182 male) in SYSUCC were consecutively collected for comparing performances of RAIN model and three radiologists in the reader study. The institutional review boards of each center approved this study and waived the requirement for informed consent owing to the retrospective nature of this study.

METHOD DETAILS

Data collection

A semiautomated workflow (RAINMAN) was constructed post hoc based on a retrospective, observational study (ChiCTR.org.cn, ChiCTR2200056595) in three centers (SYSUCC; CHUCAS; ACHGMU). The study flowchart is presented in [Figure 1](#).

Therapeutic regimens and follow-up

All included patients received radical two-dimensional conventional RT (2D-CRT), three-dimensional conformal RT (3D-CRT) or intensity-modulated radiation therapy (IMRT) to the primary tumor in the nasopharynx and metastatic lymph node(s) in the cervical region, if necessary (66.0 Gy or greater in 30–35 fractions, with five daily fractions per week for 6–7 weeks). Platinum-based concurrent chemoradiotherapy (CCRT) ± neoadjuvant chemotherapy (NACT) was implemented at the physician's discretion depending on the patient's physical status and the stage of disease.

After treatment, patients were assessed every 3 months during the first 3 years, every 6 months during the next 2 years, and annually thereafter. The routine follow-up H&N MR scans were acquired at regular intervals within 5 years, generally at 3–24 months, depending on the oncologists' preference and survivors' compliance.

Clinical classification

A rotating panel of four experts (two radiologists and two oncologists) specialized in NPC evaluated patients' clinical information and all scans. All patients underwent H&N MR within 6 months after definitive treatment to establish a new baseline for future comparisons. CR was achieved in all eligible patients. CR was defined as absence of any disease, including both the primary tumor at the nasopharynx and the metastatic cervical lymph node(s), on MR images.

During 5-year follow-up period, patients were classified as recurrent nasopharyngeal carcinoma (rNPC) cases (biopsy-, functional imaging-, or radiological confirmed recurrence in local, regional or locoregional sites) or nonrecurrent nasopharyngeal carcinoma (non-rNPC) cases (remained in CR for 5 years starting from the completion date of the initial treatment).

In cases of rNPC, for lesions that were accessible, the diagnosis was based on the positive histopathological results from biopsy. For lesions that were not accessible, the diagnoses were made based on radiologic images. For cases with available functional imaging 18F-fluorodeoxyglucose positron emission tomography and computed tomography (18F-FDG PET/CT) imaging, the clinical diagnosis was made in consensus by two nuclear medicine physicians (each with 5 years of experience in PET/CT) referring to the five-point scale proposed by Ng et al.^{34,35} In patients with a clinical diagnosis based on MR images, pre, posttreatment and "suspected recurrence" MR scans for each patient were independently reviewed by a rotating panel of four board-certified experts (two radiologists and two oncologists) from the SYSUCC referring to radiologic criteria for rNPC proposed by Chong et al.³⁶

In cases of non-rNPC, patients remained CR without evidence of recurrence within a 5-year follow-up period.

MR scanning protocol

The follow-up H&N MR examinations were performed on a 1.0-, 1.5-, or 3.0-T MRI unit (SYSUCC: T1-weighted fast spin echo [FSE] images and contrast-enhanced [CE] T1-weighted FSE images on the axial and sagittal planes, CE T1-weighted fat suppressed [FS] images on the coronal plane, and T2-weighted FSE images on the axial plane; CHUCAS & ACHGMU: T1-weighted FSE images on the axial and sagittal planes, CE T1-weighted FS images on the axial and coronal planes, and T2-weighted FS images on the axial plane) ([Table S5](#)). All H&N MR images were stored in Digital Imaging and Communications in Medicine (DICOM) format in the imaging database. All MR sequences on different planes are available for radiologists' review.

MR scan labeling

The H&N MR scans and clinical information (sex, age, primary tumor, first-course of treatment, follow-up data) were retrieved from the Hospital Information System (HIS) in three centers and processed in SYSUCC. All scans with clinical information were evaluated at the individual level by a rotating panel of four board-certified experts (two radiologists and two oncologists) specialized in NPC following the review process

depicted in [Figure S3](#). A follow-up H&N MR scan was labeled positive or negative for recurrence ([Table S6](#)). The image labels were finalized only when experts reached a consensus.

MR registration and network architectures

Axial CE T1-weighted imaging (T1-WI) images were collected from the first posttreatment scan identified with radiologic CR until scan with recurrence or the last scan without recurrence within a 5-year follow-up period. Rigid registration between images was performed using voxel-based advanced normalization tools.³⁷ The current and two previous axial CE T1-WI images were set as target images, and source images, respectively. All images were resampled to a spatial resolution of 0.5 mm × 0.5 mm × 6mm.

The input of the model is formed by the three most recent scans, which include the current and two previous scans. If there were fewer than three timepoints, we padded the input with the current scan to reach three. The two-dimension (2D) image slices of the same position in the time-series MR images are superimposed to construct a three-channel image. The input three-channel image consists of 30 slices, covering the structures of the head and neck. To meet the input requirements of the model, all MR images were sampled using bilinear interpolation to a size of 448 × 448 pixels. The input data shape is (3, 30, 448, 448). The imaging features were extracted and fused by an end-to-end architecture that integrated by the ResNet18³⁸ (feature extraction module) and Transformer³⁹ (feature fusion module) ([Figure S4](#)). The generated feature maps were divided into feature patches and further projected into the embedding space by the fully connected layer. The Transformer mined the correlations between spatiotemporal feature information and outputted a probability of recurrence likelihood (RAIN score).

We utilized PyTorch with 2 GPUs to train model. The parameter settings were summarized in [Table S7](#). The 'Adam' optimizer optimized the asymmetric loss⁴⁰ with minibatch size of 6 and the initial learning rate of 1×10^{-5} . The probability margin, positive and negative focusing parameters of asymmetric loss were 0.1, 1 and 1.44, respectively. The RandomAffine (rotation, scaler, translation)⁴¹ augmented images during training. The ImageNet pre-trained weights initialized the ResNet18.

Establishment of triage-driven semiautomated workflow

The RAIN score was a decimal number between 0 and 1, where 1 represented the highest level of suspicion. The score on the scan level was defined by the maximum of the image-level prediction scores.

Two thresholds are set to triage scans into three tiers ([Figure 1B](#)): scans scored below 'the rule-out threshold' were considered high-confidence negative (tier 1) in the 'no radiologist workstream'; scans scored above 'the alert threshold' were considered high-confidence positive (tier 2) in the 'enhanced assessment workstream'; scans with scores in between were considered equivocal cases (tier 3) in the 'manual read workstream'.

We used a cumulative substitution scheme to generate two thresholds on the tuning cohort while retaining the accuracy of the original full manual review according to documented radiologic reports (sensitivity: 87.3%; specificity: 97.5%). Scans were sorted from lowest to highest RAIN score. To set 'the rule-out threshold', we determined the maximum deciles of negatives (scans without recurrence) that can be substituted by full automated review by RAIN while retaining undamaged sensitivity to that of original radiologists. To set 'the alert threshold', we determined the maximum percentiles of positives (scans with recurrence) that were preselected by full automated review by RAIN while retaining undamaged specificity to that of original radiologists.

Reader study

A reader study including 248 eligible cases collected between January 1 and December 31, 2021, was conducted to compare the diagnostic performance of RAINMAN with that of three radiologists (with 30, 19, and 8 years of experience) in SYSUCC. Readers were independent and not involved in labeling the scans. The original radiological interpretations, later recognized labels and case-identified information were removed from scans. The follow-up H&N MR scans were presented in chronological order. Readers reported a judgment of recurrence or nonrecurrence in every single scan ([Figure S3](#)). Then, the workflow was simulated in the reader study and compared with the readers in terms of differential diagnostic performance.

QUANTIFICATION AND STATISTICAL ANALYSIS

Categorical variables are presented as frequencies and percentages. Quantitative statistics are presented as the mean ± SD. The receiver operating characteristic (ROC) curves were created by plotting the proportion of true positives (sensitivity) against the proportion of false positives (1-specificity) by varying the predictive probability threshold. We used the ROC-AUC to show the diagnostic ability in differentiating recurrence scans. A larger ROC-AUC indicated better diagnostic performance. The ROC-AUCs were compared by Delong's test. The threshold value for dichotomy was set by leveraging the Youden method on the ROC curve in the tuning cohort. The diagnostic sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) for identifying targets were evaluated and compared by using the McNemar test, and the 95% CI was calculated using the Clopper-Pearson method. Cohen's kappa agreement analysis was performed on readers and the simulated semiautomated workflow in the reader study.

Statistical analyses were performed using Python (version 3.6.10), R software (version 3.5.1), SPSS statistical software (version 26.0.0.0) and GraphPad Prism software (version 9.0.2). A two-sided $p < 0.05$ was considered significant.

ADDITIONAL RESOURCES

This semiautomated workflow (RAINMAN) was constructed post hoc based on a retrospective, observational study (ChiCTR.org.cn, ChiCTR2200056595) in three centers from China (Sun Yat-sen University Cancer Center, Guangzhou; Cancer Hospital of The University of Chinese Academy of Sciences, Hangzhou; The Affiliated Cancer Hospital of Guangzhou Medical University, Guangzhou). The Institutional Review Board of Sun Yat-sen University Cancer Center approved this study (B2021-333-01) and performed according to the Helsinki declaration. The requirement for informed consent were waived because of the retrospective and observational design.