# OPEN

# The DRD3 Ser9Gly Polymorphism Predicted Metabolic Change in Drug-Naive Patients With Bipolar II Disorder

Ting-Ting Chang, MD, Shiou-Lan Chen, PhD, Yun-Hsuan Chang, PhD, Po-See Chen, MD, PhD, Chun-Hsien Chu, PhD, Shih-Heng Chen, PhD, San-Yuan Huang, MD, PhD, Nian-Sheng Tzeng, MD, Liang-Jen Wang, MD, MPH, Tzu-Yun Wang, MD, MS, Chia-Ling Li, PhD, Yi-Lun Chung, BS, Tsai-Hsin Hsieh, BS, I-Hui Lee, MD, Kao-Ching Chen, MD, PhD, Yen-Kuang Yang, MD, Jau-Shyong Hong, PhD, Ru-Band Lu, MD, and Sheng-Yu Lee, MD, MS

Abstract: Patients with bipolar II disorder (BDII) have a higher prevalence rate of metabolic disturbance. Whether BDII itself, in addition to its current standard treatment, is a risk factor for metabolic syndrome

Grant by I-Shou University, Taiwan BR103071.
TTC wrote the first draft. SYL gave conceptual advice and revised the manuscript. SYL, SLC, YHC, CHC, SHC, CLL, YLC, THH, and LJW managed the lab work and statistics. SYL, TYW, PSC, SYH, NST, IHL, KCC, YKY, and RBL managed participant recruitment. JSH supervised this work and edited the manuscript.

All authors declare that they have no conflicts of interest. Copyright © 2016 Wolters Kluwer Health, Inc. All rights reserved.

This is an open access article distributed under the Creative Commons Attribution-NoDerivatives License 4.0, which allows for redistribution, commercial and non-commercial, as long as it is passed along unchanged and in whole, with credit to the author.

ISSN: 0025-7974 DOI: 10.1097/MD.00000000003488 warrants additional study. The dopamine receptor D3 (DRD3) gene, one of the candidate genes for BDII, is also involved in the dopaminergic system. We investigated whether it is related to changes in the metabolic indices of patients with BDII given 12 weeks of standard treatment.

Patients with a first diagnosis of BDII (n = 117) were recruited. Metabolic profiles (cholesterol, triglycerides, fasting serum glucose, body mass index) were measured at baseline and at 2, 8, and 12 weeks. The genotype of the DRD3 Ser9Gly polymorphism (rs6280) was determined. Multiple linear regressions with generalized estimating equation methods were used.

Seventy-six (65.0%) patients completed the 12-week intervention. Significant differences in triglyceride change were associated with the DRD3 Ser9Gly genotype (P = 0.03). Patients with the Ser/Ser genotype had significantly smaller triglyceride increases and a lower risk of developing metabolic syndrome than did those with the Ser/Gly+Gly/ Gly genotype. However, the associations between the DRD3 Ser9Gly polymorphism with changes in triglyceride level become nonsignificant after correcting for multiple comparisons.

We conclude that the DRD3 Ser9Gly polymorphism is nominally associated with changes in triglycerides and metabolic syndrome after 12 weeks of standard BDII treatment.

#### (Medicine 95(24):e3488)

Abbreviations: BD = bipolar disorder, BDII = bipolar II disorder, BMI = body mass index, DBP = diastolic blood pressure, DRD3 = dopamine receptor D3, GEE = generalized estimating equation, HDL = high-density lipoprotein, HDRS = Hamilton Depression Rating Scale, HTN = hypertension, LDL = low-density lipoprotein, MetS = metabolic syndrome, SADS-L = Schedule of Affective Disorder and Schizophrenia-Life Time, SBP = systolic blood pressure, SGAs = second-generation antipsychotics, VPA = valproate, YMRS = Young Mania Rating Scale.

## INTRODUCTION

ipolar II disorder (BDII) is a common mood disorder with a **B** prevalence of approximately 3% to 11%.<sup>1</sup> However, it is believed that BDII is greatly underdiagnosed and frequently misdiagnosed,<sup>1,2</sup> because patients usually seek treatment during depressive episodes but perceive hypomanic episodes as positive experiences.<sup>3,4</sup> More comprehensive research is needed on the clinical presentation of, pathophysiology of, and treatment for BDII.

Patients with bipolar disorder (BD) have a higher prevalence rate of metabolic disturbance and obesity than does the general population. It used to be conventional wisdom that people with a pyknic broad-built body were more likely to develop BD.6,7 With improving economic and living conditions, and with obesity at historically high levels and becoming a major public health

Editor: Mirko Manchia.

Received: October 12, 2015; revised: March 15, 2016; accepted: March 31, 2016.

From the Department of Psychiatry, E-Da Hospital (T-TC); Graduate Institute of Medicine, College of Medicine, Kaohsiung Medical University (KMU), Lipid Science and Aging Research Center, KMU, Kaohsiung (S-LC); Department of Psychiatry, National Cheng Kung University Hospital, Tainan (S-LC, Y-HC, P-SC, T-YW, C-LL, Y-LC, T-HH, I-HL, K-CC, Y-KY, R-BL, S-YL); Department of Psychology, Asia University, Taichung (Y-HC); Institute of Allied Health, College of Medicine (Y-HC, R-BL); Department of Psychiatry, College of Medicine (P-SC, T-YW, I-HL, K-CC Y-KY, R-BL, S-YL); Addiction Research Center (P-SC, R-BL); Institute of Molecular Medicine, College of Medicine and Hospital, National Cheng Kung University, Tainan, Taiwan (C-HC); Neurobiology Laboratory, NIH/ NIEHS, Research Triangle Park, North Carolina (S-HC, J-SH); Department of Psychiatry, Tri-Service General Hospital, National Defense Medical Center, Taipei, Taiwan (S-YH, N-ST); Department of Child and Adolescent Psychiatry, Kaohsiung Chang Gung Memorial Hospital and Chang Gung University College of Medicine, Kaohsiung (L-JW); Institute of Basic Medical Sciences, National Cheng Kung University, Tainan (Y-LC); Department of Psychiatry, National Cheng Kung University Hospital, Dou-Liou Branch, Yunlin (Y-KY); Institute of Behavioral Medicine Sciences, College of Medicine and Hospital, National Cheng Kung University, Tainan (R-BL); Center for Neuropsychiatric Research, National Health Research Institutes, Miaoli, Taiwan (R-BL); Department of Psychiatry, Kaohsiung Veterans General Hospital, Kaohsiung, Taiwan (S-YL). Correspondence: Sheng-Yu Lee, Department of Psychiatry, Kaohsiung

Veterans General Hospital, 386 Da-Chung 1st Road, Kaohsiung 81362, Taiwan. (e-mail: shirleylee.ncku@gmail.com)

This work was supported in part by grants NSC98-2314-B-006-022-MY3 (to RBL), NSC 99-2627-B-006-015 (to RBL), NSC 100-2627-B-006-013 (to RBL), NSC102-2622-B-002-CC2 (to RBL), and NSC100-2314-B-075B-010-MY3 (to SYL) from the Taiwan National Science Council; MOST 103-2622-B-006-006-CC2 (to RBL), MOST 103-2314-B-075B-006 (to SYL) from the Taiwan Ministry of Science and Technology; VGHKS104-098 (to SYL) and VGHKS105-122 (to SYL) from Kaohsiung Veterans General Hospital, Taiwan; DOH 95-TD-M-113-055 (to RBL) from the Taiwan Department of Health; NHRI-EX-97-9738NI (to RBL) from the Taiwan National Health Research Institute; and from the National Cheng Kung University Project for Promoting Academic Excellence and Developing World Class Research Centers.

problem, the association between body build and BD is no longer seriously considered.<sup>8</sup> Nevertheless, the prevalence rates of metabolic disturbance and obesity are still higher in patients with BD than in the international general population.<sup>9</sup> Vancampfort et al,<sup>10</sup> for example, reported that 37.3% of unselected patients with BD have metabolic syndrome (MetS), almost twice the prevalence rate of controls. In Taiwan, 33.9% of patients with BD meet the criteria for MetS, especially those taking second-generation antipsychotics (SGAs).<sup>11</sup> MetS is a cluster of cardiovascular disease and adverse risk factors for type II diabetes, for example, central obesity, impaired glucose metabolism, dyslipidemia, and hypertension (HTN). In addition, in patients with BD, the prevalence of MetS is as high as is the prevalence of obesity, and it is higher than in the general population. Moreover, patients with both MetS and obesity are more likely to have a lifetime history of suicide attempts.<sup>12</sup>

Mood fluctuation in BD might be an independent factor for metabolic disturbances.<sup>13</sup> The striatal dopaminergic system, which is implicated in the pathogenesis of BD,<sup>14</sup> is correlated with body mass index (BMI).<sup>15</sup> In contrast, dopamine agonists have been hypothesized to reverse the metabolic change caused by hyperprolactinemia.<sup>16</sup> In addition to the known etiologies of MetS, such as insulin resistance and central obesity,<sup>16,17</sup> whether the candidate genetic variant of BD involved with the dopaminergic system affects body weight and metabolic profiles warrants additional study.

A number of dopaminergic genes have been considered as candidate genes for BD.<sup>17</sup> One of them, the dopamine receptor D3 (DRD3) gene, is associated with in treatment response to atypical antipsychotics such as clozapine,<sup>18,19</sup> which frequently caused drug-associated MetS.<sup>20</sup> The DRD3 gene, on chromosome 3q13.3, is expressed at a relatively high level in the mesolimbic brain regions associated with emotions and behavior, novelty seeking, the reward system, and cognition.<sup>21-24</sup> Therefore, the DRD3 gene might be important for susceptibility to BD and metabolic change, because mood swings, neurocognitive impairments, and the reward system are all important aspects of BD<sup>25</sup> and are related to appetite change, which affects metabolic parameters. Chiaroni et al<sup>25</sup> suggested that the DRD3 locus might be involved in a specific endophenotype of BD that consists of clinical characteristics of mania, a low age at onset, and initiation by an acute delusional episode. Our research<sup>26</sup> also suggests an association between the DRD3 Serine-9-Glycine (Ser9Gly) polymorphism and BDII comorbid with anxiety disorder. Moreover, the receptor protein encoded by the DRD3 gene is a target site for antipsychotic agents<sup>27</sup> and is efficient for treating BD. The DRD3 Ser9Gly polymorphism (rs6280) is the most frequently studied variant of the DRD3 gene that causes a Ser-to-Gly substitution and leads to a significant increase in dopamine-binding affinity.<sup>28</sup> The Gly9 allele of the Ser9Gly polymorphism is associated with significantly greater odds for a treatment response to antipsychotics.<sup>29</sup> It seems that the potential effect of the DRD3 Ser9Gly polymorphism on the metabolic profile in BDII has never been studied. We hypothesized that the DRD3 Ser9Gly polymorphism affects metabolic profile changes in patients with BDII after 12 weeks of standard treatment. The DRD3 Gly/Gly and Ser/ Gly genotypes have a significantly higher binding affinity for D3-selective ligand than does the Ser/Ser genotype.<sup>30</sup> These genotypes with a higher binding affinity for clozapine also yield better treatment responses.<sup>18</sup> Therefore, in the current study, we grouped the Ser/Gly and Gly/Gly genotypes together to evaluate changes in metabolic parameters in these 2 groups of genotypes with different binding affinities.

## **METHODS**

In the present study, to determine whether the DRD3

## **Ethics Statement**

The Institutional Review Board for the Protection of Human Subjects at Tri-Service General Hospital and at National Cheng Kung University Hospital approved the research protocol. The methods were carried out following the approved guideline. The study protocol was well explained to each participant before the trial started. After each participant signed written informed consent, blood samples were collected.

## **Patient Selection**

This study is a secondary and subgroup analysis of a clinical trial (Trial registration: NCT01188148 at https://register.clinicaltrials.gov/). The original study was a 12-week trial using randomized, double-blind, controlled design to investigate the add-on effect of memantine on BDII treated using valproate (VPA).<sup>31</sup> As the aim of this study was to explore the association between the *DRD3* gene and changes in metabolic parameters, we chose to analyze only patients who received placebo to stay away from the influence of not routinely used treatment for BDII, add-on memantine. In this way, the present subgroup analysis may make our results more generalizable to daily clinical practice.<sup>32</sup>

The study population was recruited from outpatients and inpatients at Tri-Service General Hospital in Taipei, and at National Cheng Kung University Hospital in Tainan, Taiwan. The inclusion criteria were having a diagnosis of BDII when first evaluated by an attending psychiatrist, then confirmed by a clinical psychologist to verify that the diagnosis met the criteria of the Diagnostic and Statistical Manual of Mental Disorders (DSM-IV), using a structural interview with good inter-rater reliability,<sup>33</sup> the Chinese Version of the Modified Schedule of Affective Disorder and Schizophrenia-Life Time (SADS-L)<sup>34</sup>; whose ethnics are Han Chinese; and aged 18 to 65 years. Patients having psychiatric illness including substance dependence, borderline personality disorder, or dementia were excluded. Patients who were previously medicated with any psychotropic agent or having a history of metabolic diseases were also excluded.

Although the Diagnostic and Statistical Manual of Mental Disorders-Fourth Edition criteria imposed a 4-day duration criterion for hypomanic episode, a 2-day duration of hypomania was supported by current epidemiologic data samples<sup>35,36</sup> as being more prevalent in the community. Therefore, in the current study, we implemented the 2-day minimum for hypomania when diagnosing BDII.

## **Study Design**

After enlisting in this study, the patients were administered with open-label use of valproic acid [500 and 1000 mg daily  $(50-100 \mu g/mL$  in plasma)]. Accompanying medication was narrowed to benzodiazepines (lorazepam; up to 8 mg/day) for insomnia, agitation, or irritability and fluoxetine (up to 20 mg/day) for depression. The doses were adjusted according to each patient's clinical manifestations and tolerance. In the event of side effect intolerance or worsening of clinical symptoms, the

patients were withdrawn early from the study. After the introduction of pharmacological treatment, the patients' metabolic profiles, including BMI, lipid profile [cholesterol, triglycerides, high-density lipoprotein (HDL), low-density lipoprotein (LDL)], waist circumference, blood pressure, and fasting serum glucose levels, were measured at baseline and at 2, 8, and 12 weeks. The Young Mania Rating Scale (YMRS)<sup>35</sup> and the Hamilton Depression Rating Scale (HDRS)<sup>36,37</sup> were used to assess severity of mood symptoms. Trained and experienced research psychiatrists assessed the clinical ratings of YMRS and HDRS at baseline and at each visit when metabolic profiles were assessed.

The diagnosis of MetS was defined according to the National Cholesterol Education Program (NCEP) Adult Treatment Panel III (ATPIII) guidelines and the Asian criteria for abdominal obesity: plasma triglycerides (after 8-hour fasting)  $\geq$  150 mg/dL); low HDL cholesterol with fasting HDL cholesterol  $\leq$ 40 mg/dL in men and  $\leq$ 50 mg/dL in women; previously diagnosed HTN or HTN defined as systolic blood pressure (SBP)  $\geq$ 130 or diastolic blood pressure (DBP)  $\geq$ 85 mm Hg; or hyperglycemia, defined as fasting plasma glucose  $\geq$ 100 mg/dL or previously diagnosed type 2 diabetes; and abdominal obesity, defined as a waist circumference  $\geq$ 90 cm in men or  $\geq$ 80 cm in women.

Ten milliliters of whole blood were withdrawn from the antecubital vein of each patient. DNA was extracted from the lymphocytes. The genotyping of the *DRD3* Ser9Gly polymorphism was done at baseline using a modified protocol described elsewhere.<sup>38</sup> The laboratory analyst who performed and recorded the genotyping was blinded to the patients' diagnoses.

#### **Statistical Analysis**

SPSS 18 for Windows, Chicago: SPSS Inc. was used for all statistical analyses. Significance was set at P < 0.05. The demographic and clinical characteristics of the patients were compared between different genotype groups at baseline and endpoint using independent t tests for continuous variables and  $\chi^2$  tests for categorical variables. When the sample size in each cell was less than 5, Fisher exact probability tests were used instead of  $\chi^2$  tests. We performed quality control on all the metabolic parameters (BMI, lipid profile, fasting serum glucose level, blood pressure, and waist circumference) to check for outliers using box plot and Q-Q plot. We found outliers in the variables of "Fasting Plasma Glucose" and "Triglyceride," presenting positive skew. We therefore transformed these variables to normal distribution by taking  $\log (x+1)$  of these variables, and then performed further statistical analysis. The metabolic parameters before and after pharmacological treatment were repeatedly measured. To evaluate the possible association of the DRD3 Ser9Gly polymorphism with changes in metabolic parameters and symptom severity, we implemented a multiple linear regression model. The generalized estimating equation (GEE)<sup>39</sup> is a statistical method using multiple linear regression for repeated-measures studies, which is able accommodate randomly missing data.<sup>40</sup> We used GEE analysis to investigate whether the DRD3 Ser9Gly polymorphism predicts changes in the metabolic profiles controlling for concomitant medication (fluoxetine use and plasma valproic acid levels), time effects (treatment period from baseline to week 12), gender, and age. All the variables, after transformation by taking log (x + 1), fit the normality assumption for GEE. Seven models ran with each outcome (metabolic parameters: BMI, triglycerides, HDL-C, etc.) as a dependent variable. To check the validity of GEE model, we used the Quasi-Akaike Information Criterion (QIC) method. In each model, the interaction of the *DRD3* Ser9Gly Ser/Ser genotype and the treatment course, main effects of the *DRD3* Ser9Gly genotypes, treatment course, gender, age, valproic acid level, and fluoxetine were included as independent variables; patients with the *DRD3* Ser9Gly Ser/Gly + Gly/Gly genotypes were the reference group. The interactive variable (genotype × treatment duration) reflects the effect of the genotype on the dependent variable (e.g., BMI, triglyceride) after the entire treatment course in the assessed GEE model without considering the time effect (the treatment course). In the GEE model, the moderators included age and gender, and the mediators included the drug used valproic acid or fluoxetine.

To adjust for multiple comparisons, the Bonferroni method was used. The power analysis was done using G-Power 3,<sup>41,42</sup> and the effect-size conventions were determined on the basis of Buchner et al.<sup>41</sup>

#### RESULTS

The trial ran from August 1, 2008, to July 31, 2012. The first patient was recruited on August 25, 2009, and the last enrolled patient finished on May 30, 2012. One hundred seventeen patients were recruited and assigned to the Placebo group. All the recruited patients were first diagnosed BDII, never administered with mood stabilizers or antipsychotics in the past.

At baseline, 117 patients were assessed. Finally, 76 (65.0%) patients completed the 12-week pharmacological treatment and metabolic profile follow-up; the other 41 patients dropped out. The genotype and allele distributions of the DRD3 Ser9Gly polymorphism of patients at baseline were Ser/Ser, Ser/Gly, Gly/Gly: 56.4% versus 35.0% versus 8.5% and Ser/ Gly: 74% versus 26%. The genotype distributions of the DRD3 Ser9Gly polymorphisms at baseline were in Hardy-Weinberg equilibrium (Chi = 0.966, P = 0.325). We divided the study population into 2 genotype subgroups: the Ser/Ser and the Ser/Gly + Gly/Gly of the DRD3 Ser9Gly polymorphism. The demographic and clinical characteristics, and the metabolic parameters between the 2 subgroups, were similar in all patient groups at baseline. At the endpoint, patients with the Ser/ Gly+Gly/Gly genotype had a higher level of triglycerides (Table 1).

In addition, the frequency of MetS at the endpoint was significantly (P = 0.03) higher in the Ser/Gly + Gly/Gly group (11.4%) than in the Ser/Ser group (0%). Three of the 10 patients with MetS at baseline dropped out at the end and were lost to follow-up. Four of the 5 patients with the Ser/Ser genotype and MetS at baseline did not have MetS at the endpoint, and 1 patient was lost to follow-up. Therefore, none with the Ser/Ser genotype had MetS at the endpoint. Two of the 5 patients with the Ser/Gly + Gly/Gly genotypes and MetS at baseline still had MetS at the endpoint, 2 patients were lost to follow-up, and only 1 patient did not have MetS at the endpoint. In addition, 2 patients with the Ser/Gly + Gly/Gly genotypes who did not have MetS at baseline developed MetS at the endpoint. Therefore, at the endpoint, 4 patients with the Ser/Gly + Gly/Gly genotypes had MetS. By excluding patients who dropped out before the endpoint, we found that there was still no significant difference in the prevalence of MetS at baseline, but that the significant difference (P = 0.036) at the endpoint remained. Therefore, although the dropout rate was high, the change in the incidence of MetS in patients with different genotypes of the DRD3 Ser9Gly polymorphism was still significant.

TABLE 1. Mean HDRS Score, YMI	RS Score, and Cyto	kine and Metabolic Profiles B	efore and After Phan	macological Treatm	nent	
		Baseline		V	fter 12 wks	
Total Number		117			76	
DRD3 Ser9Gly Genotypes (Ser/	66/41/10 (	(56.4%/35.0%/8.5%)		41/27/8 (5	3.9%/35.5%/10.5%)	
Ser, Ser/Gly, Gly/Gly) (%) DRD3 Ser9Gly Genotypes	Ser/Ser (n = 66)	Ser/Gly + Gly/Gly $(n = 51)$	t or $\chi^2$ , P	Ser/Ser (n=42)	Ser/Gly + Gly/Gly $(n = 34)$	t or $\chi^2$ , P
Gender (male/female) (n)	33/33	31/20		23/19	23/11	1.310, P = 0.25
Age, mean (SD), y	$29.9\pm10.8$	$30.9\pm11.6$	0.990, $P = 0.32^{\$}$	$32.1 \pm 12.2$	$29.5\pm10.8$	$0.160, P = 0.69^{\$}$
HDRS score, mean (SD)	$18.5\pm5.7$	$20.3 \pm 4.7$	$0.170, P = 0.68^{\$}$	$9.3\pm6.5$	$9.1\pm5.9$	$0.190, P = 0.66^{\$}$
YMRS score, mean (SD)	$9.9\pm4.4$	$8.3 \pm 4.4$	$0.460, P = 0.50^{\$}$	$5.0 \pm 2.6$	$5.2 \pm 3.2$	$0.530, P = 0.47^{\$}$
BMI, mean (SD), kg/m <sup>2</sup>	$23.1 \pm 4.5$	$23.6\pm5.4$	$0.490, P = 0.49^{\$}$	$24.0 \pm 4.3$	$24.1 \pm 5.2$	$0.690, P = 0.41^{\$}$
Fasting plasma glucose, mean (SD) (mg/dI)	$88.1 \pm 13.1$	$92.1 \pm 21.3$	1.081, $P = 0.28^{\dagger,\$}$	$82.1 \pm 9.7$	$93.6\pm38.9$	1.774, $P = 0.08^{\pm,\$}$
Trialmonida maan (CD) ma/dI	$c$ $\tau$ $\tau$ $\tau$ $\tau$ $\tau$ $\tau$	$03 \ 2 \pm 50 \ 6$	$0.076$ $D - 0.20^{\pm,8}$	$03 7 \pm 30 7$	$0.02 \pm 0.01$	$2115 D_{-0.04}^{+,+,+}$
	$7.1 C \pm 7.20$	$0.60 \pm 0.02$	$0.0/0, F = 0.30^{-5}$	1.00 H 1.00	$120.2 \pm 09.9$	2.113, F = 0.04
Cholesterol (total), mean (SD), mg/ dL	$178.6\pm38.9$	$180.8\pm29.7$	$2.800, P = 0.096^{\circ}$	$177.8 \pm 31.1$	$193.0\pm40.0$	$2.350, P = 0.13^{\circ}$
HDL-C, mean (SD), mg/dL	$60.9\pm16.0$	$54.7\pm15.5$	$0.086, P = 0.77^{\$}$	$60.9\pm15.4$	$54.2\pm16.5$	$0.019, P = 0.89^{\$}$
LDL-C, mean (SD), mg/dL	$108.2\pm32.3$	$112.1\pm27.9$	$0.440, P = 0.51^{\$}$	$105.8\pm28.0$	$123.5\pm32.9$	1.180, $P = 0.28^{\$}$
Waist circumference, mean (SD),	$79.3\pm11.9$	$82.5\pm13.3$	$0.320, P = 0.58^{\$}$	$82.0\pm11.9$	$83.9\pm13.4$	$0.140, P = 0.71^{\$}$
cm						
Systolic blood pressure, mean (SD), mm Hg	$112.8 \pm 17.1$	$117.1 \pm 15.3$	$0.660, P = 0.42^{\$}$	$111.8 \pm 14.2$	$117.3 \pm 17.4$	2.320, $P = 0.13^{\$}$
Diastolic blood pressure, mean (SD), mm Hg	$73.5 \pm 11.6$	$74.0\pm11.9$	0.010, $P = 0.94^{\$}$	$71.9 \pm 11.1$	$73.3\pm10.7$	0.110, $P = 0.74^{\$}$
Depakine level, mean (SD), mg/L	0	0	N/A	$71.8 \pm 21.0$	$58.9\pm26.7$	$0.470, P = 0.50^{\$}$
Metabolic syndrome (n) (%)	5 (7.6%)	5.0(9.8%)	0.180, P = 0.75	0% (%)	4.0 (11.4%)	$6.00, P = 0.03^*$
HDRS = Hamilton Depression Ratin; $*_{D > 0.05}$	g Scale, SD = standar	d deviation, VPA = valproate, YM	1RS = Young Mania Ra	ting Scale.		
$^{+}P$ value using data transformed by ] $^{\ddagger}After Bonferroni correction for multiple of the transformed by ]$	$\log(x + 1)$ to normaliz tiple comparison (P v	ie distribution. alues times by 13 tests). $P = 0.49$				
<sup>§</sup> After Bonferroni correction for mul	tiple comparison $(P v)$	alues times by 13 tests), all the $P$	values equal to 1.			

	Association of th	e <i>DKD3</i> Ser9Gly Polyn	aorphism			
	and Chan	ges in Metabolic Profil	es			
B	95 % CI		SE	Р	QIC	$P^{\ddagger}$
BMI 0.01	-0.33 to 0.3	5 0	0.17	0.97	3712.26	1.00
Triglycerides -0.04	-0.07 to $-0.07$	0.004 0	.02	$0.03^{*,\dagger}$	22.86	0.20
HDL-C 0.24	-1.48 to 1.5	9	.88	0.79	36,726.89	1.00
Fasting serum glucose -0.02	-0.04 to 0.0	15 C	.01	$0.15^{\dagger}$	17.09	1.00
Waist size 0.15	-1.31 to 1.6	1 0	).74	0.84	21,662.50	1.00
Systolic blood pressure $-0.64$	-3.59 to 2.3	1	1.50	0.67	34,920.07	1.00
Diastolic blood pressure -0.15	-2.11 to 1.8	5 0	.02	0.88	17,784.26	1.00

A multiple linear regression analysis of the association between the DRD3 Ser9Gly polymorphism and changes in the metabolic parameter scores before and after the 12 weeks of treatment showed that the DRD3 Ser9Gly polymorphism was significantly (P = 0.03) associated with the changes in triglyceride levels (Table 2; Figure 1). Patients with the Ser/Ser genotype had a significantly smaller increase in triglycerides than did patients with the Ser/Gly + Gly/Gly genotype. However, the DRD3 Ser9Gly polymorphism was not associated with changes in other metabolic parameters (Table 2). After correcting for multiple comparisons, the associations between the DRD3 Ser9Gly polymorphism with changes in triglyceride levels become nonsignificant.

For multiple regression analysis in a sample of 117 patients in the present study, the power was 0.33 to detect a small effect; to detect medium and large effects, the power was 0.99. The effect-size for the multiple regression model ( $\alpha = 0.05$ ) was set at 0.02 for small effect, 0.15 for medium effect, and 0.35 for large effect, determined according to Buchner et al.4

## DISCUSSION

In the association analysis of the DRD3 Ser9Gly polymorphisms and changes of metabolic parameters, we found preliminary evidence that, in patients with BDII, the DRD3 gene influences changes in triglyceride levels, one of the key criteria of MetS. The genotype distribution of the DRD3 Ser9Gly polymorphism in the current study is comparable to patients with schizophrenia<sup>43</sup> and healthy individuals<sup>44</sup> in other studies from the Han Chinese population. Patients with the Ser/Ser genotype had a significantly smaller increase in triglyceride level than did those with the Ser/Gly+Gly/Gly genotype. Furthermore, after 12 weeks of follow-up, significantly fewer patients with the Ser/Ser genotype than with the Ser/Gly + Gly/ Gly genotype had MetS. However, the DRD3 Ser9Gly polymorphism was not related to changes in other metabolic parameters. We therefore hypothesize that, after 12 weeks of standard BDII treatment, patients with the DRD3 Ser9Gly



value corrected by Bonferroni correction for multiple comparison (P values times by 7 tests)

value using data transformed by  $\log (x + 1)$  to normalize distribution.

= standard error.

riterion, SE P < 0.05.

P P

FIGURE 1. Changes in the triglyceride level of patients with different genotypes of the DRD3 Ser9Gly polymorphism after 12 weeks of standard treatment for bipolar II disorder. (The error bars represent the standard error of the mean).

Ser/Gly + Gly/Gly genotype are at a higher risk for higher triglyceride levels and for MetS than are those with the Ser/Ser genotype.

The current study showed that the DRD3 Ser9Gly polymorphism, the candidate genetic variant of BD involved in the dopaminergic system, might affect changes in metabolic profiles. Such an association between the DRD3 Ser9Gly polymorphism and metabolic profiles in BDII or other disorders has never been studied in the past. The relationship between the dopamine system and MetS, however, has been reported: the striatal dopaminergic system is apparently involved in regulating BMI.<sup>15</sup> Dopamine is a mediator of feeding behavior: an increase in dopamine signaling promotes feeding behavior, but a decrease represses it.45 In addition, dopamine neurons are frequently targets of hormones such as leptin and insulin, both of which regulate the homeostatic system.<sup>46</sup> Global dopamine D2 receptor knockout female mice are reported<sup>47</sup> to eat more and have more adipose tissue than mice with the normal density of dopamine D2 receptors. In the current study, patients with the Gly allele, which increases dopamine binding affinity,<sup>28</sup> had a greater risk for MetS and an increase in triglycerides after 12 weeks of standard BDII therapy. It is possible that the increased dopamine-binding affinity of those patients might also have caused them to increase their food intake. However, because we did not record the dietary habits of each patient, this hypothesis requires additional investigation.

Nevertheless, we found no significant association between the *DRD3* Ser9Gly polymorphism and other metabolic parameters. This agrees with the study by Soma et al,<sup>48</sup> who reported no association between the *DRD3* Ser9Gly polymorphism and essential HTN. In addition, unlike other studies, we analyzed this association between the *DRD3* Ser9Gly polymorphism and changes in metabolic profiles in a longitudinal rather than a cross-sectional study. Moreover, we focused on drug-naive patients with BDII undergoing initial short-term pharmacological intervention. Additional evaluations of the association between the *DRD3* Ser9Gly polymorphism and longer-term metabolic changes are warranted.

Physical inactivity and eating habits might be the main behavioral risk factors for MetS.<sup>49</sup> Significant correlations have been reported between obesity and comorbid binge-eating disorder and health habits in BD.<sup>50</sup> Therefore, it is important to regularly monitor a patient's MetS status and provide prompt lifestyle interventions that encourage greater physical activity and less overeating. Whether these lifestyle behaviors are associated with genetics or the underlying psychology of BD requires additional study.

Our study has some limitations. First, the power of the current study is low for a small effect. We would need about 395 participants (more than 3 times the current sample size) for sufficient power (0.8) detect a small effect (0.02). In addition, the associations between the DRD3 Ser9Gly polymorphism with changes in triglyceride level become nonsignificant after correcting for multiple comparisons. Second, the correlation between the gene and metabolic indices might be obscured by the medication permitted in the study. Although we tried to limit concomitant treatment medication to only 3 drugs (lorazepam, fluoxetine, and valproic acid) and adjust for its use in our linear regression analysis, our results should still be taken with caution. In addition, other possible confounders such as socioeconomic class, education level, dietary habits, drug adherence, and daily activities and the energy they required were not adjusted for. Third, the 2-day hypomania criteria used in the present study is not widely agreed. Our positive finding might not be generalizable to patients with BDII diagnosed using the DSM-IV-TR criterion of a 4-day duration for hypomania. Finally, the current study only followed the metabolic change after 12-week of pharmacological intervention. A longer-term follow-up of metabolic changes in our patients is needed in future investigation.

In conclusion, the present study results support that the *DRD3* gene affects changes in triglyceride levels and in the frequency of MetS after 12-week of standard treatment in drugnaive bipolar II patients. Those with the Gly allele might be vulnerable to an increased risk for a high level of triglyceride and for MetS. Our finding provides initial evidence that the dopamine system is related to metabolic disturbance. However, the exact mechanism of the *DRD3* gene's effects on metabolic parameters warrants additional analysis. We hypothesize that knowledge of how the *DRD3* Ser9Gly polymorphism affects changes in triglyceride levels will be clinically useful for reminding clinicians to more closely monitor and control metabolic parameters in patients being treated for BDII.

#### ACKNOWLEDGMENT

We thank Mr. Der-Chuan Liu and Ms. Hung-Yi Chang for their assistance in preparing this manuscript.

#### REFERENCES

- 1. Akiskal HS, Pinto O. The evolving bipolar spectrum. Prototypes I, II, III, and IV. *Psychiatr Clin N Am.* 1999;22:517–534.
- Benazzi F, Akiskal HS. Refining the evaluation of bipolar II: beyond the strict SCID-CV guidelines for hypomania. J Affect Disord. 2003;73:33–38.
- American Psychiatric Association. *Diagnostic and Statistical Manual* of Mental Disorder-IV-TR. 4th ed. Washington: American Psychiatric Association; 2000.
- 4. Angst J. The bipolar spectrum. Br J Psychiatry. 2007;190:189-191.
- Vieta E, Suppes T. Bipolar II disorder: arguments for and against a distinct diagnostic entity. *Bipolar Disord*. 2008;10(Pt 2):163–178.
- Kretschmer E. Körperbau und Character. Heidelberg: Springer Verlag; 1921.
- Str\u00fcmgren E. Psykiatri. 12 ed. Copenhagen: Munksgaard; 1976:418– 419.
- Machado-Vieira R, Ibrahim L, Zarate CA Jr. Histone deacetylases and mood disorders: epigenetic programming in gene-environment interactions. *CNS Neurosci Therap.* 2011;17:699–704.
- McIntyre RS, Danilewitz M, Liauw SS, et al. Bipolar disorder and metabolic syndrome: an international perspective. *J Affect Disord*. 2010;126:366–387.
- Vancampfort D, Vansteelandt K, Correll CU, et al. Metabolic syndrome and metabolic abnormalities in bipolar disorder: a metaanalysis of prevalence rates and moderators. *Am J Psychiatry*. 2013;170:265–274.
- Chang HH, Chou CH, Chen PS, et al. High prevalence of metabolic disturbances in patients with bipolar disorder in Taiwan. J Affect Disord. 2009;117:124–129.
- Fagiolini A, Frank E, Scott JA, et al. Metabolic syndrome in bipolar disorder: findings from the Bipolar Disorder Center for Pennsylvanians. *Bipolar Disord*. 2005;7:424–430.
- McElroy SL, Kotwal R, Malhotra S, et al. Are mood disorders and obesity related? A review for the mental health professional. *J Clin Psychiatry*. 2004;65:634–651.
- Brugue E, Vieta E. Atypical antipsychotics in bipolar depression: neurobiological basis and clinical implications. *Prog Neuropsychopharmacol Biol Psychiatry*. 2007;31:275–282.

- Chen PS, Yang YK, Yeh TL, et al. Correlation between body mass index and striatal dopamine transporter availability in healthy volunteers: a SPECT study. *NeuroImage*. 2008;40:275–279.
- Medic-Stojanoska M, Icin T, Pletikosic I, et al. Risk factors for accelerated atherosclerosis in young women with hyperprolactinemia. *Med Hypotheses*. 2015;84:321–326.
- Cousins DA, Butts K, Young AH. The role of dopamine in bipolar disorder. *Bipolar Disord*. 2009;11:787–806.
- Shaikh S, Collier DA, Sham PC, et al. Allelic association between a Ser-9-Gly polymorphism in the dopamine D3 receptor gene and schizophrenia. *Hum Genet.* 1996;97:714–719.
- Hwang R, Zai C, Tiwari A, et al. Effect of dopamine D3 receptor gene polymorphisms and clozapine treatment response: exploratory analysis of nine polymorphisms and meta-analysis of the Ser9Gly variant. *Pharmacogenom J.* 2010;10:200–218.
- Mitchell AJ, Vancampfort D, Sweers K, et al. Prevalence of metabolic syndrome and metabolic abnormalities in schizophrenia and related disorders: a systematic review and meta-analysis. *Schizophr Bull.* 2013;39:306–318.
- Bouthenet ML, Souil E, Martres MP, et al. Localization of dopamine D3 receptor mRNA in the rat brain using in situ hybridization histochemistry: comparison with dopamine D2 receptor mRNA. *Brain Res.* 1991;564:203–219.
- Savitz J, Hodgkinson CA, Martin-Soelch C, et al. The functional DRD3 Ser9Gly polymorphism (rs6280) is pleiotropic, affecting reward as well as movement. *PLoS One.* 2013;8:e54108.
- Leggio GM, Torrisi SA, Castorina A, et al. Dopamine D3 receptordependent changes in alpha6 GABAA subunit expression in striatum modulate anxiety-like behaviour: responsiveness and tolerance to diazepam. *Eur Neuropsychopharmacol.* 2015;25:1427–1436.
- Staner L, Hilger C, Hentges F, et al. Association between noveltyseeking and the dopamine D3 receptor gene in bipolar patients: a preliminary report. *Am J Med Genet.* 1998;81:192–194.
- Chiaroni P, Azorin JM, Dassa D, et al. Possible involvement of the dopamine D3 receptor locus in subtypes of bipolar affective disorder. *Psychiatr Genet.* 2000;10:43–49.
- Chang YH, Lee SY, Chen SL, et al. Genetic variants of the BDNF and DRD3 genes in bipolar disorder comorbid with anxiety disorder. *J Affect Disord.* 2013;151:967–972.
- Sokoloff P, Levesque D, Martres MP, et al. The dopamine D3 receptor as a key target for antipsychotics. *Clin Neuropharmacol*. 1992;15(suppl 1 pt A):456A–457A.
- Barr CL, Wigg KG, Wu J, et al. Linkage study of two polymorphisms at the dopamine D3 receptor gene and attention-deficit hyperactivity disorder. *Am J Med Genet.* 2000;96:114–117.
- Vehof J, Burger H, Wilffert B, et al. Clinical response to antipsychotic drug treatment: association study of polymorphisms in six candidate genes. *Eur Neuropsychopharmacol.* 2012;22:625–631.
- Lundstrom K, Turpin MP. Proposed schizophrenia-related gene polymorphism: expression of the Ser9Gly mutant human dopamine D3 receptor with the Semliki Forest virus system. *Biochem Biophys Res Commun.* 1996;225:1068–1072.
- Lee SY, Chen SL, Chang YH, et al. Add-on memantine to valproate treatment increased HDL-C in bipolar II disorder. *J Psychiatr Res.* 2013;47:1343–1348.
- 32. Lee SY, Chen SL, Chang YH, et al. Inflammation's association with metabolic profiles before and after a twelve-week clinical trial in

drug-naive patients with bipolar II disorder. *PLoS One.* 2013;8:e66847.

- 33. Huang SY, Lin WW, Ko HC, et al. Possible interaction of alcohol dehydrogenase and aldehyde dehydrogenase genes with the dopamine D2 receptor gene in anxiety-depressive alcohol dependence. *Alcohol Clin Exp Res.* 2004;28:374–384.
- Endicott J, Spitzer RL. A diagnostic interview: the schedule for affective disorders and schizophrenia. Arch Gen Psychiatry. 1978;35:837–844.
- Young RC, Biggs JT, Ziegler VE, et al. A rating scale for mania: reliability, validity and sensitivity. *Br J Psychiatry*. 1978;133:429– 435.
- Hamilton M. A rating scale for depression. J Neurol Neurosurg Psychiatry. 1960;23:56–62.
- Hamilton M. Development of a rating scale for primary depressive illness. Br J Soc Clin Psychol. 1967;6:278–296.
- Sokoloff P, Giros B, Martres MP, et al. Molecular cloning and characterization of a novel dopamine receptor (D3) as a target for neuroleptics. *Nature*. 1990;347:146–151.
- Zeger SL, Liang KY, Albert PS. Models for longitudinal data: a generalized estimating equation approach. *Biometrics*. 1988;44:1049–1060.
- Shen CW, Chen YH. Model selection for generalized estimating equations accommodating dropout missingness. *Biometrics*. 2012;68:1046–1054.
- Buchner A, Faul F, Erdfelder E. G-power: A Priori, Post Hoc, and Compromise Power Analyses for the Macintosh, Version 2.1.1. ed. Germany: University of Trier, Trier; 1996.
- 42. Faul F, Erdfelder E, Buchner A, et al. Statistical power analyses using G<sup>\*</sup>Power 3.1: tests for correlation and regression analyses. *Behav Res Methods*. 2009;41:1149–1160.
- Liao DL, Yeh YC, Chen HM, et al. Association between the Ser9Gly polymorphism of the dopamine D3 receptor gene and tardive dyskinesia in Chinese schizophrenic patients. *Neuropsychobiology*. 2001;44:95–98.
- 44. Ma G, He Z, Fang W, et al. The Ser9Gly polymorphism of the dopamine D3 receptor gene and risk of schizophrenia: an association study and a large meta-analysis. *Schizophr Res.* 2008;101:26–35.
- Narayanan NS, Guarnieri DJ, DiLeone RJ. Metabolic hormones, dopamine circuits, and feeding. *Front Neuroendocrinol.* 2010;31:104–112.
- Palmiter RD. Is dopamine a physiologically relevant mediator of feeding behavior? *Trends Neurosci.* 2007;30:375–381.
- Perez Millan MI, Luque GM, Ramirez MC, et al. Selective disruption of dopamine D2 receptors in pituitary lactotropes increases body weight and adiposity in female mice. *Endocrinology*. 2014;155:829–839.
- Soma M, Nakayama K, Rahmutula D, et al. Ser9Gly polymorphism in the dopamine D3 receptor gene is not associated with essential hypertension in the Japanese. *Med Sci Monit.* 2002;8:CR1–CR4.
- Wildes JE, Marcus MD, Fagiolini A. Obesity in patients with bipolar disorder: a biopsychosocial-behavioral model. *J Clin Psychiatry*. 2006;67:904–915.
- McElroy SL, Frye MA, Suppes T, et al. Correlates of overweight and obesity in 644 patients with bipolar disorder. *J Clin Psychiatry*. 2002;63:207–213.