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# Sleep, time, and space—fatigue and performance deficits in pilots, commercial truck drivers, and astronauts

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# Abstract

Sleep is essential for preventing fatigue in occupations that require sustained vigilance. We conducted a scoping review to synthesize knowledge about sleep, fatigue, and performance in pilots, commercial truck drivers, and astronauts. We found 28 studies where researchers objectively or subjectively measured sleep, fatigue, and performance. The research included laboratory-based (simulator) and field-based studies (i.e. real-world missions and a variety of shift-work schedules). Most researchers used actigraphy to measure sleep, and they found that ~6 hrs of sleep was common. The research also demonstrated how sleep duration and quality were negatively affected by schedule irregularity, early-morning start times, and high-risk missions (e.g. extravehicular activities in space). Collectively, the data demonstrated how shorter sleep durations, short off-duty time, and early-morning start times were associated with slower reaction times, more lapses in attention, and premature responses on psychomotor vigilance tests. Considering that few studies included polysomnography and circadian rhythm biomarkers, there remains limited knowledge about the effects of sleep microstructure and circadian rhythm alterations on performance abilities in these occupations. Future neurobiological and mechanistic discoveries will be important for enhancing vigilance, health, and safety for people working in the skies, on the roads, and in space.

# Statement of Significance

Fatigue can have devastating consequences for people in sleepiness-sensitive occupations, such as pilots, truck drivers, and astronauts. Our scoping review highlights important findings about the variables that affect sleep, fatigue, and vigilance in these occupations in addition to illustrating the importance of developing interventions that could mitigate fatigue. Future research will be important for determining how alterations in circadian rhythms and sleep architecture affect job performance.

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Key words: accidents; aviation; driving; psychomotor vigilance tests; occupational health; safety; shift-work

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#### Abbreviations:

| EEG   | : | electroencephalogram                            |
|-------|---|---|
| EVA   | : | extravehicular activity                         |
| ISS   | : | International Space Station                     |
| KSS   | : | Karolinska Sleepiness Scale                     |
| MEQ   | : | Morningness-Eveningness Questionnaire           |
| NASA  | : | National Aeronautics and Space Administration   |
| PSQI  | : | Pittsburgh Sleep Quantity Index                 |
| PVT   | : | Psychomotor Vigilance Test                      |
| PVT-B | : | Brief Version of the Psychomotor Vigilance Test |
| ROBoT | : | robotics on-board trainer                       |
| RT    | : | reaction time                                   |
| TOD   | : | top of descent                                  |
| VAS   | : | Visual Analog Scale                             |
| WASO  | : | wake after sleep onset                          |

## Introduction

Sleep is critical for maintaining physical and psychological health. Adults require ~7 hrs of sleep each day, according to the American Academy of Sleep Medicine and the Sleep Research Society [1]. From an occupational health perspective, fatigue (a biological demand for recuperative rest) threatens safety and job performance [2]. Many highly publicized accidents have been attributed to fatigue, which underscores the importance of understanding how to promote healthy sleep and workplace/public safety [2]. Occupational responsibilities may restrict the times people have available to sleep. In the transportation industries, for example, people often work across multiple times zones, which can cause their circadian rhythms to become misaligned with their schedules [3]. In occupations that require nighttime work, people rely on their abilities to obtain enough daytime sleep, although this is opposed by humans' natural sleep-wake cycle patterns that favor wakefulness during the daytime and sleep during the night [4-7]. Work-related stress and environmental conditions (e.g. uncomfortable sleeping locations, sustained light exposure, and noise) also disrupt sleep and increase the risk for poor performance during work due to fatigue [2, 8, 9].

Airline pilots, commercial truck drivers, and astronauts are examples of occupations that require high-quality sleep for sustained vigilance during work. To perform their jobs effectively, they must endure stressful conditions and combine astute cognitive abilities with technical knowledge to make decisions safely under rapidly changing conditions [10–19]. Research has demonstrated how insufficient sleep impairs work-time functioning [12, 20, 21], which ultimately led to regulations that enforced duty restrictions and recommended fatigue-management strategies. Examples include Title 14 of the Code of Federal Regulations, which outlined duty limitations for safer aviation in 2014 [22]; the U.S. Federal Motor Carrier Safety Administration's 2014 revisions to duty time for truck drivers [23]; and the International Civil Aviation Organization's 2015 fatigue-management guidelines [24].

Safety-focused regulations were driven by the scientific discoveries of Dinges et al. [10, 12, 25, 26], which established a battery of neurobehavioral performance metrics. Dinges and colleagues developed, tested, and validated methods for measuring vigilance and alertness, such as the 10-min Psychomotor Vigilance Test (PVT) [27, 28] 3-min Brief PVT (PVT-B) [27, 29, 30], and Cognition Test Battery [31]. The PVT and PVT-B have been valuable for objectively quantifying responses to

randomly timed visual stimuli to improve the rigor of occupational health research (compared with relying on methods that could be confounded by learning effects or subjectivity) [32, 33]. Research participants' subjective descriptions of fatigue, for example, may not be consistently correlated with the objective measurements provided by the PVT.

In occupations where sleep times are restricted and there is a high-risk for catastrophic accidents, people are highly trained/ experienced, but they are not impervious to the adverse effects of attention lapses caused by inadequate sleep. Therefore, it is important for researchers to determine how sleep-related variables affect people's abilities for safe and effective job performance. Ultimately, the goal will be the development of optimal workplace practices that promote healthy sleep and mitigate the risks for errors and accidents. Previous reviews on this topic have focused on sleep deprivation rather than the occupationally relevant phenomena of short sleep and circadian misalignment [32, 34-36]. In addition, earlier reviews did not provide information about multiple occupations to allow for comparisons to understand the complex relationships among work schedules, sleep, fatigue, and performance in different settings. Therefore, the purpose of the present paper was to conduct a scoping review to synthesize research findings about objective and subjective measures of sleep, fatigue, and performance from three occupations-pilots, truck drivers, and astronauts.

#### Methods

A scoping review is a knowledge-synthesizing literature review that is guided by an exploratory question to determine key concepts, types of evidence, and research gaps [37, 38]. We conducted our review according to Arksey and O'Malley's scoping review methodology [38]. The following question guided the review: What is known about sleep, fatigue, and performance in the safety-sensitive occupations involving aviation, truck driving, and space missions? We chose to examine multiple occupations to determine similarities and differences; this aspect of the scoping review was important for understanding whether findings were occupation-specific or common across professions.

To identify relevant research studies, we searched MEDLINE/ PubMed in August 2022 (excluding papers published before 2014 because several organizations implemented duty restrictions to increase sleep around this time) [22–24, 39]. The keywords for the search were "sleep, circadian, fatigue, and performance" in combination with "airline pilots" (retrieved 12 citations), "truck drivers" (retrieved 4 citations), or "astronauts" (retrieved 5 citations). We screened the abstracts to exclude review papers and focus only on original research reports; ultimately, we selected 11 data-based papers about airline pilots (excluded 1 review), 3 about truck drivers (excluded 1 review), and 5 about astronauts. Additional articles were found by handsearching and examining article reference lists (leading to the addition of 6 additional papers about airline pilots and 3 papers about astronauts). A total of 28 research papers were used for the scoping review (Table 1).

#### Results

The research about pilots [40–42, 45, 47–49, 51–53, 58, 60–62, 64, 65, 67], truck drivers [50, 56, 63], and astronauts [43, 44, 46, 54, 55, 57, 59, 66] included laboratory studies (e.g. conducted

pared with the baseline period of mid-morning start times)

# Table 1. Study characteristics, measures, and key findings

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD) | Occupation, location<br>(field or simulator), and<br>work schedule   | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | Circadian rhythm-related<br>findings   | Sleep, fatigue, and performance<br>findings   |
|--|---|--|---|--|---|
| 1. Alzehairi<br>et al. [40]<br>Aim: To screen<br>pilots for sleep disorders<br>fatigue, and depression<br>and determine risk<br>factors  | 344<br>(% women not<br>reported)<br>,40 ± 8<br>n      | Airline pilots<br>(short-haul)<br>Field (Saudi Arabia)<br>Duty schedules were<br>defined as day, night,<br>or both<br>53% of the pilots were<br>First Officers; 47% were<br>Captains   | Sleep (subjective): PSQI,<br>Athens Insomnia Scale<br>Fatigue (subjective):<br>Epworth Sleepiness<br>Scale, Fatigue Severity<br>Scale, VAS<br>Depressive symptoms<br>ewere screened with the<br>Patient Health Question<br>naire. The Berlin Ques-<br>tionnaire was use for<br>sleep apnea screening<br>No objective measures of<br>sleep or performance were<br>examined | Circadian rhythms<br>were not examined   | Sleep duration was not reported<br>Many pilots had poor-quality sleep<br>(i.e. a third of the sample had PSQI<br>global scores >5). Poor sleep quality,<br>sleepiness, and insomnia were more<br>common in pilots who flew during<br>both days and nights; they had sig-<br>nificantly higher PSQI global scores,<br>higher Epworth Sleepiness Scale<br>scores, and more positive answers<br>on the Athens Insomnia Index<br>Age and experience (years) were nega-<br>tively associated with PSQI scores<br>( $r = -0.83$ , $p < .001$ and $r = -0.61$ , $p < .001$ , respectively) and with positive<br>answers on the Athens Insomnia<br>Severity Index Scale ( $r = -0.82$ , $p < .001$ and $r = -0.63$ , $p < .001$ , respect-<br>ively)  |
| 2. Arsintescu<br>et al. [41]<br>Aim: To determine rela-<br>tionships among work<br>load, performance,<br>subjective fatigue,<br>sleep duration, and<br>flight duration                         | 90<br>(8% women)<br>33 ± 8                            | Airline pilots<br>(short-haul)<br>Field (United States)<br>Specific work schedules<br>were not reported  | Sleep (objective):<br>Actigraphy<br>Fatigue (subjective):<br>Samn-Perelli scale<br>Performance (objective):<br>PVT (at TOD)<br>The NASA Task Load Index<br>was used to measure<br>workload (subjective)   | Circadian rhythms<br>were not examined   | Sleep duration was 7.7 $\pm$ 1.7 hrs<br>Sleep duration was positively asso-<br>ciated with the NASA Task Load<br>Index scores ( $r = 0.04$ , $p < .05$ ) and<br>the mental ( $r = 0.04$ , $p < .05$ ) and<br>frustration ( $r = 0.04$ , $p < .05$ ) sub-<br>components. Samn-Perelli fatigue<br>scores were positively associated<br>with the effort and performance<br>components of the NASA Task Load<br>Index ( $r = 0.10$ , $p < .01$ and $r = 0.10$ , $p$<br>< .01, respectively)<br>Mean raw NASA Task Load Index<br>scores were associated with PVT<br>lapses ( $r = 0.10$ , $p < .01$ ) and PVT<br>response speed ( $r = -0.10$ , $p < .01$ ).<br>Effort and performance (NASA<br>Task Load Index) were positively<br>associated with PVT lapses ( $r = 0.16$ ,<br>p < .01 and $r = .06$ , $p < .01$ ) and nega-<br>tively associated with PVT response<br>speed ( $r = -0.20$ , $p < .01$ ; $r = -0.06$ ,<br>p < .01) |
| 3. Arsintescu<br>et al. [42]<br>Aim: To develop<br>a protocol for<br>6-sulfatoxy-melatonin<br>analysis (urine) and<br>examine methods<br>for measuring sleep,<br>fatigue, and perform-<br>ance | 44<br>(9% women)<br>31 ± 7                            | Airline pilots<br>(short-haul)<br>Field (United States)<br>Data were compared<br>with a Baseline Block<br>(5 days of mid-<br>morning flights); the<br>subsequent schedule<br>involved four early<br>flights (0500), four<br>high-workload<br>mid-day flights (1030),<br>and four late flights<br>(1600) that landed<br>after midnight. Pilots<br>were studied for 34<br>days | Sleep (objective):<br>Actigraphy<br>Circadian (objective):<br>Urinary<br>6-sulfatoxymelatonin<br>levels<br>Fatigue (subjective):<br>Samn-Perelli Scale;<br>Epworth Sleepiness<br>Scale<br>Performance (objective):<br>PVT   | The circadian phase (de-<br>termined by urinary<br>6-sulfatoxy-melatonin<br>levels) was affected by<br>pilots' start times | Sleep duration decreased before early-<br>morning take-offs compared with<br>mid-day flights (7.4 $\pm$ 0.9 hrs versus<br>8.2 $\pm$ 0.9 hrs $p < .01$ ). The mean PSQI<br>scores did not differ according to<br>start times<br>Samn-Perelli scale scores for early (4.0<br>$\pm$ 0.9, $p < .001$ ), mid-day (3.9 $\pm$ 0.9,<br>$p < .001$ ) and late starts (3.9 $\pm$ 0.9, $p$<br>< .001) revealed how each of these<br>times were associated with more fa-<br>tigue than mid-morning start times<br>(3.5 $\pm$ 0.8)<br>The PVT mean RT was significantly<br>slower, and mean number of lapses<br>was significantly higher for early,<br>mid-day, and late start times (com-   |

| Study citations and<br>aims for the 28 reviewed<br>articles   | Sample size<br>(% women)<br>Age (years, mean<br>± SD) | Occupation, location<br>(field or simulator), and<br>work schedule  | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | Circadian rhythm-related findings      | Sleep, fatigue, and performance<br>findings  |
|---|---|---|---|--|--|
| 4. Barger<br>et al. [43]<br>Aim: To characterize<br>sleep and hypnotic<br>use during short and<br>long missions and de-<br>termine how chronic<br>sleep-restriction af-<br>fected performance | 64<br>(16%women)<br>46 ± 4                            | Astronauts<br>Laboratory (Earth/United<br>States within the 3<br>months before launch<br>and for 1 week after<br>the space mission) and<br>Field (Space [for 1 to 30<br>weeks])   | Sleep (objective):<br>Actigraphy<br>Sleep and Fatigue (sub-<br>jective): Diaries, VAS<br>for sleep quality and<br>a alertness<br>Subjects also completed<br>medication logs<br>No objective measures of per<br>formance were examined | Circadian rhythms were not<br>examined | Sleep duration $5.9 \pm 0.6$ hrs on the<br>Space Transportation System. Astro-<br>nauts assigned to the ISS demon-<br>strated a reduction in sleep time 3<br>months and 11 days pre-flight; sleep<br>was shortest while in space ( $5.9 \pm 0.9$ hrs, $p < .0001$ compared with<br>post-mission sleep)<br>Sleep duration declined significantly<br>after the first week of the mission.<br>In space, 78% of crew members used<br>hypnotics to sleep (versus 27% in the<br>3 months before launch, $p < .0001$ )<br>Astronauts slept <6 hrs on 51% of<br>nights before EVAs. Average total<br>sleep time was shortest before EVAs<br>( $5.9 \pm 1.0$ hrs) and sleep quality was<br>rated poorly ( $62 \pm 18$ on a VAS scale<br>of 0 to 100)<br>The VAS ratings for sleep quality were<br>higher after landing compared with<br>ratings obtained during shuttle mis-<br>sions ( $p < .0001$ ), along with3 months<br>( $p = .024$ ), and 11 days ( $p = .003$ )<br>before spaceflight. The VAS ratings<br>for alertness were higher in the<br>first 7 days after landing compared<br>with the VAS values during shuttle<br>missions ( $p = .001$ ) and 11 days be-<br>fore spaceflight ( $p = .033$ ). Subjective<br>sleep quality was significantly higher<br>in the first 7 days after landing<br>compared with the in-flight periods<br>( $p < .0001$ ) and during preparations<br>before snaceflight ( $n = .003$ ) |
| 5. Flynn-Evans<br>et al. [44]<br>Aim: To determine<br>how PVT and fatigue<br>ratings changed durin<br>a simulated mission<br>and to evaluate<br>bio-mathematical pre-<br>dictive models       | 16<br>(6% women)<br>39 ± 8<br>g                       | Healthy volunteers re-<br>cruited to simulate<br>astronauts' activities<br>Laboratory<br>(United States) Obser-<br>vational study with<br>a stimulated 45-day<br>space mission<br>Rest schedules allowed<br>for 5 hrs of sleep<br>during weekdays<br>(0200–0700) and 8 hrs<br>of sleep on weekends<br>(1100–0700; 2 nights) | Fatigue (subjective):<br>Samn-Perelli scale<br>Performance (objective):<br>PVT<br>No objective measures of<br>sleep were examined   | Circadian rhythms<br>were not examined | <ul> <li>Sleep duration was not reported</li> <li>Samn-Perelli scale scores did not<br/>change significantly during the<br/>study, but the fatigue scores were<br/>significantly greater after 5 hrs of<br/>sleep versus 8 hrs of sleep (p &lt; .001).</li> <li>Subjects' performance on the PVT wors-<br/>ened from the beginning to the end<br/>of workdays (p = .048) when the data<br/>were averaged across all study days.</li> <li>Compared with weekends (after an<br/>8-h sleep opportunity) the following<br/>PVT measures were slower on<br/>weekdays (5-h sleep opportunity):<br/>RT, fastest 10% RT, slowest 10% RT,<br/>and response speed. In addition,<br/>the average number of lapses was<br/>higher (p &lt; .01 for all comparisons)</li> <li>Bio-mathematical models were used<br/>to predict PVT performance based<br/>on Samn-Perelli fatigue ratings. Four<br/>models were able to predict relative<br/>changes in performance according</li> </ul>  |

to the day of the mission and by session of the day but were less accurate at capturing differences in PVT performance in the days following the 5 hrs of sleep condition

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD) | Occupation, location<br>(field or simulator), and<br>work schedule   | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | Circadian rhythm-related<br>findings   | Sleep, fatigue, and performance<br>findings  |
|--|---|--|---|--|--|
| 6. Flynn-Evans<br>et al. [45]<br>Aim: To determine<br>how work start<br>time affected<br>6-sulfatoxymelatonin<br>levels, sleep duration<br>and timing, fatigue,<br>and performance | 44<br>(9% women)<br>31 ± 7                            | Airline pilots<br>(short-haul)<br>Field (United States)<br>Baseline duty time was<br>mid-morning (start<br>time 1030); compared<br>with early (0530 start),<br>high-workload mid-day<br>(1400) and late (1630) | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries<br>Circadian (ob-<br>jective): Urinary<br>6-sulfatoxymelatonin<br>levels<br>yCircadian (subjective):<br>Morningness-<br>Eveningness Question-<br>naire<br>Fatigue (subjective): Samn-<br>Perelli scale<br>Performance (objective):<br>PVT   | The mean circa-<br>dian phase of the<br>6-sulfatoxymelatonin<br>levels was shifted earlier<br>when pilots had early<br>take-offs (compared<br>with the mid-morning<br>take-offs during the<br>baseline period; <i>p</i> = .004).<br>No phase shift was as-<br>sociated with mid-day<br>and late flights compared<br>with baseline ( <i>p</i> = .67and<br>.30, respectively)  | Pilots slept 5.7 $\pm$ 0.7 hrs on the night<br>before an early-take-off (compared<br>with 6.8 $\pm$ 0.9 hrs before later flights,<br>p < .01). The WASO was longer<br>before earlier flights than mid-<br>morning flights (54 $\pm$ 37 versus 45 $\pm$<br>30 min, $p < .05$ )<br>When pilots slept during different<br>times of day, they did not rate the<br>quality of their sleep differently.<br>Sleep diary data revealed that pilots<br>overestimated their total sleep dur-<br>ation (as compared with actigraphy-<br>derived sleep measures)<br>Mean PVT RT was significantly slower<br>for the early, mid-day and late<br>start times compared with base-<br>line (i.e. mid-morning start time).<br>Comparisons of mean PVT lapses,<br>RT, and response speed were not<br>significantly different between<br>mid-morning take-offs and pilots'<br>off-duty days |
| 7. Flynn-Evans<br>et al. [46]<br>Aim: To define circadian<br>misalignment in space<br>and determine how<br>sleep was associated<br>with mission-critical<br>events                 | 21<br>(29% women)<br>47 ± 4                           | Astronauts<br>Field (Space)<br>Work and sleep times were<br>not reported   | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries<br>(e.g. number of awaken-<br>ings were documented),<br>VAS rating of sleep<br>quality (ranging from 0<br>to 100)<br>Fatigue (subjective): VAS<br>ratings of alertness (ran-<br>ging from 0 to 100)<br>Circadian (objective):<br>Temperature (Circadian<br>Performance Simulation<br>Software)<br>No objective measures of per-<br>formance were examined | The circadian temperature<br>rhythm did not align<br>with 13% of astronauts'<br>sleep episodes pre-flight.<br>In-flight, 19% of the sleep<br>episodes were not opti-<br>mally aligned with the<br>circadian temperature<br>rhythm<br>Pre-flight, astronauts slept<br>significantly longer when<br>their circadian tempera-<br>ture rhythm was aligned<br>with their sleep timing<br>( $6.0 \pm 1.2$ hrs), compared<br>with when it was mis-<br>aligned ( $5.5 \pm 1.8$ hrs; $p =$<br>.002). In-flight, sleep was<br>also significantly longer<br>when aligned with the<br>temperature circadian<br>rhythm ( $6.4 \pm 1.2$ hrs)<br>compared with circadian<br>misaligned sleep ( $5.4 \pm$<br>1.4 hrs; $p <$ .0001)<br>Sleep quality ratings were<br>higher ( $66.8 \pm 17.7$ ) when<br>the circadian temperature<br>rhythms were aligned<br>with astronauts' sleep<br>timing (misaligned = $60.2$<br>$\pm 21.1; p = .01$ ). Alertness<br>ratings, however, were<br>not different depending<br>on whether sleep timing<br>was aligned with the cir-<br>cadian rhythm ( $p = .130$ ) | Sleep durations were 5.9 ± 0.9 hrs<br>pre-flight and 6.1 ± 0.7 hrs in-flight<br>(according to actigraphy)<br>According to sleep diaries, sleep<br>durations did not differ between<br>the pre-flight (6.3 ± 0.8 hrs) and<br>in-flight periods (6.5 ± 0.7 hrs). Sleep<br>quality measures were also similar<br>(pre-flight, 62.3 ± 15.6; in-flight, 66.5<br>± 13.4). Astronauts' alertness rating<br>did not differ pre-flight versus<br>in-flight (56.0 ± 19.5 pre-flight and<br>57.7 ± 18.7 during space flight   |

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|---|---|--|--|--|---|
| 8. Gander<br>et al. [47]<br>Aim: To examine the<br>effect of multiple<br>trans-meridian flights<br>and in-flight sleep dur<br>ation on performance  | 39<br>(0% women)<br>55  | Airline pilots<br>(long-haul)<br>Field (United States [East<br>Coast or Hawaii] to<br>Japan)<br>Day and night duty<br>periods analyzed.<br>Flight from the East<br>Coast departed at 1400<br>hrs or 0230 hrs, and<br>they arrived in Hawaii<br>at 0345 or 1500 hrs.<br>Flight departed Hawaii<br>at 1700 hrs and arrived<br>in Japan at 1700 hrs.<br>Flights departed Japan<br>at 1700 or 0800 hrs and<br>arrived in the United<br>States at 0330 hrs or<br>1530 hrs | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries<br>Fatigue (subjective): KSS;<br>Samn-Perelli scale<br>Performance (objective):<br>PVT | Circadian rhythms<br>were not examined | In-flight sleep duration was -3 hrs on<br>flights travelling from the East coast<br>to Japan; the relief crew for landing<br>obtained 2.3 hrs of in-flight sleep<br>After a long-haul flight leg, pilots<br>obtained significantly more sleep<br>on post-trip day one compared to<br>baseline (estimated mean sleep<br>extension = 49 min, p = .008). From<br>post-trip day two onwards, total<br>sleep time per 24 hrs was not sig-<br>nificantly different from baseline<br>Total sleep time (in the prior 24 hrs)<br>predicted KSS scores at TOD. In<br>flights from Japan to the East<br>Coast (United States of America),<br>total sleep time in the prior 24 hrs<br>was associated with mean RT and<br>slowest 10% RT (at TOD). In the<br>Japan to East Coast flight pattern,<br>there was a main effect of total time<br>awake on the slowest 10% RT   |
| 9. Gander et al. [48]<br>Aim: To combine sleep/<br>wake history data<br>from four studies of<br>long-range and ultra-<br>long-range flights to<br>test association with<br>fatigue and perform-<br>ance | 237<br>(% women not<br>reported)<br>43 (median,<br>range 27-63) | Airline pilots<br>(long-haul)<br>Field (around the world)<br>Day and night duty<br>periods analyzed. De-<br>parture time was cat-<br>egorized in 4-hr bins:<br>0200-0559, 1000-1359,<br>1400-1759, 1800-2159,<br>and 2200-0159   | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries<br>Fatigue (subjective): KSS,<br>Samn-Perelli scale<br>Performance: PVT                |  | The median sleep duration prior to<br>duty was 7.0 hrs (range 2.2–13.8<br>hrs). Median total sleep time<br>in-flight was 3.7 hrs (range 0.2–6.3<br>hrs). Median total sleep time in<br>the 24 hrs prior to TOD was 4.8 hrs<br>(range 0.2–11.5 hrs)<br>For every 1-hr increase in sleep dur-<br>ation, the pre-flight KSS ratings and<br>Samn-Perelli fatigue ratings de-<br>creased significantly. The duration<br>of time awake at duty start was not<br>associated with KSS or Samn-Perelli<br>scores. Total sleep time in the 24<br>hrs before duty was associated with<br>an increase in PVT response speed.<br>The duration of time awake (at duty<br>start) was not associated with PVT<br>response speed<br>At TOD, time awake was associated<br>with an increase in KSS and Samn-<br>Perelli scores. Total sleep time (in<br>the 24 hrs prior) was not associated<br>with TOD KSS or Samn-Perelli<br>scores. Total in-flight sleep was<br>associated with a decrease in KSS<br>and Samn-Perelli scores (TOD). Total<br>sleep time in the prior 24 hrs was<br>not associated with the slowest 10%<br>RT on the PVT (TOD). The median<br>KSS rating (pre-flight) was 3 (range<br>1–8) and at TOD was 3 (range 1–9).<br>Median Samn Perelli rating (pre-<br>flight) was 2 (range 1–6) and at TOD |

was 3 (range 1–7)

on flights arriving 0600–0959 than on flights arriving 1400–1759 (p = .004)

| Study citations and<br>aims for the 28 reviewed<br>articles   | Sample size<br>(% women)<br>Age (years, mean<br>± SD)          | Occupation, location<br>(field or simulator), and<br>work schedule   | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | ,<br>Circadian rhythm-related<br>findings | Sleep, fatigue, and performance<br>findings  |
|---|--|--|---|---|--|
|   |  |  |   |   | Pilots' KSS scores (pre-flight) were<br>lower when flights departed be-<br>tween 1400 and 1759 hrs (compared<br>with departures occurring between<br>2200 and 0159 hrs, $p = .003$ , or be-<br>tween 0200 and 0559 hrs, $p = .001$ ).<br>The Samn-Perelli fatigue scores (pre-<br>flight) were lower for departures<br>between 1400 and 1759 (compared<br>with departures occurring between<br>2200 and 0159 hrs, $p = .0003$ , or 0200<br>and 0559 hrs, $p = .0004$ ). The KSS and<br>Samn-Perelli scores were higher (at<br>TOD) when flights arrived between<br>0600 and 0959 hrs compared with<br>later landing times<br>Pre-flight PVT response speeds and<br>flight departure time post hoc ana-<br>lyses were not significant after cor-<br>recting for multiple comparisons<br>The mean PVT response speed and<br>the slowest 10% of PVT responses<br>were significantly faster at TOD for<br>flights arriving between 1400–1759<br>hrs versus flights arriving earlier<br>in the day<br>Median PVT response speed pre-flight<br>was 4.27 (range 1.81–5.49) and at<br>TOD was 4.00 (range 2.11–5.41). Me-<br>dian slowest 10% of PVT response<br>speed pre-flight was 2.82 (range<br>0.37–4.45) and at TOD was 2.55<br>(range 0.38–4.48) |
| 10. Gander et al. [49]<br>Aim: To determine the<br>effects of departure<br>and arrival times on<br>fatigue and perform-<br>ance using combined<br>analyses of data about<br>long-range and ultra-<br>long-range flights | 237<br>(% women not<br>reported)<br>43 (median; rang<br>27-63) | Airline pilots<br>(long-haul)<br>Field (Around the world)<br>eFlight departure times<br>and arrival times were<br>categorized in 4-hr<br>bins according to the<br>following clock hours:<br>0200–0559, 0600–0959,<br>1000–1359, 1400–1759,<br>1800–2159, 2200–0159 | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries<br>Fatigue (subjective): KSS;<br>Samn-Perelli scale<br>Performance: PVT | Circadian rhythms<br>were not examined    | Sleep duration was not reported<br>Pilots obtained more in-flight sleep<br>on flights departing 1800–2159 and<br>2200–0159 versus 0200–0559 ( $p$ =<br>.0036; $p$ = .0169), 1000–1359 ( $p$ =<br>.0001; $p$ = .0010), or 1400–1759 ( $p$ =<br>.0001; $p$ = .0001)<br>Pilots were less fatigued before flights<br>departing 1400–1759 and 1800–2159<br>versus 2200–0159 ( $p$ = .0021; $p$ =<br>.0215), or 0200–0559 ( $p$ = .0013; $p$ =<br>.0270). At TOD, pilots had higher KSS<br>and Samn-Perelli ratings on flights<br>arriving 0600–0959 versus 1000–1359<br>( $p$ = .0005; $p$ =.0347)<br>Flight departure time impacted KSS and<br>Samn-Perelli ratings ( $p$ = .0002 and $p$<br>< .0001). Pilots were less sleepy before<br>flights departing 1400–1759 versus<br>2200–0159 ( $p$ = .0204) or 0200–0559<br>( $p$ = .0032). Flight departure time did<br>not impact pre-flight PVT metrics.<br>At TOD, mean RT was slower on<br>flights arriving 0200–0559 versus<br>arriving 1400–1759 (0200–0559, $p$ =<br>.003; 0600–0959, $p$ = .004). Mean RT<br>was also significantly slower at TOD   |

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD) | Occupation, location<br>(field or simulator), and<br>work schedule   | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | Circadian rhythm-related<br>findings   | Sleep, fatigue, and performance<br>findings  |
|--|---|--|---|--|--|
| 11. Ganesan et al. [50]<br>Aim: To examine the<br>impact of one versus<br>two consecutive night<br>of work on fatigue and<br>performance | 29<br>(28% women)<br>33.4 ± 10.1<br>s                 | Truck drivers<br>Field (Australia)<br>Working 12-hr night-<br>shifts in a coal mine<br>in Australia (data were<br>collected over 2 con-<br>secutive night-shifts)<br>All participants worked<br>day shifts followed by<br>a minimum of 2 days<br>off work                                    | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries;<br>PSQI<br>Fatigue (subjective): KSS;<br>Epworth Sleepiness<br>Scale<br>Performance: PVT; driving  | Circadian rhythms<br>were not examined | Sleep duration differed before the first<br>and second work nights $(9.1 \pm 1.5)$<br>versus $5.4 \pm 1.2$ , $p < .01$ ). There were<br>increased KSS scores at the end of<br>both shifts (effect of time; $p = .001$ )<br>PVT lapses and the slowest 10% of RT<br>were similar at the start and end of<br>the first night of work. Greater im-<br>pairments in performance occurred<br>at the end of the second night of<br>work (versus night one; $p < .05$ ).<br>There was also a significant shift<br>in the time interaction observed on<br>drive violations ( $p = .05$ ). Total sleep<br>time in the 48 hrs prior to the start<br>of shifts significantly impacted per-<br>formance during night shift-work<br>( $p = .001$ )  |
| 12. Gregory et al. [51]<br>Aim: To measure the<br>impact of sleep<br>duration and quality<br>(from in-flight rest<br>breaks) on fatigue  | 500<br>(% women not<br>reported)<br>Age not reported  | Airline pilots<br>(short-haul)<br>Field (United States)<br>Day and night duty<br>periods analyzed. Time<br>of day during in-flight<br>rest breaks categor-<br>ized into 4-hr bins:<br>0200-0600, 0600-1000,<br>1000-1400, 1400-1800,<br>1800-2200, 2200-0200<br>hrs                          | Sleep (subjective): Self-<br>reported in-flight sleep<br>and quality (1 = very<br>good; 5 = very poor) and<br>duration<br>Fatigue (subjective): KSS,<br>Samn-Perelli scale<br>No objective measures of<br>sleep or performance were<br>examined | Circadian rhythms<br>were not examined | <ul> <li>(p. 1867)</li> <li>Only in-flight sleep duration was<br/>reported (1.5 ± 0.7 hrs from clock<br/>time 0600-1000, 1.2 ± 0.7 hrs from<br/>1400-1800, and 1.4 ± 0.7 hrs from<br/>2200 to 0600)</li> <li>Samn-Perelli ratings (at break start)<br/>during the night-time (from 0200-<br/>0600 hrs) were higher versus the<br/>afternoon and early evening. Samn-<br/>Perelli ratings during the early<br/>evening (1800-2200 hrs) were lower<br/>than earlier (0600-1400 hrs) and<br/>later (2200-0200 hrs). KSS ratings at<br/>break start during the night-time<br/>and early-morning (2200-1000 hrs)<br/>were higher than those during<br/>the afternoon and early evening<br/>(1400-2200hrs). Samn-Perelli ratings<br/>at TOD during the night-time<br/>(0200-0600 hrs) were significantly<br/>higher than those obtained during<br/>the mid-day (1000-1400 hrs). The<br/>KSS ratings at TOD did not differ<br/>significantly by time</li> </ul> |
| 13. Gregory et al. [52]<br>Aim: To examine<br>scheduling practices<br>(over 1 year) and test<br>a fatigue prediction<br>model            | 61<br>(% women not<br>report)<br>≥ 30                 | Airline pilots<br>(maritime)<br>Field (United States)<br>Work periods lasted<br>7.6 hrs on average<br>(pilots had ≤ 4 ship<br>assignments per work<br>period). Work weeks<br>were 35 hrs on average<br>(usually for 3 consecu-<br>tive days). Night work<br>typically started at<br>0200 hrs | Fatigue: The Sleep, Ac-<br>tivity, Fatigue, and Task<br>Effectiveness-Fatigue<br>Avoidance Scheduling<br>Tool<br>No objective measures of<br>sleep, subjective measure<br>of fatigue, or objective<br>measures of performance<br>were examined  | Circadian rhythms<br>were not examined | Over half of the work periods were<br>assigned high predicted fatigue<br>scores. Higher predicted fatigue was<br>associated with duty starting be-<br>tween 0000 and 0400 hrs. Variability<br>in start times and busy assignments<br>were also associated with higher<br>predicted fatigue scores  |

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD) | Occupation, location<br>(field or simulator), and<br>work schedule  | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)  | Circadian rhythm-related<br>findings    | Sleep, fatigue, and performance<br>findings  |
|--|---|---|--|---|--|
| 14. Honn et al. [53]<br>Aim: To examine the im-<br>pact of multiple flights<br>during the day versus<br>one flight on fatigue<br>and performance             | 24<br>(8% women)<br>33 (range 24-49)                  | Airline pilots<br>Laboratory (flight simu-<br>lator)<br>Duty periods lasted from<br>0515 to 1415 hrs. Pilots<br>flew in the stimu-<br>lator for 2 consecutive<br>days. A randomized<br>cross-over design was<br>used so that pilots had<br>multiple flights one<br>day and flow on flights<br>the other day | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diary<br>Fatigue (objective): KSS;<br>Samn-Perelli Fatigue<br>Scale<br>Performance: PVT  | Circadian rhythms<br>were not examined  | The night before the first simulated<br>flight, pilots slept 5.6 $\pm$ 1.1 hrs. Be-<br>fore the second day, they slept 6.4<br>$\pm$ 1.2 hrs<br>There were significant reductions in<br>Samn-Perelli (by 0.5 $\pm$ 0.1) and KSS<br>scores (by 0.4 $\pm$ 0.1) for every add-<br>itional hour of sleep pilots obtained<br>before flying. Prior sleep duration<br>was negatively associated with<br>scores on the Samn-Perelli scale<br>( $p < .001$ ) and KSS ( $p < .001$ ). Samn-<br>Perelli and KSS scores were highly<br>correlated over subjects and across<br>test bouts ( $r = 0.63$ , $p < .001$ ). PVT<br>lapses and mean RT were highly<br>correlated over subjects and across<br>test bouts ( $r = 0.67$ , $p < .001$ ). There<br>was an increase in Samn-Perelli<br>and KSS scores throughout the day<br>over the test bouts regardless of<br>the multi-flight or single segment<br>condition. The number of PVT false<br>starts was negatively associated<br>with the prior night's sleep duration<br>( $n < .001$ ) |
| 15. Jones e al. [54]<br>Aim: To evaluate the im-<br>pact of spaceflight on<br>sleep-wake activities,<br>workload, stress, fa-<br>tigue, and perform-<br>ance | 24<br>(21% women)<br>48 ± 5                           | Astronauts<br>Field (Space)<br>Astronauts on-duty other<br>than scheduled sleep<br>time (2130–0600)   | Sleep (subjective): Dairy<br>logging daily sleep-wak<br>schedule; VAS for sleep<br>quality (11-point scale)<br>Fatigue (subjective): VAS<br>(11-point scale) for<br>workload, stress, menta<br>fatigue, physical ex-<br>haustion, tiredness, and<br>sleepiness<br>Performance (objective):<br>Reaction Self-Test using<br>the PVT-B<br>No objective measures of<br>sleep were examined | Circadian rhythms<br>ewere not examined | Astronauts reported an average<br>nightly sleep duration of 6.5 ± 1.4<br>hrs in-flight in space and only slept<br>the NASA scheduled 8.5-hr sleep<br>opportunity on 6% of nights<br>Sleep time ≤6 hrs/night occurred 40%<br>of the time on weekdays versus<br>23% of the time on weekends.<br>Astronauts did not report changes<br>in their WASO or changes in sleep<br>efficiency while on the ISS<br>Sleep durations <7 hrs/night were as-<br>sociated with poorer ratings of sleep<br>quality, and >9 hrs of sleep was as-<br>sociated with more optimal ratings<br>of sleep quality. Their VAS ratings of<br>sleepiness, tiredness, mental fatigue<br>were higher after ≤4, 4–5, and 5–6<br>hrs of sleep (versus 7–8 hrs)<br>Sleep duration was positively asso-<br>ciated with the mean RT on the<br>PVT-B. Total sleep time >9 hrs was<br>associated with faster RT relative<br>to all groups with total sleep time<br>≤7 hrs  |

(44% women)

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD)  | Occupation, location<br>(field or simulator), and<br>work schedule           | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)  | Circadian rhythm-related<br>findings   | Sleep, fatigue, and performance<br>findings  |
|--|--|--|--|--|--|
| 16. Koller et al. [55]<br>Aim: To characterize<br>slow-wave density,<br>spectral band-power,<br>duration, and slope<br>using EEG sleep data<br>that were collected be-<br>fore, during, and after<br>two Space Shuttle<br>missions | 4<br>Sex and age not<br>reported   | Astronauts<br>Field (Space)<br>Sleep and work schedules<br>were not reported | Sleep (objective): EEG<br>No objective measures of fa-<br>tigue or performance were<br>examined  | Circadian rhythms<br>were not examined | Sleep duration was not reported<br>The sleep period time was $42.0 \pm 7.9$<br>min shorter in-flight compared to<br>pre-flight ( $p = .001$ ) and $34.3 \pm 9.9$<br>min shorter compared to post-flight<br>( $p = .013$ ). Total sleep time was sig-<br>nificantly reduced by $48.2 \pm 16.0$ min<br>in-flight compared to pre-flight ( $p = .029$ ). The duration of sleep stages<br>and WASO did not differ pre-flight<br>versus in-flight versus post-flight.<br>Slow spindle frequency was signifi-<br>cantly affected by pre-flight condition<br>( $p < .01$ ). There was a slow spindle<br>shift towards higher frequencies by<br>$0.17 \pm 0.03$ Hz in-flight compared<br>to pre-flight ( $p < .001$ ). The spindle<br>frequency increased by $0.27 \pm 0.06$<br>Hz in-flight compared to pre-flight<br>( $p < .001$ ). Slow-wave amplitude<br>decreased by $2.53 \pm 0.70 \ \mu$ V in-flight<br>compared to pre-flight ( $p = .011$ ).<br>Slow-wave duration or band-power<br>were not affected by flight condition<br>( $n = .54$ ) |
| 17. Mollicone et al. [56]<br>Aim: To predict fatigue<br>from sleep/wake<br>patterns using a<br>bio-mathematical<br>fatigue model examine<br>relationships between<br>predicted fatigue level<br>and hard-braking<br>events         | 106<br>(6% women)<br>45 ± 11   | Truck drivers<br>Laboratory (United States)<br>Schedules were not reported   | Sleep (objective):<br>Actigraphy<br>Fatigue (subjective): KSS<br>Performance (objective):<br>PVT; hard-braking<br>events   | Circadian rhythms<br>were not examined | (p = .54)<br>Sleep duration was not reported<br>Of the total hrs truck drivers were<br>driving, 50% were associated with<br>predicted fatigue scores ≥5.9 (me-<br>dian); 5% were associated with pre-<br>dicted fatigue scores ≥12.8, 1% were<br>associated with predicted fatigue<br>scores >16.5<br>The frequency of hard-braking events<br>increased as predicted fatigue levels<br>worsened, after controlling for<br>time of day (p = .018). Of the hard-<br>breaking events, 58% were associ-<br>ated with predicted fatigue (derived<br>from sleep/wake by actigraphy)<br>≥5.9; each 1-unit increment on the<br>fatigue scale (equivalent to 1 lapse<br>on the PVT) increased the frequency<br>of hard-braking events by 7.8%.  |
| 18. Moore et al. [57]<br>Aim: To determine the<br>impact of long-<br>duration spaceflight<br>and microgravity on<br>post-landing motor<br>vehicle operator<br>proficiency  | 8. Tested before<br>and after<br>142-200 days<br>on the ISS<br>(0% women)<br>48 ± 7<br>12 Controls<br>(ground-<br>based healthy<br>subjects; 0%<br>women)<br>39 ± 10<br>12 (sleep-<br>restriction<br>group)<br>40 ± 11 | Astronauts<br>Laboratory (United States)                                     | Fatigue (subjective):<br>Stanford Sleepiness<br>Scale<br>Performance (objective):<br>Cognitive/sensorimotor<br>test battery<br>No objective measures of<br>sleep were examined | Circadian rhythms were not<br>examined | Upon returning from the ISS, astro-<br>nauts demonstrated significant<br>deficits in manual dexterity, dual-<br>tasking, motion perception, and the<br>ability to operate a motor vehicle.<br>Astronauts post-flight motor func-<br>tions recovered (returned to base-<br>line) 4 days after landing   |

| Study citations and   | Sample size<br>(% women)    | Occupation, location  | Measures of sleep, fatigue,   |  |  |
|---|-----------------------------|---|---|--|--|
| aims for the 28 reviewed articles   | Age (years, mean<br>± SD)   | (field or simulator), and<br>work schedule  | and/or performance<br>(objective and subjective)  | Circadian rhythm-related findings      | Sleep, fatigue, and performance<br>findings  |
| 19. Morris et al. [58]<br>Aim: To determine re-<br>lationships among<br>pilot's perceptions<br>of fatigue, circadian<br>preferences, fatigue<br>mitigation strategies,<br>and performance | 21<br>(14% women)<br>29 ± 2 | Airline pilots<br>(Cargo; Air Force)<br>Field (United States)<br>Schedules were not reported  | Sleep (objective):<br>Actigraphy (but data<br>were not reported)<br>Fatigue (subjective): Fa-<br>tigue perceptions (five<br>items ranging from 1 =<br>strongly agree and 5 =<br>strongly disagree); five<br>fatigue mitigation strat-<br>egies (responding never,<br>sometimes, half of the<br>time, most of the time,<br>and always)<br>Performance (objective):<br>PVT (but data were not<br>reported)  | Circadian rhythms<br>were not examined | Sleep duration was not reported<br>Pilot perceptions of fatigue as a ser-<br>ious safety of flight concern was<br>positively associated with personal<br>concerns of fatigue ( $r = 0.67$ , $p < .01$ ),<br>and the perception that changes are<br>needed to address pilot fatigue<br>( $r = 0.55$ , $p < .05$ ). The perception<br>that changes are needed to address<br>pilot fatigue was positively asso-<br>ciated with personal concerns of<br>fatigue ( $r = 0.57$ , $p < .01$ ), and pilots<br>reported feeling pressured to con-<br>tinue a mission despite fatigue<br>( $r = 0.60$ , $p < .01$ )<br>In this sample, 5% of pilots a had a<br>"moderate evening" chronotype,<br>29% were "intermediate evening",<br>33% were "moderate morning".<br>In addition, 67% of pilots responded,<br>"strongly agree" to the question:<br>"Fatigue is a serious safety of flight<br>concern for the air mobility com-<br>munity". 38% of pilots responded,<br>"strongly agree" to the question:<br>"I have personally felt concerned<br>about my fatigue level with respect<br>to safety of flight" |
| 20. Nasrini et al. [59]<br>Aim: To evaluate fatigue<br>and performance after<br>sleep-restriction   | 32<br>(53% women)<br>36 ± 8 | Astronauts<br>Laboratory (spaceflight<br>mission simulator)<br>Day and night duty<br>periods analyzed.<br>Astronauts on-duty<br>other than scheduled<br>sleep time (2300–0700).<br>In campaign 1, par-<br>ticipants underwent<br>one-night of sleep-<br>restriction (scheduled<br>sleep time 0300–0700).<br>In campaign 2 parti-<br>cipants underwent<br>one-night of sleep de-<br>privation (no scheduled<br>sleep time) | Sleep (subjective): Time<br>in bed; Perceived sleep<br>quality (Campaign 2<br>only)<br>Fatigue (subjective):<br>Perceived alertness;<br>Perceived workload,<br>sleepiness, happiness,<br>sickness, physical<br>exhaustion, mental<br>fatigue, stress, depres-<br>sion, boredom, loneli-<br>ness, monotony, and<br>crewmember conflicts<br>(campaign 2 only)<br>Performance (objective):<br>d Cognition test battery<br>and PVT<br>No objective measures of<br>sleep were examined | Circadian rhythms were not<br>examined | Sleep duration was not reported<br>Over time (excluding sleep depriv-<br>ation days), there were decreasing<br>ratings of poor sleep quality in<br>campaign 2. In general, subjects<br>reported high sleep quality. Subjects<br>were more likely to report tiredness<br>during sleep deprivation in both<br>campaigns. In campaign 2 there<br>was a significant effect of sleep<br>deprivation on subjects reporting<br>increased workload, sleepiness,<br>physical exhaustion, and mental<br>fatigue<br>Accuracy on the PVT increased sig-<br>nificantly over time in mission<br>(excluding sleep deprivation days).<br>Reduced sleep impaired PVT re-<br>sponse speed and accuracy was<br>lower (p < .001)   |

|   | Sample size                                 |  |   |  |   |
|---|---|--|---|--|---|
| Study citations and<br>aims for the 28 reviewed<br>articles   | (% women)<br>Age (years, mean<br>± SD)      | Occupation, location<br>(field or simulator), and<br>work schedule   | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | Circadian rhythm-related findings      | Sleep, fatigue, and performance<br>findings   |
| 21. Powell et al. [60]<br>Aim: To evaluate a bio-<br>mathematical model<br>to predict fatigue   | 324 (53% female)<br>Age not reported        | Airline pilots<br>(long and short-haul)<br>Field (New Zealand and<br>Australia)<br>Data analyzed from 11<br>studies across both<br>day and night duty<br>periods   | Sleep (subjective): Diary<br>(documenting in-flight<br>sleep periods and<br>sleeping locations)<br>Fatigue (objective): Pilot<br>Alertness Test<br>Fatigue (subjective): KSS;<br>Samn-Perelli fatigue<br>scale; VAS for fatigue and<br>drowsiness; System for<br>Aircrew Fatigue Evalu-<br>ation (SAFE) model for<br>predicting fatigue levels<br>from in-flight sleep and<br>duty information<br>Performance (objective):<br>Pilot Alertness Test<br>No objective measures of<br>sleep were examined | Circadian rhythms<br>were not examined | Sleep duration was not reported<br>Predicted fatigue values from the SAFE<br>model were positively associated<br>with VAS scores ( $r = 0.85$ ). Predicted<br>fatigue values were positively asso-<br>ciated with RT on the Pilot Alertness<br>Test ( $r = 0.57$ ). The SAFE model<br>overestimated fatigue during the<br>morning and underestimated fa-<br>tigue during the evening. A similar<br>trend was noted for over- and<br>under-estimation of the RT during<br>the morning and evening (not<br>statistically significant). Subjective<br>fatigue (VAS) was positively associ-<br>ated with the RT determined by the<br>Pilot Alertness Test ( $r = 0.74$ )  |
| 22. Sallinen et al. [61]<br>Aim: To examine aircrew<br>fatigue during night<br>duty shifts  | 392 (24% women)<br>Mean age not<br>reported | Airline pilots<br>(long and short-haul)<br>Field (European Union)<br>Day and night duty<br>periods were analyzed.<br>The analysis focused<br>on high-risk scheduless<br>night work >10 hrs and<br>disruptive schedules<br>(i.e. early-starts, late<br>finishes, and nights)  | Sleep (objective):<br>Actigraphy<br>Fatigue (subjective): KSS;<br>Samn-Perelli scale<br>Performance (objective):<br>PVT-B   | Circadian rhythms<br>were not examined | On-duty napping occurred during long<br>night duty periods (pilots: 31%, cabin<br>crew: 20%) and short night duty<br>(pilots: 11%, cabin crew: 8%) of em-<br>ployees. Prior sleep duration was a<br>main predictor of high fatigue levels.<br>The probability of high fatigue (KSS<br>≥7 at TOD) was 0.41 and 0.32 during<br>long (>10 hr) and short (<10 hr) night<br>duty periods. Start time was a sig-<br>nificant predictor of fatigue levels.<br>When the duty period occurred<br>between 0200 and 0559 hrs, higher<br>fatigue levels were more likely  |
| 23. Sallinen et al. [62]<br>Aim: To determine levels<br>and predictors of<br>fatigue at TOD and<br>determine the in-flight<br>sleep/wake ratio ac-<br>cording to the 'window<br>of circadian low' | 519<br>(18%women)<br>38 ± 9<br>:<br>,       | Airline pilots<br>(long and short-haul)<br>Field (European Union)<br>Day and night duty<br>periods analyzed.<br>Analysis focused on<br>high-risk schedules:<br>>10 on-duty hrs at<br>night and schedules<br>that are considered<br>disruptive of circadian<br>rhythm (early-starts =<br>duty period start time<br>between 0500 and 0659<br>hrs; late finishes =<br>duty period end time<br>between 2300 and 0159<br>hrs; FDPs during the<br>window of circadian<br>low between 0200 and<br>0459 hrs) | Sleep (objective):<br>Actigraphy<br>Sleep (subjective):<br>Diary; Morningness-<br>Eveningness Question-<br>naire<br>Fatigue (subjective): KSS;<br>Samn-Perelli scale<br>Performance:PVT (pilots<br>only)  | Circadian rhythms were not<br>examined | <ul> <li>On-duty napping occurred in 5% of early-starts (pilots: 8%, cabin crew: 1%), 11% of late finishes (pilots: 12%, cabin crew: 8%), and 15% of night FDPs (pilots: 16%, cabin crew: 13%)</li> <li>High KSS scores at TOD were more likely during night-shifts (both long and short flights). Point estimates for high KSS scores were higher for both types of shifts with consecutive late finishes or night-shifts. Start time, end time, number of time zones crossed, and number of shifts during the 'window of circadian low' were significant predictors of high KSS scores at TOD</li> <li>The mean KSS score at TOD was 5.4 for both long and short night. The odds ratio for high fatigue (KSS) at TOD was 2.0 during early-starts (p = .026), 3.8 during late finishes (p &lt; .001; compared with daytime as a reference). Longer time awake at TOD was associated with increased odds of reporting high KSS scores (p &lt; .001). More hrs of sleep in the previous 24 hrs were associated with</li> </ul> |

lower odds of reporting high KSS scores at TOD (p < .001)

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD)     | Occupation, location<br>(field or simulator), and<br>work schedule  | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)  | Circadian rhythm-related<br>findings   | Sleep, fatigue, and performance<br>findings  |
|--|---|---|--|--|--|
| aims for the 28 reviewed<br>articles<br>24. Sparrow et al. [63]<br>Aim: To determine how<br>restart breaks affected<br>sleep, fatigue, and per-<br>formance in daytime<br>and night-time drivers | Age (years, mean<br>± SD)<br>106<br>(6% women)<br>45 ± 11 | (field or simulator), and<br>work schedule<br>Truck drivers<br>Field (United States)<br>Day and night duty<br>periods analyzed. Time<br>of day (hr) was used in<br>statistic models | and/or performance<br>(objective and subjective)<br>Sleep (objective):<br>Actigraphy<br>Fatigue (subjective): KSS<br>Performance: PVT, driving<br>activity | Circadian rhythm-related<br>findings<br>Circadian rhythms were not<br>examined | Sleep, fatigue, and performance<br>findings<br>Before restart breaks, drivers slept a<br>mean of 6.0 ± 0.2 hrs per 24 hrs be-<br>fore the one-night restart condition,<br>and 6.2 ± 0.1 hrs in the > one-night<br>restart group. The one-night restart<br>group slept an average of 8.8 ± 0.3<br>hrs per 24 hrs during the restart<br>break and the > one-night restart<br>group slept an average of 8.9 ± 0.2<br>hrs<br>The KSS scores were higher in the<br>one-night restart condition. The<br>24-hr patterns of lane deviation in<br>the two conditions were consistent<br>with the 24-hr patterns observed<br>for the PVT-B. On PVT-B, drivers ex-<br>hibited 2.0 ± 0.3 lapses of attention<br>during duty cycles preceded by the<br>one-night restart condition, and 1.7<br>± 0.3 lapses of attention per PVT-B<br>assessment during duty cycles<br>preceded by the > one-night restart<br>condition (one-night versus > one-<br>night restart group) and hour of the<br>day for sleep obtained during both<br>duty time and the restart break. The<br>one-night restart group obtained<br>more of their sleep during daytime<br>hrs, while the > one-night restart<br>group obtained more sleep at night<br>In the one-night restart group, KSS<br>scales were significantly higher<br>during daytime periods. There was<br>a significant effect of both condition<br>and time of day on PVT lapses.<br>There was a significant interaction |
|  |   |   |  |  | of lane deviations. Lane deviations<br>in the one-night restart group were   |
|  |   |   |  |  | nigner during 0400–0500, 0800–0900,<br>and 1500–1600 hrs. Lane deviations<br>were higher in the >1-night restart   |
|  |   |   |  |  | group during 1900–2000 hrs   |

| Study citations and<br>aims for the 28 reviewed  | Sample size<br>(% women)<br>Age (years, mean | Occupation, location<br>(field or simulator), and   | Measures of sleep, fatigue,<br>and/or performance  | Circadian rhythm-related               | Sleep, fatigue, and performance   |
|--|--|---|--|--|---|
| 25. van den Berg<br>et al. [64]<br>Aim: To determine<br>how in-flight sleep<br>and time of day<br>affect fatigue | 298<br>Sex and age not<br>reported           | Airline pilots<br>(long-haul)<br>Field (New Zealand)<br>Day and night duty<br>periods analyzed. Time<br>of day during in-flight<br>rest breaks and arrival<br>times categorized into<br>4-hr bins: 0200-0559,<br>0600-959, 1000-1359,<br>1400-1759, 1800-2159,<br>2200-0159 | Sleep (subjective): Diary;<br>sleep quality on 7-point<br>scale where 1 = ex-<br>tremely good and 7 =<br>extremely poor<br>Fatigue (subjective): KSS;<br>Samn-Perelli scale, Crew<br>Status Check<br>No objective measures of<br>sleep or performance were<br>examined         | Circadian rhythms were not<br>examined | Sleep duration was not reported<br>Fewer pilots attempted to nap from<br>1800 to 2159 compared with 2200-<br>0159 and 0200-0559. Pilots obtained<br>more sleep during breaks starting<br>0200-0559 versus breaks starting<br>0600-0959 ( $p$ = .001), 1000-1359<br>( $p$ = .004), and 1800-2159 ( $p$ < .0001).<br>Sleep quality was rated as signifi-<br>cantly better for breaks starting<br>0200-0559 than for breaks starting<br>1800-2159 ( $p$ = .001) and 2200-0159<br>( $p$ = .032)<br>Pilots reported higher KSS scores (at<br>TOD) on flights arriving 0200-0559<br>than on flights arriving 1000-1359 ( $p$<br>< .0001), 1400-1759 ( $p$ < .0001), and<br>2200-0159 ( $p$ < .0001). Pilots also re-<br>ported higher KSS scores at TOD on<br>flights arriving between 1000-1359<br>( $p$ < .0001), 1400-1759 ( $p$ < .0001), and<br>2200-0159 ( $p$ < .0001)<br>With every 1-hr increase in sleep<br>duration in-flight, KSS sleepiness<br>ratings decreased by 0.6 points<br>and Samn-Perelli fatigue ratings<br>decreased by 0.4 points. Most pilots<br>surveyed attempted sleep during<br>their scheduled rest break. Samn-<br>Perelli fatigue ratings had the same<br>relationships with arrival times as<br>KSS scores at TOD |
| 26. Vejvoda et al. [65]<br>Aim: To compare fatigue<br>levels upon landing fo:<br>late and early flights          | 40<br>(0% female)<br>r32 ± 6                 | Airline pilots<br>(short-haul)<br>Field (Germany)<br>Early starting duty<br>periods (0500–0659)<br>were compared to late-<br>finishing duty periods<br>(0000–0159)  | Sleep (objective):<br>Actigraphy<br>Sleep (subjective): Diaries<br>Fatigue (subjective): KSS;<br>Samn-Perelli scale; Crew<br>Status Check<br>The NASA Task Load index<br>was used to measure<br>workload complexity<br>No objective measures of per-<br>formance were examined | Circadian rhythms were not<br>examined | The duration of the preceding night<br>sleep time (which averaged 6.5<br>hrs in early starters and 7.6 hrs in<br>late finishers, respectively) had no<br>significant effect on fatigue (Samn-<br>Perelli) at end of shift<br>Pilots were significantly more fatigued<br>when they started working in the<br>afternoon or evening, compared<br>with the morning (Samn-Perelli scale<br>scores obtained at the end of duty)<br>A comparison of early-starts and late-<br>landings showed that pilots' fatigue<br>was higher for the latter condition<br>( $p < .001$ ), reaching moderate to severe<br>levels, even though the prior sleep<br>period time was longer by 1.1 hrs<br>( $p < .001$ ) and duty duration was shorter<br>by 0.7 hrs (8.6 versus 9.3 hrs, $p = .047$ )<br>Fatigue and sleepiness increased in<br>the late evening hrs, which were<br>paralleled by longer time awake.<br>Fatigue reached critical values after<br>2200 hrs. Fatigue was lower in the<br>morning despite shorter previous<br>night sleep period time. Time awake<br>at start of shift was a main predictor<br>Samn-Perelli scale scores (at shift<br>end).Sleep period time before a shift  |

had no significant effect on fatigue

| Study citations and<br>aims for the 28 reviewed<br>articles  | Sample size<br>(% women)<br>Age (years, mean<br>± SD) | Occupation, location<br>(field or simulator), and<br>work schedule   | Measures of sleep, fatigue,<br>and/or performance<br>(objective and subjective)   | Circadian rhythm-related<br>findings   | Sleep, fatigue, and performance<br>findings   |
|--|---|--|---|--|---|
| 27. Wong et al. [66]<br>Aim: To determine<br>how 28-hrs of sleep<br>deprivation affected<br>ROBoT metrics(e.g.%<br>of successful captures,<br>alignment-reversal<br>score, and efficiency to<br>capture) | 9<br>(44%women)<br>32 ± 10                            | Healthy volunteers re-<br>cruited to simulate<br><i>astronauts</i> ' activities<br>Laboratory (NASA;<br>spaceflight mission<br>simulator for 28-hrs)<br>Participants were studied<br>during the day and<br>night | Sleep (objective):<br>Actigraphy (before sleep<br>deprivation)<br>Sleep (subjective): PSQI<br>(global PSQI scores < 5<br>were required for study<br>participation)<br>Fatigue (subjective): Fa-<br>tigue Severity Index<br>(scores < 36 were<br>required for study par-<br>ticipation); KSS<br>Circadian Preference (sub-<br>jective): Morningness-<br>Eveningness<br>Questionnaire (scores <<br>58 or > 42 were required<br>for study participation)<br>Performance (objective):<br>ROBoT; PVT | Circadian rhythms were not<br>examined | Before the sleep deprivation period,<br>subjects obtained 8.1 $\pm$ 0.5 hrs of<br>sleep (PSQI global score = 2.8 $\pm$ 1.6)<br>Subjects demonstrated improved<br>performance (learning effects) over<br>time despite sleep loss. Partici-<br>pants improved from the second<br>to the last training session in the<br>alignment-reversal score ( $p$ < .001),<br>efficiency to capture ( $p$ = .004), and<br>percentage of successful captures<br>( $p$ = .01). When skills were separated<br>by difficulty, there was an improve-<br>ment in the medium-easy and hard<br>trials with increased time awake,<br>but not other levels of difficulty.<br>There was a significant change in<br>several components of PVT with<br>increased time awake including:<br>decreased mean 1/RT ( $p$ < .001),<br>decrease in slowest 10% RT ( $p$ <<br>.001), increase in the fastest 10% RT<br>( $p$ < .001), and an increase in<br>number of lapses ( $p$ < .001). No<br>ROBoT performance metrics had<br>significant correlations with PVT<br>scores. Subjects' KSS scores were<br>correlated with the % of successful<br>captures ( $r$ = 0.24, $p$ < .05) but not<br>with any other ROBoT metrics |
| 28. Zaslona et al. [67]<br>Aim: To qualitatively<br>analyze pilots' experi-<br>ences with in-flight<br>sleep and their efforts<br>to mitigate fatigue  | 123<br>(% women not<br>reported)<br>Age not reported  | Airline pilots<br>(long-haul)<br>Field (New Zealand)<br>Data from pilots working<br>long-range and ultra-<br>long-range flights<br>across day and night<br>duty periods  | Sleep (subjective): Diaries<br>Fatigue (subjective): Open-<br>ended questions about<br>in-flight fatigue mitiga-<br>tion strategies<br>No objective measures of<br>sleep or performance were<br>examined  | Circadian rhythms were not<br>examined | Sleep duration was not reported<br>The designs/locations of the aircrafts'<br>rest facilities affected pilots' percep-<br>tions of sleep quality, fatigue, and<br>alertness. Uncertainty about the<br>scheduling of breaks/naps disrupted<br>pilots' in-flight rest, especially for<br>junior pilots. Pilots experienced<br>enhanced sleep quality (and im-<br>proved alertness) when the timing<br>of their in-flight naps were aligned<br>with their perceived circadian<br>rhythms. Pilots attempted to adjust<br>the timing of their sleep when<br>they knew their flight schedules in<br>advance   |

Data are mean ± standard deviation (unless noted otherwise).

EEG, Electroencephalogram; ISS, International Space Station; KSS, Karolinska Sleepiness Scale (scores range from 1 [extremely alert] to 9 [extremely sleepy]); MEQ, Morningness-Eveningness Questionnaire (scores 70–86 [morning type], 59–69 [moderately-morning], 42–58 [neither type], 31–41 [moderately evening-type]), 16–30 [evening-type]); PSQI, Pittsburg Sleep Quality Index (scores range 0–21 [scores >5 indicate poorer sleep quality]); PVT, psychomotor vigilance task; ROBoT, robotics on-board trainer; RT, reaction time; TOD, top of descent; VAS: Visual Analog Scale.

in stimulators) [43, 44, 53, 56, 57, 59, 66] and field investigations (where data acquisition occurred during normal occupational activities) [40–42, 45–52, 54, 55, 58, 60–65, 67]. Most pilots were employed by commercial airlines (to transport passengers) [40–42, 45, 47–49, 51, 53, 60–62, 64, 65, 67] with the exception of studies about maritime piloting (to guide ships) [52] and military transport operations [58]. Two papers about truck drivers utilized the same group of drivers (who had irregular start times) [56, 63]; another sample of truck drivers worked rotating day- and night-shifts with predictable start times [50]. Data were also provided by astronauts assigned to the International Space Station (ISS) [43, 46, 54, 57, 59] and Space Transportation System [43] as well as healthy volunteers who participated in simulated missions [44, 57, 59, 66]. The key findings across studies were organized to reflect the following themes: (1) sleep characteristics; (2) fatigue during work, (3) schedules and circadian rhythms; and (4) variables affecting performance.

#### Sleep characteristics

Sleep was measured objectively in space [43, 46, 54, 55] and on Earth [41-43, 45-50, 53, 56, 61-63, 65, 66]. In addition to actigraphy [41-43, 45-50, 53, 54, 56, 61-63, 65, 66], subjects completed sleep diaries [43, 45-50, 53, 54, 60, 62, 64, 65, 67], and questionnaires, such as the Pittsburgh Sleep Quantity Index (PSQI) [40, 42, 50, 66] and Athens Insomnia Scale [40]. Polysomnography was utilized in one study for a quantitative analysis of the cortical electroencephalogram (EEG) in astronauts before, during, and after a space mission [55]. Subjects' sleeping periods were restricted by researchers in two studies to examine the effects of sleep deprivation [44, 59]. Eleven of the articles did not provide any sleep duration data [40, 44, 49, 55, 56, 58-61, 64, 67]. When sleep durations were calculated, sleeping ~6 hrs/day was common in all three occupations [43, 45, 46, 53, 54, 63, 65]. Truck drivers, for example, slept 6.2 ± 0.1 [mean ± standard error] hrs/day before driving, and they did not increase their sleep significantly when off-duty [63]. Airline pilots had mean sleep durations >7 hrs in three studies [41, 42, 53]. Pilots' sleep durations were affected by their subsequent flight schedules [42, 45, 48, 49, 64, 65]. For example, early-morning take-offs were associated with shorter sleep durations in short-haul pilots (compared with their sleep duration before flights with later departure times) [42, 45]. On long-haul flights, pilots' obtained more in-flight sleep when their flights departed later in the day (after 1800 hrs) compared with earlier departure times [49].

The data from astronauts illustrated their difficulties with sleeping in space. Astronauts commonly used medications to sleep, such as zolpidem [43], zaleplon [43], and melatonin [55] (the use of sleep-inducing medications was not examined in pilots or truck drivers). According to polysomnography recordings analyzed by Koller et al. [55], astronauts had a significant reduction in daily total sleep time by  $0.8 \pm 0.3$  hrs when they were in space, compared with their sleep on Earth. In other studies about astronauts, shorter sleep durations and poor sleep quality were associated [54, 59]; astronauts also rated the quality of their sleep in space poorly (compared with their sleep on Earth) [43]. Importantly, astronauts did not obtain >6 hrs of sleep on the nights before the more dangerous aspects of their missions, such as extravehicular activities (EVA) [43].

Koller et al. [55] hypothesized that microgravity may physiologically alter the structure of sleep in space. To test this hypothesis, four astronauts underwent polysomnography recordings. Koller et al. focused on two features of non-rapid eye movement (NREM) sleep: sleep spindles and slow-waves. Sleep spindles bursts of oscillatory activity (9–15 Hz) lasting <2 s—have been associated with memory processing, while slow-waves indicate the depth and quality of NREM sleep. Sleep spindles can be fast (12–15 Hz) or slow (9–12 Hz) and occur during stages 2 and 3 of NREM sleep. Compared with sleep on Earth, the astronauts' sleep in space was characterized by lower slow-wave amplitude (decreased by  $2.5 \pm 0.7 \,\mu$ V in-flight versus pre-flight, p = .01). They also demonstrated a higher fast spindle density (increased by  $1.8 \pm 0.5$  spindles/min in-flight versus pre-flight, p < .001) and a shift toward higher frequencies in the slow spindles (increased by 0.2  $\pm$  0.03 Hz in-flight versus pre-flight, which returned to baseline when astronauts returned to Earth). The following parameters were examined but did not differ significantly in the space/Earth comparisons: the duration of sleep stages, wake after sleep onset (WASO), fast spindle amplitude, slow spindle density, and slow spindle band-power. Collectively, the findings indicated that the depth of sleep may be reduced in space, and Koller et al. [55] also suggested that the sleep spindle changes could impact the ability to learn new skills, such as adapting to weightlessness in space, although this study did not demonstrate that any specific cognitive tasks were correlated with sleep microstructural changes .

#### Fatigue during work

Five validated questionnaires were used to subjectively measure fatigue: the Karolinska Sleepiness Scale (KSS; scores range 0-9) [42, 47, 49-51, 53, 56, 60-66], Stanford Sleepiness Scale (scores range 1-7) [57], Epworth Sleepiness Scale (scores range 0-24) [40, 42, 50], and Fatigue Severity Scale (scores range 9-63) [40, 66], and Samn-Perelli fatigue scale (scores range 0-7) [41, 42, 44, 45, 47-49, 51, 53, 60-62, 64, 65]. Questionnaires were not administered at similar times across the 28 studies. For example, truck drivers' fatigue questionnaires were completed three times a day [63] or at the beginning and end of their shifts [50]. Pilots' fatigue levels were usually measured at Top of Descent (TOD, a safety-sensitive time during flight [~30 min before landing]) [47-49, 51, 61, 62, 64, 65], although pilots also completed questionnaires when they reached their cruising speed [53] or every morning and evening [42]. Maritime pilots did not complete any validated fatigue questionnaires, however, data about their work schedules were used to calculate 'effectiveness' scores that reflected their predicted levels of fatigue [52]. Astronauts' questionnaires, including fatigue visual analogue scales (VAS), were typically administered immediately before or after they engaged in performance testing [44, 46, 54, 57, 59]. Some of the papers did not specify the exact times when subjects were asked to complete questionnaires or VAS ratings [40, 43, 58].

Questionnaire data revealed that truck drivers' fatigue was affected by their work and rest schedules [50, 56, 63]. Over 12-hrs of driving, for example, truck drivers' KSS scores increased throughout their shift (4.1  $\pm$  1.4 to 6.3  $\pm$  1.5 [mean  $\pm$  standard deviation]; p < .01) [50], and truck drivers' on-duty KSS scores were lower after they had >1 nighttime rest period (3.3  $\pm$  0.1 [with only 1 nighttime rest period] versus 3.1  $\pm$  0.1 [mean  $\pm$  standard error], p = .03) [63]. For astronauts, fatigue levels differed according to whether measures were obtained pre-flight, during spaceflight, or upon returning to Earth [43, 57]. When astronauts returned from the ISS, for example, their Stanford Sleepiness Scale scores were higher than their pre-flight scores (4.0  $\pm$  1.6 versus 2.1  $\pm$  0.6, p > .05) [57]. Two studies about astronauts, however, did not find fatigue to vary significantly over time and with respect to mission timelines [46, 54].

The data from pilots illustrated how their fatigue levels were affected by numerous factors: rank/experience [40, 67], in-flight nap opportunities [67], flight durations (short-haul [ $\leq$ 3 hrs] or long-haul [>6 hrs] flights) [65], take-off and landing times [42, 49, 64], high workloads (e.g. multiple assignments/landings per day) [40, 52, 53], and the duration of time spent awake [48, 65]. A comparison of Captains and First Officers revealed that the more experienced pilots (Captains) reported lower Epworth

Sleepiness Scale scores (7.7  $\pm$  3.9 versus 9.7  $\pm$  3.8, p < .001), and Fatigue Severity Scale scores were inversely correlated with pilots' age (r = -0.79, p < .0001) [40]. A qualitative analysis of pilots' perspectives about their napping opportunities on long flights supported the conclusion that experience provided them with skills for combating fatigue and staying focused-experienced pilots explained how they learned to adapt to their work conditions over time, which allowed them to use their in-flight/ pre-scheduled nap periods to effectively mitigate fatigue while their co-pilots were flying [67]. Long-haul pilots reported higher levels of fatigue (Samn-Perelli scale) when they had landings in the late evening or night [65], and fatigue scores were higher (Samn-Perelli and KSS at TOD) when pilots were flying between 0200 and 0600 hrs [62]. Two studies about short-haul pilots found that they did not experience significantly different Samn-Perelli scores at TOD depending on whether they flew earlier or later in the day [42, 45], but pilots in another study had higher Samn-Perelli scale scores for early-morning versus late-morning flights  $(4.0 \pm 0.9 \text{ versus } 3.5 \pm 0.8, p < .001)$  [42]. Data from the KSS (at TOD) also showed that pilots were significantly sleepier for early-morning versus nighttime landings (compared with midday measures) [49, 62, 64, 65].

In pilots, there were inconsistent results about the effects of prior sleep duration on KSS and Samn-Perelli fatigue scale sores—some investigators found that pilots' prior sleep duration was significantly correlated with these fatigue measures [53, 61] while others did not find significant associations between sleep duration and fatigue [65]. For example, Honn et al. [53] studied pilots who were using a flight simulator; when they had longer sleep before their simulated flights, their KSS and Samn-Perelli fatigue scores were significantly lower (p < .001)—every additional hour of sleep reduced their KSS scores by 0.4 ± 0.1 units and Samn-Perelli scores by 0.5 ± 0.1 units. In addition, Gander et al. [48] found that for every additional hour that short-haul pilots had been awake, there were significant increases in Samn-Perelli and KSS scores (at TOD) by 0.1 and 0.2 points, respectively.

#### Schedules and circadian rhythms

The reviewed studies involved many different types of work schedules. Unpredictable and irregular working hrs were particularly common in the transportation industry [40-42, 45, 48, 50, 52, 60-63, 65, 67]. Maritime pilots, for example, worked 'on-call' schedules that depended the movements of oil tankers, container ships, and cruise ships-these pilots' start times and duty durations were highly-variable [52]. Long-haul pilots had pre-determined schedules; however, they crossed multiple time zones during their flights, which shifted their clocks by 5-12 hrs [48, 49]. Commercial airline pilots often flew multiple flights within a single day, and they had to remain alert for daytime, evening, and nighttime take-offs and landings [41, 42, 45, 48, 62, 67]. Truck drivers had different types of schedules [50, 56, 63]. In the United States, for example, truck drivers were required to take a 34-hr break after accumulating 60-70 hrs of weekly driving. Although this requirement was intended to allow for rest, it led truck drivers' subsequent assignments to begin and end at different times [63]. For Australian truck drivers who worked in the coal mining industry, however, the work schedules were more predictable because they were assigned to day- or night-shifts (lasting 12-hrs), but their schedules rotated weekly [50].

The Morningness-Eveningness Questionnaire (MEQ; scores range 16–86) was used in four studies, which found that airline pilots and astronauts did not have strong dispositions for being awake or asleep at specific times of the day [44, 45, 58, 66]. For example, the MEQ scores from astronauts [44], short-haul commercial pilots [45], and military pilots [58] indicated that they did not favor early or late sleeping and working times (e.g. MEQ scores of  $52.9 \pm 12.3$  for astronauts,  $51.4 \pm 7.1$  for commercial pilots, and  $53.9 \pm 8.2$  for military pilots). The MEQ was not used in any of the studies about truck drivers [50, 56, 63]. In a stimulated space mission, MEQ scores were used to exclude subjects who reported definite preferences for morningness or eveningness (i.e. study participation required an MEQ score between 42 and 58 to avoid circadian preferences from confounding the study findings) [46].

Circadian biomarkers (e.g. urinary 6-sulfatoxymelatonin levels and 24-hr temperature fluctuations) were analyzed in airline pilots and astronauts [42, 45, 46]. For example, Arsintescu et al. [42, 45] measured airline pilots' urinary 6-sulfatoxymelatonin rhythms to understand how flight schedules affected their circadian rhythms. They estimated a baseline acrophase (indicating the circadian nadir in each pilot's 6-sulfatoxymelatonin rhythm) as they flew for 5 consecutive days with mid-morning take-offs. Wide inter-individual circadian phase differences were found among the pilots during this baseline period-the urinary 6-sulfatoxymelatonin acrophases occurred between 0200 and 0630 hrs (n = 13). Then, after 3 to 4 days off, the pilots switched to a schedule requiring earlymorning take-offs, which was associated with a phase advance in most of the pilots (n = 9); however, two pilots had a phase delay-the sample's acrophases ranged between 0030 and 0450 hrs. When pilots' schedules rotated (to begin with midday take-offs), the sample demonstrated a mean phase advance of 1.3 hrs (n = 7 [phase advance]; n = 1 [phase delay]). When the pilots' shifts began in the evening, however, the 6-sulfatoxymelatonin rhythm acrophases occurred between 0200 and 0630 hrs. Although this small sample size limited statistical comparisons, these findings illustrated how pilots were able to acclimate to their schedules [42]. In a study of astronauts, Flynn-Evans et al. [46] tested the hypothesis that space travel would cause a misalignment between the endogenous circadian temperature rhythm and astronauts' sleep/wake schedules. The Circadian Performance Simulation Software was used to determine how astronauts' temperatures aligned with their sleep/wake cycles (considering that body temperature rhythms should typically reach a nadir during sleep). A percentage of the astronauts' temperature rhythms were not aligned with the timing of their sleep/wake periods 11 days before the mission (13%), in space (19%), and on the nights before conducting EVAs (29%). This temperature/sleep timing alignment was an important finding considering that astronauts with a circadian misalignment slept 0.5 fewer hrs and had VAS scores reflecting poorer subjective sleep quality ( $p \le .01$ ) compared with astronauts without the misalignment [46]. The temperature/sleep timing and alignment was not significantly associated with astronauts' subjective reports of fatigue [46].

#### Variables affecting performance

To objectively measure performance, subjects completed PVTs lasting 3-, 5-, or 10-min [41, 42, 44–50, 54, 56, 59, 61–63, 66]. Researchers also analyzed performance-related data acquired

from the following platforms: NASA Task Load Index (measured mental, physical, and emotional aspects of workload) [41, 53, 62], Reaction Self-Test (a computerized PVT-B that also acquires sleep/wake and workload data) [46], Cognition Test Battery (comprised of 10 neurobehavioral tests designed specifically for astronauts) [59], Pilot Alertness Test [60], and the Robotics On-Board Trainer (ROBOT; NASA's platform for assessing abilities for docking and grappling maneuvers) [66]. Trucks' lane deviations and hard-breaking events (signs of inattention and near-collisions) were also analyzed to understand driver performance [56, 63], and a group of ISS astronauts (and healthy control subjects) underwent computerized testing to compare their driving skills (e.g. manual dexterity, dual-tasking, and motion perception) [57].

Signs of degraded performance on the PVT (e.g. slower reaction time [RT], more lapses in attention, and premature responses) were associated with having higher workloads (e.g. higher NASA Task Load Index subscale scores) [41], earlymorning start times [45, 53], longer durations of wakefulness [53, 66], shorter sleep before work [45, 53], and elevated fatigue scores (on the KSS, Samn-Perelli scale, or VAS) [41, 42, 45, 48, 49, 53, 60, 62, 64, 65]. Short commercial airline flights (which posed a higher workload for pilots according to the NASA Task Load Index) and early-morning take-offs (that reduced pilots' sleep duration) were associated poorer performance [41, 45]. For example, comparisons of pilots rotating through schedules with different take-off times revealed how flying earlier in the morning was associated with a slower mean RT and more lapses in attention (257 ± 70 ms [earlymorning RT] versus  $261 \pm 62$  ms [mid-day RT], p < .01;  $4.4 \pm 5.4$ lapses [number >500 ms; early-morning] versus 4.7 ± 5.1 lapses [mid-day], p < .01) [45]. In a flight simulator, pilots also demonstrated significantly more PVT lapses when they had to perform multiple take-offs and landings within a single day (as opposed to a single flight) [53]. The prior night's sleep duration was associated with the number of PVT lapses and premature responses—each additional hour of sleep reduced the false start rate by  $0.4 \pm 0.1$  (p < .001) [53]. In a study of pilots who were flying between the U.S. and Japan, the duration of wakefulness and previous 24-hrs of sleep predicted the slowest 10% RT (at TOD). Every hour of wakefulness increased this PVT metric by 0.05 responses/s, while every additional hour of sleep was associated with an improvement by 0.10 responses/s [47].

The data for truck drivers indicated that off-duty time and rest periods, but not necessarily sleep duration, affected performance. Having >1 night to sleep between jobs was associated with significantly fewer lapses in attention on the PVT-B and fewer lane deviations while driving commercial trucks at night (despite no significant increase in their mean sleep duration during the off-duty period) [63]. A bio-mathematical model determined by Mollicone et al. used truck drivers' fatigue levels to predict hard-braking events. They determined that each lapse in the PVT increased the drivers' risk of hardbraking events by 8%, which demonstrates how poorer PVT performance can indicate an elevated risk for accidents [53]. Healthy volunteers also demonstrated performance impairments when they underwent simulated astronaut missions with sleep-restriction and deprivation [44, 57, 59, 66]. When volunteers were sleep-deprived for 28 consecutive hrs before engaging in simulated spacecraft maneuvers, their PVT indicators worsened as they stayed awake (e.g. increase in lapses, slower RT) [66]. In the Human Exploration Research Analog habitat, volunteers' sleep was restricted to 5 hrs per day (for 5 consecutive nights, followed by 8 hrs of sleep for 2 nights), and their performance was significantly worse during the sleeprestriction period (e.g. slower RT). For astronauts, sleep durations <6 hrs on the ISS were associated with a significantly slower mean RT on the PVT-B [54], and ISS astronauts did not immediately return to their baseline level of performance post-mission. Astronauts required ~4 days post-mission to recover from deficits in motor functioning/perception as a result of space/microgravity (as determined by comparisons against healthy volunteers) [57].

#### Discussion

The present review synthesizes findings about sleep, fatigue, and performance in pilots, truck drivers, and astronauts—all three occupations require sustained periods of vigilance to prevent accidents, injuries, and deaths. Our scoping review found that sleep durations <7 hrs (the recommended sleep duration) [1] were common, particularly for truck drivers, astronauts (before and during missions), and pilots with early-morning takeoffs. The reviewed studies also demonstrated how longer sleep could significantly improve performance (likely translating into lower risks for errors, collisions, and accidents). Occupational schedules could cause circadian misalignment; therefore, sleep enhancement interventions, fatigue mitigation strategies, and the development of optimal work schedules will be important for pilots, truck drivers, and astronauts (key mechanisms are illustrated in Figure 1).

Upon recognizing how sleep significantly affects job performance, several governing bodies enforced regulations requiring specific break times for sleep in the trucking and aviation industries [22, 39]. Despite the emphasis on breaks, however, the findings of present review illustrate how obtaining ≥7 hrs of sleep remains challenging. It is possible that occupational factors are impairing sleep, such as requirements for working rotating-shifts. Rotating schedules require periods of time when people must sleep during the day, but the schedules' rotating start and end times make acclimation difficult. Sunlight, an important circadian rhythm regulator, affects neural responses in the anterior hypothalamus, which regulates the pineal gland's melatonin production [7, 68]. Melatonin levels typically begin rising when lights are dimmed, but shift-work alters the phase of melatonin rhythms. Consequently, shift-workers cannot always adapt their circadian rhythms to their work schedules, especially when schedules are unpredictable, which has been shown in police officers and nurses [17, 69, 70]. These findings emphasize the importance of considering the timing of sleep periods, not only the duration of off-duty time, when designing legislation about workplace health and safety. Complex tasks, learning, memory consolidation, and emotional regulation require adequate sleep. Arsintescu et al. [41] described how pilots' had higher workload complexities and demands with shorter flights-flight length and sleep duration were both negatively associated with the NASA Task Load Index sub-components, which measured effort, stress, and frustration. These findings



Figure 1. Key mechanisms. Created with BioRender.com

illustrate the value of sleep for future endeavors related to workplace satisfaction, training, worker retention, and burnout prevention.

Astronauts' EVAs illustrate the highest-risk aspects of their work, considering the exposure to dangerous environmental conditions involving extreme cold and hypobaric hypoxia outside of the spacecraft [71, 72]. Despite the dangers, the literature illustrated how astronauts have short sleep (~6 hrs), and they slept even less before EVAs. Considering how spaceexploration endeavors are likely to increase in regularity in the future, it will be imperative to develop protocols for ensuring that astronauts can obtain adequate high-quality sleep in space, despite the potential issues caused by weightlessness, changes in atmospheric gases, and the stressors associated with confinement.

Women comprise a minority within the aviation, trucking, and space-exploration workforces, and they were underrepresented in the reviewed studies (Table 1). Only one study specifically addressed the researchers' attempts to balance the numbers of men and women in their study design [59]. Considering that more women are likely to enter these occupations in the future, it will be important that studies are designed to have adequate statistical power for comparing sleep, fatigue, or performance measures by sex, especially considering previous reports of sex differences in circadian and homeostatic sleep-regulatory factors [73].

In conclusion, future research and policy efforts should focus on developing strategies to increase sleep duration and mitigate fatigue, in addition to advancing knowledge about sleep microstructure and circadian rhythms and their effects on performance abilities. Incorporating the neurobehavioral discoveries from Dinges and colleagues' [74] research programs into novel prediction models is important for prospectively determining the risks posed by various occupational activities (e.g. EVAs in space, ultra long-haul flights) according to sleep, circadian, genetic, and mission-related predictors to make well-informed decisions about performance and safety.

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# Author's Note

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