#### CLINICAL STUDY

OPEN ACCESS Check for updates

# Chronic kidney disease recognition amongst physicians and advanced practice providers

Carlos R. Franco Palacios<sup>a</sup> (b), Rudiona Hoxhaj<sup>b</sup> and Pankaj Goyal<sup>c</sup>

<sup>a</sup>Nephrology, Critical Care Medicine Department, WellStar Health System, Marietta, GA, USA; <sup>b</sup>Internal Medicine, WellStar Health System, Marietta, GA, USA; <sup>c</sup>Division of Nephrology, Kidney C.A.R.E (Clinical Advancement, Research, and Education) Program, University of Cincinnati, Cincinnati, OH, USA

#### ABSTRACT

**Objective:** Chronic kidney disease is a worldwide public health issue, with increasing prevalence resulting in high morbidity and mortality. As a result, recognizing and treating it early can lead to improved outcomes. We hypothesized that some providers might be more comfortable making this diagnosis than others.

**Methods:** Retrospective study of 380 patients with chronic kidney disease seen between 2012 and 2016 in an outpatient setting.

**Results:** Three hundred and sixteen patients were treated by physicians and sixty-four by advanced practice providers. Chronic kidney disease was identified by the primary care providers in 318 patients (83.6%).

Patients recognized with chronic kidney disease were older,  $76 \pm 8.8$  vs  $72 \pm 7.45$  years, p = 0.001; had lower GFR, 37 [29, 46] vs 57 [37, 76] ml/min/1.73 m<sup>2</sup>, p < 0.0001 and were more likely to be seen by a physician compared to an advanced practice provider: 272/316 (86%) vs 46/64 (71.8%), p = 0.008.

In multivariate analyses, care by a physician, OR = 2.27 (1.13–4.58), p = 0.02 was associated with increased recognition of chronic kidney disease. On the other hand, higher GFR was associated with decreased diagnosis of chronic kidney disease, OR = 0.95 (0.93–0.96), p < 0.0001.

**Conclusion:** The odds of chronic kidney disease recognition were higher amongst physicians in comparison to non-physician providers.

#### Introduction

Recent assessments of patients in the United States with estimated glomerular filtration rates (GFR) <60 mL/min/1.73 m<sup>2</sup> showed that only 12% to 20% carried a formal diagnosis of chronic kidney disease (CKD). These patients tend to suffer from poorly controlled hypertension (HTN) and diabetes (DM) with low rates of statins, angiotensin converting enzyme inhibitors (ACEIs) and angiotensin II receptor blockers (ARB) use despite their high cardiovascular risk. [1]

Higher GFR, younger age, female sex, use of serum creatinine without adjusting for GFR and absence of hypertension or diabetes were previously identified as risk factors for missing the diagnosis of CKD in the outpatient setting. [2–4]

With the introduction of GFR, the recognition of CKD, proteinuria testing, prescription of ACEIs/ARBs and avoidance of nephrotoxins has modestly improved. This

has led to an increase in Nephrology referrals, especially at earlier stages of CKD. [5–10]

Although there are plenty of studies describing the patient characteristics associated with under-recognition of renal disease, there is paucity of data studying the association of primary care provider characteristics with recognition of CKD. Identifying these factors when present can further increase the quality of care for these patients.

We hypothesized that recognition of CKD can be influenced by factors independent of patient characteristics and that some primary care providers might be more comfortable making the diagnosis of CKD than others.

# **Material and Methods**

This research was approved by the Institutional Review Board at Rice Memorial Hospital in Willmar Minnesota, protocol 10222014. The study was performed in accordance with the ethical standards as laid down in the 1964 Declaration of Helsinki and its later amendments

CONTACT Carlos R. Franco Palacios 🖾 drcarlosfranco@yahoo.com Nephrology, Critical Care Medicine Department, WellStar Health System, Marietta GA, USA

**ARTICLE HISTORY** 

Received 10 May 2021 Revised 24 August 2021 Accepted 25 August 2021

#### **KEYWORDS**

Chronic kidney disease; diagnosis; provider; renal failure



 $<sup>^{</sup>m C}$  2021 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group.

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Table 1. Patient characteristics by different type of primary care providers.

|  | Physician providers $N = 316$ | Advanced practice providers $N = 64$ | p Value |  |
|--|-------------------------------|--------------------------------------|---------|--|
| Age $\pm$ SD, years  | 75.6±8.53                     | 74.7 ± 9.34                          | 0.45    |  |
| Male Sex, N (%)  | 129 (47.1)                    | 30 (46.8)                            | 0.96    |  |
| Congestive Heart Failure, N (%)                                      | 85 (26.9)                     | 12 (18.7)                            | 0.20    |  |
| Hypertension, N (%)  | 295 (93.3)                    | 62 (96.8)                            | 0.39    |  |
| Hyperlipidemia, N (%)  | 234 (74)                      | 42 (65.5)                            | 0.17    |  |
| Diabetes mellitus, N (%)   | 160 (50.6)                    | 33 (51.5)                            | 0.89    |  |
| Obesity, N (%)   | 175 (55.3)                    | 36 (56.2)                            | 0.89    |  |
| Cancer, N (%)  | 83 (26.3)                     | 13 (20.3)                            | 0.30    |  |
| Cerebrovascular disease, N (%)                                       | 25 (7.91)                     | 6 (9.38)                             | 0.62    |  |
| Peripheral vascular disease, N (%)                                   | 77 (24.4)                     | 19 (29.7)                            | 0.37    |  |
| Coronary artery disease, N (%)                                       | 103 (32.6)                    | 20 (31.2)                            | 0.83    |  |
| Atrial fibrillation, N (%)   | 84 (26.6)                     | 11 (17.2)                            | 0.10    |  |
| Chronic pulmonary disease, N (%)                                     | 90 (28.5)                     | 16 (25)                              | 0.56    |  |
| Chronic liver disease, N (%)   | 14 (4.43)                     | 2 (3.13)                             | 0.62    |  |
| Glomerular filtration rate ml/min/1.73 m <sup>2</sup> , median [IQR] | 38 [29, 49]                   | 38 [32, 49.7]                        | 0.29    |  |
| Urinary protein (mg/g), median [IQR]                                 | 100 [16, 300]                 | 100 [33, 200]                        | 0.75    |  |
| Years of practice, median [IQR]                                      | 13 [8, 19]                    | 4 [2, 9]                             | 0.007   |  |

Abbreviations: SD: standard deviation; Obesity: BMI higher than 30; BSA: body surface area; IQR: interquartile range; Proteinuria: milligram/ gram creatinine.

or comparable ethical standards. Three hundred and eighty patients with CKD seen between 2012 and 2016 in the outpatient setting were included.

Initially, a computer-generated list of patients with GFR less than 60 mL/min/1.73 m<sup>2</sup> or proteinuria was obtained. The diagnosis of CKD was confirmed by manual revision of the charts.

Chronic kidney disease was defined as evidence of structural or functional kidney abnormalities that persisted for at least 3 months, with or without a GFR of less than  $60 \text{ mL/min/1.73} \text{ m}^2$ . Proteinuria was defined as urine protein to creatinine ratio  $\geq 200 \text{ mg/g}$  per day or urinary albumin excretion  $\geq 300 \text{ mg/24 h}$ .

Two types of primary care providers were identified: physicians (MDs or DOs in the specialties of internal medicine and family medicine) and advanced practice providers (nurse practitioners or physician assistants). Each group of providers was solely responsible for delivering primary care to their patients. Each provider's visit note over a period of 4 years in the medical chart was reviewed, looking for a documented diagnosis of chronic kidney disease or a corresponding ICD-9 or ICD-10 code.

The ICD-9 or ICD-10 codes for proteinuria, chronic kidney disease, chronic renal insufficiency, chronic kidney insufficiency, kidney disease, renal disease, renal insufficiency, kidney stone, renal calculus, renal atrophy, renal sclerosis, kidney failure, renal failure, cystic kidney disease, kidney cyst, polycystic kidney, multicystic kidney, glomerulonephritis, nephritis, nephropathy, and hypertensive chronic kidney disease were used.

# **Exclusion criteria**

Patients on renal replacement therapy or with a renal transplant; patients younger than 18 years of age or pregnant.

For parametric data, differences in the mean were compared by the Student's *t*-test. For highly skewed data, the Wilcoxon–Mann–Whitney test was used.

Differences in proportions were assessed by the Chisquare or Fisher's exact test.

For multivariate analyses, logistic regression models were used for variables with P values < 0.1 in univariate analyses.

P values  $\leq$  0.05 were considered statistically significant. All the analyses were performed using JMP statistical software version 14 (SAS Campus Drive, Cary, NC).

#### Results

Three hundred and sixteen patients were cared by physicians and sixty-four by advanced practice providers. The baseline patient characteristics are described in Table 1 and were similar amongst the providers.

Chronic kidney disease was identified in 318 patients (83.6%) and urinary protein excretion was measured in 321 patients (84.5%).

In patients with proteinuria and GFR below 60 mL/ $min/1.73 m^2$ , seven (25.9%) were not recognized as having CKD. In those with GFR above 60 mL/min/1.73 m<sup>2</sup>, five patients (20%) were not diagnosed with CKD.

One hundred and forty-three patients (45.1%) with recognized CKD were treated with ACEIs vs 33 patients (51.6%) with unrecognized CKD, p = 0.40.

Angiotensin receptor blockers were prescribed in 63 patients (19.8%) with CKD diagnosed vs seven patients (11.2%) with undiagnosed CKD, p = 0.15.

Non-steroidal anti-inflammatory drugs (NSAIDS) were prescribed in 19 patients (30.5%) not diagnosed with CKD vs 49 patients (15.4%) with CKD diagnosis, p = 0.006.

| Table 2. Baseline | characteristics | associated | with | recognition | of | chronic | kidney | disease. |
|-------------------|-----------------|------------|------|-------------|----|---------|--------|----------|
|                   |                 |            |      |             |    |         |        |          |

|  | Chronic kidney disease recognized $N = 318$ Chronic kidney disease not recognized $N = 62 p$ Value |                   |          |  |
|--|--|-------------------|----------|--|
| Age $\pm$ SD, years  | 76 ± 8.8   | $72 \pm 7.45$     | 0.001    |  |
| Male gender, N (%)   | 152 (47.6)   | 28 (45.1)         | 0.78     |  |
| Physician provider, N (%)  | 272 (86)   | 44 (14)           | 0.008    |  |
| Advanced practice provider, N (%)                                    | 46 (71.8)  | 18 (28.2)         |          |  |
| Years in practice, years, median [IQR]                               | 11 [6, 17]   | 12.5 [3.25, 17.7] | 0.89     |  |
| Diabetes mellitus, N (%)   | 161 (50.8)   | 31 (50)           | 0.90     |  |
| Hypertension, N (%)  | 296 (93.4)   | 60 (96.8)         | 0.39     |  |
| Hyperlipidemia, N (%)  | 232 (73.2)   | 43 (69.3)         | 0.54     |  |
| Obesity, N (%)   | 172 (54.3)   | 38 (61.3)         | 0.30     |  |
| Cancer, N (%)  | 82 (25.9)  | 14 (22.6)         | 0.58     |  |
| Congestive Heart Failure, N (%)                                      | 86 (27.1)  | 11 (17.7)         | 0.15     |  |
| Cerebrovascular disease, N (%)                                       | 26 (8.20)  | 5 (8.06)          | 1        |  |
| Peripheral vascular disease, N (%)                                   | 80 (25.2)  | 16 (25.8)         | 1        |  |
| Atrial fibrillation, N (%)   | 80 (25.2)  | 14 (22.6)         | 0.75     |  |
| Coronary artery disease, N (%)                                       | 102 (32.2)   | 21 (33.9)         | 0.88     |  |
| Pulmonary disease, N (%)   | 89 (28)  | 17 (27.4)         | 1        |  |
| Chronic liver disease, N (%)   | 13 (4.10)  | 3 (4.84)          | 0.73     |  |
| Glomerular filtration rate ml/min/1.73 m <sup>2</sup> , median [IQR] | 37 [29, 46]  | 57 [37, 76]       | < 0.0001 |  |
| Proteinuria (mg/g), median [IQR]                                     | 100 [24.3, 300]  | 72.6 [14.2, 200]  | 0.13     |  |

Table 3. Multivariate logistic regression analyses of factors associated with diagnosis of chronic kidney disease.

|  | OR (95% CI)      | p Value  |
|--|------------------|----------|
| Age, per each year change  | 1.01 (0.98–1.05) | 0.30     |
| Glomerular filtration rate per 1 ml/min/1.73 m <sup>2</sup> increase | 0.95 (0.93-0.96) | < 0.0001 |
| Physician provider   | 2.27 (1.13–4.58) | 0.02     |

In univariate analyses, the characteristics associated with increased recognition of CKD included older age, lower GFR and care by a physician (Table 2).

In multivariate analyses, lower GFR and care by a physician were independently associated with increased CKD recognition (Table 3).

# Discussion

Chronic kidney disease is a worldwide public health issue, with increasing prevalence and high morbidity and mortality rates. As a result, recognizing CKD and treating it early can lead to improved outcomes.

In the present study, the rate of CKD recognition was high, probably due to the fact that our population was older with a lower baseline GFR, with significant comorbidities and with the GFR automatically included in the electronic medical records. Proteinuria, as recommended in the current guidelines was measured in most patients, likely due to the high prevalence of HTN and DM.

These findings are in line with prior studies which demonstrated that assessing renal function with GFR (versus creatinine alone) translates into increased CKD diagnosis. [11, 12]

Stage 3 CKD (GFR between 30 and  $60 \text{ mL/min}/1.73 \text{ m}^2$ ), HTN, proteinuria and DM were prevalent in this population, with increased risks of end- stage renal

disease and cardiovascular events. Despite this, 15%–30% of the patients were not recognized as having CKD. Furthermore, 20%–25% of patients with proteinuria were not diagnosed with CKD.

As previously described, unrecognized CKD patients were younger and had a higher GFR, which could have impaired the diagnosis. A significant number of them were not prescribed ACEIs or ARBs and were more likely to be prescribed nephrotoxins, such as NSAIDs.

We found the odds of being diagnosed with CKD were two times higher if the patient was seen by a physician compared to a non-physician provider, despite similar patient characteristics.

One possible explanation for our findings is that physicians had significantly more years of training and practice than advanced practice providers, this could have led to better clinical documentation and pathophysiological reasoning with increased disease recognition. [13, 14]

Since primary care providers are of critical importance in the delivery of medical care, measures to increase the recognition and management of CKD by these practitioners are warranted.

These include access to educational tools, use of electronic clinical decision support systems with treatment recommendations based on the level of renal function and co-management with other professionals, such as nephrologists and pharmacists. These measures have shown to improve the quality of care in CKD (i.e., proper use of ACEIs, ARBs, statins and avoidance of NSAIDs). [15–17]

Similarly, the importance of following GFR trends and the recognition of proteinuria as a marker of adverse renal and cardiovascular outcomes needs to be disseminated among primary care providers.

The above actions could lead to increased testing and diagnosis of CKD, better blood pressure control, timely referral to Nephrology and better renal outcomes. [18–21]

To the best of our knowledge this is the first study assessing the primary care provider characteristics associated with CKD recognition. Limitations of this study include being a retrospective, single center study in a rural setting with a relatively small number of patients. Other shortcomings include the lack of histology and ultrasonography assessment, along with reliance on ICD-9 or ICD-10 codes for diagnosis of some cases of CKD. At the same time, we did not compare treatment decisions or outcomes of care among different providers or specialties.

# Conclusion

In conclusion, we have found that in this high-risk population, the odds of CKD being recognized were higher with physicians in comparison to non-physician providers

#### **Ethics approval**

This research was approved by the Institutional Review Board at Rice Memorial Hospital in Willmar Minnesota, protocol 10222014. The study was performed in accordance with the ethical standards as laid down in the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards.

# **Disclosure statement**

The authors have no conflict of interest to disclose.

# ORCID

Carlos R. Franco Palacios (b) http://orcid.org/0000-0003-3635-0654

#### Data availability statement

Data is available in a database from the corresponding author on reasonable request.

#### References

- [1] Tummalapalli SL, Powe NR, Keyhani S. Trends in quality of care for patients with CKD in the United States. Clin J Am Soc Nephrol. 2019;14(8):1142–1150.
- [2] Ouseph R, Hendricks P, Hollon JA, et al. Under-recognition of chronic kidney disease in elderly outpatients. Clin Nephrol. 2007;68(6):373–378.
- [3] Bramlage CP, Wallbach M, Ellenberger D, et al. Variables to predict nephrological disease in general, and glomerulonephritis in particular, in patients with microhematuria. J Clin Med Res. 2017;9(7):560–566.
- [4] Jain P, Calvert M, Cockwell P, et al. The need for improved identification and accurate classification of stages 3-5 chronic kidney disease in primary care: retrospective cohort study. PLoS One. 2014;9(8): e100831.
- [5] K/DOQI clinical practice guidelines for chronic kidney disease: evaluation, classification, and stratification. Am J Kidney Dis. 2002;39:S1–S266.
- [6] Wei L, MacDonald TM, Jennings C, et al. Estimated GFR reporting is associated with decreased nonsteroidal anti-inflammatory drug prescribing and increased renal function. Kidney Int. 2013;84(1):174–178.
- [7] Kagoma YK, Weir MA, lansavichus AV, et al. Impact of estimated GFR reporting on patients, clinicians, and health-care systems: a systematic review. Am J Kidney Dis. 2011;57(4):592–601.
- [8] Wang V, Hammill BG, Maciejewski ML, et al. Impact of automated reporting of estimated glomerular filtration rate in the veterans health administration. Med Care. 2015;53(2):177–183.
- [9] Naimark DM, Harel Z, Moineddin R, et al. The impact of estimated glomerular filtration rate reporting on nephrology referral pattern, patient characteristics and outcome. Nephron Clin Pract. 2012;121(1–2):c10–5.
- [10] Jain A, Hemmelgarn BR. Impact of estimated glomerular filtration rate reporting on nephrology referrals: a review of the literature. Curr Opin Nephrol Hypertens. 2011;20:218–223.
- [11] Greer RC, Powe NR, Jaar BG, et al. Effect of primary care physicians' use of estimated glomerular filtration rate on the timing of their subspecialty referral decisions. BMC Nephrol. 2011;12(1):1.
- [12] Inker LA, Astor BC, Fox CH, et al. KDOQI US commentary on the 2012 KDIGO clinical practice guideline for the evaluation and management of CKD. Am J Kidney Dis. May 2014;63(5):713–735.
- [13] Hallan SI, Rifkin DE, Potok OA, et al. Physicians' recognition and management of kidney disease: a randomized vignette study evaluating the impact of the KDIGO 2012 CKD classification system. Kidney Med. 2020;2(3):258–266.
- [14] Lohr RH, West CP, Beliveau M, et al. Comparison of the quality of patient referrals from physicians, physician assistants, and nurse practitioners. Mayo Clin Proc. 2013;88(11):1266–1271.
- [15] Peralta CA, Livaudais-Toman J, Stebbins M, et al. Electronic decision support for management of CKD in primary care: a pragmatic randomized trial. Am J Kidney Dis. 2020;76(5):636–644.

1280 👄 C. R. FRANCO PALACIOS ET AL.

- [16] Haley WE, Beckrich AL, Sayre J, et al. Improving care coordination between nephrology and primary care: a quality improvement initiative using the renal physicians association toolkit. Am J Kidney Dis. 2015;65(1):67–79.
- [17] Whitner JB, Fabiili NA, Siewart J, et al. Pharmacist-Led provider education on inappropriate NSAID prescribing rates. Fam Med. 2020;52(8):592–596.
- [18] Carroll JK, Pulver G, Dickinson LM, et al. Effect of 2 clinical decision support strategies on chronic kidney disease outcomes in primary care: a cluster randomized trial. JAMA Netw Open. 2018;1(6):e183377,
- [19] Xu G, Major R, Shepherd D, et al. Making an IMPAKT; improving care of chronic kidney disease patients in

the community through collaborative working and utilizing information technology. BMJ Qual Improv Rep. 2017;6(u207671): w4577. DOI:10.1136/bmjquality. u207671.w4577.

- [20] Pefanis A, Botlero R, Langham RG, et al. eMAP:CKD: electronic diagnosis and management assistance to primary care in chronic kidney disease. Nephrol Dial Transplant. 2018;33:121–128. DOI:10.1093/ndt/ gfw366.
- [21] Humphreys J, Harvey G, Hegarty J. Improving CKD diagnosis and blood pressure control in primary care: a tailored multifaceted quality improvement programme. Nephron Extra. 2017;7(1):18–32.