

## RESEARCH ARTICLE

# Morphological and distributional properties of SMI-32 immunoreactive ganglion cells in the rat retina

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## Abstract

SMI-32 is widely used to identify entire populations of alpha retinal ganglion cells (RGCs), and several SMI-32<sup>+</sup> RGC subsets have been studied thoroughly in rodents. However, due to the thick cover of SMI-32<sup>+</sup> neurofilaments, the morphology of SMI-32<sup>+</sup> RGCs in the central retinal region is obscured and rarely described. Moreover, SMI-32 labels more than one morphological RGC type and the full morphological characteristics and distribution of SMI-32<sup>+</sup> RGCs have yet to be discovered. Here, using intracellar neurobiotin injections combined with SMI-32 antibody staining, we investigated morphological and distributional properties of the entire SMI-32<sup>+</sup> RGCs population in the rat retina. We found that SMI-32<sup>+</sup> RGCs were evenly distributed throughout the rat retina. We compared the morphological features of SMI-32<sup>+</sup> ON and OFF cells in the central, middle, and peripheral retinal regions. We found that SMI-32<sup>+</sup> RGCs in different regions have distinct characteristics, such as the soma area and the dendritic field area, and Sholl analysis of ON cells and OFF cells revealed significant differences between each region. We classified SMI-32<sup>+</sup> RGCs into five clusters based on morphological features and found that a majority of SMI-32<sup>+</sup> RGCs belong to alpha-like cells; however, a small proportion of SMI-32<sup>+</sup> RGCs had small soma and small dendritic fields. Together, we present a full description of the morphology and distribution of SMI-32 immunoreactive RGCs in the rat retina.

## KEYWORDS

alpha, classification, distribution, morphology, neurofilament, retinal ganglion cells, SMI-32

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## 1 | INTRODUCTION

Anti-SMI-32, a monoclonal antibody against the nonphosphorylated form of the neurofilament heavy protein (NFH; also known as NEFH), was first reported to label large Golgi type I neurons in the central nervous system (Ang et al., 1991; Campbell & Morrison, 1989; Sternberger & Sternberger, 1983). Since then it has subsequently been shown that anti-SMI-32 labels a group of large retinal ganglion cells (RGCs) in humans (Straznický et al., 1992). The neurofibrillar staining method, modified from Gros-Schultze silver staining, was also shown to label alpha RGCs of the cat retina, which have a large soma and large dendritic arbors (Peichl & Wässle, 1981; Wässle et al., 1981a, 1981b). More recently, the SMI-32 antibody has been widely used to label whole populations of alpha RGCs (Baden et al., 2016; Bleckert et al., 2014; Lin et al., 2004; Schmidt et al., 2014; Sonoda et al., 2020).

The morphology and distribution of several SMI-32<sup>+</sup> RGCs subsets have been studied in detail in rodents, such as the ON-sustained RGC subset (Bleckert et al., 2014) and the melanopsin positive subset (Schmidt et al., 2014). However, a full description of the morphology and distribution of SMI-32<sup>+</sup> RGCs in the rat retina is still lacking. In particular, a description of those SMI-32<sup>+</sup> RGCs in the central retinal region is often obscured by SMI-32<sup>+</sup> neurofilaments. In addition, some studies have found evidence that SMI-32 labels are more than one type of RGC. A morphological study of the retina in C57BL/6 and Thy-1 (thymus cell antigen 1) transgenic mice indicated that the SMI-32 antibody is labeled at least four RGCs clusters, two of them with large somas and large dendritic fields as expected for alpha-like RGCs, whereas other clusters did not (Coombs et al., 2006). An investigation of physiological and morphological properties in C57BL/6J background transgenic mice revealed that SMI-32<sup>+</sup> RGCs produced ON-transient, ON-sustained, OFF-transient, and OFF-sustained responses (Krieger et al., 2017).

Here, we studied morphological and distributional properties of the entire populations of SMI-32<sup>+</sup> RGCs in the rat retina. We show that SMI-32<sup>+</sup> RGCs are evenly distributed throughout the rat retina. We describe morphological features of SMI-32<sup>+</sup> RGCs in the central, middle, and peripheral retinal regions and classify SMI-32<sup>+</sup> RGCs into five clusters based on morphological features. We also report that more than 90% of SMI-32<sup>+</sup> RGCs presented parvalbumin (PV) positive.

## 2 | MATERIALS AND METHODS

### 2.1 | Animals

All husbandry and experimental procedures in this study were in compliance with the standard of the Animal Care and Use Committees at the Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences. Equal numbers of adult male and female (280–350 g) Sprague

Dawley (SD) rats (Beijing Vital River Laboratory Animal Technology, Beijing, China) were used. Rats were housed at 22–25°C in a 12-h light/dark cycle environment with ad libitum access to food and water.

### 2.2 | Immunoperoxidase staining

Rats were deeply anesthetized with an intraperitoneal injection of sodium pentobarbital (80 mg/kg bodyweight). After eyes were enucleated, rats were sacrificed by cervical dislocation. Eyes were fixed in 4% paraformaldehyde (PFA) at 4°C overnight, and then transferred to 30% sucrose to equilibrate for 2 days at 4°C. After careful dissection, entire retinas were washed with phosphate buffer saline (PBS) 3 × 10 min, then rinsed in 1% H<sub>2</sub>O<sub>2</sub> in PBS for 15 min, followed by three 10-min washes in PBS, then incubated in 0.3% Triton X-100 blocking solution with 10% goat serum for 1 h at room temperature. Retinas were then incubated in anti-SMI-32 antibody (Biolegend, Cat# 801702, Mouse, 1:1000, research resource identifiers (RRID): AB\_2715852) overnight at 4°C. Following three 10-min washes in PBS, retinas were placed in a biotinylated mouse anti-mouse secondary antibody (Vector Laboratories, Cat# BA-9200, 1:200, RRID: AB\_2336171) and reacted with 3-3'-diaminobenzidine (DAB) (DAB substrate kit; Vector Laboratories, Cat# SK-4100, diluted as the instruction). Finally, following another three 10-min PBS washes, retinas were mounted RGC-side up on microscope slides with coverslips. Images were acquired with a microscopy slide scanning system (Olympus VS120, Japan), and then SMI-32<sup>+</sup> cells were counted.

### 2.3 | Immunofluorescence staining

To stain the retina slices once fixed and equilibrated, eyes were embedded in Tissue-Tek OCT compound (Sakura Finetek; Japan) and stored at –20°C until sectioning. Superior-inferior axis and nasal-temporal axis sections crossing the optic disk were cut at a 20-μm thickness on a cryostat microtome (Leica CM1950, Germany) and then mounted onto Super-Frost plus microscope slides (Fisherbrand; USA). Sections were rehydrated with PBS, permeabilized in 0.3% Triton X-100 in PBS containing blocking solution (10% goat serum) for 1 h at room temperature. Following this, the sections were incubated in the anti-SMI-32 antibody, mentioned above, overnight at 4°C. After gentle rinsing in PBS (3 × 10 min), the sections were incubated in secondary antibody (Alexa Fluor 594 or 488, Jackson ImmunoResearch, 1:200, RRID: AB\_2338871, RRID: AB\_2338840) for 2 h at room temperature and finally rinsed in PBS (3 × 10 min). Finally, the sections were embedded in a mounting medium with 4',6-diamidino-2-phenylindole (DAPI) (Vector Laboratories, USA) and gently covered with coverslips. Ten sections per retina were counted, and the average SMI-32<sup>+</sup> cell count from the central, middle, and peripheral regions of each retina was calculated.

**TABLE 1** Primary antibody characterization

Antibody	Target	Immunogen	Host species	Supplier Catalogue	RRID	Concentration
SMI-32	Neurofilament HNF-H	Nonphosphorylated neurofilaments from rat brain separated by SDS-PAGE	Mouse	Biologend Cat# 801702	AB_2715852	1:1000
Parvalbumin	Parvalbumin	Purified parvalbumin from rat skeletal muscle	Rabbit	Thermo Fisher Scientific Cat# PA1-933	AB_2173898	1:1000
Calretinin	Calretinin	Full length protein corresponding to Calretinin	Rabbit	Abcam Cat# ab702	AB_305702	1:1000
Melanopsin	Opsin 4	Synthetic peptide corresponding to residues K M(1) N S P S E S R V P S S L T Q D P S F(19) of rat melanopsin	Rabbit	Thermo Fisher Scientific Cat# PA1-780	AB_2267547	1:1000

For colabel staining, once fixed in PFA, entire retinas were dissected from eyecups in PBS and then washed in PBS ( $3 \times 10$  min). The retinas were then incubated in a blocking solution with 10% goat serum in 0.3% Triton X-100 and PBS for 1 h at room temperature, followed by incubation in anti-SMI-32 antibody overnight at 4°C, then placed in goat anti-mouse Alexa-488 conjugated IgG (Jackson ImmunoResearch, 1:200, RRID: AB\_2338840) for 2 h at room temperature. Following this, the retinas were costained with another primary antibody (anti-PV, Thermo Fisher Scientific, Rabbit, Cat# PA1-933, 1:1000, RRID: AB\_2173898; antimelanopsin, Thermo Fisher Scientific, Rabbit, Cat# PA1-780, 1:1000, RRID: AB\_2267547; anticalretinin, Abcam, Rabbit, Cat# ab702, 1:1000, RRID: AB\_305702). Finally, secondary antibody goat anti-rabbit Alexa-594 conjugated IgG (Jackson ImmunoResearch, 1:200, RRID: AB\_2307325) was added to the retinas.

## 2.4 | Antibody characterization

Detailed information about each primary antibody used in this study is listed in Table 1. The SMI-32 antibody is a mouse monoclonal antibody made against a neurofilament heavy protein (200–220 kDa) (Sternberger & Sternberger, 1983). Immunostaining using this antibody allows the visualization of neuronal cell bodies, dendrites, and some thick axons in the central and peripheral nervous systems of humans and rodents (Ang et al., 1991; Campbell & Morrison, 1989; Peichl, 1989; Straznický et al., 1992). The PV antibody is a polyclonal antibody raised in rabbits against skeletal muscle PV (12 kDa) from rats. Previous studies have reported that this antibody recognizes PV, but not other calcium-binding proteins (Condé et al., 1994). The calretinin antibody is a polyclonal antibody raised in rabbits by immunization with a full-length protein corresponding to calretinin (29 kDa). It recognizes calretinin in brain tissue of various animal species, but no staining is observed in the cerebellum of calretinin knockout mice (Schiffmann et al., 1999). The melanopsin antibody is a rabbit polyclonal antibody made against synthetic peptide corresponding to residues K M(1) N

S P S E S R V P S S L T Q D P S F(19) of rat melanopsin (53 kDa for unglycosylated, 85 kDa for glycosylated). There is evidence that this antibody labels melanopsin protein specifically and has no reactivity in melanopsin knockout mice (Panda et al., 2002).

## 2.5 | Retina preparation and neurobiotin injections

Retinas were carefully yet rapidly dissected from eyecups in 95% O<sub>2</sub>/5% CO<sub>2</sub> bicarbonate buffered artificial cerebrospinal fluid (ACSF), which contained (in mM): 125 NaCl, 25 NaHCO<sub>3</sub>, 10 glucose, 2.5 KCl, 1.3 NaH<sub>2</sub>PO<sub>4</sub>, 1.3 Na-ascorbate, 0.6 Na-pyruvate, 2 CaCl<sub>2</sub>, 1.3 MgCl<sub>2</sub> (pH 7.35 when saturated with 95% O<sub>2</sub>/5% CO<sub>2</sub> at room temperature). Isolated retinas were mounted RGC-side up on filter paper (GS, 0.22 mm; Millipore Corp., Bedford, MA, USA) with a 1-mm<sup>2</sup> window cut on the center and kept in oxygenated ACSF at room temperature prior to filling with neurobiotin.

The mounted retinas were then set in an injection chamber and perfused with oxygenated ACSF continually at a fixed rate (0.5 mL/min). Individual cells were visualized using an upright fixed-stage microscope (FN-S2N; Nikon, Japan). SMI-32-like RGCs (with large somas) were targeted under the control of a micromanipulator (MP-225, Sutter Instruments, USA). Recording pipettes were formed by a micropipette puller (PC-10, Narishige, Japan) with a tip resistance of 6–7 mΩ and filled with internal solution (in mM): 130 K gluconate, 1 EGTA, 10 NaCl, 10 HEPES, 2 MgCl<sub>2</sub>, 0.133 CaCl<sub>2</sub>, 3.5 Mg-ATP, 1 Na-GTP with 0.5% neurobiotin (Vector Laboratories, Burlingame, CA, USA, Cat# SP-1120). Cells were voltage-clamped in whole-cell mode with a MultiClamp700B amplifier (Molecular Devices, USA), and pipettes were removed after a 5-min diffusion of neurobiotin internal solution. Retinas were incubated for another 5 min in the chamber to allow the soma and dendrites to be completely filled and stained. After completion of the neurobiotin injection, the retinas were incubated in 4% PFA for 1 h at room temperature, followed by three 10 min washes in PBS and blocking incubation in 10% goat serum for 1 h. Retinas were then incubated in Alexa Fluor 488-conjugated streptavidin (Molecular Probes, Cat# S32354, 1:200,

RRID: AB\_2315383) for 4–6 h at room temperature and washed in PBS (3 × 10 min). Neurobiotin completely filled RGCs were costained with anti-SMI-32 antibody. Confirmed SMI-32<sup>+</sup> RGCs were then imaged for further data collection.

## 2.6 | Microscopy and morphometry

SMI-32<sup>+</sup> RGCs were imaged using confocal microscopy (LMS880, Zeiss, Germany). Z-stacks images of the whole morphology of individual RGC were acquired and then combined into a single maximum brightness projection image using ImageJ (National Institutes of Health, Bethesda, MD). The *Simple Neurite Tracer* Image J plug-in was used to manually trace the dendritic arbor of each cell, and the rendered paths images were generated as previously described (Iaboni et al., 2020). Parameters were measured as follows—(1) soma area: area of cell body; (2) diameter of soma: the longest diameter of cell body; (3) dendritic field area: the area made from joining the ends of each terminal dendritic branch together using straight lines; (4) arbor asymmetry: the distance between the center of mass of dendritic density and the cell body position; (5) total branches: total number of branches; (6) total dendritic length: the sum of the length of all branches. The soma area, diameter of soma, and dendritic field were calculated using the ImageJ “measure” function. Asymmetry, number of branches, and total dendritic length were calculated based on the rendered paths image. Sholl analysis was performed on the rendered paths image generated above with the *Sholl analysis* ImageJ plug-in as described before (Ferreira et al., 2014).

## 2.7 | Cluster analysis

To classify the SMI-32<sup>+</sup> RGCs, we performed unsupervised clustering based on the six measured parameters, including ON OFF property, soma area, dendritic field area, arbor asymmetry, total branches, and total dendritic length. Clustering was implemented with custom MATLAB (The MathWorks Inc, Natick, MA) scripts and the built-in hierarchical clustering function (MATLAB Statistics Toolbox). Before clustering, each parameter was normalized by the z-score method using the following equation:

$$z_i = \frac{x_i - \bar{x}}{\sigma} \quad (1)$$

where  $x_i$  is the  $i$ th RGC sample's parameter,  $\bar{x}$  is the mean value of  $x$ ,  $\sigma$  is the standard deviation of  $x$ , and  $z_i$  represents the z-scored value of  $x_i$ . Then, the z-scored values of all the six parameters were used to calculate the paired-wise distance matrix of the 83 SMI-32<sup>+</sup> RGCs with the Euclidean distance metric. Based on this distance matrix, we used Ward's method to calculate linkage distances between the different groups of cells. Finally, 83 SMI-32<sup>+</sup> RGCs were classified into five clusters using the iterative agglomerative procedure.

## 2.8 | Statistical analysis

All data were analyzed by using the GraphPad Prism 8.0 software (GraphPad, USA). Results are shown as mean ± standard error of

mean (SEM). The unpaired student's t-test was used for two groups comparison and one-way ANOVA for three or more groups. Asterisks indicate the level of statistical significance (\* $p < .05$ ; \*\* $p < .01$ ; \*\*\* $p < .001$ ).

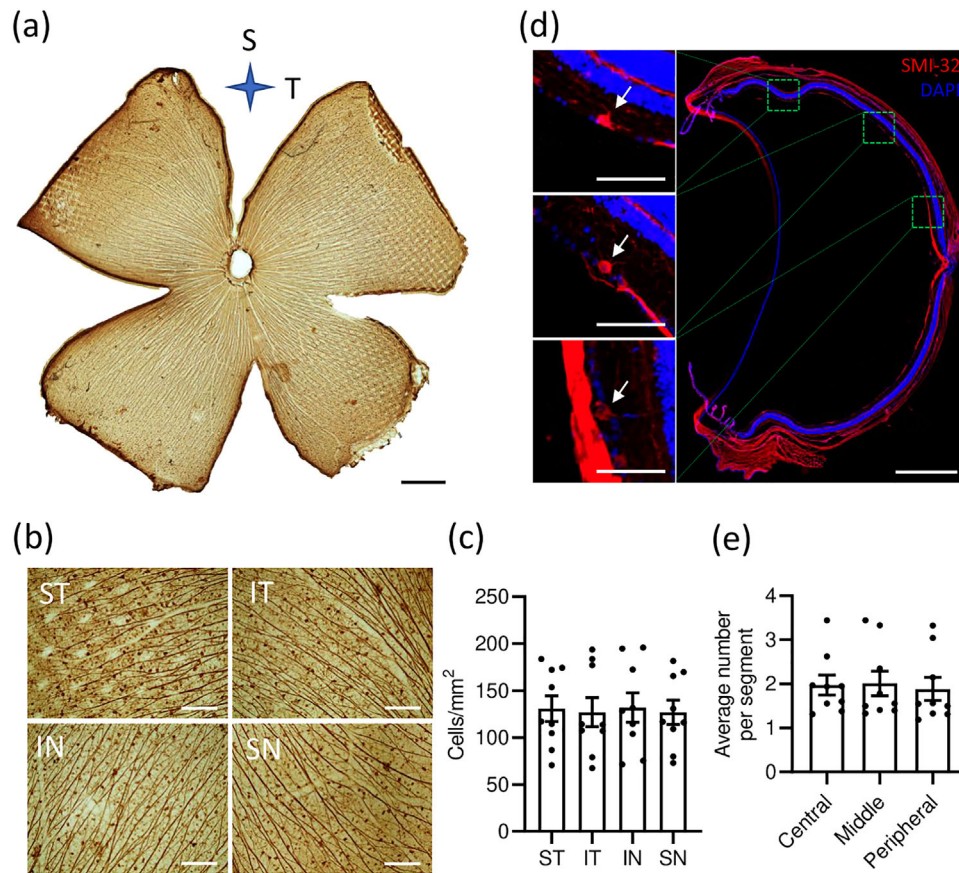
## 3 | RESULTS

### 3.1 | SMI-32<sup>+</sup> RGCs are evenly distributed throughout the rat retina

The SMI-32 antibody has been widely used to identify alpha RGCs and subsets of SMI-32<sup>+</sup> RGCs have been studied thoroughly (Bleckert et al., 2014; Okigawa et al., 2020; Schmidt et al., 2014; Sonoda et al., 2020). The RGC ON-sustained subset, which can be labeled with SMI-32, was reported to display a nasal-to-temporal gradient in cell density (Bleckert et al., 2014). Melanopsin-positive SMI-32<sup>+</sup> RGCs were reported to be distributed evenly throughout the retina (Schmidt et al., 2014). However, the distribution of the entire population of SMI-32<sup>+</sup> RGCs in rats still needs further confirmation. Therefore, we performed DAB staining on whole-mounted retinas (Figure 1a). SMI-32<sup>+</sup> RGCs in the periphery of each quadrant, including the superior-temporal (ST), inferior-temporal (IT), inferior-nasal (IN), and the superior-nasal (SN), were counted (Figure 1b). We found that SMI-32<sup>+</sup> RGCs were distributed evenly in four quadrants with a density of approximately 130 cells per square millimeter (ST: 130.80 ± 13.75; IT: 127.10 ± 15.43; IN: 132.10 ± 15.65; SN: 127.00 ± 12.91) (Figure 1c). Due to a thick cover of SMI-32<sup>+</sup> neurofilaments, we failed to measure the density of SMI-32 RGCs in the middle and central parts of the retina precisely. So, we stained sections crossing the optic disk and calculated SMI-32<sup>+</sup> RGCs in the center, middle, and periphery (Figure 1d) and found that SMI-32<sup>+</sup> RGCs were distributed evenly in every region of the rat retina (Figure 1e).

### 3.2 | Basic morphological features of SMI-32<sup>+</sup> RGCs

The dendrite architecture is an essential foundation for the physiological RGC function. There is evidence that the shape of RGC dendrites determines how visual information is received and integrated (Lefebvre et al., 2015; Liu & Sanes, 2017). To reveal the whole morphology of individual SMI-32<sup>+</sup> RGCs, we injected neurobiotin intracellularly into each SMI-32<sup>+</sup> RGC-like cell in each region of the retina. To ensure randomness in sampling, we divided each retina into four equal parts; for each part, we randomly injected one SMI-32-like cell in each region (central, middle, and peripheral) (Figure 2a). A total of 112 RGCs were filled, and 83 were confirmed SMI-32 positive by immunofluorescence staining (Figure 2b). Morphological feature analysis was based on these 83 SMI-32<sup>+</sup> cells (for statistics, see Table 2). Consistent with previous work (Coombs et al., 2006), we found that SMI-32 not only labels large alpha cells but also those with diameters smaller than 20 μm with small dendritic field area (Table 2). In these 83 SMI-32<sup>+</sup> RGCs, linear regression analysis showed



**FIGURE 1** SMI-32<sup>+</sup> RGCs are evenly distributed throughout the rat retina. (a) DAB staining of the whole-mounted rat retina. Scale bar = 1 mm. (b) DAB staining of four quadrants. Scale bar = 300  $\mu\text{m}$ . (c) Bar graph showing RGC counts in the four quadrants following DAB staining ( $n = 9$  retinas/group, data presented as mean  $\pm$  SEM). (d) Immunofluorescence staining of SMI-32 antibody (red) on retinal section. Scale bar = 1 mm (right), scale bar = 100  $\mu\text{m}$  (left). (e) Bar graph showing of SMI-32<sup>+</sup> cell counts in three retinal regions following antibody immunofluorescence staining ( $n = 9$  retinas/group, data presented as mean  $\pm$  SEM)

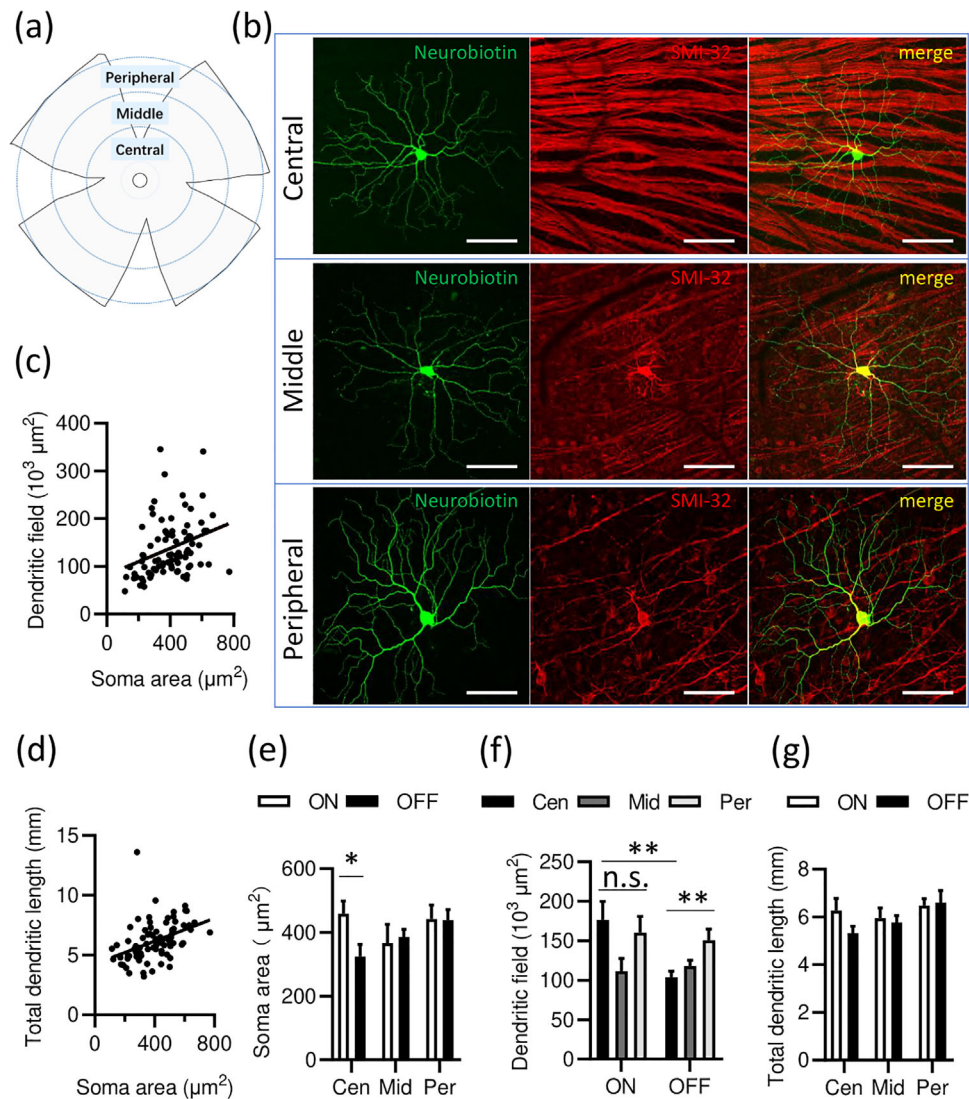
**TABLE 2** Morphological parameters of all 83 neurobiotin-filled SMI-32<sup>+</sup> RGCs

	Diameter of soma ( $\mu\text{m}$ )	$n$	ON, OFF			Soma area (mean $\pm$ SEM, $\mu\text{m}^2$ )	Dendritic field area (mean $\pm$ SEM, $10^3 \mu\text{m}^2$ )	Total dendritic length (mean $\pm$ SEM, mm)
			ON	OFF	ON-OFF			
Central	<20	4	1	3	-	192.41 $\pm$ 19.50	81.57 $\pm$ 6.54	4.56 $\pm$ 0.49
	20-30	13	6	5	2	353.35 $\pm$ 28.43	155.40 $\pm$ 17.85	6.54 $\pm$ 0.72
	$\geq 30$	9	5	4	-	530.02 $\pm$ 25.18	151.39 $\pm$ 26.99	6.18 $\pm$ 0.45
Middle	<20	4	3	1	-	157.92 $\pm$ 25.58	81.20 $\pm$ 15.63	4.92 $\pm$ 0.21
	20-30	14	3	11	-	338.08 $\pm$ 20.28	102.62 $\pm$ 7.62	5.39 $\pm$ 0.30
	$\geq 30$	10	5	5	-	521.51 $\pm$ 21.18	146.71 $\pm$ 12.72	6.82 $\pm$ 0.35
Peripheral	<20	3	2	1	-	239.91 $\pm$ 12.38	98.60 $\pm$ 6.79	5.94 $\pm$ 0.72
	20-30	16	9	5	2	385.24 $\pm$ 20.59	153.79 $\pm$ 17.11	5.87 $\pm$ 0.28
	$\geq 30$	10	3	7	-	543.19 $\pm$ 37.27	173.19 $\pm$ 17.92	7.71 $\pm$ 0.37

that soma area was positively correlated with dendritic field area ( $r^2 = .1047$ ; Figure 2c), in addition to total dendritic length ( $r^2 = .1686$ ; Figure 2d).

To further investigate different characteristics in different parts of the retina, we compared SMI-32<sup>+</sup> RGCs between the central, middle,

and peripheral regions and also between ON cells and OFF cells (ON-OFF cells were not included). We found that in the central retina, both the soma area (Figure 2e) and the dendritic field area (Figure 2f) of ON cells were larger than that of OFF cells, but not in the middle or peripheral retinal regions. The dendritic field area of OFF cells at the



**FIGURE 2** Basic morphological features of SMI-32<sup>+</sup> RGCs. (a) Diagram showing different retinal regions. (b) Neurobiotin-filled RGCs (green) costained with SMI-32 antibody (red) in each retinal region. Scale bar = 100  $\mu\text{m}$ . (c) Linear regression analysis between soma area and dendritic field area ( $r^2 = .1047$ ). (d) Linear regression analysis between soma area and total dendritic length ( $r^2 = .1686$ ). (e) Soma area, (f) Dendritic field area, (g) total dendritic length ( $n = 12$  for central (Cen) ON cells,  $n = 11$  for middle (Mid) ON cells,  $n = 14$  for peripheral (Per) ON cells,  $n = 12$  for central OFF cells,  $n = 17$  for middle OFF cells,  $n = 13$  for peripheral OFF cells; data presented as mean  $\pm$  SEM; unpaired student's *t*-test; \* $p < .05$ ; \*\* $p < .01$ )

periphery was larger than at the center, a pattern not observed in ON cells (Figure 2f). There was no significant difference between total dendritic length between different retinal regions or between ON and OFF cells (Figure 2g).

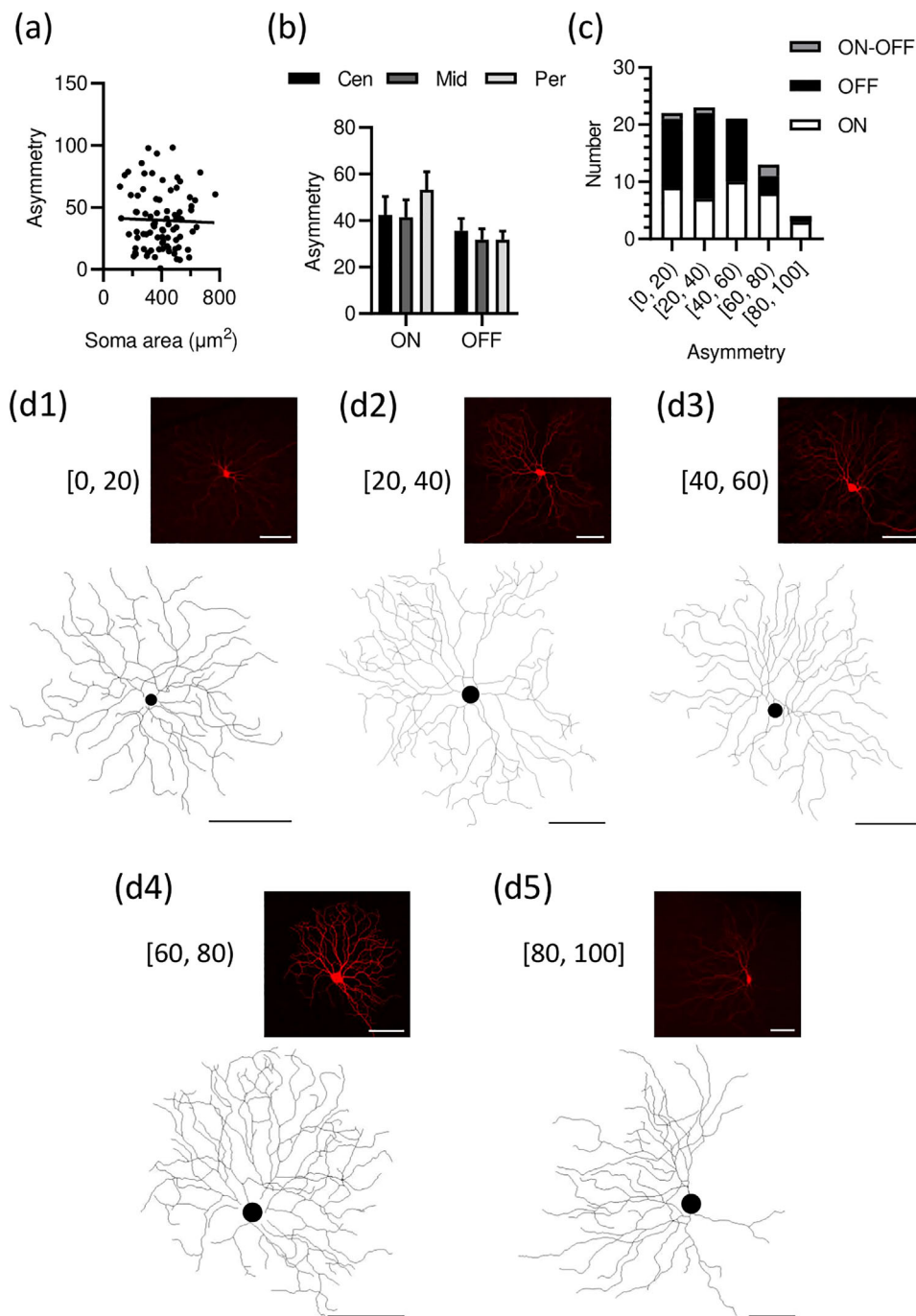
### 3.3 | Arbor asymmetry of SMI-32<sup>+</sup> RGCs

Arbor asymmetry refers to the distance between the center of mass of dendritic density and the position of the cell body (Bae et al., 2018; Ran et al., 2020). We measured all 83 neurobiotin-filled SMI-32<sup>+</sup> RGCs and found that arbor asymmetry ranged approximately from 0 to 100. Arbor asymmetry was not significantly correlated with the soma area ( $r^2 = .0009401$ ; Figure 3a), and there was no significant difference in

arbor asymmetry between different retinal regions, nor between ON and OFF cells (ON-OFF cells not included; Figure 3b). Furthermore, both ON cells and OFF cells were distributed in each arbor asymmetry interval (Figure 3c,d). These results suggest that subsets of SMI-32<sup>+</sup> RGCs may have both symmetric and asymmetric arbor morphologies.

### 3.4 | Sholl analysis of SMI-32<sup>+</sup> ON and OFF cells

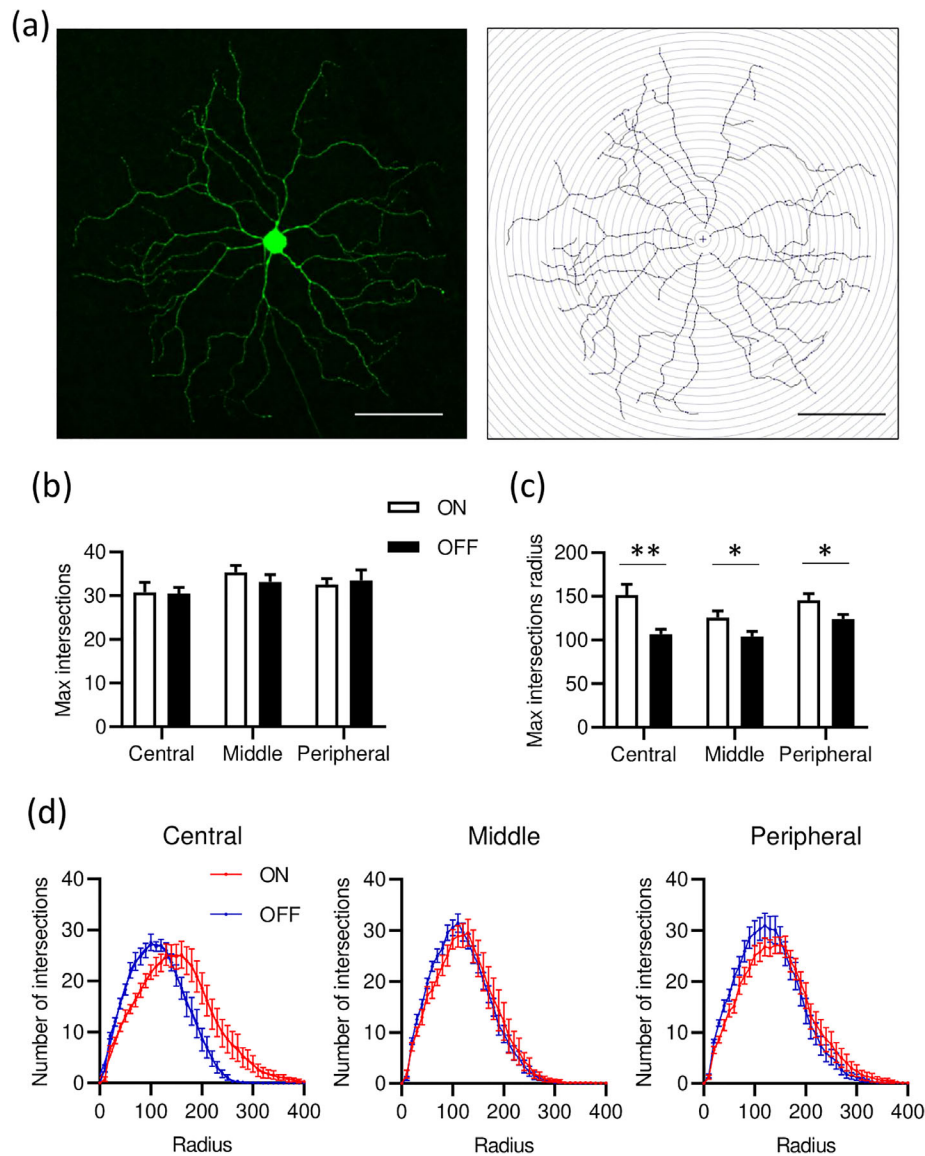
The Sholl analysis, a well-known technique was first used to describe the dendritic organization of cortical cat neurons (Sholl, 1953). More recently, it has also been widely applied to the quantitative analysis of RGC dendritic complexity (Bird & Cuntz, 2019; Bleckert et al., 2014; laboni et al., 2020). We used a 10- $\mu\text{m}$  radius step size to generate



**FIGURE 3** Arbor asymmetry of SMI-32<sup>+</sup> RGCs. (a) Linear regression analysis between soma area and arbor asymmetry ( $r^2 = .0009401$ ). (b) Arbor asymmetry ( $n = 12$  for central (Cen) ON cells,  $n = 11$  for middle (Mid) ON cells,  $n = 14$  for peripheral (Per) ON cells,  $n = 12$  for central OFF cells,  $n = 17$  for middle OFF cells,  $n = 13$  for peripheral OFF cells; data presented as mean  $\pm$  SEM). (c) Number of cells in each interval ( $n = 22$  for [0, 20],  $n = 23$  for [20, 40],  $n = 21$  for [40, 60],  $n = 13$  for [60, 80],  $n = 4$  for [80, 100]). (d) Morphology of cells in each interval, (d1) for [0, 20), (d2) for [20, 40), (d3) for [40, 60), (d4) for [60, 80), (d5) for [80, 100]. Scale bar = 100  $\mu$ m

gradually increasing concentric circles from the soma center (Figure 4a). The number of dendrite intersections with each concentric circle was calculated. We performed Sholl analysis on SMI-32<sup>+</sup> RGCs (ON-OFF cells not included) filled with neurobiotin. We found that the distance from the soma center to the highest branch density radius was significantly longer in ON cells than in OFF cells in each part of the

retina (Figure 4c), but not significantly different at maximum branch density (Figure 4b). The number of intersections with each radius in ON and OFF cells in each retinal area was calculated using the area under the curve statistical approach (Figure 4d). These results indicate that SMI-32<sup>+</sup> ON and OFF cells have different dendritic structures, which perhaps reflect differences in physiological function.



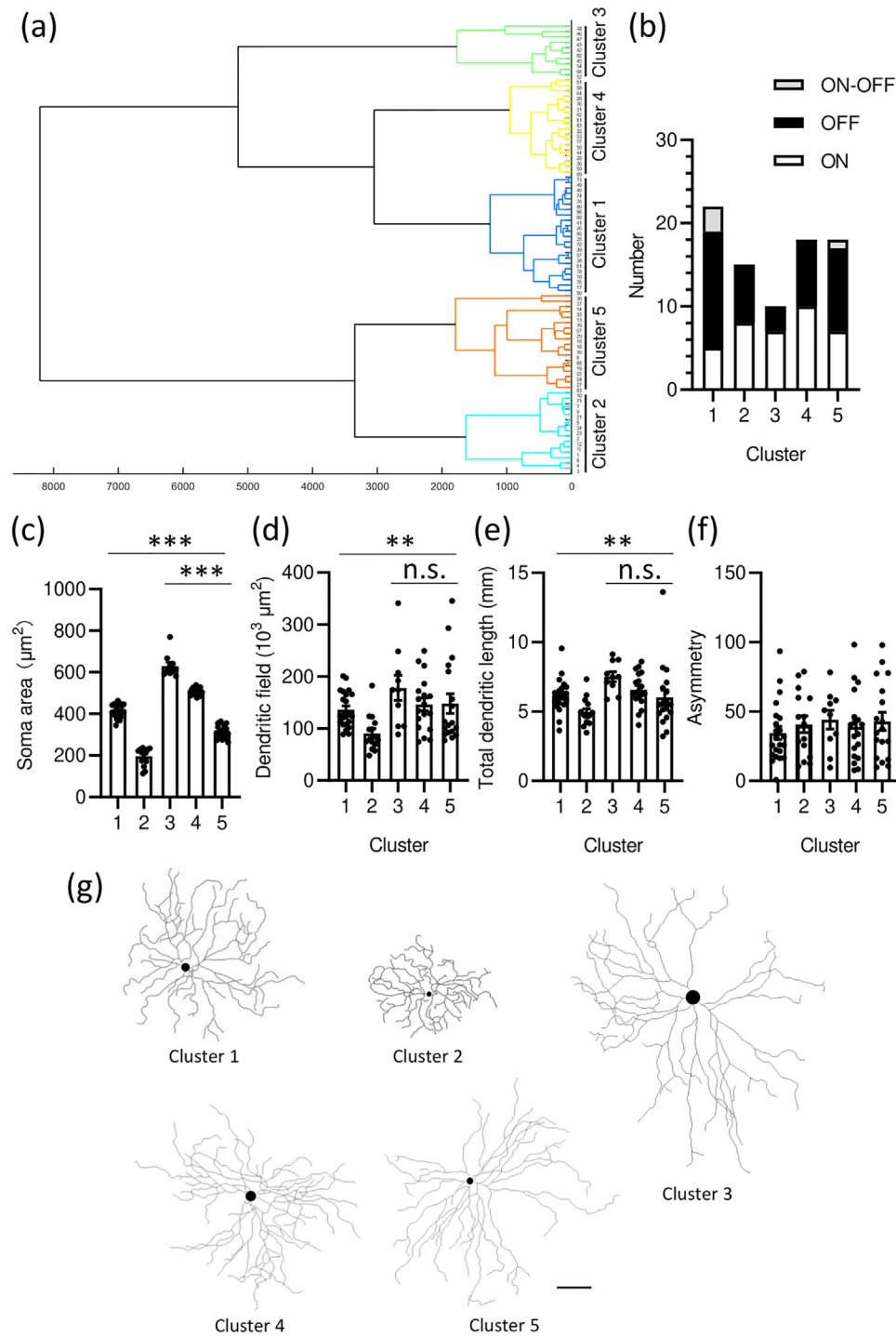
**FIGURE 4** Sholl analysis of SMI-32<sup>+</sup> ON and OFF cells. (a) Demonstration of Sholl analysis: a neurobiotin-filled cell (left), and Sholl analysis of the cell (right). Scale bar = 100  $\mu$ m. (b) Maximum intersections, reflecting the highest number of branches in the arbor. (c) Maximum intersections radius, reflecting sites of highest branch density (unpaired student's *t*-test; \* $p$ <.05; \*\* $p$ <.01). (d) The number of intersections in each retinal region and the area under the curve (for all graphs,  $n$  = 12 for central ON cells,  $n$  = 11 for middle ON cells,  $n$  = 14 for peripheral ON cells,  $n$  = 12 for central OFF cells,  $n$  = 17 for middle OFF cells,  $n$  = 13 for peripheral OFF cells; data presented as mean  $\pm$  SEM)

### 3.5 | Classification of SMI-32<sup>+</sup> RGCs into five clusters

Consistent with previous reports (Coombs et al., 2006; Krieger et al., 2017), our results show that SMI-32 antibodies recognized more than one type of RGC morphology (Table 2). Here, we classified SMI-32<sup>+</sup> RGCs based on morphological features. We performed a hierarchical cluster analysis on all 83 neurobiotin-filled SMI-32<sup>+</sup> RGCs. Six parameters were used for the analysis: ON/OFF property, soma area, dendritic field area, arbor asymmetry, total branches, and total dendritic length. Our results suggest that it was suitable to classify these SMI-32<sup>+</sup> RGCs into five clusters (Figure 5a). Each cluster included both ON cells and OFF cells (Figure 5b). In Figure 5c,d,e, among the five clusters, cluster

2 has the smallest soma area and dendritic field area, and the shortest total dendritic length, which does not match the morphological features of alpha RGCs (Krieger et al., 2017; Peichl, 1989, 1991). Cluster 1 has relatively medium soma area and dendritic field area, and total dendritic length. Cluster 3 has the largest soma area, dendritic field area, and the longest total dendritic length. The soma area of clusters 4 and 5 is somewhere between cluster 1 and cluster 3, but the dendritic field area and total dendritic length were not significantly different between clusters 3–5. Consistent with the inference in Figure 3, there was no consistency in arbor asymmetry among five clusters (Figure 5f). The morphology of representative cells in each cluster is shown in Figure 5g using the same scale. For a summary of the morphological parameters of the five clusters, see Table 3.





**FIGURE 5** Classification of SMI-32+ RGCs into five clusters. (a) Hierarchical cluster analysis. (b) Number of cells in each cluster. (c) Soma area of all cells. (d) Dendritic field area of all cells. (e) Total dendritic length of all cells. (f) Arbor asymmetry of all cells (for all graphs,  $n = 22$  for Cluster 1,  $n = 15$  for cluster 2,  $n = 10$  for cluster 3,  $n = 18$  for cluster 4,  $n = 18$  for cluster 5, one-way ANOVA,  $*p < .05$ ;  $**p < .01$ ;  $***p < .001$ , data presented as mean  $\pm$  SEM). (g) Representative cells in each cluster at the same magnification. Scale bar = 100  $\mu\text{m}$

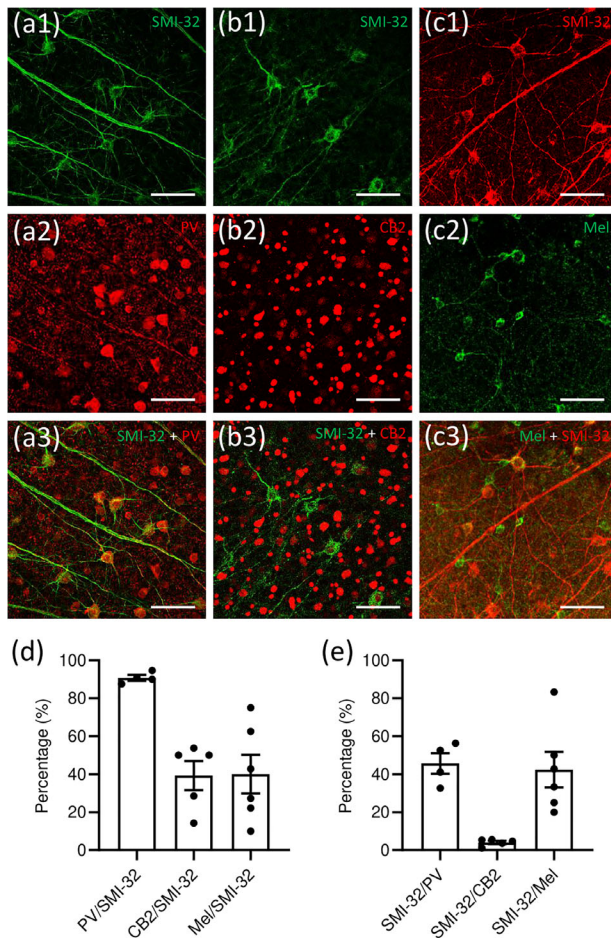
### 3.6 | More than 90% of SMI-32<sup>+</sup> RGCs were PV positive

It is known that other biomarkers label subsets of alpha RGCs in the mouse retina (Krieger et al., 2017). For example, PV covers more than

70% of alpha RGCs (Farrow et al., 2013; Munch et al., 2009), all transient OFF alpha RGCs are labeled by SMI-32 in calretinin (CB2) transgenic mice (Huberman et al., 2008), and melanopsin (Mel) stain sustained ON alpha RGCs (Estevez et al., 2012; Sonoda et al., 2020). To confirm this in the rat retina, we costained SMI-32 with PV (Figure 6a),

**TABLE 3** Morphological parameters of each cluster

Cluster	n	ON, OFF			Soma area (mean $\pm$ SEM, $\mu\text{m}^2$ )	Dendritic field area (mean $\pm$ SEM, $10^3 \mu\text{m}^2$ )	Total dendritic length (mean $\pm$ SEM, mm)
		ON	OFF	ON-OFF			
1	22	5	14	3	410.81 $\pm$ 6.77	136.10 $\pm$ 7.34	6.15 $\pm$ 0.25
2	15	8	7	–	196.15 $\pm$ 10.70	90.71 $\pm$ 8.80	4.95 $\pm$ 0.25
3	10	7	3	–	630.12 $\pm$ 17.58	177.94 $\pm$ 24.15	7.52 $\pm$ 0.35
4	18	10	8	–	570.82 $\pm$ 4.04	146.16 $\pm$ 12.25	6.57 $\pm$ 0.29
5	18	7	10	1	311.48 $\pm$ 7.50	147.83 $\pm$ 18.84	6.01 $\pm$ 0.55



**FIGURE 6** More than 90% of SMI-32<sup>+</sup> RGCs were parvalbumin positive. (a) Costaining of SMI-32 (green) and PV (red), (b) costaining of SMI-32 (green) and CB2 (red), (c) costaining of SMI-32 (red) and Mel (green). (a–c) Scale bar = 100  $\mu\text{m}$ . (d) Percentage of PV<sup>+</sup>, CB2<sup>+</sup>, Mel<sup>+</sup>, cells in SMI-32<sup>+</sup> RGCs, (e) Percentage of SMI-32<sup>+</sup> cells in PV<sup>+</sup>, CB2<sup>+</sup>, Mel<sup>+</sup>, RGCs (for all graphs,  $n = 4$  for PV and SMI-32 costaining,  $n = 5$  for CB2 and SMI-32 costaining,  $n = 6$  for Mel and SMI-32 costaining; data presented as mean  $\pm$  SEM)

CB2 (Figure 6b), and Mel (Figure 6c) and we found that more than 90% of SMI-32<sup>+</sup> RGCs presented PV positive (PV/SMI-32: 90.78  $\pm$  1.49%) (Figure 6d), which is a higher proportion than in the mouse retina (Farrow et al., 2013; Krieger et al., 2017; Munch et al., 2009). Similar proportions of SMI-32<sup>+</sup> RGCs were costained with CB2 (CB2/SMI-32:

39.34  $\pm$  7.68%) and Mel (Mel/SMI-32: 39.98  $\pm$  10.19%) (Figure 6d). The proportion of SMI-32<sup>+</sup> RGCs in PV, CB2, and Mel was also calculated; while there were similar proportions in PV and Mel, there was the least amount in CB2 (Figure 6e).

## 4 | DISCUSSION

### 4.1 | Distribution of SMI-32<sup>+</sup> RGCs

SMI-32 antibodies were first generated to label cortical neurons (Ang et al., 1991; Campbell & Morrison, 1989; Sternberger & Sternberger, 1983), but they are now widely used to identify all populations of alpha RGCs (Bleckert et al., 2014; Krieger et al., 2017; Okigawa et al., 2020; Schmidt et al., 2014; Sonoda et al., 2020). The distribution of several SMI-32<sup>+</sup> RGCs subsets has been studied in detail in rodents. Among these subsets, some are distributed evenly throughout the retina (Chan et al., 2011; Schmidt et al., 2014), while some are not (Bleckert et al., 2014; Sonoda et al., 2020). In humans, SMI-32<sup>+</sup> RGCs are nonuniformly distributed across the retina, with a peak density of 100 cells/mm<sup>2</sup> at the center to 10 cells/mm<sup>2</sup> at the periphery (Straznicky et al., 1992). In our study, we calculated the entire population of SMI-32<sup>+</sup> RGCs in all four quadrants (ST, IT, IN, SN), as well as in the central, middle, and peripheral retinal regions and found that SMI-32 RGCs were distributed evenly throughout the rat retina, with a density of approximately 130 cells/mm<sup>2</sup> (Figure 1).

### 4.2 | Morphology of SMI-32<sup>+</sup> RGCs

The morphology of SMI-32<sup>+</sup> RGCs, commonly thought to be similar to of alpha RGCs, has a large soma and dendritic field area and has relatively dense branched dendrites that rarely overlap (Peichl, 1989, 1991; Straznicky et al., 1992; Wassle et al., 1981a). Here, we filled a total of 83 SMI-32<sup>+</sup> RGCs in the central, middle, and peripheral retinal regions with neurobiotin. The morphology of SMI-32<sup>+</sup> RGCs in different retinal regions was investigated thoroughly, and comparisons between ON cells and OFF cells were made (Figures 2–4). We revealed that cells in different regions had distinct characteristics. Both the soma area (Figure 2e) and the dendritic field area (Figure 2f) were larger in ON cells than in OFF cells in the central retina, but not in

the middle or in the periphery. In OFF cells, the dendritic field area of the periphery was larger than at the center, but a pattern was not observed in ON cells (Figure 2f). Consistent with previous reports (Baden et al., 2016; Coombs et al., 2006), most of these SMI-32<sup>+</sup> RGCs had a large soma ( $\geq 20 \mu\text{m}$ ), although a low percentage (11/83, 13.25%) had diameters smaller than  $20 \mu\text{m}$  (Table 2). Arbor asymmetry analysis suggests that subsets of SMI-32<sup>+</sup> RGCs may have both symmetric and asymmetric arbor morphologies (Figure 3). Furthermore, we used Sholl analysis to describe the dendritic complexity of SMI-32<sup>+</sup> RGCs and revealed that SMI-32<sup>+</sup> ON cells and OFF cells have different dendritic structures, which perhaps reflect differences in physiological function (Figure 4).

### 4.3 | Classification of SMI-32<sup>+</sup> RGCs

With the development of imaging technology and the diversification of measurement dimensions, the classification of RGCs will become more and more precise in the future (Baden et al., 2016; Bae et al., 2018). From a functional perspective, it has been reported that SMI-32 labels four types of alpha RGCs, including ON-sustained, ON-transient, OFF-sustained, and OFF-transient (Krieger et al., 2017). In our study, we classified SMI-32<sup>+</sup> RGCs into five clusters based on morphology. Each cluster included both ON cells and OFF cells, indicating that cells that have different physiological functions may share similar morphology, which is consistent with previous work (van Wyk et al., 2009). One of these five clusters, which included ON and OFF cells, had small somas and a small dendritic field area. Cells in this cluster likely do not match the morphological characteristics of alpha RGCs (Figure 5). Based on this, combining morphological and physiological functions, SMI-32<sup>+</sup> RGCs may be grouped by a finer cluster classification. In addition, we found that PV and SMI-32 colabeled RGCs were predominant SMI-32<sup>+</sup> RGCs by more than 90% at the rat retinas (Figure 6d), which was much higher than the 70% reported in mice (Farrow et al., 2013; Krieger et al., 2017; Munch et al., 2009).

## 5 | CONCLUSIONS

In this study, using intracellular neurobiotin injections combined with SMI-32 antibody staining, we revealed that SMI-32<sup>+</sup> RGCs were distributed evenly throughout the rat retina, with a density of approximately 130 cells/mm<sup>2</sup>. We compared morphological features of SMI-32<sup>+</sup> ON cells and OFF cells in the central, middle, and peripheral retinal regions and found that cells in different regions had distinct morphological characteristics. Most SMI-32<sup>+</sup> RGCs presented alpha-like cells with large somas and large dendritic field areas, but a small proportion of them had small somas and small dendritic fields. In addition, more than 90% of SMI-32<sup>+</sup> RGCs were colabeled with PV antibody.

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### AUTHOR CONTRIBUTIONS

Huiying Tan and Xiaotao Li designed the experiments. Huiying Tan and Xiaotao Li performed the experiments. Kang Huang and Moxuan Luo analyzed the data. Huiying Tan and Xiaotao Li drafted the manuscript. Liping Wang supervised all aspects of this project.

### PEER REVIEW

The peer review history for this article is available at <https://publons.com/publon/10.1002/cne.25275>

### DATA AVAILABILITY STATEMENT

The data used to support the findings of this study are available from the corresponding author upon request.

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