



# Recent advances in understanding the role of antidepressants to manage breathlessness in supportive and palliative care

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#### **Purpose of review**

Breathlessness is a prevalent and distressing symptom in palliative and supportive care, with limited licensed pharmacological options once disease-directed therapies are no longer effective. Antidepressants have been proposed as a potential treatment, even in the absence of comorbid mood disorders, due to their modulation of neural circuits and serotonin pathways involved in breathlessness perception. Despite their off-label use in clinical practice for managing refractory or chronic breathlessness, robust evidence supporting their efficacy is needed. This review critically evaluates the latest evidence on their potential benefits and safety in breathlessness management.

#### Recent findings

Breathlessness is influenced by at least three interrelated axes: lung-brain, behavioural-functional, and psychosocial-spiritual. These mechanisms operate across diseases, making them relevant in palliative and supportive care. Despite promise from early case reports and small trials, two recent large, randomised studies of mirtazapine and sertraline found no benefit in alleviating breathlessness or improving other outcomes. The mirtazapine trial also reported more adverse events than placebo. Earlier trials were small with design limitations, reducing reliability. A 2016 trial of sertraline found benefits for depression in stable COPD. Recent concerns over increased morbidity associated with antidepressant use in respiratory disease highlight the need for early detection of people at risk of worsening breathlessness or depression and a holistic, individualised approach.

#### **Summary**

Current evidence does not support antidepressants for breathlessness in respiratory disease. Non-pharmacological approaches should be first line, given their proven benefits and low risk. Off-label medicine use requires caution and should ideally be offered within a trial or evaluation. Given the complex nature of breathlessness, future research should focus on innovating and then testing treatments and therapies in well-designed trials with appropriate outcome measures and reporting of adverse events, health care use and informal carer effects.

#### **Keywords**

COPD, ILD, off-label drug use, respiratory disease, symptom management

#### INTRODUCTION

Breathlessness is one of the most common, burdensome, clinically challenging and complex symptoms affecting patients with advanced disease in all settings [1,2]. In respiratory and many other conditions, breathlessness worsens as disease progresses [1,2]. Severe breathlessness has a devastating impact on patients' lives, severely limiting their wellbeing and quality of life and that of their family, friends and caregivers [2–6]. It results in high health, social and informal care costs and is one of the most frequent causes of emergency hospital attendance [7–10].

Breathlessness or dyspnoea is defined as 'a subjective experience of breathing discomfort that

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#### **KEY POINTS**

- Breathlessness is multifaceted it is influenced by at least three interrelated axes. These mechanisms span diseases, making them highly relevant in palliative and supportive care.
- Antidepressants show no clear benefit to alleviate breathlessness - despite early promise, two recent large, randomised trials of mirtazapine and sertraline found no improvement in breathlessness or other outcomes. The mirtazapine trial also reported more adverse events and hospital use than placebo.
- Earlier studies had limitations previous smaller trials had design weaknesses, reducing their reliability.
- Off-label medicine use requires caution prescribing outside licensed indications should be approached carefully and ideally within a research framework to ensure safety, effectiveness, and appropriate patient selection.
- Future directions non-pharmacological approaches should be first-line due to their proven benefits and low risk. Research should prioritise well-designed studies that measure clinical outcomes, healthcare use, and the impact on informal carers.

consists of qualitatively distinct sensations that vary in intensity' [4]. Importantly, breathlessness is multidimensional [11] and 'per se can only be perceived by the person experiencing it' [4]. Thus, the experience of breathlessness derives from interactions among multiple physiological, psychological, social, spiritual and environmental factors, and may induce secondary physiological and behavioural responses [2,4,12,13].

When breathlessness persists despite optimal treatment of the underlying disease, it is often referred to as chronic or refractory breathlessness [3,10,14–17]. The concept of episodic breathlessness has recently developed, to reflect the frequent presentation of acute episodes of severe breathlessness, often overlaying chronic breathlessness [18–23]. These episodes can be acutely distressing and frightening, leading to emergency hospital attendance [18,20].

Practice in the management of such breathlessness varies widely across specialties and countries, even for patients with similar presenting features, despite available guidelines [24].

Given the paucity of licensed effective medicines for severe breathlessness globally, clinicians often turn to prescribing repurposed medicines offlabel, based on feasibility and case-reports of benefit. Such is the case for antidepressants. A European

survey found that 19% of respiratory physicians and 11% palliative care physicians would 'always or often' recommend an antidepressant for patients with COPD and severe breathlessness but without mood disorder; the figures were 12% and 13% for ILD, and 21% and 15% for lung cancer, respectively [25].

#### RATIONALE FOR CONSIDERING ANTIDEPRESSANTS FOR ALLEVIATING **BREATHLESSNESS**

It is important to briefly consider the mechanisms of breathlessness to understand the scientific rational for proposing antidepressants to alleviate breathlessness and how they may be working. The sensation of breathlessness requires mechanisms for arousal, detection, and triggering of appropriate motor responses to correct actual or threatened disturbances to homeostasis, likely involving common corticolimbic pathways [26,27]. There are no sensory afferents solely responsible for the sensation of breathlessness [28–30]. Research indicates a strong correlation between breathlessness intensity and neural respiratory drive, stemming from impaired respiratory mechanics [31].

Based on research in a multiprofessional international collaboration [32\*\*], we propose that at least three inter-related axes influence the generation of breathlessness (Table 1). These mechanisms are independent of the underlying disease(s) causing the breathlessness, creating therapeutic opportunities for symptom relief across different diseases in palliative and supportive care, providing the underlying causes for breathlessness are optimally addressed. Given the complexity of breathlessness, effective clinical management will likely need to consider approaches across these axes [33], as found in Breathlessness Support Services and other combined interventions [34,35,36].

Research suggested that antidepressants may modulate respiratory function even in the absence of a mood disorder [54–58]. The potential role of serotonin (known also by its chemical name, 5-hydroxytryptamine) and central mechanisms in the genesis and perception of breathlessness outlined above, questions regarding the net benefit and potential risks of benzodiazepines [59-61] and limitations to the benefits of opioids [62], led to the

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**Table 1.** Three inter-related axes that influence the generation of breathlessness and can be targeted for interventions and treatments.

#### Breathlessness in health and disease

Breathing is essential for life, with breathlessness occurring during increased physical activity, as the body requires more oxygen and must expel more carbon dioxide. In healthy individuals, the lungs have capacity to meet these demands, allowing breathing to adjust efficiently, with rapid recovery and minimal distress. In people affected by respiratory and other chronic diseases, lung capacity becomes reduced, and breathlessness often persists and becomes distressing. Breathlessness (or dyspnoea) is a subjective experience of breathing discomfort that consists of qualitatively distinct sensations that vary in intensity. The three axes below influence the generation of such breathlessness and can be targeted for treatment.

#### Luna-brain axis

The sensation of breathlessness is closely related to the sensation of respiratory effort, suggesting common neurophysiological origins [37]. Complex neural pathways connect respiratory function with the brain, integrating signals from the lungs and respiratory muscles with the brainstem and higher brain regions. Breathlessness perception involves cortical integration of sensory information from awareness of neural respiratory drive (reflecting the load on respiratory muscles), and afferent feedback from the respiratory system (sensory or chemical) [26,27]. Recent research suggests a role also for the motor thalamus [38\*]. Expectation may play a role [39]. Function magnetic resonance imaging (fMRI) found that breathlessness unpleasantness and anticipatory breathlessness were associated with activation of emotional brain regions, including amygdala, anterior cingulate cortex and insula [40]. It was hypothesised that medicines like antidepressants and morphine may reduce breathlessness, even in the absence of mood disorder, by modulating regions of the brain related to both cortical and emotional processing [41].

#### Behavioural-functional axis

This focuses on reversing the cycle of disability and strengthening muscles to reduce respiratory muscle workload. Muscle strengthening and exercise improve muscles' ability to use oxygen more efficiently, likely due to increased capillarization within muscle tissue, enhanced mitochondrial function so muscles can extract more oxygen from blood tissue, and improved oxygen transport within the body [42\*,43]. Interventions like pulmonary rehabilitation, pacing, neuromuscular stimulation and tai-chi include this approach [44–48].

#### Psycho-social-spiritual axis

Emotion and mood affect the anticipation, perception of and response to afferent information and quality of life [28,49]. Panic and anxiety are common responses to breathlessness, modulated by context, culture and prior experiences [50], which may influence the response, as proposed in the thinking, breathing, functioning model [51]. Mindfulness, relaxation and coaching are examples that seek to target this axis [52], other interventions such as pulmonary rehabilitation and tai chi, and medicines such as morphine or antidepressants, may alter anxiety levels, panic or mood and also influence this axis. These changes can also, in turn, influence the pathways of the lung-brain axis [34\*,53].

consideration of the role of antidepressants, especially selective serotonin reuptake inhibitors (SSRIs), in breathlessness management. Serotonin has an inhibitory effect on the amygdala, and is thought to regulate fear [63–65] and CO<sub>2</sub> sensitivity [66]. Thus, serotonin was hypothesised to modulate the perception of breathlessness or respiratory rhythm [67]. The low risk of respiratory depression and dependence also made antidepressants attractive to explore [68].

Furthermore, anxiety, depression and breath-lessness commonly co-exist and may influence each other. Among individuals with Chronic Obstructive Pulmonary Disease, Lung Cancer and Interstitial Lung Diseases, where breathlessness is highly prevalent, around 10–56% also report depressive symptoms, while 12–55% anxiety symptoms [69–73]. These proportions vary depending on the study design, screening method and population included.

#### **PURPOSE OF THIS REVIEW**

This review aims to appraise recent evidence on the effectiveness of antidepressants in alleviating breathlessness or related symptoms in palliative and supportive care. We conducted a PubMed search from inception to February 2025 for randomised trials assessing any antidepressant for managing chronic, refractory, or severe breathlessness in people with chronic conditions such as respiratory disease, heart disease, or cancer, as well as those receiving supportive or palliative care.

While our primary focus was on identifying new evidence, we considered it essential to review these findings in the context of existing literature, as some recent results differ from earlier studies. Additionally, we explored the evidence regarding the use of antidepressants for managing depression in individuals who also experience severe breathlessness.

## EVIDENCE ON THE EFFECTIVENESS AND COST-EFFECTIVENESS OF ANTIDEPRESSANTS IN THE ALLEVIATION OF SEVERE AND MODERATE BREATHLESSNESS

Our review identified eight double-blind, placebocontrolled randomised clinical trials that examined the effects of antidepressants on breathlessness or

intensity lessened in higher likelihood of and Hours of family groups (P = 0.636). differences betweer hospital nights, ED mirtazapine group both arms, with no difference between CRQ, number and supported primary improving than in depression result Other outcomes outcomes showed care all higher in breathlessness to results to primary groups for IPOS, Qualitative reports Outpatient visits Secondary clinical functional status Similar pattern of possibly had a breathlessness, day 180Acute Quality of life in sertraline arm no apparent episodes of Breathlessness admissions, duration of analysis for outcomes. and other average findings placebo-0.088 (95% **IADS Anxiety scores HADS Anxiety scores** Mirtazapine minus between groups in CI: -0.66, 0.487) (-0.523, 0.648)-0.605, 1.649) depression HADs Airtazapine minus (95% CI:-0.874, placebo 0.195 HADS Depression scores>10 at Anxiety and score≤10 at ≤100.063 >100.522 anxiety and No difference baseline **Depression** 1.263) baseline, scores. ARs, Mirtazapine = 215, Mirtazapine = 64%, SAEs (Serious Adverse Adverse reactions Participants with 1 or Participants with 1 or Mirtazapine = 11, Mirtazapine = 5% Participants with 1 or (AR) and events (AE) Sertraline = 11%Placebo = 40% Sertraline = 95%, 1 or more SAE, Placebo = 12% Placebo = 116 Placebo = 6% Placebo = 91% Participants with Placebo = 8 more SAE, more AR, more AR, Events) **Table 2.** Randomised trials evaluating antidepressants in people with chronic or progressive disease and breathlessness. regression, OR 1.00, figure), Wald type 3 24 hours on day 56 score, mirtazapine placebo: = 0.105, Chi-squared logistic Primary outcome Worst breathlessness 0.618, P = 0.687baseline. Sertraline Adjusted mean NRS around 50% (data 95% CI: -0.407, responders with a current intensity of and placebo both 15% reduction at breathlessness as measured on 0-NRS over prev. estimated from day 26–28 in 100 mm VAS compared to Proportion of minus result inhibits neuronal CNS) 25 mg/d α2-blocker, and antiserotonergic transporter and Oral Mirtazapine 15 mg; potentially HADS – Moderate Sertraline (binds 100 mg/d for antihistamine, serotonin and activity in the Intervention escalating to increasing to serotonergic reuptake of to serotonin potentiates 45 mg) for 56 days 28 days activity) (has Mean age =72 years HADS depression depression, 12%; to severe anxiety Moderate to severe Aean age =74 years. No or mild anxiety depression, 6%; and Depression depression, 4% depression at but no or mild Hospital Anxiety Anxiety and Scale (HADS) anxiety score but moderate >10 = 22%anxiety plus >10 = 21%moderate baseline score 19%, Heart failure COPD 55%, ILD 45% 3 = 50%, 4 = 35%Cancer 15%, ILD breathlessness than one disease COPD 71%, Lung scale) 2 = 15%, scale) 3 = 66%diagnosis age mMRC (modified 5%, note more mMRC (modified breathlessness breathlessness and primary Population 4 = 34% recorded intervention, 112 MRC intervention, 111 MRC placebo) placebo) 223 (112 225 (113 Trials targeting breathlessness or dyspnoea analysis assuming analysis assuming randomisation, randomisation by assuming Missing ndependent block Not at Random randomisation. parallel-group, parallel-group, mixed-method Intention to treat Intention to treat Random and double-blind double-blind, minimisation Missing at controlled, Missing at controlled, Independent Randomised sensitivity Randomised analysis placebo placebo Random. Design, Germany, Italy, Frial registered & Australia, New rial registered & Higginson et al detailed preanalysis plan detailed preprotocol and registration Currow et al JK, Ireland, followed specified specified Zealand countries Poland, 2024 2019 Australia Study,

| placebo over the 4 weeks (OR 0.21, 95% C10.01-0.41; P = 0.044), caution warranted due to multiple testing of secondary outcomes.        | (worst (worst breathlessness in last 24 hours) day 28: intervention 6.3 (1.8); control 7.1 (2.3) Change from baseline to day 28 mean (95% CI): intervention -1.3 (-2.1 to -0.5); control -0.8 (-1.6 to 0.0) No statistical tests performed due to feasibility nature of study   | Significant improvement in 6MWD in sertaline group compared to placebo (mean change 28.7 vs. 14.8, P<0.0001)  No difference in FEV1 or FVC  |
|---|---|---|
|   | HADS anxiety at day 28, Mean (SD) Mintazapine, 4.3 (2.8), Placebo 5.3 (SD 3.5) HADS depression at day 28, Mean (SD) Mirtazapine 6.1 (3.3), Placebo 6.5 (3.7)  | Significant improvement in HAMD-17 in sertraline group compared to placebo (mean change 3.8 vs. 2.0, P<0.0001)  |
|   | Few adverse events (numbers not reported), one grade 3 reported (insomnia, day 28, placebo arm).  12 SAEs in nine participants (mean 1.3 per person, SD 0.71; mirtazapine: four people (seven events), placebo: five people (five events)).  Only one SAE (a fall, with grade 2 dizziness and confusion) was assessed as being related to trial medication (placebo arm). | No between group differences and few AEs noted 3 patients on sertraline group and 2 patients in placebo developed nausea  |
| 95% CI 0.71-1.40;<br>P = 0.992  | Feasibility Outcomenumber of people recruited and followed up across 3 sites in one year, achieved target   | Change in COPD assessment test (CAT) scores (CAT) scores (Seeks of weeks Significant improvement in CAT in sertraline group compared to placebo (mean change 4.5 vs. 2.1, P<0.0001) |
|   | Oral Mirtazapine (has anthistamine, a.2. blocker, and antiserotonergic activity) 15 mg; potentially escalating to 30 mg) for 28 days  | Oral Sertraline (selective serotonin reuptake inhibitor) 50 mg daily for 6 weeks  |
| outcomes  | HADS total score; 0–14, 62%, ≥ 15 37%   | All had HAMD- 17 ≥ 17 (sertraline group mean = 24.4, placebo group mean = 25.1)   |
| protocol and analysis plan  analysis plan  Trick involving antidenrescants in people with respiratory disease transiting other outcomes | mARC (modified I)  MRC breathlessness scale) 3 = 42%, 4 = 58% COPD 63%, ILD 30%, cancer 6%, heart failure 6%, other or combinations 5% Mean age =72 years   | Entry criteria was stable COPD and moderate/severe depression (HAMD-17 ≥ 17) COPD, 100% Mean age =70 years  |
| o with resnirchory  | 64<br>(30 intervention,<br>34 placebo)  | 120 (60<br>intervention,<br>60 placebo)   |
| road ii dantessank ii   | Feasibility randomised placebo controlled-trial, double-blind, mixed-methods  | Randomised<br>placebo<br>controlled trial<br>double-blind,<br>parallel-group  |
| protocol and analysis plan  | Higginson <i>et al</i> 2020 England Trial registered & followed detailed prespecified protocol and protocol and   | He <i>et al</i> 2016<br>China<br>No registration<br>reported  |

| Other outcomes   | FEV1, FVC or RV   | In per protocol analysis, significant improvement in CRQ mastery domain (adjusted mean difference: 1.1; 95% CI 0.4, 1.8) in paroxetine group compared to placebo. No significant difference in breathlessness (mean difference 0.6, 95% CI -0.4, 1.4) and fatigue (0.9, 95% CI -0.8, 2.6)   |
|--|---|---|
| Anxiety and<br>depression result O                       | See Primary Outcome. No difference in Both intervention and placebo saw improvements but more in intervention group. Improvements were significant in intervention and not in placebo, but multiple testing was conducted | Within-group In primprovement in GDS in paroxetine group (adjusted mean difference: -5.4, P = 0.04) but not placebo (-1.8; P = 0.6). No significant between group difference (3.5, 9.5% CI -4.9, 12)  |
| Adverse reactions<br>(AR) and events<br>(AE)             | Limited reporting  4/28 patients on Paroxetine developed nausea and vomiting and withdrew  11   | Limited reporting Overall, both groups had similar side effects. One patient from paroxetine group withdrawn due to tremor Overall, both groups had similar side effects. One patient from paroxetine group withdrawn due to tremor   |
| Primary outcome<br>result                                | HADS, BDI, MADRS, L SGRQ, and 6MWT at 6 weeks No significant between-group differences in any primary (multiple) outcome at 6 weeks.  | SQ at tin mal and a san |
| Intervention   | Oral Paroxetine<br>(selective<br>serotonin<br>reuptake<br>inhibitor)<br>20 mg daily for<br>6 weeks  | Oral Paroxetine Emotional functional functional serotonin 12 weeks reuptoke inhibitor 11 weeks and ysis, increased weekly significant by 5 mg up to improvemen 20 mg, total CRG emotion 12 weeks function don (adjusted me difference: 195% CIO.0, paroxetine g compared to placebo   |
| Anxiety and<br>depression at<br>baseline                 | All had clinical depression by ICD-10 criteria HAD scale mean = 12 (SD 3), BDI mean = 21 (SD 7), MADRS mean 23 (SD 8)   | All had GDS > 11/30 (paroxetine group mean = 18.7, placebo group mean = 17.9)   |
| Population mMRC breathlessness and primary diagnosis age | Entry criteria was poorly reversible COPD (FEV1 change after branchodilator <15% predicted) and concurrent clinical depression, exercise tolerance limited by breathlessness COPD, 100% Mean age =66 years                | Entry criteria was, significant depressive symptoms GDS ≥11/30 COPD on long term oxygen therapy at respiratory home care service, 100% Mean age =71 years in paroxetine group and 70 years in placebo group   |
| z  | 28 (14 intervention, 14 placebo)  | 7 placebo)  |
| Design,<br>randomisation,<br>analysis                    | Randomised<br>placebo<br>controlled trial<br>double-blind,<br>parallel-group,<br>followed by<br>open-label phase  | Randomised placebo controlled trial double-blind, parallel-group  |
| Study, Desig<br>countries rando<br>registration analy    | Eiser et al 2005<br>England<br>No registration<br>reported  | Lacasse et al<br>2004<br>Canada<br>No registration<br>reported  |

| Dyspnoea was graded on a six step (sic) scale, ranging from 0 = no dyspnoea to 6 = dyspnoea at the least effort Was not different between groups at 12 weeks   | No significant difference in breathlessness on PFSI global assessment, daily activities in PFSI and 12-minute walk test, except for low demand activity (P = 0.04) PFSI mean change in activity improved with nortriptyline (P = 0.002) PRAS 18 distressing physical symptoms (P = 0.008) and 12 breathing related symptoms (P = 0.04) improved with nortriptyline SIP overall score (P = 0.01) improved with nortriptyline SIP overall score (P = 0.01) improved with nortriptyline SIP overall score (P = 0.01) improved with nortriptyline SIP overall score (P = 0.01) improved with nortriptyline |
|--|--|
| HADS not reported. SIP, Mean (SD) at 12 weeks; Total score protriptyline 7 (4) placebo 8(4) Physical – protriptyline 6(6); placebo 5(4) Psychosocial – protriptyline4(3), placebo 4(5)   | See primary outcome  |
| 12 patients receiving protriptyline & 6 patients 6 patients Receiving placebo reported side-effects AEs ARs not reported   | Limited reporting 3 patients on nortriptyline group left the study because of dry mouth, sedation or orthostatic hypotension   |
| Aean PaO2 increased by 0.2 kPa in the protripyline group & by 0.0 kPa in the placebo group After 12 weeks After 12 weeks After exclusion of patients having an exacerbation of COPD, the mean PaO2 increased 0.2 kPa in both the protripyline and placebo groups during this time Vo difference between groups | CGI, HAMD and PRAS Limited reporting at 12 weeks 3 patients on showed greater response in nortriptyline group or orthostatic compared to placebo hypotension group (77% vs. 12%, P = 0.0003) HAMD improved in nortriptyline group (60% vs. 17%, P = 0.01) PRAS decreased in nortriptyline group (45% vs. 4%, P <0.005)   |
| Oral Protriptyline N (decreases reuptake of norepinephrine & to a lesser extent serotonin in the brain) 10 mg daily for 12 weeks   | Oral Nortriptyline (anticholinegic effects, norepinephrine reuptake inhibition) starting at 0.25 mg/kg, increasing weekly x4 weeks to 1 mg/kg, then maintained for 8 more weeks (12 weeks total)   |
| HADS Anxiefy mean = 5 (SD 5), Depression mean = 4 (SD s 3)   | 92% had major<br>depressive<br>episode, 8% had<br>dysthymia, 83%<br>had anxiety<br>symptoms  |
| Entry criteria was I<br>mild or moderate<br>stable<br>hypoxaemia<br>COPD, 100%<br>Mean age =63 years   | Entry criteria was moderate to severe COPD (FEV1 and FEV1 /FVC<60% predicted) and concurrent depressive disorder COPD, 100% Mean age = 59 years in nortripyline group and 63 years in placebo group  |
| 26 (14 intervention, 12 placebo)   | 36 total (assignment not clear) 30 completed 12 weeks (13 intervention, 17 placebo)  |
| Randomised<br>placebo<br>controlled trial<br>double-blind,<br>parallel-group   | Randomised<br>placebo<br>controlled trial<br>double-blind,<br>parallel-group   |
| Ström et al<br>1995<br>Sweden<br>No registration<br>reported   | Borson et al<br>1992<br>USA<br>No registration<br>reported   |

BDI, Beck's Depression Inventory; CAT, COPD Assessment Test; CGJ, Clinical Global Improvement Scale; CNS, central nervous system; COPD, chronic obstructive pulmonary diseases, FEV1, Forced expiratory volume in 1 second; FVC, forced vital capacity; GDS, Geriatric Depression Scale; HAMD, Hamilton Depression Rating Scale; ICD-10, International Classification of Diseases, Tenth Revision; ILD, interstitial lung disease; MADRS, Montgomery Asberg Depression Score; mMRC, modified Medical Research Council breathlessness scale; 6MWD, 6 minute walk distance; 6MWT, 6 minute walk test; NRS, numeric rating scale; PFSI, Pulmonary Functional Status Instrument; PRAS, Patient-Rated Anxiety Scale; RV, residual volume; SGRQ, St. George's Respiratory Questionnaire; SAEs, serious adverse events; SD, standard deviation; SIP, Sickness Impact Profile.

potentially related constructs (including 6-minute walk distance, quality of life, impact of illness) (Table 2).

The tested treatments included mirtazapine (n=2) [32••,74], selective serotonin reupdate inhibitors (sertraline, n=2 [56,75], paroxetine, n=2 [76,77]), and tricyclic antidepressants (n=2) [57,78],). The primary outcome was 'worst breathlessness' in two large recent trials [32••,56], constructs related to quality of life/ disease impact in two studies [75,76], 6 minute walk test (1 trial [77]), oxygen concentration (1 trial [57]), depression (1 trial [77]) and feasibility (1 trial [74]). All older trials were small (n ranging 15–36) and only three trials had >100 participants; 120 (2016), 223 (2019), and 225 (2024).

Despite positive potential shown in early case reports, feasibility studies, some suggestions in the older studies, and 2016 trial by He *et al* [75], results from the recent large, randomised placebo-controlled trial of mirtazapine (Higginson *et al*, Better-B) [32\*\*], and the large, randomised placebo-controlled trial of sertraline (Currow *et al*) [56], now indicate that the antidepressants sertraline and mirtazapine do not alleviate moderate or severe breathlessness for people with chronic or progressive respiratory diseases and possibly cancer. This conclusion is supported by a recent practice review [79\*\*].

It is important to note that He *et al*'s 2016 trial specifically targeted a population with depression and stable COPD. It found an improvement in COPD assessment test (CAT) scores, an 8 items scale assessing cough, phlegm, chest tightness, walking, activities, confidence to leave the home, sleep and energy [75]. However, the larger 2019 and 2024 trials led by Currow *et al* [56] and Higginson *et al* [32<sup>---</sup>], specifically excluded people with depression or taking antidepressants, and focussed on people with moderate to severe breathlessness.

Three of the eight trials summarised in Table 2 followed recommended approaches for double blinding, randomisation, and pre-specified protocols. The populations were mostly people with respiratory diseases, often COPD. In some of the studies, when reported, adverse events were higher in the antidepressant group, although serious adverse events were not different between antidepressant group and placebo. The recent Higginson *et al* study [32<sup>---</sup>] also reported hospital admissions and family hours of care and found both to be higher in the antidepressant group.

Some of the smaller earlier studies, especially when depressed patients were targeted, found improvements in depression in both study arms. Some reported a significant change in those receiving the antidepressant, with an improvement for those receiving placebo which did not reach significance.

However, these studies did not find a difference between study arms when applying the recommended approach for estimating treatment effects – comparing outcomes between intervention and control groups, as outlined in CONSORT and other clinical trial guidelines [80,81]. Confidence intervals for the estimated effect were not presented in these smaller studies and analysis did not adjust for posthoc analysis or multiple testing. Furthermore, trial quality markers such as protocol registration, randomisation processes and standard reporting of adverse events or harms were limited.

#### EVIDENCE REGARDING EFFECTIVENESS OF ANTIDEPRESSANTS TO TREAT DEPRESSION IN PEOPLE WITH SEVERE BREATHLESSNESS OR ADVANCED RESPIRATORY DISEASES

When evaluating the use of antidepressants for the management of depression in people with severe breathlessness, evidence from systematic reviews, meta-analyses and longitudinal studies is inconclusive. Rayner et al's Cochrane review found evidence that antidepressants were superior to placebo in treating depression in physical illness [82]. A subsequent Cochrane review and meta-analysis by Pollok *et al* focussed on depression among people with COPD [83]. It identified the study by Borson et al assessing the tricyclic antidepressant nortriptyline [78], which showed a reduction in depressive symptoms compared to placebo (see Table 2). Pollok et al's meta-analysis combined data from the three trials of selective serotonin reuptake inhibitors (SSRIs) by He et al [75], Eiser et al [77], and Lacasse et al [76]. For the 148 people with outcome data, the standardised mean difference in depressive symptoms for placebo compared to SSRIs was 0.75 (-1.14 lower to 2.64 higher), with no significant difference between groups [83]. Recently, observational studies have raised questions about the relationship between antidepressant use and increase respiratory-related morbidity and mortality among people with COPD [84–86]. These studies are likely affected by confounding, though some attempted to account for this in their analysis. Their authors generally concluded that non-pharmacological and pharmacological treatments, accompanied by careful monitoring and tailored to the individual patient, were the most appropriate approaches.

#### **CLINICAL IMPLICATIONS**

The latest research does not support the use of antidepressants to alleviate severe breathlessness.

Instead, non-pharmacological approaches have proven benefit to alleviate severe breathlessness

with minimal adverse events and should be first line treatment. Leading clinical guidelines, such as those from GOLD [2], recommend a personalised, holistic approach, yet they are not always consistently followed in practice. Clinicians who apply these guidelines are more likely to adopt care that aligns with best practice [25]. Recommended interventions include early identification of those at risk of worsening breathlessness and proactive support through a continuum of approaches – effective communication, pulmonary rehabilitation in earlier stages and post-hospital admission, strategies to improve peripheral muscle strength, and, for those with more advanced disease, breathlessness support services that integrate respiratory and palliative care [87\*]. These interventions have demonstrated effectiveness in randomised controlled trials and systematic reviews [44,88,89]. Moreover, building on the three axes outlined above, the best approaches to managing breathlessness are likely to be those that integrate interventions targeting all three. Strengthening the implementation of evidence-based guidelines in clinical practice is key to improving patient care [25].

There are wider clinical implications from the recent work. Faced with severe breathlessness clinicians understandably feel compelled to act, and adverse events may be attributed to disease progression. Consequently, off-label medication use is common in palliative care and advanced illness, accounting for about a third of prescriptions, particularly for symptoms like breathlessness [90,91]. However, across all medical fields, including supportive and palliative care, adherence to the principle of 'do no harm' remains paramount [92]. The recent trial of mirtazapine for breathlessness in advanced illness highlights this concern, as it found no significant benefit but a higher incidence of adverse events [32<sup>••</sup>], underscoring the potential risks of repurposing medicines without robust evidence. For this reason, use of repurposed medicines off-label, should be considered with caution, carefully monitored and ideally offered within clinical trials, to enhance safety and efficacy. There is a pressing need for greater acceptance among clinicians to enrol patients in trials, facilitating evidence generation and reducing the risk of unintended harm.

At the same time, given the significant impact of depression on quality of life, daily functioning, and other health outcomes – as well as its high prevalence in patients with advanced illness and breathlessness – it is crucial to take a proactive approach to depression management. This includes early support to help prevent depression in people with breathlessness [93], timely detection, and appropriate management

using a combination of interventions. Non-pharmacological or combined approaches such as pulmonary rehabilitation or breathlessness support services can improve mood and coping [88,94], while psychological approaches may help address distress and enhance resilience [95]. Pharmacological treatment may well be appropriate for clinically significant depression, but the recent evidence on antidepressant risks in respiratory disease [84–86] underscores the need for careful monitoring and a multidisciplinary multi-axial approach to balance efficacy and safety.

#### RESEARCH IMPLICATIONS

With a paucity of effective pharmacological treatments for severe breathlessness, the urgent search for effective medicines and other therapies must continue. This includes enhancing the scalability, optimising the effectiveness, and refining the targeting of non-pharmacological interventions, alongside discovering and testing new and repurposed medicines. Interventions should be developed with a framework that addresses the three key axes of breathlessness: lung-brain, behavioural-functional, psycho-social-spiritual. While clinical experience may highlight potential opportunities and promising therapies, rigorous trials are essential to establish their safety and effectiveness.

Our review underscores the need for robust, appropriately powered, pragmatic, randomised double-blind clinical trials in supportive and palliative care. These should be complemented by observational studies that enable the evaluation of risks and benefits at a population level. Given that symptoms like breathlessness are subjective and that both intervention and control groups may show improvement – as seen in some studies included in this review – ensuring appropriate blinding and independent assessment is critical to maintaining the validity of findings.

In designing these trials, three critical aspects must be addressed. First, selecting the most appropriate outcome measure for breathlessness is essential to ensure that assessments capture meaningful clinical changes and patient-centred benefits [96,97,98,99].

Second, the thorough and transparent reporting of adverse reactions (ARs) and adverse events (AEs) are vital. This includes not only the frequency and severity of ARs but also their clinical relevance and potential implications for patient safety. Many trials report only serious adverse events (SAEs), but in fact ARs and AEs can have substantial clinical, quality of life, health and care impacts [100\*\*]. The recent mirtazapine trial provided comprehensive reporting of adverse events (AEs) [32\*\*]. If only SAEs had been

reported, no differences would have been observed between groups. The latest CONSORT trial guidelines on harms recommend a comprehensive reporting of all levels of reactions and events [101].

Third, trials should incorporate data on healthcare utilisation and informal care time. Breathlessness is a symptom that significantly impacts healthcare resource use, including hospital admissions, emergency visits, and medication use. Additionally, it places a substantial burden on family and informal carers, affecting their time, well-being, and financial stability. Capturing these aspects within trials will provide a more comprehensive assessment of the wider benefits and costs of interventions, supporting better-informed clinical and policy decisions.

#### CONCLUSION

Current evidence does not support antidepressants for breathlessness in respiratory disease. Non-pharmacological approaches should be first line, given their proven benefits and low risk. Off-label medicine use requires caution and should ideally be offered within a trial or evaluation. Given the complex nature of breathlessness, a multiaxial approach is needed. People with breathlessness should be offered inclusion in research studies. Future research should focus on innovating and then testing treatments and therapies in well-designed trials with appropriate outcome measures and reporting of adverse events, health care use and informal carer effects.

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All authors critically reviewed the manuscript and approved the final version. I.J.H. had responsibility for the decision to submit for publication.

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#### **Conflicts of interest**

I.J.H., S.B., C.J.J. and M.K. were investigators of the Better-B Mirtazapine trial included here. I.J.H. reports grants from EU, Marie Curie Cancer Care and NIHR, and is Scientific Director of Cicely Saunders International, NIHR Emeritus Senior Investigator and is an Honorary Clinical Consultant in Palliative Medicine for hospitals under Kings College Hospital NHS Foundation Trust outside of the submitted work. M.K. reports grants from the EU and Poland Ministry of Science and Higher Education for participation in Horizon 2020. D.H. reports no relevant conflicts.

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