Effect of Treatment for CHC on Liver Disease Progression and Hepatocellular Carcinoma Development in African Americans

Naveen Reddy, Paul Naylor*, Zaher Hakim, Redwan Asbahi, Karthik Ravindran, Elizabeth May, Murray Ehrinpreis and Milton Mutchnick

Department of Internal Medicine/Division of Gastroenterology, Wayne State University School of Medicine, Harper University Hospital, Detroit, MI, USA

Abstract

Backaround and Aims: African Americans (AA) historically have a low response rate to hepatitis C therapies, and there is limited information available for this patient population regarding the development and treatment of chronic hepatitis C (CHC). The aim of this study was to evaluate liver disease progression and hepatocellular carcinoma (HCC) development in AA with CHC. Methods: Between 1995 and 2008, 246 AA patients with CHC were identified from a database of patients and followed until 2012-2013 (average 8 years) or the development of HCC after 2008. Results: Viral clearance (intent to treat; sustained virus response (SVR)) was achieved in 15% of patients with interferon based therapies with or without ribavirin. AA patients who achieved an SVR (n=22) did not develop HCC or new onset cirrhosis, whereas the HCC incidence in untreated AA patients was 23% (51/203). Patients who achieved an SVR also had improved fibrosis, as defined by the AST Platelet Ratio Index (APRI) and Fibrosis-4 (FIB-4) score, relative to nonresponders and untreated patients. Conclusions: The severity of liver disease at the first visit (except for cirrhosis) correlated with the development of HCC, but because of the overlap in values between patients, these measurements were not useful for predicting individual risk. Since cirrhosis at the first visit was not a predictive factor, treatment with newer antiviral therapies is the best option for reducing the incidence of advanced liver disease and its harmful outcomes in the AA population. © 2015 The Second Affiliated Hospital of Chongging Medical University. Published by XIA & HE Publishing Ltd. All rights reserved.

Introduction

Hepatitis C virus (HCV) related morbidity and mortality risk is significant in patients with chronic hepatitis C (CHC), which affects approximately 3.2 million people in the United States (1% of the population).^{1–5} In patients treated with interferon (IFN) and ribavirin, 25-60% achieved sustained virus response (SVR), and with the addition of first generation direct acting antivirals (DAAs), SVR was achieved in almost 80%.⁶⁻⁹ Treatment with new DAAs led to SVR rates greater than 90%.¹⁰⁻¹² African Americans (AA) are twice as likely to be infected with HCV relative to the nonHispanic-Caucasian US population and have historically had lower response rates to approved IFN based therapies.⁴⁻²⁰ Although fibrosis progression is less rapidly in AA compared to Caucasians, it is unlikely to be a good clinical strategy to counsel AA that they are at lower risk for rapidly developing advanced liver disease.¹⁹⁻²¹ Thus, more specific information about the AA population is needed to better understand the progression of liver disease, especially after achieving viral clearance, and to identify optimal treatment strategies.

A number of publications have compared the response and outcomes in patients treated with IFN-based therapies.²²⁻²⁷ All studies have demonstrated that patients who achieve an SVR have better outcomes with respect to cirrhosis, development of HCC, and all-cause mortality. A report by Cozen et al compared outcomes for treated and nontreated patients in two representative diverse populations. The results suggested that patients who received IFN-based therapies but failed to clear HCV may be at increased risk for developing cirrhosis compared to untreated patients. Overall survival was not significantly different.²⁸ Additional studies provided conflicting results, but these did not contain a significant AA population. Finally, a study utilizing electronic medical records (EMRs) from a Department of Veterans Affairs Clinical Registry confirmed the value of achieving SVR and found that AA were at lower risk than Caucasians for all liver events.²⁹

The aim of this prospective study was to evaluate the effect of IFN based therapies on outcomes in AA patients. The predominant population in our urban clinic is AA, and we previously described the demographics and HCV relevant disease parameters in this patient group.³⁰ Here, we identified 346 AA first seen in 1995–2008 and followed them for 8 years on average. Our findings further the understanding of liver disease progression in AA and provide information that

Keywords: Hepatitis C; Cirrhosis; Hepatocellular carcinoma; APRI; Fib-4. **Abbreviations:** AA, African Americans; AFP, alpha feto-protein; ALT, alanine aminotransferase; APRI, AST Platelet Ratio Index; AST, aspartate aminotransferase; AUROC, Area Under the Receiver Operating Characteristic Curve; CHC, chronic hepatitis C; CT, computed tomography; DAA, direct acting antiviral; EMR, electronic medical records; ETR, end of treatment response; FIB-4, fibrosis-4; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; IFN, interferon; IRB, institutional review board; ITT, intent to treat; MRI, magnetic resonance imaging; RNA, ribonucleic acid; SVR, sustained virus response; UPG, University Physician Group.

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^{*}Correspondence to: Paul Naylor, Gastroenterology 6 Hudson Bldg, Harper University Hospital, 3990 John R, Detroit, MI 48201, USA. Tel: +1-313-745-8601, Fax: +1-313-745-8843, E-mail: pnaylor@med.wayne.edu

will specifically guide clinical management and therapy decisions in this underserved and under-investigated population.

Methods

In this prospective study for outcomes, we started with screened a database of 3,800 CHC patients who were seen at the Wayne State University Physician Group (UPG) Gastroenterology Clinic between 1995 and 2008. All patients signed an institutional review board (IRB) approved consent form. Using the EMR and an IRB approved Health Insurance Portability and Accountability Act (HIPAA) exemption from UPG and Detroit Medical Center, we identified patients who were diagnosed with HCC between 2009 and 2013 and were in the original database. We also identified patients were seen again between January 2012 and July 2013 in order to identify patients with a significantly long follow up time. In total, we identified 346 AA patients with hepatitis C genotype 1 that were followed for 4 to 18 years. Available patient information included demographic information, laboratory values, biopsies, imaging, and response to treatment. First visit and "early visit" indicate that the information was relevant to the initial assessment of the patients although some data was order and obtained later as part of the initial assessment. Treatment response data was obtained at the follow up visits. Cirrhosis was diagnosed with a combination of liver biopsy, ultrasound, computed tomography (CT), magnetic resonance imaging (MRI), and/or esophagogastroduodenoscopy evidence of portal hypertension. Since repeat biopsies were rarely performed, two well-studied markers of fibrosis were used to determine changes in fibrosis over time.³¹⁻³⁴ The aspartate amino transferase (AST) platelet ratio index (APRI) was defined as ((AST(IU/mL)/40 IU/mL)/Platelets (count $\times 10^3$ /mL)) $\times 100^{.33}$ Fibrosis-4 (FIB-4) was defined as ((Age(years))×(AST(IU/mL)))/((Platelets(count×10³/mL))

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×(Square root ALT(IU/mL)).³⁴ Both assays are continuous variables and the literature cutoffs to define minimal vs significant fibrosis were 0.7 for APRI and 1.45 for FIB-4. For cirrhosis, the cutoffs were 1.0 for APRI and 3.5 for FIB-4. The response of AA to therapy was based on intent to treat (ITT). The SAS based statistical program JMP[®] was used for statistical analysis. To compare different groups, student's t test, analysis of variance (ANOVA), or chi-square was used, depending on the variable. Changes between early visit and recent visit were evaluated using pairwise analysis. In order to determine the relationship between multiple factors defined in univariate analysis and outcomes, multivariate analysis (logistic regression) was used. *p* value less than 0.01 was considered statistically significant.

Results

In total, 346 AA patients, followed for an average of 8 years, were included in our study. Table 1 presents their characteristics as related to their treatment and response. The study group was 57% male, and the mean age was 54 years with a range consistent with known infection rates of individuals born between 1945 and 1965. The mean levels of alanine aminotransferase (ALT) and AST were elevated, but albumin and platelet levels were within normal range. The majority of patients had liver biopsy (257 out of 346 (74%)), and the biopsy mean fibrosis score, as defined by the Metavir, system was 1.88.

All treated patients received IFN based therapies, and 147 patients (43%) received at least one dose of treatment. The majority of treated patients (86%) were given dual therapy of pegylated-IFN and ribavirin. The SVR rate was 15% based on ITT and 23% based on patients who adhered to the protocol. When patients who relapsed following treatment were included, the end of treatment response (ETR) was 24%.

A: Characteristics at first visit stratified by treatment and outcomes										
	Time between visits		Fibrosis (Metivar)		HCV RNA (×10 ⁶ IU/mL)		Gender	Cirrhosis		
	Mean	SEM	Mean	SEM	Mean	SEM	% Male	%		
Not Treated	7.3	0.2	1.7	0.1	1.4	0.1	60%	13%		
Discontinued	8.5	0.5	2.4	0.2	1.8	0.2	64%	21%		
NonResponder	11.0	0.4	1.8	0.2	1.5	0.2	48%	7%		
Relapse	9.9	0.9	1.8	0.4	1.3	0.4	46%	23%		
SVR	7.2	0.7	1.7	0.3	0.7	0.3	61%	9%		

Table 1. Characteristics of AA patients with CHC and treatment-induced changes in liver function tests

B: Change between visits stratified by treatment and outcomes

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	ALT (U/L)		AST (U/L)		Albumin (g/dL)			Platelets (K/mL)				
	Pre	Post	Sig	Pre	Post	Sig	Pre	Post	Sig	Pre	Post	Sig
Not Treated	69	65	NS	83	66	*	4.02	3.80	**	220	198	**
Discontinued	68	52	NS	68	47	*	4.22	3.78	**	217	207	NS
NonResponder	91	73	NS	73	65	NS	4.12	3.79	**	217	198	NS
Relapse	74	43	NS	61	38	*	3.99	3.90	NS	191	185	NS
SVR	80	28	*	78	24	**	4.03	4.02	NS	253	245	NS

[#]Significance defined by pair wise analysis; NS, not significant, *p*>0.01; **p*<0.01; ***p*<0.001.



Fig. 1. Fibrosis changes in treated vs. nontreated patients defined by APRI and FIB-4. The fibrosis scores by APRI (A) and FIB-4 (B) are plotted as the average for paired patients between the initial visit (1) and follow-up visit (2). The number of patients in each group is in the parenthesis. N Treat, nontreated; Dis, discontinued; NonR, nonresponders; Rel, relapsed; SVR, sustained virus response. Significance was defined using the paired difference test.

When patients were stratified by treatment, there were minimal differences between various groups of patients at the first visit (Table 1 and Fig. 1). The largest difference, although statistically insignificant, was a reduction in HCV ribonucleic acid (RNA) levels in patients with SVR relative to other groups. In addition, the values before treatment and after treatment/no treatment for liver related laboratory values as a function of response to treatment were compared. The most improved patients were those who achieved an SVR, had reversal of ALT and AST elevation, and had no decline in albumin and platelet counts. APRI and FIB-4 were used to assess changes in fibrosis/cirrhosis.³¹⁻³⁴ We used biopsy data to validate both the APRI and FIB-4 in our study population. There was a strong correlation between the degree of fibrosis defined by biopsy and fibrosis as calculated by APRI and FIB-4 (p<0.001 data not shown). Also the Receiver Operating Characteristic and the Area Under the Receiver Operating Characteristic Curve (AUROC) values for the AA population were between 0.56 and 0.75 (data not shown). These results are consistent with the literature, and confirm that both calculations are sensitive and specific for measuring differences in fibrosis in AA.³¹⁻³⁴

When the APRI and FIB-4 were evaluated in patients at the initial visit and follow-up, there was no significant change in fibrosis in patients that were not treated (Fig. 1). In patients who were treated, only the patients who achieved SVR had a significant improvement in these fibrosis scores relative to the other groups. Statistical significance was assessed using a pair-wise analysis, and there were differences in individual patients but not group averages.

Patients who achieved SVR did not develop new cirrhosis or HCC in the follow-up period (Fig. 2). In comparison, patients who were not treated, who discontinued treatment, or who were nonresponsive to treatment developed HCC and newly identified cirrhosis. The nonresponding patients, however, had a lower incidence of HCC than untreated or discontinued treatment patients. Patients achieving an ETR but not SVR did not develop HCC but exhibited a similar incidence of new cirrhosis as the other groups.

Since males are more likely to develop HCC, we compared HCC development and response to therapy by gender (Fig. 3).

The response to treatment was similar for both genders (13% F vs. 18% M), although the percentage of females treated (46%) was greater than males (38%). As shown in Fig. 3, AA males were more likely to develop HCC than females, but patients achieving an SVR or ETR with subsequent relapse did not develop HCC regardless of gender. In addition, although males had a higher incidence of HCC, the time to development of HCC was not statistically significant between the genders (F=9 years vs. M=8 years).

In order to define the natural history of the disease in AA patients, we determined the time to HCC in AA patients who were not treated. The time to HCC onset ranged from 1 year to 14 years after the first visit, with 4 to 6 years being the most common timeframe (n=24, Fig. 4). There was no



Fig. 2. Advanced liver disease in AA patients with or without treatment at follow-up. Patients were evaluated for the development of hepatocellular carcinoma (HCC) and new cases of cirrhosis at visits following the initial visit. The majority of patients were treated with Peg-IFN + ribavirin (86% of treated patients). The SVR was 15% by ITT and 23% by protocol. ETR (SVR + relapse patients) was 24% by ITT and 36% by protocol. The number of patients in each group is in the parenthesis. N Treat, nontreated; Dis, discontinued; NonR, nonresponders, Rel, relapsed; SVR, sustained virus response. SVR and patients who relapsed after ETR did not develop HCC.



Fig. 3. Patient treatment, response by gender, and development of HCC. The % of patients who developed HCC was plotted by response to treatment and gender. Similar numbers of AA patients were treated (46% F and 38% M), and response rates based on intent to treat were also similar (15%). Although males were more likely to develop HCC, HCC did not develop in either the SVR or ETR (relapse) patients, regardless of gender. The average time to HCC was 9 years for females and 8 years for male (nonsignificant by Chi-Square).

difference in time to HCC diagnosis between AA patients with or without cirrhosis at the time of the first visit.

Untreated patients who did not develop HCC were compared to those who did develop HCC in order to determine whether particular parameters at the first visit were useful predictors of HCC development. ALT, AST, albumin, platelet counts, and alpha fetoprotein (AFP) all correlated/inversely correlated with HCC development in AA (p<0.005, data not shown). Fig. 5 presents the data related to fibrosis (liver biopsy Metavir scores, APRI, and FIB-4), and all were significant predictors of HCC. Fibrosis but not cirrhosis at the first visit was a significant risk factor for HCC. There was a wide range and considerable overlap in all patient parameters among patients who developed HCC and those who did not. Therefore, the values could not be used to predict individual risk for developing HCC. When using multivariate analysis for all risk factors (ALT, AST, albumin, platelets, AFP, stage of fibrosis, APRI, FIB-4, and cirrhosis), no parameters were identified that were independent predictors of risk for developing HCC when subjected to logistic regression analysis. When the multivariate analysis was restricted to only fibrosis



Fig. 4. Number of AA patients diagnosed with HCC plotted by the year of diagnosis. All patients (n=51) who developed HCC were stratified by those who had cirrhosis at first visit (HCC from cirrhotic; n=12) and those who did not (HCC-noncirrhotic; n=39). Almost half (24/51=48%) of patients developed HCC 4–7 years after the first visit but a significant number of patients (25%) did not receive a diagnosis of HCC for more than 11 years. The risk of developing of HCC at the first visit was not predicted by the presence of cirrhosis, since the time to HCC distribution was similar for those with and without cirrhosis at the first visit.

relevant independent predictors (Metavir Stage, APRI, and FIB-4), the only independent predictor of HCC was stage of fibrosis (p<0.002).

Discussion

In this study, we followed AA patients with CHC for an average of 8 years who were infected with HCV genotype 1. CHC outcomes were defined by either the development of cirrhosis, HCC, or fibrosis from the initial visit to the most recent follow up in 2012-2013. As part of this study, we confirmed that a significant number of patients with early visit liver biopsy results had a good correlation with both APRI and FIB-4, thus confirming them as useful surrogate markers for fibrosis in AA patients. Since repeat biopsies were rarely fibrosis was detected using APRI and performed. FIB-4.^{7,31-34} The study demonstrated that permanent viral elimination (defined as SVR) with IFN based treatment in AA patients with CHC protected them from cirrhosis and HCC and reversed fibrosis. Although CHC patients with ETR who relapsed developed new cases of cirrhosis at rates similar to those of nontreated or treatment failure patients, they did not develop HCC. In contrast, patients who failed treatment or were not treated were at high risk for the development of HCC and new onset cirrhosis. This study also confirmed that AA males are at higher risk for developing HCC than AA females. The response to therapy was similar and achieving an SVR reduced the risk of HCC in both genders. Since a significant number of patients developed HCC, we were able to determine whether HCC risk in AA was predictable based on the first clinical visit. Unlike many studies, we plotted individual patients rather than an average in order to assess the clinical value per patient. Regardless of whether serum assays (ALT, AST, AFP, albumin, platelets) or fibrosis assays (biopsy, APRI, FIB-4) were compared between patients who did or did not develop HCC, there was no reliable predictor on an individual basis. This was because of the large variation of values in each group. Somewhat unexpected, we found that cirrhosis at first visit in AA was not predictive of HCC development. When multivariate analysis was used to determine whether there was a single independent factor that was predictive of development of HCC in AA, only fibrosis, as defined by biopsy at the first visit, was even moderately predictive. This lack of positive predictive value for factors was further confirmed by the finding that when patients who developed HCC were stratified by cirrhosis (or no cirrhosis) at first visit, there was no relationship between time to HCC and cirrhosis.

Although the number of patients achieving an SVR was sufficient for analysis, we could not rule out the possibility of the development of HCC in patients who achieved an SVR. There are a number of publications suggesting that the rate of HCC may be as high as 5% in patients experiencing an SVR.³⁵⁻³⁷ Those studies are, however, primarily in Asian and European populations and do not contain significant numbers of AA. The primary risk factors for developing HCC in patients with SVR are males with genotype 1 and cirrhosis at the time of viral clearance. The majority of HCC cases occurred within 3 years, suggesting the presence of small precancerous lesions at the time of viral clearance. Since our population contains patients with these significant risk factors and a high incidence of HCC was present in the untreated and nonresponding population, it is possible that the development of HCC in AA after clearance of the virus is considerably less likely than in the other populations studied.

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B. FIB-4 and Risk of HCC Development



C. Metivar Fibrosis Score and HCC Development

Mosaic Plot

1.00

0.75

0.50

0.25

0.00

HCC

Stage Fibrosis Character



Fig. 5. Fibrosis/cirrhosis relevant predictors of HCC for individual patients. Individual patients were stratified by those who developed HCC or those who did not and their individual values for potential risk factors at first visit are plotted. For continuous variables, the graphs have the mean and SEM and significance was defined with a student's t test (A, B). For discontinuous values (fibrosis by Metavir and presence/absence of cirrhoses) the data are presented as mosaic plots and significance was calculated using Pierson Chi-square (C, D). The width of the plots reflect the total numbers of patients, and the various shadings represent the % of patients with each parameter (Scores of 0-4 or cirrhosis or no cirrhosis). The bar to the right of each graph reflects the % of total patients. Similar results were obtained for AST, albumin, platelet counts, and AFP; and the difference at entry between HCC and no HCC was significant (p<0.001 for all, data not shown). The wide variability and overlap between individuals in those assays was also similar to that shown in the Figure. Although patients who developed HCC were more likely to have cirrhosis at first visit, the difference was not statistically different.

To a large extent, this study is prospective in nature since patients were first seen prior to 2008 and subsequently seen until 2013 or the development of HCC. Patients who developed HCC were followed for 1-14 years, while those who did not, were seen for a minimum of 4 years and a maximum of 18 years after initial presentation. This study did not compare Caucasians to AA, as the number of Caucasians seen in our clinic has continued to decline and there were insufficient numbers available for statistical analyses. The incidence of HCC in AA in our study was 20% in untreated patients, which was sufficient to provide statistical and clinical relevance to our study.

Conclusions

Perhaps the most important observation of the study was that AA who did achieve SVR were similar to other groups of SVR patients who also had improved outcomes relative to untreated or nonresponding patients. With the advent of more effective DAA therapies, possible differences in response rates between AA and Caucasians are no longer an issue, and more patients should achieve an SVR.¹² Although there are many risk factors for the development of HCC in AA patients, if patients are not successfully treated, it remains difficult to predict the development of HCC. Since identification of cirrhosis at an early visit may not be a significant risk factor in AA, surveillance in patients with significant fibrosis, rather than just cirrhosis, is warranted. Unfortunately in many studies to date, it is often a challenge to provide effective HCC surveillance of this population; and thus, elimination of the virus remains the optimal goal of therapy.

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No HCC

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Conflict of interest

None

Author contributions

Collection of data, analysis of data, interpretation of findings, drafting article (NR); conception of study, data collection and analysis, interpretation, revising of article for important intellectual content, acquisition of funding (PN); collection of data (ZH); collection of the data (RA); collection and analysis of the data (KR); data collection, interpreting data and revising of article for important intellectual content (EM); revising of the article for important intellectual content (ME); assisting with the design and conception of study, interpretation and revising of article for important intellectual content, acquisition of funding (MM).

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