

Immunogenomic determinants of tumor microenvironment correlate with superior survival in high-risk neuroblastoma

Riyue Bao ^{1,2}, Stefani Spranger,^{3,4} Kyle Hernandez,^{5,6} Yuanyuan Zha,⁶ Peter Pytel,⁷ Jason J Luke ^{1,2}, Thomas F Gajewski,^{6,7} Samuel L Volchenboum,⁸ Susan L Cohn,⁸ Ami V Desai⁸

To cite: Bao R, Spranger S, Hernandez K, *et al*. Immunogenomic determinants of tumor microenvironment correlate with superior survival in high-risk neuroblastoma. *Journal for ImmunoTherapy of Cancer* 2021;**9**:e002417. doi:10.1136/jitc-2021-002417

► Additional supplemental material is published online only. To view, please visit the journal online (<http://dx.doi.org/10.1136/jitc-2021-002417>).

Accepted 17 May 2021



© Author(s) (or their employer(s)) 2021. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by BMJ.

For numbered affiliations see end of article.

Correspondence to

Dr Ami V Desai;
adesai12@peds.bsd.uchicago.edu

ABSTRACT

Background Tumor-infiltrating CD8⁺ T cells and neoantigens are predictors of a favorable prognosis and response to immunotherapy with checkpoint inhibitors in many types of adult cancer, but little is known about their role in pediatric malignancies. Here, we analyzed the prognostic strength of T cell-inflamed gene expression and neoantigen load in high-risk neuroblastoma. We also compared transcriptional programs in T cell-inflamed and non-T cell-inflamed high-risk neuroblastomas to investigate possible mechanisms of immune exclusion.

Methods A defined T cell-inflamed gene expression signature was used to categorize high-risk neuroblastomas in the Therapeutically Applicable Research to Generate Effective Treatments (TARGET) program (n=123), and the Gabriella Miller Kids First (GMKF) program (n=48) into T cell-inflamed, non-T cell-inflamed, and intermediate groups. Associations between the T cell-inflamed and non-T cell-inflamed group, *MYCN* amplification, and survival were analyzed by Cox proportional hazards models. Additional survival analysis was conducted after integrating neoantigen load predicted from somatic mutations. Pathways activated in non-T cell-inflamed relative to T cell-inflamed tumors were analyzed using causal network analysis.

Results Patients with T cell-inflamed high-risk tumors showed improved overall survival compared with those with non-T cell-inflamed tumors (p<0.05), independent of *MYCN* amplification status, in both TARGET and GMKF cohorts. Higher neoantigen load was also associated with better event-free and overall survival (p<0.005) and was independent of the T cell-inflamed signature. Activation of *MYCN*, *ASCL1*, *SOX11*, and *KMT2A* transcriptional programs was inversely correlated with the T cell-inflamed signature in both cohorts.

Conclusions Our results indicate that tumors from children with high-risk neuroblastoma harboring a strong T cell-inflamed signature have a more favorable clinical outcome, and neoantigen load is a prognosis predictor, independent of T cell inflammation. Strategies to target *SOX11* and other signaling pathways associated with non-T cell-inflamed tumors should be pursued as potential immune-potentiating interventions.

BACKGROUND

The presence of effector T cells in the tumor microenvironment has been associated with improved survival in adults with many types of cancer.^{1–3} Several studies of melanoma and other solid tumors have demonstrated that expression of dendritic cell (DC) and CD8⁺ T cell-associated genes, or a T cell-inflamed gene signature, is correlated with favorable prognosis and response to immunotherapy with checkpoint blockade therapy or tumor vaccines.^{4–8} T cell-inflamed tumors are characterized by type I interferon (IFN) activation, immune potentiating chemokines, antigen presentation, cytotoxic effector molecules, and activated CD8⁺ T cells.⁹ The inflamed tumor microenvironment is additionally characterized by IFN-induced inhibitory pathways such as programmed death-ligand 1 (PD-L1) and indoleamine-2, 3 dioxygenase, and higher proportions of FOXP3⁺ regulatory T cells.⁹ Other known predictors of response to immunotherapy include, but are not limited to, a high tumor mutational burden (TMB)¹⁰ and a high neoantigen load.¹¹ While TMB and neoantigen load often highly correlate with each other,¹² previous studies have demonstrated both markers have low correlation with the presence of T cell inflammation,^{10,12,13} and TMB (or neoantigen load) and T cell-inflamed gene expression may represent non-redundant predictive biomarkers of immune checkpoint inhibitors efficacy.¹⁴

In contrast, resistance to immunotherapy has been correlated with tumors that lack the T cell-inflamed signature. There is increasing evidence that signaling pathways intrinsic to the neoplastic cells may impair the local immune response in tumors. Tumor cell-intrinsic activation of the WNT/ β -catenin pathway has been associated with a lack of T

cell infiltration in melanoma, bladder cancer, and more broadly across cancer.^{15–17} Activation of the phosphoinositide 3-kinase (PI3K) signaling pathway through loss-of-function mutations in phosphatase and tensin homolog (PTEN) can likewise mediate a non-T cell-inflamed tumor microenvironment in melanoma,¹⁸ and inactivation of LKB1 can have a similar effect in lung adenocarcinoma.¹⁹ Further, in lymphoma, diminished activation and recruitment of T cells have been reported with MYC activation, largely through inhibition of macrophage activation.²⁰ MYC and several other activated transcriptional pathways have more broadly been associated with non-T cell-inflamed tumors across cancer types.²¹

In contrast to adult cancers, pediatric neoplasms have low mutational burden and most are non-T cell-inflamed, with scarce tumor-infiltrating lymphocytes (TILs) among anti-inflammatory M2 tumor-associated macrophages (TAMs).^{22–23} Although the response to immune checkpoint inhibition is poor for many pediatric cancers,^{24–25} post-consolidation immunotherapy with monoclonal antibodies targeting the GD2 ganglioside combined with cytokines significantly improves survival for children with high-risk neuroblastoma.²⁶ Further, high response rates were also reported in newly diagnosed patients in a single institutional study with induction chemotherapy combined with anti-GD2 antibody,²⁷ and significant anti-tumor immunity was observed in a Children's Oncology Group (COG) clinical trial testing irinotecan and temozolomide combined with anti-GD2 antibody and GM-CSF in patients with relapsed/refractory neuroblastoma.^{28–29}

The immunobiology of the neuroblastoma microenvironment is an emerging field. To increase our understanding about how immunogenomic determinants influence neuroblastoma phenotype, we analyzed the correlation between patient survival and T cell-inflamed gene expression and neoantigen load in tumor. We demonstrate that both biomarkers are prognostic in children with high-risk neuroblastoma and identify tumor-intrinsic oncogenic signaling pathways activated in neuroblastomas with a non-T cell-inflamed phenotype. These findings enhance a framework, whereby T cell-inflamed expression and neoantigen load can provide new prognostic information to inform treatment decisions, and may also lead to the development of future immune therapeutic interventions.

METHODS

Study cohorts and datasets

Two neuroblastoma cohorts were analyzed. The discovery cohort included patients from Therapeutically Applicable Research to Generate Effective Treatments (TARGET) program (n=149; 123 high-risk) (dbGAP accession ID phs000218.v22.p8) (online supplemental table 1). RNAseq paired-end (PE) FastQ files, whole exome sequencing (WES) alignment BAM files, somatic mutation MAF (Mutation Annotation Format) files, and clinical data were downloaded from Genomic Data Commons

(GDC)³⁰ (<https://portal.gdc.cancer.gov>) (accessed 07/2017). The validation cohort included patients with clinical information in the International Neuroblastoma Risk Group (INRG) Data Commons³¹ and tumor RNAseq data in the Gabriella Miller Kids First (GMKF) program (n=198; 48 high-risk) (online supplemental table 2). Universal system identification (USI) numbers were used to link the datasets. Access to RNAseq PE FastQ files in GMKF could not be obtained at the time of study, and therefore, preprocessed gene expression TSV files from the GMKF data portal (<https://kidsfirstdrc.org/>) (accessed 08/2020) were used for analysis. Of the 209 patients identified, 11 were determined by USI number to also be included in the discovery cohort, hence were excluded from the validation cohort; 198 were kept for validation (online supplemental table 2).

RNAseq gene expression quantification

The quality of raw sequencing reads was assessed by FastQC³² (V.0.11.5) for the tumor samples in the discovery cohort. Read counts were quantified at transcript level using Kallisto³³ (V.0.44.0) with human reference assembly GRCh38 and Gencode gene annotation (V.28), summarized into gene level using tximport³⁴ (V.1.4.0), normalized by trimmed mean of M-values (TMM) method, and log₂-transformed.

Identification of T cell-inflamed and non-T cell-inflamed tumor groups

Using a defined T cell-inflamed gene expression signature,^{13–21} the tumors in the discovery cohort were categorized into three groups (T cell-inflamed, non-T cell-inflamed, and intermediate) using consensus clustering methods following previous protocols.¹³ In brief, an expression matrix consisting of the 160 genes from the T cell-inflamed signature²¹ was subset from the TMM-normalized and log₂-transformed RNAseq gene expression quantification matrix and was used to cluster tumors into 12 clusters by ConsensusClusterPlus (V.1.42.0) using hierarchical clustering with Euclidean distance and Ward.D2 linkage (2000 bootstraps and 80% usage of gene features). Tumors were then assigned with each of the three immune groups based on high, low, or intermediate expression of the T cell-inflamed signature. The number of clusters was determined using the elbow method.

Mapping of T cell-inflamed and non-T cell-inflamed tumor groups between discovery and validation cohorts

The assignment of tumor groups in the discovery cohort cannot be migrated directly to that of tumors in the validation cohort due to the relative nature of gene expression data without spike-in controls. To address this issue, we projected T cell-inflamed gene expression of the validation cohort to the space of the discovery cohort using 11 patients that overlap between the two cohorts. First, we normalized and log₂-transformed gene expression within each cohort. We calculated a T cell-inflamed score for each tumor, defined as the mean expression of all

genes from the signature.²¹ Then, we fit a linear regression model on the T cell-inflamed scores of the discovery cohort and validation cohort using tumors from the 11 overlapping patients, $Score_T = -0.7798 + 1.2418 \times Score_G$ (adjusted $R^2=0.967$), where $Score_T$ represents T cell-inflamed score of the discovery cohort (T as TARGET), and $Score_G$ represents T cell-inflamed score of the validation cohort (G as GMKF). We used this model to convert all T cell-inflamed scores of tumors in the validation cohort ($Score_G$) to values comparable to that of the discovery cohort ($Score_T$), then sorted all tumors by T cell-inflamed scores lower to higher. Lastly, we assigned new tumor groups to the validation cohort based on existing tumor groups from the discovery cohort, employing the rules as follows: for all tumors harboring a score less than or equal to that of the last non-T cell-inflamed tumor on the sorted list, assign as non-T cell-inflamed; for all tumors harboring a score greater than or equal to that of the first T cell-inflamed tumor on the sorted list, assign as T cell-inflamed; otherwise, assign as intermediate.

Differential gene expression detection and pathway activation prediction

For the discovery cohort analysis, we focused on 19,883 protein-coding genes defined in Gencode annotation (V.28) and followed the protocol established in our previous work.²¹ In brief, after removing genes with low expression (defined as CPM (counts per million of mapped reads) ≤ 3), 15,580 genes with CPM > 3 in at least 30 tumors were TMM-normalized and \log_2 -transformed. Differentially expressed genes (DEGs) comparing non-T cell-inflamed with T cell-inflamed groups were identified using Linear Models for Microarray Data (limma) voom³⁵ method with precision weights (V.3.36.2) and filtered by false discovery rate (FDR)-adjusted $p < 0.05$, and fold change ≥ 1.5 or ≤ -1.5 . Upstream transcriptional regulators and change of direction (activation or inhibition) as a result of target molecules (encoded by DEGs) were predicted using Ingenuity Pathway Analysis (IPA) (QIAGEN, Germany) causal network analysis³⁶ with the curated Ingenuity Knowledge Base (accessed 12/2017). Transcriptional programs activated in non-T cell-inflamed relative to T cell-inflamed tumors were filtered at overlap $p < 0.05$ (measuring the enrichment of target molecules in the dataset) and z-score ≥ 2.0 (measuring the predicted activation level of the pathways). For the validation cohort analyses, preprocessed RNAseq expression data downloaded from the GMKF data portal was quantified using Kallisto³³ (V.0.44.0), and the per-tumor gene expression files were downloaded and aggregated into cohort level, TMM-normalized, and \log_2 -transformed for further analysis.

Somatic mutation detection, HLA genotyping, and neoantigen prediction

For the discovery cohort, the somatic mutations were harmonized using four somatic variant callers (MuTect2, VarScan2, SomaticSniper, and MuSE).³⁰ After rigorous filtering following GDC's guidelines (https://docs.gdc.cancer.gov/Data/File_Formats/MAF_Format), somatic

variants that were detected by at least two callers and passed all the filters were selected for further analysis. Total TMB was defined as the total number of non-synonymous somatic mutations (NSSMs), those that were predicted to alter protein sequence in tumor (insertions/deletions, missense/nonsense/stopgain mutations, and those that modify splicing sites). Putative neoantigens were predicted from NSSMs using netMHCpan³⁷ (V.4.0), filtered by gene expression from the RNAseq data described as follows. Patients' major histocompatibility complex (MHC) class I haplotypes were predicted from WES of germline DNA using Optitype (V.1.3.1). Nine-mer peptides were generated from the mutated site through a sliding window approach using in-house python scripts. Our previous work had suggested that peptides of SYFPEITHI³⁸ mutant score > 25 or delta score (mutant - wildtype) > 5 bind to MHC class I molecules.¹³ In this study, we used netMHCpan that covers more human leukocyte antigen (HLA) genotypes than SYFPEITHI. To select neoantigens that are likely to have strong binding affinity to HLA-A molecules and expressed in tumor, we filtered for 9-mer peptides of netMHCpan mutant score > 0.638 (equivalent to IC 50 nmol, strong binding) or delta score > 0.070 (correlated with SYFPEITHI delta score 5) and derived from genes upregulated compared with the median of its expression across all tumors.

T cell-inflamed gene expression and pathway score calculation

For each tumor, a T cell-inflamed score was computed as the mean expression of the 160 genes involved in the signature after scaling and centering across all tumor samples.²¹ A pathway activation score was calculated to each tumor following our published protocol,^{17 21} requiring at least 50% of the pathway-specific target molecules to be upregulated in a tumor sample (relative to its median expression across all tumor samples) in non-T cell-inflamed relative to T cell-inflamed group. For pathways in which less than 10 target molecules were present, 5 or more molecules were required to be upregulated to classify the pathway as activated. For pathways in which less than 5 target molecules were present, only pathways with all molecules upregulated were classified as activated. In addition, for each pathway identified in this study (*MYCN*, *ASCL1*, *SOX11*, and *KMT2A*), the expression level of a pathway was defined by the mean expression of all target molecules from this pathway, which was then used to correlate with the T cell-inflamed gene expression across all tumors by Spearman's correlation.

Survival analysis

Cox proportional hazards (PH) models were used to test the association between the tumor group (T cell-inflamed, non-T cell-inflamed, and intermediate) and the survival outcome (event-free survival (EFS); overall survival (OS)) in the discovery (n=118 high-risk patients diagnosed between 2000 and 2010) and validation cohorts (n=17 high-risk patients with survival data available) using R

package survival (function *coxph*) (V.2.41.3). Univariable and multivariable Cox PH models were used to assess the significance of tumor group as a single predictor or after adjusting for covariates including age, *MYCN* status, and ploidy. In addition, Kaplan-Meier (KM) estimator with log-rank test was performed using R package *survminer* (V.0.4.2).

Immunohistochemistry immunofluorescence staining

Immunofluorescence (IF) staining on human neuroblastoma tumors was performed by the Human Immunologic Monitoring Core Facility at The University of Chicago using tissue from 17 intermediate or high-risk neuroblastomas (5 *MYCN*-amplified and 12 *MYCN*-non-amplified). Briefly, slides were baked, cleared, and rehydrated. After heat-induced epitope retrieval, the slides were placed in a humidity chamber, blocked by 10% donkey serum for 1 hour, incubated with anti-CD8 Ab (Dako, M7103) at 1:100 dilution for 1 hour, followed by Cy3 donkey anti-Mouse IgG (Jackson Immunological Research Lab, 715-165-150) at 1:500 dilution for 1 hour. The slides then incubated with anti-Batf3 Ab (Novus, AF7437) at 1:40 dilution for 1 hour, followed by Cy5 donkey anti-Rabbit IgG (Jackson Immunological Research Lab, 711-175-152) at 1:200 dilution for 1 hour. After thorough wash, slides were incubated in DAPI and mounted with Fluoromount (Sigma, F4680). Images of the slides were taken using a Leica SP8 laser scanning confocal microscope at Integrated Light Microscopy Core Facility. A pathologist (PP) scored tumors for intensity and distribution of CD8⁺ cells and Batf3⁺ cells in a blinded fashion.

Statistical analysis

For analysis of contingency tables including comparison of tumor sample frequency between groups, Fisher's exact test was used. Differential gene expression analysis between groups were performed using empirical Bayes regression models in *limma* *voom* with precision weights. For multiple comparisons, p-value was adjusted using Benjamini-Hochberg FDR correction for multiple testing.³⁹ Spearman's correlation ρ was used for measuring statistical dependence between normalized and \log_2 -transformed expression level of different genes and between gene expression of the T cell-inflamed signature and pathways. $p < 0.05$ was considered statistically significant. Statistical analysis was performed using R (V.3.5.2) and Bioconductor (release 3.8).

RESULTS

A T cell-inflamed gene expression signature defines three distinct groups in neuroblastoma

Using a defined T cell-inflamed gene expression signature, we categorized the 149 primary neuroblastoma tumors from the discovery cohort (TARGET) into three subsets (figure 1A). High expression of T cell signature genes (T cell-inflamed) was detected in 57 (38.3%) tumors, low or no expression (non-T cell-inflamed) was identified in 45

(30.2%) tumors, and 47 (31.5%) had intermediate levels of expression (intermediate) (table 1). In the validation cohort (n=198, GMKF), 89 (44.9%) were categorized as T cell-inflamed; 55 (27.8%) were non-T cell-inflamed; 54 (27.3%) were intermediate (figure 1B, table 2). In the discovery cohort, 123 of 149 patients were classified as high-risk, whereas 48 of 198 patients in validation cohort have high-risk neuroblastoma.⁴⁰ In analyses restricted to high-risk patients, 53 (43.1%) and 23 (47.9%) were categorized as T cell-inflamed in the discovery and validation cohorts, respectively, and 33 (26.8%) and 18 (37.5%) were categorized as non-T cell-inflamed.

In the discovery cohort, *MYCN* amplification was significantly more prevalent in the non-T cell-inflamed tumors (17/45, 37.8%) compared with the T cell-inflamed tumors (3/56, 5.4%) ($p=0.000080$, odds ratio [OR]=10.5, two-sided Fisher's exact test). Additionally, patients diagnosed at age <18 months had tumors that were enriched in the non-T cell-inflamed tumor group (12/45, 26.7% non-inflamed vs 6/57, 10.5% inflamed; $p=0.040$, OR=3.06). The enrichment of *MYCN* amplification in non-T cell-inflamed tumors was also observed in the validation cohort (14/54, 25.9% non-inflamed vs 4/89, 4.5% inflamed; $p=0.00038$, OR=7.33). In addition, patients <18 months of age in the validation cohort had tumors that were enriched in the non-T cell-inflamed tumor group (40/54, 74.1% non-inflamed vs 44/89, 49.4% inflamed; $p=0.0049$, OR=2.90).

T cell-inflamed gene expression is prognostic of survival in high-risk neuroblastoma

We analyzed EFS and OS according to the level of expression of the T cell-inflamed signature in 118 high-risk patients diagnosed between 2000 and 2010 from the discovery cohort, which consists of 51 T cell-inflamed, 33 non-T cell-inflamed, and 34 intermediate tumors. In Cox PH univariable models, patients with T cell-inflamed tumors had significantly better OS compared with those with non-T cell-inflamed tumors ($p=0.043$, hazard ratio [HR]=0.56) (table 3). A similar trend was observed in EFS but the results did not reach statistical significance ($p=0.17$, HR=0.69) (table 3). Similar to other high-risk cohorts,^{41,42} *MYCN* status was not statistically significantly associated with OS or EFS (OS: $p=0.38$; EFS: $p=0.58$) (table 3). However, OS, but not EFS, was better for high-risk patients with hyperdiploid neuroblastoma compared with those with diploid tumors (OS: $p=0.05$; EFS: $p=0.10$) (table 3). In Cox PH multivariable models adjusting for age, *MYCN* status, and ploidy, the T cell-inflamed signature maintained independent statistical significance for OS ($p=0.035$, HR=0.48). Stage and histology were not included in the multivariable analysis because the high-risk patients had predominantly stage 4 disease and unfavorable histology tumors (117/118, 99.1% as stage 4; 107/110, 97.3% with unfavorable histology tumors, 8 unknown).

In the discovery cohort, the T cell-inflamed and intermediate groups showed similar probabilities of survival.

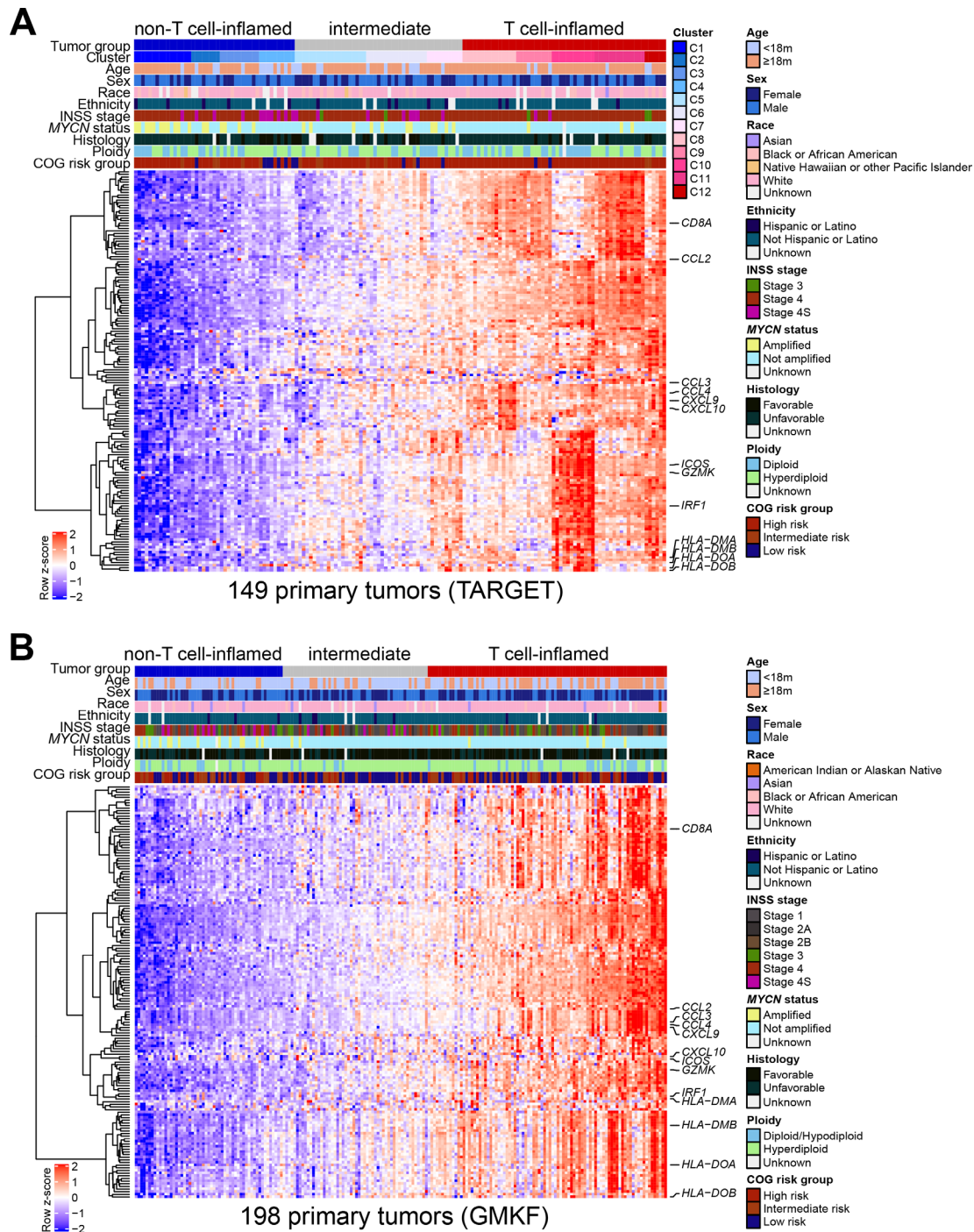


Figure 1 Immunogenomic landscape of neuroblastoma tumor microenvironment. (A) Heatmap of the T cell-inflamed gene expression signature in the discovery cohort (TARGET). Genes are on the row and tumor samples are on the column. The non-T cell-inflamed (blue), intermediate (gray), and T cell-inflamed (red) tumor groups, consensus clusters C1 to C12, MYCN amplification status, clinical and demographic factors are shown above the heatmap. n=149 primary tumors shown, including 123 high-risk. (B) Heatmap of the T cell-inflamed gene expression signature in the validation cohort (GMKF). Same annotation as in (A). n=198 primary tumors shown, including 48 high-risk. COG, Children's Oncology Group; GMKF, Gabriella Miller Kids First; TARGET, Therapeutically Applicable Research to Generate Effective Treatments.

Therefore, we combined these two groups and compared probability of survival to patients with non-T cell-inflamed tumors (OS: $p=0.0076$, EFS: $p=0.10$, log-rank test) (KM estimator shown in figure 2A,B). Similar associations between T cell-inflamed/intermediate tumors and improved survival were observed in the 17 high-risk

patients with available survival data from the validation cohort (OS: $p=0.016$, EFS: $p=0.0098$) (figure 2C,D). No significant association with survival outcome was detected for age, MYCN status, or ploidy. Patients were not selected by diagnosis year 2010 or earlier due to small sample size. However, EFS and OS were not significantly different

Table 1 Characteristics of patients from T cell-inflamed, intermediate, and non-T cell-inflamed tumor groups in the discovery cohort (TARGET)

| Characteristic | T cell-inflamed N=57, no (%) | Intermediate N=47, no (%) | Non-T cell-inflamed N=45, no (%) | P-value |
|--|---------------------------------|------------------------------|-------------------------------------|-----------|
| Age | | | | 0.088 |
| <18 months | 6 (11) | 11 (23) | 12 (27) | |
| ≥18 months | 51 (89) | 36 (77) | 33 (73) | |
| Sex | | | | 0.2 |
| Female | 26 (46) | 22 (47) | 14 (31) | |
| Male | 31 (54) | 25 (53) | 31 (69) | |
| Race | | | | 0.7 |
| Asian | 1 (2) | 0 (0) | 0 (0) | |
| Black or African American | 8 (15) | 9 (20) | 9 (24) | |
| Native Hawaiian or other Pacific Islander | 0 (0) | 1 (2) | 1 (3) | |
| White | 43 (83) | 36 (78) | 27 (73) | |
| Unknown | 5 | 1 | 8 | |
| Ethnicity | | | | 0.5 |
| Hispanic or Latino | 3 (6) | 5 (12) | 5 (12) | |
| Not Hispanic or Latino | 49 (94) | 38 (88) | 37 (88) | |
| Unknown | 5 | 4 | 3 | |
| INSS stage | | | | 0.008** |
| Stage 3 | 3 (5) | 2 (4) | 0 (0) | |
| Stage 4 | 52 (91) | 37 (79) | 34 (76) | |
| Stage 4S | 2 (4) | 8 (17) | 11 (24) | |
| MYCN status | | | | <0.001*** |
| Amplified | 3 (5) | 11 (23) | 17 (38) | |
| Not amplified | 53 (95) | 36 (77) | 28 (62) | |
| Unknown | 1 | 0 | 0 | |
| Histology | | | | 0.078 |
| Favorable | 6 (11) | 12 (29) | 10 (23) | |
| Unfavorable | 48 (89) | 29 (71) | 33 (77) | |
| Unknown | 3 | 6 | 2 | |
| Ploidy | | | | 0.4 |
| Diploid | 28 (50) | 17 (36) | 20 (44) | |
| Hyperdiploid | 28 (50) | 30 (64) | 25 (56) | |
| Unknown | 1 | 0 | 0 | |
| COG risk group | | | | 0.069 |
| High risk | 53 (92) | 37 (79) | 33 (73) | |
| Intermediate risk | 2 (4) | 6 (13) | 5 (11) | |
| Low risk | 2 (4) | 4 (8) | 7 (16) | |

P values were calculated using one-way analysis of variance, χ^2 test, Fisher's exact test.

*p<0.05, **p<0.01, ***p<0.001.

COG, Children's Oncology Group; INSS, International Neuroblastoma Staging System; TARGET, Therapeutically Applicable Research to Generate Effective Treatments.

between the 13 patients diagnosed between 2008 and 2010 and the four patients diagnosed between 2011 and 2012 (OS: p=0.98, EFS: p=0.66), and hence these are

unlikely to contribute to the significantly better survival outcome observed in T cell-inflamed group relative to non-T cell-inflamed group in the validation cohort.

Table 2 Characteristics of patients from T cell-inflamed, intermediate, and non-T cell-inflamed tumor groups in the validate cohort (GMKF)

| Characteristic | T cell-inflamed N=89, no (%) | Intermediate N=54, no (%) | Non-T cell-inflamed N=55, no (%) | P-value |
|-----------------------------------|---------------------------------|------------------------------|-------------------------------------|-----------|
| Age | | | | 0.003** |
| <18 months | 44 (49) | 39 (72) | 40 (74) | |
| ≥18 months | 45 (51) | 15 (28) | 14 (26) | |
| Unknown | 0 | 0 | 1 | |
| Sex | | | | 0.5 |
| Female | 50 (56) | 28 (52) | 25 (45) | |
| Male | 39 (44) | 26 (48) | 30 (55) | |
| Race | | | | 0.9 |
| American Indian or Alaskan Native | 1 (1) | 0 (0) | 0 (0) | |
| Asian | 2 (2) | 2 (4) | 3 (6) | |
| Black or African American | 4 (5) | 4 (8) | 3 (6) | |
| White | 78 (92) | 43 (88) | 47 (88) | |
| Unknown | 4 | 5 | 2 | |
| Ethnicity | | | | 0.2 |
| Hispanic or Latino | 2 (2) | 5 (10) | 3 (6) | |
| Not Hispanic or Latino | 84 (98) | 47 (90) | 50 (94) | |
| Unknown | 3 | 2 | 2 | |
| INSS stage | | | | NE |
| Stage 1 | 27 (30) | 19 (35) | 12 (22) | |
| Stage 2A | 6 (7) | 3 (5) | 1 (2) | |
| Stage 2B | 14 (16) | 9 (17) | 7 (13) | |
| Stage 3 | 17 (19) | 7 (13) | 6 (11) | |
| Stage 4 | 24 (27) | 10 (19) | 19 (34) | |
| Stage 4S | 1 (1) | 6 (11) | 10 (18) | |
| MYCN status | | | | <0.001*** |
| Amplified | 4 (4) | 2 (4) | 14 (26) | |
| Not amplified | 85 (96) | 52 (96) | 40 (74) | |
| Unknown | 0 | 0 | 1 | |
| Histology | | | | 0.084 |
| Favorable | 55 (64) | 40 (77) | 30 (57) | |
| Unfavorable | 31 (36) | 12 (23) | 23 (43) | |
| Unknown | 3 | 2 | 2 | |
| Ploidy | | | | 0.053 |
| Diploid/Hypodiploid | 25 (28) | 6 (11) | 13 (24) | |
| Hyperdiploid | 63 (72) | 48 (89) | 41 (76) | |
| Unknown | 1 | 0 | 1 | |
| COG risk group | | | | 0.064 |
| High risk | 23 (26) | 7 (13) | 18 (33) | |
| Intermediate risk | 21 (24) | 11 (20) | 15 (27) | |
| Low risk | 45 (50) | 36 (67) | 22 (40) | |

P values were calculated using one-way analysis of variance, χ^2 test, Fisher's exact test.

* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

COG, Children's Oncology Group; GMKF, Gabriella Miller Kids First; INSS, International Neuroblastoma Staging System; NE, Not Evaluable.

Table 3 OS and EFS of high-risk neuroblastoma patients according to T cell inflammation group and other established prognostic markers

| Characteristic | Comparison | Overall survival | | | | Event-free survival | | | |
|---------------------------|--|------------------|-----------|------------|---------|---------------------|-----------|------------|---------|
| | | HR | 95 CI low | 95 CI high | P-value | HR | 95 CI low | 95 CI high | P-value |
| T cell inflammation group | T cell-inflamed vs non-T cell-inflamed | 0.558 | 0.317 | 0.982 | 0.043* | 0.691 | 0.408 | 1.171 | 0.170 |
| | Intermediate vs non-T cell-inflamed | 0.421 | 0.218 | 0.815 | 0.010* | 0.635 | 0.353 | 1.143 | 0.130 |
| MYCN status | Amplified vs not amplified | 1.281 | 0.734 | 2.236 | 0.384 | 1.155 | 0.694 | 1.923 | 0.580 |
| Ploidy | Hyperdiploid vs diploid | 0.606 | 0.366 | 1.001 | 0.050 | 0.682 | 0.434 | 1.071 | 0.097 |
| Age (months) | Continuous variable | 0.998 | 0.991 | 1.006 | 0.688 | 0.995 | 0.987 | 1.003 | 0.245 |

HR and p-values were calculated using Cox proportional hazards models.

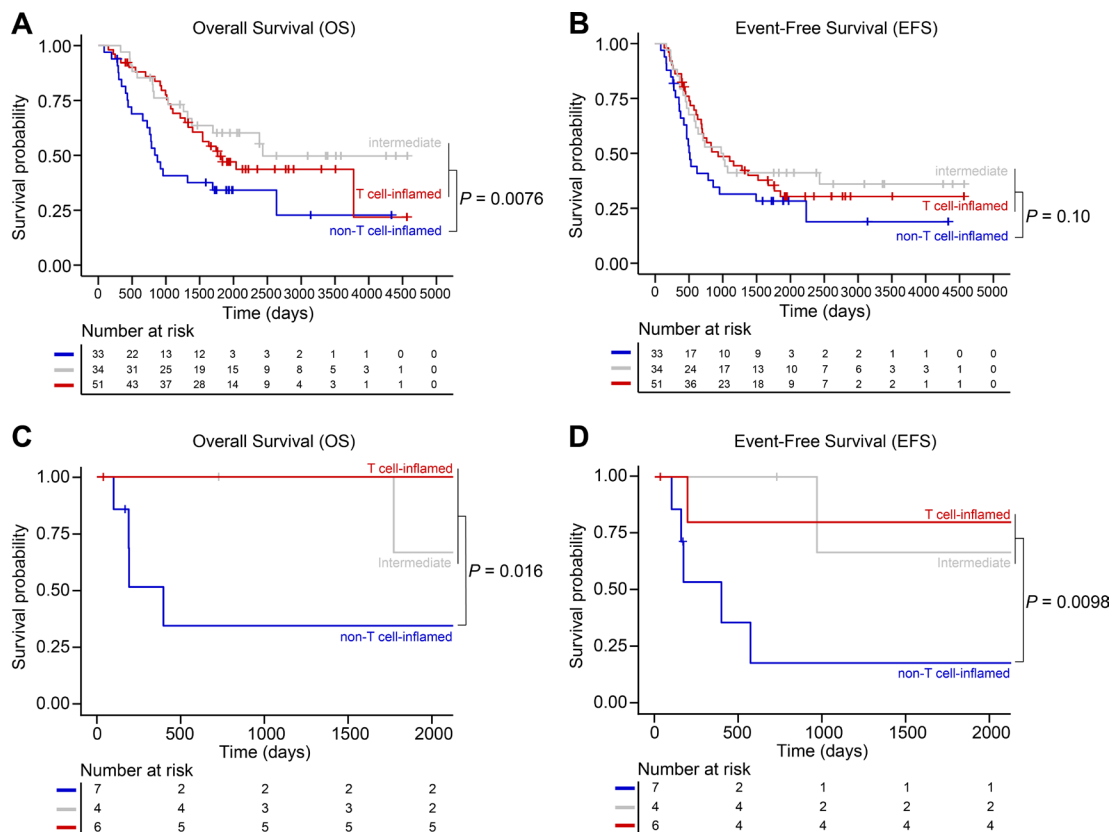
* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

CI, confidence interval; EFS, event-free survival; HR, hazard ratio; OS, overall survival.

Neoantigen load is a prognostic marker independent of the T cell-inflamed expression signature

Neoantigens are mutant antigens that are only expressed on tumor cells and not normal cells. Neoantigen-derived

epitopes (neoepitopes) are recognized by antigen-specific CD8⁺ T cells.⁴³ To evaluate the neoantigen load in neuroblastoma tumors, WES data from 198 matched tumor/normal pairs of the discovery cohort carrying one



or more somatic single nucleotide variants (SNVs) were analyzed. After combining calls of four somatic callers and rigorous quality filtering, 4235 somatic SNVs were identified in 3369 genes. Each tumor harbors a median of 17 somatic SNVs (range, 1–168 SNVs), with 15 somatic SNVs predicted to alter protein sequences (range, 1–162), which is consistent with the somatic mutation profile previously reported in high-risk neuroblastoma.⁴⁴ To investigate if neoantigen load was associated with outcome in high-risk patients, of 118 high-risk patients diagnosed between 2000 and 2010 in the discovery cohort, we analyzed tumors from 89 patients with both WES and RNAseq data available. The total number of neoantigens in tumor was determined by filtering for those predicted to bind to MHC class I molecule HLA-A. We focused on HLA-A molecule because the prediction algorithm for this allele is the most reliable.

A median of 4 (range 1–30) candidate neoantigens were identified in 78 of 89 tumors. Seventy-four patients diagnosed between year 2000 and 2010 were included in survival analysis. We found that the neoantigen load was significantly associated with OS ($p=0.00022$, log-rank test) (figure 3A) and EFS ($p=0.0044$) (figure 3B), although there was no significant difference in neoantigen load between non-T cell-inflamed and T cell-inflamed groups ($p=0.22$, two-sided Wilcoxon rank-sum test) (figure 3C). We defined four patient groups (hereafter referred as, quadrants (Q)) split by the threshold of T cell-inflamed (*Tinfl*) gene expression in non-T cell-inflamed tumors and median of neoantigen load (Neo) (Spearman's correlation coefficient $\rho=0.053$, $p=0.65$) (figure 3D): Q1 ($n=8$), $Tinfl_{low}Neo_{high}$; Q2 ($n=19$), $Tinfl_{low}Neo_{low}$; Q3 ($n=20$), $Tinfl_{high}Neo_{low}$; Q4 ($n=27$), $Tinfl_{high}Neo_{high}$. OS and EFS were significantly different according to quadrant assignment (OS: $p=0.00083$; EFS: $p=0.0061$, log-rank test) (figure 3E,F). Patients in Q1 and Q4, who had tumors harboring high level of neoantigens, had superior outcome compared with those in Q2 and Q3 (figure 3E,F).

Tumor-intrinsic oncogenic transcriptional programs associated with a non-T cell-inflamed phenotype

To investigate if tumor-intrinsic transcriptional programs may play a role in inhibiting T cell infiltration in non-T cell-inflamed neuroblastomas, we first analyzed tumors from the discovery cohort for signaling pathways intrinsic to the neoplastic cells that were previously reported to impair the local immune response in other tumor types. This includes somatic activation mutations in CTNNB1 or damaging mutations in repressors of the pathway (APC/APC2/AXIN1/AXIN2),^{15–17} somatic copy number loss in PTEN or activation mutations in PIK3CA,¹⁸ activation mutations in VEGF-A,⁴⁵ and loss of function mutations in B2M,^{46–48} STK11/LKB1,¹⁹ IDH1/2,⁴⁹ and NRAS/KRAS/HRAS.⁵⁰ Only three tumors harbored a missense mutation in AXIN2 (p.A113T), and two tumors had PIK3CA missense (p.K111N) or nonsense mutations (p.E888X), but none occurred at the known PIK3CA activation

mutation positions (AA 345, 542, 545, 546, 1043, 1044, 1047).

We next took an unbiased approach^{15–17} to identify transcriptional programs that are activated in non-T cell-inflamed tumors by comparing the whole transcriptome RNAseq expression of 33 non-T cell-inflamed to 53 T cell-inflamed tumors from the high-risk patients in the discovery cohort. A total of 1730 genes were identified that were significantly differentially expressed between the two tumor groups, with 230 upregulated in non-T cell-inflamed group and 1500 upregulated in the T cell-inflamed group (FDR-corrected $p<0.05$, fold change ≥ 1.5 or ≤ -1.5). Causal network analysis³⁶ with Ingenuity Knowledge Base (Qiagen) identified activation of MYCN signaling in non-T cell-inflamed tumors (activation z-score ≥ 2.0 , $p<0.05$), consistent with our findings showing enrichment of MYCN amplification in non-T cell-inflamed tumors (figure 4A). Immunohistochemistry (IHC) staining of a limited number of available intermediate or high-risk neuroblastoma tumors ($n=17$, 5 MYCN-amplified and 12 MYCN-non-amplified; images of all IHC slides are provided at <https://github.com/riyuebao/NBL-TME-Immunogenomics>) demonstrated lower infiltration with CD8⁺ T cell and Batf3⁺ DCs in MYCN-amplified tumors compared with MYCN-non-amplified neuroblastomas (figure 4B), although the difference did not reach statistical significance in this small cohort ($p=0.22$, two-sided Fisher's exact test). In both the discovery and validation cohorts, the DC genes are highly correlated with the T cell-inflamed gene expression ($p<0.05$, Spearman's correlation) (online supplemental figure 1).

To determine if activation of transcriptional programs other than MYCN signaling is associated with the non-T cell-inflamed phenotype, we repeated the differential gene expression and causal network analyses using only MYCN non-amplified tumors ($n=91$). Genes significantly upregulated in 16 non-T cell-inflamed neuroblastomas compared with 49 T cell-inflamed tumors were used to predict upstream regulators. Three pathways (*ASCL1*, *SOX11*, and *KMT2A*) were identified to be activated in non-T cell-inflamed tumors without MYCN amplification (activation z-score ≥ 2.0 , $p<0.05$) (figure 4C). We next calculated an activation score for each pathway using previously described methods.^{17–21} The results showed that the three pathways operate in a partially exclusive manner (online supplemental figure 2), with activation of SOX11, KMT2A, and ASCL1 signaling detected in 66%, 30%, and 30% of non-T cell-inflamed tumors, respectively, compared with less than 5% of the T cell-inflamed tumors (figure 4D,E). Taking together, the activation of one or more pathways regulated by MYCN, ASCL1, SOX11, or KMT2A was found in 85% of the non-T cell-inflamed tumors. The inverse correlation between the expression of T cell-inflamed gene signature and the four pathways (*MYCN*, *ASCL1*, *SOX11*, *KMT2A*) was confirmed in the validation cohort (online supplemental figure 3A,B), providing strong evidence that the activation of the four

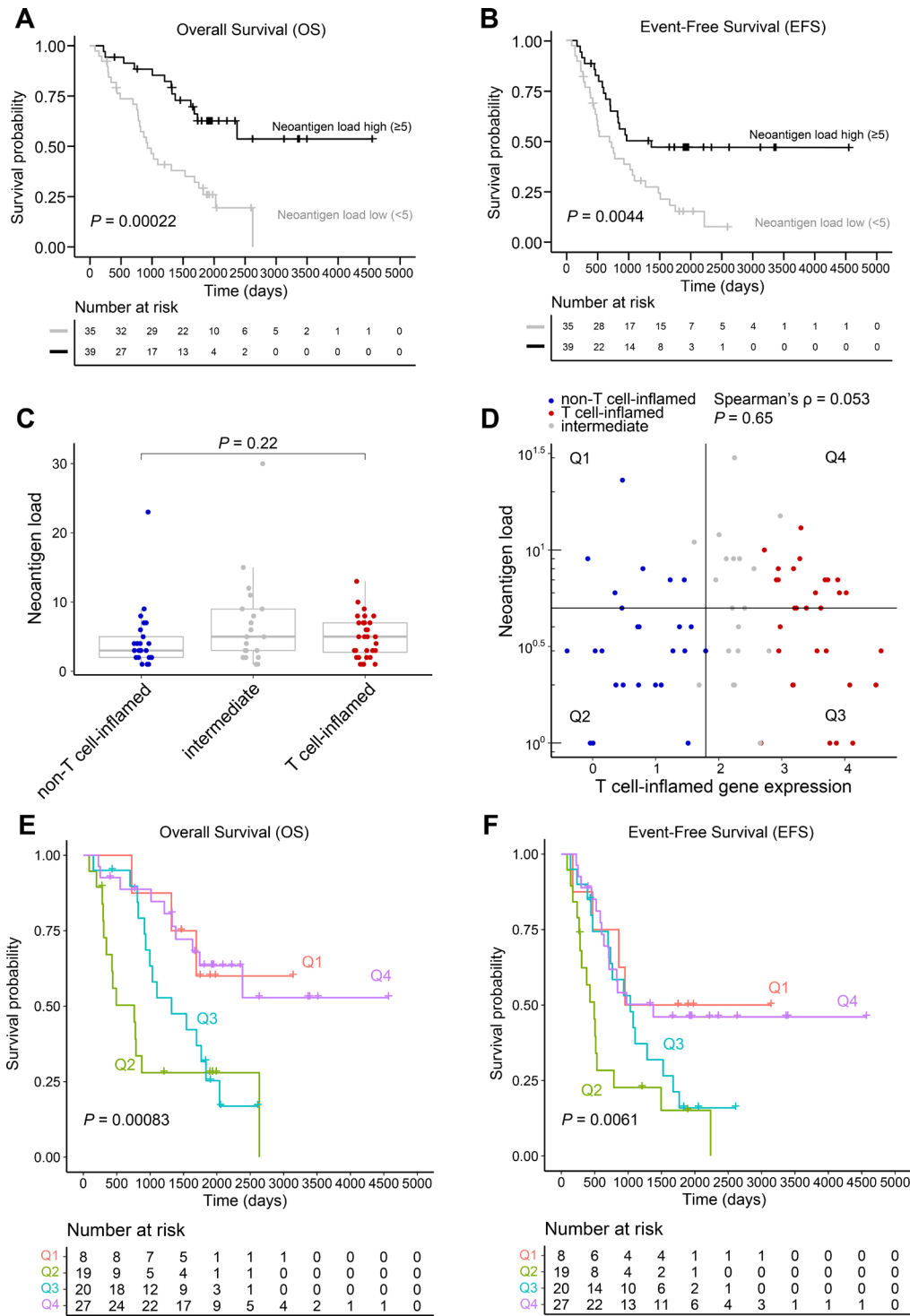


Figure 3 Neoantigen load predicts overall and event-free survival in high-risk neuroblastoma patients. (A,B) Kaplan-Meier estimator of the neoantigen load high and low tumor groups in association with overall survival (OS) shown in (A) and event-free survival (EFS) shown in (B). (C) Neoantigen load between non-T cell-inflamed, intermediate, and T cell-inflamed groups. (D) Quadrants (Q1 to Q4) according to expression of T cell-inflamed gene signature (x-axis) and the neoantigen load (y-axis). Vertical line labels the separation of non-T cell-inflamed group versus intermediate or T cell-inflamed group, and horizontal line labels the median of neoantigen load across samples. (E,F) Kaplan-Meier estimator of the four groups from (D), with OS shown in (E) and EFS shown in (F). $n=78$ patients from the discovery cohort (TARGET) with both WES and RNAseq data available and having at least one candidate neopeptide detected are shown in (C). $n=74$ patients diagnosed between year 2000 and 2010 are shown in (A, B, D, E, and F). P-values were calculated by two-sided Wilcoxon rank-sum test in (C), Spearman's correlation in (D), and log-rank test in (A, B, E, and F). TARGET, Therapeutically Applicable Research to Generate Effective Treatments; WES, whole-exome sequencing.

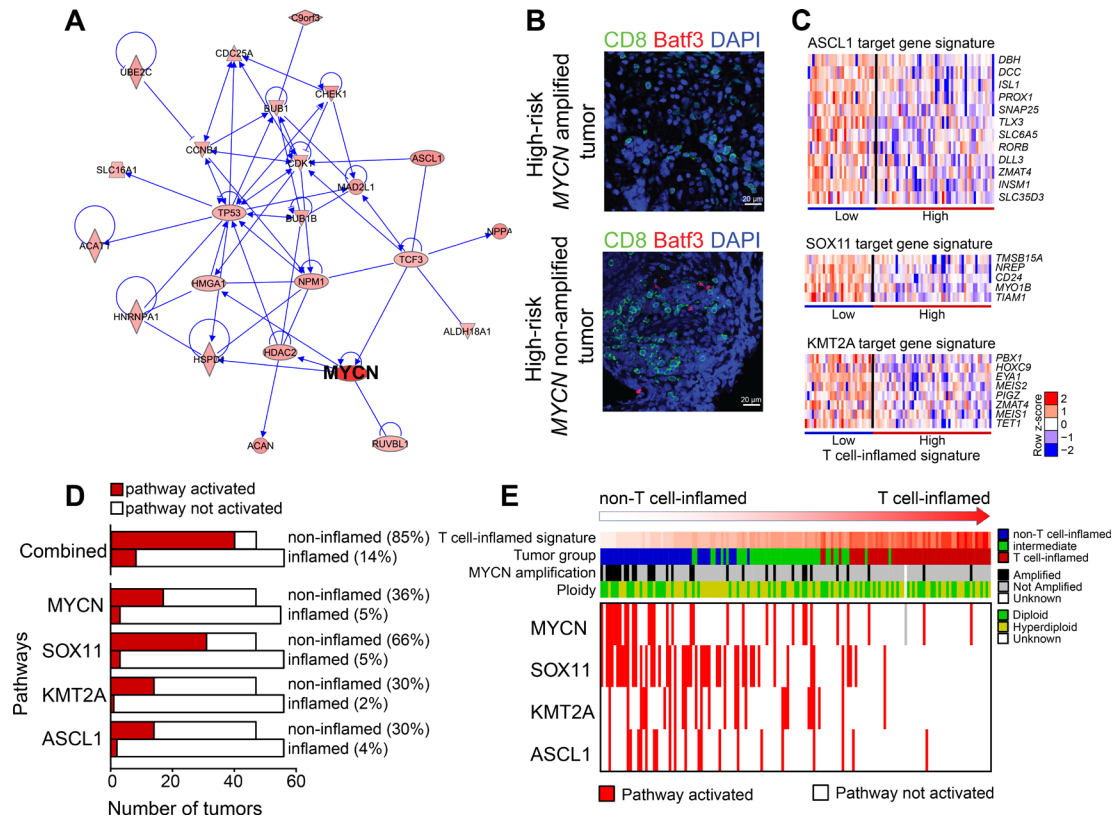


Figure 4 Neuroblastoma-intrinsic oncogenic pathway activation correlates with the non-T cell-inflamed tumor microenvironment. (A) Activation of *MYCN* signaling network. Arrows indicate direction (from the upstream regulator to the downstream target molecules). Pink color indicates the gene is upregulated in non-T cell-inflamed tumors relative to inflamed. (B) Immunofluorescent IHC staining against CD8⁺ T cells and Batf3⁺ DCs in *MYCN*-amplified and non-amplified tumors. Scale bars, 20 μ m. (C) Target molecule expression heatmap of *ASCL1*, *SOX11*, and *KMT2A* as upstream regulators in non-T cell-inflamed versus T cell-inflamed tumors. (D) The percentage of tumors harboring activation in *MYCN*, *ASCL1*, *SOX11*, and *KMT2A* transcriptional programs (defined as, pathway activation score $>0.5^{17}$) in non-T cell-inflamed and T cell-inflamed groups. An aggregation of the four pathways (*MYCN*, *ASCL1*, *SOX11*, and *KMT2A*) is shown on top. (E) Correlation between the T cell-inflamed gene signature and activation of each pathway at a continuous scale. Tumor samples were sorted by T cell-inflamed gene expression (left to right: lower to higher).

transcriptional programs was significantly associated with a non-T cell-inflamed phenotype.

DISCUSSION

Although improved survival and response to immunotherapy have been observed in adults with cancers showing T cell infiltration, the immunobiology of neuroblastoma tumors and its association with outcome had been poorly understood. In this study, we categorized 149 clinically annotated primary neuroblastoma tumors in the TARGET program as T cell-inflamed, non-T cell-inflamed, and intermediate using a defined T cell-inflamed gene expression signature. The gene signature also identified the same three tumor groups in an independent cohort comprised of publicly available tumor genomic data housed in the GMKF program linked to clinical information in the INRG Data Commons. In both cohorts, *MYCN* amplification was significantly more prevalent in the non-T cell-inflamed tumors compared with the T cell-inflamed tumors. Interestingly, we also found that patients in both cohorts diagnosed at age <18 months

had tumors that were enriched in the non-T cell-inflamed tumor group.

In analyses restricted to high-risk patients in the TARGET cohort, OS was significantly better for those with T cell-inflamed tumors compared with those with non-T cell-inflamed tumors. A similar trend was observed for EFS, although statistical significance was not reached. Further, the T cell-inflamed signature maintained independent statistical significance for OS in multivariable analysis adjusted for age, *MYCN* status, and ploidy. This association between T cell-inflamed tumors and superior outcome was validated in the clinically annotated GMKF cohort of tumors. Because neoantigens are recognized by the immune system and can be targeted to increase anti-tumor immunity,⁴³ we also analyzed neoantigen load in the neuroblastoma tumors. Although no significant difference in neoantigen load was detected among T cell-inflamed, non-T cell-inflamed, or intermediate groups, superior OS was seen in the cohort of patients with tumors harboring a high neoantigen load. Taken together, these results suggest that T cell-inflamed gene expression and high neoantigen load may independently

impact the clinical behavior of neuroblastoma tumors, resulting in improved survival.

The lack of correlation between the T cell-inflamed expression signature (also known as an IFN- γ -associated expression signature) and TMB, which is highly correlated with neoantigen load,⁵¹ has been reported in many adult cancers, including melanoma,¹³ head and neck,⁷ and pan-cancer.⁵² It is well established in the literature that TMB (or neoantigen load) and T cell-inflamed expression are both prognostic but seemly have little correlation.^{10 12–14} In particular, the pan-cancer study reports four groups of patients determined by high/low IFN- γ -associated expression signature and high/low TMB.⁵² Only patients possessing high levels of both signatures had the best response rate, and a significant number of patients only showed high levels of one of the signatures.⁵² The mechanism underlying the decoupling of T cell-inflamed expression signature and TMB and neoantigen load remains to be explored.

Others have evaluated inflammatory cell infiltrates in neuroblastoma tumors using different methodologies and markers. Asgharzadeh and colleagues⁵³ assessed the relationship between TAMs and the clinical behavior of metastatic *MYCN*-non-amplified neuroblastoma. Using IHC, significantly greater numbers of infiltrating macrophages with CD163 staining, which identifies alternatively activated M2 macrophages, were observed in metastatic neuroblastomas compared with locoregional tumors. Thus, TAMs may promote aggressive growth in neuroblastoma, as reported in Hodgkin's lymphoma⁵⁴ and breast cancer.⁵⁵ Further, expression studies using a TaqMan low-density array assay demonstrated higher levels of inflammation-related genes (CD14, CD33, FCGR3 (CD16), interleukin-6 receptor, and interleukin-10) in tumors from patients diagnosed at ≥ 18 months compared with younger patients. These inflammatory genes are largely expressed in macrophages and can signify intra-tumor macrophage polarization to the anti-inflammatory M2-like phenotype, suggesting that TAMs contribute to the aggressive clinical behavior of neuroblastomas associated with older age. Age is an established prognostic marker in neuroblastoma, and more favorable outcome is associated with age < 18 months, reflecting the unique biology of infant tumors.⁴⁰ Although different inflammatory cells were evaluated in our studies, we observed a higher prevalence of T cell-inflamed tumors in patients diagnosed ≥ 18 months compared with infants. While specific inflammatory cells differentially influence tumor growth, the age-dependent differences in expression of tumor-associated inflammatory cell genes may contribute to underlying favorable tumor phenotype that is commonly seen in infants with neuroblastoma.

More recently, Wei and colleagues⁵⁶ analyzed gene expression signatures of TILs in neuroblastomas, immune cells that have previously been reported to be predictive of clinical outcomes for patients with cancers.⁵⁷ Similar to our study, higher levels of cytotoxic TIL signature genes were observed in the *MYCN*-non-amplified tumors compared with tumors with amplification of *MYCN*.

Further, these investigators also reported improved survival in a cohort of patients with *MYCN*-non-amplified tumors with increased signatures for activated NK cells, CD8⁺ T cells, cytolytic activity, clonal expansion of T cell receptors, and exhaustion markers.

The inverse correlation between *MYCN* amplification and T cell-inflamed tumors seen in our study and others^{53 56} suggests that *MYCN* signaling inhibits T cell infiltration in neuroblastoma tumors. In support of *MYCN*'s role in mediating exclusion of T cells from the microenvironment of neuroblastoma tumors, we identified activation of *MYCN* signaling in non-T cell-inflamed tumors (activation z-score ≥ 2.0 , $p < 0.05$) comparing expression profiles between non-T cell-inflamed and T cell-inflamed tumors. In addition, we identified three transcriptional programs, *ASCL1*, *SOX11*, and *KMT2A*, that were activated in non-T cell-inflamed tumors without *MYCN* amplification.

ASCL1 (alias *hASH1* in human) is a known proneural transcription factor essential for neurogenesis. However, in neuroblastoma *ASCL1* represses genes involved in neuron differentiation.⁵⁸ Recent studies have demonstrated that *ASCL1* is a *MYCN*-dependent and *LMO1*-dependent member of the adrenergic neuroblastoma core regulatory circuitry (CRC), an interconnected autoregulatory loop of transcription factors whose expression is driven by themselves and other members of the CRC.⁵⁹ Interestingly, *LMO1* and the CRC members bind to enhancer elements and directly upregulate the *ASCL1* gene, resulting in promotion of cell growth and repression of neuronal differentiation.⁵⁹ Activation of *ASCL1* signaling is also predictive of poor prognosis in neuroendocrine lung cancers.⁶⁰ In glioblastoma, *ASCL1* is critical to the maintenance of stem cells through activation of WNT signaling.⁶¹ *SOX11* is a transcription factor essential for neuron survival and neurite outgrowth.⁶² In our study, the expression of *MYCN* and *SOX11* pathways is positively correlated (Spearman's $\rho = 0.81$ in TARGET and 0.83 in GMKF, respectively, $p < 0.0001$), suggesting the two mechanisms may interact. Indeed, recent studies reported that *SOX11* was a direct target of *MYCN*.⁶³ However, 30% of *MYCN*-non-amplified tumors showed *SOX11* pathway activation, which may indicate other signaling routes independent of *MYCN*. *KMT2A* (alias *MLL1* in human) is an epigenetic regulator of neuronal function. In pancreatic cancer where anti-PD1/PD-L1 immunotherapy is ineffective, *MLL1* directly binds to the promoter of the checkpoint inhibitor *PD-L1* and activates its transcription, and combinatorial therapy of anti-*MLL1* and anti-PD1/PD-L1 was proven to suppress tumor growth in mouse models.⁶⁴ Taken together, these transcriptional programs support a stem cell-like phenotype in neural tissues, which is a consistent theme with what has been observed in adult tumors for a state of epithelial–mesenchymal transition being associated with immuno-oncology resistance.⁶⁵

In conclusion, the association of improved survival with T cell-inflamed neuroblastoma and high neoantigen load indicate that crosstalk between tumor cells and components of the microenvironment influence neuroblastoma

phenotype. Our studies also suggest that tumor-intrinsic MYCN, ASCL1, SOX11, or KMT2A signaling may mediate immune exclusion in neuroblastoma. Understanding the molecular mechanisms that drive the presence or absence of T cell infiltration and neoantigen load should enable more personalized treatment approaches and provide insight for the development of new therapies that may enhance response to immunotherapy and improve outcome. Clinical trials testing the efficacy of anti-GD2 antibodies and other modalities of immunotherapy in patients with neuroblastoma tumors that are T cell-inflamed or harbor high neoantigen load are warranted.

Author affiliations

¹Hillman Cancer Center, University of Pittsburgh Medical Center, Pittsburgh, Pennsylvania, USA

²Department of Medicine, University of Pittsburgh, Pittsburgh, Pennsylvania, USA

³Koch Institute for Integrative Cancer Research at MIT, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

⁴Department of Biology, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

⁵Center for Translational Data Science, The University of Chicago, Chicago, Illinois, USA

⁶Department of Medicine, The University of Chicago, Chicago, Illinois, USA

⁷Department of Pathology, The University of Chicago, Chicago, Illinois, USA

⁸Department of Pediatrics, The University of Chicago, Chicago, Illinois, USA

Twitter Riyue Bao @RiyueSunnyBao and Jason J Luke @jasonlukemd

Acknowledgements The bioinformatics analysis was performed on the high-performance computing (HPC) cluster Gardner at Center for Research Informatics (U. Chicago) and on the HPC cluster HTC at Center for Research Computing (U. Pittsburgh). The authors thank M Jarsulic (U. Chicago) and F Mu (U. Pittsburgh) for their technical assistance in software installation and job execution on the HPCs.

Contributors RB, SLC, and AVD conceived the study. AVD supervised the project. RB acquired the data, developed the methodology, performed the computations, and analyzed the data. KH performed the neoantigen prediction and filtering. YZ performed the IHC staining and scanned the slides. PP examined the pathology slides. RB, SS, JLL, TFG, SLC, and AVD interpreted the results. RB, SS, SLC, and AVD wrote the manuscript. All authors contributed to the final manuscript.

Funding RB and JLL acknowledge funding from the Hillman Fellows for Innovative Cancer Research Program. SS was a postdoctoral fellow of the Cancer Research Institute. JLL: Department of Defense Career Development Award (W81XWH-17-1-0265), the Arthur J Schreiner Family Melanoma Research Fund, the J Edward Mahoney Foundation Research Fund, Brush Family Immunotherapy Research Fund and Buffet Fund for Cancer Immunotherapy. TG: American Cancer Society-Jules L Plangere Jr. Family Foundation Professorship in Cancer Immunotherapy, and R35 CA210098 from the NIH. The INRG database is supported in part by the William Guy Forbeck Research Foundation, the St Baldrick's Foundation, the Little Heroes Cancer Research Fund, Children's Neuroblastoma Cancer Foundation, Neuroblastoma Children's Cancer Foundation, the Super Jake Foundation, The Matthew Bittker Foundation, and the Alex's Lemonade Stand Foundation. Data included in the INRG database were provided by Children's Oncology Group (COG), Pediatric Oncology Group (POG), Children's Cancer Study Group (CCSG), German Gesellschaft für Pädiatrische Onkologie und Hämatologie (GPOH), European Neuroblastoma Study Group (ENSG), International Society of Paediatric Oncology Europe Neuroblastoma Group (SIOPEN), Japanese Neuroblastoma Study Group (JNBSG), Japanese Infantile Neuroblastoma Co-operative Study Group (JINCS), Spanish Neuroblastoma Group and the Italian Neuroblastoma Group.

Competing interests RB declares patents: (all provisional) PCT/US15/612657 (Cancer Immunotherapy), PCT/US18/36052 (Microbiome Biomarkers for Anti-PD-1/PD-L1 Responsiveness: Diagnostic, Prognostic and Therapeutic Uses Thereof), PCT/US63/055227 (Methods and Compositions for Treating Autoimmune and Allergic Disorders); JLL declares Data and Safety Monitoring Board: TTC Oncology, Scientific Advisory Board: 7 Hills, Actym, Alphamab Oncology, Array, BeneVir, Mavu, Tempest, Consultancy: Aduro, Astellas, AstraZeneca, Bayer, Bristol-Myers Squibb, Castle, CheckMate, Compugen, EMD Serono, IDEAYA, Immunocore, Janssen, Jounce, Leap,

Merck, Mersana, NewLink, Novartis, RefleXion, Spring Bank, Syndax, Tempest, Vividion, WntRx, Research Support: (all to institution for clinical trials unless noted) AbbVie, Array (Scientific Research Agreement; SRA), Boston Biomedical, Bristol-Myers Squibb, Celldex, CheckMate (SRA), Compugen, Corvus, EMD Serono, Evelo (SRA), Delcath, Five Prime, FLX Bio, Genentech, Immunocore, Incyte, Leap, MedImmune, MacroGenics, Novartis, Pharmacyclics, Palleon (SRA), Merck, Tesaro, Xencor, Travel: Array, AstraZeneca, Bayer, BeneVir, Bristol-Myers Squibb, Castle, CheckMate, EMD Serono, IDEAYA, Immunocore, Janssen, Jounce, Merck, Mersana, NewLink, Novartis, RefleXion, Patents: (both provisional) Serial #15/612,657 (Cancer Immunotherapy), PCT/US18/36052 (Microbiome Biomarkers for Anti-PD-1/PD-L1 Responsiveness: Diagnostic, Prognostic and Therapeutic Uses Thereof). SS declares a patent on WNT/β-catenin targeting to enhance anti-tumor immune responses (PCT15/155,099), serves on the SAB on Venn Therapeutics, Tango Therapeutics, Arcus Biosciences and consults for TAKEDA, Replimune, Ribon, Dragonfly and Merck. TFG has received consultancy fees from Merck, Roche-Genentech, Abbvie, Bayer, Jounce, Aduro, Fog Pharma, Adaptimmune, FivePrime, and Sanofi. TFG has received research support from Roche-Genentech, BMS, Merck, Incyte, Seattle Genetics, Celldex, Ono, Evelo, Bayer, Aduro. TFG has intellectual property/licensing agreements with Aduro, Evelo, and BMS. TFG is a co-founder/shareholder of Jounce and Pyxis Oncology. AVD declares Research funding (all to institution for clinical trials): Merck, Roche, Jubilant DraxImage, YMabs, GlaxoSmithKline, Actuate Therapeutics, Lilly; Scientific Advisory Board: Merck; Consultancy: Ology Medical Education; Travel/Accommodations: GlaxoSmithKline; Stock: Pfizer (all outside the submitted work). SLC declares Research funding (to the institution for clinical trials): Merck and United Therapeutics; Stock (personal or immediate family member): United Therapeutics, Merck, Stryker, Amgen, Pfizer, AbbVie, Jazz Pharmaceuticals, Lilly, Sanofi, Varex Imaging, Accelerated Medical Diagnostics, Anthem, Cardinal Health, Novo Nordisk, Regeneron, Zimmer BioMet (all outside the submitted work). The remaining authors declare no competing interests.

Patient consent for publication Not required.

Ethics approval Each country or cooperative group submitting data from clinical trials to the INRG Data Commons obtained institutional review board approval and informed patient consent for their respective studies. The INRG Data Commons has approval from The University of Chicago Institutional Review Board.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement All data relevant to the study are included in the article or uploaded as supplementary information. The gene expression, somatic mutations, and clinical data were downloaded from GDC data portal (<https://portal.gdc.cancer.gov>), and GMKF data portal (<https://portal.kidsfirstdrc.org/dashboard>). Patient-level clinical data of the GMKF cohort are under controlled access at INRG Data Commons and can be requested by contacting the INRG Review Committee (<https://inrgdb.org>). IHC image data files were deposited on a publicly accessible GitHub Repository (<https://github.com/riyuebao/NBL-TME-Immunogenomics>). Other data will be provided upon request from the corresponding author.

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See <http://creativecommons.org/licenses/by-nc/4.0/>.

ORCID iDs

Riyue Bao <http://orcid.org/0000-0002-6105-1704>

Jason J Luke <http://orcid.org/0000-0002-1182-4908>

REFERENCES

- 1 Galon J, Costes A, Sanchez-Cabo F, *et al.* Type, density, and location of immune cells within human colorectal tumors predict clinical outcome. *Science* 2006;313:1960–4.

- 2 Azimi F, Scolyer RA, Rumcheva P, *et al.* Tumor-Infiltrating lymphocyte grade is an independent predictor of sentinel lymph node status and survival in patients with cutaneous melanoma. *J Clin Oncol* 2012;30:2678–83.
- 3 Mahmoud SMA, Paish EC, Powe DG, *et al.* Tumor-Infiltrating CD8+ lymphocytes predict clinical outcome in breast cancer. *J Clin Oncol* 2011;29:1949–55.
- 4 Spranger S, Spaapen RM, Zha Y, *et al.* Up-regulation of PD-L1, IDO, and T(regs) in the melanoma tumor microenvironment is driven by CD8(+) T cells. *Sci Transl Med* 2013;5:200ra116.
- 5 Taube JM, Anders RA, Young GD, *et al.* Colocalization of inflammatory response with B7-h1 expression in human melanocytic lesions supports an adaptive resistance mechanism of immune escape. *Sci Transl Med* 2012;4:127ra137.
- 6 Tumeq PC, Harview CL, Yearley JH, *et al.* PD-1 blockade induces responses by inhibiting adaptive immune resistance. *Nature* 2014;515:568–71.
- 7 Ayers M, Lunceford J, Nebozhyn M, *et al.* IFN- γ -related mRNA profile predicts clinical response to PD-1 blockade. *J Clin Invest* 2017;127:2930–40.
- 8 Ji R-R, Chasalow SD, Wang L, *et al.* An immune-active tumor microenvironment favors clinical response to ipilimumab. *Cancer Immunol Immunother* 2012;61:1019–31.
- 9 Trujillo JA, Sweis RF, Bao R, *et al.* T Cell-Inflamed versus non-T Cell-Inflamed tumors: a conceptual framework for cancer immunotherapy drug development and combination therapy selection. *Cancer Immunol Res* 2018;6:990–1000.
- 10 Cristescu R, Mogg R, Ayers M, *et al.* Pan-tumor genomic biomarkers for PD-1 checkpoint blockade-based immunotherapy. *Science* 2018;362:eaar3593.
- 11 Rizvi NA, Hellmann MD, Snyder A, *et al.* Cancer immunology. Mutational landscape determines sensitivity to PD-1 blockade in non-small cell lung cancer. *Science* 2015;348:124–8.
- 12 Liu D, Schilling B, Liu D, *et al.* Integrative molecular and clinical modeling of clinical outcomes to PD1 blockade in patients with metastatic melanoma. *Nat Med* 2019;25:1916–27.
- 13 Spranger S, Luke JJ, Bao R, *et al.* Density of immunogenic antigens does not explain the presence or absence of the T-cell-inflamed tumor microenvironment in melanoma. *Proc Natl Acad Sci U S A* 2016;113:E7759–68.
- 14 Litchfield K, Reading JL, Puttick C, *et al.* Meta-Analysis of tumor- and T cell-intrinsic mechanisms of sensitization to checkpoint inhibition. *Cell* 2021;184:e514:596–614.
- 15 Spranger S, Bao R, Gajewski TF. Melanoma-intrinsic β -catenin signalling prevents anti-tumour immunity. *Nature* 2015;523:231–5.
- 16 Sweis RF, Spranger S, Bao R, *et al.* Molecular drivers of the Non-T-cell-Inflamed tumor microenvironment in urothelial bladder cancer. *Cancer Immunol Res* 2016;4:563–8.
- 17 Luke JJ, Bao R, Sweis RF, *et al.* WNT/ β -catenin Pathway Activation Correlates with Immune Exclusion across Human Cancers. *Clin Cancer Res* 2019;25:3074–3083.
- 18 Peng W, Chen JQ, Liu C, *et al.* Loss of PTEN promotes resistance to T cell-mediated immunotherapy. *Cancer Discov* 2016;6:202–16.
- 19 Skoulidis F, Goldberg ME, Greenawald DM, *et al.* *STK11/LKB1* Mutations and PD-1 Inhibitor Resistance in *KRAS*-Mutant Lung Adenocarcinoma. *Cancer Discov* 2018;8:822–35.
- 20 Casey SC, Tong L, Li Y, *et al.* MYC regulates the antitumor immune response through CD47 and PD-L1. *Science* 2016;352:227–31.
- 21 Bao R, Stapor D, Luke JJ. Molecular correlates and therapeutic targets in T cell-inflamed versus non-T cell-inflamed tumors across cancer types. *Genome Med* 2020;12:90.
- 22 Lawrence MS, Stojanov P, Polak P, *et al.* Mutational heterogeneity in cancer and the search for new cancer-associated genes. *Nature* 2013;499:214–8.
- 23 Park JA, Cheung N-KV. Limitations and opportunities for immune checkpoint inhibitors in pediatric malignancies. *Cancer Treat Rev* 2017;58:22–33.
- 24 Georger B, Kang HJ, Yalon-Oren M, *et al.* Pembrolizumab in paediatric patients with advanced melanoma or a PD-L1-positive, advanced, relapsed, or refractory solid tumour or lymphoma (KEYNOTE-051): interim analysis of an open-label, single-arm, phase 1-2 trial. *Lancet Oncol* 2020;21:121–33.
- 25 Davis KL, Fox E, Merchant MS, *et al.* Nivolumab in children and young adults with relapsed or refractory solid tumours or lymphoma (ADVL1412): a multicentre, open-label, single-arm, phase 1-2 trial. *Lancet Oncol* 2020;21:541–50.
- 26 Yu AL, Gilman AL, Ozkaynak MF, *et al.* Anti-GD2 antibody with GM-CSF, interleukin-2, and isotretinoin for neuroblastoma. *N Engl J Med* 2010;363:1324–34.
- 27 Furman WL, Federico SM, McCarville MB, *et al.* A phase II trial of Hu14.18K322A in combination with induction chemotherapy in children with newly diagnosed high-risk neuroblastoma. *Clin Cancer Res* 2019;25:6320–8.
- 28 Mody R, Naranjo A, Van Ryn C, *et al.* Irinotecan-temozolomide with temsirolimus or dinutuximab in children with refractory or relapsed neuroblastoma (COG ANBL1221): an open-label, randomised, phase 2 trial. *Lancet Oncol* 2017;18:946–57.
- 29 Mody R, Yu AL, Naranjo A, *et al.* Irinotecan, temozolomide, and Dinutuximab with GM-CSF in children with refractory or relapsed neuroblastoma: a report from the children's Oncology Group. *J Clin Oncol* 2020;38:2160–9.
- 30 Zhang Z, Hernandez K, Savage J, *et al.* Uniform genomic data analysis in the NCI genomic data commons. *Nat Commun* 2021;12:1226.
- 31 Volchenboum SL, Cox SM, Heath A, *et al.* Data commons to support pediatric cancer research. *Am Soc Clin Oncol Educ Book* 2017;37:746–52.
- 32 Andrews S. FastQC: a quality control application for high throughput sequence data. Babraham Institute project page, 2016. Available: <http://www.bioinformatics.babraham.ac.uk/projects/fastqc>
- 33 Bray NL, Pimentel H, Melsted P, *et al.* Near-optimal probabilistic RNA-seq quantification. *Nat Biotechnol* 2016;34:525–7.
- 34 Sonesson C, Love MI, Robinson MD. Differential analyses for RNA-Seq: transcript-level estimates improve gene-level inferences. *F1000Res* 2015;4:1521.
- 35 Law CW, Chen Y, Shi W, *et al.* voom: precision weights unlock linear model analysis tools for RNA-seq read counts. *Genome Biol* 2014;15:R29.
- 36 Krämer A, Green J, Pollard J, *et al.* Causal analysis approaches in ingenuity pathway analysis. *Bioinformatics* 2014;30:523–30.
- 37 Jurtz V, Paul S, Andreatta M, *et al.* NetMHCpan-4.0: improved peptide-MHC class I interaction predictions integrating eluted ligand and peptide binding affinity data. *J Immunol* 2017;199:3360–8.
- 38 Rammensee H, Bachmann J, Emmerich NP, *et al.* SYFPEITHI: database for MHC ligands and peptide motifs. *Immunogenetics* 1999;50:213–9.
- 39 Benjamini Y, Drai D, Elmer G, *et al.* Controlling the false discovery rate in behavior genetics research. *Behav Brain Res* 2001;125:279–84.
- 40 Maris JM, Hogarty MD, Bagatell R, *et al.* Neuroblastoma. *Lancet* 2007;369:2106–20.
- 41 Park JR, Kreissman SG, London WB, *et al.* Effect of tandem autologous stem cell transplant vs single transplant on event-free survival in patients with high-risk neuroblastoma: a randomized clinical trial. *JAMA* 2019;322:746–55.
- 42 Ladenstein R, Pötschger U, Pearson ADJ, *et al.* Busulfan and melphalan versus carboplatin, etoposide, and melphalan as high-dose chemotherapy for high-risk neuroblastoma (HR-NBL1/SIOPEN): an international, randomised, multi-arm, open-label, phase 3 trial. *Lancet Oncol* 2017;18:500–14.
- 43 Yarchoan M, Johnson BA, Lutz ER, *et al.* Targeting neoantigens to augment antitumour immunity. *Nat Rev Cancer* 2017;17:209–22.
- 44 Pugh TJ, Morozova O, Attiyeh EF, *et al.* The genetic landscape of high-risk neuroblastoma. *Nat Genet* 2013;45:279–84.
- 45 Voron T, Colussi O, Marcheteau E, *et al.* VEGF-A modulates expression of inhibitory checkpoints on CD8+ T cells in tumors. *J Exp Med* 2015;212:139–48.
- 46 Sade-Feldman M, Jiao YJ, Chen JH, *et al.* Resistance to checkpoint blockade therapy through inactivation of antigen presentation. *Nat Commun* 2017;8:1136.
- 47 Sahin U, Derhovanessian E, Miller M, *et al.* Personalized RNA mutanome vaccines mobilize poly-specific therapeutic immunity against cancer. *Nature* 2017;547:222–6.
- 48 Zaretsky JM, Garcia-Diaz A, Shin DS, *et al.* Mutations associated with acquired resistance to PD-1 blockade in melanoma. *N Engl J Med* 2016;375:819–29.
- 49 Amankulor NM, Kim Y, Arora S, *et al.* Mutant IDH1 regulates the tumor-associated immune system in gliomas. *Genes Dev* 2017;31:774–86.
- 50 Coelho MA, de Carné Trécesson S, Rana S, *et al.* Oncogenic RAS signaling promotes tumor immunoresistance by stabilizing PD-L1 mRNA. *Immunity* 2017;47:e1086:1083–99.
- 51 Miao D, Margolis CA, Vokes NI, *et al.* Genomic correlates of response to immune checkpoint blockade in microsatellite-stable solid tumors. *Nat Genet* 2018;50:1271–81.
- 52 Cristescu R, Mogg R, Ayers M, *et al.* Pan-tumor genomic biomarkers for PD-1 checkpoint blockade-based immunotherapy. *Science* 2018;362. doi:10.1126/science.aar3593. [Epub ahead of print: 12 10 2018].
- 53 Asgharzadeh S, Salo JA, Ji L, *et al.* Clinical significance of tumor-associated inflammatory cells in metastatic neuroblastoma. *J Clin Oncol* 2012;30:3525–32.

- 54 Steidl C, Lee T, Shah SP, *et al.* Tumor-Associated macrophages and survival in classic Hodgkin's lymphoma. *N Engl J Med* 2010;362:875–85.
- 55 Ueno T, Toi M, Saji H, *et al.* Significance of macrophage chemoattractant protein-1 in macrophage recruitment, angiogenesis, and survival in human breast cancer. *Clin Cancer Res* 2000;6:3282–9.
- 56 Wei JS, Kuznetsov IB, Zhang S, *et al.* Clinically Relevant Cytotoxic Immune Cell Signatures and Clonal Expansion of T-Cell Receptors in High-Risk MYCN-Not-Amplified Human Neuroblastoma. *Clin Cancer Res* 2018;24:5673–84.
- 57 Gentles AJ, Newman AM, Liu CL, *et al.* The prognostic landscape of genes and infiltrating immune cells across human cancers. *Nat Med* 2015;21:938–45.
- 58 Kasim M, Heß V, Scholz H, *et al.* Achaete-Scute homolog 1 expression controls cellular differentiation of neuroblastoma. *Front Mol Neurosci* 2016;9:156.
- 59 Wang L, Tan TK, Durbin AD, *et al.* ASCL1 is a MYCN- and LMO1-dependent member of the adrenergic neuroblastoma core regulatory circuitry. *Nat Commun* 2019;10:5622.
- 60 Augustyn A, Borromeo M, Wang T, *et al.* ASCL1 is a lineage oncogene providing therapeutic targets for high-grade neuroendocrine lung cancers. *Proc Natl Acad Sci U S A* 2014;111:14788–93.
- 61 Rheinbay E, Suvà ML, Gillespie SM, *et al.* An aberrant transcription factor network essential for Wnt signaling and stem cell maintenance in glioblastoma. *Cell Rep* 2013;3:1567–79.
- 62 Jankowski MP, Cornuet PK, McIlwrath S, *et al.* SRY-box containing gene 11 (Sox11) transcription factor is required for neuron survival and neurite growth. *Neuroscience* 2006;143:501–14.
- 63 Decaestecker B, Brouwer SD, Vloed FD. Abstract 5506: Sox11 acts as part of the MYCN-WEE1 regulatory protein complex implicated in neuroblastoma. *Cancer Research* 2017;77:5506.
- 64 Lu C, Paschall AV, Shi H, *et al.* The MLL1-H3K4me3 Axis-Mediated PD-L1 expression and pancreatic cancer immune evasion. *J Natl Cancer Inst* 2017;109. doi:10.1093/jnci/djw283. [Epub ahead of print: 28 01 2017].
- 65 Horn LA, Fousek K, Palena C. Tumor plasticity and resistance to immunotherapy. *Trends Cancer* 2020;6:432–41.