


# Promoting Adherence to Iron Chelation Treatment in Beta-Thalassemia Patients

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**Abstract:** Thalassaemia is one of the commonest inherited genetic disorders world-wide with around 25,000 births of the most severely affected transfusion dependent children annually. Patients with transfusion dependent thalassaemia require regular blood transfusions to maintain life but because of this will develop iron overload. To remove the excess iron, patients are required to take iron chelation therapy (ICT). ICT requires lifelong adherence to treatment to prevent end organ damage from developing. Many of these preventable complications make adherence to therapy more complex for patients. In this review, we focus on two commonly encountered patient scenarios and discuss how different psychological models and a relational theory can be used to understand and support adherence to treatment.

**Keywords:** beta thalassaemia, iron chelation therapy, treatment, adherence, psychology

## Introduction

The  $\beta$ -thalassaemia syndromes are inherited blood disorders characterized by anemia due to either absent ( $\beta^0$  mutations) or reduced synthesis ( $\beta^+$  mutations) of the  $\beta$  globin chains of hemoglobin. Carriers have mutations in one  $\beta$  globin gene and patients with mutations in both  $\beta$  globin genes or additional disease modifiers, may either suffer from non-transfusion dependent thalassaemia (NTDT) or transfusion dependent thalassaemia (TDT) depending on the degree of imbalance between  $\alpha/\beta$ -globin chains.<sup>1</sup>

Carriers are healthy individuals with a minimal or mild anemia and do not develop iron overload (IOL). Patients with NTDT and TDT suffer from a mild to severe degree of anemia in the absence of transfusion, which may result in the development of hepatosplenomegaly, bone marrow expansion and extramedullary hematopoiesis resulting in the classical bony deformities seen in thalassaemia syndromes.<sup>2,3</sup> The severity of the anemia suppresses the iron regulatory peptide hepcidin, which results in increased iron absorption from the gastrointestinal (GI) tract and consequently iron overload.<sup>4</sup> In non-transfused patients this is the primary mechanism for the development of iron overload. However, the use of blood transfusion is associated with a more severe and rapid onset of iron overload which on average is 40 fold faster (0.4 mg/kg/day)<sup>5</sup> than from GI iron absorption in NTDT (0.01mg/kg/day).<sup>6</sup> Transfusion therapy is initiated in patients with NTDT for intermittent severe anemia or to manage complications arising due to chronic anemia.<sup>7</sup> In TDT, transfusion is generally initiated for failure to thrive in early childhood.<sup>8,9</sup> Both the intensity of the transfusion frequency as well as the duration of high levels of tissue iron will impact on the development of complications.<sup>5,10</sup>

Iron is initially deposited in the liver, spleen and bone marrow and subsequently in endocrine organs once the liver iron is consistently above 7mg/g/dw. This can result in endocrine complications developing such as hypogonadotropic hypogonadism, hypothyroidism, hypoparathyroidism, adrenal and growth hormone insufficiency. Once the liver iron exceeds 15 mg/g/dw there is an increasing risk of cardiac iron deposition which may lead to the development of heart failure if chelation therapy is not intensified.<sup>10–14</sup> Late sequelae such as pancreatic exocrine insufficiency and chronic or

paroxysmal atrial fibrillation, liver cirrhosis and hepatocellular carcinoma may occur many years after tissue iron has been controlled.<sup>15–18</sup>

## Management of Patients with Thalassaemia

Children may be diagnosed as part of antenatal screening or at some time after birth as part of screening programmes.<sup>19</sup> In some patients with NTDT a diagnosis may not be made until well into adulthood.<sup>20</sup> For TDT patients, regular transfusion is initiated when there is failure to thrive or a hemoglobin below 70g/l.<sup>21</sup> NTDT patients may continue to grow and thrive with a moderately severe anaemia and occasionally need blood transfusion during intercurrent illness.<sup>22</sup>

Transfusions once initiated for TDT are given on a 3 to 4 weekly basis with the aim to maintain the pretransfusion hemoglobin level above 95g/l. Patients start iron chelation therapy (ICT) after 10–20 transfusions episodes or when ferritin levels are above 1000ug/l on least 2 occasions. Patients with TDT should have started ICT within 2 years of starting regular transfusion. IOL is often diagnosed late in NTDT patients because they slowly accumulate iron and are monitored less frequently.<sup>23</sup>

## Monitoring of Iron Overload

Effectiveness of treatment (both transfusion and chelation) is assessed by routine monitoring. Pre transfusion haemoglobin levels provide assurance that the transfusion regime is providing sufficient suppression of the bone marrow to prevent hepatosplenomegaly and extramedullary haemopoiesis. Routine monitoring of iron is undertaken using serum ferritin levels.<sup>24</sup> Historically quantification of iron burden was undertaken by liver biopsy and specialist analysis to measure iron in the biopsy sample.<sup>25</sup> Since the early 2000's magnetic resonance imaging techniques (MRI) have been used to quantify iron.<sup>26,27</sup> This has allowed a greater understanding of how iron is distributed across organs and how iron is cleared from various organs.<sup>28–30</sup>

## Iron Chelation Regimes

Three drugs are licensed for treatment of IOL. Their mechanism of action and indications are well described in the literature, and we recommend readers refer to these guidelines for further information.<sup>9,31</sup> All the drugs can be used as a combination of two agents.

### Deferoxamine

Deferoxamine was identified as a chelation agent in the early 1960s. Initially used intramuscularly, important studies in the 1970s showed that it was more effective as a subcutaneous infusion administered over 8 to 24 hours depending on the goal of chelation.<sup>32–35</sup> If the aim is to maintain stable iron burden, then treatment is 8–12 hours subcutaneously and if the aim is to reduce iron burden particularly in the heart, then treatment is 24 hours intravenously.<sup>36,37</sup> Subcutaneous infusions require a needle to be inserted into subcutaneous tissue on the abdomen or legs and are associated with side effects such as pain and swelling at the site of the infusion. Intravenous infusions require a central venous access device such as a port-a-cath or PiCC line.

### Deferasirox

This is administered orally once daily and can be used as monotherapy or in combination with either of the drugs. This was initially available in dispersible formulation from 2006 but since 2016 has been available in film coated tablet formulation.<sup>38–40</sup> Common side effects are nausea, vomiting and diarrhoea and abdominal pain.<sup>38,41</sup> These are less frequent with the film coated preparation.<sup>42,43</sup>

### Deferiprone

This is administered three times daily and is available in tablet and syrup formulations. Common side effects include gastrointestinal side effects such as nausea, vomiting, and diarrhoea, arthralgias and a low white cell count.<sup>44,45</sup> The risk of a low neutrophil count requires weekly monitoring upon initiation and for the first year.

Patients are started on ICT generally after a year of regular transfusions. In children below the age of 2 years, chelation is initiated with deferoxamine and in older children with deferasirox. Deferiprone is offered second line in patients unable to comply with deferoxamine or deferasirox or in those with cardiac IOL. Clinical guidelines on ICT have been modified over the years to support a less prescriptive approach.<sup>8,21,46</sup>

## Adherence to Treatment

The adherence literature is not specific to thalassaemia populations and further targeted research is required to understand the challenges of adherence in these patients. Nonetheless, adherence is a significant issue due to the lifelong nature of treatment and it is possible to draw upon literature from chronic medical conditions with notable disease burden when examining adherence in this population.

Adherence broadly refers to how closely a patient follows a prescribed treatment regimen. This includes their willingness to start treatment and ability to take medications exactly as directed. One in four patients do not adhere well to prescribed drug treatment.<sup>47</sup> Patients who are non-adherent are more likely to experience worsening medical conditions, unnecessary complications, and higher rates of morbidity and mortality. However, non-adherence is not the sole responsibility of a patient and represents a fundamental limitation in the delivery of Healthcare.<sup>48</sup>

The incidence of non-adherence in chronic conditions is greater with the introduction of new medications<sup>49</sup> mainly resulting from patients' experiences of problems when they start new medication, including side effects, concerns and practical aspects. There are two possible factors in non-adherence;<sup>49</sup> first, healthcare professionals may provide insufficient information about medication and often make inappropriate judgements about patients' expectations. Communication between doctors and patients can be poor leading to recall difficulties, low satisfaction and ultimately reduced adherence. Second, after patients start to use new medication, they will have experienced side effects, and may have beliefs, concerns or uncertainties that need to be addressed. Consequently, patients may sometimes be let down by either unresponsive healthcare services or conflicting advice from primary and secondary care physicians and therefore may consult with friends or relatives who may offer incorrect information or propagate false beliefs that encourage non-adherence.

Adherence to medication is a multidimensional phenomenon that is affected by patient-related, therapy-related, condition-related and demographic factors. Patient-related factors include patient knowledge, patient/caregiver education, psychological factors, attitudes, beliefs, perceptions of severity of disease and expectations from a treatment. Therapy-related factors include factors such as frequency of dosage and complexity of regimen.

Patient adherence to a chelation regimen could differ depending on the influence of modifying factors that have been shown to correlate with adherence across a range of health conditions such as underlying psychological problems including depression, and the negative beliefs and assumptions that can result.<sup>50–52</sup> Additional factors that impact adherence include relational processes, such as the patient-clinician alliance<sup>53</sup> and positive, effective communication.<sup>54</sup> Adherence interventions can actively target these modifying factors, which can be easily overlooked in clinical practice due to service-related constraints. For instance, conducting psychological wellbeing screening, exploring idiosyncratic attitudes and beliefs with patients, and engaging in active attempts to address any challenges to developing and sustaining trusting and supportive patient-clinician partnerships.

Adherence can be observed in 3 stages: initiation, implementation, and discontinuation. Initiation is where a patient takes the first dose of medication; implementation is the extent to which a patient follows the treatment regimen; discontinuation is when a patient stops taking medication.<sup>55,56</sup> The multidimensional adherence phenomenon demonstrated in thalassemia studies also includes difficulties in treatment administration as related to demographic, patient-related and therapy-related factors. Largely, better adherence has been reported with deferasirox compared to deferoxamine with pain at the site of injection being stated as the most common reason for non-adherence with deferoxamine.<sup>57–62</sup> In terms of TDT demographics, older age was associated with lower levels of chelation adherence.<sup>63</sup> Improved knowledge and education had a positive outcome on adherence.<sup>64–66</sup> Important psychological factors that influence non-adherence include poor quality of life and social stigma.<sup>67,68</sup>

Psychological theory can be utilised to help understand adherence and offer interventions. Studies of interventions intended to improve adherence and clinical outcomes across various health conditions have been found to be complex

and with limited effectiveness.<sup>69</sup> Conversely, a meta-analysis by Holmes and colleagues,<sup>70</sup> found that multiple and extended models of adherence improved predictions of adherence and concluded that “no single theory should be used to inform the development of adherence enhancing interventions”. In addition, the recognition of the multidimensional adherence phenomenon and distal factors, such as general beliefs about self-efficacy, perceived health locus of control as well as personality traits, have been identified as playing a significant role in influencing treatment adherence.<sup>70,71</sup> Thus, clinical approaches that aim to address adherence should include interventions with multiple components that are informed by theoretical, and evidence-based models. Additionally, such interventions should pay particular attention to components from health psychology models of adherence that go beyond practical, therapy-related factors. We consider three psychological models and a relational theory.

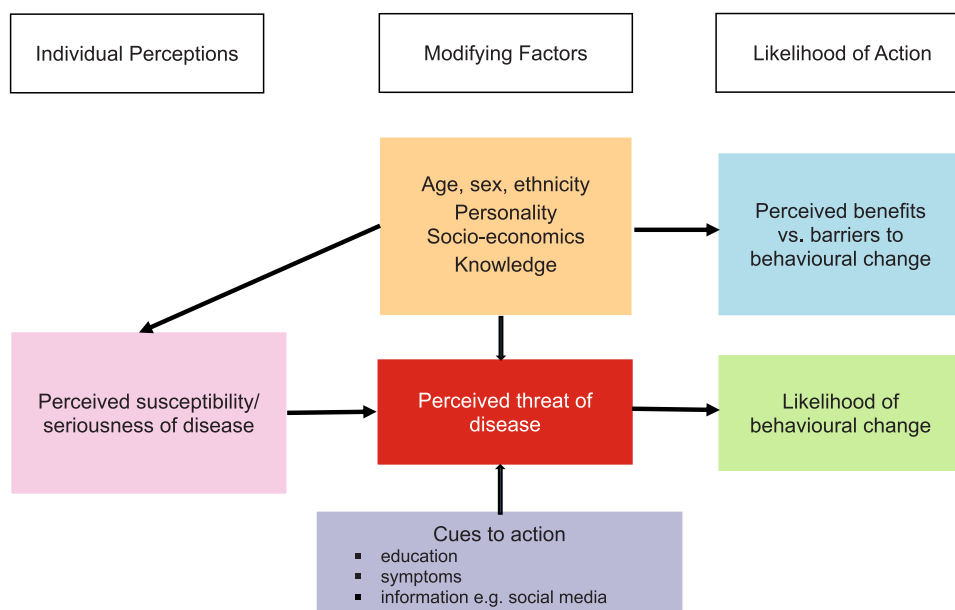
## Adherence Models

### Medication Adherence Model

This model explains two types of non-adherence, and how these contribute to inconsistent medication use. That is, intentional decisions to omit medications, and unintentional interruptions that cause medications not to be taken as prescribed.<sup>72</sup> Furthermore, three core concepts are defined in this model, namely Purposeful Action, Patterned Behaviour, and Feedback.<sup>72</sup> Purposeful action is based on the patient’s perceived need, effectiveness, and safety. For example: the need for a particular type of ICT; whether it reduces IOL in an individual; and its side-effect profile. Patterned behaviour is required for the patient to establish medication-taking patterns through availability, routines (eg at breakfast), and ability to remember (eg use of a dosette box or phone alarm). Feedback for the patient is important and tends to influence purposeful action and patterned behaviour. This should be given through information, prompts, and during occasions when treatment evaluation takes place.

### Health Belief Model

The Health Belief Model,<sup>73,74</sup> offers a psychological framework to explain and predict health behaviours by focusing on the attitudes and beliefs of individuals (Figure 1).<sup>75</sup> Applied to thalassaemia, this model is based on the understanding that a patient will have a health-related behaviour (use of ICT); if that patient feels that a harmful health condition (IOL)



**Figure 1** Health Belief Model.

**Notes:** Adapted with permission from John Wiley and Sons. Glanz K, Rimer BK, Lewis FM. *Health Behavior and Health Education. Theory, Research and Practice*. San Francisco: Jossey-Bass; 2002; permission conveyed through Copyright Clearance Center, Inc.<sup>75</sup>

can be avoided (using ICT will be effective in avoiding IOL) and believes that they can successfully take a recommended health action (can use ICT comfortably and with confidence).

Within the context of this model, common-sense models of illness have been referred to as illness cognitions, illness representations, or prototypes. These have been studied in a variety of chronic illnesses.<sup>76–78</sup>

Beliefs regarding the seriousness of the condition may also act to encourage or discourage healthy behaviours. For example, if a patient does not accept that they have a serious problem with IOL, they may not be inclined to take appropriate action to attenuate the progress of the disease. However, the likelihood of engaging in these behaviours is also potentially influenced by beliefs that they can affect their health, and that they have the resources to deal with the problem ie, personal control and self-efficacy belief. Therefore, the notion of being able to exert control over the course of an individual's illness is an important cognition.

Taken together, these demonstrate the importance of psychological factors in addition to clinical variables when the long-term effects of adherence to ICT are considered.

### Three-Factor Interventional Model

This model comprises three important clinical actions.<sup>71</sup> First, ensuring that patients have the correct information and ability to enable them to adhere to treatment. This includes listening to patients' concerns, encouraging their participation and relationship in decision-making, building Trust and empathy, and enhancing recall. Second, helping patients' believe in their treatment and become motivated to commit to it. That is, addressing their reasoning, together with social, cultural norms and circumstantial factors (eg, stigma) that affect patients' beliefs, attitudes, and motivation. Third, assisting patients to overcome practical barriers to treatment adherence and develop a workable strategy for long-term disease management. This includes assessing and enhancing patients' social support, identifying and establishing treatment plans to treat or manage any mental health problems (eg, anxiety, depression, psychosis, personality disorders) and helping patients overcome cost-related treatment barriers (eg, unaffordable prescription charges or lack of health insurance).

### Attachment Theory

Effective communication is a key component of a good patient-clinician alliance.<sup>53</sup> Patient reported trust in the relationship with clinicians<sup>79,80</sup> can play an important role in determining treatment outcomes such as satisfaction with treatment, psychosocial wellbeing, quality of life indicators and treatment adherence.<sup>81</sup> If a patient is unable to share concerns openly with their healthcare professional, then practical and behavioural goals involved in disease management between patient and clinician may become misaligned and pose a risk to shared decision-making and, ultimately, to adherence.

Attachment theory offers an opportunity to assess, understand and improve patient-clinician relationship quality.<sup>82,83</sup> It provides a standardised way of understanding interpersonal relationship patterns that are commonly explored in patient-therapist relationships in psychological therapies. Attachment theory posits that all individuals derive their first "internal working model" of relationships with the self and others based on early caregiving relationships. Learning occurs within this model, predominantly learning ways to reduce distress and create a sense of safety in relationships. The internal working model goes on to inform our adult relationship patterns and has been extensively researched across the lifespan to identify four prototypes of adult attachment styles (secure, insecure dismissive-avoidant, insecure preoccupied, insecure fearful-avoidant). These sit along two continua which are the view of self and view of others, ranging from positive to negative.<sup>84–86</sup>

A secure attachment style is characterised by a positive model of oneself and others, suggesting that such individuals have confidence in themselves (self-esteem), are trusting of others and are open to intimacy and closeness in relationships. The three insecure attachment styles have some degree of negative models of either self or others. A dismissive-avoidant style suggests individuals have a positive model of oneself but a negative model of others leading to avoidance of closeness and intimacy and high levels of self-reliance. A preoccupied style is indicative of a positive model of others but a negative model of oneself resulting in a strong desire for closeness and intimacy but a fear or lack of trust that this will be available. A fearful-avoidant style reflects individuals with a negative model of themselves and of others; such individuals have a conflict between a strong desire for intimacy and closeness and simultaneously an intense fear of

intimacy. This is compounded by a lack of trust that this will be available, and thus avoidance of attachments. A clear strategy for coping with negative relational models can be identified among individuals with dismissive-avoidant and preoccupied attachment styles, however for fearful-avoidant styles there is an absence of strategy to cope with dual negative relational models.<sup>87</sup>

Although there can be a predominant attachment style, individuals can vary in how they categorise themselves and may have different attachment relationships with different people. Thus, a dimensional (rather than categorical) approach is a preferable way to view attachment styles whereby there is a focus on low or high scores for measures of dependence (self-models that map onto relational anxiety) and degree intimacy/ closeness to others (other-models that map onto relational avoidance).<sup>87</sup> Attachment relationship patterns can also change over time and there is evidence of “attachment reorganisation”, for those with insecure attachment styles, through the establishment of supportive relationships and changes in social roles that can happen at times of transition.<sup>85,88</sup>

When applied to the patient-clinician relationship, the attachment model can be used to adequately identify and support patients with relational challenges. For instance, Ciechanowski and colleagues discovered that, after adjusting for demographic and clinical variables, diabetes patients with dismissive attachment styles showed poorer adherence than those with other attachment styles, based on measures of HbA1c, use of oral hypoglycaemic medications and glucose-monitoring.<sup>89</sup> Additionally, adherence was poorer among those with dismissive attachment styles when the quality of patient-provider communication was also accounted for. They also found that those with secure attachment styles showed better self-management and outcomes.<sup>90</sup> Evidence from literature relating to patients with health conditions such as diabetes,<sup>89–91</sup> HIV<sup>92</sup> functional somatic disorders<sup>93</sup> and systemic lupus erythematosus<sup>81</sup> demonstrate the powerful role that attachment relationships can play in health management and adherence.

Patients’ attachment styles (and attempted coping strategies) can be triggered by relational processes, such as perceived threats to a sense of safety in self. Other-relational models may impact on attachment styles as well, such as threats to comfortable levels of dependence and intimacy which rely upon support from and contact with carers, family members, friends and/ or healthcare clinicians.

There are simple self-report measures that can be used to establish attachment styles; the simple Relationship Questionnaire<sup>85</sup> which can be used in a healthcare settings to allow for guided discussions and the 30-item Relationship Scales Questionnaire.<sup>94</sup> Both items can map onto the 4-prototype, 2-continua model to derive categorical or continuum scores.<sup>95</sup>

Modifications to the patient-clinician partnership as proposed in [Table 1](#) can support adherence through active attempts to understand and attend to attachment style and the patient-clinician partnership.

## Attachment Styles, Attempted Coping (Behaviour) and Strategies for Health Care Professionals

We will now consider the clinical application of attachment theory and these psychological models of adherence as applied to two hypothetical patient case studies. Both studies include commonly encountered scenarios.

### Psychological Models and Theory in Clinical Practice Illustrative Case Study I

This young man was from an Asian family with significant stigma and shame related to the diagnosis of thalassaemia resulting in non-disclosure to the wider family. His mother had limited understanding of the disorder and struggled emotionally with the burden of care required. He started ICT at 18 months with deferoxamine, however the family really struggled with needle insertion and administration. As a teenager the absence of self-efficacy to treatment resulted in severe IOL because he lacked core knowledge about the importance of treatment due to suboptimal communication by his parents and healthcare professionals. He has an episode of heart failure and a port-a cath was inserted and he was initiated on combination ICT. He developed insulin dependent diabetes and had several episodes of recurrent line infections requiring port-a-caths changes and developed severe hypoparathyroidism and hypothyroidism. His IOL, diabetes and endocrinopathies remained very poorly controlled due to poor adherence and he continued deferoxamine

with deferiprone until deferasirox was licenced in 2006. He was started on deferasirox, but adherence was poor as he did not like the taste of the dispersible formulation and was switched back to deferoxamine and deferiprone combination. He then had several admissions with heart failure, diabetic ketoacidosis and profound depression. His family relationships deteriorated severely which correlated with escalating depressive symptoms with deeply established negative thoughts about his thalassaemia and future health. He refused clinical psychological intervention apart from during inpatient admissions.

Over the years, he received multiple educational sessions with his doctor and specialist nurse in the adult setting. However, this had limited effect and he was only clinically optimised when he was on a 6-month inpatient admission and received direct help with health professionals administering his treatments.

## Understanding and Altering Patterns of Behaviour

### Medication Adherence Model

There is evidence of unintentional interruptions to chelation adherence in early life, with low adherence in teenage years (intentional interruptions).

Factors influencing such behaviour may include patient perceptions and depressive beliefs (eg, negative predictions, hopelessness and helplessness) about the need for ICT, with limited opportunity for balanced and open feedback about its effectiveness and drawbacks facilitated by professionals trained to work with depressive illness narratives. Additionally, family and health service factors seem to have contributed to medication initiation with a lack of implementation of routine, patterned behaviour early on in life.

With patients presenting in this way, possible methods for enhancing adherence include offering evaluative discussions about treatment effectiveness, including feedback from periods when treatment is and is not optimised, and introducing simple routines that maximise remembering to take medications and minimise practical issues related to access to medications.

### Health Belief and Three Factor Interventional Models

The patient and family perceptions of the severity and life-threatening complications of thalassaemia seem to be unvoiced or there is no evidence of a shared understanding of this. These models highlight the importance of creating opportunities for open dialogue to explore and establish shared understandings about disease severity as linked to different chelation therapies and the perceived benefits or likelihood of changes in disease severity. Education is a feature which was offered to this patient as an adult by a haematologist and specialist nurse. This could have been maximised with additional and repeated non-judgemental discussions with the patient and supportive family members. If this intervention had been undertaken early on in paediatric settings (ideally as early as late childhood, pre-adolescence) then this may have bolstered self-efficacy (through influencing illness beliefs such as perceived severity, modifying treatment beliefs and attitudes and encouraging commitment to making changes based on this information). This may have mitigated the development of negative perceptions about the thalassaemia diagnosis. For patients with this presentation, such discussions can focus on progression of symptoms and severe complications, in particular understandings of mechanisms and advantages and disadvantages to making changes to adherence behaviours (in the style of motivational interviewing). The aim is to target the removal of potential barriers to change, once one has some clarity about what these barriers are.

An additional step could be to introduce and explore the pros and cons of referring the patient for individualised psychological therapy, as well as considering this in relation to other forms of social and therapeutic support, such as community activities and support groups, to address mood problems in the absence of formal therapy.

### Attachment Theory

A notable feature of this presentation indicates negative models of self (depression, low self-esteem, low self-efficacy, and confidence regarding treatment initiation and maintenance). Passive receipt of help with chelation from caregivers and loved ones is also suggestive of positive models of others. This is indicative of a high intimacy/ low relational avoidance and high dependence/ high relational anxiety attachment pattern (insecure-preoccupied style) which may

**Table 1** Attachment Styles, Attempted Coping (Behaviour) and Strategies for Health Care Professionals

Attachment Style (Patient)	View of Self (Patient)	Potential Emotional Consequences (Patient)	View of Caregivers	Attempted Coping	How Caregivers Might Feel/ Think	Adherence -Related Engagement Strategies for HCPs
<b>Secure</b> <i>Low relational anxiety/ Low avoidance of intimacy</i>	Loveable & worthy of care Self-confident	Expressive	Accepting & responsive Trustworthy & available Able to provide care as needed	Assertive & expressive Able to ask for help as and when needed, with relative ease	Comfortable Helpful	Unlikely to have challenges in developing rapport
<b>Insecure: Preoccupied</b> <i>High relational anxiety/ Low avoidance of intimacy</i>	Un-loveable and unworthy of care Self-doubt Low-self-confidence and low self-esteem Self-concept derived from others	Anxious Sadness Frustration Disgust	Trustworthy & availabl	Assertive & expressive, at times autocratic Pursuit of acceptance from others Able to ask for help with frequent help- and reassurance-seeking	Desired/ needed Helpful Frustrated	Offer regular, contact with boundaries Provide opportunities to build self-efficacy/ self-confidence Positive reinforcement through reminders of positive changes
<b>Insecure: Dismissive-avoidant</b> <i>High avoidance of intimacy/Low relational anxiety</i>	Loveable & worthy of care Independent Self-confident	Emotionally distant Cold Frustration	Unreliable Untrustworthy/ Unhelpful Unimportant Incapable of providing care when needed - Capable of providing care when pressurised	Strong focus on independence and self-reliance Avoidance of vulnerability in relationships and dismissive of intimacy Minimal self-disclosure and expressiveness	Desire to help Rejected Unhelpful Frustrated Unsure	Provide consistency in your contact – do not overpromise Regularly & consistently invite and support expressions of issues/ concerns Provide opportunities for group/ peer/ social support Manage expectations for change



<p><b><i>Insecure: Fearful-avoidant</i></b>  <b><i>High avoidance of intimacy</i></b>  <b><i>High relational anxiety</i></b></p>	<p>Un-loveable and unworthy of care  Self-doubt  Low-self-confidence and low self-esteem  Self-concept derived from others</p>	<p>Anxious  Sadness  Frustration  Disgust</p>	<p>Rejecting  Unreliable  Untrustworthy  Incapable of providing care when needed</p>	<p>Avoidance of intimacy and close involvement with others as protection against rejection, disappointment and harm from others (eg frequent cancellations, non-attendances)  Minimal self-disclosure and expressiveness  Self-concept is derived from others</p>	<p>Desire to help  Frustrated  Hopeless  Unhelpful  Unsure</p>	<p>Provide consistency in your contact and minimise barriers to attendance (eg more frequent, brief telephone/ video consultations)  Set boundaries for attendance and positively reinforce attendance  Regularly &amp; consistently invite opinions and feedback  Provide opportunities to build self-efficacy/ self-confidence  Positive reinforcement through reminders of positive changes  Provide opportunities for 1:1 peer/ social support  Manage expectations for change</p>
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benefit from consistent and regular contact, actively seeking opportunities for engagement with peer or social communities and opportunities for success, reward or other forms of positive reinforcement related to health management, alongside other valued life areas.

## Illustrative Case Study 2

This young man developed cardiac failure at a young age requiring admission and intensive combination ICT. He was one of a large family of siblings with one other thalassaemia major sibling. The family was socially very active with a large community network. His thalassaemia has never been hidden and his parents had a positive approach to supporting their children. However, the family's social activities probably resulted in unintentional adherence issues with deferoxamine infusions in early and late childhood. The cardiac failure reversed, and he continued intravenous chelation through the port-a-cath at home. His liver iron became well controlled but myocardial iron remained severe. His adherence as a teenager was poor despite efforts by family and friends to support him. His psychological shift during adolescence was towards being exactly like his siblings and friends with a busy social life and playing sports. He was switched to dual oral combination to help adherence, but this remained suboptimal despite extensive support from community counsellors, healthcare providers as well as his wider social network. From the age of 20 his adherence improved and was associated with his older TDT sibling having a child which impacted on his understanding of the reality of an almost normal life. His focus shifted to having a family of his own. A better understanding of risks of IOL and the use of oral ICT altered to suit his work and routine have helped improve adherence. His marriage made a very positive impact on his adherence to treatment.

### Understanding and Altering Patterns of Behaviour

#### Medication Adherence Model

In this case, there is evidence of both intentional and unintentional interruptions to chelation adherence in early life with notable family lifestyle factors impacting on the establishment of medication adherence patterns and routines.

Factors influencing behaviour may include family and patient perceptions about the *importance*, *effectiveness* and need for regular and routine chelation and minimising of the risks associated with adherence which may have been influenced by feedback from the patient's only other sibling with thalassaemia, who did not progress to develop cardiac failure.

For patients illustrated with this case, early introduction of methods to minimise unintentional interruptions to medication use can be an important intervention. An additional focus on information provision and feedback relating to treatment optimisation effects, and implications may also have an impact on adherence.

#### Health Belief Model and Three Factor Interventional Model

Furthermore, patient and family perceptions of severity and life-threatening complications from thalassaemia are targets for adherence-related behaviour change along with the perceived severity of thalassaemia and lifestyle factors that impact upon barriers to recommended treatment regimens.

For patients with this presentation, adherence may be influenced via a focus on exploring, evaluating, and altering treatment beliefs and attitudes as well as increasing motivation and commitment to treatment. This took place in this example, whereby the patient's desire and goal to start a family became a key motivation for adherence, and crucial to behavioural change. In addition, adherence may be influenced through addressing barriers to treatment through simplifying treatment regimens, enhancing social support along with recall and memory cues for promoting adherence. Patient collaboration with open dialogue, exploration of the benefits and barriers to treatments and written action plans are simple ways to invite and seek to elaborate on existing beliefs and attitudes towards adherence-related changes.

#### Attachment Theory

There is some evidence of positive models of others in this patient's presentation, with acceptance of support from both his health team and his partner suggesting high intimacy/low relational avoidance. There is also some evidence of this coupled with a negative model of self (high dependency/ high relational anxiety) due to a possible focus on the desire not

to appear different from his healthy siblings and friends; if this relational anxiety is notably high for patients with this presentation, then this combined with a positive model of others (high intimacy/low relational avoidance) may be indicative of an insecure-preoccupied style of attachment. Similar to the patient in Case Study 1, helpful interventions for this patient may include: consistent and regular contact with opportunities for developing self-efficacy and confidence with health management (eg positive reinforcement) and actively seeking opportunities for engagement with peer or social communities; particularly where there is contact with role models who focus on routine thalassaemia treatment adherence as well as having values in line with the patient (eg in this case having active social and sporty lifestyles or starting families).

If this patient presentation combined a positive model of others (high intimacy/ low relational avoidance) with a positive model of self (low dependence/ low relational anxiety), then the practical interventions, focused on simplifying treatment regimens, reducing barriers to treatment associated with lifestyle and addressing treatment beliefs and motivations, may be helpful adherence-related targets.

## Additional Considerations

Psychological difficulties can range from common mental health problems such as mood problems and anxiety right the way through to severe mental illness such as psychosis and personality disorders. The prevalence of mental health problems among thalassaemia populations has been documented by several studies worldwide.<sup>96–100</sup> As illustrated in the example of Case Study 1, untreated mental health problems can indirectly influence adherence and result in increased healthcare utilisation and costs.<sup>97</sup> Facilitating access to psychological interventions and/or treatment is an important consideration when attempting to improve adherence. This may require discussing any possible hesitation, benefits, or barriers to engaging with psychological approaches with patients. It may also require making referrals to appropriate psychological therapies services and/or seeking consultation and advice from psychological therapists and mental health services.

Working with patients with complexity in their disease presentations and related treatment adherence may include work with patients with disease- and transfusion-related complications, comorbid mental health problems, personality traits or anxious and avoidant relational patterns. Such work can be emotionally and cognitively demanding as well as require time, thoughtfulness, and patience. Our own relational patterns can become triggered, our own personality traits or emotional needs may inadvertently become challenges to the effective application of methods to enhance adherence for patients. Taking time with colleagues to engage in regular reflective practice facilitated by a psychological therapist or taking up opportunities for individual reflection on our own thoughts, emotional reactions and actions is essential to offering comprehensive adherence-related support to patients.

## Conclusion

Thalassaemia is a complex lifelong disorder and successful therapy involves adherence to complex regimes which are associated with side effects. IOL complications create more complexity of treatment and that then further impacts on adherence both for the pre-existing thalassaemia and from the treatment of the complications. Understanding the factors that impact on adherence to treatment for individuals is critical in ensuring good outcomes. Working in a multi-professional team aids communication and different approaches to be used for patients to help improve their self-efficacy. Psychological support for families from the time of diagnosis to empower them to provide care for their child has a major impact in ensuring a good foundation for lifelong adherence to treatment.

## Disclosure

Dr Farrukh T Shah reports personal fees from Celgene International, Bluebird bio Inc, Novartis Pharma AG, Vertex Pharmaceuticals, Chiesi Ltd, Bristol Myers Squibb Pharmaceuticals Ltd, Silence Therapeutics plc, and Agios Pharmaceuticals Ltd, outside the submitted work. The authors report no other conflicts of interest in this work.

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