

# An *IDO1*-related immune gene signature predicts overall survival in acute myeloid leukemia

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## Key Points

- The semaphorin receptor *PLXNC1* is an *IDO1*-interacting gene and a strong predictor of survival in AML.
- An *IDO1*-related immune gene signature, including *PLXNC1*, predicts survival in AML.

The contribution of the bone marrow (BM) immune microenvironment to acute myeloid leukemia (AML) development is well-known, but its prognostic significance is still elusive. Indoleamine 2,3-dioxygenase 1 (*IDO1*), which is negatively regulated by the *BIN1* proto-oncogene, is an interferon- $\gamma$ -inducible mediator of immune tolerance. With the aim to develop a prognostic *IDO1*-based immune gene signature, biological and clinical data of 982 patients with newly diagnosed, nonpromyelocytic AML were retrieved from public datasets and analyzed using established computational pipelines. Targeted transcriptomic profiles of 24 diagnostic BM samples were analyzed using the NanoString's nCounter platform. *BIN1* and *IDO1* were inversely correlated and individually predicted overall survival. *PLXNC1*, a semaphorin receptor involved in inflammation and immune response, was the *IDO1*-interacting gene retaining the strongest prognostic value. The incorporation of *PLXNC1* into the 2-gene *IDO1*-*BIN1* score gave rise to a powerful immune gene signature predicting survival, especially in patients receiving chemotherapy. The top differentially expressed genes between *IDO1*<sup>low</sup> and *IDO1*<sup>high</sup> and between *PLXNC1*<sup>low</sup> and *PLXNC1*<sup>high</sup> cases further improved the prognostic value of *IDO1* providing a 7- and 10-gene immune signature, highly predictive of survival and correlating with AML mutational status at diagnosis. Taken together, our data indicate that *IDO1* is pivotal for the construction of an immune gene signature predictive of survival in AML patients. Given the emerging role of immunotherapies for AML, our findings support the incorporation of immune biomarkers into current AML classification and prognostication algorithms.

## Introduction

Acute myeloid leukemia (AML) is a molecularly and clinically heterogeneous hematologic malignancy that progresses rapidly and originates from a rare population of leukemic stem cells. Despite intensive

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chemotherapy and stem cell transplantation, the outcome of AML has not changed substantially in the past few decades. The estimated 5-year overall survival (OS) rate is ~30% and death rates have remained stable.<sup>1</sup> In this scenario, innovative strategies and tools are urgently needed to improve outcomes for AML patients.

In many cancers, such as diffuse large B-cell lymphoma,<sup>2</sup> primary breast cancer,<sup>3,4</sup> melanoma,<sup>5</sup> gastric cancer,<sup>6</sup> and lung adenocarcinoma,<sup>7,8</sup> the tumor microenvironment (TME) has been shown to retain a prognostic value that can be asserted by immune-specific gene expression patterns.<sup>9,10</sup> However, the current risk classification<sup>11</sup> of AML is exclusively focused on leukemic cell-intrinsic cytogenetic and molecular alterations, which have historically been known to affect response to conventional chemotherapy and risk of relapse. Compelling preclinical data clearly demonstrate the impact of tolerogenic mechanisms played by TME in dysregulating patients' immune response to AML cells. Very recently, the prognostic significance of immune landscape has been addressed in AML, revealing that immune-related genes may predict response to therapy and survival.<sup>12</sup>

Indoleamine 2,3-dioxygenase 1 (IDO1) catalyzes the rate-limiting step in tryptophan metabolism along the kynurenine pathway. In tumors, *IDO1* is negatively controlled by the *BIN1* tumor suppressor,<sup>13</sup> which in turn is regulated by the RBM25 splicing factor, generating a dominant-negative BIN1 isoform that is unable to repress MYC activity.<sup>14</sup> We and others have shown that *IDO1* is expressed in a significant proportion of AML patients at disease onset,<sup>15</sup> where it promotes the establishment of an immunosuppressive TME through the induction of T regulatory cells.<sup>16-19</sup> Previous studies investigated the impact of *IDO1* on AML survival. In particular, *IDO1* messenger RNA (mRNA) expression in the bone marrow (BM), evaluated by gene expression profiling or quantitative real-time polymerase chain reaction (RT-PCR) was a predictor of shorter survival.<sup>20,21</sup> Recently, an immunohistochemical score based on IDO1 expression was shown to predict early mortality in AML.<sup>22</sup> Herein, we interrogated public AML transcriptomic datasets and profiled primary BM samples from patients newly diagnosed with AML with the aim to identify an *IDO1*-related immune gene signature that may further refine our ability to predict survival.

## Materials and methods

### Data sources

For the in silico generation of a prognostic *IDO1*-associated gene signature, 3 publicly available independent gene expression datasets were used. Biological and clinical data of 982 patients with newly diagnosed patients with nonpromyelocytic AML, including complete cytogenetic, immunophenotypic, and clinical annotations, were retrieved from The Cancer Genome Atlas (TCGA) profiling project, the HOVON (E-MTAB-3444) dataset<sup>23</sup> and the GSE106291 dataset (available through Gene Expression Omnibus, GEO).<sup>24</sup> The TCGA series consisted of RNA-sequencing data (Illumina HiSeq 2000) available through cBioPortal for Cancer Genomics at <https://www.cbioportal.org><sup>25,26</sup> and Xena Platform at <http://xena.ucsc.edu>.<sup>27</sup> In the TCGA-AML dataset, only patients treated with curative intent on a "7 + 3" chemotherapy backbone (n = 123) were considered for survival analyses.

The HOVON series (available through Array Express; E-MTAB-3444) consisted of gene array data from AML patients treated according to Dutch-Belgian Hemato-Oncology Cooperative Group

(HOVON) and the Swiss Group for Clinical Cancer Research AML-04, -04A, -29, -32, -42, -42A, -43, and -92 protocols (<http://www.hovon.nl>). In particular, clinical and pathological data were available for 609 patients.<sup>28</sup> HOVON patients aged more than 65 years were excluded from survival analyses. Patients' characteristics are summarized in Tables 1 and 2.

The GSE106291 dataset (available through Gene Expression Omnibus) consists of gene expression data by high-throughput sequencing from patients with AML treated in the Acute Myeloid Leukemia Cooperative Group (AMLCG) 2008 (NCT01382147, n = 210) and AMLCG -1999 trials (NCT00266136, n = 40). Survival data were available in 248/250 patients in the GSE106291 series. The results shown in this paper are in part based upon data retrieved from the TCGA profiling project and the HOVON (E-MTAB-3444) dataset. The data referring to the validation cohort are included in the Gene Expression Omnibus database (accession number GSE146204).

### *IDO1*-related gene normalization and coexpression analysis

The analysis of genes with a coordinated expression pattern in TCGA-AML was performed through the cBioPortal platform.<sup>25,26</sup>

**Table 1. Overview of biological and clinical data referring to patients in the HOVON, TCGA and GSE106291 datasets**

	HOVON	TCGA	GSE106291
<b>Sex</b>			
Female	294	56	129
Male	315	67	119
<b>Fab</b>			
AML with unknown FAB subtype	1	0	NA
M0	26	12	NA
M1	134	38	NA
M2	154	28	NA
M4	111	29	NA
M5	139	12	NA
M6	9	2	NA
M7	0	1	NA
RAEB	5	0	NA
RAEB-t	19	0	NA
Unknown	11	1	NA
<b>BM blasts abundance at diagnosis</b>			
Median blasts (%)	67 (0-98)	74 (30-100)	73 (6-100)
<b>Cytogenetic risk</b>			
Adverse	123	26	NA
Favorable	204	17	NA
Intermediate	280	78	NA
Not evaluable risk	2	2	NA
<b>Treatment</b>			
Allogeneic HSCT	196	64	NA
Autologous HSCT	91	6	NA
Chemotherapy	320	53	NA
Unknown	2	0	NA

NA, not available.

**Table 2. Overview of mutational data referring to patients in the HOVON, TCGA and GSE106291 datasets**

	HOVON	TCGA	GSE106291
<b><i>NPM1</i> status</b>			
Wild-type	422	83	NA
Mutated	183	40	NA
Unknown	4	0	250
<b><i>FLT3</i> status</b>			
Wild-type	441	88	NA
Mutated	165	35	NA
Unknown	3	0	250
<b><i>NRAS</i> status</b>			
Wild-type	509	112	NA
Mutated	60	11	NA
Unknown	40	0	250
<b><i>KRAS</i> status</b>			
Wild-type	483	120	NA
Mutated	5	3	NA
Unknown	121	0	250
<b><i>KIT</i> status</b>			
Wild-type	427	107	NA
Mutated	20	6	NA
Unknown	162	10	250
<b><i>ASXL1</i> status</b>			
Wild-type	573	121	NA
Mutated	31	2	NA
Unknown	5	0	250
<b><i>IDH1</i> status</b>			
Wild-type	528	108	NA
Mutated	42	15	NA
Unknown	39	0	250
<b><i>IDH2</i> status</b>			
Wild-type	510	110	NA
Mutated	60	13	NA
Unknown	39	0	250

The top 5 genes correlated with *IDO1* were then selected and their impact on survival was evaluated using the Kaplan-Meier method.

### Validation cohort

Twenty-four BM samples from patients with nonpromyelocytic AML were collected at diagnosis and were used to validate the correlation between *IDO1* and *PLXNC1* expression. Median age at diagnosis was 55 years; 18 patients were male and 6 were female (patients' clinical data are summarized in Table 3). Patients provided

**Table 3. Overview of clinical data referring to validation cohort patients**

Sample type	Sex	Median age, y	ELN risk class	BM blast abundance (%)	Assay
BM = 24	Female = 6 Male = 18	55	High = 7 Intermediate = 7 Low = 6 NA = 4	70 (20-90)	NanoString

written informed consent. The investigations were conducted in accordance with the Declaration of Helsinki. Approval was obtained from Bologna Hospital Ethics Committee (94/201/O/Tess).

### Cox regression analysis and computation of gene prognostic signatures

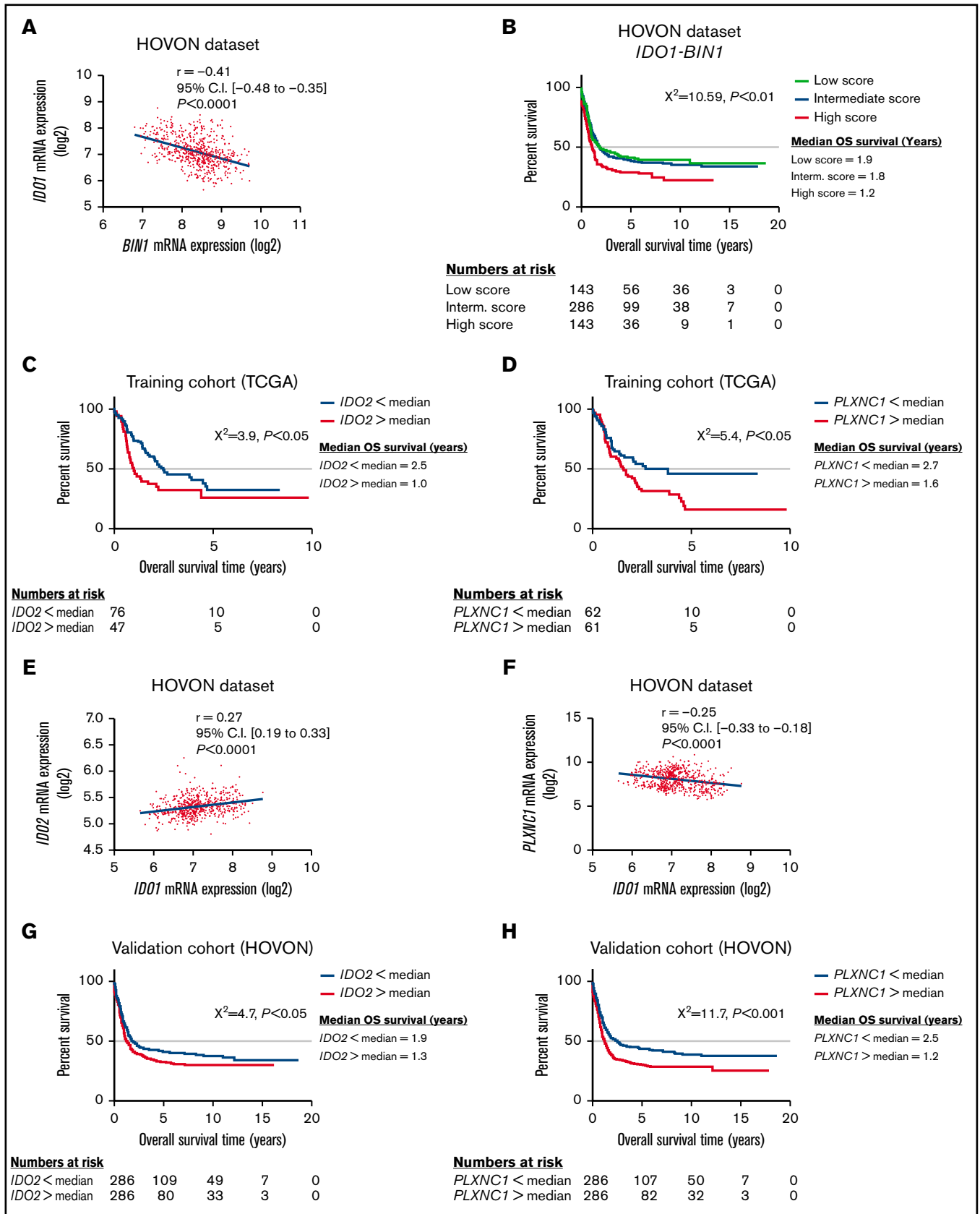
Gene expression was min-max normalized to a value between 0 and 1. The association between gene expression and survival time was evaluated using Cox regression analysis.  $\beta$  coefficients from Cox proportional hazards models were used to assign a prognostic weight to each individual gene in a given signature. According to a previously published formula,<sup>29</sup> we developed a gene signature calculated as the linear combination of mRNA expression weighted by the regression coefficient ( $\beta$ ) derived from multivariate Cox regression analysis with OS as a dependent variable. Regarding the *IDO1-BIN1-PLXNC1* signature, patients were stratified into 3 groups (low-score group, intermediate-score group, and high-score group) using the 25th and 75th percentiles of the score as cutoff. A summary of the key signatures used in this study is reported in supplemental Table 1.

### Probe identification selection

In the HOVON dataset, the following probe identifications were used for the analysis of mRNA expression: 210029\_at (*IDO1*), 206470\_at (*PLXNC1*), 210202\_s\_at (*BIN1*), 212028\_at (*RBM25*), 209341\_s\_at (*IKBK3*), 204420\_at (*FOSL1*), 223903\_at (*TLR9*), 210321\_at (*GZMH*), 205495\_s\_at (*GNLV*), 217502\_at (*IFIT2*), and 204747\_at (*IFIT3*).

### RT-PCR

Total RNA was extracted from mononuclear cells isolated from the BM of our validation cohort (n = 24) using the Qiagen RNeasy kit according to the manufacturer's protocol. Quality control of the isolated RNA was performed using an Agilent bioanalyzer and Nano-Drop 8000 (Thermo Scientific, Waltham, MA). An optical density<sub>260/280</sub> ratio between 1.8 and 2.2 and an RNA integrity number value above 9.0 was considered for further processing. For complementary DNA synthesis, 1  $\mu$ g of denatured total RNA was reverse transcribed using an Improm II kit and random hexamers (both from Promega, Madison, WI) in a 20- $\mu$ L final volume according to the manufacturer's instruction. Quantitative RT-PCR was performed in a 96-well Optical Reaction Plate using the ABI-PRISM 7900 Sequence Detection System (Applied Biosystems, Foster City, CA). Threshold cycle values were determined automatically. Relative quantification was calculated using  $\Delta$ Ct comparative method.<sup>30</sup> Primer probes for *PLXNC1* Hs00194968\_m1, *IDO1* Hs00158027\_m1 and glyceraldehyde 3-phosphate dehydrogenase, and Hs00266705\_g1 were purchased from Applied Biosystems.



**Figure 1. *IDO1-BIN1* signature predicts AML survival and may be refined by adding *IDO1*-interacting genes.** (A) Correlation between *IDO1* and *BIN1* gene expression values in the HOVON cases ( $r = -0.41, P < .0001$ ). (B) Kaplan-Meier estimates of OS in the HOVON cases according to the *IDO1-BIN1* score ( $P < .01$ ).

**Table 4. Gene list resulting from *IDO1*-focused coexpression analyses of RNA-sequencing AML-TCGA data**

Correlated gene	Cytoband	Spearman's correlation	P value	q value
<i>IDO2</i>	8p11,21	0.45	$P < .001$	$P < .001$
<i>CD1C</i>	1q23,1	0.39	$P < .001$	$P < .01$
<i>CD1E</i>	1q23,1	0.38	$P < .001$	$P < .01$
<i>XCR1</i>	3p21,31	0.38	$P < .001$	$P < .01$
<i>PLXNC1</i>	12q22	0.37	$P < .001$	$P < .01$

## NanoString nCounter platform

Gene expression analysis was performed on the nCounter platform (NanoString Technologies Inc., Seattle, WA)<sup>31</sup> using the PanCancer IO 360 Gene Expression Panel.<sup>32</sup> A total of 150 ng of total RNA from 24 primary BM samples was used in each reaction. Hybridization of probes was carried out at 65°C for 20 hours. Posthybridization samples were purified using a NanoString Prep Station and immobilized onto a cartridge. Raw data were acquired using the nCounter FLEX Analysis System with a scanning resolution of 555 field of view using the probe annotation file NS\_IO360\_V1.0. Quality controls, data normalization, and differential expression analysis were performed using the nSolver advanced analysis module (version 2.0.115) according to the manufacturer's instructions. The Benjamini-Yekutieli method was used to generate an adjusted *P* value.

## Pan-cancer analysis

Survival meta-analyses of TCGA cancer datasets were performed using the GEPIA2 web server at <http://gepia2.cancer-pku.cn/>.<sup>33</sup>

## Deconvolution analysis

Deconvolution analysis of AML-TCGA and Genotype-Tissue Expression (GTEx) datasets was performed using the GEPIA2021<sup>34</sup> web server (<http://gepia2021.cancer-pku.cn/>) through the EPIC method<sup>35</sup> to estimate the proportion of immune and stromal cells from bulk gene expression data.

## Statistical methods

Differences between sets of data were considered statistically significant for *P* values < 0.05. Statistical analyses were performed using the IBM SPSS Statistics (version 25), Graph-Pad Prism software packages (version 7), and R (version 4.0.4).

## Results

### A 2-gene *BIN1-IDO1* signature predicts OS in AML

In the attempt to develop an *IDO1*-related immune signature that predicts clinical outcome in AML, we initially focused on *BIN1*, a

**Table 5. Results of Cox regression analysis including *IDO1*, *BIN1*, and *PLXNC1* genes in the HOVON dataset**

Genes	Significance	HR (95% CI)
<i>BIN1</i>	$P < .05$	2.13 (1.17-3.90)
<i>IDO1</i>	$P < .01$	2.81 (1.44-5.47)
<i>PLXNC1</i>	$P < .01$	2.27 (1.35-3.81)

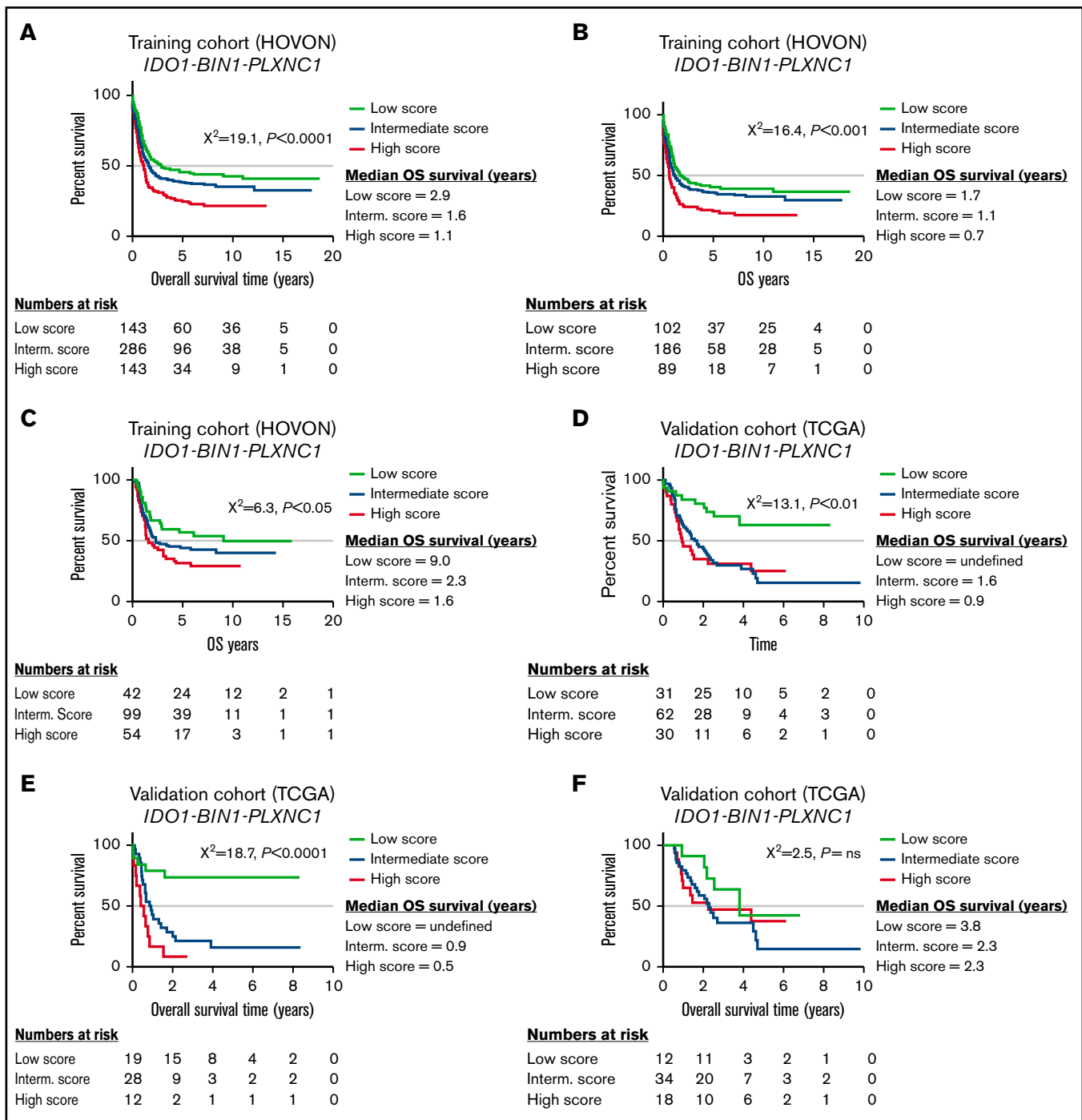
master regulator of *IDO1* in solid tumors,<sup>13</sup> and we found that *IDO1* and *BIN1* mRNA expressions were anticorrelated ( $r = -0.41$ ,  $P < .0001$ ; Figure 1A). Of note, *RMB25*, known to be a key modulator of *BIN1* expression,<sup>14</sup> correlated both with *BIN1* (Pearson  $R = -0.29$ ,  $P < .0001$ ; supplemental Figure 1A) and *IDO1* (Pearson  $R = 0.46$ ,  $P < .0001$ ; supplemental Figure 1B). We next investigated the impact of *IDO1* and *BIN1* expression on AML survival. To minimize any bias from differences in treatment approaches (intensive vs nonintensive, curative vs palliative) and to increase comparability among patient groups, we stratified HOVON cases based on patient age. We analyzed only patients younger than age 65 years, thus reducing the likelihood of including patients who were not treated with curative intent. We plotted the normalized *IDO1* and *BIN1* mRNA expression in a single score using a previously reported formula<sup>29</sup> and split the HOVON cohort into 3 groups according to the score quartile. OS was significantly different among the 3 score groups ( $P < .01$ ; Figure 1B). With a median follow-up of 8.1 years (95% confidence interval [CI], 7.0-9.2), patients in the low- and intermediate-score groups showed significantly longer OS than those in the high-risk score (low-score group: median OS = 1.9 years [95% CI, 0.2-3.5], intermediate-score group: median OS = 1.8 years [95% CI, 1.3-2.3], high-score group: median OS = 1.1 years [95% CI, 0.8-1.4]). In particular, the comparison among high-, intermediate-, and low-score groups showed a hazard ratio (HR) of 1.5 (95% CI, 1.1- 2.0;  $P < .01$ ). These data therefore indicate that an *IDO1*-centered gene signature may predict OS in AML.

### *PLXNC1* correlates with *IDO1* and affects OS both in TCGA- and HOVON-AML cases

To identify other genes in the network that could be incorporated into the prognostic score based on *IDO1-BIN1* signature, we performed a coexpression analysis on TCGA cases<sup>25,26</sup> (Table 4). This approach identified *IDO2*, *CD1C*, *CD1E*, *XCR1*, and *PLXNC1* as the top 5 coexpressed genes. The median expression of each gene was selected as a cutoff to split TCGA-AML patients into 2 groups (high and low) and was then correlated with patient survival. Interestingly, among the top 5 *IDO1*-correlated genes, only *IDO2* and *PLXNC1* showed a significant impact on AML survival in univariate analyses (log-rank  $P < .05$ ; Figures 1C-D). The correlations between *IDO1*, *IDO2*, and *PLXNC1* (*IDO1* vs *IDO2*:  $r = 0.27$ ,

**Figure 1 (continued)** Patients were split into 3 different groups according to score quartiles. (C) Kaplan-Meier estimates of OS according to *IDO2* expression in the TCGA-AML dataset (*IDO2* median expression value used as cutoff,  $P < .05$ ). (D) Kaplan-Meier estimates of OS according to *PLXNC1* expression in the TCGA-AML dataset (*PLXNC1* median expression value used as cutoff,  $P < .05$ ). (E) Correlation between *IDO1* and *IDO2* gene expression values in the HOVON cases ( $r = 0.27$ ,  $P < .0001$ ). (F) Correlation between *IDO1* and *PLXNC1* gene expression values in the HOVON cohort of patients ( $r = -0.25$ ,  $P < .0001$ ). (G) Kaplan-Meier estimates of OS according to *IDO2* expression in the HOVON dataset (*IDO2* median expression value used as cutoff,  $P < .05$ ). (H) Kaplan-Meier estimates of OS according to *PLXNC1* expression in the HOVON dataset (*PLXNC1* median expression value used as cutoff,  $P < .001$ ).

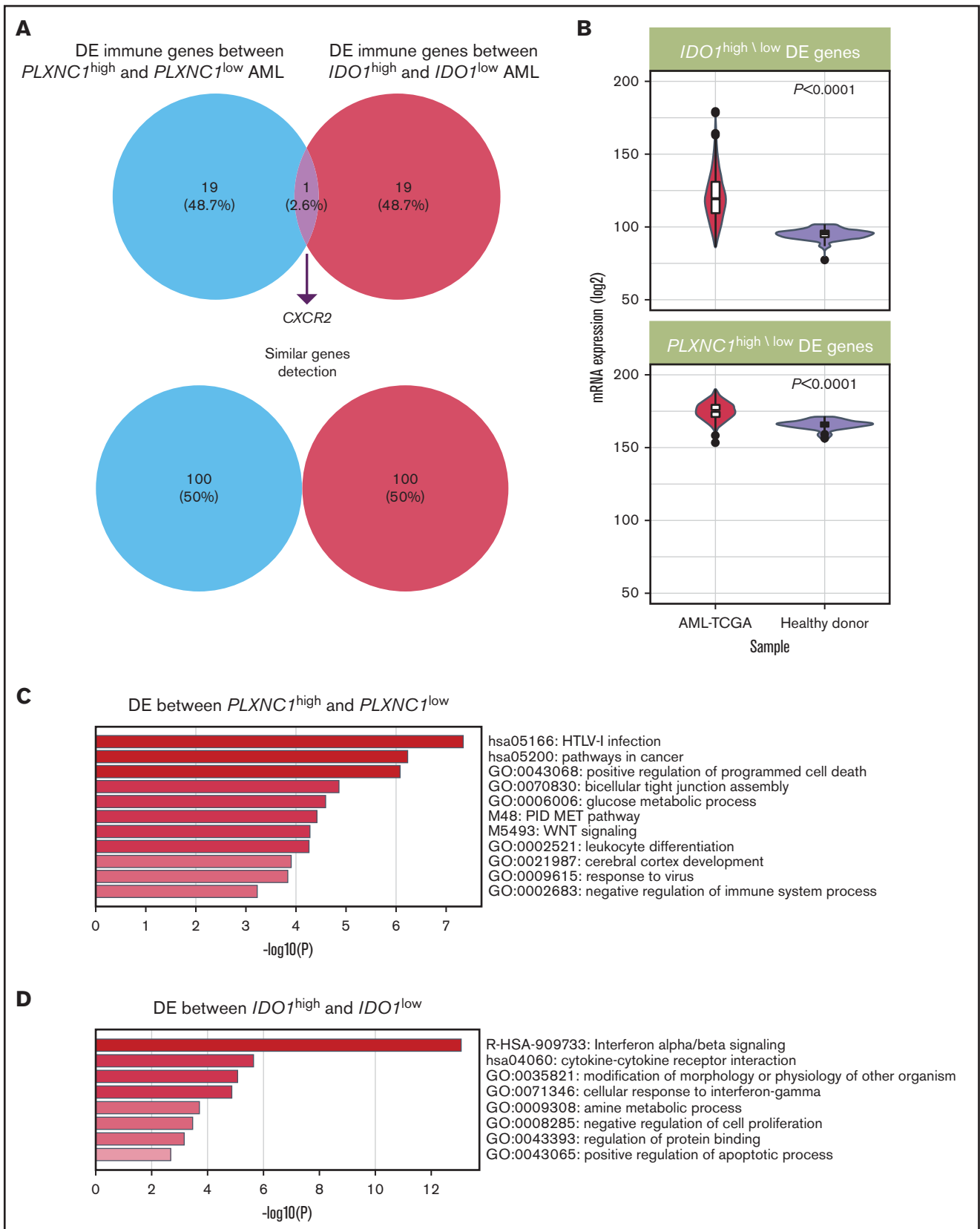




**Figure 2. *IDO1-BIN1-PLXNC1* score predicts AML survival.** (A) *PLXNC1* mRNA expression value was added to *IDO1* and *BIN1* mRNA expression values to generate a new signature. The figure shows Kaplan-Meier estimates of OS according to *IDO1-BIN1-PLXNC1* score quartiles in the HOVON cohort of patients ( $P < .0001$ ). (B) Kaplan-Meier estimates of OS according to *IDO1-BIN1-PLXNC1* score quartiles in patients of the HOVON cohort who received chemotherapy alone ( $P < .001$ ) or (C) received chemotherapy and allogeneic transplantation ( $P < .05$ ). (D) Kaplan-Meier estimates of OS according to *IDO1-BIN1-PLXNC1* score quartiles in the TCGA-AML dataset ( $P < .01$ ). (E) Kaplan-Meier estimates of OS according to *IDO1-BIN1-PLXNC1* score quartiles in patients of the TCGA-AML dataset who received chemotherapy alone ( $P < .0001$ ) or (F) who received chemotherapy and allogeneic transplantation ( $P =$  not significant).

95% CI [0.19-0.33],  $P < .0001$ , Figure 1E; *IDO1* vs *PLXNC1*:  $r = -0.25$ , 95% CI [-0.33 to -0.18],  $P < .0001$ ; Figure 1F) as well as their impact on AML survival were independently validated in the HOVON dataset (*IDO2*: log-rank  $P < .05$ , Figure 1G; and

*PLXNC1*: log-rank  $P < .001$ , Figure 1H). As shown by Cox regression analyses, the *IDO1-PLXNC1* signature was the only predictor of survival (*IDO1*:  $\beta = 0.68$ , HR = 1.99, and  $P < .05$ ; *PLXNC1*:  $\beta = 0.84$ , HR = 2.32, and  $P < .01$ ). Taken together, these data



**Figure 3. *IDO1* and *PLXNC1* are overexpressed and may reflect independent biological processes in AML.** (A) Representation of the top 20 DE genes between *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> samples (P value threshold of 0.01; log<sub>2</sub> fold-change threshold of 1.4). (B) The expression of the top 20 DE genes between *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> samples was higher in TCGA-AML cases compared with blood samples from healthy donors available through the GTEx project. (C) Enrichment analysis showing the top significant pathways associated with DE genes between *PLXNC1*<sup>high/low</sup> samples. (D) Enrichment analysis showing the top significant pathways associated with DE genes between *IDO1*<sup>high/low</sup> samples.

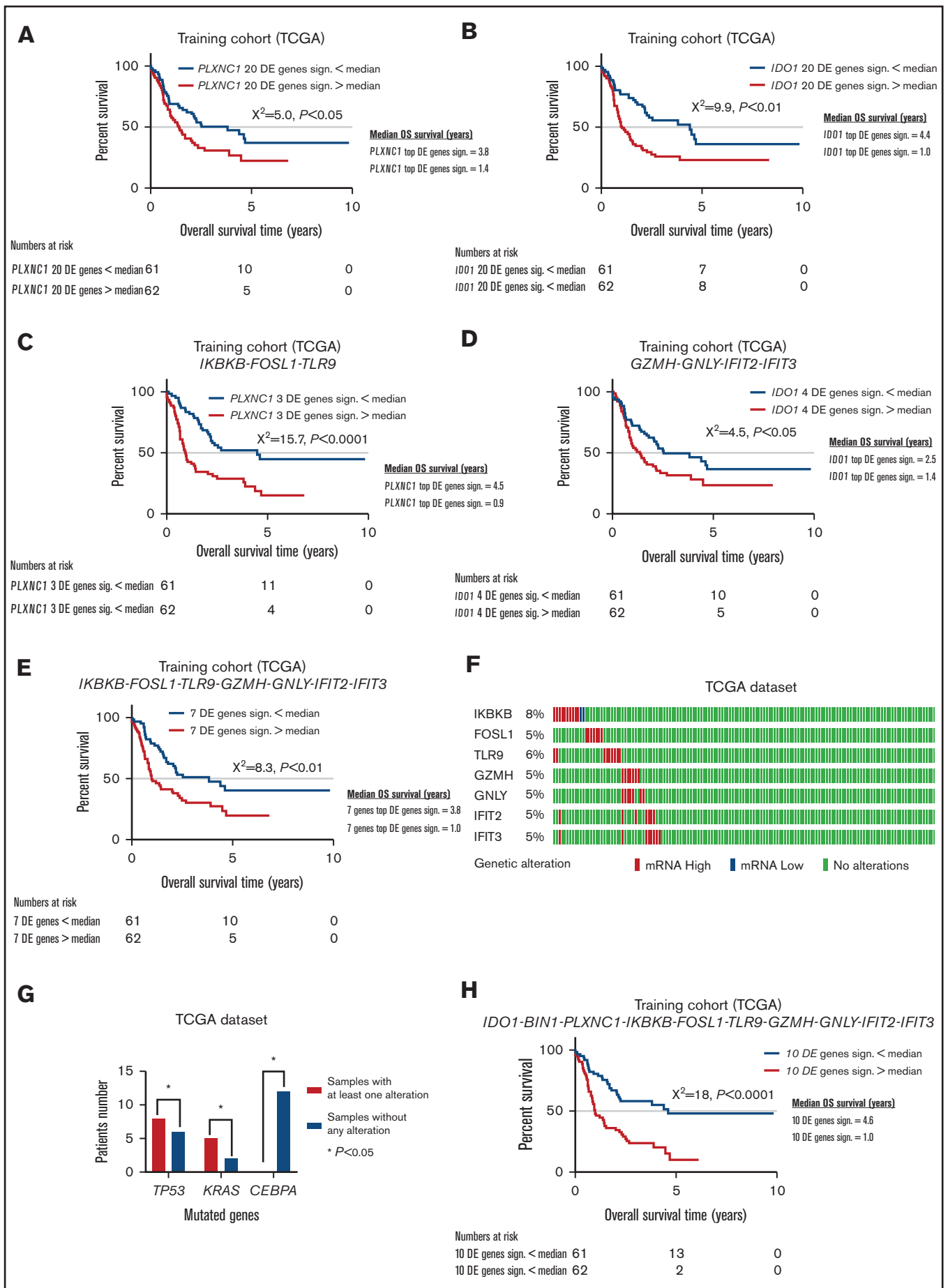


Figure 4.



indicate that *PLXNC1* is a novel *IDO1*-related gene that stratifies survival.

### Incorporation of *PLXNC1* into the *IDO1-BIN1* score improves the predictive power of the gene signature

Given the established interactions between *IDO1*, *PLXNC1*, and *BIN1* and their potential impact on AML survival, we plotted the 3 genes together in a 3-gene signature to develop a new score, which was then tested for its prognostic value. The resulting *IDO1-BIN1-PLXNC1* signature was predictive of OS (*IDO1*:  $\beta = 1.032$ , HR = 2.81,  $P < .01$ ; *BIN1*:  $\beta = 0.758$ , HR = 2.13,  $P < .05$ ; and *PLXNC1*:  $\beta = 0.820$ , HR 2.27,  $P < .01$ ; Table 5), which prompted us to split patients into 3 groups using score quartiles as cutoff.

Kaplan-Meier analysis showed a significantly different OS for the 3 score groups ( $P < .0001$ ). In particular, among the 572 HOVON patients, the highest score predicted the shortest survival. With a median follow-up of 8.1 years (95% CI, 7.0-9.2), low- and intermediate-score groups showed a median OS of 2.9 years (95% CI, 0.0-6.0) and 1.6 years (95% CI, 1.2-2.1), respectively, whereas high score group correlated with a median OS of 1.1 years (95% CI, 0.8-1.5) (Figure 2A). Intermediate- and high-score groups vs low-score group showed HRs of 1.2 (95% CI, 1.0-1.6,  $P = \text{NS}$ ) and 1.8 (95% CI, 1.4-2.4,  $P < .01$ ), respectively. However, the *IDO1-BIN1-PLXNC1* signature did not significantly stratify patients according to European LeukemiaNet (ELN) cytogenetic risk groups (supplemental Figure 2A-D). In *FLT3* wild-type patient score values resulted significantly higher than those of *FLT3*-mutated ones ( $P < .001$ ; supplemental Figure 2E). Among *FLT3* wild-type patients, the score remained statistically significant ( $P < .0001$ ; supplemental Figure 2F). Of note, *IDO1-BIN1-PLXNC1*-based score was capable of predicting OS both in patients treated only with chemotherapy (Figure 2B) and in those who received allogeneic hematopoietic stem transplantation (HSCT) (Figure 2C). To validate these results, the score was implemented in the TCGA dataset by using the same Cox regression coefficients derived from the analysis performed on the HOVON cases. Overall, the predictive ability of the score remained highly significant ( $P < .01$ ; Figure 2D). However, the score affected OS of patients who received chemotherapy only ( $P < .0001$ ; Figure 2E), whereas no statistically significant difference was observed in patients undergoing allogeneic HSCT (Figure 2F). We further

confirmed the 3 different survival groups identified by *IDO1-BIN1-PLXNC1* signature in the GSE106291 dataset ( $P < .05$ ; supplemental Figure 3).

Taken together, these data suggest that *IDO1-BIN1-PLXNC1* gene signature may predict OS in patients with AML, especially when treated with chemotherapy only.

### Targeted immune transcriptomic profiling of *IDO1* and *PLXNC1* high/low patients uncovers nonoverlapping pathways and identifies a parsimonious gene set predicting AML outcome

First, a deconvolution analysis was applied to the AML-TCGA and GTEx datasets through the EPIC method<sup>35</sup> to explore *IDO1* and *PLXNC1* gene subexpression in single cell types. Interestingly, both *IDO1* and *PLXNC1* expression by B cells, T cells, and macrophages resulted to be higher in AML samples when compared with healthy donor BM samples (supplemental Figure 4A-B).

We next profiled unfractionated BM samples from a cohort of 24 patients with newly diagnosed AML using the nCounter platform, which allows the quantitative measurement of mRNA species without RNA amplification. To identify transcriptional patterns associated with changes in *IDO1* and *PLXNC1* expression, the patient cohort was split according to the median value of *IDO1* and *PLXNC1* as detected by RT-PCR. The top 20 differentially expressed (DE) genes between *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> samples (value threshold of 0.01; log<sub>2</sub> fold-change threshold of 1.4; supplemental Tables 2 and 3) showed negligible overlap (Figure 3A), suggesting that *PLXNC1* and *IDO1* expression may reflect nonredundant biological processes in AML. We next showed that the expression of the top 20 DE genes between *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> samples was higher in TCGA-AML cases compared with blood samples from healthy donors available through the GTEx project (Figure 3B). Pathway enrichment analysis using the DE genes between *PLXNC1*<sup>high/low</sup> samples as an input showed that viral infection, apoptosis regulation, glucose metabolism, and *c-met* signaling were among the most significantly enriched pathways in samples with high expression of *PLXNC1* (Figure 3C). In contrast, samples with high *IDO1* expression were significantly enriched in interferon (IFN) signaling, cytokine-cytokine receptor interaction, and IFN- $\gamma$  response pathways (Figure 3D). Notably, the top 20 DE genes between *PLXNC1*<sup>high/low</sup> samples were able to stratify survival in TCGA cases. In particular, patients with higher than median

**Figure 4** New immune signatures emerge from differently expressed genes between *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> samples. (A) Kaplan-Meier estimates of OS according to the signature composed by the top 20 DE genes between *PLXNC1*<sup>high/low</sup> samples in the TCGA-AML cases (median used as cutoff,  $P < .05$ ). (B) Kaplan-Meier estimates of OS according to the signature composed by the top 20 DE genes between *IDO1*<sup>high/low</sup> samples in the TCGA-AML cases (median used as cutoff,  $P < .01$ ). (C) Kaplan-Meier estimates of OS according to the signature composed by the top 3 DE genes (*IKBKB*, *FOSL1*, and *TLR9*) between *PLXNC1*<sup>high/low</sup> samples in the TCGA-AML cases (median used as cutoff,  $P < .0001$ ). (D) Kaplan-Meier estimates of OS according to the signature composed by the top 4 DE genes (*GZMH*, *GNLY*, *IFIT2*, and *IFIT3*) between *IDO1*<sup>high/low</sup> samples in TCGA-AML cases (median used as cutoff,  $P < .05$ ). (E) Kaplan-Meier estimates of OS according to the signature composed by the top 3 DE genes from the *PLXNC1*<sup>high/low</sup> signature (*IKBKB*, *FOSL1*, and *TLR9*) and the top 4 DE genes from *IDO1*<sup>high/low</sup> signature (*GZMH*, *GNLY*, *IFIT2*, and *IFIT3*) in the TCGA-AML dataset (median used as cutoff,  $P < .01$ ). (F) Representation of genetic alterations of the 7 DE genes deriving from the *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> signatures (*IKBKB*, *FOSL1*, *TLR9*, *GZMH*, *GNLY*, *IFIT2*, and *IFIT3*) in the TCGA-AML dataset. (G) Comparison of frequency of mutations between samples with abnormalities (mRNA high/low) vs without abnormalities of the 7 DE genes derived from the *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> signatures (*IKBKB*, *FOSL1*, *TLR9*, *GZMH*, *GNLY*, *IFIT2*, and *IFIT3*). (H) Kaplan-Meier estimates of OS according to the signature composed by the integration of the 7 DE genes derived from the *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> signatures (*IKBKB*, *FOSL1*, *TLR9*, *GZMH*, *GNLY*, *IFIT2*, and *IFIT3*) with the *IDO1*, *BIN1*, and *PLXNC1* genes in the TCGA-AML dataset (median used as cutoff,  $P < .0001$ ).

gene expression showed significantly worse survival estimates than patients with lower than median gene expression (HR = 1.6; log-rank  $P = .026$ ; Figure 4A). In addition, the DE genes between  $IDO1^{high/low}$  samples were able to assist outcome prediction in TCGA-AML (HR = 2.0; log-rank  $P = .002$ ; Figure 4B).

In the attempt to refine our 20-gene signatures, we focused on genes that were individually associated with significant differences in OS in TCGA cases. Interestingly, *IKBKB*, *FOSL1*, and *TLR9* in the  $PLXNC1^{high/low}$  signature and *GZMH*, *GNLY*, *IFIT2*, and *IFIT3* in the  $IDO1^{high/low}$  signature stratified patient survival (HR = 2.4 and log-rank  $P < .0001$ ; HR = 1.6 and log-rank  $P = .03$ , respectively; Figures 4C-D). The ability to predict outcomes was improved by combining the 7 DE genes in the  $PLXNC1^{high/low}$  and  $IDO1^{high/low}$  signatures (HR = 1.9 and log-rank  $P = .004$ ; Figure 4E). As the next step, we asked whether deregulated expression of the 7 DE genes correlated with specific molecular features in TCGA cases. As shown in Figure 4F, no mutations of the 7 DE genes were documented in TCGA patients. In contrast, abnormalities in the 7 genes used in the query (by default, nonsynonymous mutations, fusions, amplifications, and deep deletions) were detected in 28% of TCGA cases (Figure 4G) and were significantly enriched in patients with adverse-risk molecular features, including *TP53* and *KRAS* mutations<sup>36</sup> ( $P = .016$  and  $P = .019$ , respectively). Further analyses of mutual exclusivity and cooccurrence patterns indicated that *CEBPA* mutations, which correlate with more favorable prognosis,<sup>36</sup> were negatively associated with abnormalities in the 7 DE genes ( $P = .016$ ). Moreover, we added the *IDO1*, *BIN1*, and *PLXNC1* genes to the previously discovered 7 DE genes. The combined 10-gene signature was highly predictive of AML outcome (HR = 2.6 and log-rank  $P < .0001$ ; Figure 4H). The 7- and 10-gene signatures also predicted survival in the HOVON dataset (respectively,  $P < .05$ , supplemental Figure 5A; and  $P < .0001$ , supplemental Figure 5B). When patients were stratified by cytogenetic risk, no statistically significant differences in survival emerged according to the 10-gene signature (supplemental Figure 5C-E). Furthermore, regarding *FLT3* mutational status, the 10-gene signature enabled survival prediction only in *FLT3* wild-type patients ( $P < .001$ ; supplemental Figure 5F).

Finally, we performed a pan-cancer analysis of TCGA solid tumor types. The expression of genes in the  $PLXNC1$ -derived and  $IDO1$ -derived signatures in matched tumor samples and adjacent normal tissues is shown in supplemental Figures 6 and 7. This analysis indicated that individual genes in the  $PLXNC1$ -derived signature may retain prognostic relevance also in selected solid tumor cell types, including low-grade glioma, and hepatocellular, lung, and adrenocortical carcinoma (supplemental Figure 8A). In contrast, the prognostic power of genes in the  $IDO1$ -derived signature was restricted to low-grade glioma, thymoma, and uveal melanoma (supplemental Figure 8B). Taken together, these data indicate that *IDO1* and *PLXNC1* are implicated in nonoverlapping biological mechanisms and may refine the accuracy of survival prediction in AML.

## Discussion

Our data indicate that *IDO1* is pivotal for the construction of an immune gene signature predictive of survival in AML patients. Based on its well-known immunologic properties, *IDO1* was used as a key input gene to further explore the immunogenomic AML landscape and to identify genes that could be incorporated into a novel

prognostic signature for newly diagnosed AML. We identified a previously unexplored correlation between *IDO1* and *BIN1* in AML and we demonstrated that this 2-gene score predicts OS. Indeed, inclusion of the *IDO1*-interacting gene *PLXNC1* improved the predictive ability of the *IDO1*-*BIN1* signature, especially in patients who received chemotherapy. This observation prompted us to explore the *IDO1*-related gene network in depth, leading to the identification of highly predictive 7- and 10-gene immunological signatures.

In solid tumors, *BIN1* negatively regulates *IDO1* expression at the level of IFN- $\gamma$ -related transcription program via STAT1- and NF- $\kappa$ B.<sup>13</sup> Here, we report for the first time that a similar negative correlation between *IDO1* and *BIN1* mRNA expression exists in AML. Moreover, we showed that a low expression of the key *BIN1*-regulator *RBM25*<sup>14</sup> correlates with high *BIN1* and low *IDO1* levels in AML, suggesting a common molecular pathway in *IDO1* gene regulation, which may be shared across tumors of different histological types and cell of origin. In line with a previous report,<sup>13</sup> our data highlight that *IDO1* expression is mainly associated to IFN-related pathways. Of note, our study identified a novel set of *IDO1*-interacting genes, among which *PLXNC1* emerged as a crucial and master one. In myeloid precursors, *PLXNC1* expression is restrained by the RUNX1 transcription factor and dependent on KIT signaling.<sup>37</sup> Although its function is still elusive, Plexin C1 as receptor for semaphorin 7A<sup>38</sup> dampens the acute inflammatory response through the regulation of dendritic cell (DC) activity and migration.<sup>38,39</sup> Our data expand to the AML setting the characterization of *PLXNC1*. In particular, *PLXNC1* was co-regulated with genes involved in T-cell differentiation, lymphocyte proliferation, and activation, consistently with the previously mentioned preferential activity of Plexin C1 in the activation of T-cell immune response via DCs. Overall, this analysis revealed an enrichment in pathways correlated with immune response, thus supporting our hypothesis that an *IDO1*-centered gene signature may be a useful tool to prognostically dissect AML immunological landscape.

By first moving from the negative correlation between *IDO1* and *BIN1*, we demonstrated that these 2 genes constitute a molecular signature, which may predict OS. The addition of *PLXNC1* to *IDO1* and *BIN1* resulted in a more powerful gene immune signature predicting survival, which was further implemented leading to the identification of a highly predictive 7- and 10-gene immunological signature. The robustness of the proposed immune signatures is confirmed by the fact that they retain their predictive value when applied to independent AML datasets. In both HOVON and TCGA-AML, the ability of the *IDO1*-*BIN1*-*PLXNC1* gene signature to stratify survival was highly significant especially when we analyzed patients who received chemotherapy. Although this finding warrants further investigation, it may suggest that, along with established leukemic cell-intrinsic chromosomal translocations and genetic mutations, cell-autonomous and immune-related factors, such as those deriving from the immune TME, may contribute to regulate response to conventional chemotherapy. Indeed, an increasing body of evidence has highlighted the immunomodulatory effects of some anti-neoplastic agents, especially anthracyclines, which may act as adjuvants of the immune system along with inducing antiproliferative effects on tumor cells.<sup>40</sup> In AML, we reported that chemotherapy is capable to reshape leukemic microenvironment by activating immune effector T cells and, concomitantly, inducing *IDO1*-expressing tolerogenic DCs and T regulatory cells.<sup>41</sup> These data

support the notion that the immune composition of BM microenvironment may influence the response to chemotherapy.

Of note, a close association between the immunological TME and cancer-intrinsic genomic alterations has been recently highlighted<sup>42</sup> and correlated to the response to chemotherapy. In AML, a specific TME-related immunogenomic profile correlates with increased chemoresistance and with response to immunotherapy,<sup>43</sup> and a correlation between *TP53* mutations and an immunosuppressive TME has been recently established.<sup>44,45</sup> Of note, our targeted immune transcriptomic profiling revealed that abnormalities in immune-related genes in the *PLXNC1*<sup>high/low</sup> and *IDO1*<sup>high/low</sup> signatures were more frequently documented in patients with adverse-risk molecular features, including *TP53* and *KRAS* mutations, whereas they were negatively correlated with *CEBPA* mutations, known to confer favorable prognosis and better response to chemotherapy. Consistently, higher levels of *PLXNC1* were observed in AML patients with cytogenetic abnormalities, whereas lower mRNA levels were reported in patients with *CEBPA* mutations and with *inv(16)* or *t(8;21)*.<sup>46,47</sup>

Regarding patients who received chemotherapy and allogeneic transplantation, the predictive ability of the *IDO1-BIN1-PLXNC1* gene signature is retained in the HOVON dataset, whereas it is not confirmed in the TCGA dataset. Although a formal demonstration was not the main focus of our work, we have argued that the differences in the predicting value of our score among patients who underwent allogeneic transplantation and those who received only chemotherapy may rely on the impact that allogeneic transplantation could exert on tumor immunologic microenvironment.

In conclusion, our data shed light into the biological significance of immune-related gene networks in AML prognostication. In this scenario, *IDO1* emerged as pivotal and paramount for the construction of powerful predictive immune gene signatures. In an era of emerging novel approaches targeting the immune system, our results highlight the need to integrate immunogenomic biomarkers into current AML prognostic classification system.

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## Authorship

Contribution: S. Ragaini, S.W., S. Rutella, and A.C. designed the project and supervised the study; S. Ragaini, S.W., J.V., and S. Rutella processed primary patient samples, performed *in silico* and bioinformatic analyses, and interpreted gene expression data; S. Parisi, G.M., C.S., J.N., G. Cristiano, A.T., M.O., D.O., M. Ciciarello, G. Corradi, E.O., C.P., S. Paolini, and J.V. contributed to data collection and reviewed the manuscript; S. Ragaini, S. Rutella, and A.C. wrote the paper; M. Cavo supervised the study and reviewed the manuscript; and all authors provided final approval of the manuscript.

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