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Impact of COVID-19 on the association between pulse oximetry and arterial oxygenation in patients with acute respiratory distress syndrome

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Managing patients with acute respiratory distress syndrome (ARDS) requires frequent changes in mechanical ventilator respiratory settings to optimize arterial oxygenation assessed by arterial oxygen partial pressure (PaO₂) and saturation (SaO₂). Pulse oximetry (SpO₂) has been suggested as a non-invasive surrogate for arterial oxygenation however its accuracy in COVID-19 patients is unknown. In this study, we aimed to investigate the influence of COVID-19 status on the association between SpO₂ and arterial oxygenation. We prospectively included patients with ARDS and compared COVID-19 to non-COVID-19 patients, regarding SpO₂ and concomitant arterial oxygenation (SaO₂ and PaO₂) measurements, and their association. Bias was defined as mean difference between SpO₂ and SaO₂ measurements. Occult hypoxemia was defined as a SpO₂ ≥ 92% while concomitant SaO₂ < 88%. Multiple linear regression models were built to account for confounders. We also assessed concordance between positive end-expiratory pressure (PEEP) trial-induced changes in SpO₂ and in arterial oxygenation. We included 55 patients, among them 26 (47%) with COVID-19. Overall, SpO₂ and SaO₂ measurements were correlated ($r = 0.70$; $p < 0.0001$), however less so in COVID-19 than in non-COVID-19 patients ($r = 0.55$, $p < 0.0001$ vs. $r = 0.84$, $p < 0.0001$, $p = 0.002$ for intergroup comparison). Bias was + 1.1%, greater in COVID-19 than in non-COVID-19 patients (2.0 vs. 0.3%; $p = 0.02$). In multivariate analysis, bias was associated with COVID-19 status (unstandardized $\beta = 1.77$, 95%CI = 0.38–3.15, $p = 0.01$), ethnic group and ARDS severity. Occult hypoxemia occurred in 5.5% of measurements (7.7% in COVID-19 patients vs. 3.4% in non-COVID-19 patients, $p = 0.42$). Concordance rate between PEEP trial-induced changes in SpO₂ and SaO₂ was 84%, however less so in COVID-19 than in non-COVID-19 patients (69% vs. 97%, respectively). Similar results were observed for PaO₂ regarding correlations, bias, and concordance with SpO₂ changes. In patients with ARDS, SpO₂ was associated with arterial oxygenation, but COVID-19 status significantly altered this association.

From December 2019, a worldwide pandemic with an unknown coronavirus SARS-CoV-2 is responsible for Coronavirus disease (COVID-19)¹. Up to two-thirds of hospitalized COVID-19 patients develop severe pneumonia leading to an acute respiratory distress syndrome (ARDS)^{2,3}. ARDS is characterized by an impairment in arterial oxygenation, leading to profound hypoxemia. Hence, the ratio between arterial oxygen partial pressure (PaO₂) and inspired fraction in oxygen (FiO₂), delivered by the mechanical ventilator, is a crucial in ARDS management. Yet, PaO₂ requires arterial blood gas analyses and cannot be continuously monitored. It may be approximated

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by arterial oxygen saturation (SaO_2), which in turn, may be estimated by pulse oximetry (SpO_2), a continuous non-invasive measurement⁴. The latter showed benefit in decreasing complications related to intraoperative hypoxemia incidents⁵, rate of unplanned intensive care unit (ICU) admissions of surgical patients⁶ and number arterial blood gas analysis^{7–10}.

Managing patients with ARDS requires frequent changes in mechanical ventilator respiratory settings. While arterial oxygenation is paramount towards guiding the fine-tuning of mechanical ventilators in ARDS, SpO_2 has been suggested as surrogate for arterial oxygenation^{11–13}. Known caveats of SpO_2 mostly lie in its accuracy in ICU due to confounding factors: hypoxemia^{14–18}, vasoconstriction with microcirculatory disorders¹⁹, vasopressor treatments^{15,20} and racial bias²¹.

Since some COVID-19 patients are black²² 22/11/2021 13:53:00, severely hypoxemic²³ and may also experience microcirculatory disorders²⁴, the main goal of the following study was to investigate the impact of COVID-19 status on the association between SpO_2 and arterial oxygenation (SaO_2 and PaO_2) in patients with ARDS. Furthermore, we assessed whether SpO_2 changes could track changes in arterial oxygenation (SaO_2 and PaO_2) during positive end-expiratory pressure (PEEP) trial.

Methods

This prospective and observational study was conducted in a 24-bed ICU of a French university hospital between March 2019 and July 2020 for non COVID-19 patients and in the first pandemic wave (March–April 2020) for COVID-19 patients, in accordance with relevant guidelines and regulations.

Patients and data collection. We included all consecutive patients admitted in our ICU department for acute respiratory failure and presented ARDS criteria, according to Berlin definition²⁶ at the initial phase of ventilatory management (within one hour after intubation). Exclusion criteria were those related to known causes of low-reliability of SpO_2 measurements: (i) low-quality SpO_2 signal assessed on the SpO_2 curve aspect and (ii), patients with nail varnish, methaemoglobinemia or carbon monoxide poisoning⁴ and (iii), patients with marked movements leading to SpO_2 signal artefacts²⁷.

ARDS severity was categorized as mild for $\text{PaO}_2/\text{FiO}_2$ ratio between 200 and 300 mmHg, moderate between 100 and 200 mmHg, and severe, under 100 mmHg²⁶.

Data were prospectively collected using a clinical software allowing data management and extraction, Centricity Critical Care (General Electric Healthcare, Massachusetts, United States of America).

SpO_2 and SaO_2 measurements. SpO_2 was continuously measured using a finger or ear probe of a last generation pulse oximeter (Masimoset®, Masimo Corporation, Irvine, CA, USA). The location of SpO_2 probe was left at the discretion of nurses to obtain the best possible SpO_2 signal. The pulse oximeter was connected to patients' monitor (GE Healthcare, Chicago, IL, USA) and SpO_2 signal maximized on the monitor screen. SpO_2 measurement was recorded at the time the arterial blood gas was performed by the patient's nurse.

A blood sample was obtained from radial or femoral arterial catheter, not necessarily located on the same side as SpO_2 probe. SaO_2 was measured with the ICU blood gas analyser (ABL800, Radiometer®, Copenhagen, Denmark) and thus obtained within five minutes of blood sampling.

Occult hypoxemia was defined as $\text{SaO}_2 < 88\%$, while concomitant SpO_2 measure was $\geq 92\%$ ²¹.

Ventilatory settings and respiratory measurements. All patients were placed in 45-degree semi-recumbent position and mechanically ventilated (CARESCAPE R860, GE Healthcare, Chicago, IL, USA) in pressure regulated volume control mode. Tidal volume was set at 6 mL/kg of predicted body weight. Respiratory rate and inspiratory/expiratory times ratio were adjusted to prevent hypercapnia (pH goal: 7.30–7.45) and to avoid dynamic hyperinflation, without exceeding a respiratory rate of 35 breaths/min^{12,28}. The fraction of inspired oxygen (FiO_2) was adjusted to obtain a $\text{SpO}_2 \geq 90\%$ ²⁹. An airway humidification system was used in all patients.

The plateau pressure was calculated during a 5-s inspiratory hold and total PEEP during a 5-s expiratory hold. The driving pressure was calculated as the difference between plateau pressure and total PEEP. The static respiratory system compliance was calculated as tidal volume/(plateau pressure–total PEEP).

PEEP trial description. Since only patients with moderate to severe ARDS with a high potential for lung recruitment benefit from high PEEP strategies and since the amount of potentially recruitable lung vary widely in the population³⁰, we systematically performed a PEEP trial in all patients with ARDS to individualize at best the initial PEEP level²⁹. According to local protocols, PEEP level was initially set at 5 cmH₂O³¹ and a first set of measurements, including SpO_2 , SaO_2 and PaO_2 was performed. Then, PEEP was increased to 15 cmH₂O and a second set of measurements was performed. All measurements were recorded after a 10-min period of stabilization³² and two couples of simultaneous SpO_2 and SaO_2 measurements were obtained per patient. Other ventilatory settings were unchanged during the study period.

COVID-19 diagnosis. All patients were confirmed with SARS-Cov-2 using routine RT-PCR methodology, with two sets: either Allplex® 2019-nCoV assay (Seegene, Seoul, South Korea) with Microlab NIMBUS® extractor (Hamilton Bonaduz AG, Rapperswil-Jona, Switzerland) and CFX96® thermocycler (Bio-Rad laboratories, Hercules, California, United States of America); or RealStar® SARS-CoV-2 RT-PCR Kit 1.0 assay (Altona Diagnostics, Hamburg, Germany) with QIASymphony SP® extractor (QIAGEN, Hilden, Germany) and QuantStudio® thermocycler (Thermo Fisher Scientific, Waltham, Massachusetts, United States of America). RT-PCR was performed on nasopharyngeal swabs or on distal bronchial samples.

Statistical analysis. Normality distribution of continuous variables was tested using the Agostino–Pearson test. Continuous variables were expressed as mean (standard deviation) or median [interquartile] and categorical variables as counts (percentages). Continuous variables were compared using Wilcoxon or paired Student *t*-tests and Mann–Whitney U-test or Student *t*-tests. Categorical variables were compared using Chi-2 or Fisher-exact tests.

We used four different methods to assess the association between SaO₂ and SpO₂: correlations, agreement, multivariable regression models and concordance. Specifically, correlations were performed using Pearson or Spearman's correlation coefficients, according to data distribution. Agreement between SpO₂ and SaO₂ measurements was assessed with Bland–Altman analysis³³ and intraclass correlation coefficients (ICC), with ICC value > 0.7 and > 0.9 indicating satisfactory and excellent agreement respectively³⁴. Accuracy of SpO₂ measurement was estimated by the bias, calculated as the mean difference between SpO₂ and SaO₂ measurements. Precision of SpO₂ measurement was estimated by the standard deviation of the bias and the 95% limits of agreement³³. The concordance between relative changes in SpO₂ and SaO₂ was assessed (i) with a four-quadrant plot analysis³⁵, (ii) the clinical concordance method³⁶ and (iii) the inter-rater agreement kappa coefficient (κ), with κ -value < 0.20 and > 0.80 indicating poor and good strength of agreement respectively³⁷.

Multiple linear regressions were performed to identify variables associated with the dependent variable SpO₂. Separate models were built depending on the selected variable (SaO₂ or PaO₂) in regard to SpO₂. Moreover, multivariable models accounted for confounding variables (in regard to SpO₂), and their interactions: SaO₂ or PaO₂, COVID-19 status, ethnic group, PEEP level, FiO₂ setting, temperature, SpO₂ probe and arterial catheter side (ipsilateral or contralateral), ARDS severity (as defined above, with mild category used as reference) and norepinephrine administration (defined as a categorical binary variable)^{14–21}.

Assuming a correlation coefficient of 0.69 between SpO₂ and SaO₂³⁸ and an ICC > 0.9 with a 95% confidence interval (CI) (0.84–0.96), we planned to include at least 50 patients with at least 25 COVID-19 patients. A *p* value < 0.05 was considered statistically significant. Statistical analyses were performed using MedCalc 11.6.0 software (MedCalc®, Mariakerke, Belgium), and SPSS version 25.0 (IBM®, Armonk, USA).

Ethics approval and consent to participate. This study was approved by the Comité de Protection des Personnes Ile-de-France X (IDCRB2018-A00050-55, protocol 59–2018) and by the Ethics Committee of the Société de Réanimation de Langue Française (CE SRLF 20–72)²⁵, which waived the need for informed consent, following national regulation on standard-of-care data collection. Indeed, this study was performed on data collected in the course of a prospective data collection, without any additional blood sample, as compared to standard of care. As such, only refusal to participate was systematically sought.

Results

Patient characteristics. Among 55 included patients: 33(60%) were men, 17(31%) were black and no patient had sickle-cell anaemia history. The ICU mortality rate was 38%. All patients had pulmonary ARDS and 26(47%) had an ARDS related to COVID-19. COVID-19 patients were more frequently black, tended to have more diabetes mellitus and had a lower SAPS-3 than non-COVID-19 patients (Table 1). The other characteristics of patients are shown in Tables 1 and 2.

A SpO₂ finger probe was used in all patients but three and a radial arterial catheter was used in 39(71%) patients. SpO₂ probe and arterial catheter were located on the same side in 30(55%) patients (Table 1). SpO₂ values ranged from 83 to 100% and SaO₂ values from 80 to 100%. Carboxyhaemoglobin and methaemoglobin rates were < 2% in all patients.

Association between SpO₂, SaO₂ and PaO₂. In the whole population, SpO₂ and SaO₂ measurements were significantly correlated ($r=0.70$, $p<0.0001$). Correlation between SpO₂ and SaO₂ was lower in COVID-19 than in non-COVID-19 patients ($r=0.55$, $p<0.0001$ vs. $r=0.84$, $p<0.0001$, $p=0.002$ for intergroup comparison) (Fig. 1A). After adjusting for confounding covariables, variables independently associated with SpO₂ were: SaO₂ (unstandardized $\beta=0.46$, 95%CI=0.35–0.57, $p<0.0001$), COVID-19 status (unstandardized $\beta=1.96$, 95%CI=1.03–2.88, $p<0.0001$) and PEEP level (unstandardized $\beta=0.11$, 95%CI=0.01–0.21, $p=0.03$).

For sensitivity, correlation between SpO₂ and PaO₂ was also assessed and found significant ($r=0.62$, $p<0.0001$). Similarly, correlation between SpO₂ and PaO₂ was lower in COVID-19 than in non-COVID-19 patients ($r=0.47$, $p=0.001$ vs. $r=0.75$, $p<0.0001$, $p=0.002$ for intergroup comparison) (Fig. 1B). After adjusting for confounding covariables, variables independently associated with SpO₂ were: PaO₂ (unstandardized $\beta=0.03$, 95%CI=0.02–0.05, $p<0.0001$), COVID-19 status (unstandardized $\beta=2.04$, 95%CI=0.99–3.08, $p<0.0001$) and PEEP level (unstandardized $\beta=0.17$, 95%CI=0.06–0.28, $p=0.002$).

Agreement between SpO₂ and SaO₂. In the whole population, the bias between SpO₂ and SaO₂ measurements was 1.1%, the precision was 3.4% and the limits of agreement ranged from -5.6 to +7.9% (Fig. 2A). Bias and SaO₂ measurements were significantly correlated ($r=-0.56$, $p<0.0001$). The bias was higher (2.0 vs. 0.3%; $p=0.02$) and the precision lower (4.1 vs. 2.5%) in COVID-19 than in non-COVID-19 patients (Fig. 2B). ICC=0.79 (95%CI=0.69–0.86), 0.62 (95%CI=0.34–0.78) and 0.90 (95%CI=0.84–0.94) in the whole population, in COVID-19 and in non-COVID-19 patients respectively. After adjusting for confounding covariables, COVID-19 status (unstandardized $\beta=1.77$, 95%CI=0.38–3.15, $p=0.01$), ethnic group (unstandardized $\beta=-1.58$, 95%CI=-2.99 to -0.18, $p=0.03$) and ARDS severity (unstandardized $\beta=1.09$, 95%CI=0.21–1.97, $p=0.02$) were independently associated with the bias. Interaction analyses did not yield significant association between COVID-19 status, ethnic group and bias.

Variables	All patients (n = 55)	COVID-19 patients (n = 26)	Non COVID-19 patients (n = 29)
Age (years)	60 (17)	59 (15)	60 (18)
Male (n, %)	33 (60)	17 (65)	16 (55)
Body mass index (kg/m ²)	28 (5)	29 (5)	26 (5)
Black patients (n, %)	17 (31)	12 (46)	5 (17)*
Hypertension (n,%)	23 (42)	11 (42)	12 (41)
Diabetes mellitus (n,%)	14 (25)	10 (38)	4 (14)
Smokers (n,%)	18 (33)	7 (27)	11 (38)
SAPS-3	56 (15)	52 (11)	60 (17)*
ICU mortality (n, %)	21 (38)	7 (27)	14 (48)
Patients in sinus rhythm (n, %)	54 (98)	26 (100)	28 (97)
Patients receiving norepinephrine (n, %)	40 (73)	20 (77)	20 (69)
Neuromuscular blocking agents (n, %)	30 (55)	12 (46)	18 (62)
SpO₂ probe location			
Finger (n,%)	52 (95)	26 (100)	26 (90)
Ear (n,%)	3 (5)	0 (0)	3 (10)
Arterial catheter location			
Radial (n,%)	39 (71)	24 (92)	15 (52)*
Femoral (n,%)	16 (29)	2 (8)	14 (48)*

Table 1. Patient characteristics. Variables are expressed as mean (standard deviation) or counts (percentages). ICU Intensive Care Unit, SAPS Simplified Acute Physiology Score, SpO₂ pulse oximetry. * $p < 0.05$ non-COVID-19 versus COVID-19 patients.

Occult hypoxemia, occurred in 6 (5.5%) pairs of measurements. There was no significant difference between COVID-19 and non-COVID-19 patients (7.7% vs. 3.4%, respectively, $p = 0.42$).

Effects of PEEP trial on SpO₂, SaO₂ and PaO₂. In the whole population, PEEP increase from 5 to 15 cmH₂O significantly increased SpO₂, SaO₂ and PaO₂ by $3 \pm 4\%$, $4 \pm 5\%$ and $43 \pm 57\%$ respectively, (Table S1). SpO₂ changes were significantly correlated to that of SaO₂ ($r = 0.65$, $p < 0.0001$) and PaO₂ ($r = 0.47$, $p < 0.001$). The concordance rate between PEEP trial-induced changes in SpO₂ and SaO₂ was 84% (Fig. 3A) and the κ -coefficient was 0.60. The concordance rate between PEEP trial-induced changes in SpO₂ and PaO₂ was 85% and the κ -coefficient was 0.55 (Figure S1).

Concordance rate between PEEP trial-induced changes in SpO₂ and SaO₂ was lower in COVID-19 than in non-COVID-19 patients (69 vs. 97%), as was the κ -coefficient (0.35 vs. 1.00) (Fig. 3B). Similar results were found for PaO₂ (73 vs. 97% for concordance rate and 0.28 vs. 1.00 for the κ -coefficient) (Figure S1).

Discussion

A reliable SpO₂ measurement would be interesting in patients with ARDS, given the medico-economic impact of the decrease in arterial blood gas analysis overuse¹⁰. In this cohort of patients with ARDS monitored with last generation pulse oximeter, we found that (i) SpO₂ was overall well-correlated with arterial oxygenation (SaO₂ and PaO₂) and that SpO₂ slightly overestimated SaO₂, (ii) COVID-19 status significantly impacted the association between SpO₂ and arterial oxygenation (SaO₂ and PaO₂) and (iii), changes in SpO₂ could not reliably track changes in arterial oxygenation (SaO₂ and PaO₂) during PEEP trial in COVID-19 patients.

The accuracy of SpO₂ measurement in ICU is still debated because of several confounding factors^{14–20,39,40} with an unconstant and unpredictable bias between SpO₂ and SaO₂ measurements^{14,15,18–20,39,41}. Overall, we found that SpO₂ and arterial oxygenation (SaO₂ and PaO₂) were well-correlated and that SpO₂ only slightly overestimated SaO₂ but with wide limits of agreement, suggesting the lack of precision of SpO₂ measurement. Our results are comparable to those from previous ICU studies, which found similar correlation³⁸ and a bias ranging from 0.2 to 3%^{14,15,38,40,42} with a mean bias of 1.5%⁴³ but wide limits of agreement^{14,15,38,40,42}, highlighting the lack of improvement in the last generation pulse oximeter reliability. We also confirmed that that SpO₂ measurement was still less reliable in black²¹ and in most hypoxemic^{14–18} patients. It has been very recently shown that black patients had nearly three times the frequency of occult hypoxemia that was not detected by SpO₂ measurement as Caucasian patients²¹. Conversely to previous studies^{14,39}, we also found that changes in SpO₂ could track changes in arterial oxygenation (SaO₂ and PaO₂) during PEEP trial with a moderate to good strength of agreement. To our knowledge, this is one of the first studies analyzing not only the magnitude (correlation) but also the direction

Variables	COVID-19 patients (n = 26)		Non COVID-19 patients (n = 29)	
	PEEP + 5	PEEP + 15	PEEP + 5	PEEP + 15
Respiratory parameters				
Tidal volume (mL/kg of PBW)	5.9 [5.7–6.2]	5.9 [5.7–6.2]	5.9 [5.5–6.0]	5.9 [5.5–6.0]
Respiratory rate (cycles/min)	30 [26–33]	30 [26–33]	30 [25–30]	30 [25–30]
Total PEEP (cmH ₂ O)	6 [5–6]	16 [15–16]*	5 [5–6]£	15 [15–16]*
Plateau pressure (cmH ₂ O)	15 [14–17]	27 [24–28]*	18 [15–21]£	28 [26–29]*
Driving pressure (cmH ₂ O)	9 [8–11]	10 [8–12]	13 [10–15]£	12 [10–14]£
Respiratory system compliance (mL/cmH ₂ O)	44 [32–50]	38 [31–43]	28 [23–39]£	30 [28–37]
Haemodynamic parameters				
Heart rate (bpm)	80 [70–90]	78 [71–91]	93 [80–121]£	83 [75–117]£
Systolic arterial pressure (mmHg)	113 [102–131]	111 [103–120]	103 [92–122]	100 [93–127]
Diastolic arterial pressure (mmHg)	57 [50–61]	58 [50–62]	53 [51–57]	52 [49–57]£
Mean arterial pressure (mmHg)	75 [67–81]	74 [69–81]	71 [68–76]	69 [65–73]
Dosage of norepinephrine (µg/kg/min)	0.17 [0.10–0.28]	0.19 [0.12–0.39]£	0.50 [0.18–0.97]	0.50 [0.18–0.99]£
Lactate (mmol/L)	1.1 [0.8–1.2]	1.0 [0.8–1.2]£	1.8 [1.1–2.7]	1.7 [1.1–2.9]£
Biological parameters				
SpO ₂ (%)	95 [93–96]	96 [95–98]	91 [89–94]£	96 [93–98]*
SaO ₂ (%)	92 [89–95]	96 [94–98]*	90 [88–94]	96 [92–99]*
PaO ₂ /FiO ₂ ratio	154 [99–225]	200 [152–250]*	101 [81–147]£	175 [110–211]*
PaO ₂ (mmHg)	69 [59–85]	87 [68–118]*	62 [58–73]	82 [65–126]*
PaCO ₂ (mmHg)	44 [41–50]	47 [42–51]	46 [41–50]	48 [41–52]*
Carboxyhaemoglobin (%)	0.8 [0.6–0.9]	0.7 [0.5–0.9]*	1.0 [0.8–1.5]£	0.9 [0.8–1.4]*£
Methaemoglobin (%)	0.7 [0.6–0.8]	0.7 [0.6–0.8]	0.6 [0.5–0.8]	0.6 [0.5–0.8]
Haemoglobin (g/dL)	11.8 [9.8–13.2]	11.9 [9.6–13.4]	9.7 [8.8–11.8]£	9.8 [8.5–11.6]£
Temperature (°C)	37.3 [36.8–38.0]	37.0 [37.0–37.8]	36.8 [36.4–37.5]£	36.8 [36.3–37.5]£
pH	7.38 [7.32–7.41]	7.36 [7.30–7.39]*	7.33 [7.30–7.38]	7.32 [7.29–7.35]*
Bicarbonates (mmol/L)	25.7 [22.8–28.0]	26.3 [22.4–28.4]	24.0 [21.7–27.1]	23.6 [21.7–27.2]
Fibrinogen (g/L)	6.8 [5.2–8.0]	NA	4.9 [3.6–6.5] ^[1] £	NA
D-Dimer (ng/mL)	1524 [977–2389] ^[9]	NA	4872 [NA] ^[28]	NA

Table 2. Respiratory, haemodynamic and oxygenation effects of the PEEP trial according to patients' COVID-19 status. Variables are expressed as median [interquartile]. No missing values, except otherwise specified between {}. NA: non-available. *PaO₂* partial arterial pressure of oxygen, *PaCO₂* partial arterial pressure of carbon dioxide; PBW: predicted body weight, *PEEP* positive end-expiratory pressure, *SaO₂* arterial oxygen saturation, *SpO₂* pulse oximetry. **p* < 0.05 PEEP + 15 vs. PEEP + 5 cmH₂O. £ *p* < 0.05 non-COVID-19 versus COVID-19 patients. Formulas: driving pressure = plateau pressure-total PEEP; respiratory system compliance = tidal volume/(plateau pressure-total PEEP).

(concordance rate and κ -coefficient) of SpO₂ and SaO₂ changes. The discrepancy of our results to those of previous studies may be explained by the fact that rather than considering multiple therapeutic manoeuvres^{15,40}, we only considered a PEEP trial to ensure that the possible lack of agreement between changes in SpO₂ and SaO₂ could not be attributed to the multiple therapeutic manoeuvres used.

We found that COVID-19 significantly impacted the association between SpO₂ and arterial oxygenation (SaO₂ and PaO₂). First, SpO₂ measurement was less reliable, as illustrated by weaker correlation, higher bias, wider limits of agreement, lower precision and lower ICC with an ICC < 0.7. Second, changes in SpO₂ could not reliably track changes in arterial oxygenation (SaO₂ and PaO₂) during PEEP trial, with a concordance rate of 69% and a κ -coefficient of 0.35 for changes in SaO₂ and a concordance rate of 73% and a κ -coefficient of 0.28 for changes in PaO₂ in COVID-19 patients, but a concordance rate of 97% and a κ -coefficient of 1.00 both for SaO₂ and PaO₂ in non-COVID-19 patients. To our knowledge, this is the first study investigating the reliability of SpO₂ measurement in COVID-19 patients, despite it has been suggested to use SpO₂ monitoring in these patients to detect "silent hypoxemia"^{44,45}. Importantly, the impact of COVID-19 on the association between SpO₂ and arterial oxygenation cannot be explained solely by the fact that COVID-19 patients were predominantly black²². Moreover, the proportion of occult hypoxemia that we observed was that expected of our population, although

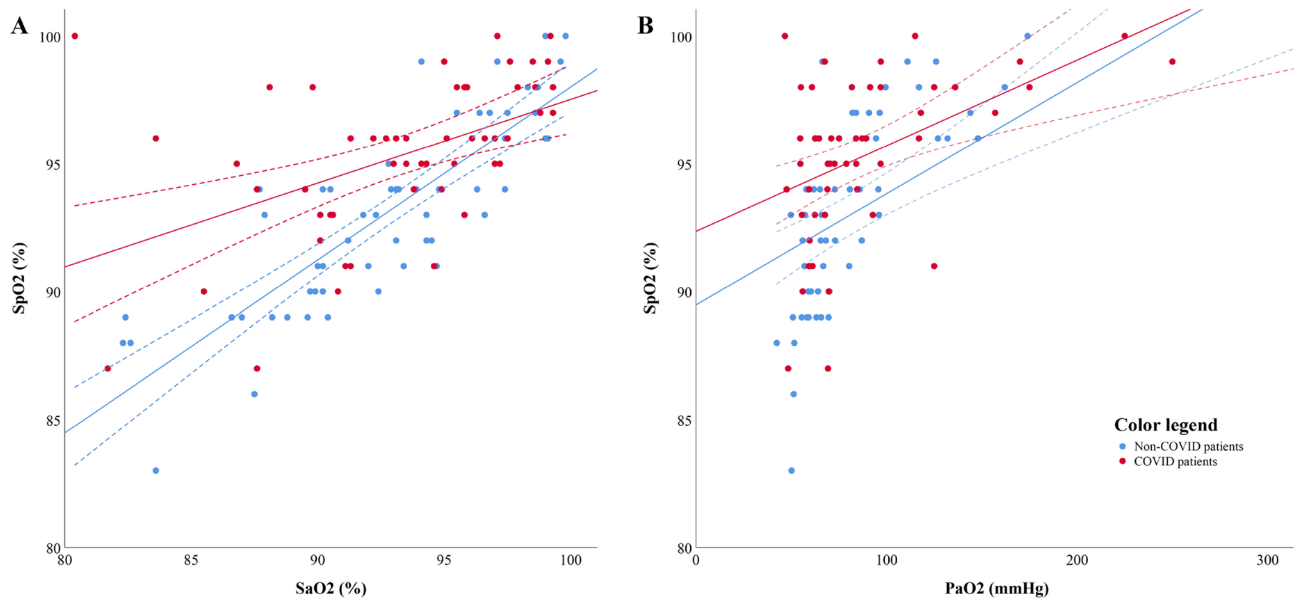


Figure 1. *Panel A:* correlation between pulse oximetry (SpO₂) and arterial oxygen saturation (SaO₂) in COVID-19 patients (red points, n = 52 measurements) and non-COVID-19 patients (blue points, n = 58 measurements). The solid line represents the correlation line. The dotted lines represent the 95% confidence interval of each correlation. *Panel B:* correlation between pulse oximetry (SpO₂) and arterial oxygen partial pressure (PaO₂) in COVID-19 patients (red points, n = 52 measurements) and non-COVID-19 patients (blue points, n = 58 measurements). The dotted lines represent the 95% confidence interval of each correlation.

no thorough analysis could be performed on this criterion due to its low prevalence. Indeed, although lack of power may have been involved, interaction analyses did not yield significant association between COVID-19 status, ethnic group and SpO₂. With caution, this may suggest that COVID-19 per se may alter the accuracy of SpO₂ measurement and the agreement between SpO₂ and arterial oxygenation. This could be explained by the fact that COVID-19 patients may experience systemic microvascular alterations that appear to be common and linked to coagulopathy²⁴ and/or endothelial dysfunction and endotheliitis⁴⁶. Indeed, it has been shown that SARS-CoV-2 infection may facilitate the induction of endotheliitis with viral elements within endothelial cells and accumulation of inflammatory cells leading to apoptosis found in in several organs⁴⁶. Further studies are needed to address the potential impact of COVID-19 on SpO₂ measurement.

The clinical implications of our results are two-fold. In non COVID-19 patients, our results suggest that SpO₂ measurements with latest generation pulse oximeter may be sufficient to monitor and track changes in arterial oxygenation in the most critically-ill patients. Thus, arterial blood gazes may not be necessarily mandatory after every ventilatory setting modification during the weaning process in patients with ARDS. Moreover, our results confirm those published by Martínez-Balzano who showed that there was an overuse of arterial blood gazes in critically ill patients and that their number could be reduced without negatively impacting patient care but with clear medico-economic impact¹⁰. Meanwhile, in COVID-19 patients, SpO₂ measurement may not be as reliable, and iterative arterial blood gazes' analyses to measure SaO₂ may still be mandatory.

Our study has some limitations. First, we assessed only one type of last generation pulse oximeter. Second, we only considered the same two PEEP levels in all patients and we cannot exclude that considering other PEEP levels might influence the association between SpO₂ and SaO₂ as well as the concordance between PEEP trial-induced SpO₂ and SaO₂ changes. Third, we did not study, as previous studies^{18,40,41}, the influence of carboxyhaemoglobin and methaemoglobin on the accuracy of SpO₂ measurement. Indeed, pulse oximeters cannot differentiate between these two forms of haemoglobin and oxyhaemoglobin, leading to SaO₂ overestimation in patients with high carboxyhaemoglobin and/or methaemoglobin rates^{47,48}. Yet, we systematically excluded patients with methaemoglobinemia or carbon monoxide poisoning (all had carboxyhaemoglobin and methaemoglobin rates < 2%). Interestingly, Kerget and colleagues very recently showed that endogenous carboxyhaemoglobin may be an easily accessible biomarker of clinical course and prognosis in COVID-19 patients⁴⁹. Fourth, we did not perform multiple arterial blood gas analyses, which may have allowed the estimation of the metrological precision feature of the pulse oximeter device. Indeed, random error variability of replicate measurements, defined as

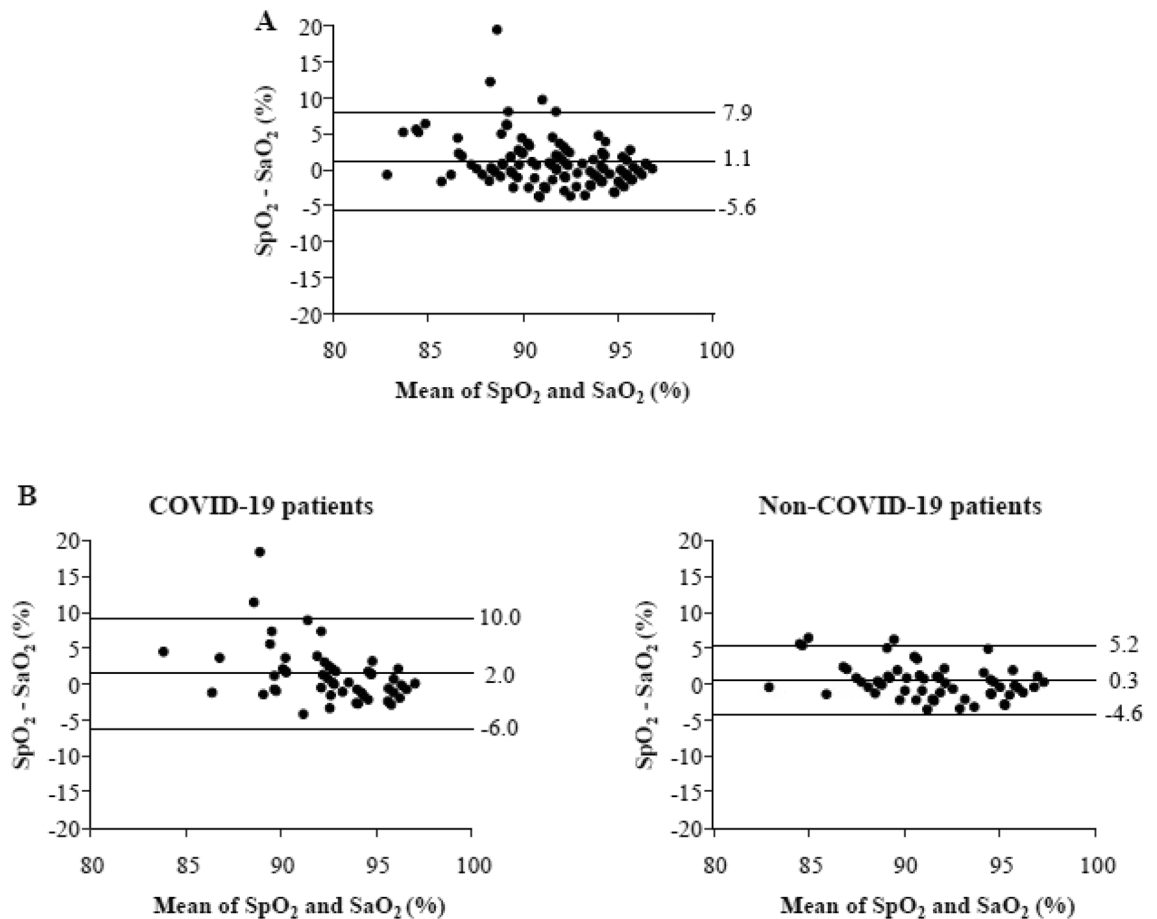


Figure 2. Comparison of pulse oximetry (SpO₂) and arterial oxygen saturation (SaO₂) measurements using the Bland–Altman method. The solid line represents the bias and the dotted lines represent the 95% limits of agreement (mean \pm 1.96 standard deviation). *Panel A:* in the whole population (n = 110 measurements). *Panel B:* in COVID-19 patients (n = 52 measurements) and non-COVID-19 patients (n = 58 measurements).

precision, usually requires to perform multiple measurements for a given timepoint⁵⁰. In our study, we did not deem ethical to sample arterial blood gases several times for each datapoint. We pragmatically assumed that precision remained constant and random error measurements were reproducible, following the device specifications⁵¹.

Conclusion

In patients with ARDS, SpO₂ was associated with arterial oxygenation (SaO₂ and PaO₂) and could track changes in arterial oxygenation with good reliability. Nevertheless, COVID-19 status significantly impacted the association between SpO₂ and arterial oxygenation.

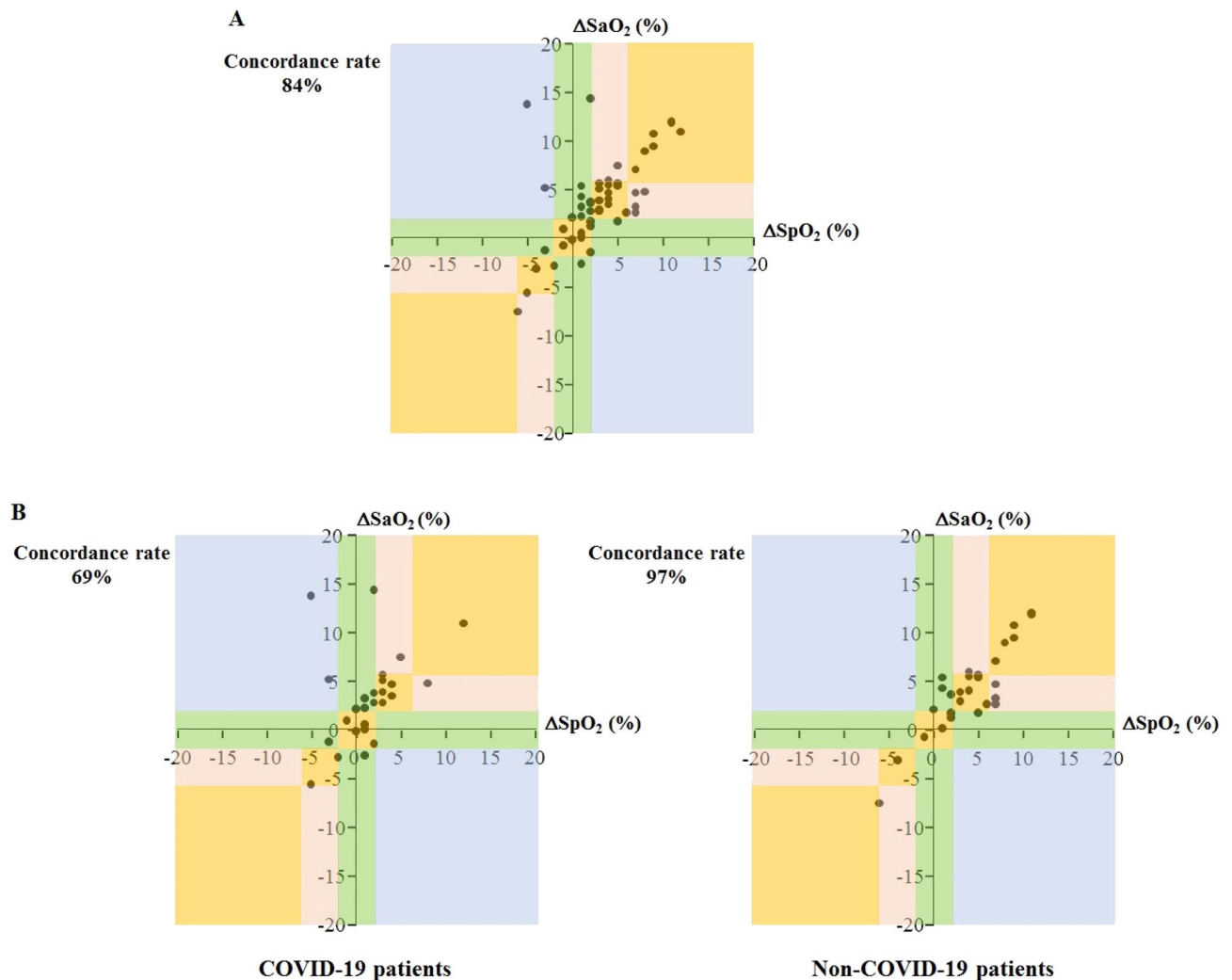


Figure 3. Trending ability of pulse oximetry (SpO_2) against arterial oxygen saturation (SaO_2) measurements during a positive end-expiratory pressure trial based on four-quadrant concordance analysis. The error grid reflects the therapeutic consequences in specific zones in the concordance plot. *Orange zone:* ΔSpO_2 and ΔSaO_2 change in the same direction and to the same extent. *Pink zone:* ΔSpO_2 and ΔSaO_2 change in the same direction but not to the same extent. *Green zone:* ΔSpO_2 changes while ΔSaO_2 is constant or vice versa. *Blue zone:* ΔSpO_2 and ΔSaO_2 change in opposite directions. *Panel A:* in the whole population ($n=55$). *Panel B:* in COVID-19 ($n=26$) and non-COVID-19 ($n=29$) patients.

Data availability

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Received: 8 June 2021; Accepted: 15 November 2021

Published online: 27 January 2022

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Author contributions

M.J. and J.D.C. conceived and designed the study. L.S.N., M.H., L.R., E.N., P.J., S.B., Z.A.H., P.D. and M.J. recruited patients and collected data. L.S.N., M.H., L.R., E.N., P.J., S.B., Z.A.H., P.D., J.C., F.P., A.C., J.P.M., J.D.C.

and M.J. analyzed and interpreted the data. L.S.N., M.H. and M.J. drafted the report. All authors contributed to the final version. All authors approved the final version.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary Information The online version contains supplementary material available at <https://doi.org/10.1038/s41598-021-02634-z>.

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