





**Citation:** Liu Z, He K, Ma Q, Yu Q, Liu C, Ndege I, et al. (2017) Autophagy inhibitor facilitates gefitinib sensitivity *in vitro* and *in vivo* by activating mitochondrial apoptosis in triple negative breast cancer. PLoS ONE 12(5): e0177694. https://doi.org/10.1371/journal.pone.0177694

**Editor:** Ming Tan, University of South Alabama, UNITED STATES

Received: January 1, 2017

Accepted: May 2, 2017

Published: May 22, 2017

Copyright: © 2017 Liu et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper and its Supporting Information files

Funding: The reagents were supported by the Grants from the Natural Science Foundation of Shandong Province (ZR2015HM055). The URL is <a href="http://jihlx.sdstc.gov.cn/STDPMS/ZR/ProjectApplication/MyProjectList.aspx">http://jihlx.sdstc.gov.cn/STDPMS/ZR/ProjectApplication/MyProjectList.aspx</a>, and ZYY received the funding; Laboratory supplies was supported by the Grants from the key research and development plan of Shandong Province

RESEARCH ARTICLE

# Autophagy inhibitor facilitates gefitinib sensitivity *in vitro* and *in vivo* by activating mitochondrial apoptosis in triple negative breast cancer

Zhaoyun Liu $^{1,2}$ , Kewen He $^{1,2}$ , Qinghua Ma $^2$ , Qian Yu $^3$ , Chenyu Liu $^4$ , Isabella Ndege $^{1,2}$ , Xinzhao Wang $^2$ , Zhiyong Yu $^2$ \*

- 1 School of Medicine and Life Sciences, University of Jinan-Shandong Academy of Medical Sciences, Jinan, Shandong, China, 2 Department of Oncology, Shandong Cancer Hospital affiliated to Shandong University, Shandong Academy of Medical Sciences, Jinan, Shandong, China, 3 University of Kentucky College of Medicine, Lexington, Kentucky, United States of America, 4 Department of Biology, Winship Cancer Institute, Emory University, Atlanta, Georgia, United States of America
- These authors contributed equally to this work.
- \* drzhiyongyu@aliyun.com

#### Abstract

Epidermal growth factor receptor (EGFR) is over-expressed in about 50% of Triple negative breast cancers (TNBCs), but EGFR inhibitors have not been effective in treating TNBC patients. Increasing evidence supports that autophagy was related to drug resistance at present. However, the role and the mechanism of autophagy to the treatment of TNBC remain unknown. In the current study, we investigated the effect of autophagy inhibitor to gefitinib (Ge) in TNBC cells *in vitro* and *in* nude mice *vivo*. Our study demonstrated that inhibition of autophagy by 3-Methyladenine or bafilomycin A1 improved Ge's sensitivity to MDA-MB-231 and MDA-MB-468 cells, as evidence from stronger inhibition of cell vitality and colony formation, higher level of G0/G1 arrest and DNA damage, and these effects were verified *in* nude mice *vivo*. Our data showed that the mitochondrial-dependent apoptosis pathway was activated in favor of promoting apoptosis in the therapy of Ge combined autophagy inhibitor, as the elevation of BAX/Bcl-2, Cytochrome C, and CASP3. These results demonstrated that targeting autophagy should be considered as an effective therapeutic strategy to enhance the sensitivity of EGFR inhibitors on TNBC.

#### Introduction

Triple negative breast cancer (TNBC), characterized as estrogen receptor negative, progesterone receptor negative, and human epidermal growth factor receptor 2 negative, accounts for roughly 15%-20% of all breast cancer patients [1, 2]. Women with TNBC have a peak risk of recurrence and mortality within 3 to 5 years from the time of diagnosed due to its aggressive nature, and a median survival after recurrence of ~9 months [3, 4]. Because TNBC lacks an approved targeted therapy, the only remaining systemic treatment is chemotherapy, which has been reported to



(2016GSF201185). The URL is http://jihlx.sdstc.gov.cn/STDPMS/GG/ProjectApplication/ MyProjectList.aspx, and ZYY received the funding. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing interests:** The authors have declared that no competing interests exist.

respond poorly [5, 6]. Therefore, novel therapies are urgently required to improve its prognosis. Epidermal growth factor receptor (EGFR), which is over-expressed in about 50% of TNBCs, is related to boosting tumor growth and increasing metastasis rates, and representing poor clinical outcomes [7, 8]. EGFR inhibitors are considered as a hopeful strategy for cancer therapy in diverse EGFR<sup>+</sup> cancers clinically: Gefitinib (Ge, small molecules EGFR tyrosine kinases inhibitor), which inhibits cancer growth mainly through targeting the adenosine triphosphate binding sites in the cytoplasmic domain of EGFR, is widely applied in non-small-cell lung cancer (NSCLC); Cetuximab (monoclonal antibody) was approved in colorectal cancer, etc. Unfortunately, their efficacy for breast cancer is limited due to drug resistance [9]. Several lines of evidence suggested that EGFR targeted therapy could induce cytoprotective autophagy that was related to both innate and acquired drug resistance in different tumor cell lines [10–12].

Autophagy, an evolutionarily conserved lysosomes degradation process, degrades the cytosolic contents into essential components for the recycling and the rebuilding of cellular macromolecules [13]. It has been well acknowledged that the abnormal autophagic process responsible for drug resistance could emerge in cells stressed by targeted drugs, so autophagy inhibitor appeared to be a therapeutic approach for sensitizing target therapy [14–16]. Evidences reported that blockage of autophagy increased the sensitivity of chemotherapy, radiotherapy, and EGFR target therapy in NSCLC cells [17–19]. Chen *et al* reported that inhibiting the cytoprotective autophagy induced by gemcitabine enhanced apoptosis in TNBC cells [20]. Cufi *et al* found that autophagy was involved in HER2-targeted therapy in breast cancer, and was associated with drug resistance [21]. However, we found few report about EGFR target therapy and autophagy in TNBC cells.

Mitochondria, playing a central role of ATP generation, is the key mediator involved in the process of apoptosis [22]. When caspase protease was activated, it would digest numerous proteins which can result in cell death, such as, cleaved CASP3, one of the final players in the apoptosis signaling pathway [23, 24]. Usually, caspase can be activated by the mitochondrial, which is the main process in the induction of apoptosis [25]. When mitochondrial were damaged, mitochondrial outer membrane protein (MOMP) would be triggered. After the activation of MOMP, mitochondrial intermembrane space proteins, notably cytochrome C, would be released. Cytochrome C, did not only plays an essential role in mitochondrial ATP generation but also was vital for caspase activation following its release from mitochondria [26].

In this study, we found that autophagy inhibitor such as 3-MA and Baf.A facilitated the efficiency of Ge as evidence from cell proliferation inhibition by activating mitochondrial apoptosis in TNBC cells.

#### Materials and methods

#### Pharmacological reagents

Gefitinib (Ge) was purchased from Tocris Bioscience Company (Bristol, UK). 3-Methyladenine (3-MA) and bafilomycin A1 (Baf.A) were purchased from Selleckchem (Houston, USA). Ge, 3-MA and Baf.A were dissolved in 100% dimethyl sulfoxide (DMSO; Fisher Scientific, Pittsburgh, PA, USA). In all cases of cell treatment, the final DMSO concentration never exceeded 0.2% in the culture medium. Stock solutions of all drugs were stored at -20°C.

## Cell culture and treatment

TNBC derived cells lines (MDA-MB-468 and MDA-MB-231) were purchased from the Cell Bank of Shanghai Institute of Cell Biology, Chinese Academy of Sciences, and were cultured in DMEM media (High glucose, HyClone Company, UT, United States) supplemented with 10% fetal bovine serum (Sijiqing Company, Hangzhou, China) and 100 units/ml antibiotics



(penicillin/streptomycin, Gibco/Invitrogen) in a humidified atmosphere of 5% CO<sub>2</sub> at 37°C. Cells were seeded in cell culture plates and allowed to adhere overnight, subsequently subjected to DMSO (0.2%), 3-MA (10 mM), Baf.A (1nM), Ge (5  $\mu$ M), Ge (5  $\mu$ M) +3-MA (10 mM) and Ge (5  $\mu$ M) +Baf.A (1nM) treatment for 48 hours, respectively.

## Immunofluorescence (IF)

Cells were seeded on Glass Bottom Cell Culture Dishes (NEST, 801007) and then the cells were exposed to treatments as indicated above for 48 hours. Cells were seeded with 4% paraformaldehyde, incubated with 0.1% Triton X-100 for 30 min, and then incubated with anti-LC3 antibodies (1:200) (CST, 2775S) overnight at 4°C. Next, cells were incubated with Cy3-labeled Goat Anti-Rabbit IgG (H+L) (1:200) (Beyotime, A0516) for 1 hour, washed with PBS. Then 4', 6-diamidino-2-phenylindole (DAPI) (Biosharp, C1002) were used to stain nuclei. Microscopy was done on a confocal laser microscopy (OLYMPUS, BX53).

# CCK8 assay

The MDA-MB-468 and MDA-MB-231 cells were respectively plated in 96-well plates. After treatment with the indicated concentration (0, 1.25, 2.5, 5, 10 and 20  $\mu M$ ) of Ge in present of 3-MA/Baf.A or not for 48 hours, CCK8 was added to each well, followed by incubation at 37°C in 5% CO $_2$  for 2 hours. Absorbance (A) was measured on a Bio-Rad 680 microplate reader (Bio-rad 680, Bio-Rad Laboratories, Hercules, USA) at 570 nm, and the results were reported relative to a reference wavelength of 630 nm. The cell viability rate was calculated according to the following: Cell viability rate = (A  $^{\rm drug-treated}/A^{\rm DMSO}$ )  $\times$  100%. The experiment was repeated three times.

# Colony formation assay

The cells were plated in 6-well plate and exposed to above drugs, and then incubated at  $37^{\circ}$ C for 14 days. Then the cells were fixed with 4% paraformaldehyde and stained with crystal violet. The number of colonies (>50 cells) was counted. The colony formation rate was calculated with the following formula: Survival Fraction = (Clones/Cell numbers) × 100%.

#### In vivo studies

All animal studies were approved by the Committee on the Ethics of Animal Experiments of the Shandong Cancer Hospital (Permit Number: SDTHEC-201503041). Mice were housed according to the guidelines outlined with full respect to the EU Directive 2010/63/EU for animal experimentation. Forty healthy BALB/c female nude mice (4-6 weeks old) were purchased from the HFK Bioscience Company (Beijing, China). The mice were fed with water and food in a specific environment maintained at 23±1°C. Animals were under isoflurane inhalation anesthesia when they were injected every time to minimize suffering. Approximately 1×10<sup>7</sup> MDA-MB-468 cells in 100 µl PBS were subcutaneously inoculated into the left flank of nude mice. Then we placed animals in their cages to recover and monitored them until they were awake. Animals were monitored every day including vitality, mental state, and skin color. When thirty mice's tumor xenografts had grown to nearly 100 mm<sup>3</sup> in size, the mice were randomized into six treatment groups with 5 mice every group as follows: vehicle, 3-MA, Baf.A, Ge, Ge+3-MA, and Ge+Baf.A. 3-MA and Baf.A were injected intratumorally, Ge was administered via oral gavage. The combined treatment was the same as the single agent treatment. DMSO was administered to the vehicle-treated group. There were 4 mice sacrificed after the oral gavage, and we thought it may be resulted from the operation of the oral gavage. All mice with or without tumors were



sacrificed under isoflurane inhalation anesthesia 15 days later after the drug treatment, and the tumors were separated after completion of treatment. Size of local tumors were calculated by measuring length and width every two days using a caliper, and the tumor volume (TV) was calculated according to the formula:  $TV (mm^3) = 1/2 \times (length \times square width)$ .

# Analysis of the cell cycle distribution

The cells were respectively seeded in 6-well plates overnight. Then the cells were treated with the before mentioned treatment at indicated concentrations for 48 hours and then fixed in 70% of ethanol for 72 hours. After being washed twice with PBS, the cells were stained with propidium iodide (PI) for 30 min. Flow cytometric analysis was performed on the FACS Calibur (Becton Dickinson, USA). The data were analyzed using ModiFit software (Topsham, ME, USA).

#### Western blot

The cells were plated and treated as described above for 48 hours, and then were lysed in cell lysis buffer (Beyotime, P0013, Beijing, China) supplemented with 0.5 mM phenylmethanesulfonyl fluoride (PMSF, Beyotime, ST506). The total cellular protein concentration was determined with a BCA Protein Assay Kit (Thermo Fisher Scientific Inc., 23227, Rockford, USA). The proteins were applied to sodium dodecyl polyacrylamide gel electrophoresis, and transferred onto a PVDF membrane (Millipore, Billerica, MA, USA). Then membranes were blocked with 5% evaporated skimmed milk for 1 hour and probed overnight at 4°C with the following primary antibodies: cleaved-CASP 3 (9664), Cytochrome C (4280), Phospho-Chk1 (2348), Phospho-Chk2 (2197), Phospho-ATM (5883), Phospho-Histone H2A.X (9718), BAX (2772), Bcl-2 (2870), (all 1:1000; Cell Signaling Technology, Danvers, MA, USA), antibody against ACTB (1:2000; Zsbio, sc-53142, Beijing, China), followed by incubation with horseradish peroxidase coupled secondary anti-mouse (Zsbio, ZB-2305) or anti-rabbit antibodies (Zsbio, ZB-2301) for 1 hour at room temperature. The protein bands were visualized using ECL blotting detection reagents (Beyotime, P0018), and developed and fixed onto x ray films. ACTB was served as a loading control.

## Ex vivo analysis of MDA-MB-468 xenografts tissue

The nude mice were euthanized before separation of tissue. Tumor tissues were fixed and prepared as 5  $\mu$ m paraffin sections on microscope slides for hematoxylin-eosin staining and immunofluorescence, and they were dewaxed using routine techniques. The slides were incubated in antigen retrieval buffer and boiled for 10 min, then cooled to room temperature. After peroxidase blocking with 3%  $H_2O_2$  for 15 min, specimens were blocked with goat serum (Solarbio, China) in phosphate-buffered saline (PBS) for 15 min. Then cleaved-CASP 3 (9664), (1:200; Cell Signaling Technology, Danvers, MA, USA) were carried out overnight at 4°C. After hematoxylin staining, dehydration, transparent, and sealing film, we observed the microscope slides at 400 × microscope.

#### Statistical analysis

Data were analyzed using GraphPad Prism 6.02 (GraphPad Software, San Diego, CA, USA). Significant differences between two samples were conducted by t-test. All statistical significance was evaluated with data from at least three independent experiments. Data were presented as the mean  $\pm$  SD. statistical tests employed at a significance level of 0.05 to determine whether a significant difference existed.



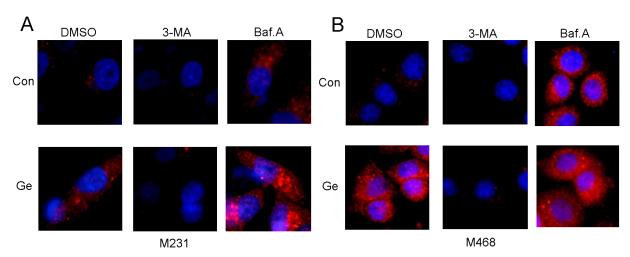


Fig 1. Autophagy is activated by Ge and inhibited by 3-MA or Baf.A in TNBC cells. Cells were exposed with 3-MA (10 mM) and Baf.A (1 nM) alone or with gefitinb (5  $\mu$ M) for 48 hours, and the inhibitory were confirmed by immunofluorescence staining. LC3 puncta in the cells were detected by immunofluorescence under confocal laser microscopy in MDA-MB-231 (A) and MDA-MB-468 (B).

#### Results

# Autophagy is activated by Ge, and inhibited by 3-MA or Baf.A in TNBC cells

To evaluate Ge-induced autophagy in TNBC cells, we detected the LC3 expression with immunofluorescence staining in MDA-MB-468 and MDA-MB-231 cells after their treatment with Ge. As shown in Fig 1, we confirmed the inhibitory effect of 3-MA and Baf.A on Ge induced autophagy by monitoring the protein level of LC3 with or without presence of 3-MA or Baf.A. As expected, 3-MA (blocking the form of autophagosome) decreased the numerous of autophagosomes while Baf.A (blocking the fusion of lysosomes and autophagosome) lead to an accumulation of autophagosomes. Both 3-MA and Baf.A involved in the autophagy inhibited reaction. Taken together, our data indicated that autophagy was accompanied by Ge therapy, which might contribute to the resistance of Ge.

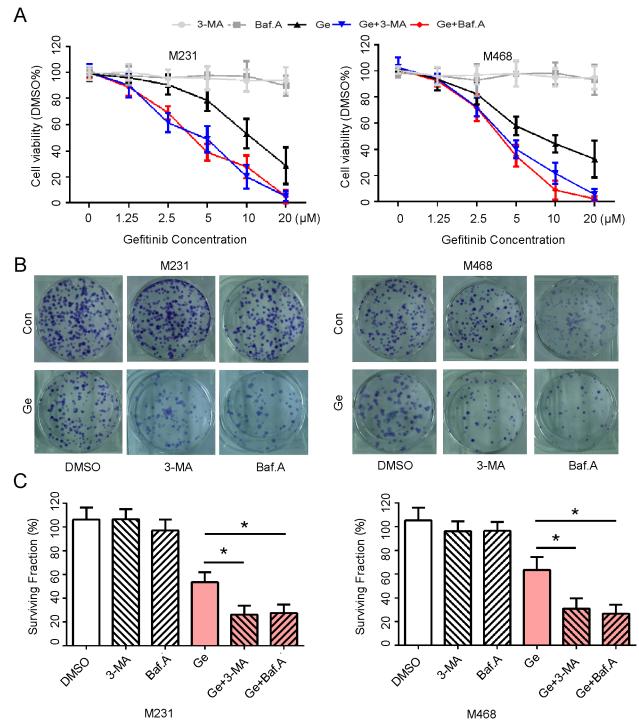
# Autophagy inhibitor facilitates cytotoxicity of Ge in TNBC cells in vitro

In order to detect the facilitation of autophagy inhibitor on Ge in breast cells, we employed and exposed MDA-MB-231 and MDA-MB-468 cell lines to treatment with control (Dimethyl Sulphoxide, DMSO), 3-MA, Baf.A, Ge, Ge+3-MA, Ge+Baf.A, respectively. As shown in Fig 2A, Ge inhibited the cell viability of MDA-MB-468 and MDA-MB-231 cells more potently when combined with 3-MA or Baf.A than Ge alone. In addition, a clonogenic assay was also performed and demonstrated that colony formation was suppressed significantly by combination of Ge and autophagy inhibitor, as evidenced by a lower clonogenic survival rate, whereas Ge alone slightly weaken colony formation in all detected cells (Fig 2B and 2C). These results supported that autophagy inhibitor combined with Ge enhanced the inhibition of cells in growth and colony formation.

# Autophagy inhibitor facilitates cytotoxicity of Ge in TNBC xenografts in vivo

To confirm the facilitation of autophagy inhibition on Ge *in vivo*, xenografts derived from MDA-MB-468 were constructed. As expected, tumors administrated with combination of





**Fig 2.** Autophagy inhibitor facilitates cytotoxicity of Ge in TNBC cells *in vitro*. (A) MDA-MB-231 and MDA-MB-468 cells were treated with 0, 1.25, 2.50, 5.00, 10.00, 20.00 μM Ge alone or combined with 3-MA (10 mM) or Baf.A (1 nM) respectively for 48 hours, DMSO acted as the control, and then subjected to CCK8 assay. Absorbance value was calculated and standardized to DMSO group. Three independent experiments were performed. (B) The above cells were treated with DMSO (0.2%), 3-MA (10 mM), Baf.A (1 nM), Ge (5 μM) +3-MA (10 mM) and Ge (5 μM) +Baf.A (1 nM), DMSO acted as the control, and subjected to cell colony formation assay. (C) Cell surviving fraction were calculated and presented as mean  $\pm$  SD; \*p < 0.05. Three independent experiments were performed.



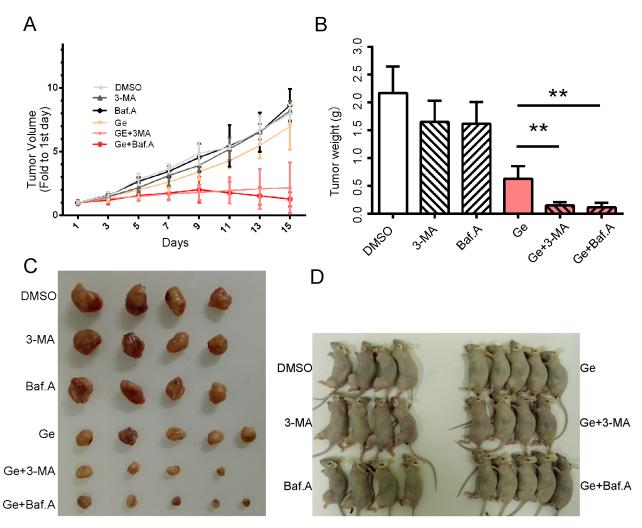


Fig 3. Autophagy inhibitor facilitates the sensitivity of Ge in TNBC xenografts *in vivo*. MDA-MB-468 xenograft tumor was established and treated as follows: DMSO, 3-MA (1.0 mg/kg), Baf.A (1.0 mg/kg), Ge (100 mg/kg), Ge (100 mg/kg) +3-MA (1.0 mg/kg) and Ge (100 mg/kg) +Baf.A (1.0 mg/kg). 3-MA and Baf.A were injected intratumorally, Ge was administered via oral gavage. Tumor growth curves (A), tumor weight (B), tumor image (C), and nude mouse image (D) with different treatment were detected. The results are shown as means ± SD; \*p < 0.05.

autophagy inhibitor and Ge grew slower than those with monotherapy (Fig 3A). Accordingly, the weight and size of separated tumors were smaller in combined therapy groups than those in monotherapy groups (Fig 3B, 3C and 3D), indicating combined treatment of autophagy inhibitor with Ge were substantially effectively than that of Ge treatment alone, along with the results in vitro. Taken together, the date provides further understanding with the cytotoxic effect of autophagy inhibitor on Ge against TNBC cells in vivo.

# Autophagy inhibitor facilitates Ge induced G0/G1 arrest and DNA damage repair pathway activation in TNBC cells

Cell-cycle distribution was analyzed to verify the synergistic effect of autophagy inhibitor and Ge by flow cytometry. MDA-MB-231 and MDA-MB-468 were exposed with DMSO, 3-MA, Baf.A, Ge, Ge+3-MA and Ge+Baf.A for 48 hours, respectively. As shown in Fig 4, combined treatment (Ge+3-MA, or Ge+Baf.A) increased the population at the G0/G1 phase and



decreased the population at the G2/M and S phase in all tested cells compared to Ge alone. These results revealed that the combined treatment arrested MDA-MB-231 (Fig 4A and 4B) and MDA-MB-468 (Fig 4C and 4D) cell cycle at the G0/G1 phase. ATM recruited to the damaged site when DNA was damaged. The activated of ATM phosphorylated histone H2AX (yielding  $\gamma$ -H2AX) and transmited the DNA damage related signaling molecules, including phosphorylated Chk1 and Chk2 [27]. As shown in Fig 5, western blot showed that DNA damage related molecular such as Phospho-Chk1, Phospho-Chk2, Phospho-ATM, Phospho-Histone H2AX were overexpressed in the combined groups.

# Autophagy inhibitor facilitates Ge induced cell death via mitochondrial apoptosis pathway

In order to determine whether tumor cells treated with above drugs underwent cell death, we performed western blot and immunohistochemical assay to detect changes of protein expression in apoptosis related pathway. More positive results were showed by immunohistochemical assay when treated with combined drug other than treated with Ge alone in MDA-MB-468 xenograft (Fig 6B and 6C). To further confirm the underlying apoptosis signal pathway, we detected apoptosis related gene expression in MDA-MB-231 and MDA-MB-468 cells. As shown in Fig 6A, combined drugs treatment led to an increase in expression of cleaved CASP 3, indicating it might induce cell death in a caspase-dependent manner. Notably, Cytochrome C and the rate of BAX/Bcl-2 were elevated in response to combined drugs treatment, all these genes were vital markers involved in mitochondrial apoptosis pathway, indicating that mitochondrial apoptosis was activated and played a prominent role in the process that autophagy inhibitor facilitates Ge induced cell death.

#### Discussion

Ge, a small-molecule TKI against EGFR, inhibits cancer growth mainly through targets the adenosine triphosphate binding sites in the cytoplasmic domain of EGFR [28–30]. Although Ge had been widely used in EGFR<sup>+</sup> NSCLC patients, unfortunately, it demonstrated little effectiveness in treating breast cancer [31, 32]. The interactions of EGFR downstream pathways, including PI3K/Akt and ERK1/2 pathway, led to continued activation of EGFR downstream molecular and insensitivity toward TKI [33]. Intrinsic resistance to Ge remains a main obstacle in the therapy of TNBC. In this research, we proposed autophagy inhibitor could facilitate the sensitivity of Ge in EGFR<sup>+</sup> TNBC cells.

Insufficient blood supply and nutritional deprivation lead to cell death in established tumors growth. Autophagy is a self-degradation process that promote tumor cell survival under stress through degrading and recycling intracellular constituents to provide cells with energy [34, 35]. Autophagy also contributes to the survival excellence of cancer cells under therapeutic stress and facilitates their drug resistance in diverse types of cancer. EGFR targeted therapy led to varied autophagic response which plays a protective role and is associated with resistance for established tumors. Evidence reported that small molecule EGFR-TKI targeting EGFR can increase autophagy in head and neck squamous cell carcinoma (HNSCC) cells, ovarian cells, and bladder cancer cells [36–38]. The inhibition of autophagy might be a treatment strategy to overcome drug resistance of TKI in EGFR expression patients [39–43]. In our study, 3-MA (an early stage autophagy inhibitor) as well as Baf.A (a late stage autophagy inhibitor), both enhanced gefitinib-induced cell death. Our data showed that the combined groups with autophagy inhibitor and Ge seemed to cause mitochondrial dysfunction accompanied with Cytochrome C expression, which activated caspase signaling pathways.



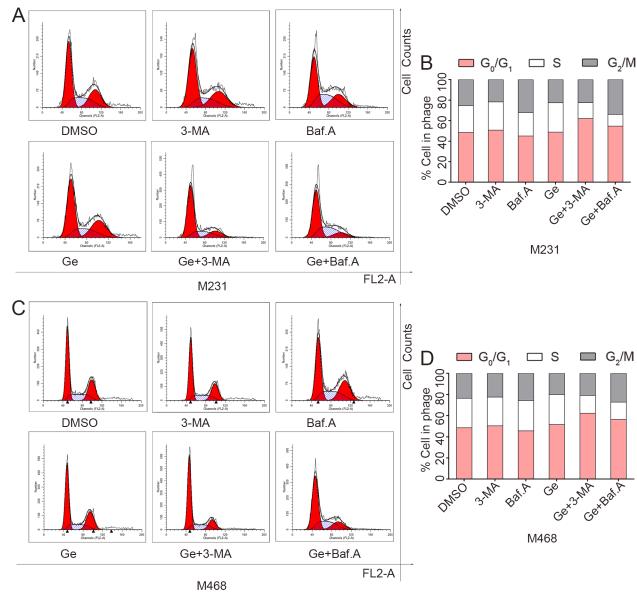
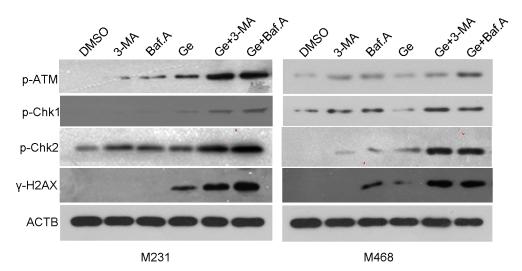


Fig 4. Autophagy inhibitor facilitates Ge induced G0/G1 arrest in TNBC cells. Cells were treated with DMSO, 3-MA, Baf.A, Ge, Ge +3-MA and Ge+Baf.A for 48 hours. The cycle distributions of MDA-MB-231 and MDA-MB-468 cells were analyzed.

Mitochondria, which plays a crucial role in apoptosis signal transduction process, regulates autophagy via multiple mechanisms [44]. In turn, defective autophagy enhances the accumulation of damaged mitochondria, and appeared to induce apoptosis via mitochondrial DNA damage [45–47]. Evidence reported that defective mitochondrial could lead to DNA damage, which then would activate ATM. DNA damage signal was transmitted by ATM to downstream targets including p-Chk1 and p-Chk2 which played an important role in cell cycle regulation [48–51]. When autophagy was blocked, damaged mitochondria would release ROS and induce of G0/G1 cell cycle arrest [26, 52], causing oxidation of DNA and resulting in DNA damage. In this study, we found that as the measure molecular of DNA damage, the expression of  $\gamma$ -H2AX, ATM, p-Chk1 and p-Chk2 were increased when Ge and autophagy inhibitor





**Fig 5.** Autophagy inhibitor facilitates DNA damage in TNBC cells. Cells were treated with DMSO, 3-MA, Baf.A, Ge, Ge+3-MA and Ge+Baf.A for 48 hours. Phospho-ATM, Phospho-Chk1, Phospho-Chk2, γ-H2AX, and ACTB of MDA-MB-231 and MDA-MB-468 cells were analyzed by western blot.

combined. Our findings confirmed that the synergistic treatment with Ge and autophagy inhibitor results in the G0/G1 cell cycle arrest of tumor cells when DNA is damaged beyond repair [53].

Cytochrome C release and BAX activation with the process of mitochondrial damage, are considered as the key components in mitochondrial apoptosis [54, 55]. The Bcl-2 family proteins include the pro-apoptotic Bcl-2 proteins (e.g., BAX and BAK) and the anti-apoptotic Bcl-2 proteins (e.g., Bcl-2 and Bcl-xL). The pro-apoptotic Bcl-2 proteins play a key role in the

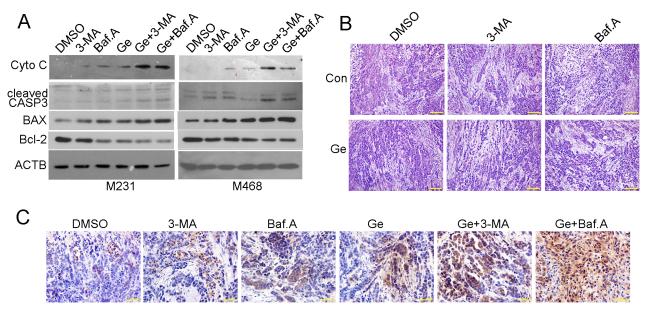


Fig 6. Autophagy inhibitor facilitates Ge induced cell death via mitochondrial apoptosis pathway. The above cells were treated with DMSO, 3-MA, Baf.A, Ge, Ge+3-MA and Ge+Baf.A for 48 hours and were subjected to western blot using following antibodies, Cytochrome C (Cyto C), cleaved CASP3, BAX, Bcl-2 and ACTB (A). Hematoxylin-eosin staining (B) and immunohistochemical assays (anti-cleaved CASP3) (C) were performed in MDA-MB-468 xenograft.

https://doi.org/10.1371/journal.pone.0177694.g006



regulation of MOMP and apoptosis by combination with the Bcl-2 homology domain-only (BH3-only) subclass [56]. The intrinsic apoptosis pathway, as known as mitochondrial apoptosis, begins with BH3 protein induction or activation, which leads to the inactivation of Bcl-2 and the activation of BAX and BAK. Cytochrome C release and mitochondrial fission were enhanced with the activation of BAX and BAK, which results in the activation of apoptotic protease activating facter-1 (APAF1) into an apoptosome and activates the caspase dependent apoptosis [57, 58]. In our study, the proteins associated with mitochondrial apoptosis, including Cytochrome C, BAX, and cleaved caspase-3 were found in the combined treatment with Ge and autophagy inhibitor. Taken together, our findings indicated the involvement of the mitochondrial apoptosis pathway in the process that autophagy inhibitor enhanced the sensitivity of Ge in TNBC cells.

## **Conclusions**

Collectively, we have shown that autophagy inhibitor facilitates the cytotoxicity of Ge in TNBC cells. Autophagy inhibitor appears to be useful as a potential candidate for TNBC targeted therapy.

# **Supporting information**

**S1** Dataset. The supporting information of CCK8. (XLSX)

**S2** Dataset. The supporting information of Colony formation assay. (DOCX)

**S3** Dataset. Dates of tumor growth. (XLSX)

**S4** Dataset. The original date of cell cycle distribution from the flow cytometric analysis. (DOCX)

**S5 Dataset. Images of western blot.** (DOCX)

# **Acknowledgments**

We would like to thank Dr. Li Xie and Xing-guo Song Ph.D for their helpful discussion of our work, and thank Mr. Xing-Wu Wang for flow cytometry.

## **Author Contributions**

Conceptualization: ZYY ZYL KWH.

Data curation: ZYL.

Formal analysis: ZYL KWH.

Funding acquisition: ZYY.

**Investigation:** QHM QY CYL IN XZW.

Methodology: ZYY ZYL KWH. Project administration: ZYY.



#### References

- Hsin-Ling Y, Chun-Chieh W, Chih-Hung L, Chee-Yin C, Ming-Feng H, Shu-Jyuan C, et al. β1 Integrin as a Prognostic and Predictive Marker in Triple-Negative Breast Cancer. International journal of molecular sciences. 2016; 17(9).
- He J, Yang J, Chen W, Wu H, Yuan Z, Wang K, et al. Molecular Features of Triple Negative Breast Cancer: Microarray Evidence and Further Integrated Analysis. PloS one. 2015; 10(6):e0129842. <a href="https://doi.org/10.1371/journal.pone.0129842">https://doi.org/10.1371/journal.pone.0129842</a> PMID: 26103053;
- Bellizzi A, Greco MR, Rubino R, Paradiso A, Forciniti S, Zeeberg K, et al. The scaffolding protein NHERF1 sensitizes EGFR-dependent tumor growth, motility and invadopodia function to gefitinib treatment in breast cancer cells. International journal of oncology. 2015; 46(3):1214–24. https://doi.org/10. 3892/ijo.2014.2805 PMID: 25530180.
- 4. Bao PP, Zhao GM, Shu XO, Peng P, Cai H, Lu W, et al. Modifiable Lifestyle Factors and Triple-negative Breast Cancer Survival: A Population-based Prospective Study. Epidemiology. 2015; 26(6):909–16. https://doi.org/10.1097/EDE.000000000000373 PMID: 26360370;
- Zhang LH, Yang AJ, Wang M, Liu W, Wang CY, Xie XF, et al. Enhanced autophagy reveals vulnerability of P-gp mediated epirubicin resistance in triple negative breast cancer cells. Apoptosis: an international journal on programmed cell death. 2016; 21(4):473–88. <a href="https://doi.org/10.1007/s10495-016-1214-9">https://doi.org/10.1007/s10495-016-1214-9</a>
   PMID: 26767845.
- Williams CB, Soloff AC, Ethier SP, Yeh ES. Perspectives on Epidermal Growth Factor Receptor Regulation in Triple-Negative Breast Cancer: Ligand-Mediated Mechanisms of Receptor Regulation and Potential for Clinical Targeting. Advances in cancer research. 2015; 127:253–81. <a href="https://doi.org/10.1016/bs.acr.2015.04.008">https://doi.org/10.1016/bs.acr.2015.04.008</a> PMID: 26093903.
- Liu T, Yacoub R, Taliaferro-Smith LD, Sun SY, Graham TR, Dolan R, et al. Combinatorial effects of lapatinib and rapamycin in triple-negative breast cancer cells. Molecular cancer therapeutics. 2011; 10 (8):1460–9. https://doi.org/10.1158/1535-7163.MCT-10-0925 PMID: 21690228.
- Sickmier EA, Kurzeja RJ, Michelsen K, Vazir M, Yang E, Tasker AS. The Panitumumab EGFR Complex Reveals a Binding Mechanism That Overcomes Cetuximab Induced Resistance. PloS one. 2016; 11 (9):e0163366. https://doi.org/10.1371/journal.pone.0163366 PMID: 27658254
- Xu H, Yu Y, Marciniak D, Rishi AK, Sarkar FH, Kucuk O, et al. Epidermal growth factor receptor (EGFR)-related protein inhibits multiple members of the EGFR family in colon and breast cancer cells. Molecular cancer therapeutics. 2005; 4(3):435–42. https://doi.org/10.1158/1535-7163.MCT-04-0280 PMID: 15767552.
- Liu D, Yang Y, Zhao S. Autophagy facilitates the EGFR-TKI acquired resistance of non-small-cell lung cancer cells. Journal of the Formosan Medical Association = Taiwan yi zhi. 2014; 113(3):141–2. https:// doi.org/10.1016/j.jfma.2012.10.017 PMID: 24630031.
- Mukai S, Moriya S, Hiramoto M, Kazama H, Kokuba H, Che XF, et al. Macrolides sensitize EGFR-TKI-induced non-apoptotic cell death via blocking autophagy flux in pancreatic cancer cell lines. International journal of oncology. 2016; 48(1):45–54. https://doi.org/10.3892/ijo.2015.3237 PMID: 26718641;
- Lei Y, Kansy BA, Li J, Cong L, Liu Y, Trivedi S, et al. EGFR-targeted mAb therapy modulates autophagy in head and neck squamous cell carcinoma through NLRX1-TUFM protein complex. Oncogene. 2016. https://doi.org/10.1038/onc.2016.11 PMID: 26876213.
- 13. Li M, Gao P, Zhang J. Crosstalk between Autophagy and Apoptosis: Potential and Emerging Therapeutic Targets for Cardiac Diseases. International journal of molecular sciences. 2016; 17(3).
- 14. Han W, Pan H, Chen Y, Sun J, Wang Y, Li J, et al. EGFR tyrosine kinase inhibitors activate autophagy as a cytoprotective response in human lung cancer cells. PloS one. 2011; 6(6):e18691. <a href="https://doi.org/10.1371/journal.pone.0018691">https://doi.org/10.1371/journal.pone.0018691</a> PMID: 21655094;
- 15. Sobhakumari A, Schickling BM, Love-Homan L, Raeburn A, Fletcher EV, Case AJ, et al. NOX4 mediates cytoprotective autophagy induced by the EGFR inhibitor erlotinib in head and neck cancer cells. Toxicology and applied pharmacology. 2013; 272(3):736–45. <a href="https://doi.org/10.1016/j.taap.2013.07.013">https://doi.org/10.1016/j.taap.2013.07.013</a> PMID: 23917044;
- Masui A, Hamada M, Kameyama H, Wakabayashi K, Takasu A, Imai T, et al. Autophagy as a Survival Mechanism for Squamous Cell Carcinoma Cells in Endonuclease G-Mediated Apoptosis. PloS one. 2016; 11(9):e0162786. https://doi.org/10.1371/journal.pone.0162786 PMID: 27658240
- 17. Sharma K, Goehe RW, Di X, Hicks MA 2nd, Torti SV, Torti FM, et al. A novel cytostatic form of autophagy in sensitization of non-small cell lung cancer cells to radiation by vitamin D and the vitamin D analog, EB 1089. Autophagy. 2014; 10(12):2346–61. https://doi.org/10.4161/15548627.2014.993283 PMID: 25629933;



- Li YY, Lam SK, Mak JC, Zheng CY, Ho JC. Erlotinib-induced autophagy in epidermal growth factor receptor mutated non-small cell lung cancer. Lung cancer. 2013; 81(3):354–61. https://doi.org/10.1016/ j.lungcan.2013.05.012 PMID: 23769318.
- Lee JG, Shin JH, Shim HS, Lee CY, Kim DJ, Kim YS, et al. Autophagy contributes to the chemo-resistance of non-small cell lung cancer in hypoxic conditions. Respiratory research. 2015; 16:138. <a href="https://doi.org/10.1186/s12931-015-0285-4">https://doi.org/10.1186/s12931-015-0285-4</a> PMID: 26553068;
- Chen M, He M, Song Y, Chen L, Xiao P, Wan X, et al. The cytoprotective role of gemcitabine-induced autophagy associated with apoptosis inhibition in triple-negative MDA-MB-231 breast cancer cells. International journal of molecular medicine. 2014; 34(1):276–82. https://doi.org/10.3892/ijmm.2014. 1772 PMID: 24804820.
- Cufi S, Vazquez-Martin A, Oliveras-Ferraros C, Corominas-Faja B, Urruticoechea A, Martin-Castillo B, et al. Autophagy-related gene 12 (ATG12) is a novel determinant of primary resistance to HER2-targeted therapies: utility of transcriptome analysis of the autophagy interactome to guide breast cancer treatment. Oncotarget. 2012; 3(12):1600–14. Epub 2013/01/12. PMID: 23307622; https://doi.org/10.18632/oncotarget.742
- 22. Zorov DB, Juhaszova M, Sollott SJ. Mitochondrial reactive oxygen species (ROS) and ROS-induced ROS release. Physiological reviews. 2014; 94(3):909–50. <a href="https://doi.org/10.1152/physrev.00026.2013">https://doi.org/10.1152/physrev.00026.2013</a> PMID: 24987008:
- Taylor RC, Cullen SP, Martin SJ. Apoptosis: controlled demolition at the cellular level. Nature reviews Molecular cell biology. 2008; 9(3):231–41. https://doi.org/10.1038/nrm2312 PMID: 18073771.
- 24. Kim SJ, Cheresh P, Jablonski RP, Williams DB, Kamp DW. The Role of Mitochondrial DNA in Mediating Alveolar Epithelial Cell Apoptosis and Pulmonary Fibrosis. International journal of molecular sciences. 2015; 16(9):21486–519. https://doi.org/10.3390/ijms160921486 PMID: 26370974;
- Tait SW, Green DR. Mitochondria and cell death: outer membrane permeabilization and beyond. Nature reviews Molecular cell biology. 2010; 11(9):621–32. https://doi.org/10.1038/nrm2952 PMID: 20683470.
- Tricarico PM, Crovella S, Celsi F. Mevalonate Pathway Blockade, Mitochondrial Dysfunction and Autophagy: A Possible Link. International journal of molecular sciences. 2015; 16(7):16067–84. <a href="https://doi.org/10.3390/ijms160716067">https://doi.org/10.3390/ijms160716067</a> PMID: 26184189;
- Xie L, Song X, Guo W, Wang X, Wei L, Li Y, et al. Therapeutic effect of TMZ-POH on human nasopharyngeal carcinoma depends on reactive oxygen species accumulation. Oncotarget. 2016; 7(2):1651–62. https://doi.org/10.18632/oncotarget.6410 PMID: 26625208;
- 28. Moulder SL, Yakes FM, Muthuswamy SK, Bianco R, Simpson JF, Arteaga CL. Epidermal growth factor receptor (HER1) tyrosine kinase inhibitor ZD1839 (Iressa) inhibits HER2/neu (erbB2)-overexpressing breast cancer cells in vitro and in vivo. Cancer research. 2001; 61(24):8887–95. PMID: 11751413.
- 29. Herbst RS, Giaccone G, Schiller JH, Natale RB, Miller V, Manegold C, et al. Gefitinib in combination with paclitaxel and carboplatin in advanced non-small-cell lung cancer: a phase III trial—INTACT 2. Journal of clinical oncology: official journal of the American Society of Clinical Oncology. 2004; 22 (5):785–94. https://doi.org/10.1200/JCO.2004.07.215 PMID: 14990633.
- 30. Ribback S, Sailer V, Bohning E, Gunther J, Merz J, Steinmuller F, et al. The Epidermal Growth Factor Receptor (EGFR) Inhibitor Gefitinib Reduces but Does Not Prevent Tumorigenesis in Chemical and Hormonal Induced Hepatocarcinogenesis Rat Models. International journal of molecular sciences. 2016;17(10). https://doi.org/10.3390/ijms17101618 PMID: 27669229;
- Baselga J, Albanell J, Ruiz A, Lluch A, Gascon P, Guillem V, et al. Phase II and tumor pharmacodynamic study of gefitinib in patients with advanced breast cancer. Journal of clinical oncology: official journal of the American Society of Clinical Oncology. 2005; 23(23):5323–33. <a href="https://doi.org/10.1200/JCO.2005.08.326">https://doi.org/10.1200/JCO.2005.08.326</a> PMID: 15939921.
- 32. Anido J, Matar P, Albanell J, Guzman M, Rojo F, Arribas J, et al. ZD1839, a specific epidermal growth factor receptor (EGFR) tyrosine kinase inhibitor, induces the formation of inactive EGFR/HER2 and EGFR/HER3 heterodimers and prevents heregulin signaling in HER2-overexpressing breast cancer cells. Clinical cancer research: an official journal of the American Association for Cancer Research. 2003; 9(4):1274–83. PMID: 12684395.
- Janmaat ML, Giaccone G. Small-molecule epidermal growth factor receptor tyrosine kinase inhibitors. The oncologist. 2003; 8(6):576–86. PMID: 14657536.
- Strohecker AM, Guo JY, Karsli-Uzunbas G, Price SM, Chen GJ, Mathew R, et al. Autophagy sustains mitochondrial glutamine metabolism and growth of BrafV600E-driven lung tumors. Cancer discovery. 2013; 3(11):1272–85. https://doi.org/10.1158/2159-8290.CD-13-0397 PMID: 23965987;
- Karsli-Uzunbas G, Guo JY, Price S, Teng X, Laddha SV, Khor S, et al. Autophagy is required for glucose homeostasis and lung tumor maintenance. Cancer discovery. 2014; 4(8):914–27. Epub 2014/05/31. https://doi.org/10.1158/2159-8290.CD-14-0363 PMID: 24875857;



- 36. Tang MC, Wu MY, Hwang MH, Chang YT, Huang HJ, Lin AM, et al. Chloroquine enhances gefitinib cytotoxicity in gefitinib-resistant nonsmall cell lung cancer cells. PloS one. 2015; 10(3):e0119135. https://doi.org/10.1371/journal.pone.0119135 PMID: 25807554;
- 37. Yang Z, Liu Y, Wei X, Zhou X, Gong C, Zhang T, et al. Co-targeting EGFR and Autophagy Impairs Ovarian Cancer Cell Survival during Detachment from the ECM. Current cancer drug targets. 2015; 15 (3):215–26. PMID: 25619386.
- Kang M, Lee KH, Lee HS, Jeong CW, Kwak C, Kim HH, et al. Concurrent Autophagy Inhibition Overcomes the Resistance of Epidermal Growth Factor Receptor Tyrosine Kinase Inhibitors in Human Bladder Cancer Cells. Int J Mol Sci. 2017; 18(2). https://doi.org/10.3390/ijms18020321 PMID: 28165387;
- Guo JY, Xia B, White E. Autophagy-mediated tumor promotion. Cell. 2013; 155(6):1216–9. https://doi. org/10.1016/j.cell.2013.11.019 PMID: 24315093;
- 40. Sui X, Kong N, Zhu M, Wang X, Lou F, Han W, et al. Cotargeting EGFR and autophagy signaling: A novel therapeutic strategy for non-small-cell lung cancer. Molecular and clinical oncology. 2014; 2(1):8–12. https://doi.org/10.3892/mco.2013.187 PMID: 24649300;
- 41. Sugita S, Ito K, Yamashiro Y, Moriya S, Che XF, Yokoyama T, et al. EGFR-independent autophagy induction with gefitinib and enhancement of its cytotoxic effect by targeting autophagy with clarithromycin in non-small cell lung cancer cells. Biochemical and biophysical research communications. 2015; 461(1):28–34. https://doi.org/10.1016/j.bbrc.2015.03.162 PMID: 25858318.
- 42. Dragowska WH, Weppler SA, Wang JC, Wong LY, Kapanen AI, Rawji JS, et al. Induction of autophagy is an early response to gefitinib and a potential therapeutic target in breast cancer. PloS one. 2013; 8 (10):e76503. Epub 2013/10/23. https://doi.org/10.1371/journal.pone.0076503 PMID: 24146879;
- 43. Sakuma Y, Matsukuma S, Nakamura Y, Yoshihara M, Koizume S, Sekiguchi H, et al. Enhanced autophagy is required for survival in EGFR-independent EGFR-mutant lung adenocarcinoma cells. Laboratory investigation; a journal of technical methods and pathology. 2013; 93(10):1137–46. Epub 2013/08/14. https://doi.org/10.1038/labinvest.2013.102 PMID: 23938604.
- Simon HU, Haj-Yehia A, Levi-Schaffer F. Role of reactive oxygen species (ROS) in apoptosis induction. Apoptosis: an international journal on programmed cell death. 2000; 5(5):415–8. PMID: 11256882.
- 45. Pawlowska E, Blasiak J. DNA Repair—A Double-Edged Sword in the Genomic Stability of Cancer Cells —The Case of Chronic Myeloid Leukemia. International journal of molecular sciences. 2015; 16 (11):27535–49. https://doi.org/10.3390/ijms161126049 PMID: 26593906;
- Jeong CH, Joo SH. Downregulation of Reactive Oxygen Species in Apoptosis. Journal of cancer prevention. 2016; 21(1):13–20. https://doi.org/10.15430/JCP.2016.21.1.13 PMID: 27051644;
- Smith PM, Ferguson AV. Recent advances in central cardiovascular control: sex, ROS, gas and inflammation. F1000Research. 2016; 5. https://doi.org/10.12688/f1000research.7987.1 PMID: 27092251;
- Schointuch MN, Gilliam TP, Stine JE, Han X, Zhou C, Gehrig PA, et al. Simvastatin, an HMG-CoA reductase inhibitor, exhibits anti-metastatic and anti-tumorigenic effects in endometrial cancer. Gynecologic oncology. 2014; 134(2):346–55. <a href="https://doi.org/10.1016/j.ygyno.2014.05.015">https://doi.org/10.1016/j.ygyno.2014.05.015</a> PMID: 24880141:
- 49. Nair SV, Ziaullah, Rupasinghe HP. Fatty acid esters of phloridzin induce apoptosis of human liver cancer cells through altered gene expression. PLoS One. 2014; 9(9):e107149. <a href="https://doi.org/10.1371/journal.pone.0107149">https://doi.org/10.1371/journal.pone.0107149</a> PMID: 25229655;
- 50. Zhu Y, Wei W, Ye T, Liu Z, Liu L, Luo Y, et al. Small Molecule TH-39 Potentially Targets Hec1/Nek2 Interaction and Exhibits Antitumor Efficacy in K562 Cells via G0/G1 Cell Cycle Arrest and Apoptosis Induction. Cell Physiol Biochem. 2016; 40(1–2):297–308. https://doi.org/10.1159/000452546 PMID: 27855372.
- Huang SW, Wu CY, Wang YT, Kao JK, Lin CC, Chang CC, et al. p53 modulates the AMPK inhibitor compound C induced apoptosis in human skin cancer cells. Toxicol Appl Pharmacol. 2013; 267(1):113– 24. https://doi.org/10.1016/j.taap.2012.12.016 PMID: 23274516.
- 52. Shen H, Liu L, Yang Y, Xun W, Wei K, Zeng G. Betulinic Acid Inhibits Cell Proliferation in Human Oral Squamous Cell Carcinoma Via Modulating ROS-Regulated p53 Signaling. Oncol Res. 2017. <a href="https://doi.org/10.3727/096504017X14841698396784">https://doi.org/10.3727/096504017X14841698396784</a> PMID: 28109089.
- 53. Kavitha N, Ein Oon C, Chen Y, Kanwar JR, Sasidharan S. Phaleria macrocarpa (Boerl.) fruit induce G0/G1 and G2/M cell cycle arrest and apoptosis through mitochondria-mediated pathway in MDA-MB-231 human breast cancer cell. J Ethnopharmacol. 2017; 201:42–55. https://doi.org/10.1016/j.jep.2017.02.041 PMID: 28263848
- **54.** Wang X. The expanding role of mitochondria in apoptosis. Genes & development. 2001; 15(22):2922–33. PMID: 11711427.
- 55. Wang C, Youle RJ. The Role of Mitochondria in Apoptosis. Genetics. 2009; 41(43):11-22.



- 56. Renault TT, Elkholi R, Bharti A, Chipuk JE. BH3 Mimetics Demonstrate Differential Activities Dependent Upon the Functional Repertoire of Pro- and Anti-Apoptotic BCL-2 Family Proteins. Journal of Biological Chemistry. 2014; 289(38):26481–91. https://doi.org/10.1074/jbc.M114.569632 PMID: 25096574
- 57. Shi Y. Mechanical aspects of apoptosome assembly. Current Opinion in Cell Biology. 2006; 18(6):677–84. https://doi.org/10.1016/j.ceb.2006.09.006 PMID: 17046227
- Youle RJ, Strasser A. The BCL-2 protein family: opposing activities that mediate cell death. Nature Reviews Molecular Cell Biology. 2008; 9(1):47–59. https://doi.org/10.1038/nrm2308 PMID: 18097445