#### **ORIGINAL ARTICLE**



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## Effects of percutaneous vertebroplasty on respiratory parameters in patients with osteoporotic vertebral compression fractures

Ching-Hou Ma<sup>a,b</sup>, Hsin-Lun Yang<sup>c,d</sup>, Yu-Ting Huang<sup>c</sup>, Zhi-Xiang Wu<sup>c</sup>, Hui-Ching Cheng<sup>a,b,c</sup>, Wan-Ching Chou<sup>a,b,c</sup>, Ching-Hsia Hung<sup>c,d</sup> and Kun-Ling Tsai<sup>c,d</sup>

<sup>a</sup>Department of Orthopedics, E-Da Hospital, Kaohsiung City 824, Taiwan; <sup>b</sup>School of Medicine for International Students, College of Medicine, I-Shou University, Kaohsiung City 824, Taiwan; <sup>c</sup>Department of Physical Therapy, College of Medicine, National Cheng Kung University, Tainan City 701 Taiwan; <sup>d</sup>Institute of Allied Health Sciences, College of Medicine, National Cheng Kung University, Tainan City 701, Taiwan

#### ABSTRACT

**Background:** Vertebral compression fractures (VCFs) often occur in patients with osteoporosis. These fractures can also lead to postural changes. Several studies have shown that patients with vertebral compression fractures have a restrictive pattern in their pulmonary function. Percutaneous vertebroplasty (PVP) is the standard treatment for vertebral compression fractures, with the benefits of pain relief and enhancement of vertebral stability for partially collapsed vertebral bodies. However, the effects of PVP on short-term recovery of respiratory performance have not been investigated. Therefore, this study aimed to investigate the changes in pulmonary function, respiratory muscle strength, maximal voluntary ventilation (MVV), and chest mobility in patients with vertebral compression fractures after PVP.

**Methods:** This research was approved by the clinic committee of the E-DA Hospital Institutional Review Board (EMRP07109N) and registered in the Thai Clinical Trials Registry (TCTR20211029005). We recruited 32 VCF patients. Four-time points were measured: before and after PVP and 1 and 3 weeks after PVP. We measured pulmonary function and maximum voluntary ventilation (MVV) by using spirometry. Respiratory muscle strength was assessed by using a respiratory pressure meter. The chest expansion test was used to evaluate chest mobility. A visual analogue scale (VAS) was used to assess resting and aggravated back pain.

**Results:** Chest expansion and back pain improved at each time point after PVP. MVV showed significant progress at both 1 and 3 weeks after discharge. Forced expiratory volume in 1 second (FEV1) and maximal inspiratory muscle strength significantly improved 1 week after discharge.

**Conclusion:** Taking all the data together, PVP not only can resolve severe back pain but can also provide excellent improvements in MVV and chest mobility in patients with vertebral compression fractures.

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#### **KEYWORDS**

Osteoporosis; vertebral compression fracture; percutaneous vertebroplasty; pulmonary function; respiratory muscle strength

#### 1. Introduction

The World Health Organisation (WHO) defines osteoporosis as a systemic bone disease. Its characteristics include decreased bone mass and deterioration of the microstructure of bone tissue, resulting in weak bones and an increased risk of fracture [1]. Vertebral compression fracture (VCF) is diagnosed by a reduction of more than 20% or 4 mm of the individual vertebral height [2]. There are many causes of VCF, such as traumatic history, cancer, or osteoporosis. It is well established that VCF causes intensive pain in the back and impairment of physical function, which affects the patient's quality of life and psychosocial status [3,4]. Osteoporotic VCF affects a calculated 1.4 million individuals around the world every year [5].

Aside from severe pain in the back, patients with VCF also tend to have abnormal posture and excessive kyphosis [6]. Numerous reports have revealed that a restrictive pattern in pulmonary function is positively correlated with the severity of vertebral fracture and the extent of kyphosis [7,8]. Patients with osteoporosis-related kyphosis were shown to have significantly decreased vital capacity (VC), lateral rib expansion, and forced expiratory volume in one second (FEV<sub>1</sub>). A negative correlation between predicted VC and the degree of kyphosis has also been reported [8,9].

CONTACT Kun-Ling Tsai 🛛 kunlingtsai@mail.ncku.edu.tw 🗈 Department of Physical Therapy, National Cheng Kung University, No.1, University Road, Tainan City, 701, Taiwan (R.O.C)

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Painful osteoporotic VCF is difficult to cure with medical treatment. Percutaneous vertebroplasty (PVP) was initially described by Deramond's group in 1987 for the treatment of an aggressive vertebral haemangioma [10]. To date, PVP has become a popular intervention for the management of VCF patients. PVP is an image-guided procedure in which radiopaque bone cement is injected into the collapsed vertebral body, providing stability to the vertebral body and relieving back pain [11].

Previous studies confirmed that vertebral compression fracture can cause a restrictive pulmonary function pattern and negatively influence respiratoryrelated parameters. Percutaneous vertebroplasty is the standard intervention used to resolve severe back pain. However, to date, no studies have explored the progress in respiratory performance after percutaneous vertebroplasty. Based on previous reports, in this study we aimed to investigate the changes in pulmonary function, respiratory muscle strength, and chest mobility after percutaneous vertebroplasty in patients with vertebral compression fracture.

#### 2. Materials and methods

#### 2.1. Study participants

Patients with osteoporotic vertebral compression fractures were recruited from the outpatient clinic of the orthopaedic department of E-DA Hospital, Kaohsiung, Taiwan. Patients were eligible for inclusion if they were 45-90 years of age, diagnosed with osteoporotic vertebral compression fracture, and planning to receive percutaneous vertebroplasty. Patients who had concurrent malignancy or acute infectious disease, a history of thoracic or lumbar fusion surgery, a diagnosis of pulmonary disease (e.g. lung cancer, asthma, chronic obstructive pulmonary disease, or pneumothorax), or limited range of motion in shoulder active flexion and abduction (less than 120°) were excluded from this study. This research was approved by the clinic committee of the E-DA Hospital Institutional Review Board (EDAH IRB: EMRP07109N) and registered in the Thai Clinical Trials Registry (TCTR) (TCTR20211029005). This clinical trial recruited participants from 07 May 2020 to 06 May 2021. All participants provided informed consent and underwent baseline assessment measurements.

#### 2.2. Study protocol

The present study enrolled 32 participants, 5 men and 27 women. There were four study time points for

testing: (1) before the operation; (2) after the operation; (3) one week after discharge; and (4) 3 weeks after discharge. All assessments were conducted by the same physical therapist. The participants received conventional treatment during hospitalisation and were discharged for follow-up at the orthopaedic outpatient department as part of the general care protocol. The content of this study protocol is shown in Figure 1.

## **2.3.** Pulmonary function test and maximal voluntary ventilation

Spirometry (microQuark, COSMED, Italy) was used to measure pulmonary function and maximal voluntary ventilation. The values of forced vital capacity (FVC), forced expiratory volume in 1s (FEV1), and peak expiratory flow rate (PEFR) were used to indicate lung capacity, airway resistance, and gas exchange efficiency. Maximal voluntary ventilation (MVV) was collected by monitoring the maximal volume and effort of ventilation during an interval of 12s. Each measurement was performed three times and averaged at each study time point to assure the measurement was reproducible and accurate.

#### 2.4. Respiratory muscle strength

A GB60 pressure gauge (JITTO, Taiwan) connected to a one-way valve and a mouthpiece was used to measure respiratory muscle strength. To measure maximal



Figure 1. Study scheme and subject recruitment.

inspiratory pressure (MIP, PImax), the patient was instructed to exhale completely and then inhale forcefully. Maximal expiratory pressure (MEP, PEmax) was measured during forced expiration after a full inhalation. The largest negative pressure of inhalation and positive pressure of exhalation were record. Each measurement was performed three times and averaged at each study time point to assure the measurement was reproducible and accurate.

#### 2.5. Chest mobility

The chest expansion test was selected to test the mobility of the rib cage [12,13]. While in a seated position, the patient was asked to breathe in gradually through the nose, using lung expansion to push the measuring tape as much as possible, then to breathe out through the mouth as completely as possible. The therapist measured the difference in chest circumference between the end of a complete inspiration and expiration cycle with a cloth tape. The anatomical mark was the xiphoid process, and the tenth thoracic spinous process was determined as the posterior landmark [14]. The chest mobility test was performed three times and averaged at each study time point to assure the measurement was reproducible and accurate. This method was proven to be reliable with ICCs of 0.81-0.91 (95% confidence interval, 0.69-0.99) [15].

#### 2.5. Back pain scale

Pain intensity was evaluated by the visual analogue scale (VAS). Operationally, the VAS was a horizontal line 100 mm in length anchored by descriptors at each end: "No pain" and "Very severe pain". The patient was instructed to mark the point on the line indicating their perception of pain in the resting state (resting pain) and in the worst state in which pain was aggravated by daily activity (aggravated pain). The VAS score was determined by measuring, in millimetres, from the left side of the line to the point the patient marked [16].

#### 2.7. Statistical analysis

The results are presented as mean and standard deviation. Because of the small sample size, Wilcoxon signed-rank test was used to analyse differences among time points. The significance level was considered as p < .05. All statistical analyses were performed by SPSS software version 22.0 (SPSS, Chicago, IL).

#### Table 1. Baseline characteristics of the VCF patients.

	Total	Male	Female
Gender, %		16% (5/32)	84% (27/32)
Age, years	$75.7 \pm 8.6$	$75.4 \pm 5.6$	75.8 ± 9.1
Height, cm	$150.5 \pm 8.1$	158.7 ± 3.3	$148.9 \pm 7.7$
Weight, kg	$55.2 \pm 12.1$	66.9 ± 18.2	53.1 ± 9.7
Admission day, days	$2.1 \pm 0.7$	$1.8 \pm 0.4$	$2.1\pm0.8$

Data was presented as mean and standard deviation.

#### 3. Results

## **3.1.** Demographic data and clinical characteristics of subjects

In this study, 33 patients with osteoporotic compression fractures who met the inclusion criteria were referred from the orthopaedic outpatient department and signed informed consent. However, 1 patient was excluded because of difficulty using the mouthpiece due to facial palsy. Thus, there were 32 participants in total, 5 men and 27 women. The average age was  $75.7 \pm 8.6$  years ( $75.4 \pm 5.6$  years for men,  $75.8 \pm 9.1$  years for women), average height was  $150.8 \pm 8.1$  cm ( $158.7 \pm 3.3$  cm for men,  $148.9 \pm 7.7$  cm for women), and average weight was  $55.2 \pm 12.1$  kg ( $66.9 \pm 18.2$  kg for men,  $53.1 \pm 9.7$  kg for women). The average admission was  $2.1 \pm 0.7$  days ( $1.8 \pm 0.4$  days for men,  $2.2 \pm 0.8$  days for women) (Table 1).

### **3.2. Effects on pulmonary function in osteoporotic compression fractures with PVP**

Figure 2 shows that the performance of forced vital capacity (FVC) was not significantly different between any time points. The parameters FEV<sub>1</sub> and FEV<sub>1</sub> predicted showed a statistical difference between before operation and 1 week after discharge (time point 1 vs. time point 3). FEV1/FVC showed statistically significant differences between 1 week after discharge and post operation and 3 weeks after discharge (time point 3 vs. time points 2 and 4). Peak expiratory flow rate (PEFR) showed a significant difference between post-operation and one week after discharge (time point 2 vs. time point 3).

# **3.3.** Effects on respiratory muscle strength and maximal voluntary ventilation in osteoporotic compression fractures with PVP

For respiratory muscle strength, we found no statistical difference in maximal inspiratory pressure (MIP) after PVP. However, there was a statistically significant difference between before PVP and 1 week after discharge (time point 1 vs. time point 3). Maximal expiratory pressure (MEP) showed a statistical difference between post-PVP and 3 weeks after discharge (time point 2 vs. time point 4) (Figure 3). Moreover, we found no statistically significant



**Figure 2.** Pulmonary function test of each period. Data was presented as mean and standard deviation. Wilcoxon signed-rank test was used to analyse differences among time points. TP1: time point 1, before the operation; TP2: time point 2, after the operation; TP3: time point 3, 1 week after discharge; TP4: time point 4, 3 weeks after discharge; FVC: forced vital capacity; FEV1: forced expiratory volume in one second; PEFR: peak expiratory flow rate.

difference in maximal voluntary ventilation (MVV) before and after surgery. However, there were statistically significant differences between before PVP and 1 and 3 weeks after discharge (time point 1 vs. time points 3 and 4). There were also statistically significant differences between post-PVP and 1 and 3 weeks after discharge (time point 2 vs. time points 3 and 4). However, there was no significant difference between 1 and 3 weeks after discharge (time point 3 vs. time point 4), as shown in Figure 3.

## **3.4. Effects on chest expansion in osteoporotic compression fractures with PVP**

Improved chest wall expansion was immediately apparent after PVP (time point 1 vs. time point 2). Statistical differences were found when comparing PVP 1 and 3 weeks after discharge (time point 1 vs. time points 3 and 4). There was also a significant improvement between after PVP and 1 week after discharge (time point 2 vs. time point 3) (Figure 4).

## **3.5. Effects on back pain scale in osteoporotic compression fractures with PVP**

Resting pain showed significant improvement after PVP (time point 1 vs. time point 2), before PVP and 1 week after discharge (time point 1 vs. time point 3), before PVP and 3 weeks after discharge (time point 1 vs. time point 4), and post-PVP and 1 week after discharge (time point 2 vs. time point 3). The tendency of improved aggravated pain was similar to the results of resting pain (Figure 5).

#### 4. Discussion

Vertebral compression fractures are known to occur in the elderly. Transcutaneous vertebroplasty is the most common treatment for vertebral compression fractures. According to the standard procedure, transcutaneous vertebroplasty is performed by injecting radiopaque bone cement into the partially collapsed vertebral body to achieve pain relief, increase spine



**Figure 3.** Respiratory muscles strength and Maximal voluntary ventilation of each period. Data was presented as mean and standard deviation. Wilcoxon signed-rank test was used to analyse differences among time points. TP1: time point 1, before the operation; TP2: time point 2, after the operation; TP3: time point 3, 1 week after discharge; TP4: time point 4, 3 weeks after discharge; MIP: maximal inspiratory pressure; MEP: maximal expiratory pressure; MVV: maximal voluntary ventilation.



**Figure 4.** Chest mobility of each period. Data was presented as mean and standard deviation. Wilcoxon signed-rank test was used to analyse differences among time points. TP1: time point 1, before the operation; TP2: time point 2, after the operation; TP3: time point 3, 1 week after discharge; TP4: time point 4, 3 weeks after discharge.

stability, and even restore the vertebral body height [11]. Over the past few decades, several studies have explored the clinical benefits of transcutaneous vertebroplasty in terms of pain and quality of life, as well as its adverse events [17]. In this study, we investigated the effect of percutaneous vertebroplasty (PVP) on pulmonary function, respiratory muscle strength, chest mobility, and back pain status in patients with vertebral compression fracture (VCF).

Several studies have confirmed that patients with VCF have diminished respiratory performance [18,19]. We found that our study participants only showed short-term improvement in FEV1 in the pulmonary function test after PVP. Abnormal trunk posture can lead to lung compression, reduced rib mobility, and restricted breathing capacity [20]. Our experiment did not include posture training, and the pulmonary function test results were not as expected. On the other hand, a previous study reported that VCF in patients with rib fractures improved continuously over 1 year

rved a relationship between

x, age, pulmonary function,

at PVP provides immediate,

strength [14,16,24]. Our



**Figure 5.** Back pain state of each period. Data was presented as mean and standard deviation. Wilcoxon signed-rank test was used to analyse differences among time points. TP1: time point 1, before the operation; TP2: time point 2, after the operation; TP3: time point 3, 1 week after discharge; TP4: time point 4, 3 weeks after discharge; VAS: visual analogue scale.

after open reduction and internal fixation [21]. To achieve the desired pulmonary function, we suggest adding respiratory training and an aerobic exercise program to the treatment plan for patients with VCF after PVP in clinical practice.

Respiratory muscle strength involves inspiratory and expiratory muscle action. To date, there has been no study investigating respiratory muscle strength in VCF patients. According to our experimental results, MEP data showed significant improvement between post-operation and 3 weeks after discharge (time point 2 vs. time point 4). This positive progress may be related to patients returning to their past physical activity due to relief of their aggravated back pain. Maximal voluntary ventilation (MVV) is the maximal air volume an individual can breathe over 12s [22]. This parameter provides information on respiratory muscle mechanics and endurance, which are involved in the mechanism of dyspnoea and exercise limitation [23]. MVV is also closely correlated with the Cobb angle, which is the most widely used measurement to guantify the magnitude of spinal deformities. It was reported that this is a more practical and sensitive test than FVC for assessing adolescent patients with idiopathic scoliosis [12]. In this study, MVV showed remarkable short-term and long-term improvements. It was found that MVV increased post-operation and 1 week after discharge (time points 2 and 3). It can be predicted that PVP promotes respiratory muscle mechanics and increases exercise capacity in VCF patients.

Frm improvements in chest ition, effective pain manageonary complications such as onia [25]. PVP also provided ind long-term effects in terms resting pain and aggravated ifter PVP. A previous study with vertebral compression fractures who underwent PVP had a decreased pain scale by 3.5±2.6 points on the third day, 4.2±2.7 points in the second week, 4.6±3.0 points in the first month, 5.4±3.5 points in the third month, and 6.1±3.3 points in the sixth month after the operation

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with regard to aggravated back pain. This article was the first research to investigate pulmonary function, chest mobility and pain in VCF patients after percutaneous vertebroplasty. Based on ethical considerations, our study was an open-label single-arm study that explored change through time of intervention. There were some limitations in the present study. First, the research was conducted in a single centre. Second, Percutaneous vertebroplasty was performed by the same orthopaedic physician. Further studies that included more physicians and multicenter trials were needed to support the external validity and generalised the results across situations. Third, insufficient sample size and skewed gender demographic in our study may affect the reliability of the results. Large scale randomized-control trials would be suggested to reduce sample bias in future research.

[26]. Our results showed a similar tendency to those

#### 5. Conclusion

Taking all the data together, PVP for patients with VCF was found to not only resolve severe back pain, but also provide excellent improvements in MVV and chest mobility. The effects on pulmonary function and respiratory muscle strength were limited. Thus, further studies should combine respiratory exercise training and respiratory muscle training to optimise the impact of PVP.

#### **Ethics approval**

This research was approved by the clinic committee of the E-DA Hospital Institutional Review Board (EDAH IRB:

EMRP07109N) and registered in the Thai Clinical Trials Registry (TCTR) (TCTR20211029005).

#### **Author contributions**

Conception and design: Ching-Hou Ma, Zhi-Xiang Wu, and Yu-Ting Huang; data curation: Ching-Hou Ma, Zhi-Xiang Wu, and Hsin-Lun Yang; formal analysis: Hui-Ching Cheng and Wan-Ching Chou; investigation: Hui-Ching Cheng, Wan-Ching Chou, Ching-Hsia Hung and Kun-Ling Tsai; writing – original draft: Ching-Hou Ma and Kun-Ling Tsai; writing – review and editing: Ching-Hou Ma, Hsin-Lun Yang, Yu-Ting Huang and Kun-Ling Tsai. All authors agree to be accountable for all aspects of the work.

#### **Disclosure statement**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Data availability statement

The data presented in this study are available in the article.

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