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## Oncogenic and drug sensitive NTRK1 rearrangements in lung cancer

A. Vaishnavi<sup>#1</sup>, M. Capelletti<sup>#2</sup>, A.T. Le<sup>1</sup>, S. Kako<sup>3</sup>, M. Butaney<sup>2</sup>, D. Ercan<sup>2</sup>, S. Mahale<sup>3</sup>, K.D. Davies<sup>1</sup>, D.L. Aisner<sup>3,4</sup>, A.B. Pilling<sup>1</sup>, E.M. Berge<sup>1</sup>, J. Kim<sup>5</sup>, H. Sasaki<sup>6</sup>, S. Park<sup>7</sup>, G. Kryukov<sup>8</sup>, L.A. Garraway<sup>8,9</sup>, Peter S. Hammerman<sup>2</sup>, J. Haas<sup>10</sup>, S.W. Andrews<sup>10</sup>, D. Lipson<sup>11</sup>, P.J. Stephens<sup>11</sup>, V.A. Miller<sup>11</sup>, M. Varella-Garcia<sup>1,3</sup>, P.A. Jänne<sup>#2,12</sup>, and R.C. Doebele<sup>#1,3</sup>

<sup>1</sup>Division of Medical Oncology, Department of Medicine, University of Colorado School of Medicine, Aurora, CO

<sup>2</sup>Lowe Center for Thoracic Oncology, Dana-Farber Cancer Institute, Boston, MA

<sup>3</sup>University of Colorado Cancer Center, Aurora, CO

<sup>4</sup>Department of Pathology, University of Colorado School of Medicine, Aurora, CO

<sup>5</sup>Department of Thoracic Surgery, Samsung Medical Center, Sungkyunkwan University School of Medicine, Seoul, Korea

<sup>6</sup>Department of Oncology, Immunology and Surgery, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan

<sup>7</sup>Department of Thoracic Surgery, Asan Medical Center, University of Ulsan College of Medicine, Seoul, Korea

<sup>8</sup>Broad Institute, Cambridge, MA

<sup>9</sup>Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, MA

<sup>10</sup>Array BioPharma, Boulder, CO

<sup>11</sup>Foundation Medicine, Inc., Boston, MA

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**Corresponding authors:** Correspondence to: Robert C. Doebele (Robert.Doebele@ucdenver.edu) or Pasi A. Jänne (Pasi\_Janne@dfci.harvard.edu).

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### Contributions

R.C.D., P.A.J., and V.A.M. conceived of the research idea. R.C.D and P.A.J. designed experiments, took responsibility for the oversight of the project and wrote the manuscript. A.V. and D.E. designed and performed immunoblot, generated Ba/F3 and NIH-3T3 cells, performed flow cytometry and MTS assays and contributed to writing of the manuscript. D.L., and P.J.S. designed and performed NGS assays. M.V.G. designed FISH probes and interpreted FISH experiments. S.K. and S.M. performed and analyzed FISH experiments. A.T.L. and M.C. performed cloning of RT-PCR fusion constructs. D.L.A. analyzed tumor samples and performed IHC. K.D.D. performed *in vitro* analyses and contributed to interpretation of the data. A.B.P. performed *in vivo* experiments and contributed to interpretation of data. P.S.H., L.A.G., and G.K. performed bioinformatics analyses. J.H. and S.W.A. designed and profiled ARRY-470. E.M.B. and M.B. collected clinical data. J.K., H.S., and S.P. provided subject specimens. All authors contributed to revision of the manuscript.

<sup>12</sup>Belfer Institute for Applied Cancer Science, Dana-Farber Cancer Institute, Boston, MA

# These authors contributed equally to this work.

## Abstract

We identified novel gene fusions in patients with lung cancer harboring the kinase domain of the *NTRK1* gene that encodes the TRKA receptor. Both the *MPRIP-NTRK1* and *CD74-NTRK1* fusions lead to constitutive TRKA kinase activity and are oncogenic. Treatment of cells expressing *NTRK1* fusions with inhibitors of TRKA kinase activity inhibited autophosphorylation of TRKA and cell growth. Three of 91 lung cancer patients (3.3%), without known oncogenic alterations, assayed by NGS or FISH demonstrated evidence of *NTRK1* gene fusions.

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Orally active kinase inhibitors crizotinib and erlotinib or gefitinib are superior to standard chemotherapy in lung cancer patients with *ALK* fusions or *EGFR* mutations, respectively.<sup>1,2</sup> Additional oncogenes such as *ROS1*, *RET*, and *FGFR1-3* fusions have been identified in lung cancer and demonstrate great potential for therapeutic intervention.<sup>3-9</sup> These oncogenes also occur in several other common malignancies expanding the potential relevance of this therapeutic approach.<sup>9-12</sup>

We performed a targeted next generation sequencing (NGS) assay on tumor samples from 36 patients with lung adenocarcinoma whose tumors did not contain known genetic alterations using standard clinical assays (Supplementary Table 1).<sup>10</sup>

We detected evidence of an in-frame gene fusion event, in 2 of 36 patients, involving the kinase domain of the *NTRK1* gene, which encodes the TRKA receptor tyrosine kinase (Fig. 1a, Supplementary Fig. 1). In the first case, the 5' end of the myosin phosphatase Rho interacting protein (*MPRIP*) gene is joined with the 3' end of *NTRK1*. *MPRIP* is involved in actin cytoskeleton regulation and has been implicated in a gene fusion in small cell lung cancer, putatively causing early termination of *TP53*.<sup>13</sup> A second case harbored a *CD74-NTRK1* gene fusion. Confirmation of the exon junctions and mRNA expression was achieved by RT-PCR and cloning of the entire cDNA (Supplementary Fig. 2-4). We detected expression of the fusion protein, RIP-TRKA (encoded by *MPRIP-NTRK1*) in both a malignant pleural effusion sample and early passage cells (CUTO-3) growing in culture (Supplementary Fig. 4). CUTO-3 cells demonstrated autophosphorylation of this novel protein at critical TRKA tyrosine residues.<sup>14</sup> *MPRIP* harbors three coiled-coil domains, a common feature of 5' fusion gene partners whose function is likely to mediate dimerization and consequently activation of the TRKA kinase domain (Supplementary Fig. 5).<sup>15,16</sup> *CD74*, which encodes the MHC class II invariant chain, is a known activating fusion partner of *ROS1* and the *CD74-TRKA* protein is predicted to be localized in the plasma membrane (Supplementary Fig. 5).<sup>3,17-19</sup>

We developed a fluorescence *in situ* hybridization (FISH) assay to detect chromosomal rearrangements within the *NTRK1* gene (Supplementary Fig. 6a). Hybridization of these probes showed clear separation of the 5' and 3' probes in the tumor samples containing the *MPRIP*- or *CD74-NTRK1* gene fusions, but not in a control sample (Fig. 1b and Supplementary Fig. 6b). Fusions between *NTRK1* and *TPM3*, *TFG*, or *TPR* have previously

been identified in colorectal and thyroid cancers.<sup>11,20</sup> Although *TPM3* (1q22-23) lies in close proximity to *NTRK1* (1q21-22), FISH could detect a separation in signals in the KM12 colorectal cell line that harbors a *TPM3-NTRK1* fusion (Supplementary Fig. 6c and 7).<sup>21</sup> Using this FISH assay, 56 additional lung adenocarcinoma samples without detectable oncogenic alterations were screened for *NTRK1* rearrangements and one additional positive case was identified (Supplementary Table 2, Fig. 6d). Quantitative PCR demonstrated high *NTRK1* kinase domain expression only in the tumors with the known *NTRK1* rearrangements or in the KM12 cell line (Supplementary Fig. 8). Analysis of transcriptome data from The Cancer Genome Atlas of 230 lung adenocarcinomas failed to detect evidence of *NTRK1* fusions (data not shown). The recent transcriptome study of 87 lung adenocarcinoma tumor samples also did not identify oncogenic fusions involving *NTRK1* (J.S.Seo, personal communication).<sup>22</sup>

To formally prove that these novel fusion proteins are oncogenic, *MPRIP-* and *CD74-NTRK1* cDNA constructs were expressed in 293T cells, NIH3T3 fibroblasts and Ba/F3 cells. We observed expression of the appropriate-sized chimeric proteins and TRKA autophosphorylation, as in the CUTO-3 cells (Fig. 1c, Supplementary Fig. 4, 9).<sup>14</sup> Introduction of a *NTRK1* kinase dead mutation did not result in TRKA autophosphorylation or to increased ERK1/2 and AKT phosphorylation (Fig. 1c, 2a and Supplementary Fig. 14). *MPRIP-* and *CD74-NTRK1*, but not their kinase-dead counterparts, induced IL-3 independent proliferation of Ba/F3 cells (Fig. 1d). Similarly, *MPRIP-* and *CD74-NTRK1* supported anchorage-independent growth of NIH3T3 cells, formed tumors in nude mice, and *CD74-NTRK1* induced a refractory appearance of NIH3T3 cells (Fig. 1e, Supplementary Fig. 10 and 11). Knockdown of *TPM3-NTRK1* in KM12 cells reduced proliferation, further supporting the role of *NTRK1* fusions as oncogenes (Fig. 2a, Supplementary Fig. 12).

Given the prior success of treating *ALK* and *ROS1* fusion positive cancer patients with kinase inhibitors, we asked whether *NTRK1* fusions might provide a similar target in patients with lung cancer or other malignancies. ARRY-470 is a selective kinase inhibitor with nanomolar activity against TRKA/B/C but no other significant kinase inhibition below 1000nM (Supplementary Fig. 13 and Supplementary Table 3). CEP-701 and crizotinib also have activity against TRKA in addition to other kinases.<sup>23,24</sup> Treatment of cells expressing *MPRIP-* or *CD74-NTRK1* with ARRY-470, CEP-701 and, to a lesser extent, crizotinib, inhibited autophosphorylation of RIP-TRKA and CD74-TRKA (Fig. 2b and Supplementary Fig. 9, 14a). Activation of the MAPK and AKT pathways was also inhibited in Ba/F3 cells (Fig. 2b and Supplementary Fig. 14). Phosphorylation of endogenously expressed RIP-TRKA in CUTO-3 and TPM3-TRKA in KM12 cells was similarly inhibited by all three drugs (Fig. 2c and Supplementary Fig. 15a).

Inhibition of proliferation of Ba/F3 cells expressing *NTRK1* gene fusions, was greatest with CEP-701 and ARRY-470 (Fig. 2d and Supplementary Fig. 14b). Crizotinib was a less potent inhibitor, although in a similar range seen for inhibition of EML4-ALK or SDC4-ROS1 (Supplementary Fig. 16).<sup>3</sup> The less potent effects of crizotinib on cell proliferation are consistent with decreased inhibition of pTRKA and downstream pERK1/2 (Fig. 2d and Supplementary Fig. 14). All three drugs also inhibited colony formation of NIH3T3 cells expressing *NTRK1* fusions in soft agar (Supplementary Fig. 10). KM12 cells were similarly

sensitive to ARRY-470 and CEP-701, but less so to crizotinib (Supplementary Fig. 15b). ARRY-470 did not inhibit proliferation of Ba/F3 cells expressing other oncogene targets (EGFR, ALK, or ROS1) or of lung and colorectal cell lines that do not harbor an *NTRK1* fusion (Supplementary Fig. 17). All three drugs induced cell-cycle arrest in G1 and apoptosis of KM12 cells (Supplementary Fig. 18).

The index patient (*MPRIP-NTRK1*) had no standard therapies and no clinical trials of potentially effective TRKA inhibitors available; therefore the patient consented to treatment with crizotinib (250 mg twice daily) outside of a clinical trial. She experienced a minor radiographic response with a decrease in her serum levels of CA125, but experienced disease progression after ~3 months (Supplementary Fig. 19). This modest clinical activity of crizotinib is consistent with the *in vitro* activity and could be due to non-TRKA kinase effects.

We have identified novel, recurrent oncogenic *NTRK1* fusions in a subset of patients (3/91; 3.3%) with lung adenocarcinoma that did not contain other common oncogenic alterations. Our study further highlights the utility of targeted NGS to discover novel drug sensitive genetic alterations in lung cancer. Based on our data, clinical studies of selective TRKA inhibitors in *NTRK1* rearranged NSCLC are warranted.

## Online Methods

### Patients

Colorado Multiple Institution Review Board (IRB) or the Dana-Farber Cancer Institute IRB approval was obtained for all patients in this study. FoundationOne testing and FISH analyses were performed in CLIA-certified laboratories. The index patient who underwent treatment with crizotinib consented to treatment outside of a clinical trial.

### Next Generation DNA Sequencing

DNA was extracted from 40  $\mu$ m of FFPE or frozen tissue using the Maxwell 16 FFPE Plus LEV DNA Purification kit (Promega) and quantified using the PicoGreen fluorescence assay (Invitrogen). Library Construction was performed as previously described using 50-200ng of DNA sheared by sonication to ~100-400bp prior to end-repair, dA addition and ligation of indexed, Illumina sequencing adaptors.<sup>25</sup> Enrichment of target sequences (3,320 exons of 182 cancer-related genes and 37 introns from 14 genes recurrently rearranged in cancer representing ~1.1 Mb of the human genome) was achieved by solution-based hybrid capture with a custom Agilent SureSelect biotinylated RNA baitset.<sup>25</sup> The libraries were sequenced on an Illumina HiSeq 2000 platform using 49 $\times$ 49 paired-end reads. Sequence data from genomic DNA was mapped to the reference human genome (hg19) using the Burrows-Wheeler Aligner and were processed using the publicly available SAMtools, Picard, and Genome Analysis Toolkit.<sup>26,27</sup> Genomic rearrangements were detected by clustering chimeric reads mapped to targeted introns.

## RNA extraction from FFPE and Frozen tissues

RNA was isolated from FFPE or frozen tumor samples as described previously.<sup>3</sup> Briefly, FFPE samples were processed using the RecoverAll™ Total Nucleic Acid Isolation Kit (Ambion) following deparaffinization in xylene and washed with 100% ethanol prior to Protease K digest. Extraction of RNA from frozen tissue was accomplished using TriReagent (Ambion). Alternatively, tumors from NSCLC patients obtained at surgery were snap-frozen in liquid nitrogen, embedded in OCT and sectioned. RNA was prepared using Trizol (Invitrogen) followed by RNeasy MinElute cleanup kit (Qiagen).

## RT-PCR and Sequencing of *MPRIP-* and *CD74-NTRK1*

RT-PCR of *MPRIP-NTRK1* was carried out using the SuperScript® III First-Strand Synthesis System (SSIII RT) from Invitrogen with a *NTRK1* primer located in exon 15 ('*NTRK1* Y490R1') for reverse transcription by PCR using the same reverse primer, '*NTRK1* Y490R1', and a primer to *MPRIP* located in its 3<sup>rd</sup> coil-coiled domain ('*MPRIP* CC3F1'). PCR products were resolved on an agarose gel and the fragments were excised and treated with ExoSapIT (Affymetrix) prior to sequencing by the University of Colorado Cancer Center DNA Sequencing and Analysis Core using the BigDye Terminator Cycle Sequencing Ready Reaction kit version 1.1 (Applied Biosystems) using the same forward and reverse primer in the RT-PCR reaction. For *CD74-NTRK1*, reverse transcription was carried out using the QuanTitec Reverse Transcription kit (Qiagen). PCR of the resulting cDNA was performed using the primers 'CD74 Exon 3 FOR' and 'NTRK1 Exon 15 REV'. Primers used for RT-PCR and sequencing are available in Supplementary Table 4. The reference sequences used for exon alignment are NCBI Reference Sequences: NM\_002529.3 (*NTRK1*), NM\_015134.3 (*MPRIP*), and NM\_001025159.2 (*CD74*).

## Cloning full length *MPRIP-*, *CD74-*, and *TPM3-NTRK1*

cDNA was generated from the patient using the SSIII RT kit describe above along with a primer located at the end of *NTRK1* (NTRK1stopR2). This cDNA was used to amplify two separate overlapping fragments that were used to generate full length *MPRIP-NTRK1* by overlap extension PCR using the two fragments alone for 10 cycles and then adding the MPRIPStart and NTRK1stopR1 primers for an additional 30 cycles of PCR amplification. The resulting 4kb PCR product was gel isolated and confirmed by Sanger sequencing. A 3' hemagglutinin (HA) tag was added to *MPRIP-NTRK1* using PCR amplification with primers harboring the HA encoding sequence.. The amplified product was subsequently cloned into the pCDH-CMV-MSC1-EF1-Puro lentiviral plasmid (System Biosciences). Full length *TPM3-NTRK1* was amplified from KM12 cDNA using TPM3Start RI and NTRKStopNotI primers and cloned into the lentiviral plasmid as described above. The NCBI Reference Sequence used for *TPM3* is NM\_153649.3. For the *CD74-NTRK1* construct, cDNA was transcribed with Quantiscript Reverse Transcriptase (Qiagen). Full length *CD74-NTRK1* was amplified using the primers 'CD74 FOR' and 'NTRK1 REV' using AccuPrime™ Taq DNA Polymerase (Invitrogen) and cloned into the pDNR-Dual vector (BD Biosciences) and recombined into JP1520 retroviral vector as previously described.<sup>28</sup> The full-length cDNA of each gene was confirmed by sequencing. Primers used for cloning are available in Supplementary Table 4.

### Quantitative PCR of *NTRK1*

Relative Quantification Polymerase Chain Reaction (RQ-PCR) assay of the *NTRK1* tyrosine-kinase domain (Hs01021011\_m1; Applied Biosystems) was used to evaluate its level of mRNA expression. The relative quantification method ( $2^{-CT}$ ) in the StepOnePlus Real-time PCR system (Applied Biosystems) was used with GUSB (Applied Biosystems) as an endogenous control. All samples were evaluated in triplicate.

### RNA Sequencing

Paired-end RNA sequencing was performed as previously described.<sup>29</sup> RNA FASTQ files were aligned and splice junctions mapped using TopHat<sup>30</sup> and analyzed for fusion reads using the Broad Institute Cancer Genome Analysis Tools Suite ([www.broadinstitute.org/cancer/cga](http://www.broadinstitute.org/cancer/cga)) and ([www.broadinstitute.org/cancer/software/genepattern/modules/RNA-seq/](http://www.broadinstitute.org/cancer/software/genepattern/modules/RNA-seq/)).

### Cell lines and reagents

NIH3T3, HEK-293T, and Ba/F3 were previously described.<sup>31</sup> The lung cancer cell lines A549, H3122, H1650, H1299, and HCC78 were previously described.<sup>31-34</sup> The colorectal cancer cell lines, KM12, HCT116, HCT15, HT29, and SW837 were previously described.<sup>35</sup> Ba/F3 cells expressing the mutant *EGFR* allele, E746\_A750del were previously described.<sup>28</sup> The lymphoblastoid cell line, GM09948 (Coriell Cell Repository), was used for genomic mapping in FISH studies.

All cancer cell lines were maintained in RPMI media with 10% calf serum. NIH3T3 and Ba/F3 cells transduced with full length *NTRK1* were supplemented with 100 ng/ml and 200 ng/ml  $\beta$ -NGF (R&D Systems), respectively. Crizotinib and gefitinib were purchased from Selleck Chemicals, CEP-701 from Sigma Aldrich or Santa Cruz Biotechnology, K252a from Tocris, and ARRY-470 was supplied by Array BioPharma. Total AKT, AKT pSer473, total ERK, ERK pThr202/Tyr204, total STAT3, STAT3 pY705, PARP, and TRK pY490 and pY674/675 (corresponding to Y496, Y680, and Y681 in TRKA, respectively) antibodies were purchased from Cell-Signaling Technologies. Total TRKA (C-14), GAPDH, and  $\alpha$ -tubulin were purchased from Santa Cruz Biotechnologies Inc.

### Lentivirus or retrovirus production and cell transduction

*MPRIIP-NTRK1* or the kinase dead variant was introduced into cells via lentivirus as previously described.<sup>31</sup> NIH3T3 cells transduced with lentivirus were cultured in DMEM medium with 5% calf serum and 0.75  $\mu$ g/ml puromycin. Ba/F3 cells transduced with lentivirus were cultured as above with 2  $\mu$ g/ml puromycin, and with or without 1 ng/ml IL-3 (R&D Systems). Alternatively, *CD74-NTRK1* was introduced into cells using retrovirus as previously described.<sup>28</sup> Polyclonal cell lines were established by puromycin selection. Cell proliferation and growth were performed as previously described.<sup>28,36</sup>

### Mouse Xenograft Studies

NIH3T3 cells ( $10^6$ ) harboring the indicated expression vectors were resuspended in Matrigel (BD Biosciences) and injected subcutaneously into athymic nude mice (kind gift of James DeGregori). Mice were monitored three times weekly for tumor formation and sacrificed

when tumors reached approximately 2 cm × 2 cm. Approval for the use of animals in this study was granted by the University of Colorado Institutional Animal Care and Use Committee (IACUC).

### Immunoblotting

Immunoblotting was performed as previously described.<sup>31</sup> Briefly, cells were lysed in RIPA buffer with Halt protease and phosphatase inhibitor cocktail (Thermo-Scientific) and diluted in loading buffer (LI-COR Biosciences). Membranes were scanned and analyzed using the Odyssey Imaging System and software (LI-COR). Alternatively, immunoblotting was performed according to the antibody manufacturer's recommendations using chemiluminescent detection (Perkin Elmer). All western blot images are representative of at least 3 independent experiments.

### Proliferation assays

All assays were performed as previously described by seeding 1000 cells/well, drug treatments were performed 24 hours after seeding, and Cell Titer 96 MTS (Promega) was added 72 hours later or as described previously.<sup>28,31,36</sup> IL-3 was removed from Ba/F3 cells 48 hours prior to seeding.

### Soft agar assays

Anchorage-independent growth was measured by seeding 100,000 cells per well of soft agar in 6 well plates as previously described.<sup>31</sup> Media was changed every 4 days for 2 weeks. Quantification was performed with MetaMorph Offline Version 7.5.0.0 (Molecular Devices).

### Fluorescence In-Situ Hybridization

Formalin-fixed, paraffin-embedded (FFPE) tissue sections were submitted to a dual-color FISH assay using the laboratory developed *NTRK1* break-apart probe (3' *NTRK1* [SpectrumRed] and 5' *NTRK1* [SpectrumGreen]) or the fusion *MPRIP* [SpectrumGreen]-*NTRK1* [SpectrumRed] probe. The pre-hybridization treatment was performed using the reagents from the Vysis Paraffin Kit IV (Abbott Molecular). Hybridization and analysis was performed as previously described.<sup>3,31</sup> Samples were deemed positive for *NTRK1* rearrangement if 15% of tumor cells demonstrated an isolated 3' signal or a separation of 5' and 3' signals that was greater than one signal diameter.

### siRNA Transfection

KM12 cells were transfected with 30nM *NTRK1* Silencer Select siRNAs (Life Technologies) using siPORT NeoFX transfection reagent (Life Technologies) at 4μL/mL.

### Flow Cytometry

Cell cycle analysis was performed as previously described.<sup>3</sup> Apoptosis was measured using the Vybrant apoptosis YO-PRO/PI kit (Invitrogen). Briefly, KM12 cells were seeded 24 hours prior to treatment at 500,000 cells/well prior to trypsinization and staining.

## Immunohistochemistry

Immunohistochemical studies for TTF-1 and thyroglobulin were performed using standard procedures to exclude the possibility of a thyroid carcinoma, which can also express TTF-1, (Supplementary Fig. 20). Antibody against TTF-1 (Cell Marque, Cat#CMC-573) was applied at 1:100 dilution and thyroglobulin (Signet, Cat#228-13) was applied at 1:25 dilution and incubated at 37°C for 32 min. Detection for TTF-1 was performed using Ventana multiview (UltraView) and detection for thyroglobulin using Ventana Avidin-Biotin (iView).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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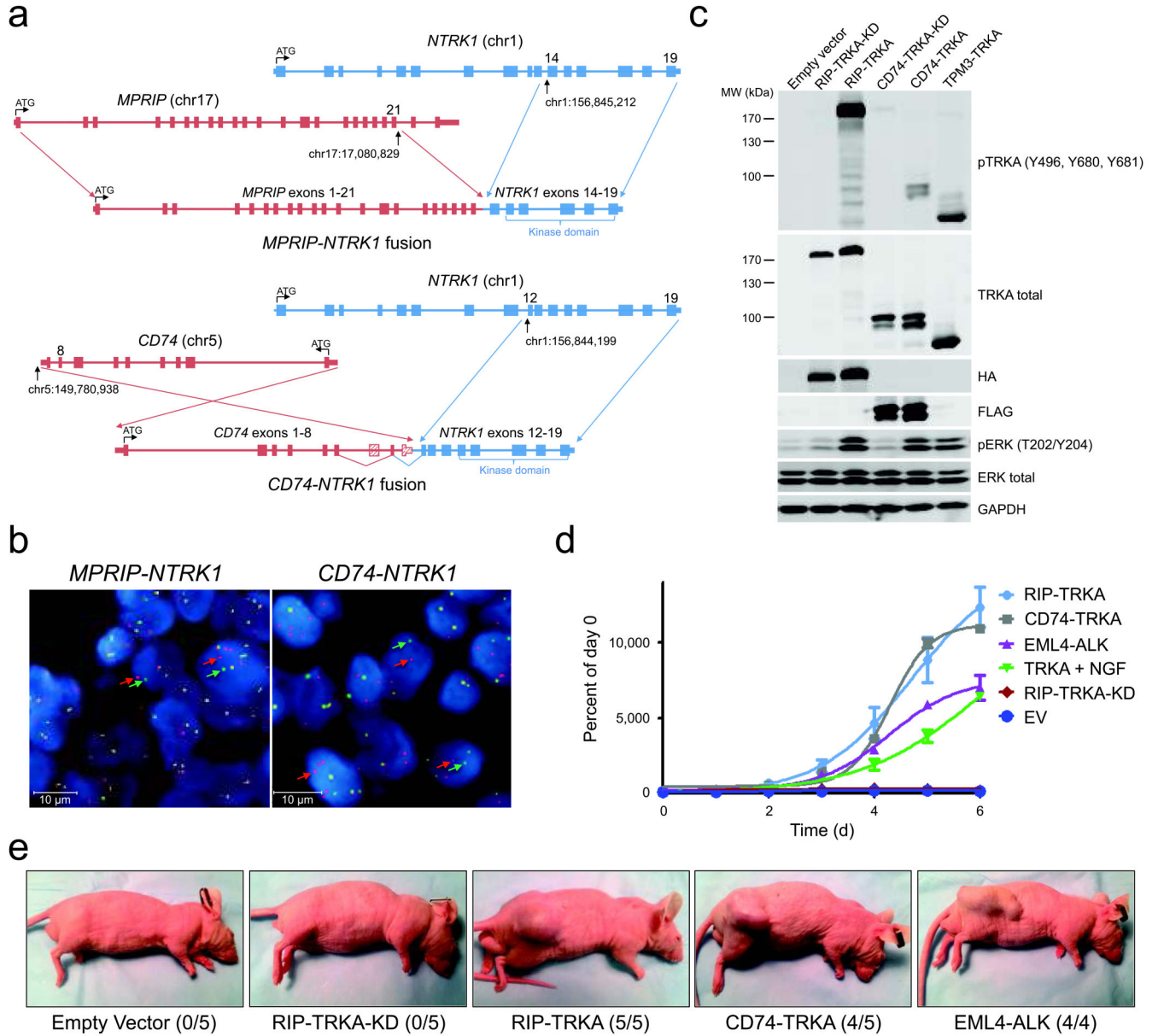
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**Figure 1. Discovery and validation of oncogenic *NTRK1* gene fusions in lung cancer samples**  
**(a)** Schematic of genomic rearrangement from tumor samples harboring *MPRIP-NTRK1* and *CD74-NTRK1* using the FoundationOne Next Generation Sequencing Assay including chromosomal breakpoints for each gene rearrangement. **(b)** Break-apart FISH analysis of *MPRIP*-, *CD74*-, and *unknown-NTRK1* tumor samples showing clear separation of green (5') and red (3') signals corresponding to the *NTRK1* gene. **(c)** TRKA (*NTRK1*) fusions are autophosphorylated and activate key downstream signaling pathways. Representative immunoblot analyses ( $n = 3$ ) of cell lysates from 293T cells expressing RIP-TRKA and CD74-TRKA, but not their kinase dead (KD) variants display phosphorylation of critical tyrosine residues and activation of pERK. TPM3-TRKA was expressed in 293T cells as a positive control. **(d)** *NTRK1* fusions support cellular proliferation. MTS assay of Ba/F3

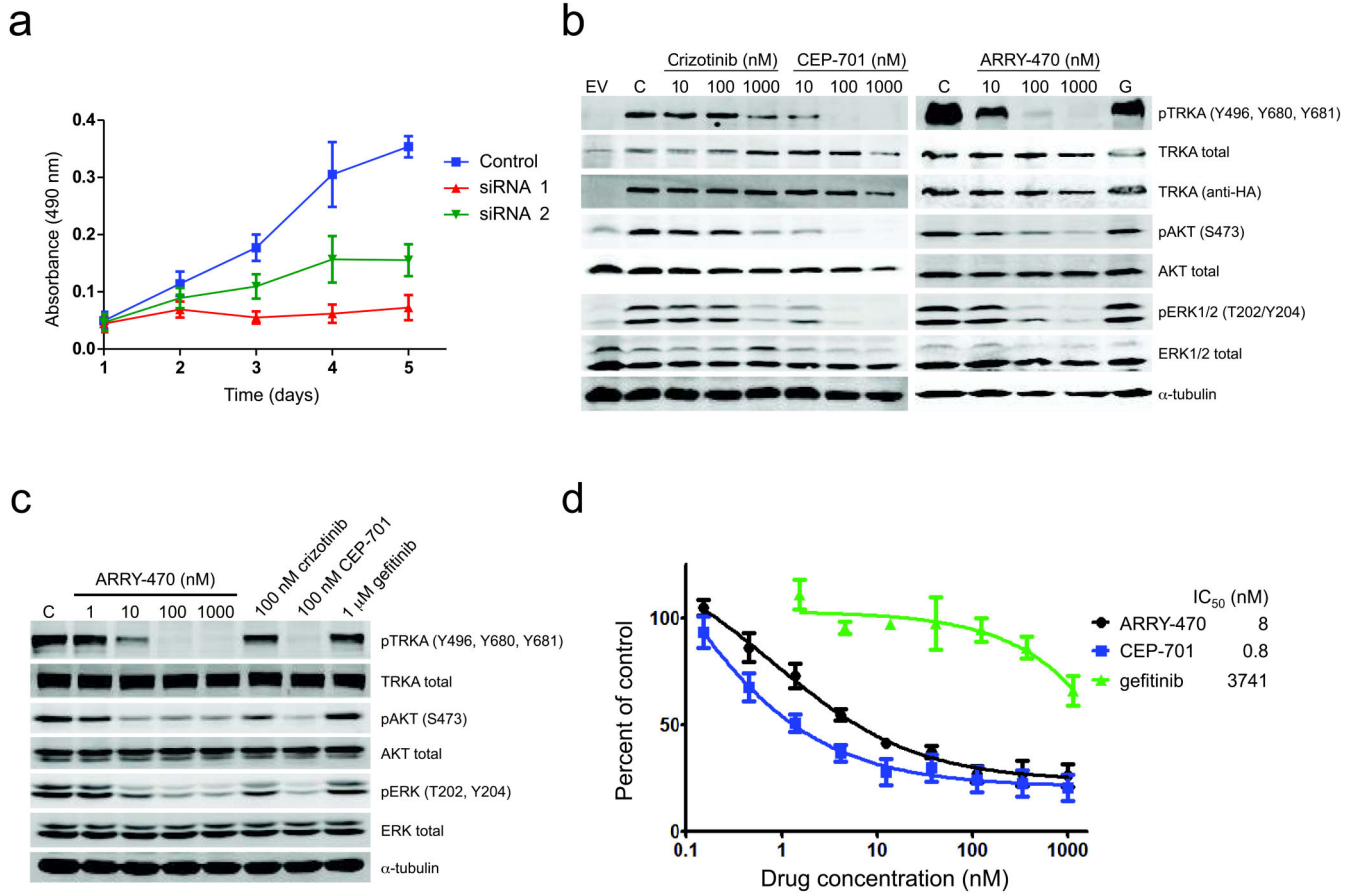
demonstrates that cells expressing RIP-TRKA, CD74-TRKA, EML4-ALK, or full length TRKA supplemented with NGF proliferate in the absence of IL-3, whereas Ba/F3 cells expressing EV or the kinase dead variant of RIP-TRKA do not proliferate ( $n = 3$ ). Values represent the mean  $\pm$  SEM. (e) *MPRIP*- or *CD74-NTRK1* gene fusions induce tumorigenesis. NIH3T3 cells expressing RIP-TRKA, RIP-TRKA kinase dead (KD), CD74-TRKA, and EML4-ALK or empty vector were injected into the flanks of nude mice and observed for tumor growth. Representative pictures taken at day 12 following injection are shown. The numbers of tumors induced in the injected animals are shown in parentheses.

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**Figure 2. Drug treatment inhibits activation of TRKA, downstream signaling, and proliferation in cells expressing *NTRK1* fusions**

(a) RNAi knockdown of *NTRK1* inhibits cell proliferation in a cell line harboring *TPM3-NTRK1*. KM12 cells were analyzed by MTS proliferation assay 1-5 days after siRNA transfection (left,  $n = 3$ ). (b) Ba/F3 cells expressing *MPRIP-NTRK1* (RIP-TRKA) or empty vector (EV) were lysed after 5h of treatment with the indicated doses of drugs (G = gefitinib 1000nM) or DMSO control (C). (c) CUTO-3 lung cancer cells harboring the *MPRIP-NTRK1* gene fusion were treated with the indicated doses and drugs and subjected to immunoblot analysis. (d) Treatment of Ba/F3 cells expressing the *MPRIP-TRKA* fusion with TRKA inhibitors inhibits cell proliferation as measured by MTS assay (a-c,  $n = 5$ ). Values represent the mean  $\pm$  SEM. Ba/F3 cells expressing the *MPRIP-TRKA* fusion demonstrate inhibition of proliferation by the pan-TRK inhibitor, ARRY-470, and the multi-kinase inhibitor, CEP-701, but not the EGFR inhibitor, gefitinib.