

Hypoxia inducible factor and diffuse white matter injury in the premature brain: perspectives from genetic studies in mice

Fuzheng Guo^{*}, Sheng Zhang

Hypoxia-inducible factors (HIFs) are transcriptional regulators playing important roles in adapting various types of cells to physiological and pathological hypoxia cues. Three structurally related, oxygen-sensitive HIF α proteins have been identified (HIF1 α , HIF2 α , and HIF3 α), among which HIF3 α has weak transcriptional capacity because of the absence of the C-terminal transactivation domain as present in HIF1 α and HIF2 α . The role of HIF α in regulating diverse biological processes is primarily through the actions of its downstream target genes and/or signaling pathways (**Figure 1**). The HIF α signaling is subjected to regulation at the multiple levels as detailed in **Figure 1**. Previous studies have shown that HIF1 α and HIF2 α activate both common (canonical) and distinct (non-canonical) sets of target genes in cell-type and context dependent manners. The importance of HIF α (HIF1 α and HIF2 α) in embryonic development is manifested by the lethality of early embryos or neonates of HIF1 $\alpha^{-/-}$ mice and HIF2 $\alpha^{-/-}$ mice due to the cardiovascular and lung malformation. In the developing central nervous system (CNS) where the maturation of the vascular network is still ongoing, the local oxygen concentration ranges from 0.5% to 7% (Ivanovic, 2009). The physiologically hypoxic environment in the CNS suggests that HIF α may play an important role in neural development.

HIF α regulates CNS neural development:

The necessity of HIF α in neural development has been shown by neural cell-specific, *Cre-loxP*-based HIF α conditional knockout (cKO) mutant mice driven by Nestin-Cre, thus circumventing the early lethality of HIF α germline KO mutants. Nestin promoter is active in early neural progenitors that give rise to neurons and glial cells. *Nestin-Cre:Hif1a^{fl/fl}* (referred to as Nestin:HIF1 α cKO) mice (Tomita et al., 2003) are viable; but they exhibit prominent loss of neural cells, and defective vascular formation in the brain starting from the late embryonic ages and progressively develop severe hydrocephalus and exhibit spatial memory impairment in the adult ages. Similarly, *Nestin-Cre:Hif2a^{fl/fl}* (Nestin:HIF2 α cKO) mice are viable and fertile and show no obvious neurological deficits except for impaired learning and memory ability (Kleszka et al., 2020). The neural phenotypes of HIF2 α cKO

mice seem much milder than those in HIF1 α cKO mutants. These observations clearly demonstrate an essential role of HIF α in neural development.

HIF α is a cellular rheostat for oligodendroglial development:

In the human CNS, the differentiation of myelin-forming oligodendrocytes (OLs) from oligodendrocyte progenitor cells (OPCs) (referred to as OPC differentiation) initiates in the third trimester of gestational ages and peaks during the first several years after birth. In the murine CNS, OPC differentiation and myelination occur primarily during postnatal development (Semple et al., 2013) which is temporally concomitant with the maturation of the vasculature network (Harb et al., 2013). In a recent study, we have reported that HIF1 α protein is stabilized in oligodendroglial lineage cells in the white matter (WM) tracts of early postnatal mice and reduced to the undetectable level by around postnatal day (P) 10 under normal conditions (Zhang et al., 2021). We hypothesize that HIF α may act as a cellular rheostat that controls OPC differentiation during CNS myelination; physiological HIF α activity may be beneficial for OPC differentiation and developmental myelination while pathological HIF α hyperactivity may be detrimental.

Oligodendroglial-specific genetic manipulation has shed light on the role of HIF α signaling pathway in oligodendroglial development (OPC differentiation and oligodendroglial myelination). Previous study showed that oligodendroglial HIF α is essential for murine WM integrity (Yuen et al., 2014). The necessity of HIF α in WM integrity is demonstrated by reduced corpus callosum volume, diminished OPC population, and presence of WM cysts in *Sox10-Cre:Hif1a^{fl/fl}:Hif2a^{fl/fl}* (referred to as Sox10:HIF α cKO) mice in which Sox10-Cre-mediated HIF α depletion occurs in specifically in embryonic OPCs and oligodendrocytes. In addition, *Olig1-Cre:Hif1a^{fl/fl}:Hif2a^{fl/fl}* (Olig1:HIF α cKO) mice displays widespread WM axonal damage (Yuen et al., 2014). Since previous data show that *Olig1-Cre* mediates gene recombination in embryonic neural progenitors that give rise to OPCs and, to a lesser extent, astrocytes and interneurons (Yang et al., 2016), it is possible that HIF α may be also depleted in a subset

of astrocytes and interneurons in Olig1:HIF α cKO mice.

The compromised WM integrity and axonal damage are proposed to be stemmed from, at least in part, the defective vascular formation. Interestingly, these WM abnormalities are already observed by embryonic day 18 and apparent by P4 (Yuen et al., 2014) when OPC differentiation and oligodendroglial myelination barely occur in the murine brain. We employed *Pdgfra-CreER^{T2}:Hif1a^{fl/fl}:Hif2a^{fl/fl}* (*Pdgfra*:HIF α cKO) mice to study the role of HIF α in oligodendroglial development in the postnatal CNS. We conditionally depleted HIF α from PDGFR α^+ OPCs by tamoxifen administration to neonatal mice at P1, P2, and P3 and analyze OPC differentiation and myelination at P8 and P14. We found that OPC differentiation and myelination were inhibited at P8 and appeared normal by P14, which is consistent with the dynamic HIF α stabilization during postnatal CNS development and suggests that HIF α is required for timely differentiation of OPCs into OLs and subsequent myelination. Unexpectedly, the density of oligodendroglial lineage cells (OPCs and OLs) and myelination appear normal in the CNS of *Cnp-Cre:Hif1a^{fl/fl}:Hif2a^{fl/fl}* (*Cnp*:HIF α cKO) mutants in which *Cnp* promoter is primarily active in immature and mature oligodendrocytes in the subcortical white matter (Zhang et al., 2018). In both *Pdgfra*:HIF α cKO and *Cnp*:HIF α cKO mutants, cell survival and axonal integrity are unaltered in the brain WM tract. Our genetic evidences, together with those from Yuen and colleagues, collectively suggest that HIF α in OPCs is essential in maintaining WM integrity during embryonic and neonatal ages and for timely OPC differentiation during development myelination.

Genetic gain-of-function studies suggest that chronic HIF α stabilization may be instead detrimental for OPC differentiation and myelination. The rapid turnover of HIF α requires the protein VHL (**Figure 1**); therefore, genetic depletion of VHL provides a valuable tool in probing the role of sustained HIF α activation in oligodendroglial development. *Sox10-Cre:Vhl^{fl/fl}* (*Sox10*:VHL cKO, rare survival by P7) mice exhibit severe disturbance in OPC differentiation and myelination in the WM tracts of the brain (Yuen et al., 2014) and spinal cord (Zhang et al., 2021). By leveraging tamoxifen-inducible *Pdgfra-CreER^{T2}:Vhl^{fl/fl}* (*Pdgfra*:VHL cKO) mutants, we demonstrated that sustained HIF α activation, starting in OPCs at P1-P3 neonatal ages, inhibited OPC differentiation and CNS myelination not only in early postnatal ages but also in adult ages. Very interestingly, sustained HIF α activation in OLs does not affect oligodendrocyte maturation nor myelination in the CNS of *Cnp-Cre:Vhl^{fl/fl}* (*Cnp*:VHL cKO) mice. The non-perturbation of oligodendroglial development in *Cnp*:HIF α

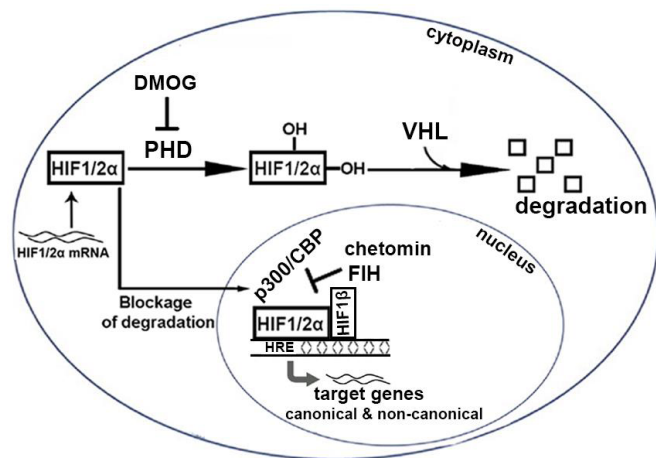


Figure 1 | Schematic graph depicting the HIF α signaling pathway and its regulations at the different levels.

The transcription factor family HIF α is a heterodimer consisting of an oxygen-sensitive HIF1 α (or HIF2 α) and a constitutively expressed HIF1 β . Under normoxia, HIF α (HIF1 α or HIF2 α) is subjected to rapid proteasome-mediated degradation which requires the prolyl hydroxylase domain (PHD) proteins and the protein von Hippel-Lindau (VHL). Under hypoxia, PHD activity is inhibited, leading to HIF1/2 α stabilization and subsequent accumulation in the nucleus where they dimerize with HIF1 β at the hypoxia responsive elements (HRE) and recruit the co-activator p300/CBP to activate its target gene expression. Hydroxylation of the asparagine residue in the C-terminal activation domain of HIF α by the factor inhibiting HIF (FIH) prevents the recruitment of p300/CBP to HIF α at the HRE, thus negatively regulating HIF α -mediated transcriptional activity. DMOG, an inhibitor for PHD activity; chetomin, a compound blocking p300/CBP recruitment and subsequent signaling activation. Genetic or pharmacological manipulations of PHD, VHL, or FIH provides powerful avenues in activating or suppressing HIF α -mediated signaling pathway. The canonical HIF α target genes include those involved in angiogenesis, glucose metabolism, and glycolysis where the identities of the non-canonical HIF α target genes are cell type- and context-dependent, for example *Ascl2*, *Dlx3*, *Wnt7a/b*, and *Sox9* (see the text for discussion). *Ascl2*: Achaete-scute like 2; *CBP*: CREB-binding protein; *Dlx3*: distal-less homeobox 3; *DMOG*: dimethylallyl glycine.

cKO and *Cnp:VHL cKO* mutants suggest that OLs are resistant to HIF α dysregulation, which is in line with previous concept that OLs are more resistant to hypoxia/ischemia injury than OPCs. Therefore, HIF α level has to be tightly controlled during normal developmental myelination and its dysregulation selectively impacts OPC differentiation but not subsequent oligodendrocyte maturation.

Molecular mechanisms underlying HIF α -regulated OPC differentiation: puzzles remain:

It remains elusive how HIF α activation inhibits OPC differentiation. The HIF α family transcription factor exerts its biological effects through the action of its downstream target genes in a cell type- and context-dependent manner. Previous study by Yuen et al. (2014) reported that HIF α inhibited OPC differentiation by directly activating the ligands *Wnt7a/7b* and subsequent activation of autocrine *Wnt/β-catenin* signaling in OPCs. This hypothetical working model is consistent with the inhibitory role of *Wnt/β-catenin* signaling activation on OPC differentiation (Guo et al., 2015). However, whether HIF α regulates canonical *Wnt* signaling in oligodendroglial lineage cells is still controversial. We reported that oligodendroglial HIF α plays a dispensable role in regulating *Wnt/β-catenin* activity (Zhang et al., 2020, 2021). Recently, using *in vitro* mouse pluripotent stem cell-derived *Vhl*-deficient OPCs, Allan and

colleagues (Allan et al., 2020) confirmed that HIF α did not regulate *Wnt7a/Wnt7b* nor the canonical *Wnt* signaling, instead, identified *Ascl2* and *Dlx3* as “non-canonical” HIF α target genes in OPCs. Both target genes are absent from neural cells including OPCs in the early postnatal murine CNS under physiological conditions and upregulated in the P11 forebrain of mice reared in 10% chronic hypoxic chamber (from P3 through P11) (Allan et al., 2020). Though ectopic expression of *Ascl2* or *Dlx3* inhibited the differentiation of pluripotent stem cell-derived OPCs, it is yet to be determined whether *Ascl2* and/or *Dlx3* functionally mediates HIF α stabilization-elicited inhibition of OPC differentiation *in vitro* and particularly *in vivo* under hypoxic conditions.

By leveraging *Cre-loxP* genetic mouse models, we demonstrated that inhibiting autocrine *Wnt/β-catenin* signaling (by disrupting oligodendroglial WLS, an essential factor for *Wnt* secretion) did not affect the inhibitory degree of OPC differentiation elicited by HIF α stabilization, providing first functional evidence that the hypothetical autocrine HIF α -*Wnt* axis may play a minor role in HIF α -regulated OPC differentiation (Zhang et al., 2021). Interestingly, we identified the neural stem cell factor *Sox9* as a novel “non-canonical” target gene in OPCs that is activated by HIF α stabilization (Zhang et al., 2021). In the CNS, *Sox9* is highly expressed in early neural precursor and

stem cells, rapidly downregulated in OPCs, and completely absent from differentiating OLs. We demonstrate that HIF α binds to the promoter region of the mouse *Sox9* gene and HIF α stabilization activates *Sox9* expression at both mRNA and protein levels. Our *in vitro* functional study performed in primary OPCs demonstrated that *Sox9* knockdown rescued the inhibition of OPC differentiation elicited by HIF α hyperactivation, suggesting that hyperactive HIF α arrests OPC differentiation by sustaining *Sox9* expression.

Implications and mechanisms of glial HIF α in diffuse WM injury of the premature brain:

Diffuse WM injury is the major form of brain injury preferentially affecting the brain of preterm infants born before 37th gestational weeks. Due to the immaturity of the respiratory system and the vasculature of the brain WM, hypoxia/ischemia (H/I)-induced WM injury, formerly called periventricular leukomalacia (PVL), is commonly seen in preterm brain. Disturbed myelination (hypomyelination), resulted from arrested OPC differentiation, is one of the established pathological hallmarks in the preterm brain affected by diffuse WM injury. There are thus far no effective therapies for preventing hypomyelination in preterm infants.

HIF α is a master regulator adapting various cells to hypoxic environment. Previous data from genetic mouse models collectively suggest that HIF α acts as a cellular rheostat in controlling developmental myelination. Therefore, targeting HIF α and its downstream signaling pathways may represent potential therapeutic interventions in mitigating or preventing myelination disturbance observed in diffuse WM injury of premature brains. Our data showed that HIF1 α protein is transiently stabilized in oligodendroglial lineage cells in early postnatal mice and downregulated to the undetectable level by around postnatal day 10 under physiological conditions. In contrast, HIF1 α stabilization is sustained in glial cells including the oligodendroglial lineage cells in mice challenged by H/I injury, an animal model for diffuse WM injury in human preterm infants (Zhang et al., 2021). These data suggest that sustained HIF α stabilization may impair the timely differentiation of OPCs into OLs during diffuse WM injury. Previous study using *in vitro* cell and brain slice culture reported that depleting HIF α alleviated defective OPC differentiation and myelination elicited by hypoxia treatment (Yuen et al., 2014). Since diffuse WM injury involves multiple cell types and complex interaction between neural and vascular cells, *in vivo* genetic mouse models of HIF α gain- and loss-of function may help define whether sustained HIF α stabilization plays a pathogenic role in halting brain myelination or a beneficial role in protecting oligodendroglial lineage cells again ongoing H/I injury. Unfortunately, such

genetic evidence has not yet been available to define the function of HIF α in H/I-induced oligodendroglial pathology in animal models. HIF α regulates a common set of canonical target genes that are crucial for energy metabolism and supply (such as glucose transport, glycolysis, and angiogenesis) (Figure 1) and participate in the adaptive reactions protecting the cells against hypoxia and other adverse cues. Theoretically, targeting HIF α itself may interfere with those key cellular processes and adaptive mechanisms. In this regard, it is important to discover novel “non-canonical” downstream targets or pathways of HIF α that could be intervened to alleviate arrested OPC differentiation in the context of hypoxia/ischemia-induced diffuse WM injury. The current popular hypothesis, derived from normal developmental study, proposes that HIF α controls OPC differentiation by activating autocrine Wnt/ β -catenin signaling (Yuen et al., 2014). This is a very tempting working model as it may explain the hyperactivity of Wnt/ β -catenin signaling observed in WM oligodendroglial lineage cells of premature infants affected by periventricular leukomalacia and mature infants affected by hypoxic-ischemic encephalopathy (Fancy et al., 2011). However, recent genetic evidences from our group (Zhang et al., 2020, 2021) and others (Allan et al., 2020) weakens this hypothesis at least in the context of normal developmental myelination in mice. Interestingly, our data obtained from astroglial-specific HIF α -stabilized mice (Zhang et al., 2020) suggest that astroglial HIF α may control OPC differentiation and myelination by activating Wnt/ β -catenin signaling in the oligodendroglial lineage in a paracrine manner. Our hypothesis may provide novel insights into the importance of astroglial/oligodendroglial cross-talk in determining the outcome of myelination. Though it is known that hyperactivation of the intracellular Wnt/ β -catenin signaling inhibits OPC differentiation (Guo et al., 2015), the cellular sources of Wnt ligands that initiates the intracellular Wnt/ β -catenin activity in OPCs remains understudied. Furthermore, from a therapeutic perspective, it will be more feasible to manipulate intracellular Wnt/ β -catenin signaling axis at the ligand level than the intracellular level. Future studies, particularly those employing cell-specific mouse genetics, are needed to test the hypothetical “paracrine” modulation of OPC differentiation by astroglia-derived/HIF α -regulated Wnt production.

There has been ongoing interest in studying the non-canonical HIF α target genes or signaling pathways that functionally mediate HIF α -regulated OPC differentiation. In our recent study, we identified Sox9 as a novel “non-canonical” target gene in oligodendroglial cells directly activated by HIF α stabilization (Zhang et al., 2021).

However, it remains to be determined whether the connection between HIF α and Sox9 plays a cell-autonomous role in arresting OPC differentiation in the context of H/I-induced diffuse WM injury *in vivo*. To support the functional significance of the hypothetical HIF α -Sox9 axis in arresting OPC differentiation, we found in our unpublished study that Sox9, which is otherwise downregulated in differentiating OPCs under normal conditions, is sustained in OPCs in the WM of H/I-injury mouse brain. Therefore, we propose that prolonged HIF α activity in the subcortical WM, as observed in the brain of H/I-insulted neonatal mice, may impair OPC differentiation by sustaining chronic Sox9 expression. Compound transgenic mice of oligodendroglial-specific VHL/Sox9 double cKO will help define the role of the hypothetical HIF α -Sox9 axis in arresting OPC differentiation under H/I-induced WM injury conditions.

We thank my colleagues whose work lays the foundations for the article and apologize to those whose important work has not been cited here due to the space limitation.

The present work was supported by NIH/NINDS (R21NS109790 and R01NS094559) (to FG) and Shriners Hospitals for Children (85107-NCA-19 to FG, and 84307-NCAL to SZ).

Fuzheng Guo*, Sheng Zhang

Department of Neurology, School of Medicine, the University of California, Davis; Institute for Pediatric Regenerative Medicine (IPRM), Shriners Hospitals for Children, Northern California, Sacramento, CA, USA

*Correspondence to: Fuzheng Guo, PhD, fzguo@ucdavis.edu. <https://orcid.org/0000-0003-3410-8389> (Fuzheng Guo)

Date of submission: January 14, 2021

Date of decision: February 2, 2021

Date of acceptance: March 12, 2021

Date of web publication: June 7, 2021

<https://doi.org/10.4103/1673-5374.314301>

How to cite this article: Guo F, Zhang S (2022) Hypoxia inducible factor and diffuse white matter injury in the premature brain: perspectives from genetic studies in mice. *Neural Regen Res* 17(1):105-107.

Copyright license agreement: *The Copyright License Agreement has been signed by both authors before publication.*

Plagiarism check: *Checked twice by iThenticate.*

Peer review: *Externally peer reviewed.*

Open access statement: *This is an open access journal, and articles are distributed under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike 4.0 License, which allows others to remix, tweak, and build upon the work non-commercially, as long as appropriate credit is given and the new creations are licensed under the identical terms.*

Open peer reviewers: Sébastien Foulquie, Universiteit Maastricht, Netherlands; Joachim

Fandrey, University of Duisburg-Essen, Germany.

Additional file: *Open peer review reports 1 and 2.*

References

- Allan KC, Hu LR, Scavuzzo MA, Morton AR, Gevorgyan AS, Cohn EF, Clayton BLL, Berdeman IR, Hung S, Bartels CF, Madhavan M, Tesar PJ (2020) Non-canonical targets of HIF1 α impair oligodendrocyte progenitor cell function. *Cell Stem Cell* 28:257-272.
- Fancy SP, Harrington EP, Yuen TJ, Silbereis JC, Zhao C, Baranzini SE, Bruce CC, Otero JJ, Huang EJ, Nusse R, Franklin RJ, Rowitch DH (2011) Axin2 as regulatory and therapeutic target in newborn brain injury and remyelination. *Nat Neurosci* 14:1009-1016.
- Guo F, Lang J, Sohn J, Hammond E, Chang M, Pleasure D (2015) Canonical Wnt signaling in the oligodendroglial lineage--puzzles remain. *Glia* 63:1671-1693.
- Harb R, Whiteus C, Freitas C, Grutzendler J (2013) In vivo imaging of cerebral microvascular plasticity from birth to death. *J Cereb Blood Flow Metab* 33:146-156.
- Ivanovic Z (2009) Hypoxia or in situ normoxia: the stem cell paradigm. *J Cell Physiol* 219:271-275.
- Kleszka K, Leu T, Quinting T, Jastrow H, Pechlivanis S, Fandrey J, Schreiber T (2020) Hypoxia-inducible factor-2alpha is crucial for proper brain development. *Sci Rep* 10:19146.
- Semple BD, Blomgren K, Gimlin K, Ferriero DM, Noble-Haeusslein LJ (2013) Brain development in rodents and humans: Identifying benchmarks of maturation and vulnerability to injury across species. *Prog Neurobiol* 106-107:1-16.
- Tomita S, Ueno M, Sakamoto M, Kitahama Y, Ueki M, Maekawa N, Sakamoto H, Gassmann M, Kageyama R, Ueda N, Gonzalez FJ, Takahama Y (2003) Defective brain development in mice lacking the Hif-1alpha gene in neural cells. *Mol Cell Biol* 23:6739-6749.
- Yang J, Liu X, Zhang X, Zhao X, Pan Y, Qiu M, Wu S, Zhao G, Wang YZ (2016) Predominant neuronal differentiation of Olig1+ neural progenitors in forebrain cortex biased by beta-catenin over-expression. *Neurosci Lett* 622:19-23.
- Yuen TJ, Silbereis JC, Griveau A, Chang SM, Daneman R, Fancy SP, Zahed H, Maltepe E, Rowitch DH (2014) Oligodendrocyte-encoded HIF function couples postnatal myelination and white matter angiogenesis. *Cell* 158:383-396.
- Zhang S, Wang Y, Xu J, Kim B, Deng W, Guo F (2021) HIF1 α regulates developmental myelination independent of autocrine Wnt signaling. *J Neurosci* 41:251-268.
- Zhang S, Zhu X, Gui X, Croteau C, Song L, Xu J, Wang A, Bannerman P, Guo F (2018) Sox2 is essential for oligodendroglial proliferation and differentiation during postnatal brain myelination and CNS remyelination. *J Neurosci* 38:1802-1820.
- Zhang S, Kim B, Zhu X, Gui X, Wang Y, Lan Z, Prabhu P, Fond K, Wang A, Guo F (2020) Glial type specific regulation of CNS angiogenesis by HIF1 α -activated different signaling pathways. *Nat Commun* 11:2027.

P-Reviewers: Foulquie S, Fandrey J; C-Editors: Zhao M, Liu WJ, Qiu Y; T-Editor: Jia Y