



Initial Effects of a Brief Transdiagnostic Intervention on Parent Emotion Management During COVID-19

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Abstract

Parents are a vulnerable group to increased distress resulting from the COVID-19 pandemic. In this study, 80 parents with at least mildly elevated internalizing symptoms were randomized to receive a four session, transdiagnostic intervention via telehealth during the height of the COVID-19 pandemic based on the *Unified Protocols for Transdiagnostic Treatment of Emotional Disorders (UP-Caregiver)*, immediately or 6-weeks after receipt of psychoeducational materials. Results showed no between-condition differences in slopes of primary outcome measures; however, significant group differences in intercepts indicated that those receiving UP-Caregiver immediately had greater improvements in distress tolerance and intolerance of uncertainty than those in the delayed condition. Analyses also suggested within-condition improvements in emotional functioning and high satisfaction with UP-Caregiver. Results suggest that psychoeducation and symptom monitoring may be helpful to some distressed parents. Future investigations should utilize a larger sample to identify which parents might benefit the most from interventions like UP-Caregiver during crises.

Keywords COVID-19 · Parenting · Transdiagnostic · Emotion regulation · Self-efficacy

Introduction

The COVID-19 pandemic has posed unprecedented health, economic, political, and psychological challenges in the United States [1, 2]. Anxiety, uncertainty, social distancing, and decreased social support related to the outbreak led to increased psychological distress and worsening of existing mental health concerns among many individuals in the United States [3–6]. For parents and caregivers of children, in particular, the pandemic posed unique challenges that may directly impact both parents' and youths' mental health [7, 8]. Like other adults, parents were burdened with sudden disruptions in daily routines, financial hardships, scarcity of resources, perceived health risks, and discrimination related to the virus and lifestyle changes. Yet, additional stressors including balancing work, recurrent school closures, and sustaining basic childcare needs distinctly impacted parents' well-being relative to adults without youth at home [7, 9], particularly early in the pandemic. Indeed, parenting

demands became increasingly complex and variable during the pandemic [10] and such parenting stressors placed some parents at risk for experiencing elevated levels of anxiety, depression, and traumatic stress [7, 11]. As well, parents with pre-existing anxiety and depression prior to the pandemic appeared to be at heightened risk for worsening of symptoms as result of COVID-19-related stressors [4, 5].

Persons with emotional disorder symptoms, such as anxiety, depression, and traumatic stress experience frequent, strong emotions and difficulty managing related emotional responses (i.e., thoughts, feelings, and behaviors). Persons with these symptoms may engage in unhelpful, but understandable behaviors (e.g., suppression, avoidance, substance use, angry outbursts) in an attempt to initially decrease the intensity of their emotions. Although unhelpful in the long term, these behaviors typically provide short-term distress relief, thereby negatively reinforcing maladaptive coping [12]. Difficulties with emotion regulation conferred by low distress tolerance, high anxiety sensitivity, and greater intolerance of uncertainty, which may collectively be described as *affect intolerance* [13], further increase an individuals' risk for developing and/or maintaining emotional disorder symptoms [14, 15], which appeared particularly true during the pandemic [16, 17]. Consistent use of adaptive emotion

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regulation strategies may be important for parents' ability to be flexible, responsive, and perceptive [18, 19], especially when managing stressors, addressing challenging child behaviors, problem-solving, and making decisions [18, 20]. For example, during COVID-19, Shorer and Leibovich [21] found that parental emotion regulation fully mediated the relationship between exposure to stress and children's stress reactions. Parents who struggle with greater levels of psychopathology are also more likely to be emotionally reactive to stressors [22] and are less likely to cope adaptively to difficult circumstances [e.g., unemployment, household chaos; 23, 24]. Risk factors associated with affect intolerance may then be particularly important treatment targets for parents experiencing elevated emotional disorder symptoms during the pandemic.

Parents affected by psychopathology and emotion regulation deficits may engage in unhelpful emotional parenting behaviors [e.g., critical, overcontrolling, low empathy or warmth; 24, 25], partially as a means of reducing their own or their child's distress or due to elevated intolerance of uncertainty in moments of stress [26]. Parents can be over-protective and/or irritable following a traumatic event [27, 28]. Disaster events, including the COVID-19 pandemic, are also associated with increased hostile parenting behaviors [29]. During the pandemic, parents' caregiving burden, depressive symptoms, and perceived child stress have been significantly associated with greater parent-child conflict and lower closeness [11].

Psychopathology and engagement in maladaptive parenting strategies can also impact parents' beliefs about their own parenting capacities. Parental self-efficacy (PSE), or the expectation parents hold about their ability to provide care to their children successfully, is strongly associated with parents' stress, anxiety, depression, and PTSD symptoms [30], and lower PSE may predict greater child internalizing and externalizing symptoms [31]. Although few studies have evaluated the role of PSE during COVID-19, some parents with lower PSE reported having a lower quality of life during the pandemic [32]. Further, higher pandemic stress and greater child psychosocial problems were associated with caregivers' reduced PSE and worsened caregiver mental health symptoms [33]. Parenting difficulties and increased stress due to the pandemic may leave parents feeling even less efficacious and result in the use of less adaptive parenting behaviors that inadvertently maintain challenging child behaviors [34], particularly in light of poorer parent emotion regulation.

A transdiagnostic intervention approach directed at parent psychopathology, emotion regulation and coping may be particularly well-suited to address the needs of vulnerable parents during the COVID-19 pandemic. Specifically, the Unified Protocols for Transdiagnostic Treatment of Emotional Disorders in Adults [UP; 12] and Children

and Adolescents [UP-C and UP-A; 35] have demonstrated efficacy in reducing emotional disorder symptoms and improving daily functioning across numerous investigations [36–40]. Through use of evidence-based cognitive behavior therapy and mindfulness techniques, the UP protocols focus on addressing aspects of affect intolerance implicated in the development and maintenance of emotional disorders. The UP-C/A, predominately child-directed treatments, offer a targeted approach to youth symptoms and also address parenting characteristics and behaviors shown to confer vulnerability to youth emotional disorders [e.g., accommodation of child anxiety-related behaviors; 35, 41]. This approach uses concepts from emotion socialization theory [42] to address unhelpful parenting behaviors of inconsistent reinforcement and discipline, criticism, intrusive parenting, and unhelpful modeling of emotions and avoidance. Notably, an open trial examining the effects of the UP-C/A on parent emotion regulation suggested these protocols were effective in decreasing unsupportive parenting behaviors and in addressing parents' emotion difficulties [43]. The UP-C/A were recently adapted to develop the Coping with COVID program, a six-session parent-directed intervention to help youth with emotional disorders cope with the pandemic [44]. Although this intervention focused on teaching parents how to implement CBT strategies with their children, results showed large effects on COVID-19 specific concerns at post-treatment, suggesting that the adapted protocol was effective in addressing pandemic-related concerns. Taken together, the UP protocols show promise as an adaptable intervention model for addressing the needs of parents with elevated emotional disorders symptoms during the current pandemic.

Given the paucity of parent-directed interventions for parents' emotions and related behaviors during a crisis like COVID-19, UP-Caregiver [45] was further modified as a brief, preventative intervention for parents with elevated emotional disorder symptoms in the context of the pandemic. UP-Caregiver is comprised of select strategies from the adult UP and parent-directed strategies from the UP-C to improve parent emotion regulation, coping focusing on current COVID-19-related stressors most relevant to parents, and effective parenting behaviors (i.e., strategic attention, healthy emotion modeling, empathy). Strategies were presented in the context of the pandemic via modified examples of relevant stressors and techniques. The current study evaluated the initial effects of UP-Caregiver in a sample of parents with elevated emotional disorder symptoms. Primary outcomes included changes in self-reported emotional disorder symptoms (i.e., anxiety, depression, and post-traumatic stress). Secondary outcomes included changes in underlying mechanisms of emotional disorder symptoms, and self-reported parent belief and behaviors (i.e., PSE, parenting satisfaction, accommodation). Feasibility and acceptability were also evaluated. We hypothesized that caregivers would

improve; however, we were unsure if improvements would be substantially greater than the provision of psychoeducational materials and study monitoring.

Methods

Participants

Participants were parents with at least one child aged 6–13 years old in their household and who lived in the state where the study was conducted during their study participation. All participants were biological parents or foster parents of at least one child in the age range and are therefore collectively referred to as “parents” hereafter. Participants were excluded if they were previously

diagnosed with or treated for bipolar disorder, schizophrenia, psychosis, or substance use disorder or had been hospitalized for mental health concerns or a suicide attempt. Inclusion criteria included an elevated score on a self-report questionnaire of anxiety, depression, and/or post-traumatic stress symptoms indicated by the Generalized Anxiety Disorder seven-item scale [GAD-7; 46], Patient Health Questionnaire [47], and Primary Care PTSD Screen for DSM-5 [48], respectively. A total of 100 participants were eligible and 80 participants were ultimately randomized to a study condition, with 52 participants in the immediate treatment condition and 28 participants in the delayed treatment condition (randomized 2:1 [immediate: delayed condition], blocked by stated preference for Spanish- vs. English-language UP-Caregiver groups). See Fig. 1 for CONSORT diagram.

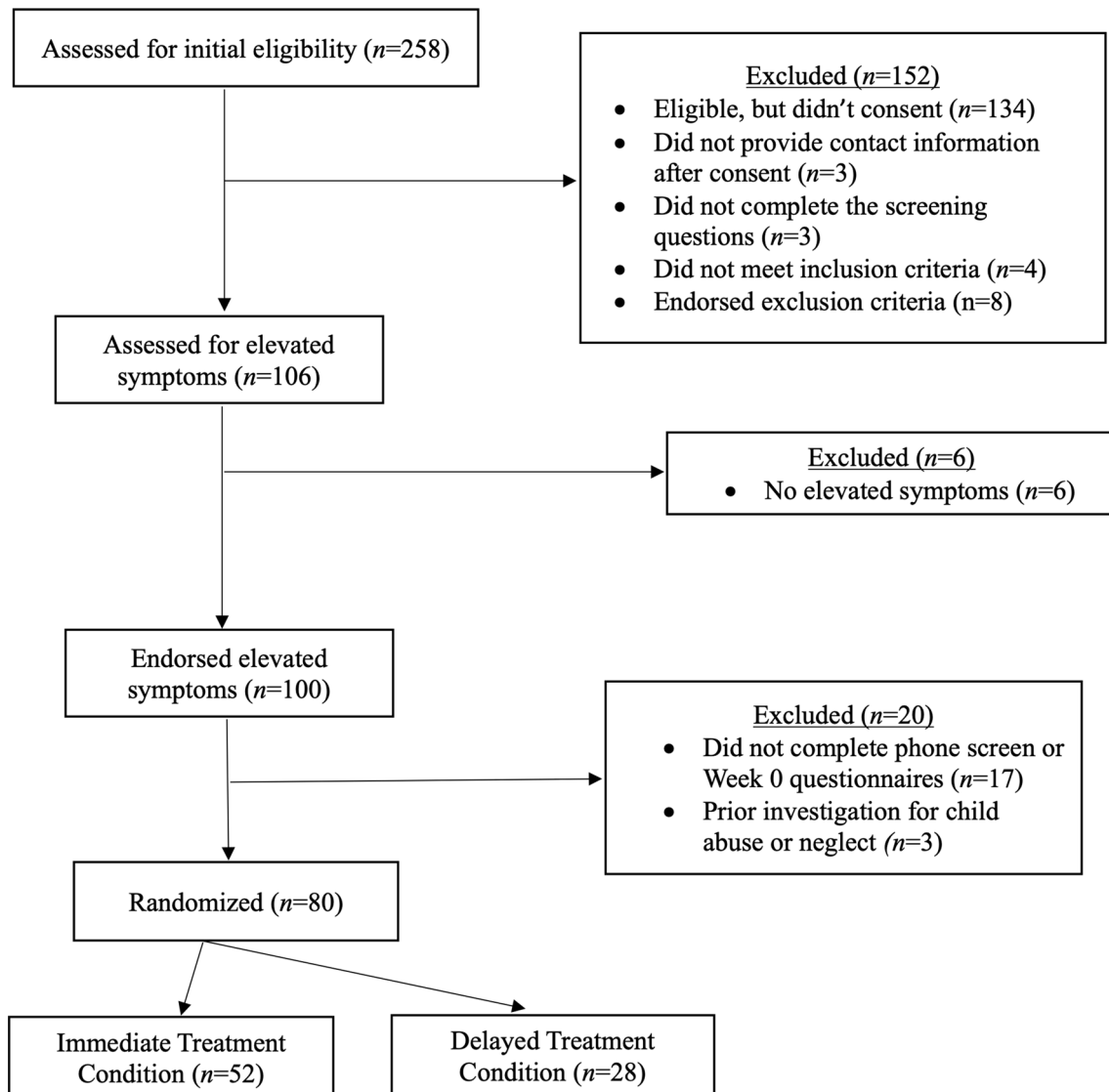


Fig. 1 CONSORT diagram

The final sample ranged from 25 to 52 years old ($M = 40.14$, $SD = 6.41$) and was 95% cisgender female. Approximately 54% of the sample identified as white and Latinx, 37% identified as white non-Latinx, 3% identified as Multiracial, 3% identified as Multiracial and Latinx, one participant identified as Black or African American and Latinx (1.25%), one participant identified as Asian (1.25%), and one participant (1.25%) identified as Latinx, race unspecified. Most parents (91.3%) participated in English language groups and 8.7% of the parents participated in Spanish language groups. See Table 1 for a summary of sample characteristics and pre-randomization group comparisons.

Measures

In addition to the self-report measures below, parents answered general demographic questions and questions about the children in their home. Parents also reported on

their family's COVID-19 related experiences using the Coronavirus/COVID Experiences Questionnaire [49]. All measures were administered at Weeks 0, 2, 4, 6, and 12, unless otherwise noted.

Symptom Measures

The Overall Anxiety Severity and Impairment Scale [OASIS; 50] is a five-item measure that assesses severity and impairment of anxiety symptoms. A cut-off score of an eight can be used to classify individuals in a clinical sample as having an anxiety disorder or not [51]. The OASIS has demonstrated excellent reliability and validity in clinical and nonclinical samples [50, 51]. Internal consistency in the current sample was good across timepoints ($\alpha = 0.78$ – 0.88).

The Overall Depression Severity and Impairment Scale [ODSIS; 52] is a five-item measure that assesses the severity and impairment of mood disorder symptoms. A cut-off

Table 1 Demographic and clinical characteristics of randomized participants

Characteristic	Total M (SD) or N (%)	Immediate-treatment	Delayed-treatment	<i>t</i> -value or χ^2	<i>p</i>
Gender (Female)	76 (95%)	50 (96%)	26 (93%)	0.42	0.61
Age, in years	40.13 (6.42)	40.62 (6.58)	39.21 (6.12)	0.93	0.36
Education				0.26	0.97
Did not complete high school	5	3	2		
Completed high school	13	9	4		
Completed college	35	22	13		
Completed a graduate degree	27	18	9		
Marital Status				3.67	0.45
Married	60	39	21		
Divorced	8	4	4		
Never Married	4	3	7		
Separated	4	4	0		
Widowed	1	1	0		
Race, Ethnicity				4.25	0.64
White, Latinx	43 (53.75%)	28	15		
White	30 (37.5)	19	11		
Multiracial	2 (2.5%)	2	0		
Multiracial, Latinx	2 (2.5%)	1	1		
Black or African American, Latinx	1 (1.25%)	1	0		
Asian	1 (1.25%)	0	1		
Latinx, race unspecified	1 (1.25%)	1	0		
Parent p-index ^a	1.01 (2.26)	.74 (2.28)	1.53 (2.16)	−1.53	0.13
Sessions	3.25 (2.04)	3.27 (1.95)	3.21 (2.23)	0.11	0.91
Days to Week 0 ^b	38.95 (25.15)	38.6 (25.05)	39.61 (25.80)	−0.17	0.87

^aParent p-index represents a measure of parent psychopathy that was obtained by combining the standardized scores of three measures administered at Week 0 (i.e., OASIS, ODSIS, and PCL-5)

^bThe study was conducted during a time in which many COVID-19 related factors were evolving (e.g., participants were likely sheltered in place in May 2020, while participants who began in August 2020 joined during an infection peak and a time of political conflict regarding school reopenings). For these reasons, number of days from the opening of the study to the participants' Week 0 assessment was calculated and compared between groups

score of an eight can correctly classify most individuals as having a mood disorder. The ODSIS has demonstrated excellent internal consistency and good convergent and discriminant validity in clinical and nonclinical samples [52]. Internal consistency in the current sample was excellent ($\alpha=0.92-0.97$).

The PTSD Checklist for DSM-5 [PCL-5; 48] is a 20-item measure designed to screen for and monitor PTSD symptoms. The PCL-5 can be scored in several ways to derive a provisional PTSD diagnosis, and a cut-off score between 31 and 33 indicates probable PTSD. The PCL-5 has shown excellent reliability and validity in trauma-exposed samples [48]. Internal consistency in the current sample was excellent ($\alpha=0.92-0.96$).

Affect Intolerance Measures

The Distress Tolerance Scale [DTS; 53] is a 15-item measure of perceived ability to tolerate negative emotions. The DTS has demonstrated excellent psychometric properties in general and clinical populations [54, 55]. In the current sample, the internal consistencies for the total score and subscales ranged from good to excellent ($\alpha=0.74-0.93$).

The Intolerance of Uncertainty Scale [IUS-12; 56] is a 12-item scale measuring perceived ability to tolerate uncertain situations. The IUS-12 has demonstrated good psychometric properties in nonclinical and clinical anxiety samples [56, 57]. In this sample, internal consistency ranged from good to excellent ($\alpha=0.79-0.95$).

The Anxiety Sensitivity Index [ASI; 58] is a 16-item measure that assesses how responsive an individual is to symptoms of anxiety and consists of a total score and three subscales: physical, mental, and social. The ASI has shown good psychometric properties in clinical and nonclinical samples [59]. In the current sample, the internal consistencies for the total score and the physical and mental subscales ranged from strong to excellent ($\alpha=0.83-0.96$); however, the social subscale varied from questionable to acceptable ($\alpha=0.59-0.70$).

Parenting Measures

The Parenting Sense of Competence Scale [PSOC; 60] is a 17-item measure of total PSE, parenting satisfaction, and parenting efficacy. The PSOC has demonstrated good psychometric properties in nonclinical and treatment-seeking samples [61–65]. The internal consistencies for the total score and subscales ranged from acceptable to excellent ($\alpha=0.72-0.92$).

The Family Accommodation Scale—Anxiety [FASA; 66] is a 13-item measure assessing parents' accommodation of their children's anxiety symptoms and behaviors and distress related to accommodation. The FASA has demonstrated

strong psychometric properties for treatment-seeking parents [66]. The FASA was administered at Weeks 0, 6, and 12 and demonstrated excellent internal consistency ($\alpha=0.91-0.94$).

Feasibility and Acceptability Measures

The Therapy Attitude Inventory [TAI; 67] is a 10-item measure that was administered at Weeks 6 and 12 to assess treatment satisfaction in regard to parenting and the parent–child relationship. The TAI showed excellent internal consistency ($\alpha=0.94$).

Additionally, following each session, caregivers were emailed and asked to rate how satisfied they were with the session, from 1 *not satisfied* to 5 *extremely satisfied*, and how helpful they found the session, from 1 *not at all helpful* to 5 *extremely helpful*. Caregivers were also invited to share any qualitative comments or feedback about the session.

Procedures

The study was approved by the University's Institutional Review Board and registered with ClinicalTrials.gov. It was conducted from May 2020 to November 2020. Participants were recruited via social media, organization talks, listservs, and institution websites and consented electronically. Initially eligible participants who completed Week 0 questionnaires were contacted to complete a brief phone screen for further screening. Parents who endorsed a history of child abuse or endangerment were referred out. Parents who endorsed suicidal ideation on the PHQ-9 were evaluated with the Columbia-Suicide Severity Rating Scale [68] and were referred out if they were more than moderate risk. Randomized participants were administered surveys as indicated above. They were compensated up to \$175 for their participation.

The immediate treatment condition received UP-Caregiver following randomization. The delayed treatment condition received psychoeducation about coronavirus-related stressors and coping with stress developed by the National Child Traumatic Stress Network [NCTSN; 69] in their preferred language at the time of randomization, and additional self-reports at Weeks 2, 4 and 6. After completing the Week 6 assessment, they were offered the UP-Caregiver group.

The UP-Caregiver intervention is comprised of four 90-min sessions [45]. It was administered using a rolling group format (e.g., following Session 1, sessions were received in any order, depending on when participants entered groups) with up to six caregivers and two therapists per group. Parents continued to attend until they received all four sessions and could opt to repeat content and participate in up to eight sessions. Therapists ($n=10$) were doctoral students, a post-doctoral fellow, and faculty members who had previously completed UP-C/A training. Treatment

fidelity was live coded by trained research assistants. Across all sessions of the four modules, treatment components were adhered to 67.6–100% of the time. Table 2 outlines the content of each UP-Caregiver session. More information about UP-Caregiver and its development can be found in Ehrenreich-May et al. [45].

Preliminary Analyses

Following the completion of data collection, data were entered and checked for errors and outliers. Estimates of skewness and kurtosis were examined and found to be within normal limits. Analyses show that the data were missing completely at random (Little's MCAR test: $\chi^2 = 7107.47$, $df = 10,914$, $p = 1.0$). Initial analyses included descriptive statistics calculated from the clinical and demographic items to summarize the sample characteristics. At Week 0, parents in the delayed treatment condition ($M = 11.57$, $SD = 3.00$) reported significant higher anxiety severity than parents in the immediate treatment condition ($M = 9.94$; $SD = 3.5$), $t(78) = -2.07$, $p = 0.04$. There were no other between-condition differences on any of the outcome or demographic variables.

Data screening of baseline anxiety severity scores and use of the interquartile range revealed two outliers in the immediate treatment condition that were not due to measurement error. Although the two individuals identified reported lower anxiety scores on the OASIS than others in the sample, their reports of anxiety on the GAD-7 and their reports of depressive and PTSD symptoms were not outliers. Further, both participants fell within the same classification of moderate anxiety, indicated by a total score greater than 10 on the GAD-7 (immediate treatment group: $M = 12.1$,

$SD = 4.75$; $M = 11.57$, $SD = 3.0$; [70]). Thus, the participants were retained and anxiety severity was not controlled for in the analyses.

Piecewise multilevel modeling [MLM; see 71–73] was employed using HLM software [74] to account for nesting of (1) Repeated measures for each participant, (2) Each participant, and (3) Participants nested within their group therapists, with one ID number assigned for each unique pair of therapists (or single therapists in the case of groups run by just one clinician). A total of 67 unique therapist codes were identified for the participants. Although most participants remained in their same group, for cases in which participants switched to another group, participants were nested according to the therapist(s) they worked with the most.

Piecewise modeling allows for examination of time segments within repeated measures data [75]. Our piecewise approach specified a Level 1 model including a linear segment between Week 0 and Week 6 (S1) and another linear segment for Week 6 and Week 12 (S2). Time was centered at Week 6 so that the intercept reflected outcome means at Week 6 [76], which was the end of treatment for the immediate treatment condition and the end of the delay period for the delayed treatment condition. Differences between the two treatment conditions were tested by entering treatment as a predictor of the Level 2 growth curve parameters (the intercept and both slopes). All models included random effects of the intercept. Group difference effect sizes at the intercept were calculated by dividing the group difference intercept parameter by the raw score standard deviation. In accordance with Feingold [77], group difference effect sizes for change over time during S1 and S2 were calculated by multiplying the group difference slope coefficient by the length of S1 and S2 and dividing it by the raw data

Table 2 UP-Caregiver sessions

<p>Session 1: Dealing with Emotions and Parenting in a Crisis</p> <ul style="list-style-type: none"> •Parent and therapist introductions •Normalization of COVID stressors •Psychoeducation about the function of emotions •Discuss examples of emotional experiences and emotional behaviors •Highlight the relationship between parent and child emotions •Parenting skill: Positive reinforcement, strategic attention, and empathy <p>Session 3: Focusing on the Here and Now in the Midst of a Crisis</p> <ul style="list-style-type: none"> •Review previous week's material and home assignments •Discuss the role of worry and rumination, that lead to unhelpful behaviors related to COVID-19 and parenting •Introduction to mindfulness and practice anchoring in the present •Identify when to use these skill and problem-solve barriers •Parenting skill: Modeling healthy emotion management 	<p>Session 2: Valued Actions for You and Your Family in a Crisis</p> <ul style="list-style-type: none"> •Review of first session •Discuss importance of wellness behaviors (e.g., sleep, eating, self-care) •Introduction to opposite action •Problem-solve barriers to engaging in such behaviors •Select two valued opposite actions or opposite parenting behaviors to try •Parenting skill: Positive one-on-one time <p>Session 4: Thinking Flexibly in a Crisis and Planning for the Future</p> <ul style="list-style-type: none"> •Review previous week's material and home assignments •Discuss parents' experience with worry and its impact on emotions and parenting •Normalize intolerance of uncertainty •Discuss worry topics and identify why and when they're not helpful to focus on •Introduce cognitive distortions and cognitive reappraisal •Introduce problem-solving to help cope with and plan for uncertain future •Parenting skill: How to respond to children's reassurance seeking
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standard deviation. Effect size magnitude was evaluated using Cohen's *d* classification of 0.2, 0.5, and 0.8 for small, medium, and large effects, respectively.

Results

Group differences in outcome variables at the Week 6 timepoint were determined by evaluating significant differences in intercepts. Table 3 presents estimated coefficients, *p*-values, and effect sizes for group differences at Week 6. There were no significant differences in the groups' reports on symptomatology (i.e., anxiety, depression, post-traumatic stress), anxiety sensitivity, or parent self-efficacy at Week 6. The immediate treatment group did report significantly lower scores on overall intolerance of uncertainty ($b = 29.56$) and desire for predictability (IUS-12 Prospective, $b = 18.80$) than the delayed treatment condition ($b = 34.61$ and $b = 22.04$, respectively). The immediate treatment group also reported significantly higher total distress tolerance

($b = 3.31$), ability to tolerate emotions (DTS Tolerance, $b = 3.33$), and ability to regulate emotion (DTS Regulation, $b = 3.21$) than the delayed treatment group ($b = 2.84$, 2.70, and 2.50, respectively). Table 3 also presents group difference coefficients, *p*-values, and effect sizes for S1 and S2. There were no significant Time by Condition effects for the variables examined.

Due to a lack of between-condition findings in slopes, changes in slope for the initial reference group (immediate treatment condition) were interpreted to investigate within-condition changes in outcomes. The models were then rerun with the delayed treatment condition as the reference group to determine if there were any within-condition changes for this group.

Within-Condition Results for S1

During S1, parents in the immediate treatment condition reported significant improvements in anxiety ($b = -0.46$, $p < 0.001$), depressive ($b = -0.33$, $p < 0.001$), and post-traumatic stress symptoms ($b = -0.78$, $p = 0.004$). They also reported decreased intolerance of uncertainty ($b = -0.58$, $p = 0.002$), decreased desire for predictability ($b = -0.33$, $p = 0.003$), and decreased inhibition due to uncertainty (IUS-12 Inhibitory, $b = -0.24$, $p = 0.007$). They reported significant improvements in total distress tolerance ($b = 0.04$, $p = 0.006$) and in their assessment of emotional situations as acceptable (DTS Appraisal, $b = 0.05$, $p = 0.002$), and trending improvements in the extent to which their attention was absorbed by negative emotions (DTS Absorption, $b = 0.04$, $p = 0.07$) and their ability to regulate emotion ($b = 0.03$, $p = 0.06$); however, they reported no changes in ability to tolerate emotions ($b = 0.03$, $p = 0.22$). These parents also reported significant improvements in overall anxiety sensitivity ($b = -0.53$, $p = 0.01$) and physical anxiety sensitivity ($b = -0.35$, $p = 0.006$); however, they reported no changes in mental or social anxiety sensitivity. During these 6-weeks of active treatment, parents also reported significant increases in their overall parent self-efficacy ($b = 0.44$, $p = 0.007$) and parenting satisfaction ($b = 0.25$, $p = 0.05$), but not in the efficacy subscale ($b = 0.18$, $p = 0.12$). Finally, parents reported trending improvements in their participation in their children's anxiety-driven behaviors ($b = -0.17$, $p = 0.07$) and significant improvements in distress related to accommodation ($b = -0.19$, $p = 0.02$), but no changes in their reports of modification of family routines and schedules due to anxiety ($b = -0.10$, $p = 0.36$).

During S1, parents in the delayed treatment condition reported significant improvements in anxiety ($b = -0.48$, $p < 0.001$), depressive ($b = -0.40$, $p = 0.002$), and post-traumatic stress symptoms ($b = -1.14$, $p = 0.002$), despite receiving no intervention during this window. They reported no changes in intolerance of uncertainty during this time

Table 3 Estimated coefficients for differences in intercepts and slope changes

Variable		Coefficient	SE	<i>p</i> -value	Cohen's <i>d</i>
OASIS	Intercept	1.80	0.86	0.06	0.53
	S1	-0.02	0.13	0.86	0.04
	S2	-0.07	0.23	0.76	0.12
ODSIS	Intercept	0.73	1.03	0.50	0.17
	S1	-0.07	0.16	0.65	0.10
	S2	0.30	0.27	0.28	0.43
PCL-5	Intercept	0.32	3.41	0.93	0.02
	S1	-0.36	0.45	0.42	0.15
	S2	0.69	0.78	0.38	0.29
DTS total	Intercept	-0.47	0.19	0.03	0.59
	S1	-0.03	0.03	0.31	0.23
	S2	0.03	0.04	0.47	0.23
IUS total	Intercept	5.06	2.27	0.05	0.53
	S1	0.10	0.31	0.75	0.06
	S2	-0.63	0.54	0.25	0.39
ASI total	Intercept	0.53	3.18	0.87	0.04
	S1	-0.14	0.35	0.68	0.07
	S2	0.21	0.61	0.73	0.10
PSOC total	Intercept	2.84	2.77	0.33	0.26
	S1	0.23	0.27	0.40	0.13
	S2	-0.05	0.48	0.93	0.03
FASA distress	Intercept	-0.05	0.96	0.96	0.01
	S1	0.14	0.13	0.30	0.21
	S2	-0.01	0.24	0.97	0.01

Negative estimates indicate that parents in the immediate treatment condition evidenced greater reduction in symptoms over time than those in the delayed treatment condition. None of the Time by Condition estimates were significant

and reported significant improvements in only the appraisal domain of distress tolerance ($b = 0.05$, $p = 0.04$). Similar to the immediate treatment condition, the delayed treatment condition reported significant improvements in overall anxiety sensitivity ($b = -0.67$, $p = 0.02$) and physical anxiety sensitivity ($b = -0.49$, $p = 0.004$), but not mental or social anxiety sensitivity. Lastly, parents reported significant improvements in overall parent self-efficacy ($b = 0.67$, $p = 0.002$), as well as improvements on the efficacy subscale ($b = 0.30$, $p = 0.05$). They did not report any changes in parenting satisfaction or any changes in accommodation of their children's anxiety.

Within-Condition Results for S2

During their 6-week follow-up window (S2), parents in the immediate treatment condition reported significant increases in anxiety ($b = 0.52$, $p < 0.001$). However, they reported no additional changes in depressive ($b = 0.19$, $p = 0.24$) or post-traumatic stress symptoms ($b = 0.50$, $p = 0.28$), suggesting that the improvements experienced during S1 were maintained. Reports of overall intolerance of uncertainty ($b = 0.68$, $p = 0.04$) and inhibition related to uncertainty ($b = 0.34$, $p = 0.03$) increased since S1, yet desirability for predictability remained stable ($b = 0.34$, $p = 0.07$). Regarding distress tolerance, parents in the immediate treatment condition reported no additional changes in total distress tolerance, ability to regulate emotions, tolerate emotions, or attention to negative emotions; however, the extent to which they appraised emotions as acceptable worsened ($b = -0.06$, $p = 0.03$). Reports of total anxiety sensitivity and its specific domains did not significantly change from the treatment window to the follow-up window, nor did reports of parent self-efficacy or accommodation of their children's anxiety.

During S2, the active treatment window for the delayed treatment group, parents in this condition reported subsequent increases in anxiety ($b = 0.45$, $p = 0.02$), depressive ($b = 0.49$, $p = 0.03$), and post-traumatic stress symptoms ($b = 1.19$, $p = 0.06$). Like their reports during the delay window, they reported no changes in intolerance of uncertainty and no additional changes in overall or specific domains of distress tolerance, anxiety sensitivity, parent self-efficacy or satisfaction, or accommodation of child anxiety during their active treatment window.

Feasibility and Acceptability

Across both conditions, caregivers participated in $M = 3.25$ ($SD = 2.04$, range = 0–8) sessions of UP-Caregiver, no differences between conditions (immediate treatment condition $M = 3.27$, $SD = 1.95$, range = 0–8; delayed treatment condition $M = 3.21$, $SD = 2.23$, range = 0–8; $t(78) = 0.11$, $p = 0.91$). Caregivers who completed the satisfaction and helpfulness

questions reported an average satisfaction rating of 4.33 ($SD = 0.66$), which falls between the descriptors of “very satisfied” and “extremely satisfied.” There were no differences in how satisfied the groups were with the sessions (immediate treatment condition $M = 4.26$, $SD = 0.69$; delayed treatment condition $M = 4.48$, $SD = 0.59$; $t(61) = -1.26$, $p = 0.21$). Similarly, caregivers reported that the UP-Caregiver sessions were “very helpful” ($M = 4.26$, $SD = 0.74$) and helpfulness ratings did not differ between groups (immediate treatment condition $M = 4.16$, $SD = 0.72$; delayed treatment condition $M = 4.44$, $SD = 0.76$; $t(61) = -1.46$, $p = 0.15$). Parents noted that, “the session(s) gave me the ability to be ‘heard,’” that “sometimes I need to be reminded that I know what I’m doing as a parent,” and “the practice of the techniques has helped improve the quality of life in my home.” One parent reflected on their implementation of skills the same night after their session: “I already applied the empathy techniques at dinner and was able to connect with my child, prevent a meltdown and control my reactions when he refused to come to eat at the table with us ... we were able to have a peaceful dinnertime that did not happen in a long time.”

On the TAI, the participants in the immediate treatment condition reported positive attitudes about the intervention at Week 6 ($M = 38.58$, $SD = 6.05$), which remained highly positive at Week 12 ($M = 38.40$, $SD = 6.54$). At Week 12, participants in the delayed treatment condition also reported positive attitudes about the intervention ($M = 38.58$, $SD = 7.56$), with no significant difference in attitudes towards the intervention between conditions, $t(66) = -0.10$, $p = 0.92$.

Discussion

The purpose of this study was to investigate the feasibility and clinical utility of a brief, transdiagnostic intervention for parents during the height of the COVID-19 pandemic (March – December 2020) compared to a delayed treatment condition that included enhanced participant monitoring and support materials. Across all outcome measures and timepoints, there were no significant between-condition differences in slopes. At Week 6, there were significant group differences such that, after receiving treatment, those receiving UP-Caregiver immediately reported lower overall intolerance of uncertainty and desire for predictability and higher distress tolerance, ability to tolerate emotions, and ability to regulate emotion compared to participants in the delayed treatment condition. Analyses showed that participants in the immediate treatment condition reported improved anxiety, depression, and post-traumatic stress symptoms during their active treatment window (S1); however, the participants in the delayed condition also reported significant improvements in these domains, despite S1 being their delay window. Further, participants

in the delayed intervention condition reported worsened depressive and post-traumatic stress symptoms, and no changes in anxiety, during S2, which is when we expected to see improvements for the delayed treatment condition. Regarding changes in affect intolerance and parenting belief outcome measures, during the active treatment window for the immediate treatment condition, participants in both conditions reported significant improvements in PSE, anxiety sensitivity, and distress tolerance. However, participants who received the UP-Caregiver intervention during that time reported significant improvements in parenting satisfaction, intolerance of uncertainty, and distress related to accommodation, while the those in the delayed treatment condition did not. On the other hand, the delayed group reported significant improvements in PSE, while the immediate treatment condition did not. During S2, participants in the immediate treatment condition reported worsened intolerance of uncertainty and distress tolerance, while those in the delayed treatment condition reported no changes since Week 6. Despite these mixed findings, across all participants who received the UP-Caregiver intervention, parents reported high levels of satisfaction and reported the intervention to be helpful.

The effects of clinical attention and provision of support materials on the delayed group participants could have contributed to lack of Time by Condition findings in slopes. Participants in the delayed treatment condition completed study measures four times before initiating services, along with receipt of a useful resource in the NCTSN handout, and this increased clinical attention plus psychoeducation may have provided parents with a sufficient sense of support or self-awareness, as they awaited the upcoming treatment group. Research supports the notion that wait-list control conditions can produce greater effect sizes than no treatment conditions and that different control conditions can have unanticipated effects on participants' expectations and behaviors [78]. Additionally, during a time when many were experiencing feelings of helplessness [79], participating in a research study, commonly recognized as a positive contribution to society [80], and reflecting on one's own behaviors and emotions or having a support resource sheet handy, could have exerted a positive influence on participants. Moreover, similar null time x group results have been seen in another COVID-19-era intervention that aimed to reduced parental stress that included a waitlist control, underscoring the possibility that minimal clinical intervention may be sufficient to yield improvements for some parents during the pandemic [81]. Another possibility is that caregivers who self-select into this type of parenting prevention trial are particularly able and/or motivated to improve their current functioning, and thus, either the enhanced self-monitoring in the waitlist condition or the overt skill focus of the UP-Caregiver sessions may have been sufficient to modify symptoms.

It's also possible that we recruited participants as they were returning to their natural baseline of parenting concerns, leaving less room for improvements, and leading to improvements despite not receiving treatment. Recent research evaluating the changes in parents' mental health supports parents' resilience over the course of the pandemic. Longitudinal studies show that adults in America and the United Kingdom reported significant increases in distress in the early weeks and months of the pandemic that then returned to baseline over the latter part of 2020 [82, 83]. Additionally, some parents may have experienced reduction in stressors during their participation in the study. Especially in the part of the United States where this study was conducted, which re-opened sooner than other regions, it's possible that these parents had increased access to childcare supports and their own social supports due to re-openings, relaxed social distancing guidelines, and summer vacation that co-occurred with the study timeline. Identifying which parents benefit from a waitlist condition alone, a brief CBT intervention, or who need longer therapeutic care would help in triaging parents to scalable interventions appropriate for their needs [84]. This may be especially important given the shortage of mental health professionals to meet the increased needs of this population [85].

This study is not without limitations. First, the sample size was relatively small, and the comparison condition sample size was even smaller; future work should evaluate the effects of UP-Caregiver with a sufficiently powered sample. Further, the sample lacked characteristics important for generalizability to other populations, particularly regarding racial diversity of participants. This sample predominately identified as white and Latinx. Additionally, the parents in this sample were highly educated, with 33.8% of the sample having completed a graduate degree. Although Latinx populations were disproportionately impacted by the pandemic, it is well-known that white and highly educated individuals were least impacted by the detriments of the COVID-19 pandemic and thus results may lack generalizability to some other populations experiencing amplified negative effects of the crisis due to systemic inequities [86, 87]. Further, the parents who participated in this study were support-seeking parents with sufficient time and capacity to participate. We also excluded parents with serious mental illness or who were previously investigated for child abuse or neglect who may or may not have benefitted from UP-Caregiver. Second, the inclusion criteria included elevated symptoms of anxiety, depressive, or post-traumatic stress; however, a higher threshold for symptoms may have resulted in a greater effect on outcome variables.

Additionally, due to the time-sensitive nature of the target of the intervention, the developers did not conduct qualitative research during the treatment development process to understand who UP-Caregiver is best suited for and which

skills and concepts felt most relevant for those individuals. However, the needs of parents are constantly shifting throughout the COVID-19 pandemic. For example, some parents in this study experienced difficulties regulating anger, demonstrating empathy, using self-care, and engaging in flexible problem-solving, while others struggled with getting behaviorally activated, creating new routines and schedules, and having discussions with their children about the pandemic. Needs such as these have likely shifted since this time. Constructing a personalized version of UP-Caregiver in which parents could self-select intervention targets that are most applicable to them may optimize the quality of the intervention, increase efficiency, and offer parents an intervention experience that is fit to their needs at a given time.

UP-Caregiver continues to be an applicable intervention as the COVID-19 pandemic carries on. However, identifying potential mediators and moderators to indicate which parents have a greater need for immediate services, for whom a briefer or more prolonged intervention is needed, and which parents will exhibit a natural recovery could help streamline the intervention and assist in triaging parents to facilitate large-scale implementation efforts.

Summary

This study is one of few investigations to use a randomized design to observe parent psychopathology and affect intolerance following a brief, transdiagnostic intervention aimed to improve parent emotion regulation, manage pandemic-related stress, and increase adaptive parenting behaviors. Participants reported that UP-Caregiver was satisfying and helpful, and several anecdotal accounts highlight the impact that the intervention had for individual participants [88], if not a substantially greater one than the supports offered via the control condition.

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