

Research Paper

Proteins Involved in HER2 Signalling Pathway, Their Relations and Influence on Metastasis-Free Survival in HER2-Positive Breast Cancer Patients Treated with Trastuzumab in Adjuvant Setting

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Abstract

Aim: Resistance to trastuzumab (which is a standard therapy for breast cancer patients with HER2 overexpression) is associated with higher risk of progression or cancer death, and might be related to activation of signalling cascades (PI3K/AKT/mTOR, Ras/Raf/MAPK) and decreased level of their inhibitors.

Material and methods: Formalin-fixed paraffin-embedded tumour specimens from 118 HER2-overexpressing breast cancer patients treated with radical local therapy and trastuzumab in adjuvant setting were used for the assessment of: (1) *PIK3CA* gene mutations (p.H1047R and p.E545K) by qPCR, and (2) expression of Ki-67, EGFR, MUC4, HER3 and PTEN by immunohistochemistry.

Results: Lower Ki-67LI was observed in EGFR-immunonegative and in PTEN-immunopositive tumours. MUC4-immunonegative tumours more frequently were PTEN- and HER3-immunonegative. Favourable metastasis-free survival was observed in patients with tumours characterized by Ki-67LI≤50% (p=0.027), HER3 immunonegativity or PTEN immunopositivity (vs. tumours with HER3 expression and lack of PTEN expression, p=0.043), additionally, the trend was observed for patients with pN0+pN1 pathological tumour stage (vs. pN2+pN3) (p=0.086). Cox model revealed that independent negative prognostic factors were: (i) Ki-67LI>50% (p=0.014, RR=4.6, 95% CI 1.4-15.4), (ii) HER3 immunopositivity together with PTEN immunonegativity (p=0.034, RR=3.7, 95% CI 1.1-12.5).

Conclusion: The results of our study suggest that combined analysis of HER3 and PTEN expression might bring information on trastuzumab sensitivity in the group of HER2-positive breast cancer patients treated with trastuzumab in adjuvant setting.

Key words: HER2-overexpressing breast cancer, PTEN, HER3, MUC4, *PIK3CA* mutations.

Introduction

Breast cancer is one of the most common malignancies in women. Overexpression of human epidermal growth factor receptor type 2 (HER2), associated with poorer prognosis, is detected in

20-25% of invasive breast cancer cases [1].

Trastuzumab, which is an antibody against the IV domain of extracellular fragment of HER2, is currently applied in adjuvant setting for radically

treated HER2-overexpressing breast cancer patients [2]. However, previous studies demonstrated that only 10-34% of patients with advanced breast cancer responded to trastuzumab in monotherapy [3]. This finding suggests that blocking only HER2 receptor might be not enough for silencing all HER2-related signalling pathways and preventing tumour progression. There are apparently some other targets regulating HER2 signaling pathways, such as PI3K/AKT/mTOR and Ras/Raf/MAPK.

There are several most often discussed potential mechanisms involved in gaining resistance to trastuzumab treatment. One of them is overexpression of MUC4 protein, which binds with HER2 and masks epitopes recognized by trastuzumab [4 - 7]. Other potential causes for ineffectiveness of trastuzumab therapy might be activation of HER2 signalling cascades caused by: (i) interaction of HER2 with HER3, HER4, EGFR [5 - 8], (ii) *PIK3CA* (phosphatidylinositol 4,5-bisphosphate 3-kinase catalytic subunit) activating mutations [5, 8], (iii) downregulation of PTEN protein expression [4 - 8]. These molecular mechanisms are only a few of all possible pathways generating trastuzumab resistance [4 - 8].

The aforementioned molecularly-determined resistance might be potentially responsible for higher risk of progression or cancer death in trastuzumab-treated patients with HER2-overexpressing breast cancer. It is hardly unlikely that patients' survival rate could be influenced only by a single factor. The most probable is a joint effect of two or more events. Therefore, the aim of our study was to analyse the prognostic value of potential factors involved in trastuzumab resistance separately and in combination. We analysed metastasis-free survival of HER2-positive breast cancer patients treated with trastuzumab in adjuvant setting according to *PIK3CA* mutation status, Ki-67, PTEN, MUC4, HER3 and EGFR expression.

Material and Methods

Patients

One hundred eighteen patients with invasive ductal breast cancer (T \geq 1, N \geq 0, M0) with overexpression of HER2 were included into the study. Patients underwent radical surgery between 2007 and 2011 at the Department of Surgical Oncology, Centre of Oncology, Cracow Branch and did not receive neoadjuvant chemotherapy or radiotherapy. The mean age of patients was 53 \pm 0.9 (mean \pm SE) (range 31 - 79) years.

Patients received chemotherapy and trastuzumab in adjuvant setting. Hormonotherapy

was applied in 59 patients with tumours presenting estrogen/progesterone receptor (ER/PR) expression. Clinical and histological characteristics of cases is presented in Table 1. The study was approved by Ethical Committee at the Regional Medical Chamber in Cracow (decision from 4th December 2013). No specific consent was needed for this study, as this was a retrospective study performed on archived tissues with no direct patient contact, no modification of diagnostic or treatment procedures and no personal patients' data revealed.

Table 1. Clinicopathological characteristics of invasive ductal breast cancer patients (n=118).

Parameter	Category	N (%)
pT ¹	1	43 (38.7)
	2	67 (60.4)
	3	1 (0.9)
pN	0	53 (44.9)
	1	37 (31.4)
	2	19 (16.1)
Grade ²	2	34 (29.6)
	3	81 (70.4)
	Surgery	BCS
Madden		76 (64.4)
Mastectomy + sentinel node		1 (0.9)
Hormonal therapy	Not administered	59 (50.0)
	Administered	59 (50.0)
Radiotherapy	Not administered	22 (18.6)
	Administered	96 (81.4)
Chemotherapy ³	TAC	1 (0.9)
	ACT	42 (35.9)
	AC	69 (60.0)
	FAC	5 (4.3)

¹ pT was not assessed in 7 cases, ² grade was not assessed in 3 cases, ³ chemotherapy was not applied in one case, BCS - breast-conserving surgery, TAC: docetaxel, doxorubicin, and cyclophosphamide, ACT: doxorubicin, cyclophosphamide and paclitaxel, AC: doxorubicin and cyclophosphamide, FAC: 5-fluorouracil, doxorubicin and cyclophosphamide.

Material

Archival tumour specimens were re-examined independently by two pathologists (A.H.-L., A.A.) to confirm histological diagnosis and tumour grade.

Immunohistochemistry (IHC) staining

Status of ER, PR, HER2 expression was evaluated during diagnostic procedures and retrieved from patients' files. Tumours with >1% of tumour cells expressing ER or PR were considered as immunopositive and in these cases hormonal therapy was applied. [9]. Overexpression of HER2 was assessed using HercepTest (Dako Denmark A/S, Glostrup Denmark). In case of expression assessed as +2 (unclear result), amplification of *HER2* gene was tested using fluorescence in situ hybridization (FISH) - PathVysion HER2 DNA Probe (Abbot Molecular). Overexpression of HER2 protein/amplification of

HER2 gene was evaluated according to recommended standards [10].

Expression of PTEN, MUC4, EGFR, HER3 and Ki67 was assessed immunohistochemically. The sections from formalin-fixed paraffin-embedded tissues were cut at 4 μ m, mounted on SuperFrost® Plus (Menzel-Gläser, Germany) slides, and then deparaffinized and hydrated through a series of xylenes and alcohols. Details on IHC procedures are presented in Table 2. Shortly after antigen unmasking and exogenous peroxidases quenching (30 min. in 0.3% H₂O₂ diluted in methanol), non-specific binding of antibodies was blocked with UltraVision Protein Block (Thermo Scientific, Fremont, USA). Following incubation with primary antibody, for protein visualization, BrightVision detection system (Immunologic, Duiven, The Netherlands) and DAB (Vector Laboratories, Inc., Burlingame, USA) were applied. Hematoxylin was used for nuclear counterstaining.

We were not able to obtain IHC results for all proteins in all cases (Table 2). In some cases insufficient amount of tissue in paraffin blocks or small fragments of tumour tissue hindered obtaining reliable results.

Table 2. Detailed information on immunohistochemical procedures.

Antigen	Clone	Manufacturer	Antigen retrieval	Dilution	Number of positive/all stained cases
PTEN	138G6	Cell Signaling ¹	TRS, pH=6.1	1:75 ^a	21/111
Ki-67	MIB-1	DAKO ²	DAKO,	1:100 ^b	114/114
MUC4	1G8	BioGenex ³	50 min., 96°C	1:60 ^b	36/108
HER3	SP71	ThermoFisher Scientific ⁴		1:100 ^b	32/113
EGFR	H11	DAKO ²	Proteinase K, 10 min., RT	1:200 ^b	20/111

¹ Cell Signaling, Danvers, USA.

² DakoCytomation Denmark A/S, Glostrup, Denmark.

³ BioGenex, Fremont, USA.

⁴ Thermo Fisher Scientific, Fremont, USA.

Incubation with primary antibody: ^a 1 h at 37°C; ^b overnight, 4°C.

IHC evaluation

Expression of proteins was evaluated exclusively in the invasive component of the tumours; ductal carcinoma *in situ* (DCIS) was not included in the evaluation. EGFR expression in more than 1% of tumour cells was determined as immunopositivity, similarly to Gori *et al.* [11]. To assess cytoplasmic staining (HER3, PTEN, MUC4) a quaternary scale was employed, taking into account the intensity of staining: 0 - lack of staining, 1 - weak, 2 - moderate, and 3 - strong staining. HER3 staining was mostly observed in cytoplasm, although sometimes the outline of cell membranes was visible. Usually,

membranous staining was accompanied by cytoplasmic pattern. HER3 was considered immunopositive (Fig. 1a) when in more than 10% of tumour cells a weak to moderate staining of the entire membrane was detected, equivalently to Park *et al.* [12] or strong cytoplasmic staining (clearly distinguished from stroma, score 3) was present. Because of a very limited number of papers concerning MUC4 expression in breast cancer treated with trastuzumab, we decided to define immunopositivity of MUC4 (Fig. 1b) as score >1 (moderate and strong staining). Tumours with strong cytoplasmic expression of PTEN (score 3) (Fig. 1c) were classified as PTEN-immunopositive as defined by Beelen *et al.* [13]. Ki-67 labelling index (Ki-67LI) was assessed in about 5 high power fields in >1000 tumour cells and was calculated as the percentage of cells with nuclear Ki-67 immunopositivity.

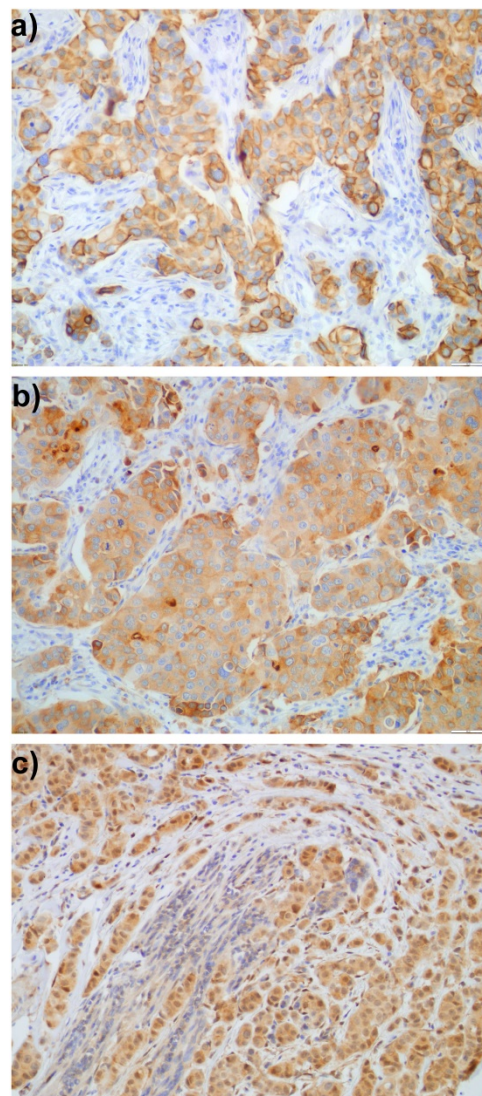


Figure 1. Expression of: a) HER3, b) MUC4, and c) PTEN in breast cancer cells.

qPCR analysis of *PIK3CA* mutation status

Detailed procedure of DNA isolation and qPCR procedure (with PCR reaction mixture components and thermocycling conditions) was described elsewhere [14]. DNA was isolated from formalin-fixed, paraffin-embedded tumour tissue blocks using ReliaPrep FFPE gDNA Miniprep System from Promega Corp. qPCR reactions were performed using ViiA 7 Real-Time PCR System (Applied Biosystems, Foster City, CA, USA) and commercially available kits (Applied Biosystems, Foster City, CA, USA): (i) *PIK3CA* 775 TaqMan® Mutation Detection Assay (Assay ID Hs00000831_mu) to establish the H1047R *PIK3CA* mutation status, (ii) *PIK3CA* 763 TaqMan® Mutation Detection Assay (Assay ID Hs00000824_mu) to establish the E545K *PIK3CA* mutation status, (iii) *PIK3CA* TaqMan Mutation Detection Reference Assay (Assay ID Hs00001025_rf) as reference, assessing conservative fragment of *PIK3CA* gene. Data from qPCR were analysed using Mutation Detector Software.

Statistical analysis

For continuous variables descriptive statistics were used to determine mean values and standard errors of means (SE) and Mann-Whitney test for testing differences between two groups. Relationships between categorical variables were analysed using Yates test for 2x2 tables. Metastasis-free survival (MFS) was calculated from the time of surgery to clinically- or radiographically-confirmed presence of metastases. The probability of survival was estimated using the Kaplan-Meier method. The log-rank test was applied to: (i) investigate the statistical significance of the differences observed between two

groups and (ii) establish the cut-off point for Ki-67LI. $P < 0.05$ was considered significant in all statistical procedures. STATISTICA 10 software, (StatSoft, Inc., Tulsa, OK, USA) was used for analysis of data.

Results

Relations between *PTEN*, *Ki-67*, *MUC4*, *HER3*, *EGFR* expression, *PIK3CA* mutations and clinical parameters

Among the investigated group of HER2-expressing breast cancers, there were 59 (50.0%) cases without expression of both estrogen and progesterone receptors. EGFR immunopositivity was detected in 20 (18.0%) cases, HER3 in 32 (28.3%), MUC4 in 36 (33.3%) and PTEN in 21 (18.9%) cases. In the studied group there were 14 (14.0%) tumours with H1047R mutation and 2 (2.0%) with E545K mutation in *PIK3CA* gene. The presence of H1047R mutation and E545K mutation analysed separately was not related to pT, pN, tumour grade, expression of EGFR, Ki-67, MUC4, PTEN, and HER3. For further analysis we divided the studied group into two subgroups: with at least one mutation and without any, however, no relations between *PIK3CA* mutational status and other parameters were found. Ki-67LI was assessed in 114 cases. The mean Ki-67LI was $36.3\% \pm 1.3$ (mean \pm SE) (range 0.0 – 74.0).

Lower value of Ki-67LI was observed in EGFR immunonegative tumours (34.53% vs. 42.11%) as well as in PTEN immunopositive tumours (30.32% vs. 37.63%) (Table 3). Higher Ki-67LI was observed in G3 and ER/PR immunonegative carcinomas (Table 3), however those relationships did not reach statistical significance.

Table 3. Relations between studied parameters.

Parameter	Category	All	Ki-67LI	<i>PIK3CA</i> mutations (N)		EGFR (N)		PTEN (N)		HER3 (N)		MUC4 (N)		ER/PR (N)	
		N (%)	(N) mean \pm SD	-	+	-	+	-	+	-	+	-	+	-	+
pT	1	43	(42) 34.80 \pm 13.77	34	4	31	7	29	10	31	10	26	11	22	21
	2+3	68	(67) 37.97 \pm 13.89	44	11	55	12	56	10	48	19	43	22	34	34
pN	0+1	90	(87) 35.90 \pm 13.61	65	13	67	16	69	16	65	22	57	27	48	42
	2+3	28	(27) 37.67 \pm 15.41	19	3	24	4	21	5	16	10	15	9	11	17
G	2	34	(31) 32.53 \pm 1.55	24	6	30	3	26	5	22	10	22	9	12	22
	3	81	(80) 37.79 \pm 14.85	58	10	58	17	61	16	57	21	48	26	46	35 ^f
ER/PR	- and -	59	(58) 37.66 \pm 15.22	41	8	37	18	47	11	40	17	34	21		
	+ or +	59	(56) 34.93 \pm 12.62	43	8	54	2 ^c	43	10	41	15	38	15		
MUC4*	-	72	(71) 35.60 \pm 14.22	51	9	59	9	61	9	59	13				
	+	36	(35) 37.63 \pm 14.21	26	5	25	10	24	12 ^d	17	17 ^e				
HER3	-	81	(81) 37.74 \pm 15.19	59	11	62	14	64	14						
	+	32	(31) 33.22 \pm 9.93	21	5	25	6	24	7						
PTEN	-	90	(88) 37.63 \pm 13.86	62	14	69	18								
	+	21	(21) 30.32 \pm 13.51 ^a	16	2	18	2								
EGFR	-	91	(88) 34.53 \pm 12.66	66	12										
	+	20	(20) 42.11 \pm 18.07 ^b	12	3										
<i>PIK3CA</i> mutations**	-	84	(81) 34.78 \pm 14.41												
	+	16	(15) 35.61 \pm 14.43												

PIK3CA: phosphatidylinositol 4,5-bisphosphate 3-kinase catalytic subunit; ER: estrogen receptor; PR: progesterone receptor; PTEN: phosphatase and tensin homolog deleted on chromosome ten; EGFR: epidermal growth factor receptor; MUC4: mucin 4; HER3: human epidermal growth factor receptor 3.

*: “+” immunopositive cases, “-” immunonegative cases; ** “-” tumours without H1047R or E545K *PIK3CA* mutations “+” tumours with H1047R or/and E545K *PIK3CA* mutations. p from Mann-Whitney test: a: $p=0.035$; b: $p=0.022$; p from Yates test c: $p<0.001$; d: $p=0.025$; e: $p=0.001$; f: $p=0.057$.

MUC4 immunonegative tumours more frequently were PTEN and HER3 immunonegative than MUC4-positive ones (Table 3).

Survival analysis

Survival analysis was conducted in a group of 116 patients. From this analysis we excluded one patient without follow up data and one patient who refused chemotherapy.

Mean time of follow-up was 58.4 months (range 13.6 - 98.6). For Ki-67LI, minimal p-value method from log-rank test was used to establish the cut-off point. We selected 50%, as the cut-off point, indicating maximal difference in survival rate between groups

stratified according to Ki-67LI. Studied group consist of HER2-overexpressing carcinomas, which are typically characterized by high proliferation, therefore Ki-67LI cut-off point (50%) in this study is relatively high. In our previous study analysing all subtypes, lower cut-off point was established [15].

Favourable metastasis-free survival was observed in patients with tumours characterized by Ki-67LI≤50% (vs. Ki-67LI>50%) (p=0.027, Fig. 2b) and the trend was noted for patients with pN0 and pN1 (vs. pN2 + pN3) pathological stage (p=0.086, Fig. 2a) (Table 4).

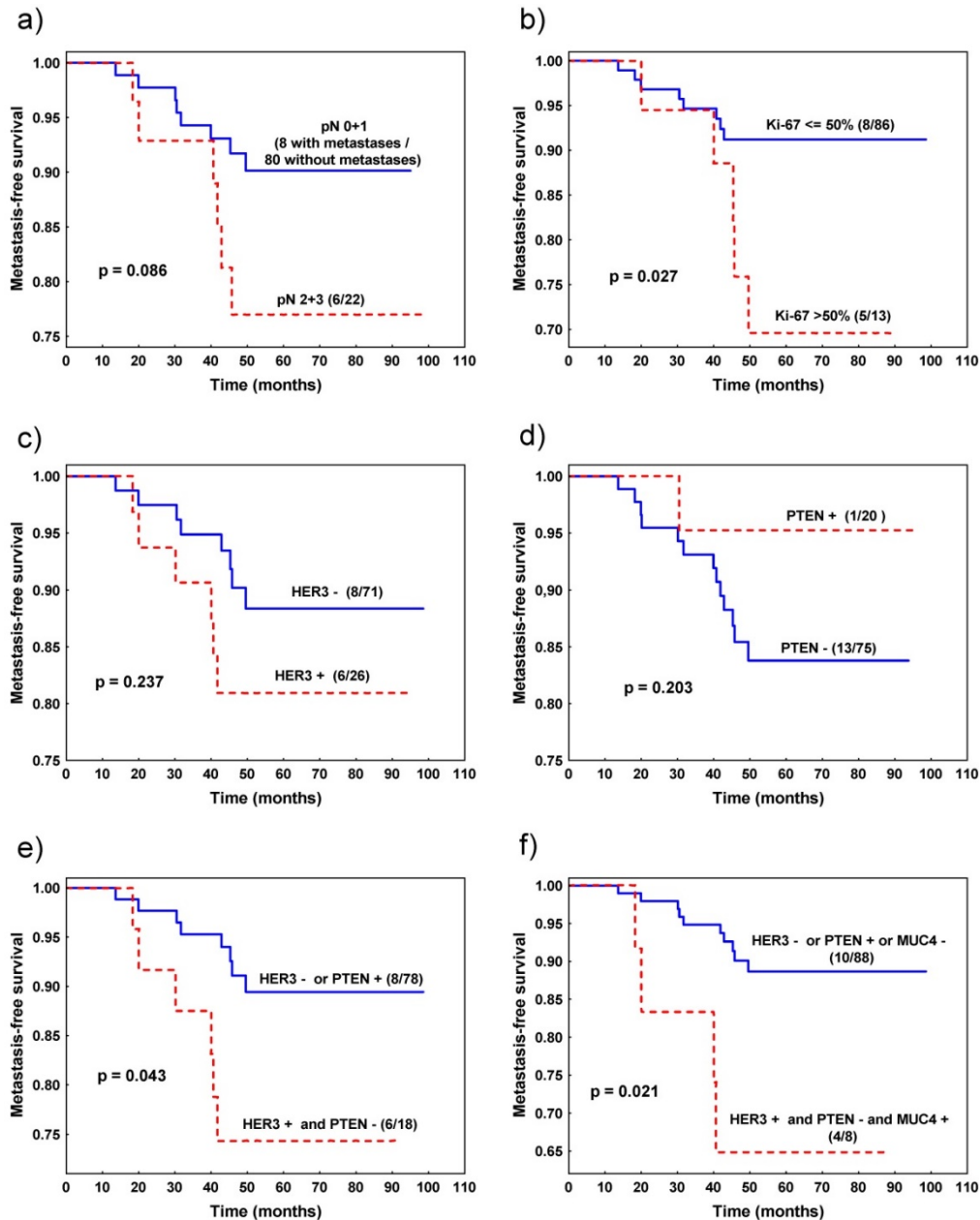


Figure 2. Metastasis-free survival of patients with invasive ductal breast cancer stratified by: a) nodal status, b) Ki-67 expression, c) HER3 expression, d) PTEN expression, e) combined HER3 and PTEN expression, f) combined HER3, PTEN and MUC4 expression.

Table 4. Metastasis-free survival according to studied parameters.

Parameter		Number of patients with metastases /without progression	p value	5-yr MFS (%)
pT	1	4/37	0.500	89.5
	2+3	9/59		84.9
pN	0+1	8/80	0.086	90.1
	2+3	6/22		77.0
G	2	4/29	0.934	87.7
	3	10/70		86.6
ER/PR*	-	5/52	0.293	90.7
	+	9/50		83.2
Ki-67LI	≤ 50%	8/86	0.027	91.2
	> 50%	5/13		69.6
HER3	-	8/71	0.237	88.4
	+	6/26		80.9
MUC4	-	8/62	0.766	87.1
	+	5/31		85.5
PTEN	-	13/75	0.203	83.8
	+	1/20		92.5
EGFR	-	10/79	0.349	87.7
	+	4/16		79.9
PIK3CA mutations**	-	10/72	0.895	87.2
	+	4/14		84.4
HER3/PTEN	HER3- or PTEN +	8/78	0.043	89.4
	HER3+ and PTEN -	6/18		74.4
HER3/PTEN/MUC4	HER3- or PTEN + or MUC4-	10/88	0.021	88.7
	HER3+ and PTEN- and MUC4+	4/8		64.8

MFS - metastasis-free survival.

*: "+" identifies ER or PR immunopositive cases, "-" - ER and PR immunonegative cases; ** "-" tumours without H1047R or E545K PIK3CA mutations "+" tumours with H1047R or/and E545K PIK3CA mutation.

Other analysed parameters, such as grade, pT, status of ER/PR, EGFR, PTEN, MUC4, HER3 expression and PIK3CA mutation status (analysed separately for each mutation, as well as in combination) did not statistically significantly influence survival of breast cancer patients by itself. In other words, based on expression of only one protein (except Ki-67) we were not able to predict patients' survival. However, for HER3 and PTEN, survival curves were clearly separated (Fig. 2c, d). Therefore, we studied the cumulative effect of HER3 and PTEN and discovered that patients with tumour characterized by HER3 immunonegativity or PTEN immunopositivity survived longer than patients with tumour presenting HER3 expression and lack of PTEN expression ($p=0.043$, Fig 2e, Table 4). This effect was even more profound when we added MUC4 expression to the model. Patients with tumours characterized by the presence of three potentially negative factors: HER3 and MUC4 immunopositivity and PTEN immunonegativity survived shorter than the rest of the patients ($p=0.021$, Table 4, Fig. 2f), although the group with poor prognosis consisted only of 12 patients.

Finally, we included Ki-67LI and cumulative effect of HER3 and PTEN to the Cox multivariate analysis. Both variables appeared to be independent negative prognostic factors influencing metastasis-free survival: (i) Ki-67LI>50% ($p=0.014$, RR=4.6, 95% CI 1.4-15.4), (ii) HER3 immunopositivity with PTEN immunonegativity ($p=0.034$, RR=3.7, 95% CI 1.1-12.5).

Discussion

MUC4 is a member of transmembrane mucin family. It is expressed in many normal epithelial tissues and secretions (salivary glands, reproductive tract, mammary epithelium, tears, saliva) as well as in many inflammatory diseases and malignancies [16]. MUC4 contains a few functional domains: tandem repeated, NIDO, AMOP, vWD and (at C-terminal) three EGF-like domains [16]. It is postulated that through EGF-like domain, which acts like ligand, MUC4 interacts with HER2 and increases HER2 phosphorylation [7]. Unfortunately, most of the studies concerning MUC4 in aspect of trastuzumab treatment are conducted on cell lines and animal models. Publications where MUC4 is studied in patients cohorts treated with trastuzumab are very scarce [17]. To the best of our knowledge, for the first time we studied MUC4 in HER2-positive breast cancer patients treated with trastuzumab in adjuvant setting. We detected strong/moderate MUC4 expression in 33.3% of cases and did not observe statistically significant differences in MFS according to its expression.

PIK3CA gene encodes one of the 3 forms of p110, which is a catalytic subunit of phosphatidylinositol 3 kinase (PI3K). Alterations of this gene (mutations, increased copy number) were detected in a variety of malignancies [18] and most of them (80%) were located within helical (E542K, E545K) and kinase (H1047R) domains. These activating mutations of PIK3CA are responsible for increased catalytic activity of PI3K (production of phosphatidylinositol [3,4,5] triphosphate [PIP3]) resulting in cell proliferation and survival [19].

Studies concerning relation between mutation status of PIK3CA gene and clinical/biological parameters or its influence on patients' survival/tumour reaction after trastuzumab treatment are difficult to compare. It should be mentioned that these studies were performed in groups of cancer patients with different clinical characteristics or using different material (serum, paraffin-embedded formalin-fixed or frozen tissues). Even more importantly, mutational status of PIK3CA gene was studied using different methods (qPCR, sequencing) and also particular sets of investigated

mutations can vary from study to study. In our material, 14 (14.0%) tumours with H1047R mutation and 2 (2.0%) with E545K mutation of *PIK3CA* gene were detected. This result is within the range of other studies, where mutations were found in 12 - 24% of cases [13, 20-29]. In our series, as in others [24, 25, 27], there was no relation between *PIK3CA* mutation status and other studied parameters, however, some authors reported associations with grade [13] or nodal status (in ER-positive group) [26]. Influence of *PIK3CA* mutation status on breast cancer patients' survival is ambiguous, what can be caused by analysing different groups with different clinical characteristics (metastatic breast cancer [22, 29, 30] or patients without distant metastases [25, 31], or various treatment regimens implemented: trastuzumab in neoadjuvant [23, 25, 28] or adjuvant [24, 31] setting). In some studies, patients with tumour characterized by activating *PIK3CA* mutation had shorter time to progression [29] or had less frequently pathologic complete response [23, 25, 28]. Other studies did not confirm the influence of *PIK3CA* mutation status on survival (disease/progression/recurrence-free [20, 21, 24], overall [22]) or response to trastuzumab treatment [22]). So far, data suggest that patients with tumours bearing *PIK3CA* mutation are less likely to have pathological complete response after neoadjuvant trastuzumab treatment and, in case of advanced disease, *PIK3CA* mutation status might be linked to shorter progression-free survival [32, 33].

Frequently, *PIK3CA* mutation status and PTEN expression are studied together, because PTEN is a phosphatase and acts as an antagonist of PI3K. In our study, 81.1% of tumours were characterized by low PTEN expression. Other authors noted PTEN loss or weak expression in 15.6-66.2% of cases [11, 12, 17, 21, 22, 23, 29, 34]. Moreover, in our series, survival analysis revealed that only one patient with tumour presenting strong PTEN expression had progression of disease (metastases to liver and adrenal). Nevertheless, we found no statistical significance between groups identified based on PTEN expression (Table 4, Fig 2d). The data concerning prognostic/predictive role of PTEN expression are not consistent. In metastatic breast cancer patients with tumours characterized by PTEN loss, some authors noted shorter survival [12, 22, 29] or shorter time to progression [12, 20]. Moreover, patients who received neoadjuvant chemotherapy with trastuzumab, with reduced PTEN expression in tumour cells, less frequently achieved pathological complete response [23]. Nonetheless, there are also studies which did not confirm relation between PTEN loss in HER2-positive tumours and response to trastuzumab treatment [11, 22], overall [11] and

disease-free survival [11, 29].

One of the proposed mechanisms of trastuzumab resistance is heterodimerization of HER2 with other members of EGFR family (EGFR, HER3, HER4) [5, 6, 7, 8]. Data suggest that, out of other heterodimers, HER2/HER3 is the most potent in activation of signalling pathways responsible for proliferation [35]. In our material, EGFR and HER3 positivity were noted in 18% and 31% of cases, respectively. Other authors reported positivity of EGFR in 20 - 66% [11, 12, 20, 36, 37, 38] and of HER3 in 27.2 - 90% of tumours [12, 36, 37, 39]. The above-mentioned discrepancies might, inter alia, result from different scales used for determining of staining immunopositivity. In the present study, status of EGFR did not influence metastasis-free survival. The same was observed by other authors, who reported lack of relation between EGFR expression status and overall/progression-free survival in a group of early stage [20] or metastatic [11, 40, 41] breast cancer patients. However, others noted that EGFR positivity was associated with shorter overall [12] and progression-free survival [12, 20] in a group of metastatic breast cancer patients. In a group treated with neoadjuvant chemotherapy and trastuzumab, negative relation (marginally significant) between pathological complete response and EGFR expression [37] was found, however, contradictory observation - more frequent progression in EGFR-negative group - also was made [36]. For HER3, data are also inconsistent. In some studies, no relations were found between HER3 expression and overall/progression-free survival [41], patients' outcome [36] or pathological complete response [37]. However, other authors reported HER3 expression as an indicator of shorter overall and/or progression-free survival [12, 39, 42]. Also a meta-analysis concerning HER3 overexpression and survival in solid tumours (breast, gastric and ovarian) confirmed HER3 positivity as being associated with worse survival [43].

One of the most valid clues confirming that heterodimerization with other members of EGFR family can affect HER2 signalling pathways and, in the end, impact patients' survival, comes from CLEOPATRA study [44]. It was shown that adding pertuzumab to trastuzumab and docetaxel improved median duration of response by 7.7 months. Pertuzumab is a monoclonal antibody which recognizes 2nd domain of extracellular fragment of HER2, thus blocking dimerization with EGFR, HER3 and HER4 [45]. In our study, we did not find statistical significance when we divided patients according to HER3 expression, however, the survival curves tended to separate quite clearly, and, with

larger group, statistical significance probably would be achieved. The similar situation was observed in case of PTEN. There is a clear separation of curves, with only one metastatic event in PTEN positive arm, but the difference is not statistically significant. However, when we combined expression of these two proteins, patients with expression of HER3 and lack of PTEN (two potentially negative factors) had significantly shorter survival than the rest of patients. The similar occurrence was observed by Park and colleagues [12], who reported that patients with expression of HER3 and lack of PTEN were characterized by shorter overall and progression-free survival. Moreover, in this model, differences were statistically more pronounced than in case of expression of only one protein [12]. Our and others' finding concerning HER3 and PTEN confirms that probability of patients' survival after trastuzumab treatment could be influenced not by a single factor, but by a combined effect of two or more molecular events. There are many possible ways in which trastuzumab resistance can be acquired by tumour cells and these mechanisms often overlap, interact and do not exclude each other. Therefore, it is possible that trastuzumab resistance, manifested by poorer patients' outcome, is a cumulative effect of several events. In this respect, we should rather search for a model which includes more than one selected target from a very complicated network of HER2 signalling pathways.

Conclusion

The results of our study suggest that combined analysis of HER3 and PTEN expression might bring information on trastuzumab sensitivity in the group of HER2-positive breast cancer patients treated with trastuzumab in adjuvant setting. Patients with HER3 immunopositivity and PTEN immunonegativity might greatly benefit from additional treatment such as blockers of dimerization of HER2 with HER3 or EGFR (pertuzumab) or PI3K pathway blockers (temsirolimus and everolimus, mTOR inhibitors).

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Competing Interest

The authors have declared that no competing interest exists.

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