Research Article

Spinal direct current stimulation (tsDCS) in hereditary spastic paraplegias (HSP): A shamcontrolled crossover study

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Objective: Hereditary spastic paraplegia (HSP) represents a heterogeneous group of neurodegenerative diseases characterized by progressive spasticity and lower limb weakness. We assessed the effects of transcutaneous spinal direct current stimulation (tsDCS) in HSP.

Design: A double-blind, randomized, crossover and sham-controlled study.

Setting: Fondazione IRCCS Cà Granda, Ospedale Maggiore Policlinico, Milan.

Participants: eleven patients with HSP (six men, mean age \pm SD: 37.3 \pm 8.1 years), eight affected by spastin/SPG4,1 by atlastin1/SPG3a, 1 by paraplegin/SPG7 and 1 by ZFYVE26/SPG15.

Interventions: tsDCS (anodal or sham, 2.0 mA, 20', five days) delivered over the thoracic spinal cord (T10-T12). **Outcome measures**: Motor-evoked potentials (MEPs), the H-reflex (Hr), F-waves, the Ashworth scale for clinical spasticity, the Five Minutes Walking test and the Spastic Paraplegia Rating Scale (SPRS) were assessed. Patients were evaluated before tsDCS (T_0), at the end of the stimulation (T_1), after one week (T_2), one month (T_3) and two months (T_4).

Results: The score of the Ashworth scale improved in the anodal compared with sham group, up to two months following the end of stimulation (T_1 , P = .0137; T_4 , P = .0244), whereas the Five Minutes Walking test and SPRS did not differ between the two groups. Among neurophysiological measures, both anodal and sham tsDCS left Hr, F-waves and MEPs unchanged over time.

Conclusions: Anodal tsDCS significantly decreases spasticity and might be a complementary strategy for the treatment of spasticity in HSP.

Keywords: Hereditary spastic paraplegias, Movement disorders, Spasticity treatment, Transcutaneous spinal direct current stimulation, Non-invasive spinal stimulation

Introduction

Hereditary spastic paraplegias (HSP) represent a heterogeneous group of neurodegenerative diseases characterized by progressive spasticity and lower limb weakness, rarely involving all four limbs and variably associated with non-motor symptoms, ranging from sensory disturbances to cognitive impairment, epilepsy and cerebellar dysfunction.^{1,2} HSP may be inherited by an autosomal or X-linked modality. Approximately 50 loci have been mapped so far; three of them representing approximately 50% of all mutations, SPG4 being the cause in 40%, SPG3 in 10% and SPG31 in 5% of all families studied. There were no significant associations between disease progression with genotype, but with the age at onset: in particular, for patients with SPG4

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mutations, disease progression was worst in late-onset disease.³ From a pathophysiological point of view, both the corticospinal tract axons and ascending lemniscal fibers were impaired.^{4–6} Although the corticospinal tract to the upper limbs is likely spared,⁷ supraspinal disease mechanisms may contribute to the pathology, exerting changes in interhemispheric processing and intracortical excitability.^{8,9} To date, the treatment has been exclusively symptomatic and no conclusive guide-lines exist to date. Spasticity may benefit from daily physical therapy, or from baclofen or intramuscular injections of botulinum toxins A/B.¹⁰

Transcutaneous spinal direct current stimulation (tsDCS) has been introduced as a non-invasive technique for modulating spinal cord function in animals and humans.¹¹⁻¹⁹ In particular, tsDCS has been used both for interfering with the maladaptive phenomena taking place in spinal cord-injured patients²⁰ and improving the effects of robotic gait-training in chronic stroke patients.²¹ Interestingly, although the mechanisms of action have only partly been elucidated, they likely rely both on spinal and supraspinal targets, thus possibly modulating GABA(a)ergic intracortical networks and interhemispheric balance.²²⁻²⁴ Different from transcranial direct current stimulation (tDCS), anodal tsDCS has probably an overall inhibitory effect on spinal cord activity: in particular, anodal polarization could act directly on corticospinal descending pathways, without changes in postsynaptic motor neuronal excitability, while cathodal stimulation seems to interfere with interneuronal networks.^{11–14}

The aim of this study was to evaluate the effects of tsDCS on spasticity in patients affected by hereditary spastic paraplegias. We mainly focused our attention on spasticity as HSP affects the long tracts with prevalent involvement of the longest upper motor neuron axons, whereas the extension of the damage to the lower motor neuron is extremely variable. To this end, we assessed changes in clinical scores (Ashworth scale, Five Minutes Walking test, Spastic Paraplegia Rating Scale), motor-evoked potentials (MEPs), H-reflex and F-waves in HSP patients who underwent lower thoracic anodal and sham tsDCS.

Materials and methods

Subjects

Eleven patients diagnosed as having HSP were enrolled in the study (six men and five women, mean age \pm SD: 37.3 \pm 8.1 years); eight were affected by spastin/SPG4 (four men), one man by atlastin1/SPG3a, one woman by paraplegin/SPG7 and one man by ZFYVE26/ SPG15. Each patient signed an informed consent before the inclusion in the study. The protocol was approved by the local ethical committee, in accordance with the tenets of the Declaration of Helsinki. The exclusion criteria were pregnancy, skin lesions of the stimulation area, pacemakers or other electronic devices, previous dorsal laminectomy and other neurological disease.

Different neurologists, blinded to the tsDCS condition, performed the screening visit for study enrolment whereas the clinical and electrophysiological examination was performed by two other neurologists, both blinded to the tsDCS condition.

This study follows the CONSORT guidelines, according to the 25-items checklist and to the flow diagram of the statement.

Transcutaneous spinal DC stimulation (tsDCS)

With participants lying supine on a couch, tsDCS (2.0 mA, 20 min) was delivered by a programable stimulator (HDCStimTM, Newronika, Italy) connected to a pair of rectangular electrodes, one placed over the spinous process of the tenth thoracic vertebra (from the 10th to 12th vertebra, with the major axis parallel to spinal cord) and the other above the right shoulder.^{16,25} The tsDCS electrodes were rectangular pieces of saline-soaked synthetic sponge $(7 \times 8 \text{ cm},$ 56 cm² on the thoracic spinal cord, and 8×10 cm, 80 cm^2 above the right shoulder). We applied a current density of 0.035 mA/cm^2 and delivered a total charge density of 42.8 mC/cm², below the threshold values for tissue damage.^{26,27} tsDCS polarity referred to the electrode over the spinal cord; by analogy with tDCS, the return electrode was placed over the shoulder, as it reduces interference between anodal and cathodal effects.

At the onset of tsDCS, the current was increased for thirty seconds and, at the offset, it was decreased for thirty seconds in a ramp-like manner, a method shown to achieve a good level of blinding among sessions. For a sham tsDCS, the current was turned on for five seconds and then turned off in a ramp-shaped fashion, thus inducing skin sensations indistinguishable from anodal tsDCS.

Patients were blinded to the tsDCS protocol and did not discriminate between anodal and sham condition. In order to report possible adverse effects, the questionnaire developed by Brunoni and colleagues was administered to each patient.²⁸

Clinical scales

Clinical scores were assessed before and after sham and anodal tsDCS. Changes in the Ashworth scale were

evaluated (six sub-items: hip extension, leg abduction, knee flexion, knee extension, ankle flexion and ankle extension). The Five Minutes Walking Test (5MWT) and Spastic Paraplegia Rating Scale (SPRS) were also assessed. For 5MWT participants walked back and forth along a 30-m hallway, turning around cones at each end for five minutes. They were allowed to use their habitual assistive devices at each testing session. Walking improvement on the 5MWT is indicated by positive change scores (in meters). SPRS efficiently reflects the severity of functional problems, comprising also non-motor symptoms, and correlates with disease duration.²⁹ For both Walking Test and SPRS scores, the best results reached by each patient entered the analysis.

H-reflex

H reflex was obtained by delivering rectangular pulses through Ag-AgCl electrodes (10-mm diameter) placed over the tibial nerve at the popliteal fossa (interelectrode distance 20 mm) and recorded from the soleus muscle through Ag-AgCl surface electrodes (10-mm diameter) placed 2 cm apart over the muscle belly. The hip was kept semiflexed (~110°), the knees slightly flexed (~150°), and the ankles in ~10° plantar flexion.²⁵

The current intensity was gradually enhanced to achieve the H-reflex threshold (the minimum stimulation intensity that evoked a reproducible response higher than 50 μ V), maximal H reflex (Hmax), and maximal compound muscle action potential (CMAPmax). In order to minimize post-activation inhibition, the pulses were delivered at random time points, ranging from 10 to 20 s. Stimulation began at 0-mA intensity and grew in 1-mA steps up to the intensity reaching the maximal H reflex.²⁵ The signals were amplified and bandpass filtered (3 Hz-3 kHz). We assessed the H-reflex size (peak-to-peak amplitude, mV), and we calculated the Hmax - to - CMAPmax ratio.

F-waves

F waves were elicited with a 25% supramaximal stimulation applied to the tibial nerve and recorded from the AH muscle through a pair of 10-mm surface Ag-AgCl electrodes in a belly-to-tendon configuration. F waves from the abductor hallucis (AH) muscle were obtained by 20 stimuli delivered to the ankle (1 Hz repetition rate).³⁰ In order to prove the absence of muscular activity, we recorded the audio EMG feedback from the same muscles used for MEP recording. The F-wave mean latency (milliseconds), minimal latency (milliseconds), mean amplitude (mV), and mean temporal dispersion (milliseconds) were assessed. The filter setting was 15-1500 Hz, and the skin temperature at the ankle kept above 32° C.

Motor-evoked potentials (MEPs)

A detailed description of the methods used for MEPs recording has been extensively reported elsewhere.²⁵ Transcranial Magnetic Stimulation (TMS) was delivered by a Novametrix Magstim 200 stimulator (Magstim, Whitland, UK) using a flat coil (outer diameter 13.5 cm). The coil was ensured in a constant position over the vertex. MEPs were recorded at rest by two standard, non-polarizable Ag-AgCl surface electrodes (diameter 10 mm; Technomed Europe), one placed over the belly of the abductor hallucis (AH) muscle and the other on the first metatarsophalangeal joint of the toe. Because the AH has been used in many TMS studies both in health and disease.³¹⁻³³ we selected this muscle for our experiments. As reported in previous studies, both legs were evaluated separately, beginning from the right side.²⁹ The stimulation intensity was kept at 120% of resting motor threshold (RMT).^{34,35} The threshold was set differently for each side and was analyzed at each time point. The current direction was adjusted, with current flowing in the coil anticlockwise for right AH and clockwise for the contralateral side.³⁰ In order to assess MEP changes among different time points, we delivered the stimulation intensity used at baseline; for the assessment of MEP area and amplitude, the stimulator output was the same at each time point. Eight MEPs were collected at 10-second intervals and averaged at each time point. MEPs were amplified and filtered (bandwidth 3 Hz–3 kHz; Nicolet Viking IV P). Different variables were studied: RMT (% of stimulator output), onset latency (milliseconds) and area under the curve (mVms) of the motor response. RMT was measured immediately at the end of stimulation week and at each time interval $(T_2, T_3, T_4;$ see below); the MEP area and latency were assessed offline on MEPs averaged from eight sweeps.

Experimental design

Subjects were studied before and after anodal and sham tsDCS. Every patient received both the treatment named anodal (A) or sham (S) session in a cross-over design (AS or SA sequence) To assign patients to the treatment sequence, we used an alternating allocation design. All patients carried out two experimental conditions held at least three months apart, to avoid carry-over effects. Each session, either anodal or sham, lasted five days a week (20' twice a day). The clinical scores were assessed by a neurologist, while the electrophysiological recordings were made by a neurologist and technicians and their off-line evaluation by a third examiner, all blinded to the tsDCS polarity. MEPs, Hreflex and F-waves were derived from both sides. Clinical scores, MEPs, H-reflex and F-waves were assessed before tsDCS (T_0), immediately at the end of stimulation week (*i.e.* within few minutes following the final tsDCS session, T_1), after one week (T_2), one month (T_3) and two months (T_4).

No pharmacological modification was performed during the week of tsDCS and during the three months between the two experimental conditions. Moreover, no other therapeutic interventions (*i.e.* physical therapy) were performed during the three months.

Statistical analysis

Values are reported as the mean \pm standard error (S.E.). MEP amplitudes were measured peak-topeak. Nonparametric analyses were used, as all data sets did not pass the Shapiro-Wilk test for normality (P < .05). tsDCS-induced changes in each variable were then assessed with a Friedman test (non-parametric analysis on paired data) with the main factor "time" (four levels: T_1 , T_2 , T_3 and T_4). In order to disclose significant changes at each time point between anodal and sham tsDCS (T_1, T_2, T_3, T_4) , a Wilcoxon matched-pairs signed test was then applied. Both the electrophysiological measures and clinical scores were normalized to baseline before entering the analysis (according to the formula $(T_1 - T_0)/T_0 * 100 +$ 100). Statistical significance was set at P < 0.05. The data were analyzed using SPSS v. 21.0 for Windows (SPSS Inc.).

Table 1 Changes over time in MEP parameters, recorded from right and left side, after tsDCS. Results are reported as mean values \pm S.E.

		Motor-evoked potentials				
		Resting motor threshold (% of stimulator output)	Latency (ms)	Area (mVms)		
Anodal	T_0	82.0 ± 3.0	44.8 ± 1.2	1.5 ± 0.3		
(mean ± SE)	T_1	84.5 ± 2.7	44.7 ± 1.1	1.1 ± 0.2		
	T_2	82.5 ± 2.9	45.8 ± 1.3	1.3 ± 0.1		
	T_3	82.3 ± 3.1	44.8 ± 1.4	1.3 ± 0.2		
	T_4	86.8 ± 2.1	42.8 ± 2.2	1.4 ± 0.2		
Sham	T_0	86.1 ± 2.3	44.3 ± 0.8	1.3 ± 0.2		
(mean ± SE)	T_1	87.4 ± 1.9	44.1 ± 1.0	1.1 ± 0.1		
	T_2	86.4 ± 1.9	44.7 ± 0.9	1.2 ± 0.1		
	T_3	85.7 ± 2.1	46.0 ± 1.2	1.3 ± 0.2		
	T_4	87.9 ± 2.1	43.4 ± 1.2	1.2 ± 0.2		

Results

Electrophysiology

All patients tolerated the procedure well, without adverse effects. They rarely reported a slight tingling or itching sensation below the stimulating electrodes lasting only a few seconds or disappearing just after wetting the electrode sponges.

All patients completed the neurophysiological assessment. Baseline values did not change among the different experimental sessions (P > 0.2 for all the comparisons made, Wilcoxon test with "stimulation" as factor).

Anodal tsDCS did not statistically modify the MEP variables when compared to sham group, although the anodal polarization leads to a slight reduction in MEP area over time (Table 1; threshold: P = 0.23; onset latency: P = 0.27; area: P = 0.16, Friedman's test).

None of the remaining electrophysiological measures assessed were significantly changed following tsDCS (P > 0.35, Friedman's test, for all the comparisons made: relative raw data are reported in Table 2).

Clinical evaluation

The baseline values did not change among different experimental sessions (P > 0.2 for all the comparisons made; Table 3).

The score of the Ashworth scale for lower limbs improved in the anodal compared with sham group, in particular up to two months following the end of stimulation, at T_1 (P = 0.0137, Wilcoxon matched-pairs signed test) and T_4 (P = 0.0244). Among the subitems, patients showed a significant improvement in hip flexion (T_4 : P = 0.016, Wilcoxon matched-pairs signed test) and knee extension (T_2 : P = 0.0156; T_3 : P = 0.0078; T_4 : P = 0.0039, Fig. 1).

Nine patients only completed the clinical evaluation by performing also the Five Minutes Walking Test and the SPRS. No significant change was found when the scores were compared, although there was a general tendency toward improvement in the anodal group (Five Minutes Walking test: P = 0.072, Friedman analysis); no improvement in non-motor symptoms was found, as assessed by the Spastic Paraplegia Rating Scale (P = .83). the Wilcoxon matched-pairs signed test did not reveal significant differences between anodal and sham tsDCS at any time point (P > .1).

Discussion

Thoracic tsDCS improved the Ashworth score in HSP patients. To the best of our knowledge, this study is

		F-waves				H-reflex			
		Threshold (mA)	Minimal latency (ms)	Mean latency (ms)	Dispersion (ms)	Threshold (mA)	Latency (ms)	Amplitude (mV)	Ratio <i>H</i> / <i>M</i>
Anodal	T_0	31.2 ± 2.7	52.2 ± 1.0	54.3 ± 1.1	5.0 ± 0.5	11.3 ± 0.9	30.6 ± 0.4	1.2 ± 0.6	0.1 ± 0.04
(mean \pm SE)	T_1	27.3 ± 2.2	50.0 ± 1.1	52.3 ± 1.2	5.6 ± 0.4	16.2 ± 1.7	30.4 ± 0.5	1.1 ± 0.7	0.2 ± 0.03
,	T_2	29.0 ± 1.9	49.7 ± 0.9	51.7 ± 0.9	5.1 ± 0.4	14.0 ± 1.4	29.8 ± 0.4	1.8 ± 0.4	0.2 ± 0.03
	T_3	28.2 ± 2.5	48.9 ± 1.1	51.3 ± 1.1	5.2 ± 0.3	13.0 ± 1.2	29.7 ± 0.5	3.6 ± 0.6	0.3 ± 0.04
	T_4	25.0 ± 2.0	49.0 ± 0.8	51.6 ± 0.9	5.2 ± 0.4	11.5 ± 1.1	29.9 ± 0.4	1.9 ± 0.5	0.2 ± 0.04
Sham	T_0	21.5 ± 3.7	48.1 ± 0.7	50.3 ± 0.7	4.4 ± 0.3	11.8 ± 0.9	30.6 ± 0.6	2.4 ± 0.7	0.3 ± 0.06
(mean ± SE)	T_1	19.0 ± 3.0	49.5 ± 1.0	51.3 ± 1.1	4.1 ± 0.4	13.0 ± 1.0	28.8 ± 0.5	1.9 ± 0.6	0.2 ± 0.04
,	T_2	20.0 ± 2.1	47.3 ± 0.8	50.5 ± 0.9	5.1 ± 0.3	11.2 ± 0.7	29.1 ± 0.4	1.6 ± 0.4	0.2 ± 0.04
	T_3	20.5 ± 1.8	47.7 ± 0.8	50.6 ± 0.9	5.3 ± 0.7	12.2 ± 0.7	28.4 ± 0.5	2.0 ± 0.5	0.3 ± 0.04
	T_4	21.3 ± 3.8	48.3 ± 0.8	50.6 ± 0.8	6.0 ± 0.6	10.2 ± 0.9	28.4 ± 0.5	2.2 ± 0.6	0.2 ± 0.05

Table 2 Changes over time in F-waves and H-reflex parameters between anodal and sham tsDCS. Results are reported as raw data \pm S.E.

the first to investigate the effects of tsDCS in patients with HSP.

Although tsDCS mechanisms of action still remain debated, a growing body of evidence suggests that tsDCS interferes with cortical, corticospinal, and spinal motor output in humans.^{36–37} Since spinal stimulation modulates both alpha and gamma motor neuron activity in animals, anodal tsDCS could directly inhibit gamma system in humans.^{14,38} In addition, the pre-synaptic inhibition and post-activation depression induced by tsDCS could reduce spasticity by modulating interneuronal excitability.³⁹ Spasticity is known to be associated with abnormally weak presynaptic inhibition, as well post-activation depression of synaptic actions of group Ia afferents.³⁹⁻⁴⁰ Conversely, depending on its polarity, tsDCS may be also useful for the treatment of disease characterized by abnormally strong pre-synaptic inhibition and post-activation depression such as spinal muscular atrophy.⁴⁰

Cortical networks could be additional targets of tsDCS in spasticity. The modulation of cortical

Table 3 Changes in total Ashworth score, 5MWT and SPRS, at baseline and at different time points after tsDCS, following anodal and sham tsDCS. Because the raw data did not assume normality, the results are reported here as mean values and interquartile range (IQR). 5MWT: the 5 Minutes Walking Test; SPRS: Spastic Paraplegia Rating Scale.

		Ashworth score	5MWT	SPRS
Anodal (mean	T_0	21.4 ± 10.5	18.6 ± 13.6	17.0 ± 12.4
and interquartile)	T_1	17.8 ± 9.5	19.5 ± 12.2	18.8 ± 12.6
	T_2	19.7 ± 10.0	18.6 ± 5.4	15.2 ± 5.4
	T_3	17.9 ± 7.5	17.8 ± 13.8	18.6 ± 13.4
	T_4	16.9 ± 6.5	18.6 ± 13.6	15.9 ± 11.0
Sham (mean and	To	21.3 ± 5.5	22.3 ± 15.5	20.2 ± 13.3
interquartile)	T_1	20.1 ± 7.5	22.8 ± 17.8	19.8 ± 12.1
	T_2	19.6 ± 5.5	23.0 ± 17.8	18.1 ± 9.8
	T_3	19.8 ± 5.0	19.1 ± 16.5	17.0 ± 12.4
	T_4	20.0 ± 8.0	21.0 ± 14.8	18.3 ± 13.5

GABAergic activity by tsDCS²² could improve spasticity dampening the GABAergic tone, at a spinal as well as cortical level.^{41–44} Further support for a combined cortico-spinal modulation comes from a recent study showing that anodal tsDCS depresses both M1and peripheral-evoked local field potentials (LFP) in the dorsal horn, possibly reducing maladaptive plasticity that contributes to muscle afferent fibers sprouting and hyperreflexia.⁴⁵

Among neurophysiological measures, the lack of changes after tsDCS in the F-waves and H-reflex are in line with those reported in healthy volunteers;²⁵ the absence of H-reflex changes suggests no modification in small motor neurons, whereas the lack of F-wave effects rules out changes both in large motor neurons and postsynaptic excitability.⁴⁶

Whereas in healthy subjects anodal tsDCS seemed mainly to affect the RMT and cathodal tsDCS predominantly influenced the MEP area,²⁵ in HSP patients anodal polarization left both RMT and MEPs unchanged. This discrepancy might be due to the small and quite heterogeneous sample, but also to the progressive loss of corticospinal fibers compared with controls. Another possibility concerns a ceiling effect obtained in patients with HSP, due to the small number of residual fibers.

The main limitation of our study was the small number of patients enrolled and their genetic pathophysiological heterogeneity. Overall, disease progression significantly differs among various forms, as little progression is seen in some (SPG3a), while a worsening even within few years is described in others (SPG4);³ moreover, SPG4 patients only have impaired intracortical excitability and no evidence for supra-spinal disease mechanisms exists so far in SPG3, SPG7 and SPG15.^{8,9} Furthermore, among SPG4 patients, a wide range of genetic mutations have been described so far, from

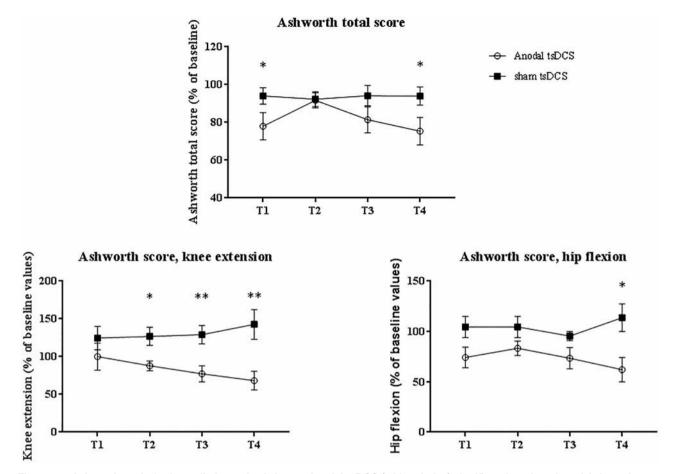


Figure 1 Ashworth scale for lower limbs and sub-items. Anodal tsDCS (white circles) significantly reduced total Ashworth score compared with sham stimulation (black squares), at T_1 and T_4 ; this improvement with respect to hip flexion and knee extension (left and right, bottom row). The data are expressed as mean values \pm S.E. (*P<.05; **P<.01).

large deletions to nonsense and missense mutations, associated with different disease courses. This heterogeneity could explain the relative increase in Ashworth scores at T_1 and T_4 , as observed in the sham group compared with anodal polarization, due to some patients worsened faster than others. Finally, the effects of direct spinal polarization on non-motor features were not assessed in our study; it is important especially in complex recessive spastic paraplegias, as spatacsin/ SPG11, ZFYVE26/SPG15 and paraplegin/SPG7.⁴⁷ From a statistical point of view, although a crossover study does not require a specific number of patients in each group, it was not possible to counterbalance for eleven patients as this number is not a multiple of the two experimental conditions.

Conclusions

This study is the first to investigate the effects of tsDCS in patients with HSP. The results are in line with previous data on spinal cord injuries²⁰ and chronic stroke,²¹ thereby confirming the efficacy of tsDCS for

the treatment of spasticity. Our results may, therefore, contribute to the design of more specific applications in clinical practice; in particular, the use of a combined transcranial and spinal stimulation strategy would be of interest in the wide field of movement disorders, possibly improving motor recovery, as seen in animals.48 Moreover, as the improvement lasts up to two month (T_4) with a subsequent worsening of clinical scores, future studies should provide more frequent stimulation cycles. Another critical point to be assessed in future studies is the target of tsDCS; a combined spinal and cortical stimulation protocol to improve the clinical outcome should be evaluated. Lastly, as in other human diseases, the optimal tsDCS repetition rate and duration to promote clinical improvements remains unknown.

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Disclaimer statements

Contributors None.

Declaration of interest None.

Conflicts of interest The authors have no conflict to declare.

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