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## Genetic and phenotypic characterisation of HIV-associated aggressive B-cell non-Hodgkin lymphomas, which do not occur specifically in this population: diagnostic and prognostic implications

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## Genetic and phenotypic characterisation of HIV-associated aggressive B-cell non-Hodgkin lymphomas, which do not occur specifically in this population: diagnostic and prognostic implications

The frequency of aggressive subtypes of B-cell non-Hodgkin lymphoma (B-NHL), such as high-grade B-cell lymphomas (HGBL) with *MYC* and *BCL2* and/or *BCL6*  rearrangement (HGBL-DH/TH) or Burkitt-like lymphoma (BL) with 11q aberration, is not well known in the HIV setting. We aimed to characterise HIV-

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associated aggressive B-NHL according to the 2017 WHO criteria, and to identify genotypic and phenotypic features with prognostic impact. Seventy-five HIV-associated aggressive B-NHL were studied by immuno-histochemistry (CD10, BCL2, BCL6, MUM1, MYC, and CD30), EBV-encoded RNAs (EBERs), and fluorescence *in situ* hybridisation (FISH) to evaluate the status of the *MYC*, *BCL2*, and *BCL6* genes and chromosome 11q. The 2017 WHO classification criteria and the Hans algorithm, for the cell-of-origin classification of diffuse large B-cell lymphomas (DLBCL), were applied. In DLBCL cases, the frequencies of *MYC* and *BCL6* rearrangements (14.9 and 27.7%, respectively) were similar to those described in HIV-negative patients, but

Keywords: genetics, phenotype, HIV, lymphoma

## Introduction

Aggressive B-cell non-Hodgkin lymphomas (B-NHL), such as diffuse large B-cell lymphoma (DLBCL) and Burkitt's lymphoma (BL), have an increased incidence in the HIV-infected population.<sup>1</sup> HIV-infected patients are currently treated with the same regimens as those given to the noninfected, achieving similar response rates.<sup>1,2</sup> However, HIV-infected patients have impaired immunosurveillance. This is due to the direct effect of HIV on CD4+ T cells and the further imbalance of cellular populations and levels of cytokines.<sup>3</sup> HIV-encoded proteins, like p17, can activate PI3K/Akt signalling pathways, leading to the expansion of B cells.<sup>4</sup> HIV is responsible for the chronic activation of B lymphocytes, thus increasing the probability of genetic alterations promoted by activationinduced cytidine deaminase (AID), such as chromosomal translocations involving MYC and BCL6 mutations. Moreover, HIV-infected patients are prone to coviral infections by Epstein-Barr virus (EBV) and human herpes virus-8 (HHV8), which are known to enhance tumour cell growth and survival, and induce lymphomagenesis.<sup>5</sup> Therefore, it would be expected to find phenotypic and genotypic differences between HIV-associated lymphomas and their corresponding immunocompetent counterparts.

DLBCL can be divided into two subtypes according to the cell of origin (COO): germinal centre B-cell like (GCB) and activated B-cell like (ABC), the latter being associated with poorer outcomes.<sup>6</sup> It is currently recommended to study the COO subtype in all DLBCL, and immunohistochemistry (IHC)-based algorithms *BCL2* rearrangements were infrequent (4.3%). MYC expression was identified in 23.4% of DLBCL cases, and coexpression of MYC and BCL2 in 13.0%, which was associated with a worse prognosis. As for BL cases, the expression of MUM1 (30.4%) conferred a worse prognosis. Finally, the prevalence of HGBL-DH/TH and BL-like with 11q aberration are reported in the HIV setting. The phenotypic and genotypic characteristics of HIV-associated aggressive B-NHL are similar to those of the general population, except for the low frequency of *BCL2* rearrangements in DLBCL. MYC and BCL2 coexpression in DLBCL, and MUM-1 expression in BL, have a negative prognostic impact on HIV-infected individuals.

are widely used when gene expression technologies are not available.  $^{7}$ 

Both the 2008 and 2017 WHO classifications of tumours of haematopoietic and lymphoid tissues include a category for lymphomas associated with HIV infection. This category comprises subtypes occurring more specifically in HIV-positive patients, in other immunodeficient states, and lymphomas also occurring in immunocompetent individuals.<sup>7,8</sup> The most frequent lymphoma subtypes among HIVinfected individuals are DLBCL and BL. The 2017 WHO classification introduced new categories in the general population, such as Burkitt-like lymphoma with 11q aberration, high-grade B-cell lymphomas (HGBL) with MYC and BCL2 and/or BCL6 rearrangement (HGBL-DH/TH), and HGBL NOS, the former with a dismal prognosis. Moreover, it has been found that coexpression of MYC and BCL2 in the absence of a double-hit also impacts prognosis. However, most of the studies on HIV-associated lymphomas are not based on the new classifications and data regarding gene translocations, and the expression of MYC and BCL2 in these lymphomas is scarce. We aimed to ascertain how HIV-associated lymphomas are distributed according to the WHO 2017 classification. Therefore, we reviewed the diagnosis of a comprehensive group of HIV-associated aggressive B-cell lymphomas applying IHC and fluorescent in situ hybridisation (FISH) studies currently recommended for the diagnosis of these lymphomas. This study provides a useful snapshot of the genotypic and phenotypic features of HIV-associated aggressive B-NHL and identifies markers with prognostic implications.

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## Materials and methods

#### STUDY POPULATION

Seventy-five patients previously diagnosed with HIVassociated aggressive B-NHL including DLBCL, BL, and B-cell lymphoma unclassifiable with features intermediate between DLBCL and classical BL (BCLU) were selected based on the availability of formalinfixed and paraffin-embedded (FFPE) material. Cases with inconclusive FISH analyses were excluded. Other aggressive lymphoma subtypes, occurring more specifically in HIV-infected patients, were not included. Patients were diagnosed in several hospitals in Spain and the United Kingdom. All cases were retrospectively reviewed, and the main clinical-biological variables were retrieved. The study was approved by the Institutional Research Board of the Hospital Germans Trias I Pujol HGTIP (Reference: EO-12-072) in accordance with the Declaration of Helsinki and biomedical research legislation (Law 14/2007).

#### IMMUNOHISTOCHEMICAL ANALYSES

Haematoxylin-eosin-stained slides were reviewed and representative tumour cell-rich cores were taken in triplicate from formalin-fixed and paraffin-embedded (FFPE) blocks to build tissue microarrays (TMA). Immunohistochemical stains were performed with Dako EnVision system (Dako, Glostrup, Denmark) following the manufacturer's protocol. The following antibodies (clone, concentration; brand) were used: CD10 (56C6, 1/20; Novocastra, Newcastle, UK), BCL6 (PG-B6P, 1/30; Dako), MUM1 (clone MUM1p, 1/40; Dako), BCL2 (124, 1/200; Dako) MYC (Y69, 1/100, Epitomics, Burlingame, CA, USA) and CD30 (clone 1G12, 1/20, Novocastra). BCL2 and MYC were scored in 5% increments, and other markers as positive or negative. The cutoff of 30% was applied for CD10, BCL6 and MUM1 according to Hans criteria.<sup>9</sup> For CD30, the cutoff was 20% and focal positivity was recorded.<sup>10</sup>

#### IN SITU HYBRIDISATION ANALYSES

The presence of EBV was assessed in all cases by means of EBV-encoded RNA (EBER) detection by *in situ* hybridisation with the INFORM EBER PROBE assay (Ventana Medical Systems, Tucson, AZ, USA) and the ISH IVIEWBLUE detection system (Ventana Medical Systems) in a BenchMark ULTRA automated instrument (Ventana Medical Systems).

Fluorescent *in situ* hybridisation (FISH) analyses were performed in all cases for the presence of *MYC*,

BCL2, and BCL6 rearrangements, according to published methods.<sup>11</sup> MYC rearrangements were evaluated with Vysis MYC Break Apart and Vysis IGH/MYC/ CEP8 Tri-Colour Dual Fusion probes (Abbott Molecular., Abbot Park, IL, USA). Cases with MYC rearrangements detected with the break-apart probe but not with the IGH/MYC/CEP8 were hybridised with a mixture of Vysis LSI MYC SpectrumGold probe (Abbott Molecular) and IGL or IGK FISH DNA Split Signal probe (Dako). Cases with IGL or IGK FISH-split pattern colocalised with a MYC FISH-split signal were considered as *IGL-MYC* or *IGK-MYC* rearranged, respectively. Vysis BCL2 and BCL6 dual-colour break-apart probes (Abbott Molecular) were used for detection of BCL2 and BCL6 translocations. The 11g alteration was studied with ZytoLight SPEC 11q gain/loss Triple Colour Probe (ZytoVision, Bremerhaven, Germany).

#### STATISTICAL ANALYSES

A descriptive study of the variables was performed. Chi-square, Fisher's exact test, or median test were used for comparisons. Overall survival (OS) and progression-free survival (PFS) were defined according to standard criteria.<sup>12</sup> Survival probabilities were estimated according to the Kaplan–Meier method and comparisons were performed with the log-rank test. Univariate cox regression analyses for OS and PFS were performed to calculate hazard ratios with confidence intervals.  $P \leq 0.05$  was considered statistically significant. Analyses were performed using SPSS v24.0 (IBM, Somer, NY, USA).

## Results

#### DIAGNOSTIC REVISION AND CLINICAL FEATURES

This study included 75 patients with HIV-associated aggressive B-NHL diagnosed between 1998 and 2013.

The clinical features of the 75 patients with complete FISH are in Table 1. Information regarding previous combined antiretroviral therapy (cART) was available in 72 out of the 75 cases. Analysing the whole series, patients who were on cART (n = 45), when lymphoma was diagnosed, had worse OS than those who were not (n = 27); OS probability (95% confidence interval [CI]) 59% (44%, 74%) versus 78% (62%, 94%) (*P*: 0.038). No relationship was found between previous cART and any of the clinical or biological variables, including degree of immunosuppression, HIV-load, previous AIDS, and year of lymphoma diagnosis.

Clinical features	DLBCL $N = 47$	BL <i>N</i> = 24	HGBL DH/TH $N = 3$	<i>P</i> -value
Males, N (%)	37 (78.7)	21 (87.5)	2	0.287
Age in years, median (min, max)	44 (30, 68)	46 (33, 76)	47 (41, 54)	0.394
ECOG ≥2, <i>N</i> (%)	21/46 (45.7)	10 (41.7)	2	0.750
Ann Arbor stage III-IV, N (%)	32 (68.1)	18 (75.0)	2	0.546
Extranodal sites ≥2, N (%)	12 (25.5)	11 (45.8)	1	0.084
B-symptoms, N (%)	20 (42.6)	18 (75.0)	1	0.010
Bulky disease, N (%)	9 (8.5)	10 (41.7)	1	0.043
Elevated ß2M, N (%)	28/32 (87.5)	10/15 (66.7)	2	0.100
Elevated serum LDH, <i>N</i> (%)	29 (61.7)	24 (100)	2	<0.001
IPI ≥3, <i>N</i> (%)	19/46 (41.3)	15 (60)	2	0.092
Previous OI, N (%)	16/33 (48.5)	3/20 (15)	3	0.014
Previous AIDS, N (%)	21/43 (48.8)	7 (29.2)	2	0.118
Previous cART, N (%)	27/45 (60.0)	14/23 (60.9)	3	0.945
Detectable HIV-load, N (%)	32/41 (78.0)	13/20 (65)	1	0.277
CD4+ T-cell counts/uL, median (min, max)	139 (6, 1198)	199 (12, 697)	499 (353, 600)	0.679
Treatment with R, N (%)	43 (91.5)	20/23 (87)	3 (100)	0.418

**Table 1.** Clinical features of the HIV-associated lymphoma series

B2M, beta2-microglobulin; BL, Burkitt lymphoma; cART, combination antiretroviral therapy; DLBCL, diffuse large B-cell lymphoma; ECOG, Eastern Cooperative Oncology Group; HGBL DH/TH, high grade B-cell lymphoma with MYC and BCL2 and/or BCL6 rearrangement; IPI, International Prognostic index; LDH, lactate dehydrogenase; OI, opportunistic infection; R, rituximab.

P-values were calculated comparing DLBCL and BL groups and statistically significant P-values are highlighted. HGBL DH/TH were not included in the comparison due to the low number of cases. The case of Burkitt-like lymphoma with 11q aberration is not included in the table.

In the following sections we describe the phenotypic and genotypic characteristics of each category of aggressive B-NHL according to the 2017 WHO classification and their prognostic impact. Of note, no cases of HGBL NOS were identified.

#### HIV-ASSOCIATED HIGH-GRADE B-CELL LYMPHOMA WITH MYC, BCL2, AND/OR BCL6 REARRANGEMENT

The three HIV-infected patients diagnosed with HGBL-DH/TH presented all the possible patterns of rearrangements of this category.

One case was a triple hit, harbouring *MYC-IGH* translocation, besides *BCL2* and *BCL6* rearrangements. The tumour had a DLBCL-like morphology, and lymphoma cells expressed BCL6, MUM1, BCL2, and MYC (40%), whereas they were negative for CD10, CD30, and EBER. Therefore, it was classified as

nongerminal centre (non-GC). The patient presented with bulky disease, without extranodal involvement, and an International Prognostic index (IPI) of 3. After six cycles of RCHOP (rituximab, cyclophosphamide, doxorubicin, vincristine, and prednisone) with concomitant cART, the patient achieved a complete remission that persists, so far, more than 10 years after diagnosis.

The second case showed an *MYC-IGH* translocation and a *BCL2* rearrangement (Figure 1). The tumour had a DLBCL-like morphology, and tumour cells expressed MUM1, BCL2, and MYC (95%) and were negative for CD10, BCL6, CD30, and EBER; hence, it was classified as non-GC. The patient presented with multiple adenopathies, bone marrow and skin involvement, and an IPI of 4. The patient died due to lymphoma progression after the 5th cycle of RCHOP.

The third case was a double-hit lymphoma with *MYC-non-Ig* and *BCL6* rearrangements (Figure 2).



**Figure 1.** High-grade B-cell lymphoma with MYC and BCL2 rearrangement. Diffuse proliferation of large lymphoid cells with centroblastic morphology on H&E (A,  $\times$ 400). The neoplastic cells were negative for CD10 (B,  $\times$ 200) and positive for BCL2 (C,  $\times$ 200). Positivity for MYC in >90% of the neoplastic cells (D,  $\times$ 200). FISH studies revealed a BCL2 rearrangement (E) and an MYC-IGH fusion (F).



**Figure 2.** High-grade B-cell lymphoma with MYC and BCL6 rearrangements. Diffuse proliferation of medium-sized lymphocytes with blastoid morphology and a starry-sky pattern on H&E (A,  $\times$ 400). The cells were negative for CD10 (B,  $\times$ 200) and BCL2 (C,  $\times$ 200). MYC (D,  $\times$ 200) was positive in >95% of the neoplastic cells. FISH studies with break apart probes highlighted both BCL6 (E) and MYC rearrangements (F).

The tumour had a BL-like morphology, and cells were positive for BCL6, MYC (80%), and EBER, and negative for CD10, MUM1, BCL2, and CD30; hence, it had

a GC phenotype. The patient presented with Ann Arbor stage II and IPI of 0. The lymphoma was treated with an immunochemotherapy regimen for BL with concomitant cART and the patient is in complete remission for more than 5 years.  $^{13}$ 

#### HIV-ASSOCIATED BURKITT LYMPHOMA

Patients with BL were treated with intention to cure as follows: intensive treatment for BL (Burkimab)<sup>13</sup> (cyclophosphamide, prednisone, rituximab, vincristine, methotrexate, iphosphamide, dexamethasone, teniposide, cytarabine, doxorubicine, vindesine, etoposide) (n = 13), RCHOP (n = 6), RHyperCVAD (rituximab, cyclophosphamide, vincristine, doxorubicin, dexamethasone, methotrexate, and cytarabine) (n = 3), and CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) (n = 2). All patients received combined antiretroviral therapy (cART) concomitantly with immune-chemotherapy or chemotherapy.

An *MYC-IGH* translocation was detected in 21 out of 24 HIV-associated BL cases (one of them detected with fusion probes) and an *MYC-IGL* in 2 out of 24. The remaining case was an aggressive lymphoma with morphology and phenotype consistent with BL (CD10+, BCL6+, BCL2-, MUM1-, EBER-), without BCL2 or BCL6 translocation. As *MYC* rearrangement was not detected, FISH analysis for 11q alterations was performed and ruled out. Despite a negative *MYC* rearrangement, the remaining clinicopathological features were consistent with BL.

Phenotypic features and gene translocations were analysed in the 24 BL cases. The GC markers CD10 and BCL6 were expressed in 100% and 95.7% of the cases, respectively. Notably, 54.2% of the cases were positive for EBER, and all cases were negative for CD30. Four HIV-associated BL (16.7%) strongly expressed BCL2 (90% of the cells in the mean). These four cases had otherwise typical clinicopathological and phenotypic features of BL, including MYC-IGH translocation, without concomitant BCL2 or BCL6 rearrangement. All four had monomorphic mediumsized lymphocytes with fine chromatin and several basophilic nucleoli in a starry-sky pattern. Patients had extranodal involvement and advanced stage at presentation in all cases, and bulky mass in three of them.

MUM1 was expressed in 30.4% of the cases (7 out of 23). Importantly, HIV-associated BL expressing MUM1 had worse OS and PFS (Figure 4A,B). The rest of the main clinical and biological variables did not show any prognostic impact on OS in the univariate analyses (Table 2). On the other hand, PFS was influenced by the level of immunosuppression, having patients with CD4 + lymphocytes  $\leq 200/\mu$ l a significantly lower 5-year PFS probability (Table 2). However, multivariate analyses were not performed due to the low number of BL cases (n = 24), especially MUM-1 positive (n = 7), and hence, a lack of statistical power.

#### BURKITT-LIKE LYMPHOMA WITH 11Q ABERRATION

One patient with a previous diagnosis of BL without demonstrable *MYC* rearrangement showed losses of 11q24.1-ter by FISH, being reclassified as Bukitt-like lymphoma with 11q aberration (Figure 3). He was a 57-year-old male who presented with extranodal disease and adenopathies with an IPI of 0. The lymphoma cells were positive for CD10 and BCL6, and negative for BCL2, MUM1, CD30, and EBER. MYC expression was inconclusive. The patient received six cycles of RCHOP with concomitant cART, achieving a complete remission and died 5 years later of lung non-small-cell carcinoma.

#### HIV-ASSOCIATED DIFFUSE LARGE B-CELL LYMPHOMA

Patients with DLBCL were treated with intention to cure as follows: RCHOP (n = 40), CHOP (n = 4), intensive treatment for BL (Burkimab) (n = 2), and R-EPOCH (etoposide, prednisone, cyclophosphamide, vincristine, and doxorubicine) (n = 1). All patients received cART concomitantly with immune-chemotherapy or chemotherapy.

Rearrangements involving *MYC* were present in 7 out of 47 (14.9%) of DLBCL cases. Five cases had an *MYC-IGH* rearrangement, one case had an *MYC-IGK*, and one case was negative for *MYC-IGH* rearrangement and inconclusive for *MYC-IGL* and *MYC-IGK* (Table 4). Rearrangements of the *BCL6* gene were found in 13 cases (27.7%), whereas *BCL2* rearrangements were detected only in two (4.3%).

The immunophenotype of HIV-associated DLBCL is described in Table 4. Almost two-thirds were non-GC phenotype according to the Hans algorithm. No differences were found between the two groups regarding the proportion of patients who were on cART at lymphoma diagnosis. Of note, CD30 was positive in 10 out of 47 cases (21,3%), four of them only focally, and 41.3% were BCL2-positive. Although there is no consensual cutoff for BCL2 positivity, the 50% cutoff was applied, as previously reported.<sup>14</sup>

EBER was positive in 21.3% cases, and it was not associated with CD30 expression. As expected, BCL6 expression was associated with BCL6 rearrangements (P = 0.025). Eleven out of 47 cases (23.4%)

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	-	/	5 year PFS (95% CI)	Р
ECOG ≤1	70% (45%, 95%)	0.857	57% (31%, 83%)	0.427
>1	70% (42%, 98%)		70% (42%, 98%)	
Ann Arbor stage I-II	63% (21%, 85%)	0.708	50% (10%, 90%)	_
III-IV	72% (51%, 93%)		67% (45%, 89%)	
Serum LDH Normal	_ ( <i>n</i> = 0)	_	_ ( <i>n</i> = 0)	_
High	70% (51%, 89%)		63% (44%, 82%)	
Number of extranodal sites <pre> ≤1</pre>	84% (64%, 100%)	0.126	69% (44%, 94%)	0.251
>1	55% (26%, 84%)		55% (26%, 84%)	
Bone marrow involvement No	77% (57%, 97%)	0.271	67% (54%, 80%)	0.590
Yes	50% (10%, 90%)		50% (10%, 90%)	
IPI score 0–2	76% (47%, 100%)	0.627	67% (36%, 98%)	0.680
3–5	67% (43%, 91%)		60% (35%, 85%)	
CD4 _200	62% (33%, 91%)	0.175	46% (17%, 75%)	0.045
>200	90% (71%, 100%)		90% (71%, 100%)	
CD4≤100	57% (20%, 94%)	0.177	57% (20%, 94%)	0.489
>100	86% (68%, 100%)		71% (47%, 95%)	
HIV-load Non-detectable	71% (37%, 100%)	0.454	57% (20%, 94%)	0.158
Detectable	85% (65%, 100%)		77% (54%, 100%)	
Previous cART No	89% (68%, 100%)	0.154	78% (51%, 100%)	0.199
Yes	63% (37%, 100%)		57% (31%, 83%)	
EBERs Negative	71% (43%, 99%)	0.791	64% (36%, 92%)	0.779
Positive	69% (44%, 94%)		62% (36%, 88%)	
MUM1 expression No	87.5% (72%, 100%)	0.034	75% (54%, 96%)	0.056
Yes	43% (6%, 80%)		43% (6%, 80%)	

Table 2. Univariate analyses for overall survival and progression-free survival of patients with Burkitt lymphoma

BL, Burkitt lymphoma; cART, combination antiretroviral therapy; DLBCL, diffuse large B-cell lymphoma; EBER, Epstein–Barr virus–encoded small RNAs; ECOG, Eastern Cooperative Oncology Group; LDH, lactate dehydrogenase.

expressed MYC protein, and six cases (12.8%) were double expressors (DE) of MYC and BCL2.

Then we compared genotype and phenotype of GC and non-GC cases (Table 4). *MYC* rearrangements were more frequent in HIV-associated DLBCL with a GC phenotype, whereas *BCL6* rearrangements, CD30 and BCL2 expression were almost exclusive of the non-GC subtype.

Survival analyses were performed including only HIV-associated DLBCL treated with RCHOP (N = 40). Concomitant expression of MYC and BCL2 had a

strong negative impact on survival, particularly PFS (Figure 5). HIV-associated DLBCL cases with a non-GC phenotype tended to have a worse OS and PFS than the cases with the GC phenotype (Table 3). Moreover, cases that had *BCL6* rearrangements tended to have worse OS and PFS (Table 3). All cases with a *BCL6* rearrangement, except one, had a non-GC phenotype. Hence, the impact of *BCL6* rearrangement on outcomes was studied in this phenotype-subset, but there was none (Supplementary Figure S1).



Figure 3. Burkitt-like lymphoma with 11q alterations. (A, H&E,  $\times 200$ ) diffuse proliferation of medium-sized lymphocytes with a starry-sky pattern, with expression of CD10 (B,  $\times 200$ ) negativity for BCL2 (C,  $\times 200$ ). FISH studies highlighted distal loss on chromosome 11q (D, arrow).



Figure 4. Outcomes of patients with HIV-associated Burkitt lymphoma according to MUM1 expression. (A) Kaplan–Meier curves for overall survival (OS) of HIV-associated Burkitt lymphoma by MUM1 expression. (B) Kaplan–Meier curves of progression-free survival (PFS) of HIV-associated Burkitt lymphoma by MUM1 expression. Of note, the Burkitt-like lymphoma with 11q case was removed from the survival analyses.

The rest of the important clinical and biological variables did not show any prognostic impact on OS and PFS in the univariate analyses (Table 3). Multi-variate analyses were not performed due to the low number of double-expresser cases (n = 5) and the lack of statistical power.

#### MYC EXPRESSION IN HIV-ASSOCIATED AGGRESSIVE B-CELL LYMPHOMAS

MYC expression studies were successful in 71 out of the 75 HIV-associated HGBL; MYC studies were inconclusive in three HIV-associated BL cases and in the Burkitt-like lymphoma with 11q aberration case. MYC expression was considered positive when at least 40% of the cells were positive for MYC staining. MYC was positive in all three HIV-associated HGBL-DH/TH cases (100%), 23 out of 24 (95.8%) BL, and 11 out of 47 (23.4%) DLBCL cases (Figure 6). As expected, *MYC* rearrangements were associated with MYC expression (P < 0.001). However, as reported previously in non-HIV-associated lymphomas, the correlation was not perfect<sup>15</sup>; two cases with *MYC-Ig* rearrangement (one DLBCL and one BL) did not express MYC protein, and six cases without *MYC* rearrangements (five DLBCL and one BL) showed high MYC protein expression.

#### Discussion

The present study included 75 HIV-associated aggressive B-NHL cases with previous diagnoses of DLBCL,



Figure 5. Phenotypic features with impact on outcomes of patients with HIV-associated diffuse large B-cell lymphoma (DLBCL). (A) Kaplan–Meier curves for overall survival (OS) of HIV-associated DLBCL for double expressers (DE) and no-DE. (B) Kaplan–Meier curves for progression-free survival (PFS) of HIV-associated DLBCL for double expressers (DE) and no-DE.



Figure 6. Relationship between the percentage of tumour cells expressing MYC and the presence or not of rearrangements involving *MYC*. BL, Burkitt lymphoma; DLBCL, diffuse large B-cell lymphoma; HGBL-DH/TH, high grade B-cell lymphoma with *MYC* and *BCL2* and/or *BCL6* rearrangement.

BL, or BCLU (2008 WHO classification) that were reviewed and reclassified according to the 2017 WHO classification. *MYC*, *BCL2*, and *BCL6* rearrangements were studied in all cases, and 11q alterations were evaluated in cases with BL features without *MYC* rearrangements. Although these genetic abnormalities have been already reported in HIV-infected patients, to the best of our knowledge this is the first systematic study by FISH in a large series of HIV-associated lymphoma, leading to understand the real prevalence of HGBL-DH/TH and BL-like with 11q aberration in the HIV-setting.<sup>16–19</sup> Importantly, we studied the prognostic impact of COO subtypes and of some phenotypic markers, including MYC, BCL2 and

**Table 3.** Univariate analyses for overall survival and progression-free survival of the 40 patients with diffuse large B-celllymphoma treated with RCHOP

		5 year OS (95% CI)	Р	5 year PES (95% CI)	р
ECOG	<1	67% (47%, 87%)	0.658	67% (47%, 87%)	0.488
	<u></u> >1	63% (41%, 85%)		52% (29%, 75%)	
Ann-Arbor stage	1-11	91% (74%, 100%)	0.091	91% (74%, 100%)	0.064
		55% (37%, 73%)		48% (30%, 66%)	
Serum LDH	Normal	77% (57%, 97%)	0.331	66% (44%, 88%)	0.452
	High	55% (34%, 76%)		55% (34%, 76%)	
N extranodal sites	<1	66% (49%, 83%)	0.582	63% (46%, 80%)	0.570
	 >1	60% (30%, 90%)		50% (19%, 81%)	
Bone marrow involvement	No	63% (47%, 79%)	0.999	63% (47%, 79%)	0.505
	Yes	80% (45%, 100%)		40% (0, 83%)	
IPI score	0–2	69% (50%, 88%)	0.420	65% (45%, 85%)	0.518
	3–5	59% (36%, 82%)		53% (29%, 77%)	
CD4	≤200	69% (50%, 88%)	0.284	69% (50%, 88%)	0.157
	>200	63% (39%, 87%)		50% (25%, 75%)	
CD4	≤100	58% (34%, 82%)	0.636	58% (34%, 82%)	0.839
	>100	73% (54%, 92%)		64% (44%, 84%)	
HIV-load	Non-detectable	86% (60%, 100%)	0.261	62% (27%, 97%)	0.478
	Detectable	61% (43%, 79%)		61% (43%, 79%)	
cART before lymphoma	No	71% (49%, 93%)	0.276	71% (49%, 93%)	0.201
	Yes	59% (38%, 80%)		50% (29%, 71%)	
EBERs	Negative	67% (50%, 84%)	0.596	61% (44%, 78%)	0.746
	Positive	56% (23%, 89%)		56% (23%, 89%)	
CD30 expression	Negative	71% (43%, 99%)	0.791	58% (41%, 75%)	0.965
	Positive	69% (44%, 94%)		67% (36%, 98%)	
MYC expression	No	70% (53%, 86%)	0.153	70% (53%, 86%)	0.055
	Yes	50% (19%, 81%)		30% (2%, 58%)	
Double expresser status	No	68% (53%, 83%)	0.043	68% (53%, 83%)	0.005
	Yes*	40% (0, 83%)		0	
COO subtype	GC	79% (57%, 100%)	0.082	79 (57%, 100%)	0.061
	Non-GC	57% (38%, 76%)		50 (30%, 69%)	
MYC rearrangement	No	65% (49%, 81%)	0.656	62% (46%, 78%)	0.716
	Yes	67% (29%, 100%)		50% (10%, 90%)	
BCL6 rearrangement	No	74% (58%, 91%)	0.060	67% (49%, 84%)	0.092
	Yes	45% (17%, 72%)		45% (17%, 72%)	

BL, Burkitt lymphoma; cART, combination antiretroviral therapy; COO, cell of origin; DLBCL, diffuse large B-cell lymphoma; EBER, Epstein–Barr virus–encoded small RNAs; ECOG, Eastern Cooperative Oncology Group; IPI, International Prognostic index; LDH, lactate dehydrogenase.

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	Total <i>N</i> = 47	GC <i>N</i> = 17 (36.2%)	Non-GC <i>N</i> = 30 (63.8%)	<i>P</i> -value
T <i>MYC</i> , N (%)	7 (14.9)	5 (29.4)	2 (6.7)	0.049
T <i>BCL2</i> , <i>N</i> (%)	2 (4.3)	0	2 (6.7)	0.402
T <i>BCL6</i> , <i>N</i> (%)	13 (27.7)	1 (5.9)	12 (40)	0.011
CD10, N (%)	14 (29.8)	14 (82.4)	0	<0.001
BCL6, N (%)	32 (68.1)	17 (100)	15 (50)	<0.001
MUM1, N (%)	28 (59.6)	3 (17.6)	25 (83.3)	<0.001
CD30, N (%)	10 (21.3)	0	10 (33.3)	0.006
EBER, <i>N</i> (%)	10 (21.3)	2 (11.8)	8 (26.7)	0.206
BCL2, N (%)	19/46 (41.3)	1 (5.9)	18/29 (62.1)	<0.001
MYC, N (%)	11 (23.4)	6 (35.3)	5 (16.7)	0.138
DE, N (%)	6/46 (13.0)	1 (5.9)	5/29 (17.2)	0.266

Table 4. Genotype and phenotype of HIV-associated diffuse large B-cell lymphoma by cell-of-origin group assessed by Hans algorithm

DE, double expressers considering positive cutoffs of BCL2  $\geq$  50% and MYC  $\geq$ 40%; GC, germinal center; GCB, germinal center B-cell; non-GC, nongerminal center; TBCL2, BCL2 rearrangement; TBCL6, BCL6 rearrangement; TMYC, MYC rearrangement.

*P*-values from Chi-square or Fisher's exact test are disclosed except for the comparison of Hans algorithm results, whose *P*-value is from McNemar's test. Statistically significant *P*-values are highlighted.

DE, in the subset of patients with DLBCL treated with RCHOP.

After a comprehensive study by FISH and immunohistochemistry we succeeded in reviewing the diagnosis of 75 cases. In our series, 4% of the cases were HGBL-DH/TH, a percentage similar to that reported in immunocompetent patients.<sup>20,21</sup> The reduced number of HGBL-DH/TH prevented survival analyses. All three cases were DE and two had a non-GC phenotype. Although the 2017 WHO classification states that "a double-hit status should be investigated in all DLBCLs, NOS, using cytogenetic or molecular cytogenetic studies," some centres prefer to look for rearrangements only in cases with a GC phenotype and DE, or in cases with a BL-like morphology.<sup>22</sup> Our results would support the need for universal screening for gene rearrangements.

Around 50% of HIV-associated BL were EBERpositive. However, we observed BL cases with peculiarities so far only reported in the immunocompetent population. First, 16.7% of the HIV-associated BL cases expressed BCL2, even with an intense and diffuse pattern. BCL2 is usually negative or weak in BL. Whereas the high expression of BCL2 in an aggressive lymphoma with BL morphology suggests a BCL2 rearrangement consistent with a diagnosis of HGBL-DH/TH, around 20% of BL cases show BCL2 expression in the immunocompetent population and a diagnosis of BL can be made after excluding an HGBL-DH/TH.<sup>23</sup> Second, we observed MUM1 expression in a subset of HIV-associated BL (30.4%). It is generally assumed that BL do not express late/post GC markers, thus MUM1 has been scarcely studied in these lymphomas. However, MUM1 expression has been detected in 10% to 41% of BL, including the HIV-associated BL series.<sup>24–28</sup> Importantly, we observed that MUM1 expression negatively impacted outcomes of HIV-associated BL, in line with a recent study on BL in immunocompetent individuals.<sup>29</sup>

One case previously classified as BL, without detectable *MYC* rearrangements by FISH, was tested for 11q alterations and finally was reclassified as a Burkitt-like lymphoma with 11q aberration. This lymphoma category is a recently described type of aggressive lymphoma that shares clinical and phenotypic characteristics with BL, but lacks *MYC* rearrangements and carries gains in 11q23.2–23.3 and/ or losses in 11q24.1-ter.<sup>30</sup> This lymphoma lacks the genetic profile of BL and is similar to the GCB subtype of DLBCL or high-grade B-cell lymphoma.<sup>31,32</sup> This lymphoma subtype has been rarely reported in the HIV setting, and our results show that the full spectrum of aggressive B-cell lymphoma can be seen in HIV-infected patients.

Gene rearrangements involving MYC were detected in 15% of HIV-associated DLBCL cases, a frequency that is similar to that reported in the immunocompetent population.<sup>33–35</sup> Moreover. MYC rearrangements were more frequently found in cases with a GC phenotype. The impact of MYC rearrangements on DLBCL prognosis is controversial, but it seems that cases with MYC rearrangements treated with RCHOP have a poorer prognosis.<sup>33–35</sup> In our series of HIVassociated DLBCL cases, the presence of MYC rearrangements was not significantly associated with worse outcomes. The frequency of BCL6 rearrangements found in DLBCL of HIV-infected patients (28%) was also similar to that described in non-HIV-infected patients (around 30%) and were mainly detected in non-GC cases, as reported in the general population.<sup>20,34–39</sup> The prognostic impact of BCL6 rearrangements is controversial in immunocompetent DLBCL.<sup>7,20,36,37</sup> In this series, cases with *BCL6* rearrangement showed a trend for shorter PFS and OS. Due to the BCL6 rearrangements mainly present in non-GC cases, we studied the prognostic impact of this genetic aberration in this subgroup of patients and we did not find any. Interestingly, BCL2 rearrangements were scarcely detected in our series (4.3%), an incidence substantially lower than that reported in immunocompetent patients (20% to 30%). 34,35,38,39

The distribution of HIV-associated DLBCL cases in the GC and non-GC categories is quite inconsistent among studies, but the criteria employed for COO assignment also.<sup>3,40–45</sup> Some authors argue that HIVassociated DLBCL show an immunophenotype intermediate between the GC and activated B-cell phenotypes of DLBCL of immunocompetent patients, which could also explain those discrepancies.<sup>3,44,45</sup> In line with this, we have previously showed a disagreement, in an HIV-associated case series, between the results of Hans algorithm and a commonly used molecularbased method for COO assignment developed by Nanostring.<sup>38,46</sup> Herein, in line with a previous report by Chao et al., we identified a higher frequency of non-GC types in HIV-associated DLBCL than that reported in immunocompetent patients.<sup>41</sup> Regarding the prognostic impact of COO, two previous studies on HIV-associated DLBCL showed that the Hans algorithm could identify cases with worse outcomes.43,47 In the present study, a larger series of HIV-associated DLBCL treated with RCHOP we observed that non-GC cases tended to have a worse OS and PFS than GC cases, although without statistical significance.

Among DLBCL cases, 21% of cases were positive for EBER, a percentage slightly lower than that previously reported (around 30%).<sup>40,43,48</sup> EBER was expressed slightly more frequently in non-GC cases, and none of the EBV-positive cases had BCL6 rearrangements.<sup>40,43,48</sup> Although the context of HIVassociated immunosuppression excludes the diagnosis of EBV-positive DLBCL NOS, the features above described are similar to those found in EBV-positive DLBCL NOS, which rarely show *BCL6* rearrangements and are generally non-GC.<sup>49</sup> EBER was not associated with CD30 expression in the present series and, as in other studies including patients treated with immunochemotherapy, EBV showed no impact on outcomes of HIV-associated DLBCL.<sup>40,43,48</sup>

In DLBCL of the immunocompetent population, 12% to 19% of the cases are positive for CD30 ( $\geq$ 20% cutoff) and it is expressed both in GC and non-GC cases.<sup>10,50–52</sup> Interestingly, our results showed that around 20% of HIV-associated DLBCL express CD30, and this result could drive therapeutic decisions, as CD30 is effectively targetable.<sup>53</sup> In our series, all CD30-positive cases were of the non-GC phenotype, and in line with the results in non-GC DLBCL of the general population, it had no prognostic impact.

In line with previous studies on HIV-associated DLBCL, around 40% of the studied cases in our series expressed BCL2.<sup>40,41,54</sup> BCL2 was mainly expressed in non-GC cases, as described in DLBCL of immuno-competent patients.<sup>14</sup> Our survival analyses showed that BCL2 expression had no impact on outcomes.

An MYC expression was detected in 23.4% of HIVassociated DLBCL, and 13% were DE, most of them of the non-GC phenotype. This incidence is slightly lower than that reported in DLBCL series of immunocompetent patients (20-30%).<sup>14,55-57</sup> Of note, MYC positivity tended to be associated with worse PFS, and DE was significantly associated with shorter probability of OS and PFS. These results support the consideration, made in general DLBCL, that MYC and BCL2 coexpression, but not MYC expression alone, is associated with a worse prognosis.<sup>56</sup> The negative impact of DE on prognosis of DLBCL affecting immunocompetent individuals is well established.<sup>10,58</sup> However, to our knowledge, only one study has previously assessed MYC expression and DE in HIVassociated DLBCL, but HGBL-DH/TH cases were not excluded and patients were not treated with immunochemotherapy.<sup>41</sup> These authors reported that 64% of HIV-associated DLBCL expressed MYC and 25% were DE. However, the cutoff employed for MYC positivity was 10% instead of 40% (recommended by the WHO classification and used herein). On the other hand, a recent study showed that patients with MYC-positive HIV-associated DLBCL, treated with

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REPOCH, had a significantly lower EFS. In this study, no differences were found for both OS and PFS between single MYC+ patients and double expressers (MYC+/BCL2+).<sup>59</sup>

In summary, this study provides a useful characterisation of HIV-associated aggressive B-cell lymphomas according to the 2017 WHO criteria. An important message to retrieve from this study is that new entities such as Burkitt-like lymphoma with 11g aberration and HGBL-DH/TH can be found in the HIVsetting, with similar frequencies to those observed in the immunocompetent population. Some similarities and differences were observed between HIV-associated DLBCL and general DLBCL. MUM1 in BL and MYC/ BCL2 coexpression in DLBCL were found to be markers with prognostic significance in HIV-infected patients. Therefore, the diagnostic criteria and some of the prognostic markers used for the study of aggressive B-NHL in immunocompetent patients should also be taken into account when these lymphomas occur in the setting of HIV infection.

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## Authors contributions

M-J.B, G.T. J-L.M., and J-T.N. designed the study; M-J.B., G.T., and J-T.N. wrote the article; A-M.M-M. and J.M. performed laboratory methods: M-J.B., J.M., and O.G. performed statistical analyses; S.M., J. G., M.C., A.M., L.V., A.M-T., T. A., J.M., M.J., A.F., M.A., J.B., E.G-B., F.C., A.M., J-M.M., M.P., P.A., E. A., L., C.G-B, M.G-C, J-M.S., and J-M. R. provided patient data and samples.

## **Conflict of Interest**

J.G. has received honoraria from Abbvie, Acerta/ Astra Zeneca, Gilead, and Janssen; and grant funding from Celgene, Janssen, and AstraZeneca. M.J.T. discloses consultancy in Janssen, Roche, Astra Zeneca, Abbvie, and research funding from Janssen and Gilead. J.B. discloses honoraria from Roche, Takeda, Celgene, Novartis, and Gilead; research funding from Celgene and Roche; consultation or advisory role in Takeda, Jansen, Celgene, and Gilead/Kyte; and travel and accommodations expenses from Roche. Takeda. Celgene, Jansen, and Gilead. A.M. has received a speaker honorarium and travel grants from Roche, Abbvie, and Janssen and has participated in advisory boards for Roche, Abbvie, and Janssen. M.P. discloses advisory board BMS, Astra Zeneca, Takeda, MSD, and Roche. P.A. has received honoraria from Janssen, Roche, Celgene, and Abbvie. J.M.S. discloses honoraria from Roche, Janssen, Celgene, Novartis, Gilead, Takeda, Servier, and Mundipharma; consultation or advisory role in Roche, Janssen, Celgene, Novartis, Gilead. Celltrion. and Sandoz: speakers' bureau in Roche and Celgene; and travel and accommodations expenses from Roche. J.M.R. discloses honoraria and consultation or advisory role in Celgene, Novartis, Takeda, Servier, Incyte, Amgen, and Pfizer; speakers' bureau in Amgen and Pfizer: and travel and accommodations expenses from Amgen, Pfizer, and Incyte. J.T.N. discloses honoraria from Novartis; consultation or advisory role in EUSA Pharma; research funding from Gilead and EUSA Pharma; and travel and accommodation expenses from Roche. The remaining authors report no conflicts of interest.

## Data availability statement

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

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### Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Figure S1.** Impact of *BCL6* rearrangements on outcomes of patients with HIV-associate nongerminal center diffuse large B-cell lymphoma (DLBCL). (a) Kaplan–Meier curves for overall survival of HIV-associate nongerminal center (non-GC) DLBCL according to the presence of *BCL6* rearrangements. (b) Kaplan–Meier curves for progression free survival of HIV-associate nongerminal center (non-GC) DLBCL according to the presence of *BCL6* rearrangements. (b) Kaplan–Meier curves for progression free survival of HIV-associate nongerminal center (non-GC) DLBCL according to the presence of *BCL6* rearrangements.