

# How nanotechnology can enhance docetaxel therapy

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**Abstract:** Docetaxel has been recognized as one of the most efficient anticancer drugs over the past decade; however, its poor water solubility and systemic toxicity have greatly limited its clinical application. In recent decades, the emergence of nanotechnology has provided new drug delivery systems for docetaxel, which can improve its water solubility, minimize the side effects and increase the tumor-targeting distribution by passive or active targeting. This review focuses on the research progress in nanoformulations related to docetaxel delivery – such as polymer-based, lipid-based, and lipid-polymer hybrid nanocarriers, as well as inorganic nanoparticles – addressing their structures, characteristics, preparation, physicochemical properties, methods by which drugs are loaded into them, and their in vitro and in vivo efficacies. Further, the targeted ligands used in the docetaxel nanoformulations, such as monoclonal antibodies, peptides, folic acid, transferrin, aptamers and hyaluronic acid, are described. The issues to overcome before docetaxel nanoformulations can be used in clinical and commercial applications are also discussed.

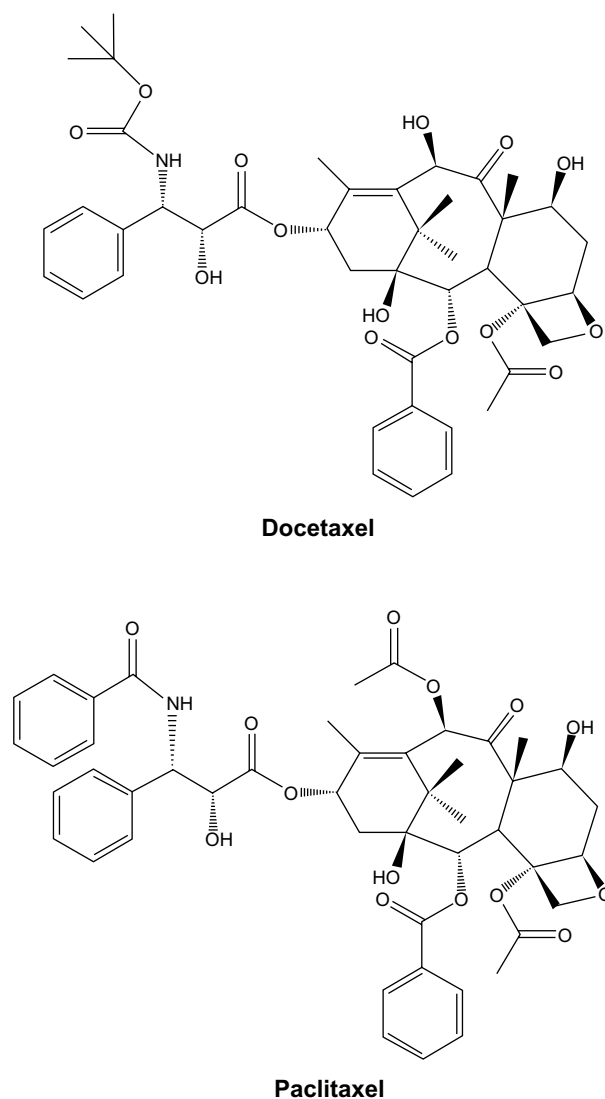
**Keywords:** docetaxel, nanotechnology, nanoformulations, target delivery systems, cancer therapy

## Introduction to docetaxel

Docetaxel (DTX) is a second-generation taxoid cytotoxic agent which has been proven to have significant antitumor activity against various human cancers. In 1980s, Pierre Potier at the National Center for Scientific Research in France discovered DTX during his work on improving the production of Taxol.<sup>1</sup> DTX was prepared by semisynthesis from 10-deacetylbaccatin-III, an inactive precursor compound isolated from a renewable resource, the needles of the European yew tree, *Taxus baccata*. As shown in Figure 1, DTX is structurally similar to paclitaxel except for the tert-Butyl carbamate ester in the side chain of phenylpropionate and the hydroxyl functional group on carbon 10. DTX is more water-soluble than paclitaxel due to its different chemical structure.<sup>2</sup>

The antitumor mechanism of action for DTX is hyperstabilisation of microtubules.<sup>3</sup> DTX binds preferentially to  $\beta$ -subunit protein of tubulin in the microtubules and promotes assembly of tubulin into stable microtubules while simultaneously inhibiting their depolymerization. The formation of stable microtubule bundles disrupts the normal dynamic equilibrium between polymerization and depolymerization within the microtubule system and leads to cell cycle arrest at the G2/M phase and, ultimately, cell death. Although DTX has an antitumor mechanism of action similar to that of paclitaxel, DTX shows a higher affinity for the microtubule binding site and

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**Figure 1** Chemical structure of docetaxel (top) and paclitaxel (bottom).

is approximately twice as potent as paclitaxel.<sup>4</sup> Apart from inhibiting the depolymerization of microtubules, DTX also has anti-angiogenic efficacy and induces the expression of numerous genes involved in cellular processes.<sup>2,5</sup> Therefore, DTX shows significant antitumor activity against a broad spectrum of human tumors.

## Current issues: safety, efficacy and delivery

The clinical application of DTX is limited greatly owing to its poor water solubility. Currently, the only available commercial formulation of DTX is Taxotere<sup>®</sup> (Sanofi-Aventis, Bridgewater, NJ, USA), which is composed of 40 mg/mL DTX and 1040 mg/mL Tween 80 (polysorbate 80; P80) and requires further dilution with 13% ethanol before administration. Taxotere was first approved for the

treatment of anthracycline-refractory metastatic breast cancer in 1996, and later for the treatment of platinum-refractory non-small-cell lung cancer, hormone refractory prostate cancer, head and neck cancer, advanced gastric cancer, and ovarian cancer.<sup>6-8</sup> Despite its wide use for the treatment of various cancers, Taxotere is associated with serious side effects, including acute hypersensitivity reactions, cumulative fluid retention, neurotoxicity, febrile neutropenia, nail toxicity, myalgia, nasolacrimal duct stenosis and asthenia.<sup>9-11</sup> Phase II studies of Taxotere in the treatment of various solid tumors showed that the most frequent adverse effects were alopecia (81%), grade III-IV leucocytopenia of short duration (66%), skin reactions (52%), and hypersensitivity reactions (26%).<sup>12</sup> These side effects are attributable to either DTX as a cytotoxic agent or the presence of P80.<sup>2,13</sup>

Stability data showed that the premix solutions are stable for 8 hours at room temperature or under refrigeration, and diluted infusion solution are stable for 4 hours at room temperature.<sup>14</sup> The main reason for the short storage time is the potential risk of precipitation of the infusion solution during storage, which are affected by various factors such as insufficient mixing of the premix solutions, temperature, and excessive agitation.<sup>15</sup>

To overcome the side effects and improve anticancer efficacy of Taxotere, much attention has been focused on the design of an alternative delivery system for DTX. The emergence of nanotechnology has been of benefit to research in DTX delivery systems because it offers the advantages of unique size, drug solubilization, passive targeting by enhanced permeability and retention (EPR) effect, controlled drug release, and further modification for long circulation in vivo, escape from reticuloendothelial system (RES), and tumor-specific targeting. Currently, the most studied nanoformulations for DTX delivery include polymeric nanoparticles (NPs), drug-polymer conjugates, micelles, liposomes, dendrimers, and inorganic NPs.

In this paper, the research progress of these nanoformulations related to DTX delivery are reviewed, covering their structures, characteristics, preparation, physicochemical properties, drug loading methods, and the in vitro and in vivo efficacies.

## Nanoformulations currently in development

### Polymer-based nanoformulations

Since Helmut Ringsdorf proposed the concept of polymer-drug conjugates in 1975 and the subsequent success of N-(2-hydroxypropyl) methacrylamide (HPMA)

copolymer-doxorubicin conjugate (named “PK1”) in clinical trials, polymer-based nanocarriers have gained increasing attention for their abilities to increase drug solubility, enhance drug-loading capacity, improve therapeutic efficacy, and reduce systemic toxicity.<sup>16,17</sup> Currently, widely researched polymers for DTX delivery include poly(ethylene glycol) (PEG), poly(glutamic acid) (PGA), poly(lactic-co-glycolic acid) (PLGA), HPMA copolymers, and polysaccharides (eg, chitosan, cyclodextrin).<sup>18–21</sup> To ensure renal elimination, the molecular mass of nonbiodegradable polymers such as PEG and HPMA copolymers should be less than 40 kDa. Drugs can be loaded in polymer-based nanocarriers by physical encapsulation or chemical conjugation. The former nanocarriers are known as “polymeric micelles” or “polymeric NPs”, and the latter as “polymer-drug conjugates” (Figure 2). Representative examples of studies on polymer-based nanoformulations for DTX are listed in Table 1.

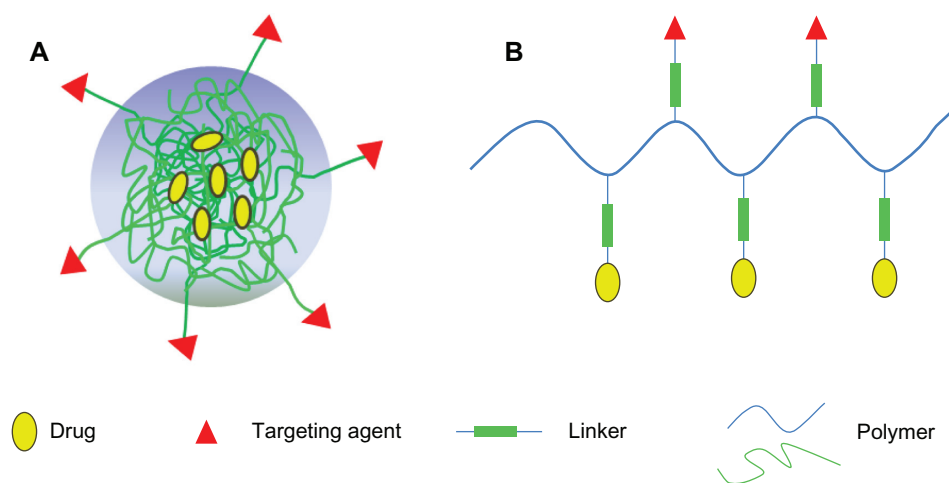
## Polymeric micelles

Micelles are colloidal dispersions with spontaneously formed core-shell structure smaller than 100 nm. Traditional micelles with surfactants usually have relatively high critical micelle concentration (CMC) and are unstable upon strong dilution. Recently, polymeric micelles generally formed by the self-assembly of amphiphilic block copolymers in aqueous solution have drawn increasing attention due to better thermodynamic stability in physiological solutions with lower CMC, fairly narrow size distribution, higher solubilization capacity, and a slower rate of dissociation allowing a longer drug retention time and greater accumulation at the target sites.<sup>22</sup> Elsabahy et al synthesized poly(ethylene oxide)-block-poly(styrene oxide) (PEO-b-PSO) and

PEO-b-poly(butylene oxide) (PEO-b-PBO), which can self-assemble to form micelles in water with a highly viscous core at low CMC (<10 mg/L).<sup>23</sup> These polymers improved the solubility of DTX by 0.7%–4.2% and efficiently protected DTX from degradation. Mikhail and Allen coupled DTX to poly(ethylene glycol)-b-poly( $\epsilon$ -caprolactone) (PEG-b-PCL) copolymer, which formed the copolymer-drug conjugate PEG-b-PCL-DTX with a low CMC of 14 mg/L.<sup>24</sup> When DTX was encapsulated in PEG-b-PCL-DTX micelles, an 1,840-fold increase in the aqueous solubility of the drug was achieved.<sup>24</sup>

## Polymeric NPs

Polymeric NPs have been widely used as drug delivery vehicles owing to their small sizes, controlled drug release properties, prolonged circulation time, reduced toxicity and surface functionalizations.<sup>25</sup> BIND-014 (BIND Therapeutics, Cambridge, MA, USA), a polymeric nanoparticle of DTX based on PEG-PLGA copolymers with controlled release property, is undergoing a Phase I trial to determine the maximum tolerated dose and assess its dose-limiting toxicities.<sup>26,27</sup> BIND-014 is an active nanoformulation targeted to prostate-specific membrane antigen, which is abundantly expressed on the surface of cancer cells and new blood vessels of solid tumors.<sup>5,28</sup> In preclinical cancer models, BIND-014 was shown to deliver up to 20 times more DTX to tumors than an equivalent dose of Taxotere.<sup>29</sup> DTX-PNP, a polymeric NP developed by Samyang Pharmaceuticals (Seoul, Korea), was comprised of a mixture of monovalent metal salts of poly(lactic acid), amphiphilic diblock copolymers and the drug.<sup>27,30</sup> A Phase I clinical trial of this formulation for the treatment of various solid malignancies is currently under-



**Figure 2** Schematic representations of polymeric nanoparticles (A) and polymer–drug conjugates (B).

**Table 1** Representative examples of studies on polymer-based nanoformulations for docetaxel (DTX)

Name	Polymer	Drug loading method	Type of nanoformulations	Targeted ligand	Ref
Mixed MPP/Pluronic® micelles	MPEG-PLA and Pluronic copolymers	Encapsulation	Micelle	–	18
TPGS <sub>2k</sub> micelles	Vitamin E TPGS <sub>2k</sub>	Encapsulation	Micelle	Folic acid	45
Nanoxel-PM™	mPEG-PDLLA	Encapsulation	Micelle	–	46
DTX-HGC nanoparticles	Cholanic acid-modified glycol chitosan	Encapsulation	Nanoparticle	–	21
PBCA-PEG-ZOL NPs	PEG-PBCA	Encapsulation	Nanoparticle	Zoledronic acid	47
DTX-loaded HA-CE/P85-based nanoparticles	HA-CE and Pluronic	Encapsulation	Nanoparticle	Ceramide	48
LMWC-DTX conjugates	Low molecular weight chitosan	Conjugation	Conjugate	–	35
Cellax™	PEG-carboxymethylcellulose	Conjugation	Conjugate	–	34
DTX-HPMA conjugates	HPMA copolymer	Conjugation	Conjugate	–	20
DTX-P80-PPI dendrimers	Poly(propyleneimine)	Encapsulation	Dendrimer	Polysorbate 80	44

**Abbreviations:** HA-CE, hyaluronic acid-ceramide; HGC, hydrophobically modified glycol chitosan; HPMA, N-(2-hydroxypropyl)methacrylamide; LMWC, low molecular weight chitosan; mPEG-PDLLA, methoxy-poly(ethylene glycol)-block-poly(D,L-lactide); MPEG, methoxy poly(ethylene glycol); MPP, methoxy-poly(ethylene glycol)-poly(lactide) polymer; P80, polysorbate 80; PEG-PBCA, polyethylene glycolylated polybutyl cyanoacrylate; PLA, poly(lactide); PPI, poly(propyleneimine); TPGS<sub>2k</sub>, D- $\alpha$ -tocopheryl polyethylene glycol succinate 2000; ZOL, zoledronic acid; NPs, nanoparticles.

way in Korea. In a recent report, Conte et al developed NPs of amphiphilic block copolymers of poly( $\epsilon$ -caprolactone) (PCL) and poly(ethylene oxide) (PEO) for co-delivery of DTX and zinc-phthalocyanine (ZnPc) as a potential dual carrier system for the combination of chemotherapy and photodynamic therapy (PDT).<sup>31</sup> The ZnPc/DTX-loaded NPs were determined to have greater cell cytotoxicity and antitumor activity than DTX-loaded NPs, thus demonstrating a combined effect of both DTX and ZnPc.

## Polymer-drug conjugates

Over the past few decades, polymer-drug conjugates have been attracting remarkable interest as drug delivery systems with great potential. Drugs can be conjugated to water-soluble polymers or amphiphilic block copolymers via biodegradable ester or amide bonds, which can increase water solubility, reduce elimination from the RES, provide a long circulation time, improve pharmacokinetics, and promote tumor targeting through EPR effect.<sup>32</sup> Compared with polymeric NPs, polymer-drug conjugates have the advantages of higher drug loading, enhanced stability and better control over drug release kinetics. Moreover, the linkers between polymers and drugs could be designed to trigger drug release.<sup>17</sup> Promising results from clinical trials with polymer-drug conjugates such as PGA-paclitaxel, HPMA-doxorubicin and PEG-irinotecan have been drawn increasing attention to these conjugates.<sup>17</sup>

A PEG-DTX derivative (NKTR-105; Nektar Therapeutics, San Francisco, CA, USA) has entered Phase I clinical trial on patients with solid tumors including hormone-refractory.<sup>33</sup> In a recent article by Ernsting et al,

the development a polymer conjugate (Cellax™) composed of acetylated carboxymethylcellulose, DTX, and PEG was reported.<sup>34</sup> The results of in vitro and in vivo studies demonstrated that the conjugate improved the pharmacokinetics, biodistribution and antitumor efficacy of DTX over Taxotere, with reduced toxicity. Lee et al prepared a conjugate with DTX and low molecular weight chitosan (LMWC) via a cleavable linker.<sup>35</sup> The water solubility of DTX was increased by approximately 200-fold after conjugation with LMWC. The LMWC-DTX conjugate had antitumor efficacy comparable to DTX but was revealed to have much lower subacute toxicity. Etrych et al conjugated DTX with HPMA via a pH sensitive spacer that was stable under physiological conditions (pH 7.4) and hydrolytically degradable in mildly acidic environments (pH ~5) to achieve prolonged drug circulation time in blood and drug release in target cells.<sup>20</sup>

## Dendrimers

Dendrimers are monodispersed macromolecules characterized by regular and extensively branched three-dimensional structures. Dendrimers were first reported by Vögtle and co-workers in 1978,<sup>36</sup> but their unique potential did not begin to generate widespread interest until the early 1990s.<sup>37</sup> Dendrimers comprise an initiator core, an interior layer consisting of repeating units (generations), and exterior end groups.<sup>38</sup> Dendrimer synthesis approaches can be generally divided into divergent or convergent, depending on the direction of synthesis. The former approach, introduced by Tomalia,<sup>39</sup> begins in the central core and continues to the periphery, while the latter, developed by Hawker and Fréchet,<sup>40</sup> proceeds from the surface inward to the interior core. Drugs can be encapsulated in the void spaces

between branches or linked to the surface functional groups of dendrimers covalently or non-covalently.

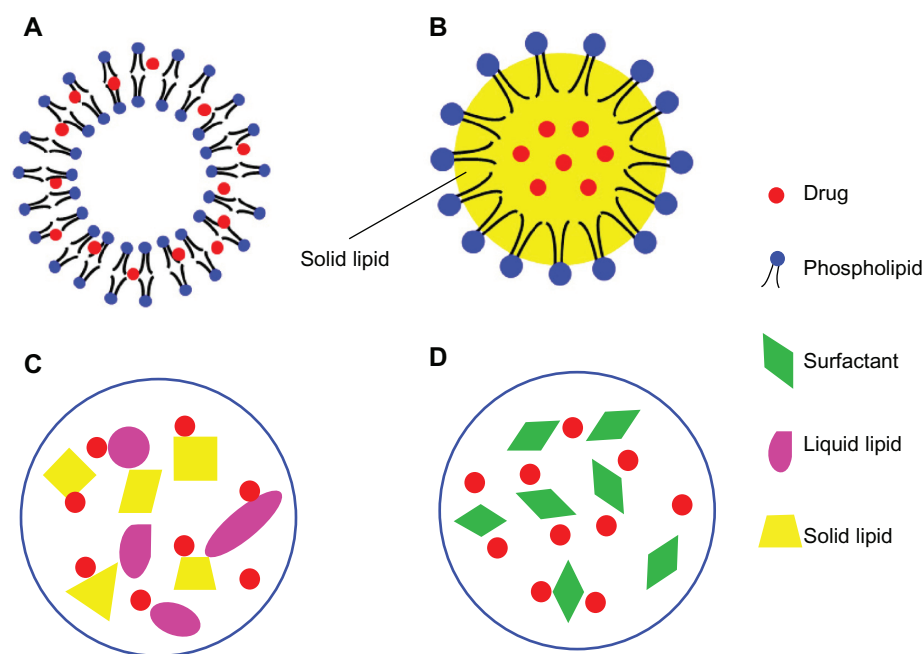
Dendrimers have been widely used for the delivery of both hydrophobic and hydrophilic drugs, nucleic acids and imaging agents due to their attractive properties such as well-defined size and molecular weight, monodispersity, multivalency, number of available internal cavities, high degree of branching, and greater number of surface functional groups.<sup>41–43</sup> A preclinical trial of dendrimer-DTX performed by Sylvania Platinum Ltd has reported that the water solubility, circulation time and efficacy in treating breast cancer in animals were better than those of Taxotere.<sup>2</sup> A Phase I/II clinical trial of the dendrimer has been approved.<sup>2</sup> Gajbhiye and Jain developed P80-anchored poly(propyleneimine) (PPI) dendritic nanoconjugate for delivery of DTX targeted to brain tumor.<sup>44</sup> The DTX-P80-PPI dendrimers showed better antitumor efficacy and higher penetration in brain tumor than both DTX-PPI and free DTX.

## Lipid-based nanoformulations

Lipid-based nanoformulations are composed of biocompatible lipids and surfactants, with drugs encapsulated in a lipid core or adsorbed on the NP surface. Examples include liposomes, solid lipid nanoparticles (SLNs), nanostructure lipid carriers (NLCs), and lipid-based-nanosuspensions (LNSs) (Figure 3). Natural and synthetic biocompatible lipids can be divided into those that are solid or liquid. Solid lipids contain glycerides (eg, tripalmitin and glyceryl monostearate), fatty acids (eg, stearic

acid), sterides (eg, cholesterol), and waxes (eg, microcrystalline wax). Commonly used liquid lipids include medium-chain triglyceride oil, oleic acid, soybean oil, and olive oil. Owing to lipid materials and biomimetic structure, lipid-based nanoformulations have been widely used for DTX delivery as they offer good biocompatibility, effectively increasing DTX's water solubility, significantly improve drug membrane permeability, and allow sustained drug release.<sup>49,50</sup> Table 2 summarizes some representative lipid-based nanoformulations for DTX delivery that have been recently reported.

Liposomes are closed spherical vesicles consisting of an aqueous core and one or more lipid bilayers. The aqueous core can be used for encapsulation of water-soluble drugs, whereas the lipid bilayers entrap hydrophobic or amphiphilic compounds. Drugs can be loaded into liposomes by one of four ways: (1) by preparing liposomes in an aqueous solution saturated with soluble drugs, (2) using organic solvents and solvent exchange mechanisms, (3) via pH gradient methods; (4) or through ammonium sulfate gradient methods.<sup>51</sup> To escape RES uptake after intravenous injection, PEGylated liposomes (known as “stealth liposomes”) were developed for reducing clearance and prolonging circulation half-life. Currently, a Phase I trial of liposome-encapsulated DTX (LE-DT),<sup>52</sup> developed by NeoPharm, Inc, (Lake Forrest, IL, US), is underway, as is a Phase II trial of another non-PEGylated liposomal DTX.<sup>2</sup> However, the applications of liposomes have been limited due to problems such as low encapsulation efficiency, rapid leakage of water-soluble



**Figure 3** Simple schematics of liposomes (A), solid lipid nanoparticles (B), nanostructure lipid carriers (C), and lipid-based nanosuspension (D).



**Table 2** Summary of lipid-based nanoformulations for docetaxel (DTX) delivery in recent reports

Name	Type of nanoformulation	Drug loading method	Targeted ligand	Ref
Vitamin E TPGS-coated liposomes	Liposomes	Encapsulation	–	63
DTX-QDFA for co-delivery of DTX and QDs	Theranostic liposomes	Encapsulation	FA	64
DTX-loaded hepatoma-targeted SLNs	SLNs	Encapsulation	Galactose	56
HD-SLNs	SLNs	Encapsulation	Beta-hydroxybutyrate	65
Double-targeted NLCs	NLCs	Encapsulation	Anti-VEGFR-2 antibody	58
FA-DTX-NLCs	NLCs	Encapsulation	FA	66
Targeted DTX- LNS	LNS	Encapsulation	FA	62

**Abbreviations:** DTX-QDFA, docetaxel loaded liposomes prepared with quantum dots loading and folate targeting; FA, folic acid; HD-SLNs, betreliesoxybutyric acid-grafted docetaxel-loaded solid lipid nanoparticles; LNS, lipid-based nanosuspension; NLC, nanostructured lipid carrier; QD, quantum dot; SLN, solid lipid nanoparticle; TPGS, D-alpha-tocopheryl polyethylene glycol 1000 succinate; VEGFR, Vascular endothelial growth factor receptor.

drugs in the presence blood components, and poor storage stability.<sup>53,54</sup>

SLNs were introduced at the beginning of the 1990s as an alternative delivery system to liposomes, emulsions and polymeric NPs. SLNs possess various advantages, including increased physical stability, protection of drugs against degradation, and controlled drug release; in addition, they do not require the use of organic solvents in preparation, they can be easily produced and sterilized on a large scale, and are biodegradable, biocompatible, and have low toxicity.<sup>55</sup> SLNs are prepared by exchanging the liquid lipid (oil) of emulsions with a lipid that is solid at room temperature as well as at (human) body temperature. Surfactants are added to stabilize the lipid dispersion. The two main methods of SLNs production are high pressure homogenization and microemulsion. Xu et al prepared DTX-loaded hepatoma-targeted solid lipid nanoparticles (tSLNs) with galactosylated dioleoylphosphatidyl ethanolamine for the treatment of locally advanced and metastatic human hepatocellular carcinoma.<sup>56</sup> The particle size and encapsulation efficiency of the tSLN was ~120 nm and >90%, respectively. Further, sustained release of the tSLNs was observed over 30 days in vitro. The tSLN showed better antitumor efficacy and reduced toxicity compared with Taxotere in a murine model bearing hepatoma.

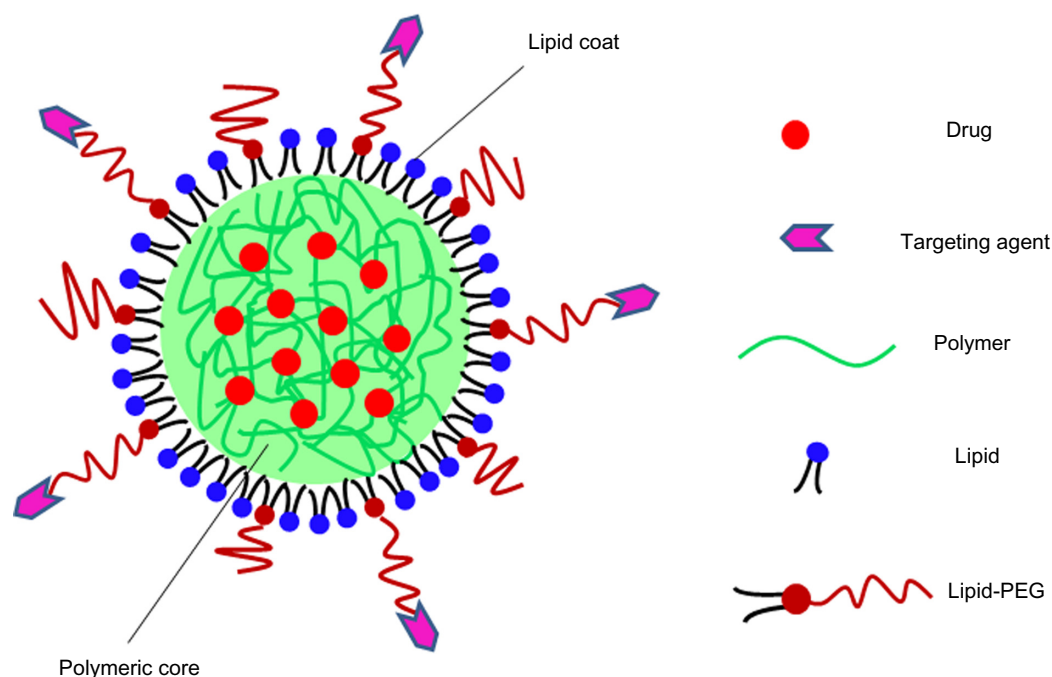
NLCs have been presented as an improved generation of lipid NPs consisting of solid lipid matrices and spatially incompatible liquid lipids developed from the SLNs system. The liquid lipids can disrupt the crystal lattice structure of SLNs and increase the number of imperfections in matrix, thereby increasing the drug-loading capacity and minimizing drug expulsion during storage compared with SLNs. Moreover, the drug release profile can be controlled by varying the composition and amount of the lipid.<sup>57</sup> Results of research on a DTX-loaded double-targeted NLC modified with anti-vascular

endothelial growth factor receptors (VEGFR)-2 antibody suggest that the double-targeted NLC could deliver DTX to both the tumor vasculature cells and the tumor cells, and inhibited tumor growth effectively.<sup>58</sup>

LNSs, first developed by our group, are colloidal dispersions with dispersed nanosized drug particles ranging between 100 and 500 nm in an aqueous medium and stabilized against self-aggregation by lipid-derived surfactants.<sup>59</sup> Compared with other lipid-based nanocarriers, LNSs have no drug leakage problems, are easily produced on a large-scale, have a high drug-loading capacity, are physically stable over the long-term, have low toxicity, and are suitable for drugs that are insoluble in both water and oil. As such, LNSs are one of the most potential nanoformulations.<sup>59</sup> They can be produced through high pressure homogenization, precipitation, emulsion/microemulsion template, media milling, and dry co-grinding.<sup>60</sup> In nanosuspensions, drugs are in crystalline state and have a nanoscale particle size, thereby increasing the saturation solubility and dissolution rate of poorly soluble drugs, and improving bioavailability.<sup>61</sup> Our group has prepared a DTX-loaded-LNS(DTX-LNS), a poly(ethylene glycol)-modified docetaxel-lipid-based nanosuspension (pLNS), and a folate-targeted docetaxel-lipid-based nanosuspension (tLNS) by high pressure homogenization for DTX delivery.<sup>59,62</sup> The particle sizes of the nanosuspensions were about 200 nm and they are physically stable over 3 months at 4°C ± 2°C and 25°C ± 2°C. The folate-targeted DTX-LNS showed high antitumor efficacy, prolonged blood circulation, and much less toxicity in B16 tumor-bearing mice than Duopaifei® (Qilu Pharmaceutical Co, Ltd, Jinan, People's Republic of China).

## Lipid-polymer hybrid nanoformulations

Lipid-polymer hybrid nanoparticles are composed of a solid polymeric core and a lipid shell.<sup>67</sup> As shown in Figure 4, the



**Figure 4** Lipid-polymer hybrid nanoparticle. The polymeric core, with the drug loaded into it, is surrounded by a lipid/lipid-poly(ethylene glycol) (lipid-PEG) monolayer. The nanoparticle is functionalized by conjugating ligands onto the PEG.

polymeric core, which is loaded with water or oil-soluble drugs, is surrounded by a lipid/lipid-PEG monolayer, and the NP can be further functionalized by conjugating ligands onto the PEG chains. It has been claimed that lipid-polymer hybrid NPs combine the highly biocompatibility of lipid-based nanoformulations and the structural integrity provided by polymeric NPs, overcoming the drawbacks of them.<sup>68</sup> Lipid-polymer hybrid NPs have exhibited that their particle size can be well-controlled, high drug-loading, high stability, controlled drug release, and the ability to be functionalized for long circulation half-life and targeted drug delivery.<sup>69,70</sup>

Three methods of preparing lipid-polymer hybrid NPs have been reported. The first method is a two-step process involving production of the polymeric NPs followed by co-cubation of these with lipid vesicles (or lipid films) to form the lipid-shell by electrostatic interactions.<sup>71</sup> However, this method reduces drug encapsulation efficiency, especially for highly water-soluble drugs. The second method involves a simpler technology, combining nanoprecipitation and a self-assembly technique in a single step, with the drug and polymer dissolved in a water-miscible solvent first, then added to an aqueous phase containing lipids, which leads to the co-precipitation of the drug and polymer to form NPs with self-assembled lipids on their surface.<sup>72</sup> This method is only suitable for the encapsulation of those drugs that are

water insoluble and soluble in the water-miscible solvent. The third approach is a modified emulsification method, with lipids replacing traditional surfactants as the stabilizer. In this method, the drug, polymer, and lipid are first dissolved in a water-immiscible solvent then subsequently emulsified in an aqueous phase under sonication, resulting in the formation of hybrid NPs.<sup>73</sup>

Liu's research group synthesized a system of targeted lipid-shell and polymer-core nanoparticles (TLPNPs) with a PLGA core and mixed lipid monolayer shell of 1,2-dilauroylphosphatidylcholine (DLPC), 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000] (DSPE-PEG<sub>2k</sub>), and 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[folate(polyethylene glycol)-5000] (DSPE-PEG<sub>5k</sub>-FOL).<sup>72</sup> DLPC was employed to stabilize the NPs in the aqueous phase, and DSPE-PEG<sub>2k</sub> was used to escape from recognition by the RES – thus increasing the systemic circulation time of the NPs – while the DSPE-PEG<sub>5k</sub>-FOL provided NPs with tumor-targeting ability. The TLPNPs showed a sustained and controlled release profile for DTX *in vitro* and higher cellular uptake efficiency than the non-targeted formulation in MCF7 cells with overexpressed folate receptors. Further, *in vitro* cytotoxicity studies demonstrated that the TLPNPs were 50.91% more effective than the non-targeted formulation and 93.65% more effective than Taxotere.

## Inorganic nanoparticles

Mesoporous silica nanoparticles (MSNs) have attracted growing interest in the last decade as an efficient drug delivery system.<sup>74,75</sup> Compared with conventional organic carriers, MSNs have unique properties including tunable particle size and morphology, tailored mesoporous structure, uniform and tunable pore size, high chemical and mechanical stability, high surface area and pore volume, high drug-loading capacity and facile surface functionalization.<sup>76,77</sup> By modification of their surface, MSNs can be functionalized with a controlled drug release characteristic or targeted delivery capability.<sup>78,79</sup>

Li et al reported PEGylated silica nanorattles (SNs) loaded with DTX (SN-PEG-DTXs) via electrostatic absorption for liver cancer therapy.<sup>80</sup> The SN-PEG-DTXs showed higher cytotoxicity in HepG2 human liver cancer cells, greater antitumor activity in the murine hepatocarcinoma 22 subcutaneous model than Taxotere, and lower systematic toxicity. Chen et al prepared double mesoporous silica-shelled nanostructure (called “HMSs@mSiO<sub>2</sub>”) with hierarchical pore-size distributions, diverse pore orderings in separate but adjacent shells, and enlarged surface area/pore volume for the delivery of DTX.<sup>81</sup> The HMSs@mSiO<sub>2</sub> exhibited high loading capacity, satisfactory biocompatibility, significant uptake and enhanced anticancer efficiency due to the presence of large interior hollow space.

Gold nanoparticles (AuNPs) (ranging in size from 1 to 150 nm) have emerged as a useful platform for biomedical applications in the delivery of gene, imaging agents and drugs.<sup>82,83</sup> François et al encapsulated DTX into PEGylated AuNPs (creating “AuNPs-DTX”) for vectorization to cancer cells.<sup>84</sup> The AuNPs-DTX were 2.5-fold and ~1.5-fold more efficient against MCF7 cells and against HCT15 cells, respectively, than DTX alone due to the AuNPs drug encapsulation.

## Targeted delivery

Targeted delivery has been developed for DTX to increase drug distribution in tumor tissues, reduce side effects in normal tissues, and improve therapeutic index. Drug targeting can be classified into two types: passive and active targeting. Passive targeting, depending on the EPR effect, means the accumulation of macromolecular agents and nanocarriers in solid tumor tissues, which have unique pathophysiological characteristics, including extensive angiogenesis, hypervascularity, defective vascular architecture, and an impaired lymphatic drainage/recovery system.<sup>85</sup> The EPR effect was first reported by Matsumura and Maeda in 1986,<sup>86</sup> and has

thus now become a gold standard in anticancer drug delivery designing.<sup>87</sup> Active targeting process proceeds after the passive accumulation of nanocarriers in tumors, to achieve the further selective targeting delivery of drugs to tumor cells, through specific interactions, such as antigen-antibody and ligand-receptor binding.<sup>88</sup> Following, we focus on the application of active targeting in DTX nanoformulations.

## Monoclonal antibodies

Monoclonal antibodies are one of the most well-known targeting ligands for drug delivery due to their high specificity and affinity to target antigens.<sup>89,90</sup> Herceptin<sup>®</sup>, the clinical formulation of trastuzumab produced by Genentech, Inc (South San Francisco, CA, USA), is the monoclonal antibody against human epidermal growth factor receptor 2, which is overexpressed in invasive breast cancers.<sup>91</sup> Liu et al conjugated Herceptin on PLGA-PEG/PLGA NPs using a post-conjugation strategy for efficient targeted delivery of DTX.<sup>91</sup> In SK-BR-3 breast cancer cells overexpressing human epidermal growth factor receptor 2, the non-antibody conjugated NPs demonstrated virtually no uptake, while significantly increased cellular uptake efficiency was observed with the Herceptin-conjugated NPs. The percentage of the NPs endocytosed by the cells was almost proportionally increased to the surface density of the ligand. VEGFRs are overexpressed in both various tumor cells – including gastric, breast, melanoma, carcinoma cervicis, non-small-cell lung cancer – and tumor neovasculature in situ. An anti-VEGFR-2 antibody modified DTX-loaded targeted NLC (tNLC) was developed by our group for tumor-specific delivery and therapy by double-targeting (tumor cells- and vascular-targeting) strategy.<sup>58</sup> The tNLC showed better anti-tumor efficacy in cytotoxicity studies on HepG2, A549 and mouse B16 cells, and in a murine model bearing B16 cells than Duopafei and the non-targeted NLC. Cellular uptake and biodistribution studies indicated that the better antitumor efficacy of tNLC was attributed to the increased accumulation of the drug in both the tumor and tumor vasculature.

## Peptides

As targeting ligands, peptides are small molecules compared with monoclonal antibodies that can be efficiently chemically synthesized and have high specificity.<sup>92</sup> In a recent report, the asparagine-glycine-arginine (NGR) peptide, which can target to aminopeptidase N (CD13) overexpressed on tumor vascular endothelial cells, was conjugated to PEG-b-PLGA polymeric micelles for targeted delivery of DTX.<sup>93</sup> The higher uptake by CD13-overexpressed tumor cells and greater antitumor activities in vivo of the NGR conjugated micelles were confirmed.



Octreotide, one of the most extensively studied neuropeptide somatostatin analogs, showed high binding affinity to the positive tumor cells of somatostatin receptors. Zhang et al prepared octreotide-modified DTX-loaded PEG-b-poly(lactide) (PLA) polymeric micelles (OCT-PM-DTXs) and achieved enhanced intracellular delivery efficiency via receptor-mediated endocytosis in NCI-H446 cells and higher retardation of tumor growth after intravenous injections into a xenograft NCI-H446 tumor model.<sup>94</sup> The arginine-glycine-aspartic (RGD) peptide, binding to  $\alpha_v\beta_3$  integrin with high affinity, which is highly expressed in neovascular endothelial cells, has been widely used in various DTX delivery system such as monomethoxy PEG-block-poly(L-lactide-co-2-methyl-2-carboxyl-propylene carbonate) (MPEG-b-P(LA-co-MCC))/DTX conjugate,<sup>95</sup> RGD grafted DTX liposomes (RGD-PEG-LP-DC),<sup>92</sup> and HPMA copolymer-DTX-RGDfK conjugate.<sup>97</sup>

## Folic acid

Folic acid (FA) is a well-studied targeting ligand that has been widely used for tumor-specific delivery of drugs. It has a high affinity to folate receptors that are frequently overexpressed in various cancer cells, including ovary, brain, kidney, breast, colon, and lung cancers, but are expressed only to a low extent in normal cells.<sup>98</sup> Moreover, FA is highly stable, non-immunogenic, inexpensive and of small molecular size.<sup>99</sup> Mi et al prepared FA-conjugated vitamin E TPGS<sub>2k</sub> micelles for DTX-targeted delivery.<sup>45</sup> Zhao et al developed a folate-poly(PEG-cyanoacrylate-co-cholesteryl cyanoacrylate)-modified DTX-loaded NLC (FA-DTX-NLC) to achieve a long blood circulating effect and targeting characteristics.<sup>66</sup> The tLNS prepared by our group using folate as targeting ligands, which is suitable for large-scale production and has high specificity to cancer cells overexpressing folate receptors, was produced by the lipid incorporation method.<sup>100</sup>

## Aptamers

Aptamers have rapidly become a novel and powerful class of targeting ligands for drug delivery systems in recent years because of their fascinating properties, which include ease of selection and synthesis, high binding affinity and specificity, low immunogenicity, and versatile synthetic accessibility.<sup>101,102</sup> Aptamers are short single-stranded DNA, RNA, or modified nucleic acids that can fold into complex three-dimensional structures and specifically bind to their targets.<sup>103</sup> Aptamers can be selected from randomly synthesized oligonucleotide libraries through the systematic evolution of ligands by exponential enrichment.<sup>104</sup> Aptamers have been demonstrated to have more specific and higher

affinity for the intended target than other ligands (eg, antibodies).<sup>105</sup>

Cheng et al reported DTX-encapsulated NPs formulated with PLGA-b-PEG copolymer and surface functionalized with the A10 RNA aptamers that recognize the extracellular domain of the prostate-specific membrane antigen, a well characterized antigen expressed on the surface of prostate cancer cells.<sup>106</sup> L Gao et al co-assembled thrombin aptamer and DTX on mesoporous silica NPs against tumor-cell proliferation.<sup>107</sup> H Gao's research group prepared DTX-loaded PEG-PCL NPs functionalized with GMT8, an aptamer with the highest affinity for U87 cells, for enhanced targeted glioblastoma therapy.<sup>108</sup> The NPs significantly enhanced intracellular drug delivery and tumor spheroid penetration, could target glioblastoma and accumulated at the tumor site.

## Transferrin

Transferrin (Tf), a polypeptide glycoprotein of approximately 80 kDa, has a high affinity for the Tf receptor which is ubiquitously expressed at low levels in most normal human tissues but at several fold higher in malignant cells.<sup>109</sup> Zhai et al prepared Tf receptor-targeted liposomes composed of hydrogenated soy phosphatidylcholine, egg phosphatidylcholine, cholesterol and methoxy-poly(ethylene glycol) 2,000-distearoyl-phosphatidylethanolamine for DTX delivery.<sup>110</sup> Tf receptor-targeted liposomes showed efficient uptake in K562 cells (Tf receptor +) and 3.6-fold greater cytotoxicity compared with non-targeted control liposomes in KB cells. Gan and Feng developed Tf-conjugated PLA-D- $\alpha$ -Tocopheryl polyethylene glycol succinate (PLA-TPGS) NPs loaded with DTX and coumarin 6 (a model imaging agent) for targeted delivery across the blood-brain barrier (BBB).<sup>111</sup> Cellular uptake and cytotoxicity studies demonstrated that the Tf-conjugated PLA-TPGS NP formulation had great advantages over the original imaging/therapeutic agents. Moreover, the biodistribution investigation demonstrated that the Tf-conjugated PLA-TPGS NPs can deliver imaging/therapeutic agents across the BBB to the brain.

## Hyaluronic acid

Hyaluronic acid (HA), also called "hyaluronan", a natural linear polysaccharide composed of two alternating units of N-acetyl-D-glucosamine and D-glucuronic acid with a molecular weight of  $10^5$ – $10^7$ , is abundant in the extracellular matrix and synovial fluids and has the advantages of biocompatibility and biodegradability.<sup>112</sup> HA can be specifically bound to cell-surface glycoprotein cluster determinant (CD44), which is overexpressed in various tumors, such as

epithelial, stomach, ovarian, and colon cancers as well as in acute leukemia.<sup>113</sup> HA can be directly conjugated to an active cytotoxic agent to form a prodrug or used as a tumor-targeting moiety in drug delivery systems.

Cho's group conjugated HA with ceramide and used this and Pluronic® P85 to prepare self-assembled NPs for DTX delivery.<sup>48</sup> The in vivo tumor-targeting efficiency of the HA-ceramide-based NPs was evaluated in the MCF-7 tumor-bearing mouse model using noninvasive fluorescence imaging. The results indicated that the fluorescence intensity in the tumors of the HA pre-injection group was lower than the no- pre-injection group after injection for 24 hours. This was due the HA pre-treatment blocking CD44 receptors and led to a reduction in cellular NP uptake.

## The pharmacokinetics, biodistribution and toxicity of DTX nanoformulations

The enhanced therapeutic index of nanoscale DTX is based on the improved pharmacokinetics and biodistribution of DTX in this form compared with free DTX. Cellax, a formulation of DTX-carboxymethylcellulose NPs, has been reported to extend blood circulation time significantly compared with Taxotere, with a half-life 5.2 times longer, mean residence time (MRT) 7.3 times higher, area under the curve (AUC) 38.6 times higher, and substantially lower values for clearance (2.5%) and volume of distribution (13.2%). Compared with Taxotere, Cellax reduced the nonspecific distribution of DTX to the heart, lung and kidney by 48%, 90%, and 90% at 3 hours, respectively, and induced no measurable toxicity at 170 mg DTX/kg. In contrast, Taxotere displayed nonspecific uptake in all examined normal tissues and induced significant apoptosis in the lung and kidney at 40 mg DTX/kg.<sup>34</sup> Li et al prepared folate-poly (PEG-cyanoacrylate-co-cholesteryl cyanoacrylate)-modified DTX-loaded liposomes (FA-PDCT-L) for targeted chemotherapy.<sup>114</sup> The results of their pharmacokinetic study indicated that the AUC of FA-PDCT-L increased 3.82 and 6.23 times in comparison with that of DTX-loaded liposomes and DTX solution, respectively. Meanwhile, FA-PDCT-L showed a longer retention time in the circulation and a lower DTX concentration in the liver and spleen, and greater drug accumulation in tumor tissue. The previously mentioned DTX-LNS, pLNS, and tLNS prepared by our group, in comparison to Duopafei, showed 1.31, 1.59 and 1.66 times greater AUC, respectively, and the MRT was significantly prolonged by about 1.91, 2.40 and 2.41 times, respectively, while clearance significantly decreased.<sup>59,62</sup>

Tumor, liver, spleen, and lung showed significantly higher DTX concentrations in the DTX-LNS, pLNS and tLNS groups compared with in the Duopafei group, while heart and kidney showed decreased DTX concentrations. The tumor targeting efficiency of tLNS was 1.13 times better than that of pLNS. The in vivo antitumor efficacy studies on B16-tumor-bearing mice showed that DTX-LNS, pLNS, and tLNS exhibited higher antitumor efficacy by reducing tumor volume and less toxicity according to body weight variation analysis. The better antitumor efficacy of DTX nanoformulations was attributed to the improved pharmacokinetics such as increased AUC, longer MRT and decreased clearance, and increased drug accumulation in the tumor tissue.

## Issues to overcome before clinical and commercial application

Overall, nanotechnology has played a great role in the delivery of DTX due to the unique nanosize (1–100 nm) and large surface-to-volume ratio. First, the water solubility of DTX can be significantly improved through conjugating it to nanomaterials or encapsulating it inside nanocarriers. Moreover, nanocarriers can improve the stability of DTX by protecting drugs from the surrounding environment, converting their pharmacokinetic properties to DTX, and lead to improved therapeutic efficacy. When intravenously injected into the body, nanoformulations can protect DTX from metabolizing enzymes until they were released and avoid its being rapidly cleared through the renal system due to their increased size compared with the free drug, thereby often resulting in a prolonged blood circulation time with an increased chance of accumulation in the target tissue. Nanoformulations can avoid side effects caused by the presence of P80, thus might render DTX more suitable for clinical utilization. In addition, nanocarriers can leak out of fenestrated tumor vascular walls and accumulate in the tumor tissue that frequently lacks effective lymphatic drainage by means of EPR-effect mediated passive targeting. Other tissues with leaky endothelial walls, including those of the liver, spleen and bone marrow, usually contribute to the uptake of nanocarriers. The macrophages residing in these tissues have been responsible for the major loss of injected nanocarriers.<sup>115</sup> Modification of PEG on the surface of nanocarriers can reduce the uptake of the RES and prolong circulation time. Active targeting by modification with targeted ligands on the surface of nanocarriers can realize further tumor-cell targeting and increase the selective accumulation of DTX in tumors. Therefore, the adverse effects and systemic toxicity

**Table 3** Summary of nanoformulations for docetaxel (DTX) delivery over 2012–2013

Nanoformulation	Type	Targeted ligand	Comment	Ref
Metronomic SP5.2-DTX-NP	Nanoparticle	VEGFR- I	Mice	116
MR-contrasted DTX carboxymethylcellulose nanoparticles	Nanoparticle	–	Mice	117
Core-shell nanoparticles through the self-assembly of HPMA-based copolymers and degradable polyester loaded with DTX and DOX	Nanoparticle	–	Mice	118
Multilayer nanoparticles for DTX	Nanoparticle	–	Mice	119
DTX-loaded mPEG-PCL nanoparticles	Nanoparticle	–	Mice	120
Aptamer-functionalised PEG-PCL nanoparticles	Nanoparticle	Aptamer	Mice	108
PEGylated carboxymethylcellulose conjugate of DTX (Cellax™)	Conjugate	–	Mice	121
TPGS-coated theranostic liposomes for co-delivery of DTX and QDs	Liposome	FA	In vitro	64
TPGS-coated liposomes	Liposome	Trastuzumab	In vitro	122
F/TMSP-DTX-NLC	NLC	FA	Mice	123
Beta-hydroxybutyric acid-grafted SLNs	SLN	Betreliesoxybutyric acid	Rats	65
TPGS based immunomicelles for co-delivery of DTX and siRNA	Micelle	Herceptin	In vitro	124
Amphiphilic micelles of poly(TMCC-co-LA)-g-PEG	Micelle	–	Mice	125
DTX-loaded PI05/F127 mixed micelles	Micelle	–	Mice	126
C60-PEI-FA/DTX	Fullerene	FA	Mice	127
Amorphous calcium phosphate porous nanospheres	Nanosphere	–	In vitro	128
Polyarginine nanocapsules	Nanocapsule	–	In vitro	129
PMAA chitosan hollow nanorods	Nanorod	–	In vitro	130
Multiwalled carbon nanotube-DTX conjugate	Nanotube	–	In vitro	131
Self-nanoemulsifying drug delivery system for the oral administration of DTX	Nanoemulsion	–	Rats	132
PLGA-coated silica nanorattle	Nanorattle	–	In vitro	133

**Abbreviations:** C60, fullerene; DOX, doxorubicin; FA, folic acid; HPMA, N-(2-hydroxypropyl) methacrylamide-based copolymers; MR, magnetic resonance; NLC, nanostructured lipid carrier; NP, nanoparticle; PEI, polyethylenimine; PLGA, poly(lactic-co-glycolic acid); PMAA, polymethacrylic acid; poly(TMCC-co-LA)-g-PEG, poly(2-methyl-2-carboxytrimethylene carbonate-co-D,L-lactide)-graft-poly(ethylene glycol); QDs, quantum dots; SLN, solid lipid nanoparticle; TMSP, tumor microenvironment-sensitive polypeptides; TPGS, D-alpha-tocopheryl polyethylene glycol 1000 succinate.

of DTX can be reduced and therapeutic efficacy improved significantly due to the application of nanotechnology in drug delivery.

Significant efforts have been made and considerable progress made in the delivery of DTX; however, Taxotere

remains the only commercial formulation available for clinical use. Studies of nanoformulations for DTX delivery over 2012–2013 are summarized in Table 3. As shown in the table, most of the current research is still at the laboratory stage and in animal models. Nevertheless, it is exciting to

**Table 4** Summary of nanoformulations for docetaxel (DTX) in clinical development

Name	Type of nanoformulation	Developer	Status	Ref
BIND-014	PEG-PLGA nanoparticles (Cambridge, MA, USA)	BIND Therapeutics (Cambridge, MA, USA)	Phase I	26
DTX-PNP	Polymeric nanoparticles	Samyang Pharmaceuticals (Seoul, Korea)	Phase I	27
NKTR-105	PEG-DTX conjugates	Nektar Therapeutics (San Francisco, CA, USA)	Phase I	33
LE-DT	Liposomes	NeoPharm, Inc (Lake Forrest, IL, USA)	Phase I	52
ATI-1123	Liposomes	Azaya Therapeutics (San Antonio, TX, USA)	Phase I	134
ANX-514	Injectable emulsion	Mast Therapeutics, Inc (San Diego, CA, USA)	Phase I	135
Dendrimer-DTX	Dendrimers	Sylvania Platinum Ltd (Hamilton, Bermuda)	Approved to carry on a Phase I/II clinical trial	2

**Abbreviations:** PEG, poly(ethylene glycol); PLGA, poly(lactic-co-glycolic acid).

see that several DTX nanoformulations have been in clinical trials and are expected to be developed for the market, as summarized in Table 4. However, for the transformation of DTX-loaded nanoformulations from research into clinical products to be realized, requires a number of issues to be overcome. Studies in these areas must be carried out to accelerate the development of commercial DTX-loaded nanoformulations.

## Safety concerns

Most of the current studies on DTX-loaded nanoformulations have evaluated in vivo antitumor efficacy and distribution in small animal models; less research on the metabolism, excretion, and acute and chronic toxicity in vivo has been reported. Further, there is also a lack of research on the safety of the novel synthesized materials used for DTX-loaded nanoformulations.

## Manufacturing problems

As a great number of DTX-loaded nanoformulations have been prepared only at the laboratory scale due to their complicated process and high cost, much effort still needs to be made before large-scale production and commercialization can be achieved.

## Quality control

Consistent particle diameter and distribution of particle size, physical and chemical stability, removal of the organic solvents in production, sufficient drug loading and the surface characteristics must be guaranteed reliably.

## Improving patient compliance

To achieve better patient compliance for clinical administration, issues related to the clinical application must be considered in the design of DTX-loaded nanoformulations; for example, lower drug cost, dosage forms that are simple to use, reducing the frequency of administration and decreasing side effects.

## Conclusion

DTX has been widely used in the clinical treatment of various types of tumors in the last decade. However, its poor water solubility and non-selective distribution limit the clinical dose and bring great side effects to normal tissues. As reviewed herein, significant efforts and tremendous progress have been made in the delivery of DTX for cancer therapy in the past decade. Many drug delivery systems have been developed for the effective delivery of DTX, such as polymer-based, lipid-based, lipid-polymer hybrid nanocarriers,

dendrimers as well as inorganic NPs. To achieve greater tumor-cell targeting, active targeting has been carried out extensively as reviewed. Overall, nanotechnology holds great potential for DTX delivery due to the advantages of nanometer-size, ability to increase water solubility, effective selectivity to tumor cells, controlled drug release, desirable pharmacokinetic properties, ability to minimize side effects, and so on.

However, there is no DTX nanoformulation currently commercially available and there remain many barriers and challenges, including safety concerns, manufacturing problems, quality control issues and the importance of improving patient compliance. These need to be overcome and further research is required before clinical and commercial application of DTX-loaded nanoformulations can be realized.

## Disclosure

The authors report no conflicts of interest in this work.

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