

# openheart Comprehensive update on the new indications for transcatheter aortic valve replacement in the latest 2017 European guidelines for the management of valvular heart disease

Tasalak Thonghong, Ole De Backer, Lars Søndergaard

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## ABSTRACT

New European guidelines on the management of valvular heart disease—supported by the European Society of Cardiology (ESC) and European Association of Cardiothoracic Surgery (EACTS)—were recently published. Although these guidelines are very comprehensive, these typically are not very inviting to read. In this document, we aimed to distil all the information about transcatheter aortic valve replacement (TAVR) in the new 2017 ESC/EACTS guidelines to the essential and give additional comments on the position of TAVR in 2017.

## INTRODUCTION

Aortic valve stenosis is the most common primary valve disease leading to surgical or transcatheter valve replacement in Europe and North America, with a growing prevalence due to the ageing population.<sup>1,2</sup> Driven by technological developments, the treatment of patients with severe aortic stenosis has seen a significant change over the past decade.<sup>3</sup>

Since the 2012 European Society of Cardiology (ESC)/European Association of Cardiothoracic Surgery (EACTS) guidelines on the management of valvular heart disease,<sup>4</sup> a large amount of new data have accumulated, particularly in the field of catheter-based treatment of aortic valve stenosis. There have been five randomised clinical trials (RCTs) comparing surgical aortic valve replacement (SAVR) and transcatheter aortic valve replacement (TAVR) as well as large-scale registry data.<sup>5–9</sup> Indications for TAVR have expanded as there is new evidence for the lower risk populations.<sup>7–11</sup> This has resulted in an exponential growth in the number of TAVR procedures performed in Europe and North America, with the number of TAVR now surpassing the number of isolated SAVR in several European countries. Surprisingly, the number of SAVR

procedures have long been unaffected by the rise of TAVR; however, a modest decline in the number of SAVR procedures has been reported since 2 years.<sup>12,13</sup> Overall, the total ‘AVR landscape’ has significantly changed within the last 5–10 years (figure 1), driven by new technologies and new clinical evidence.

Besides this shift in intervention mode, there is also new evidence regarding predictors of outcome in asymptomatic patients with severe aortic stenosis and on antithrombotic therapy in the SAVR and TAVR population.<sup>14,15</sup>

This required an update of the ESC/EACTS guidelines for the management of valvular heart disease. These guidelines on the management of valvular heart disease are joint guidelines between cardiologists and surgeons.<sup>15</sup> It is absolutely essential that both specialties follow the same recommendations as they are treating the same patients.

This document aims to give an update and comprehensive overview on the position of TAVR in the latest 2017 ESC/EACTS guidelines for the management of valvular heart disease.

## AORTIC STENOSIS—WHEN TO INTERVENE?

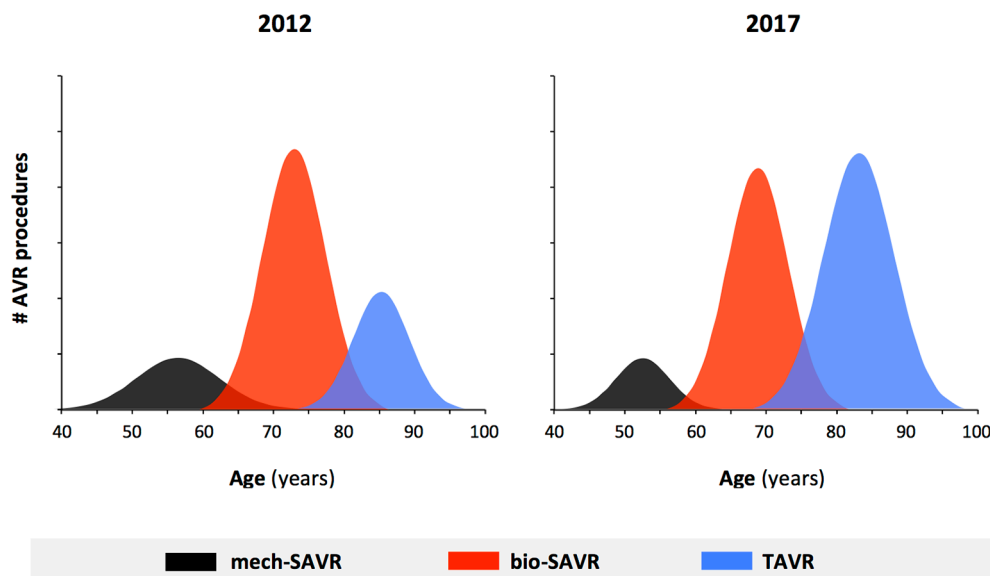
When assessing a patient with aortic valve stenosis, some essential questions have to be asked and answered. How severe is the aortic stenosis? Does the patient have symptoms? Are the symptoms related to valvular disease? What are the patient’s life expectancy and expected quality of life? Do the expected benefits of intervention outweigh its risks? Are local resources optimal for the planned intervention? What is the patient’s preference?

Echocardiography is the key diagnostic tool. It confirms the presence of aortic valve



Cardiology, Rigshospitalet, Copenhagen, Denmark

**Correspondence to**  
Dr Ole De Backer; ole.debacker@gmail.com



**Figure 1** Evolution of TAVR and SAVR in East Denmark between 2012 and 2017. AVR, aortic valve replacement; bio, biological; mech, mechanical; SAVR, surgical AVR; TAVR, transcatheter AVR.

stenosis; assesses the degree of valve calcification, left ventricular (LV) function and wall thickness and detects the presence of other associated valve disease or aortic pathology. Doppler echocardiography is the preferred technique for assessing the severity of the aortic valve stenosis.

In accordance with the 2012 ESC/EACTS guidelines, early therapy is strongly recommended in all symptomatic patients with severe high-gradient aortic stenosis (mean gradient  $\geq 40$  mm Hg or peak velocity  $\geq 4$  m/s; class I, level of evidence (LoE) B). For this patient group, there is virtually no lower ejection fraction limit for intervention. The only exceptions are patients with severe comorbidities indicating a survival of  $< 1$  year and patients in whom severe comorbidities or their general condition at an advanced age make it unlikely that the intervention will improve quality of life or survival (class III, LoE C).<sup>4,15</sup>

The management of patients with low-gradient aortic stenosis is more challenging. In patients with low-flow, low-gradient aortic stenosis and reduced ejection fraction in whom the depressed ejection fraction is predominantly caused by excessive afterload, LV function usually improves after aortic valve replacement.<sup>16,17</sup> Intervention is indicated in symptomatic patients with severe low-flow, low-gradient ( $< 40$  mm Hg) aortic stenosis with reduced ejection fraction, and evidence of flow (contractile) reserve excluding pseudosevere aortic stenosis (class I, LoE C).<sup>15</sup> Decision making about intervention should take into account the degree of valve calcification, the extent of coronary artery disease and the feasibility of concomitant or staged revascularisation, as well as the patient's comorbidities. The ability to identify patients with severe aortic stenosis in this subgroup by CT calcium scoring and the availability of TAVR have lowered the threshold to intervene.<sup>18–20</sup> The new 2017 ESC/EACTS guidelines now also state that intervention 'should'—and

not only 'may' (2012)—be considered in symptomatic patients with low-flow, low-gradient aortic stenosis and reduced ejection fraction without flow (contractile) reserve, particularly in those patients in whom CT calcium scoring confirms severe aortic stenosis (class IIa, LoE C).

Patients with low-flow, low-gradient aortic stenosis and preserved ejection fraction are the most difficult subgroup to decide on intervention or not. Data on their natural history and outcome after surgical or catheter intervention are scarce and remain controversial.<sup>21,22</sup> In such cases, intervention should only be performed when symptoms are present and if comprehensive evaluation suggests significant valve obstruction. The strongest indication for intervention remains symptoms (either spontaneous or on exercise testing).<sup>15</sup>

Management of asymptomatic severe aortic stenosis remains controversial. The currently available studies do not provide convincing data to support the general recommendation of early SAVR—the decision to operate on asymptomatic patients requires careful weighing of the risks and benefits. In physically active patients with asymptomatic aortic stenosis, exercise testing is recommended for unmasking symptoms and for risk stratification.<sup>23,24</sup>

As mentioned in the ESC/EACTS guidelines, SAVR is indicated in asymptomatic patients with an impaired LV function  $< 50\%$  not due to other causes and/or an abnormal exercise test showing symptoms on exercise related to aortic stenosis (class I, LoE C). Moreover, SAVR is indicated in asymptomatic patients with severe aortic stenosis undergoing coronary artery bypass graft (CABG) or surgery on the ascending aorta or another valve (class I, LoE C).<sup>15</sup>

Interestingly, the updated guidelines now also state that SAVR should be considered in asymptomatic patients

with normal ejection fraction if the surgical risk is low and one of the following findings is present: (1) very severe aortic stenosis defined by a peak velocity  $>5.5$  m/s, (2) severe valve calcification and a rate of peak velocity progression  $\geq 0.3$  m/s/year, (3) markedly elevated brain natriuretic peptide (BNP) levels ( $>3$ -fold normal range) confirmed by repeated measurements and (4) severe pulmonary hypertension (systolic pulmonary artery pressure at rest  $>60$  mm Hg) without other explanation. In patients without these predictive factors, watchful waiting appears safe and early surgery is unlikely to be beneficial.<sup>15</sup>

For the time being, there is no evidence available showing a role for TAVR in the treatment of asymptomatic patients with severe aortic stenosis. However, studies are on their way to investigate the potential value of TAVR for this specific patient population.

### CHOICE OF INTERVENTION MODE—TAVR OR SAVR?

The question which intervention mode is the preferred one to treat patients with severe, symptomatic aortic stenosis has been the subject of investigation in several important RCTs over the past decade. Based on the PARTNER IA/IB trials, the 2012 ESC/EACTS guidelines on the management of valvular heart disease formulated the following recommendations:

- ▶ TAVR is indicated in patients with severe symptomatic aortic stenosis who are not suitable for SAVR as assessed by a Heart Team and who are likely to gain improvement in their quality of life and to have a life expectancy of  $>1$  year after consideration of their comorbidities (PARTNER IB; class I, LoE B).<sup>25</sup>
- ▶ TAVR should be considered in high-risk patients with severe symptomatic aortic stenosis who may still be suitable for surgery, but in whom TAVR is favoured based on the individual risk profile and anatomic suitability (PARTNER IA; class IIa, LoE B).<sup>5</sup>

Since 2012, outcomes of three other important clinical trials comparing TAVR and SAVR have been published. In the PARTNER-II, SURTAVI and NOTION trials,<sup>7-9</sup> robust randomised data demonstrated the equivalence—and even net superiority when performed via transfemoral approach—of TAVR in intermediate-risk and lower-risk patients. This has set the stage for a further expansion of TAVR indications to encompass lower-risk patients in the updated 2017 ESC/EACTS guidelines on the management of valvular heart disease<sup>15</sup>:

- ▶ TAVR is recommended in patients who are not suitable for SAVR as assessed by the Heart Team (class I, LoE B).
- ▶ In patients who are at increased surgical risk (Society of Thoracic Surgeons/STS or EuroSCORE II  $\geq 4\%$  or other risk factors not included in these scores such as frailty, porcelain aorta, sequelae of chest radiation), the decision between SAVR and TAVR should be made by the Heart Team according to the individual patient characteristics (see [figure 2](#))—with TAVR

being favoured in patients  $>75$  years suitable for transfemoral access (class I, LoE B).

- ▶ In patients at low surgical risk (STS or EuroSCORE II  $<4\%$  and no other risk factors not included in these scores, such as frailty, porcelain aorta, sequelae of chest radiation), SAVR is recommended (class I, LoE B).

In the updated 2017 ESC/EACTS guidelines, patients are no longer divided into high risk, intermediate risk or low risk groups. Also the use of EuroSCORE I is no longer recommended to guide decision making. It is recommended to categorise patients into 'low surgical risk' or 'increased surgical risk', based on an STS or EuroSCORE II  $<$  or  $\geq 4\%$ , or other risk factors not included in these scores such as frailty, porcelain aorta, and sequelae of chest radiation.

The choice of intervention must be based on careful individual evaluation of technical suitability and weighing risks/benefits of each modality. In addition, the local expertise and outcome data for the given intervention must be taken into account.<sup>15</sup>

### Careful individual evaluation

The choice of the intervention mode—SAVR or TAVR—for patients at increased surgical risk should take into account the cardiac and extracardiac characteristics of the patient as well as some important anatomical and technical aspects. [Figure 2](#) provides aspects that should be considered for this individual decision. Importantly, current guidelines favour the use of transfemoral TAVR over surgery in elderly patients at increased surgical risk. In the two large studies on intermediate risk, the mean ages were 82 and 80 years and mean STS scores were 5.8% and 4.5%.<sup>8,9</sup> Hence, the study results are valid for comparable patient groups.

### Frailty

Besides specific organ comorbidities, there is growing interest in the assessment of frailty, an overall marker of impairment of functional, cognitive and nutritional status.<sup>26,27</sup> Frailty is associated with increased morbidity and mortality after surgery or TAVR.<sup>28,29</sup> The assessment of frailty should not rely on a subjective approach, but rather on a combination of different objective estimates. Several tools are available for assessing frailty.

### Challenging subgroups

There are a number of challenging subgroups with aortic valve disease that need special attention when considering TAVR. Data remain sparse for some specific patient cohorts excluded from key RCTs: low-flow, low-gradient aortic stenosis; patients with significant coronary artery or concomitant valve disease; aortic stenosis with severe left ventricular outflow tract calcification or previous valve surgery. Moreover, although recent registry data have shown good outcomes for TAVR treatment of bicuspid valves, unique anatomic challenges remain related to differences in annular size, patterns of leaflet calcification

### Aspects to be considered by the Heart Team for the decision between TAVR (+) and SAVR (-) in patients at increased surgical risk

#### Clinical characteristics

- + Age  $\geq$  75 years
- + STS/EuroSCORE II  $\geq$  4%
- + Presence of severe comorbidity (not adequately reflected by score)
- + Previous cardiac surgery
- + Frailty
- + Restricted mobility and conditions that may affect the rehabilitation process after the procedure
- Suspicion of endocarditis



#### Anatomical and technical aspects

- + Favourable access for transfemoral TAVR
- + Porcelain aorta
- + Sequelae of chest radiation
- + Severe chest deformation or scoliosis
- + Presence of intact coronary bypass grafts at risk when sternotomy is performed
- + Expected patient-prosthesis mismatch
- Unfavourable access (any) for TAVR
- Short distance between coronary ostia and aortic valve annulus
- Aortic root morphology unfavourable for TAVR
- Size of aortic valve annulus out of range for TAVR
- Valve morphology (bicuspid, degree of calcification, calcification pattern) unfavourable for TAVR
- Presence of thrombi in aorta or LV
- Cardiac conditions in addition to aortic stenosis that require consideration for concomitant surgical intervention (aneurysm of ascending aorta, severe CAD requiring CABG, septal hypertrophy requiring myectomy, severe primary mitral valve disease, severe tricuspid valve disease)



**Figure 2** Aspects to be considered by the Heart Team for the decision between TAVR and SAVR in patients at increased surgical risk. (+) favours TAVR; (-) favours SAVR. Based on the recommendations formulated in the 2017 ESC/EACTS guidelines on the management of valvular heart disease. EACTS, European Association of CardioThoracic Surgery; ESC, European Society of Cardiology, SAVR, surgical aortic valve replacement; TAVR, transcatheter aortic valve replacement.

and orifice shape when compared with tri-leaflet valves. Different device types may also have different results in these complex patient subgroups.<sup>30–32</sup>

#### Younger patients

Data on TAVR are still very limited for patients below 75 years of age and for surgical low-risk patients, in whom SAVR remains the reference method. It has to be emphasised that younger patients differ with regard to anatomy (more bicuspid valves), which affects the results of TAVR (bicuspid valves were also in general excluded in clinical trials), and that long-term durability data for TAVR prosthetic valves are still lacking.

#### Risks/benefits of SAVR and TAVR

Overall, rates of vascular complications, paravalvular regurgitation (PVR) and pacemaker implantation are significantly higher for TAVR as compared with SAVR. In contrast, severe bleeding, kidney injury and new-onset atrial fibrillation are more frequent with surgery, whereas no difference has been observed in the rate of cerebrovascular events.<sup>7–9</sup> Direct comparisons between valve types are now required to address potential discrepancies in the rates of vascular complication, PVR and pacemaker requirement.



### Vascular complications

Technological innovations continue the drive towards lower-profile delivery systems, and rates of major vascular complications have diminished considerably. In two recent high-risk registry studies examining outcomes after Evolut R implantation using a 14-Fr delivery system, major vascular complication rates were 5.3% and 7.5%, respectively.<sup>33 34</sup> Nevertheless, there are large variations in sheath requirements for currently available TAVR systems (14–22 Fr), and vascular complications remain an important source of immediate-term and long-term comorbidity.

### Paravalvular regurgitation

Moderate or severe PVR was initially reported in 10%–15% of patients treated with TAVR and has been associated with increased mortality.<sup>35</sup> However, more accurate sizing of the aortic annulus based on multislice computed tomography (MSCT) imaging—instead of transoesophageal echocardiography (TOE) imaging—resulted in better PVR outcomes over the past few years. In addition, newer generation transcatheter heart valves have an outer skirt or adaptive seal, and some systems are even repositionable to optimise the implantation position. These improvements have resulted in moderate-to-severe PVR rates <5% in the latest TAVR studies<sup>36 37</sup>—still, further improvements and reduction in the PVR rates will be required for lower risk cohorts with longer life expectancy.

### Pacemaker implantation

Due to the proximity between the transcatheter valve frame extending into the left ventricular outflow tract and the conduction system, heart block with need for permanent pacemaker implantation has been frequent after TAVR. Although new permanent pacemaker implantation adds to the risk of procedural complications and overall cost, it protects against unexpected death, probably due to the inherent risk of complete heart block among patients with severe aortic stenosis. Longer-term follow-up studies will have to investigate the impact of this permanent pacemaker implantation/use on left ventricular function, risk for device-related infection and quality of life. The appreciation of the importance of higher prosthesis implantation as well as introduction of repositionable TAVR systems has lowered the need for permanent pacemaker to <15% for most systems.<sup>38 39</sup>

## TAVR—DIAGNOSTIC WORK-UP AND PROCEDURE

Since the start of TAVR now more than 10 years ago, many aspects have changed—both in the diagnostic work-up as well as in the performance of the TAVR procedure itself. While TAVR was only a treatment option for extreme and high surgical risk patients in the early days, the indication for TAVR has now expanded to lower-risk patients. This

evolution has gone hand-in-hand with a standardisation and simplification of the entire TAVR work flow.

The diagnostic work-up before TAVR was in the early days mainly limited to a TOE and coronary angiography (CAG) including an aortography and angiography of the iliofemoral arteries. In the updated 2017 ESC/EACTS guidelines, it is now clearly stated that MSCT is the preferred imaging tool to assess the anatomy and dimensions of the aortic root, size and shape of the aortic valve annulus, its distance to the coronary ostia, the distribution of calcifications and the number of aortic valve cusps. It is essential to evaluate the feasibility of the various access routes, as this provides information on minimal luminal diameters, atherosclerotic plaque burden, the presence of aneurysms or thrombi, vessel tortuosity and thoracic and left ventricular apex anatomy.<sup>15</sup> Cardiac magnetic resonance—as an alternative technique—is, in this context, inferior to MSCT with regard to assessment of inner vessel dimensions and calcifications. The use of TOE to determine aortic annulus dimensions can still be relevant in patients who cannot undergo a contrast-enhanced MSCT (eg, patients with severely reduced renal function)—however, it is important to realise that TOE remains more operator-dependent and image quality-dependent than MSCT. Still, TOE can still be an important tool for monitoring the procedure and evaluating the results, especially if complications occur.<sup>40</sup>

Concerning the need for a CAG before TAVR, the 2017 ESC/EACTS guidelines mention that a CAG is recommended before valve surgery in all men >40 years of age and postmenopausal women<sup>15</sup>—in accordance, a diagnostic CAG should be considered for all patients referred to TAVR. Combination of percutaneous coronary intervention (PCI) and TAVR has been shown to be feasible but requires more data before a firm recommendation can be made. The chronology of interventions should be the subject of individualised discussion based on the patient's clinical condition, extent of coronary artery disease and myocardium at risk. It is a new recommendation in the 2017 guidelines that PCI should be considered in patients with a primary indication to undergo TAVR and coronary artery diameter stenosis >70% in proximal segments (class IIa, LoE C). It is increasingly accepted to leave borderline coronary artery stenosis and/or stenosis located in more distal coronary segments untreated—only in case of obvious pre-TAVR angina symptoms, treatment of these lesions could be preferred.<sup>15</sup>

In order to standardise the TAVR procedure, it is recommended that these procedures are performed in dedicated heart valve centres. The main purpose of heart valve centres as centres of excellence in the treatment of valvular heart disease is to deliver better quality of care. This is achieved through greater volumes associated with specialisation of training, continuing education and clinical interest. Techniques with a steep learning curve may be performed with better results in hospitals with high volumes and more experience.<sup>41</sup>

The updated 2017 ESC/EACTS guidelines state that aortic valve interventions should only be performed in centres with both departments of cardiology and cardiac surgery on-site and with structured collaboration between the two, including a Heart Team (class I, LoE C). Expertise in interventional and surgical management of vascular diseases and complications must be available. In addition, it is recommended to record data on performance and patient outcome at the level of the given heart valve centre and to participate in national or ESC/EACTS registries.<sup>15</sup>

In accordance with the standardisation of the TAVR procedure—there has been a significant simplification of TAVR, especially within the last few years. The availability of new transcatheter heart valves with smaller insertion profiles has resulted in an increasing number of TAVR procedures performed by transfemoral approach. Even to such an extent that in most large-volume centres, the transfemoral approach accounts for more than 90% of all TAVR procedures. This evolution has been accompanied by—or has (partially) resulted into—a switch from general anaesthesia for all TAVR procedures to mainly TAVR procedures in local anaesthesia with or without conscious sedation.<sup>42–43</sup> In many centres in Europe, general anaesthesia is now only reserved for TAVR procedures which need an alternative access, for example, subclavian, transapical, direct aortic or transcaval approach. Because of this evolution, many other periprocedural aspects have also been simplified: no more use of a central venous line, bladder catheter, stay at intensive care unit—also referred to as ‘minimalistic TAVR’.<sup>43–45</sup> All together, this has resulted in significantly shorter lengths of hospitalisation with often discharge 24–48 hours after TAVR. In addition, this ‘fast track’ TAVR also results in a significant cost reduction, which is increasingly important in current healthcare.<sup>45–46</sup>

### POST-TAVR MANAGEMENT

Patients who receive a surgical or transcatheter heart valve should have a transthoracic echocardiography before discharge. Echocardiography should be routinely performed within 30–90 days to establish baseline valve function, at 1 year after AVR and then annually thereafter. Important parameters to follow are (the temporal evolution of) the transvalvular gradient, the degree of PVR/central regurgitation and the overall left ventricular function.

Regarding antithrombotic treatment following TAVR, the new 2017 ESC/EACTS guidelines state that dual antiplatelet therapy should be considered for 3–6 months after TAVR, followed by lifelong single therapy in patients who do not need oral anticoagulation for other reasons (class IIa, LoE C). Single antiplatelet therapy may be considered after TAVR in the case of bleeding risk (class IIb, LoE C). In patients with TAVR with atrial fibrillation, novel oral anticoagulants should be considered as an alternative to vitamin K antagonists (VKA)

after the third month of valve implantation (class IIa, LoE C).<sup>15</sup>

Recently, subclinical leaflet thrombosis has been reported to occur in bioprosthetic aortic valves, more commonly in transcatheter than in surgical valves. This subclinical thrombosis of bioprosthesis may be more frequent when assessed by cardiac CT and associated with a moderate increase in transprosthetic gradients but the clinical consequences are unknown.<sup>47</sup> It has been speculated that this phenomenon has importance with regard to increased risk of thromboembolic (neurological) events and/or reduced valve durability. Anticoagulation, but not dual antiplatelet therapy, is effective in prevention or treatment of subclinical leaflet thrombosis.<sup>47</sup> Anticoagulant using a VKA and/or unfractionated heparin is the first-line treatment of bioprosthetic valve thrombosis. Despite excellent outcomes after TAVR with the new-generation valves, prevention and treatment of subclinical leaflet thrombosis might offer a potential opportunity for further improvement in clinical outcomes. Two ongoing randomised controlled trials (GALILEO, ATLANTIS) are specifically investigating which antithrombotic strategy might be best following TAVR.

Finally, in case of bioprosthetic valve failure with severe restenosis or aortic regurgitation (eg, degenerative, post-endocarditis), transcatheter valve-in-valve implantation is an option in this higher risk patient population.

### CONCLUSION

Since the 2012 ESC/EACTS guidelines on the management of valvular heart disease, a large amount of new data have accumulated, in particular in the field of TAVR. Indications for TAVR have expanded as there is new evidence for lower-risk populations. This required an update of the recommendations in the new 2017 guidelines. Broadly speaking, patients at higher risk for surgery should receive TAVR—especially if they can be treated by transfemoral approach—and those at low risk—in particular younger patients—should undergo SAVR. The choice of SAVR or TAVR should not simply be based on a risk score or age. The Heart Team must weigh the risks and benefits of both procedures, particularly in the intermediate risk situation. Discussion should include age, comorbidities, anatomy and outcomes of the centre for surgery and transcatheter intervention.

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