

Electron Transfer

Electron-Transfer and Hydride-Transfer Pathways in the Stoltz-Grubbs Reducing System (KOtBu/Et₃SiH)

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Abstract: Recent studies by Stoltz, Grubbs et al. have shown that triethylsilane and potassium tert-butoxide react to form a highly attractive and versatile system that shows (reversible) silylation of arenes and heteroarenes as well as reductive cleavage of C-O bonds in aryl ethers and C-S bonds in aryl thioethers. Their extensive mechanistic studies indicate a complex network of reactions with a number of possible intermediates and mechanisms, but their reactions likely feature silvl radicals undergoing addition reactions and $S_{\mu}2$ reactions. This paper focuses on the same system, but through computational and experimental studies, reports complementary facets of its chemistry based on a) single-electron transfer (SET), and b) hydride delivery reactions to arenes.

Recently, Stoltz, Grubbs et al.^[1] have discovered a simple and elegant system comprising Et₃SiH (2) and KOtBu which achieves a number of remarkable reactions: 1) converting arenes and heteroarenes, and their alkylated counterparts, into silyl-substituted products, often with excellent regiocontrol^[1a-c] (e.g. $1 \rightarrow 3$; Scheme 1); 2) achieving reductive C-S bond cleavage in aryl thioethers (e.g. $4\rightarrow 5$) in a reaction which has potential importance in removing sulfur traces from hydrocarbon fuels;^[1d] 3) triggering reductive C–O bond cleavage in aryl ethers (e.g. $6 \rightarrow 7$) in a reaction with potential applications to controlled lignin degradation.^[1a,d] A number of intermediates likely arise from reaction of these two reagents, and spectroscopic evidence has resulted in informed proposals being made for their structures. These reactions have proved puzzling, but a recent coordinated study by synthetic, mechanistic, and computational chemists has allowed significant advances to be made.^[1e,f] The conclusions are: 1) the combination of Et₃SiH and KOtBu leads to triethylsilyl

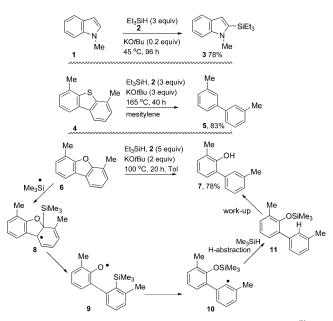
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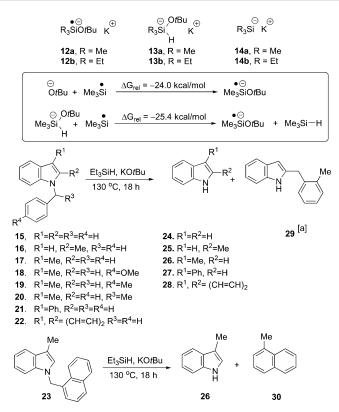
Scheme 1. Selected transformations of the KOtBu/Et₃SiH system.^[1]

radicals which have a major role to play in the reductive cleavage of the C-O and C-S bonds,^[1d] 2) triethylsilyl radicals are also likely to be involved in the silylation reactions, although nonradical routes to the silvlation have also been considered in depth and may also play a central role.^[1e,f] The mechanistic details are not fully in place, for example, on how formation of the silvl radicals occurs, but rational working hypotheses have been advanced.^[1e]

We had wondered if single-electron transfer mechanisms were playing a significant role in some of these reactions, notably for the cleavage of C-O and C-S bonds. An early suggestion^[1a] mentioned pentavalent silicates (e.g. 13b; see Scheme 2) as reagents that were likely involved in the C-O cleavage, but the more recent computational studies on the substrates 4 and 6 instead support an alternative mechanism.^[1d] In this regard, Scheme 1 shows *ipso* addition to the carbon atom of the C-O bond by triethylsilyl radicals, followed by C–O bond cleavage in conversion of 6 into 7.

Our recent interest in reductive chemistry carried out by reactions involving KOtBu attracted us to this area.^[2] Studies mentioned above^[1e] suggest that the reactive species produced could include the radical anion 12b (Scheme 2) and the silicate anion 13b.^[1a,e] Because of their subsequent importance in this paper, we mention here that the radical anions 12 may be formed in a number of ways, two of which are shown (inset) in Scheme 2 (see Figure 14 in Ref. [1e] for an addi-

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Scheme 2. Indole-based substrates as probes of electron-transfer activity. [a] See the Supporting Information for a discussion of the mechanism of formation of this compound.

tional route). For these studies, we used the computationally less costly trimethylsilyl group instead of the triethylsilyl group.^[1d,e] To these, we add the triethylsilyl anion **14b** as another putative intermediate. At first sight, these compounds are potentially excellent electron donors, although, as will be seen below, computational chemistry is very helpful in eliminating species and mechanisms which are unlikely to contribute. In recent years, we have reported on many highly reducing organic electron donors that demonstrate remarkable behavior.^[3] We were therefore keen to test the KO*t*Bu/ Et₃SiH system for evidence of single-electron transfer (SET) activity and, if found, to calibrate the system's reactivity.

A literature search reveals that *N*-benzylindole substrates are reductively cleaved to indoles and toluenes with two reagents—both involving electron transfer. The first uses Birch chemistry^[4] and the second uses low-valent titanium reagents.^[5] Accordingly, we prepared a range of *N*-benzylindole substrates (**15–23**; Scheme 2), to test for cleavage with silane and *tert*-butoxide, and the outcomes are shown in Table 1. In each case, reactions afforded the debenzylated products, while blank reactions (no silane) led to excellent recovery of starting materials. The examples **15–22** also afforded volatile products from the benzyl unit. To counteract this, the naphthylmethyl substrate **23** was subjected to the reaction and afforded 1-methylnaphthalene (**30**), in addition to 3-methylindole (**26**), and recovered **23** (entry 18).

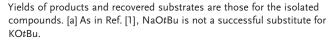
To understand the site of electron transfer in these reactions, we modelled the formation and reaction of two

Table 1: Cleavage of benzyl groups from indole derivatives.

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Entry	Substrate	Silane (3 or 0 equiv)	Base (3 equiv)	Yield [%]	
		o equivy	(s equiv)	Product	Recovered Substrate
1	15	Et₃SiH	KOtBu	24 (29)	_
2	15	-(blank)-	KOtBu	-	(85)
3	16	Ēt₃SiH	KOtBu	25 (49) + 29 (15)	_
4	16	-(blank)-	KOtBu	-	(99)
5	17	Et₃SiH	KOtBu	26 (73)	_
6	17	Et₃SiH	NaOtBu ^[a]	_	(98)
7	17	-(blank)-	KOtBu	-	(88)
8	18	Et₃SiH	KOtBu	26 (76)	_
9	18	-(blank)-	KOtBu	_	(98)
10	19	Et₃SiH	KOtBu	26 (63)	Trace
11	19	-(blank)-	KOtBu	-	(86)
12	20	Et₃SiH	KOtBu	26 (47)	trace
13	20	-(blank)-	KOtBu	-	(93)
14	21	Et₃SiH	KOtBu	27 (80)	-
15	21	-(blank)-	KOtBu	-	(100)
16	22	Et₃SiH	KOtBu	28 (57)	(26)
17	22	-(blank)-	KOtBu	_	(99)
18	23	Et₃SiH	KOtBu	26 (55) + 30 (23)	(23)
19	23	-(blank)-	KOtBu	_	(88)



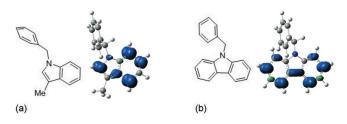
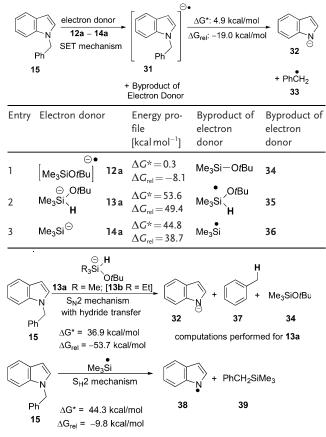


Figure 1. Representations of the spin density of the SOMO of the radical anion of *N*-benzyl-3-methylindole **17** (a) and *N*-benzylcarbazole **22** (b). Geometry optimizations and frequency calculations were carried out in Gaussian^[13] at M062X/6-31 + +G(d,p) level of theory,^[14, 15] with solvation modelled implicitly using the C-PCM model^[16] (For full computational details, see the Supporting Information).

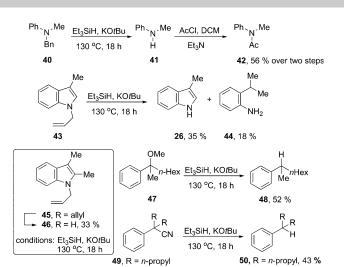
radical anions—those arising by electron transfer to the indole **17** and carbazole **22**. In both cases (Figure 1), the SOMO showed spin density on the heterocycle, rather than on the benzyl group. These data is consistent with the greater delocalization available in either the bicyclic or tricyclic heterocycle for the transferred electron.

We now use computational methods to compare the cleavage of the *N*-benzyl group of **15** by an SET mechanism (Table 2) with the three potential electron donors **12a–14a**. Here it is seen that electron transfer from **12a** to **15** is almost barrierless and is exergonic (entry 1; the scheme also shows facile fragmentation of the radical anion **31**), while the electron-transfer reactions from **13a** and **14a** (entries 2 and 3) show prohibitive energy profiles.

Table 2: Energy profiles for candidate electron transfers to 15.



We also tested energy profiles for the debenzylation reaction with two possible competing pathways (Table 2; lower panels). The first of these recognizes that 13a could be a very powerful hydride-transfer agent and might facilitate an $S_N 2$ reaction, although an unusual one, at the benzylic carbon center. However, transfer of hydride from 13a to 15 shows a barrier of 36.9 kcal mol⁻¹ for the benzyl cleavage, and so this type of reaction will not occur under our reaction conditions in the laboratory. The second competing reaction type would involve an S_H2 reaction by a R₃Si radical at the benzylic carbon center. This path would also be an unexpected reaction, as radical displacements at tetrahedral carbon centers are almost unknown, and indeed the kinetic barrier $(44.3 \text{ kcal mol}^{-1})$ is again insurmountable. From these results, SET from 12a is overwhelmingly the most likely of the computed candidate mechanisms for benzyl group cleavage. In effect, cleavage occurred to afford N-methylaniline, 41, which was converted into the more easily isolated 42 following acetylation (56% over 2 steps; Scheme 3). When the reaction was repeated, but in the absence of Et₃SiH, no cleavage was observed, with the starting material 40 recovered (97%). We next varied the protecting group on our indole substrates from benzyl to allyl. Given that the computational results showed electron transfer to the indole group in the substrates 17 and 22, rather than to the benzyl group, then the reagent should also to be able to cleave Nallylindoles by an SET mechanism, because of the stabiliza-

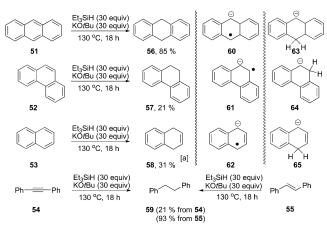


Scheme 3. Reductive cleavage induced by the Et₃SiH/KOtBu system.

tion of the allyl radical leaving group.^[6] Accordingly, the substrates **43** and **45** were prepared. The indole products **26** and **46** were indeed formed from these substrates (35% and 33% respectively). The low yields may indicate the wealth of alternative reactions open to this reagent system. Indeed, a second product was isolated from the reaction of **43**, namely *o*-isopropylaniline (**44**; 18%), although we have not explored the mechanism of its formation as yet. It was clear that the KOtBu/Et₃SiH system is a more than competent electron-donating system.

In a more challenging probe for electron-transfer potency, we subjected the benzyl methyl ether **47** to reduction by this system (Scheme 3). A close analogue of this substrate had proven a very tough substrate in previous studies.^[3h] It did not undergo fragmentation until two electrons had been transferred. In this case, the reduced product **48** was produced in 52% yield [a blank reaction afforded recovered starting material exclusively (62%)]. Additionally, subjecting the nitrile **49**^[7] to the reaction afforded the hydrocarbon **50** as the sole product, consistent with electron transfer followed by loss of cyanide anion.

We calculated the oxidation potential of $12a^{[8]}$ to be E = -3.74 V vs. SCE (MeCN). This potential makes it much more powerful than alkali metals. Such a powerful electron donor should provide a good probe for the Marcus inverted region of SET reactions with substrates that show low reorganization energies, (e.g. polycyclic arenes).^[9] Stoltz, Grubbs et al. reported^[1d] small amounts of partially reduced arenes from reduction of naphthalenes. In our hands, and in the presence of excess of KOtBu/Et₃SiH, anthracene, phenanthrene, and naphthalene all afforded significant amounts of their dihydro counterparts (Scheme 4). These compounds would be expected products from Birch-type electron-transfer processes, but to probe the mechanism we undertook computational studies of electron transfer from 12a to the hydrocarbons 51-53 to yield the corresponding radical anions 60–62. (Table 3) Here, the expected normal order of reactivity is 51 > 52 >**53**.^[10] This order is also reflected in the $\Delta G_{\rm rel}$ values shown in Table 3. However, the reverse pattern is seen for the ΔG^* values. SET to 51 from the radical anion 12a shows an



Scheme 4. Reductions of polycylic arenes by KOtBu/Et₃SiH. [a] Yield determined by NMR spectroscopy.

Table 3: Energy profiles: SET from 12a.

Substrate	Energy profile [kcal mol ⁻¹]	Radical anion product
51	$\Delta G^{\star}:$ 90.0; $\Delta G_{rel}:$ -37.8	60
52	$\Delta G^{\star}:$ 28.3; $\Delta G_{rel}:$ -25.0	61
53	$\Delta G^{\star}:$ 25.7; $\Delta G_{rel}:$ -22.3	62

extraordinary barrier of 90 kcal mol⁻¹,^[11] while reduction of **52** and **53** show progressively lower barriers; if this can be verified by detailed experimental studies, it will be a very rare intermolecular ground-state illustration of the Marcus inverted region, (stronger driving force leads to retarded electron transfer).

In comparison, hydride transfer from **13a** to afford the corresponding anions **63–65** featured low barriers and favorable thermodynamics (Table 4). At least for the reduc-

Table 4: Energy profiles: Hydride transfer from 13 a.

Substrate	Energy profile [kcal mol ⁻¹]	Anionic product
51	ΔG^{*} : 16.7; ΔG_{rel} : -29.4	63
52	ΔG^{*} : 20.0; ΔG_{rel} : -14.8	64
53	ΔG^{*} : 21.7; ΔG_{ral} : -13.2	65

tion of anthracene, hydride transfer from **13a** is indeed likely to occur. With the other substrates, hydride-transfer reactions again show lower barriers than electron transfer from **12a** and this will of course be modulated by the concentration of the reducing species present. Finally, the alkyne **54** and stilbene **55** were reacted and gave (PhCH₂)₂ **59** as the sole product (21 and 93 % respectively; Scheme 4).^[12]

In summary, the KOtBu/Et₃SiH system provides access to a broad range of mechanisms for reductive chemistry, now including electron transfer and hydride delivery to arenes. The electron-donor **12b** is identified as a uniquely powerful agent.

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Conflict of interest

The authors declare no conflict of interest.

Keywords: density-functional calculations · electron transfer · hydrides · reaction mechanisms · silicon

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