# Vitamin D Receptor Gene, Matrix Metalloproteinase 3 Polymorphisms and the Risk of Intervertebral Disc Degeneration Susceptibility: Meta-Analysis

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Several studies have evaluated the association between vitamin D receptor, matrix metalloproteinase 3 (MMP-3) polymorphisms and the risk of intervertebral disc degeneration susceptibility. The findings were inconsistent. This meta-analysis aimed to systematically assess the association between vitamin D receptor, MMP-3 polymorphisms and the risk of intervertebral disc degeneration susceptibility. A search of various databases was done covering all papers published until December 31th, 2014. Eight, 4, 3 studies were finally included that addressed the risk of intervertebral disc degeneration susceptibility and vitamin D receptor Fokl (rs2228570), Apal (rs7975232), and MMP-3 (rs731236) polymorphisms, respectively. Fokl (f vs. F: summary odds ratio [OR], 1.13; 95% confidence interval [CI], 0.76–1.69; ff vs. FF: OR, 1.02; 95% CI, 0.59–1.77; ff vs. Ff/FF: OR, 1.05; 95% CI, 0.70–1.58), Apal (a vs. A: OR, 0.73; 95% CI, 0.45–1.19; aa vs. AA: OR, 0.53; 95% CI, 0.22–1.25 p=0.14; aa vs. AA/Aa: OR, 0.69; 95% CI, 0.53–0.89) in the vitamin D receptor gene and MMP3 polymorphisms (5A vs. 6A: OR, 1.92; 95% CI, 0.77–4.80; 5A5A vs. 6A6A: OR, 2.17; 95% CI, 0.75–6.24; 5A5A vs. 5A6A/6A6A: OR, 1.58; 95% CI, 0.72–3.44) were not obviously associated with risk of intervertebral disc degeneration susceptibility. Fokl, Apal polymorphisms in the vitamin D receptor gene and MMP-3 polymorphisms are not obvious risk factors for intervertebral disc degeneration susceptibility.

**Keywords:** Vitamin D receptor; Matrix metalloproteinase-3; Polymorphism; Meta-analysis

### Introduction

Low back pain (LBP) is universally seen in orthopedic practices. It is one of the most influential factors affecting quality of life and exacts a significant social and economic impact worldwide. It is estimated that 50%–80% adults will experience at least one episode of back pain during their lifetime. The etiology of LBP is still not fully understood, although intervertebral disc degeneration (IDD) is

believed to be a major cause [1,2].

IDD has both genetic and environmental origins. Environmental factors include body weight, mechanical loading, physical activities and smoking may also have a role in the development of IDD. Epidemiological studies on families and twins have provided evidence that inheritance is the major determinant of IDD susceptibility [3,4]. Genes associated with degenerative disc disease in human include genes coding for vitamin D receptor (VDR); matrix

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metalloproteinase 3 (MMP-3); collagens I, IX, and XI; interleukin-1; aggrecan and other proteins [5].

VDR is an important factor in bone metabolism and development. Vitamin D facilitates the intestinal absorption of calcium through the activated VDR, stimulates renal production of 1, 25-(OH)2-vitamin D3 and influences osteoblasts, osteoclasts, and secretion of parathyroid hormone [4]. The 100 kb VDR gene is located on human chromosome 12 (12q12-q14), and has more than 100 restriction endonuclease cutting site polymorphisms [4,6]. Select polymorphic sites that have been studied to assess the association between VDR gene polymorphism and risk of IDD susceptibility include FokI (rs2228570) and ApaI (rs7975232) restriction sites [4,7-12]. However, results have been contradictory conclusions; some studies suggested an association of VDR gene polymorphism with the risk of IDD susceptibility [4,10], while others did not [8,9,12].

MMP-3 mediates disc degeneration by degrading matrix proteoglycans and collagens. The common MMP-3 gene polymorphism is 5A/6A (rs72520913). The polymorphism occurs in the promoter region of the human MMP-3 gene; one allele has a run of six adenosines (6A) and the other allele has a run of five (5A) [6,13,14]. Several recent studies that assessed the association between MMP-3 (rs731236) polymorphism and the risk of IDD susceptibility provided equivocal findings with an association evident in several studies [14-16] but not in another [17].

To provide more clarity, we conducted a meta-analysis.

## **Materials and Methods**

## 1. Literature and Search Strategy

Searches of PubMed, Web of Science, China National Knowledge Infrastructure (CNKI) and WangFang databases were done without language or publication year limitation. The last search was updated on December 31, 2014. Search terms were "vitamin D receptor" or "VDR" or "matrix metalloproteinase-3" or "MMP-3", and "polymorphisms" and either "intervertebral disc degeneration" or "IDD". The reference lists of review articles, clinical trials, and meta-analysis were also screened manually.

#### 2. Inclusion and Exclusion criteria

Inclusion criteria for articles were: (1) evaluation of the

associations between VDR (FokI or ApaI) polymorphisms or MMP-3 polymorphism and the risk of IDD susceptibility; (2) case-control design; (3) sufficient data for cases and controls and (4) allele distributions met Hardy-Weinberg equilibrium (HWE). The exclusion criteria were: (1) not a case-control study, (2) unavailable genotype frequency and (3) duplication of previous publication. Two investigators carefully and independently reviewed all identified studies to determine whether an individual study was eligible for inclusion in this meta-analysis.

#### 3. Data extraction

Data were extracted independently by both two investigators (Y.J.H. and S.J.Z.) using a pre-designed form that contained: (1) name of the first author, (2) year of publication, (3) number of genotypes in cases and controls, (4) country of origin and ethnicity of the study population, (5) source of control subjects, (6) numbers of cases and controls and (7) gender and age of enrolled subjects. Any disagreement was resolved by consensus.

## 4. Methodological quality assessment

The Newcastle-Ottawa Scale (NOS), which is one of the most useful tool for assessing methodological quality of non-randomized studies, was used to assess the risk of bias in the included non-randomized studies. "Selection" (4 items), "comparability" (1 item), "exposure" (3 items) were evaluated, and each "high" quality choice was awarded a "star".

## 5. Statistical Analyses

Review Manger 5.0 software was used for data analysis. For each study, the odds ratios (ORs) and 95% confidence intervals (CIs) were used to evaluate the association. Heterogeneity was estimated by the I2 statistic. If the value of I<sup>2</sup>>50%, the Mantel-Haenszel random-effects model (REM) was used, the source of heterogeneity was investigated by a subgroup analysis and a sensitivity analysis. Alternately, the fixed-effects model (FEM) was used to estimate the pooled OR. Subgroup analysis was performed according to different ethnicity or age. The sensitivity analysis was performed by rejecting each article with high statistical heterogeneity [18]. A p<0.05 was defined as statistically significant.

## Results

#### 1. Characteristics of studies

Fig. 1 presents the search results. Fifteen studies were identified involving the association between the risk of IDD susceptibility and VDR FokI (n=8), ApaI (n=4), and MMP-3 (n=3) polymorphisms. Asian populations were assessed concerning VDR FokI polymorphism in 2 studies and ApaI polymorphism in one study. The remaining studies recruited Caucasians. For the 3 studies that assessed MMP-3 polymorphism, 2 studies evaluated Caucasians and Asians. All subjects included in the studies had blood drawn for DNA extraction. One study used computed tomography (CT) for the detection of IDD [15], with magnetic resonance imaging (MRI) used in other studies. VDR FokI and ApaI polymorphism genotype distribution in control groups were in HWE [18]. The detailed characteristics of the included studies are summarized in Table 1.

## 2. Methodological quality assessment of included studies

The results of the study types and NOS assessments are provided in Table 2. All of the studies were case-control studies. A range of 5-7 stars was gained by NOS, indicating median to high methodological quality of the included studies.

## 3. Meta-analysis results

Eleven studies reported the association between the VDR (FokI, ApaI) polymorphisms and the risk of IDD susceptibility. Characteristics of the individual studies are summarized in Table 1. In the pooled analysis for the association between VDR FokI polymorphism and the risk of IDD susceptibility, the I<sup>2</sup> was 86%; the significant heterogeneity was assessed and found not to be a concern, so a randomeffect approach was used to estimate ORs and CIs. No significant association between VDR FokI polymorphism and the risk of IDD susceptibility was evident (Table 3)

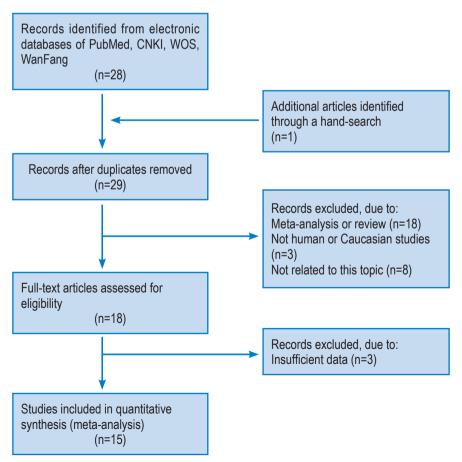


Fig. 1. Flow chart of study selection based on the inclusion and exclusion criteria. CNKI, China National Knowledge Infrastructure; WOS, Web of Science.

Table 1. Characteristics of individual studies for associations between VDR, MMP-3 polymorphisms and IDD risks

			Ethnicity	Sex	Mean age	Genotypes distribution					
Author	Year	Country				Case			Control		
						а	b	С	а	b	С
Fokl (rs2228570)											
Colombini et al. [19]	2014	Italy	Caucasian	Both	40.8	30	120	117	32	99	89
Nunes et al. [12]	2007	Brazil	Caucasian	Both	38	3	54	9	0	27	61
Eser et al. [4]	2010	Turkey	Caucasian	NA	20-30		NA			NA	
Eskola et al. [20]	2010	Danmark	Caucasian	Both	13.1	10	26	30	18	91	45
Kelempisioti et al. [21]	2011	Filand	Caucasian	Both	19	12	57	81	16	119	111
Chen et al. [22]	2007	China	Asian	Both	42.7	12	51	18	17	48	36
Apal (rs7975232)											
Zawilla et al. [15]	2014	Egypt	Caucasian	Both	44.2	17	48	19	34	22	4
Yuan et al. [23]	2010	China	Asian	Both	48.6	58	100	20	128	129	27
Chen et al. [13]	2012	China	Asian	Both	40.3	44	28	9	43	46	12
Kawaguchi et al. [24]	2002	Japan	Asian	Both	22	51	48	17	41	39	9
MMP-3 (rs731236)											
Yuan et al. [14]	2010	China	Asian	Both	48.6	12	107	59	18	126	140
Noponen-Hietala et al. [17]	2003	Filand	Caucasian	Both	59	5	12	12	8	29	19
Zawilla et al. [15]	2014	Egypt	Caucasian	Both	44.2	15	45	24	3	21	36

a, b, c, represent ff, Ff, FF for Fokl (rs2228570), aa, Aa, AA, for Apal (rs7975232), and 5A5A, 6A6A for MMP-3 (rs731236), respectively. VDR, vitamin D receptor; MMP-3, matrix metalloproteinase-3; IDD, intervertebral disc degeneration; NA, not available.

Table 2. Methodological quality assessment of included studies

Studies	Counting	December to the	Newcastle-Ottawa scale				
	Country	Research type	Selection	Comparability	Exposure		
Colombini et al. [19]	Italy	Case-control	***	★☆	* \$ \$		
Nunes et al. [12]	Brazil	Case-control	****	**	<b>★</b> ☆☆		
Eser et al. [4]	Turkey	Case-control	****	★☆	★☆☆		
Eskola et al. [20]	Danmark	Case-control	****	★☆	<b>★</b> ☆☆		
Kelempisioti et al. [21]	Filand	Case-control	****	* *	★☆☆		
Chen et al. [22]	China	Case-control	****	★☆	*☆☆		
Zawilla et al. [15]	Egypt	Case-control	****	**	★☆☆		
Yuan et al. [14]	China	Case-control	****	★☆	* \$ \$		
Chen et al. [13]	China	Case-control	****	★☆	* \$ \$		
Kawaguchi et al. [24]	Japan	Case-control	****	★☆	*☆☆		
Noponen-Hiet et al. [17]	Filand	Case-control	****	☆ ☆	* \$ \$		

(f vs. F: OR, 1.13; 95% CI, 0.76–1.69; p=0.55; ff vs. FF: OR, 1.02; 95% CI, 0.59–1.77, p=0.93; ff vs. Ff/FF: OR, 1.05; 95% CI, 0.70–1.58; p=0.81). Subgroup analysis stratified

by ethnicity and age also confirmed the above results, with no significant association evident in Caucasians (f vs. F: OR, 1.12; 95% CI, 0.70-1.78, p=0.64) or Asians

Table 3. Summary of ORs for various genetic contrasts on the associations between VDR, MMP-3 polymorphisms and the risks of IDD

Sub-group		Test of ass	Test of heterogenecity		
	OR	CI	Statistical model		<i>p</i> -value
Fokl (rs2228570)					
Caucasian	1.12	0.70-1.78	REM	88.0	0.65
Asian	1.26	0.83-1.92	FEM	0.0	0.28
≥0.4	0.95	0.68-1.33	REM	63.0	0.77
<0.4	1.40	0.52-3.76	REM	94.0	0.5
Fokl (rs2228570)					
f vs. F	1.13	0.76-1.69	REM	86.0	0.55
ff vs. FF	1.02	0.59-1.77	REM	56.0	0.93
ff vs. Ff/FF	1.05	0.70-1.58	REM	55.0	0.81
Apal (rs7975232)					
a vs. A	0.73	0.45-1.19	REM	83.0	0.21
aa vs. AA	0.53	0.22-1.25	REM	72.0	0.14
aa vs. AA/Aa	0.69	0.53-0.89	REM	86.0	0.004
MMP-3 (rs731236)					
5A vs. 6A	1.57	0.93-2.68	REM	73.0	0.09
5A5A vs. 6A6A,	2.17	0.75-6.24	REM	61.0	0.15
5A5A vs. 6A5A/6A6A	1.58	0.72-3.44	REM	39.0	0.15

OR, odds ratio: VDR, vitamin D receptor: MMP-3, matrix metalloproteinase-3: IDD, intervertebral disc degeneration: Cl. confidence interval: REM. random-effects model; FEM, fixed-effects model.

(f vs. F: OR, 1.26; 95% CI, 0.83-1.92). No associations were evident with age ≥40 years (f vs. F: OR, 0.95; 95% CI, 0.68–1.33; *p*=0.77) or age <40 years (f vs. F: OR, 1.40; 95% CI, 0.52–3.76; p=0.50). Sensitivity analysis was performed by the sequential removal of the studies; no one study influenced the results significantly.

ORs and CIs results were also estimated using a random-effect approach owing to the significant heterogeneity ( $I^2$ =83%). The pooled results indicated no association between VDR Apal polymorphism and the risk of IDD susceptibility too (Table 3) (a vs. A: OR, 0.73; 95% CI, 0.45–1.19, *p*=0.21; aa vs. AA: OR, 0.53; 95% CI, 0.22–1.25, p=0.14; aa vs. AA/Aa: OR, 0.69; 95% CI, 0.53-0.89, p=0.04). The sensitivity analysis confirmed the above conclusion. As limited studies were included for the investigation, subgroup analysis could not be performed.

Results of the association between MMP-3 polymorphism and the risk of IDD susceptibility are presented in Table 2. No significant association was evident (5A vs. 6A: OR, 1.57; 95% CI, 0.93-2.68, p=0.09; 5A5A vs. 6A6A: OR, 2.17; 95% CI, 0.75–6.24; *p*=0.15; 5A5A vs. 5A6A/6A6A: OR, 1.58; 95% CI, 0.72–3.44; p=0.15). Sensitivity analysis suggested that no individual study significantly affected the overall OR. We did not perform subgroup analysis because the sample size was too small.

#### 4. Publication bias

Publication bias was not established. It was not suitable to do so because the number of included studies for each gene polymorphism was less than 10.

## **Discussion**

IDD is a multifactorial and chronic process that alters the structure and function of intervertebral discs, which is a common multifactorial and multigenic disease [20,23]. The association between gene polymorphism and the etiology of IDD has become a hot research field in recent years. This will bring positive significance for the prevention and treatment of IDD. Some studies have reported at least 14 genes including the VDR and MMP-3 gene that

may have more important influence on the susceptibility of IDD [22,24,25]. The association between VDR gene polymorphism and IDD susceptibility has been validated in large populations of diverse ethnic backgrounds. The association between MMP-3 polymorphism and IDD susceptibility has been a hot topic in recent years, with no consensus reached. To provide clarity, we undertook the present meta-analysis.

VDR is a steroid nuclear receptor, which participates in normal bone mineralization and remodeling. The

association between VDR polymorphisms and the risk of IDD susceptibility has been investigated for long time. FokI (rs2228570) and ApaI (rs7975232) are two polymorphic sites of VDR gene involved the risk of IDD susceptibility. To our knowledge, only one meta-analysis has been performed, with no significant association evident between VDR polymorphism and the risk of IDD susceptibility [26]. We reached the same conclusion (Figs. 2, 3). Subgroup analysis stratified by ethnicity and age also confirmed the result. Most of the included studies did

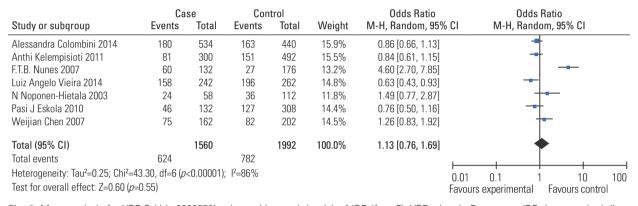


Fig. 2. Meta-analysis for VDR Fokl (rs2228570) polymorphism and the risk of IDD (f vs. F). VDR, vitamin D receptor; IDD, intervertebral disc degeneration; M-H, Mantel-Haenszel; Cl, confidence interval.

	Cas	Case		Control		Odds Ratio	Odds Ratio	
Study or subgroup	Events Total		Events	Total	Weight	M-H, Random, 95%	CI M-H, Random, 95% CI	
Weijian Chen 2012	116	162	132	202	24.3%	1.34 [0.85, 2.09]		
Yoshiharu Kawaguchi 2002	150	232	121	178	25.0%	0.86 [0.57, 1.30]		
Yuan Han-yan 2010	216	356	385	568	27.8%	0.73 [0.56, 0.97]	-	
Zawilla, N.H. 2014	82	168	90	120	22.8%	0.32 [0.19, 0.53]		
Total (95% CI)		918		1068	100.0%	0.73 [0.45, 1.19]	•	
Total events	564		728					
Heterogeneity: Tau <sup>2</sup> =0.20; Chi <sup>2</sup> = Test for overall effect: Z=1.27 (p		=0.0005);	l <sup>2</sup> =83%				0.01 0.1 1 10 1 Favours experimental Favours control	100

Fig. 3. Meta-analysis for VDR Apal (rs7975232) polymorphism and the risk of IDD susceptibility (a vs. A). VDR, vitamin D receptor; IDD, intervertebral disc degeneration; M-H, Mantel-Haenszel; Cl, confidence interval.

	Case Events Total		Control			Odds Ratio	Odds Rat	tio
Study or subqroup			Events	Total	Weight	M-H, Random, 95% CI	M-H, Random,	. 95% CI
Hanyan-Yuan 2010	131	356	162	568	40.9%	1.46 [1.10, 1.93]	-	
N Noponen-Hietala 2003	22	58	45	112	27.3%	0.91 [0.47, 1.74]	-	
Zawilla, N.H. 2014	75	168	27	120	31.8%	2.78 [1.64, 4.70]	-	-
Total (95% CI)		582		800	100.0%	1.57 [0.93, 2.68]	•	•
Total events	228		234			_	,	
Heterogeneity: Tau <sup>2</sup> =0.16; Chi <sup>2</sup> = Test for overall effect: Z=1.68 (		0.02); l <sup>2</sup> =	73%			0.1		10 100
rest for overall effect. Z=1.00 (	)-0.007					Fa	vours experimental Fa	avours control

Fig. 4. Meta-analysis for MMP-3 (rs731236) polymorphism and the risk of IDD susceptibility (5A vs. 6A). MMP-3, matrix metalloproteinase-3; IDD, intervertebral disc degeneration; M-H, Mantel-Haenszel; CI, confidence interval.

not eliminate non-genetic risk factors including obesity, smoking and heavy physical occupations, so it remains possible that a potential association was obscured by some environmental factors. Moreover, VDR polymorphisms have been reported to be significantly associated with the multilevel and severe forms of IDD [13,27]. Therefore, a conclusion still cannot be made and a large-scale and long-term study is still needed.

MMP-3 is a key enzyme that directly degrades components of the extracellular matrix including proteoglycans, laminin, fibronectin, gelatins and collagens. MMP-3 also indirectly affects degradation of the extracellular matrix by activating other latent MMPs [19,28]. This is the first meta-analysis addressing the association between MMP-3 gene polymorphism and the risk of IDD susceptibility. The collective results (Fig. 4) indicate no significant association. Sensitivity analysis revealed that no single study significantly influence the pooled effect. The association between MMP-3 gene polymorphism and the susceptibility of IDD could not be easily excluded since only 3 related studies were included in this meta-analysis; further studies were warranted for a reliable result in the future.

The meta-analysis has been recognized as an effective method to resolve a wide variety of clinical questions by summarizing and reviewing the previously published quantitative research. Still, there are several potential limitations of current meta-analysis. Firstly, this meta-analysis was based on unadjusted effect estimates, so a more precise analysis should be conducted if individual data were available. Secondly, IDD is a multifactor disease determined by the synthetic effect of environment and gene, interactions between genes and between genes and the environment and even between different polymorphic loci of the same gene. These interactions may mask or magnify the function of the involved genes. Thirdly, there are methodological limitations. Although all the included studies were case-control designed, not all controls in the studies were age or sex matched, which may have been a cause of the pronounced heterogeneity in this analysis. The age range (teenager to aged may have been another confounding factor. Finally, the different imaging modalities (CT and MRI) used for the detection of IDD may have been confounding.

## Conclusions

In summary, the current meta-analysis comprehensively

reviewed the association between VDR (FokI, ApaI) and MMP-3 polymorphisms and the risk of IDD susceptibility. None of the polymorphisms were significantly associated with the risk of IDD susceptibility. Since potential confounders could not be ruled out completely, further well-designed large studies particularly referring to genegene and gene-environment interactions are warranted.

## Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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