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Major Functional Transcriptome of an Inferred Center Regulator of an ER(-) Breast Cancer Model System

Li-Yu Daisy Liu^{2,*}, Li-Yun Chang^{1,*}, Wen-Hung Kuo³, Hsiao-Lin Hwa^{1,4}, Yi-Shing Lin⁵, Shiu-Feng Huang⁶, Chiung-Nien Chen³, King-Jen Chang^{3,7} and Fon-Jou Hsieh¹

¹Department of Obstetrics and Gynecology, College of Medicine, National Taiwan University, Taipei, Taiwan. ²Department of Agronomy, Biometry Division, National Taiwan University, Taipei, Taiwan. ³Department of Surgery, College of Medicine, National Taiwan University, Taipei, Taiwan. ⁴Department and Graduate Institute of Forensic Medicine, College of Medicine, National Taiwan University, Taipei, Taiwan. ⁵Welgene Biotech. Co., Ltd., Taipei, Taiwan. ⁶Division of Molecular and Genomic Medicine, National Health Research Institutes, Miaoli County, Taiwan. ⁷Cheng Ching General Hospital, Taichung, Taiwan. *These authors contributed equally to this work. Corresponding author email: fjhsieh@ntu.edu.tw

Abstract: We aimed to find clinically relevant gene activities ruled by the signal transducer and activator of transcription 3 (*STAT3*) proteins in an ER(-) breast cancer population via network approach. *STAT3* is negatively associated with both lymph nodal category and stage. *MYC* is a component of *STAT3* network. *MYC* and *STAT3* may co-regulate gene expressions for Warburg effect, stem cell like phenotype, cell proliferation and angiogenesis. We identified a *STAT3* network *in silico* showing its ability in predicting its target gene expressions primarily for specific tumor subtype, tumor progression, treatment options and prognostic features. The aberrant expressions of *MYC* and *STAT3* are enriched in triple negatives (TN). They promote histological grade, vascularity, metastasis and tumor anti-apoptotic activities. *VEGFA*, *STAT3*, *FOXM1* and *METAP2* are druggable targets. High levels of *METAP2*, *MMP7*, *IGF2* and *IGF2R* are unfavorable prognostic factors. *STAT3* is an inferred center regulator at early cancer development predominantly in TN.

Keywords: *STAT3*, transcriptional regulatory network, microarray, grade, vascularity

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Introduction

Cancer is one of the major killing diseases worldwide. Among them, breast cancer (BC) has quickly become the most commonly diagnosed malignancy of women in Taiwan during the past decade.¹ Such a global disease has its own heterogeneity clinically and molecularly. Up to now, ER(-) breast cancer population still needs as many efficient therapies as ER(+) one has. The poor prognostic features for both triple negatives (TN) and ERBB2+ have been considered as the top two killing subtypes in breast cancer.² A recent review on TN tumors³ pointed out that TN is a heterogeneous group of multiple molecular subtypes of breast cancer.

To resolve the complexity of disease like cancer using systems approaches, which have integrated transcriptomic data into molecular network, they show promise in their ability to classify tumor subtypes, predict clinical progression, and inform treatment options.^{4,5} We proposed to search for the transcription factors critical to a subset of ER(-) breast tumor development with the aid from the new statistical approach⁶ on analyzing genome-wide gene expression data of 91 infiltrating ductal carcinoma (IDCs).

Many transcription factors have been predicted to be determinants of clinical indices in 91 IDCs. Their clinical niches are under investigation. In this study, we are interested in unraveling the role(s) for the most recognized signal transduction pathways in both mammary gland and breast cancer development involving the *STAT3* protein,⁷⁻¹⁰ which is a transcription factor (TF). The Stat family of transcription factors is known to have diverse roles in mammary gland development.⁷ *STAT3* is widely overexpressed in breast cancers.¹¹ It has been classified as a proto-oncogene⁸ and suggested as a therapeutic target of cancers in model systems.¹² Up to now, roles of *STAT3* in clinical breast cancer population study remain either controversial or not completely understood. For instance, the prognostic value of *STAT3* in human breast cancer remains controversial and associations range from favorable to unfavorable.¹³ We revisit this research topic because we noticed an elevated expression of *STAT3* in triple negatives as compared to that in ERBB2+ in the cohort (77A). This could be subtype enriched transcriptional activities in causing unique pathological phenotypes. We would like to use this established method of ours—CIDUGPCC to

unravel the potential network activities of *STAT3* in a subtype enriched manner. Network medicine may be desirable in future medicine.^{14,15}

Here, we proposed the diagnostic and/or prognostic roles of *STAT3* transcriptional regulatory network to be predicted at a global transcriptome scale in a clinical breast cancer population. Furthermore, the annotated gene activities of *STAT3* subnetworks will be supported by inferred biochemical pathways, patients diagnostic result(s), clinical outcome and published research evidence by others.

Materials and Methods

Features of surgical specimens in 103 breast cancer gene expression profiles

We obtained 91 specimens of primary infiltrating ductal carcinoma of breast (IDC) consisting of five subgroups. They are triple negatives(48/91), ERBB2+(29/91), ER(-)PR(+)/HER(-)(5/91), ER(-)PR(+)/HER(+)(6/91) and ER(-)PR(+) but HER(?) (3/91). Five specimens for metaplastic carcinoma of breast (MCB) were included in this study. Seven non-tumor samples were surgically taken from breast tissue adjacent to some of 91 ER(-) IDC breast tumors as a control. Those samples were obtained from patients who underwent surgery at National Taiwan University Hospital (NTUH) between 1998 and 2007. Breast cancer samples containing relatively pure cancer as defined by greater than 50% tumor cells per high-power field examined in an adjacent section of tumor sample are for this study.¹⁶ All patients had given informed consent according to the guidelines approved by the Institutional Review Board at NTUH. Total dataset for 103 gene expression profiles (103A) used in this study has been submitted to NCBI-GEO. Its accession number is GSE32641. Both TN and ERBB2+ are major subpopulations among four subtypes of ER(-) breast cancer cohort. In addition, the mRNA levels of both *STAT3* and *MYC* are elevated in TN but are in low expression mode in ERBB2+ (Fig. 3). We decided to only pick up those two subtypes of ER(-) IDCs (77 IDCs) for entire network study to control the confounder from a small number (N = 14A) of other subtypes in 91 IDCs. However, some comparisons were made among 91 IDCs, 5 MCBs and 77 IDCs in this study.



Immunohistochemical (IHC) stain of paraffin-embedded breast cancer slides

A standard biotin-streptavidin-peroxidase procedure with automated IHC systems (Benchmark, Ventana Medical Systems, USA) was used. For visualization of immunostain, we applied diaminobenzidine as a chromogen (*i view*TM DAB Detection Kit, Ventana Medical Systems, USA). The primary antibodies described inside parentheses were used for detection of ER α (ER-SP1, Ventana Medical Systems, Inc., Tucson, AZ, USA), PR (PGR-5D10, Abnova, Taipei City, Taiwan) and ERBB2 (polyclonal antibody against human c-erbB2 antigen, Dako Cytomation, Denmark) (dilution ratio at 1:800), respectively. The final IHC stains of ER, PR and ERBB2 (HER) on slides were examined by two experienced pathologists (SMJ and HCL). For ERBB2 (IHC score: 2+), determination of Her-2/neu gene copy number by chromogenic *in situ* hybridization (CISH) was performed (Figs. 4A and B). The CISH study results were evaluated with a regular light microscope (Nikon E600) and they were counted by a pathologist (SFH).¹⁷

A noninvasive vascularity detection *in vivo* and baseline characteristics of the studied patients

Mammography has been the mainstay of breast cancer screening worldwide. It can detect the microcalcification in breast lesions for stage 0 breast cancer patients. However, microcalcification in breast lesion is difficult to be detected by mammography for Asian women as compared to Caucasian women. Alternatively, a noninvasive Doppler ultrasound has been applied for breast cancer screening in Asian women population (e.g. Taiwanese women) who have the morphological view of breast tissue denser than those of Caucasian women.¹⁸ Both vascular patterns and vascular density are quantitatively measured in tumor section using this technique in coupling with a computed image processing system, which automatically calculate the density of vascular signals (designated as “vascularity index”) within the tumor tissue of interest.¹⁹ Vascularity index (VI) measures both microvasculature and macrovasculature at once. In particular, color Doppler ultrasound was reported to be able to depict larger vessels of approximately 100 μ m or larger in diameter *in vivo*.^{20,21} Clinically, the *in situ* detection of biochemical activity for a sonogram of interest

via immunohistochemistry reveals the remodeling vascularity in tumor section may be traced by sonograms. The angiosonograms are *in vivo* evidence of angiogenesis. The performance for detecting angiogenesis activities of breast tumors using color Doppler ultrasound that now is routinely used at NTUH. We have gathered some angiosonograms for patients in this study. The following clinical variables were studied: ER, PR, HER, lymphovascular invasion (LVI), lymph node metastasis (LYM), number of lymph node positive (LNM), age (years: <48 or >48), tumor size (in centimeters), histological grade (including nuclear pleomorphism (NP), mitotic count (MC) and tubule formation (TF)) and clinical stage (Table 2).

Microarray data analyses

A gene profile per breast tumor specimen was analyzed using Human 1A (version 2) oligonucleotide microarray (half a genome size: 22k).¹⁶ We used a new measure of association called coefficient of intrinsic dependence (CID) in combination with Galton Pearson’s Correlation Coefficient (GPCC) to analyze the continuous variables (e.g. the gene expression profiles) genome-wide. We built a transcriptional regulatory network by performing the analysis of CID-TFUGPCC (Fig. 1). The brief flow of entire analyses involving the CID procedure explains how those get extended in this study (Fig. 2).

The correlation between the mRNA level of a selected TF and the clinical index was analyzed by applying analysis of variance (ANOVA) to dichotomous and multichotomous indices, respectively.²² We, therefore, selected the clinical significant subpopulations for network approach using the analysis of CID-TFUGPCC.

CID has the advantage of identifying indirect (or non-linear) regulatory association between a TF and its target gene. On the other hand, GPCC preferentially identifies direct (or linear) regulatory association between a TF and its target gene. Thus, it is necessary to combine both unique regulatory actions of a TF on its target gene to construct a TF mediated transcriptional regulatory network. The rationale of using both methods together has been described in Liu et al. (2009).⁶ In this study, we use hierarchical clustering²³ to subgroup data before CID measurements that is to replace quantile clustering described previously.⁶ It is the key clustering strategy in mimicking a

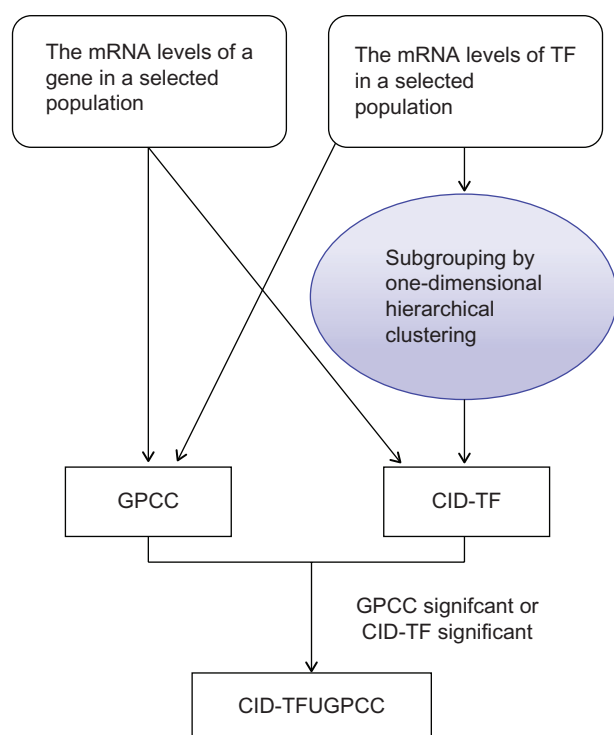


Figure 1. The core methodology of CID-TFUGPCC. A procedure for producing a transcriptional regulatory network of interest *in silico* via performing the combined method—CIDUGPCC is presented as follows. A selected dataset, which contains gene expression profiles of a population with mixed categories of interest, was chosen for study. The mRNA levels of a selected transcription factor in the given dataset were analyzed by the combined statistical measures (i.e. CID and GPCC) to produce 2^2 possible sets of results. Before CID measurement, a clustering strategy is applied to divide a pool of data, which contains different mRNA expression patterns of the TF within each subpool.

Notes: The statistically identified target gene of a TF is determined by either significance ($P \leq 0.05$) in CID-TF or in GPCC. “TF” stands for a transcription factor.

pre-programmed transcriptional activity mediated by a selected TF to be clustered into a subgroup for CID analysis. We also take advantage of a unique feature of CID in measuring the association for a small N in each subgroup ($N \approx 10$). After hierarchical clustering on the data in a given sample population, we set the final number of subgroups to be rounding number for 1/10th the total sample number (i.e. 8 subgroups for 77A) before CID measurement. The mRNA data partitioning for a perturbed transcription factor in a given population may be clustered based on the similar gene expression patterns. Only some unique situations may need other clustering strategies²⁴ before CID measurement. We evaluate significance ($P \leq 0.05$) of both statistical measurements. If either one or both show significance, we point arrows from the TF toward its predicted target gene pool to form a network (Fig. 1).

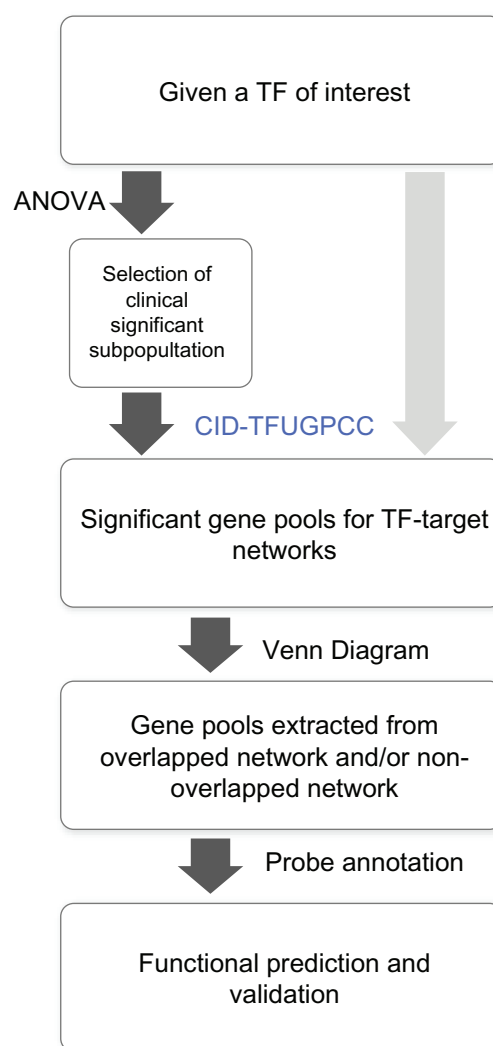


Figure 2. The flow chart of steps involved in establishing the transcriptional regulatory network in relation to biochemical phenotypes, malignant phenotypes and clinical outcomes. A self-contained summary of the CID procedure is presented. A major scheme (linked by dark arrows) includes (1) ANOVA test on a TF of interest against seven clinical indices; (2) CID-TFUGPCC analyses on a selected population based on its significant features evaluated by ANOVA; (3) Venn Diagram analysis on the selected gene pools (networks) from the results of step (2); (4) Functional validation of a subnetwork of interest derived from step (3) by its gene expression patterns in different clinical indices, by its prediction in clinicopathological features and by its supporting literature documentation. A side scheme (linked by light arrows) is based on the same dataset but using a different TF to carry on steps (2)–(4).

We used Gene Spring GX7.3.1 (Agilent Technologies, USA) for generating Venn Diagrams. This analysis allows gene pools to be extracted from overlapped network and/or non-overlapped ones when two networks of interest are compared. In addition, for biochemical pathway profiling of a selected transcriptional regulatory network, we included gene pools in the signal transduction pathways from both KEGG and NCBI databases to be compared with



those in the network. We also evaluated the common gene pools shared by network and clinicopathological parameter(s). Based on current version of gene annotation from NCBI database, we were able to do functional prediction on gene lists in subnetworks within the selected network. We demonstrated gene expression patterns of those subnetworks in heatmaps along with (1) their relevant clinical categories; (2) their prediction power for the new clinicopathological feature(s); and (3) their supporting literature documentation to further functionally validate network results.

The heatmaps showing gene expression patterns were displayed after unsupervised hierarchical clustering. Three steps are included as follows. First, the log₂ ratio for each gene was first centered by subtracting the mean across all samples to discriminate the subclass of the dataset. Then, the selected gene expression profiles were fed into software for displaying gene list (Y axis) and patient arrays (X axis) based on unsupervised hierarchical clustering analysis on gene profiles of selected arrays. Lastly, to generate feature color bars underneath of the heatmap, we only locate each feature of interest based on the final heatmap display.

We evaluated the significance ($P \leq 0.05$) for a time course changes in the number of survival cases after surgical removal of tumor parts in two patient groups by Kaplan-Meier curves of overall survival.²⁵ Those two groups were split from a given population based on their mRNA expression levels of a probe of interest (i.e. the ranking status for high expression levels of a selected probe in a group is at top 10% of the given population as compared to that in another group.) or were two selected patient groups based on their distinct features.²⁵ Those two selected patient groups have follow-up data extending for less than 20 year period.

Computing *P*-values for results from univariate CID and GPCC analyses

The univariate CID result for a given TF was designated as CID-TF. Instead of all subgroups having an equal size ($N \approx 10$),² we divided the cohort by hierarchical clustering²³ (described in method as above) to mimic biological systems in which similar expression pattern in a subgroup may reflect the similar biological event shared by the members within a subgroup. The subgroup was designated as *j*. For instance, each subCID value of the assigned subgroup (*j*) is determined in part by the sample size of the subgroup *j*, a constant value and

the two times square of difference between cumulative distribution function (CDF) of the mRNA levels for a gene *Y* in the subgroup *j* and the average CDF of the mRNA levels for a gene *Y* in a given population. Gene *Y* stands for a potential target gene of a TF of interest. Total CID value demonstrates the degree of dependence between a TF and its target gene.⁶

To access the significance of univariate CID values generated via *in silico* analyses and to facilitate comparison among data derived from different types of methods, the univariate CID value, *S*, was compared with the values generated by random sampling mimicking the data distribution of gene *Y* that is independent on the data partitioning of an assigned TF. In 77A study, the independent data distribution was derived from randomly drawing 77 simulated values for an artificial gene and put the appropriate number of data for this gene in each subgroup that is the same as the sample size in each pre-clustered subgroup. We re-computed the subCID value of each subgroup and added them together to be a new CID value (*K*). This was repeated 1,000 times and yields 1,000 of CID values ($K_i, i = 1-1,000$). The *P* value was determined by an equation (i.e. $P = (1 + N_{(K_i \geq S)})/1,001$, where $N_{(K_i \geq S)}$ is the number of K_i values greater than *S*). The *P* values for GPCC measurements were computed using asymptotic normal theory.²⁶ We set the cut off point for *P* value of both methods to be significant when $P \leq 0.05$.

Results and Discussion

STAT3 is critical to ER(-) breast tumor development

CID-*STAT3*UGPCC pulls a gene pool containing potential target genes of *STAT3*. Based on current version of functional annotation for each potential target gene of *STAT3* (NCBI database), we have only observed a few cancer related activities described in the text below. Most of those genes are shared determinants of seven clinical categories. The global pathophysiological activities of *STAT3* are not visible because the limiting factor (i.e. each gene expression profile per tumor sample containing half a genome size of probes is used for analysis) allows the amount of genes in a network being partially retrieved. Importantly, a few malignant phenotypes as well as prognostic factors are reflected by the gene components in the selected *STAT3* subnetworks indicating the important role of *STAT3* in an ER(-) breast cancer population (Tables 1 and 3).



Table 1. Significance in clinical outcomes for target genes in *STAT3* & *MYC* overlapped network based on survival analyses on ER(-) IDCs (91A) or ER(-) BC (96A*) or 77 ER(-) IDCs (77A#). The survival curve of *IGF2*, *IGF2R*, *METAP2* and *MMP7* in ER(-) BC can be seen in Figs. 7C and S4.4.

Increased expression level	Gene symbol (Feature No.)	P value	Pathways
Poor prognosis	<i>IGF2</i> (21991)	0.009#	Angiogenesis
Poor prognosis	<i>IGF2R</i> (13825)	0.039	Angiogenesis
Poor prognosis	<i>METAP2</i> (9356)	0.049*; 0.025#	Angiogenesis and cell proliferation
Poor prognosis	<i>MMP7</i> (21090)	0.039; 0.043*; 0.039#	EMT and FOXC1 network

Notes: An asterisk (*) is for 96A which contain gene expression profiles for 91IDCs and 5MCBs. A symbol (#) is for 77A which contain gene expression profiles for 48TN and 29 ERBB2+.

Major clinical implications of *STAT3* in 77 breast cancer gene expression profiles

STAT3 is a negative determinant of lymph nodal category and of stage in ER(-) infiltrating ductal carcinoma of breast (Fig. 3). We selected those gene pools, which contain *STAT3* and are relevant in two clinical indices, to find their shared gene cluster by Venn Diagram analysis (Fig. 4C). We observed that both *ARNT* (also known as HIF1B: the beta subunit of a heterodimeric transcription factors –HIF1 and HIF2) and *MYC* were two transcription factors in a *STAT3* cluster for two clinical indices (Table S1.1). Only *MYC* but not *ARNT* was predicted to be a target gene of *STAT3* transcriptional regulatory network (Table S1.2) although there were at least 70 transcription factors and/or their subunits within this network. The inferred *STAT3* target genes in clinically significant (CS) *STAT3* transcriptional regulatory network (4,418 probes) has broad effects on seven clinical categories typically in NP, LNM and stage (Data not shown). Only a few genes are also found in Warburg effect (*IDH3G*), metastasis (*BOP1*, *FOXC2* and *MMP17*) and angiogenesis (*TYMP*)(Table 3). Whereas transcription factor *ARNT* but no *STAT3* was found to be in a *MYC* cluster for three clinical indices (Table S1.3). Interestingly, a *MYC* transcriptional regulatory network shared by those three clinical indices predicted *STAT3* to be a *MYC* target gene (Fig. 5B and Table S1.4). This indicates

Table 2. The pathological information (Clinicopathological parameters) for ERBB2+, triple negatives (TN) and metastatic carcinoma of breast (MCB).

Clinical index	Status	Number of patients		
		TN	ERBB2+	MCB
ER	0	48	29	5
	1	0	0	0
PR	0	48	29	4
	1	0	0	1
HER	0	48	0	4
	1	0	29	0
Stage	NA	0	0	1
	1	13	4	0
	2	26	13	4
	3 and 4	9	12	1
	NA	0	0	0
LYM	0	29	8	4
	1	17	20	1
LVI	NA	2	1	0
	0	24	8	2
	1	23	14	0
Age (years)	NA	1	7	3
	<48	12	9	0
Grade	>48	36	20	5
	1	0	0	0
	2	16	11	0
	3	31	16	2
	NA	1	2	3
TF	1	0	0	0
	2	8	0	0
	3	38	24	0
NP	NA	2	5	5
	1	0	0	1
	2	12	11	0
	3	34	13	0
MC	NA	2	5	4
	1	11	5	0
	2	15	10	0
	3	20	9	0
Tumor size	NA	2	5	4
	1	16	5	0
	2	25	17	2
	3	6	7	3
LNM	NA	1	0	0
	0	30	9	0
	1	10	8	0
	2	3	5	0
	3	5	5	0
	NA	0	2	5

both *MYC* and *STAT3* mutually regulating each other (Fig. 5A). Therefore, we hypothesized that clinical roles of *STAT3* may be mainly the combined effect of *MYC* and *STAT3* regardless of more than 70 transcription factors being inferred target genes of *STAT3* (Table S1.2).

**Table 3.** Up regulated cancer related genes in the overlapped network of clinically significant (CS) *STAT3* or of CS *MYC* & *STAT3* and their predicted phenotypes.

Features	Cancer related genes	
Network	CS <i>STAT3</i> overlapped network and its gene subpools non- and overlapped with genes in LNM and/or stage	CS <i>MYC</i> & <i>STAT3</i> overlapped network (Fig. 4D)
Gene pool(s)	281probes (LNM enriched) or 233 probes (stage enriched) or 354 probes (LNM and stage enriched)	268 probes
Warburg effect	IDH3G	NA
Metastasis	FOXC2; BOP1; MMP17	MMP17; BOP1
Angiogenesis	TYMP (ECGF1)	NA
Anti-apoptotic activity	NA	<i>MYC</i> & <i>STAT5a</i>

Note: "NA": data not available.

MYC is known to be an oncogene.²⁷ We observed aberrant expressions of both *MYC* and *STAT3* predominantly in TN as compared to those in ERBB2+ (Fig. 3). Its pathological roles in TN are of our interest. We selectively investigated a *STAT3* mediated transcriptional regulatory network involving co-regulation with *MYC* in TN during tumor progression. Many transcription factors were statistically identified to be target genes of both *MYC* and *STAT3* (Tables S1.4 & S1.5). Differential expressions of 268 probes were predicted to be significantly regulated by *MYC* and *STAT3*. They are commonly found in three clinical indices (LNM, LVI and Stage) of 77 ER(-) IDCs (Fig. S3.8 and Table S1.6). The potential pathological roles contributed by this core network are summarized in a bar chart (Fig. 4E). Major clinical niches of this core network fall into two categories (LNM, stage). In addition, we found LVI, NP, LYM, MC, grade, size, TF and age to be also determined by *STAT3* in coupling with *MYC*, in part. Major gene activities of this core network (241/268) were found to be commonly significant in TN subtype and relevant to those clinical categories except 27 probes (27/268) (Table S1.7 and Fig. S3.8). The annotation for their biological and cancer related roles are in Table S1.7. Notably, both *MMP17*, *STAT5a* were predicted to be up-regulated by *MYC* and *STAT3* (Fig. S3.8). Membrane-type 4 matrix metalloproteinase (*MMP17*) promotes breast cancer growth and metastases.²⁸ Deregulated *MYC* expression

up regulates a known antiapoptotic survival pathway *in vivo* involving *STAT5a*.²⁹ As such, those authors speculated that *MYC* may require activation of *STAT5* as a mechanism to avoid apoptosis in the processes of tumor initiation and progression. Other annotated gene functions in 268 probes (Table S1.6) should not be only limited as they are now because each gene product may have more than one function to potentially predict its sum activities driven by *MYC* and *STAT3* in relation to breast cancer development. Future studies will be needed to support our findings.

Hunting for malignant phenotypes indicated in *STAT3* network in relation to multiple steps of tumorigenesis

We reasoned that *STAT3* is mainly coupling with *MYC* to be involved in activities of tumorigenesis in *STAT3* network. They may include activities documented from *in vitro* studies by others.

Firstly, we tested TN enriched *MYC* & *STAT3* overlapped transcriptional regulatory network for their predicted gene activities in signal transduction pathways. Nine common signal transduction pathways (Fig. 4F and Tables S2.1–2.9) were tested to be regulated by both *MYC* and *STAT3*, in part. Genes within overlapped network of *MYC* & *STAT3* in seven signal transduction pathways showed their expression patterns in heatmaps (Tables S3.1–S3.7). We determined the most relevant biochemical activities as the candidates for identification of malignant phenotypes enriched in TN.

In addition, we observed genes involved in epithelial mesenchymal transition (EMT) and Warburg effect to be in an overlapped network of *MYC* & *STAT3* (Table S1.5). As a result, we selected four *STAT3* subnetworks co-regulated by different combination of TFs (Figs. 5C–F). Those subnetworks and their corresponding dynamic changes during tumor development are presented in Figure 6. We concluded that four enriched activities are sustained angiogenesis, cell proliferation, EMT and Warburg effect. Two distinctive subnetworks were further illustrated along with their relevant clinical implications (Fig. 7).

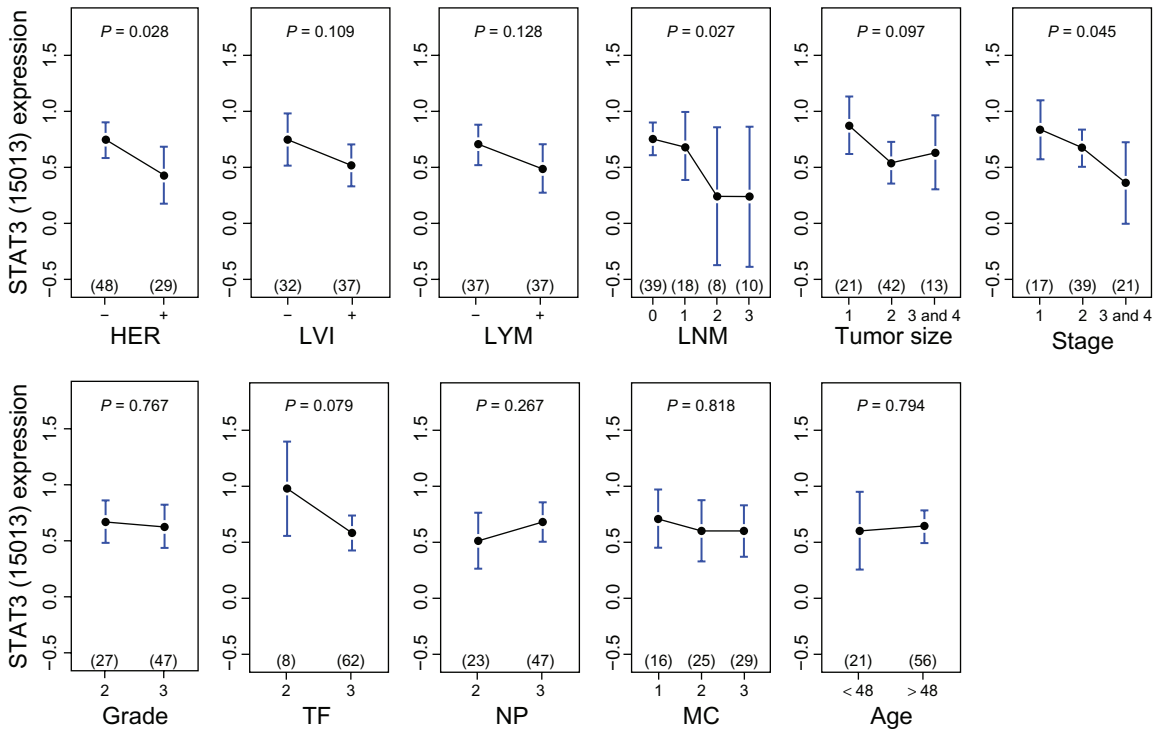
Four functional subnetworks to be present in biochemical and/or malignant phenotypes enriched in TN

In this study, only five metaplastic carcinoma of breast (MCBs) were available to be compared with



A

a. STAT3 (15013) in ER(-) IDCs (77A)



b. STAT3 (4386) in ER(-) IDCs (77A)

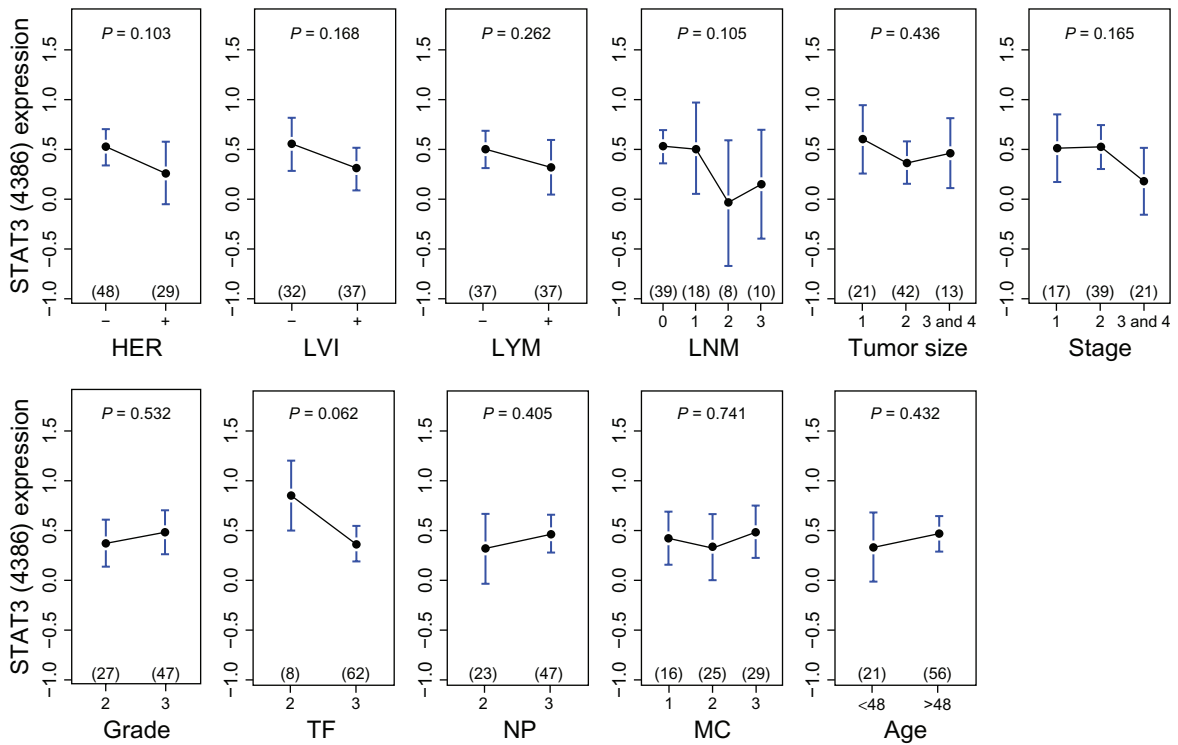
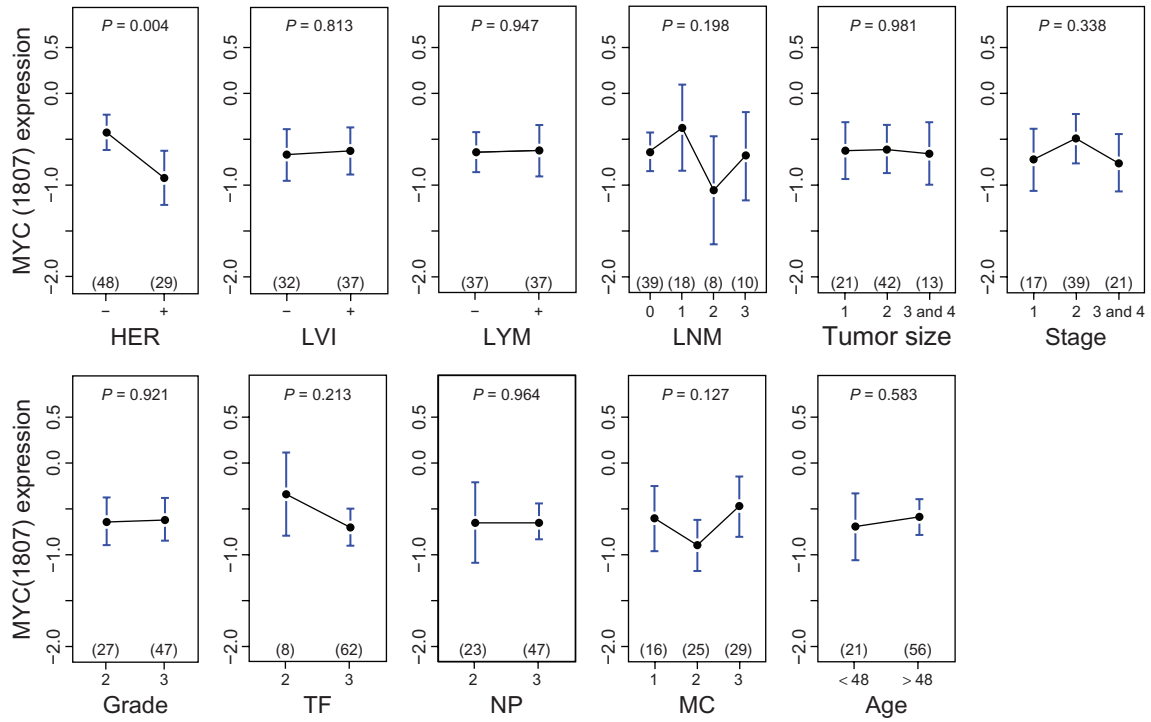


Figure 3. (Continued)



B

a. MYC (1807) in ER(-) IDCs (77A)



b. MYC (9452) in ER(-) IDCs (77A)

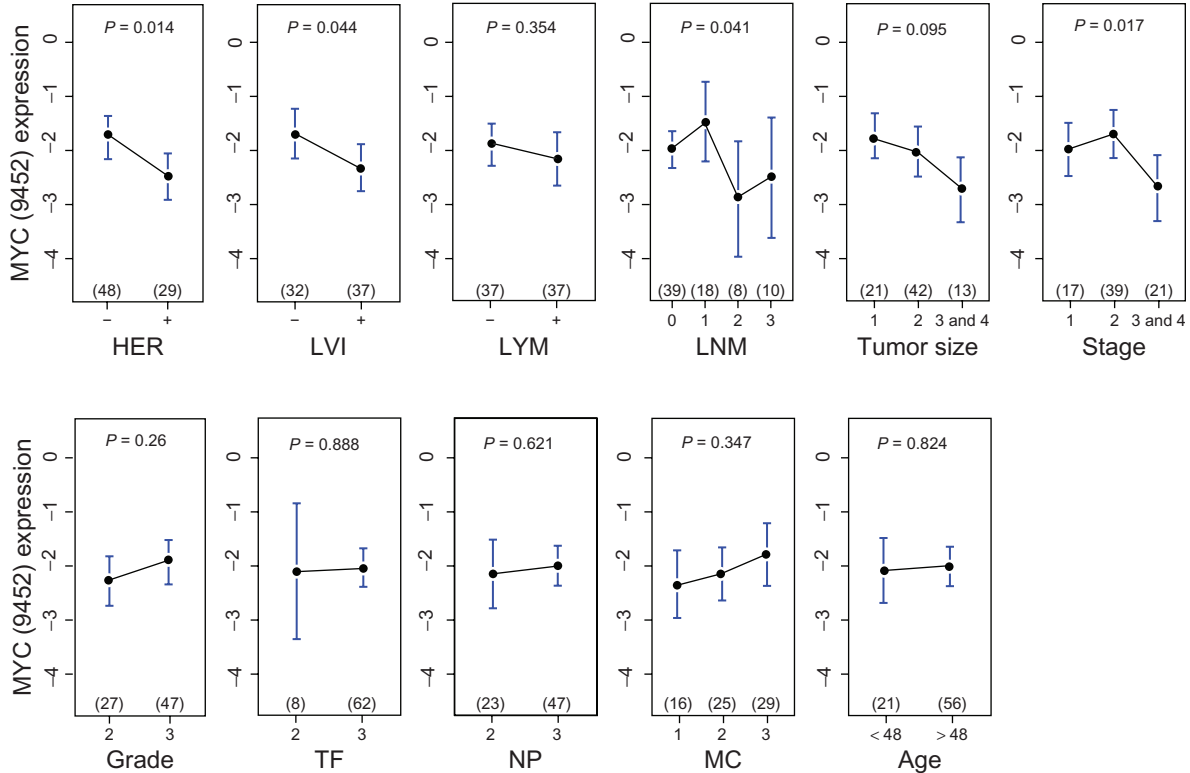


Figure 3. Upper panel, univariate analyses of *STAT3* mRNA levels on seven clinical indices—HER, LVI, lymph nodal category (LYM, LNM), age, tumor size, grade (Nuclear pleomorphism, Mitotic count, Tubule formation) and stage in ER(-) IDCs (A). Two transcript variants (A_a, A_b) are analyzed. Lower panel, univariate analyses of *MYC* mRNA levels on seven clinical indices in ER(-) IDCs (B). Two transcript variants (B_a, B_b) are analyzed.

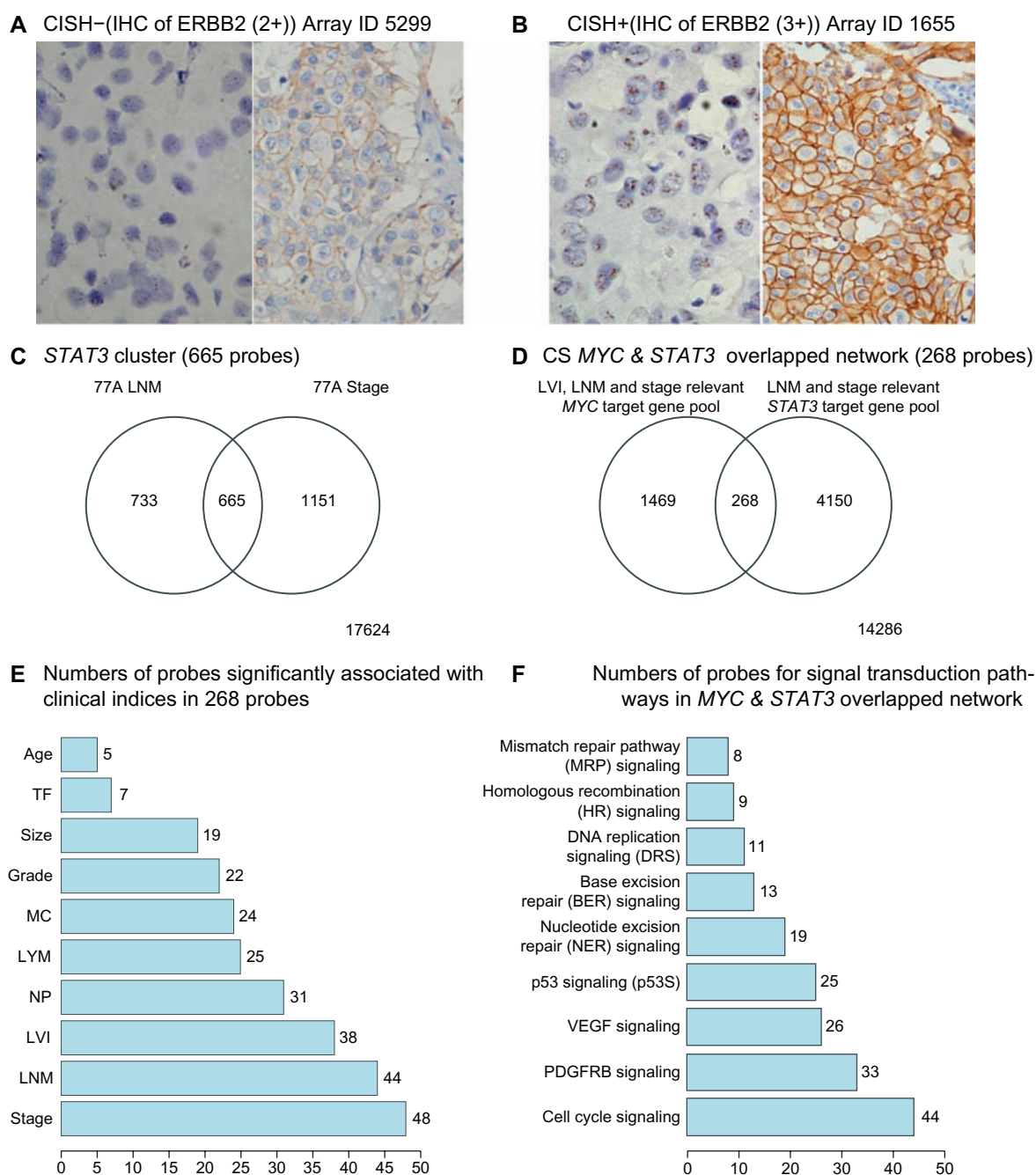


Figure 4. Examples of ERBB2 status determined by results of both CISH (left side picture) and IHC (right side picture) in (A) and (B). Two Venn Diagrams represent the key results from hunting for the main components of *STAT3* network. (C) is for gene pools significantly associated with 2 clinical indices (including *STAT3*, *MYC*, and *ARNT*) that include their non- and overlapped genes. (D) is for target gene pools of both *MYC* and *STAT3* networks that are also clinically significant (CS) including their non- and overlapped genes. (E) is a bar chart of probe number within 268 probes to be overlapped with probes in ten clinicopathological parameters, respectively. (F) is a bar chart of probe number within 6,606 probes, which are in *MYC* and *STAT3* overlapped network, for their probes overlapped with probes in nine assigned signal transduction pathways, respectively.

two subtypes of ER(-) IDCs by their heatmaps although a large number samples will be needed for future validation. Five MCBs¹⁶ are triple negatives (Table 2) and were included to test if *MYC* & *STAT3* regulatory activities are shared among ER(-) breast cancer subtypes.

A metabolic transcriptome involving *STAT3* network Warburg effect in ER(-) IDCs was mainly due to *MYC* directed transcriptional up regulation on *PC*, *OGDH*, *GLS*, *LDHB* and *IDH3G* that was predicted by *MYC* transcriptional regulatory network. Only *PC*, *OGDH* and *IDH3G* were predicted to be co-regulated

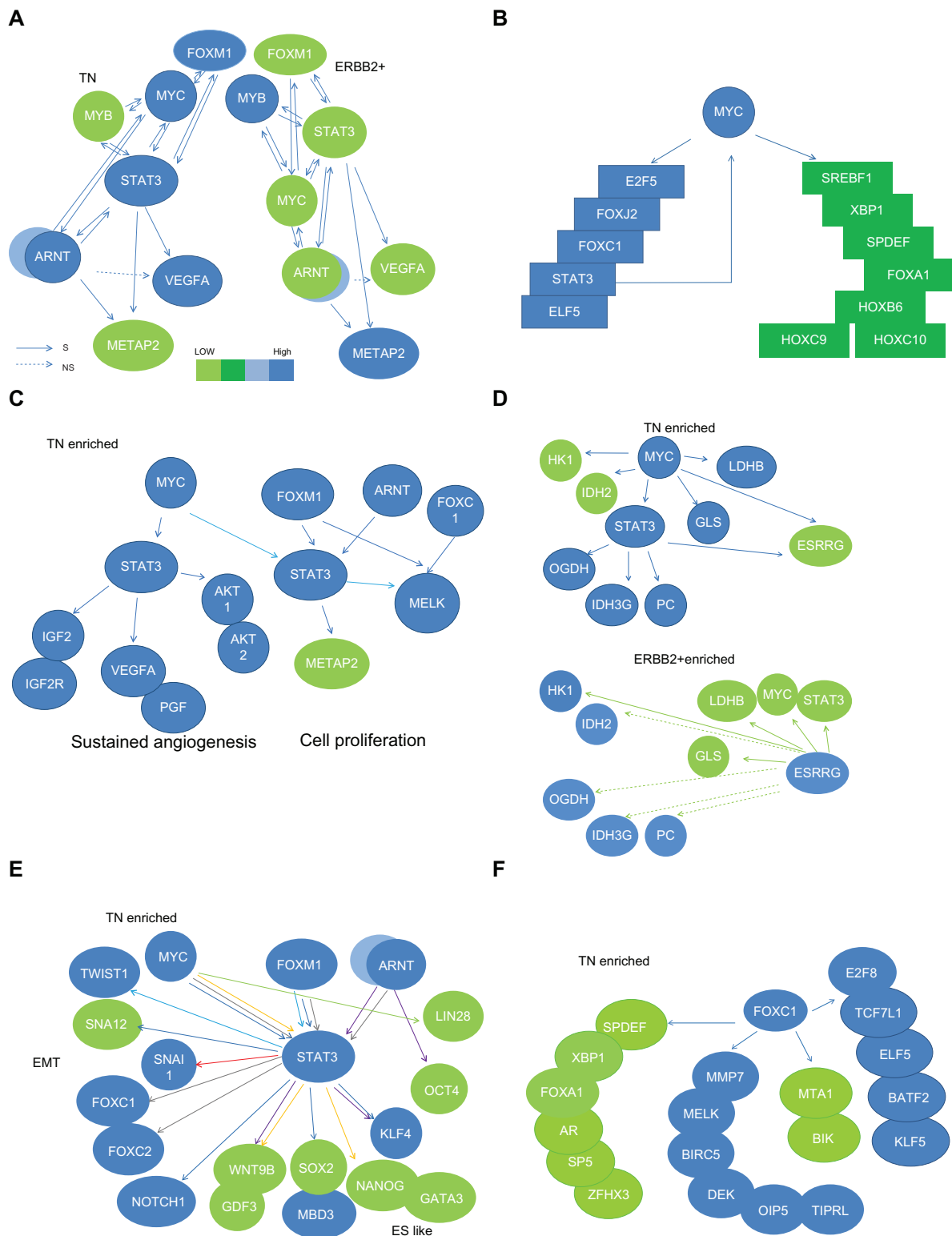


Figure 5. Functional analyses on STAT3 gene partners in TN via (1) Predicted subnetworks derived from genes in STAT3 & MYC overlapped network in both TN and ERBB2+ breast cancer gene expression profiles (**A**) and key genes in MYC core network of three clinical indices (**B**); (2) Feature functionalities of major target genes for STAT3 in two STAT3 subnetworks (sustained angiogenesis and cell proliferation) are either commonly co-regulated by MYC and/or differentially co-regulated by FOXM1 or ARNT (**C**). Those in a STAT3 subnetwork for Warburg effect are co-regulated by ESRRG (**D**). A subset of genes regulated by multiple combined routes of MYC & STAT3, FOXM1 & STAT3, ARNT/HIF1A & STAT3, ARNT/HIF2A & STAT3 or STAT3 for ES like phenotype (**E**). A FOXC1 subnetwork (**F**) is a part of activities for cell proliferation and EMT. Majority components of this subnetwork are significantly associated with mitotic counts.

Notes: Solid/dashed lines stand for specific pathway identified as significant/insignificant in gene expression relationship between a TF and a target gene. Each arrow points to its downstream target and only the combined routes toward the same target gene are labeled with the same color.

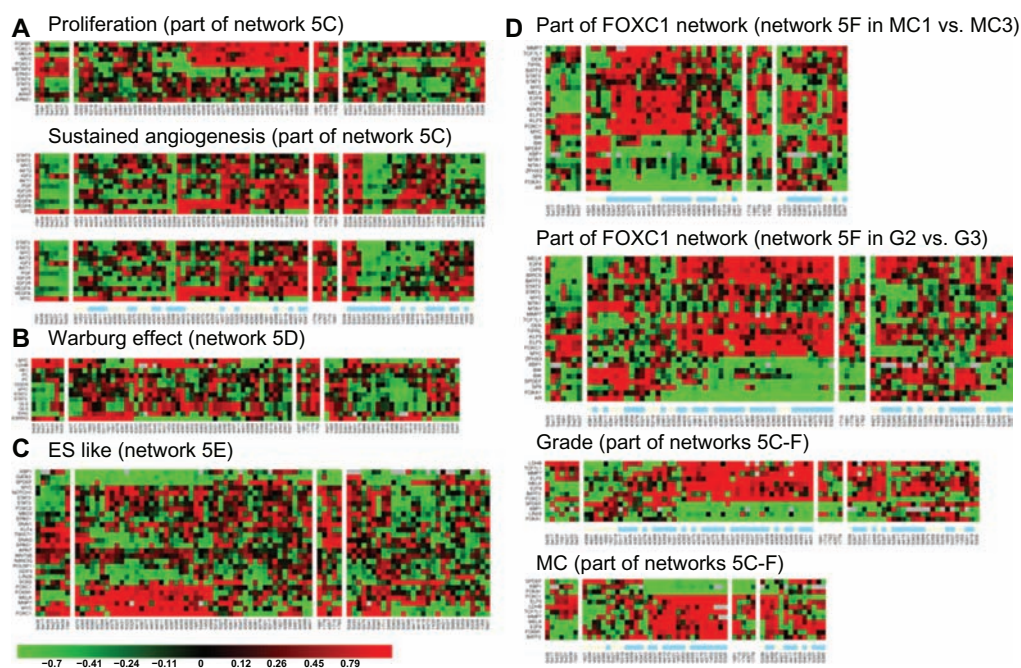


Figure 6. Heatmaps for subnetworks of *MYC* & *STAT3* differentially coupling with *ARNT*, *FOXM1* in different clinical indices and subtypes of breast cancer. Non-tumor part (NT) serves as a control. Unsupervised gene expression patterns were clustered for subnetworks of three altered biological events (**A**, **B**, and **C**). Networks of *MYC* & *STAT3* in coupling with other transcription factors as well as a *FOXC1* subnetwork are observed to functionally promote grade development (**D**) and sustained angiogenesis (**A**). The color bar underneath of the heatmap shows beige color for earlier pathological status and light blue color for later pathological status of each denoted clinical index. For instance, at right panel of Figure 6 shows heatmaps for part of *FOXC1* network, subnetworks in Figs.5C-F. At lower panel of Figure 6A, the color bar underneath the heatmaps indicates patients with differential activities of sustained angiogenesis to be not related to their LNM status.

Notes: “G2”, “G3” stand for histological grade 2, 3, respectively. “MC1”, “MC3” stand for mitotic count 1, 3, respectively.

by *STAT3* (Figs. 5D and 6B). In TN enriched *MYC* network, *GLS* and *LDHB* were predicted to be down-regulated by *ESRRG* and to be *ESRRG* target genes. No significant tumor suppressive event predicted to be regulated by *ESRRG* was found except that down regulation of *LDHB* may decrease mitotic counts (Fig. 6D and Table S4.1) in ER(–) breast cancer. Interestingly, *LDHB* was up-regulated in non-tumor part which may suggest its physiological role. In addition, *ESRRG* may up regulate *HK1* in ERBB2+ that is a determinant of LVI and of tumor size (Table S4.1 and Fig. 5D). We observed that MCBs show similar gene expression patterns for Warburg effect as compared to TNs (Fig. 6B).

Phenotype like mesenchymal stem cells in tumor

pathogenesis involving action of *STAT3* network

Both *MYC* and *STAT3* have been identified to contribute in Stemness.³⁰ In triple negatives, we observed up-regulating expressions of *TWIST1*, *SNAI1*, *FOXC1* and *FOXC2*, in the overlapped network driven by *MYC* and *STAT3* to suggest some of EMT gene activities. Part of their roles is known as epithelial repressing TFs

or mesenchymal activating TFs.³¹ After clinicopathological characterization of those subnetworks, we immediately observed *FOXC1* to be heavily involved in cell proliferation and *MELK* related proliferative activities (Figs. 5C, F, 6C, D, Table S4.1). *MELK* is a determinant of histological grade and of mitotic count in ER(–) IDCs (Table S4.1). *MELK* has been documented to be up-regulated during mammary carcinogenesis, a poor prognostic factor of breast cancer and a promising therapeutic target for multiple cancers.^{32–35} Many transcription factors besides *FOXC1* in *MYC* & *STAT3* overlapped network are also determinants of mitotic count (Table S4.1). In addition, it predicted *KLF4* and *NOTCH1* to be up-regulated predominantly in TN via *MYC* and *STAT3* co-regulation. This suggests that some of ES like phenotype ruled by *MYC* & *STAT3* transcriptional regulation(s) may increase the invasiveness of TN. On the other hand, the counter parts of TN tumor specimens also express some of those transcription factors (the pluripotent factors) supporting the finding for breast stem/progenitor cell markers in both normal and tumor samples.³⁶ Those gene activities of *TWIST1* and *SNAI1* in normal

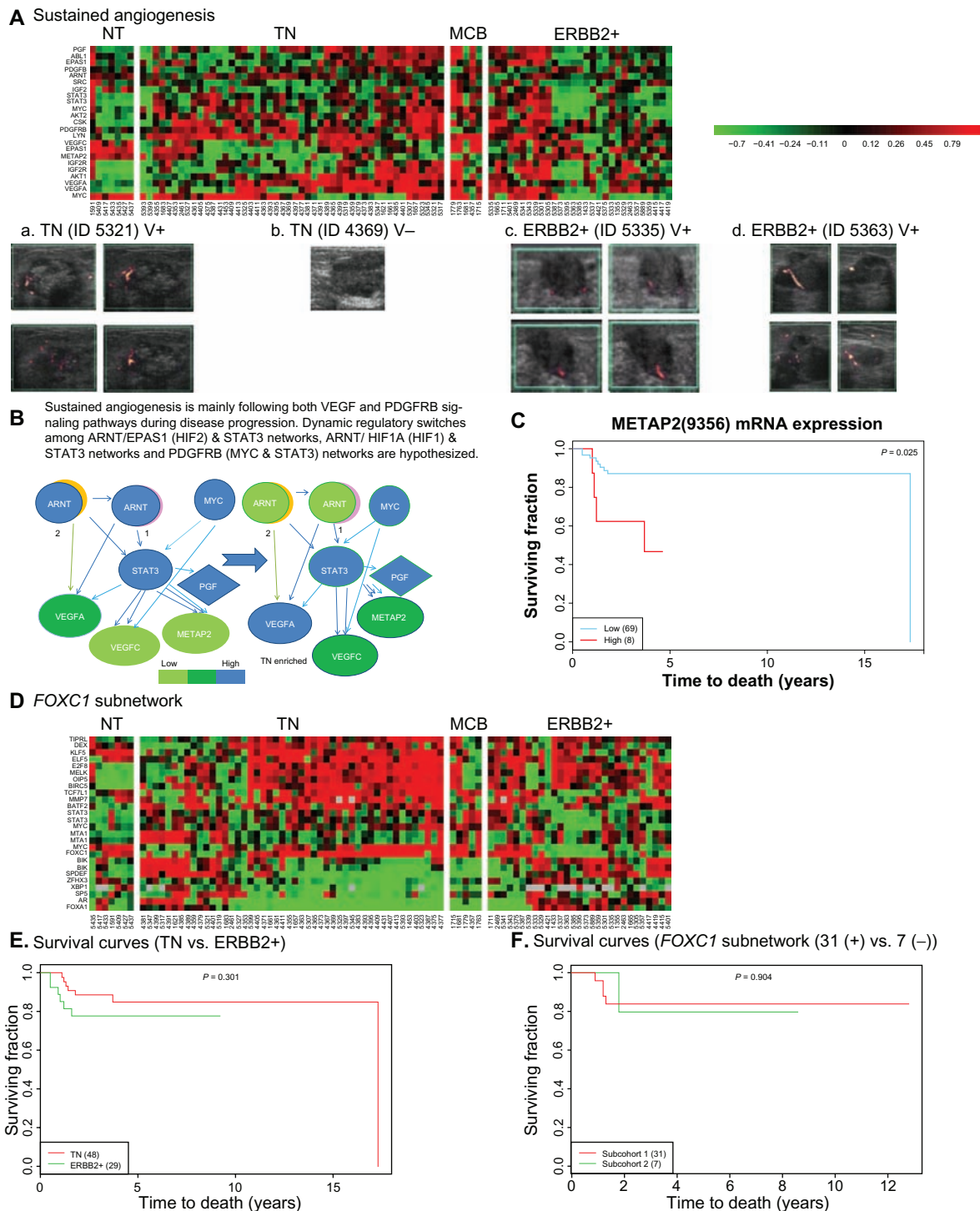


Figure 7. Further evaluation on two novel gene sets predicted to be involved in tumor angiogenesis and mitotic count promotion, respectively. Two heatmaps are displayed for subnetworks of MYC & STAT3 differentially coupling with ARNT/HIF1A, ARNT/HIF2A, and FOXC1 in three subtypes of breast cancer (TN, MCB, and ERBB2+) (A, B, and D). Their related clinicopathological phenotype- vascularity (a-d) and prognostic features (C, E, and F) are demonstrated. Non-tumor part (NT) is the control. Upper panel shows at least two transcriptional regulatory networks interacting with the center regulator-STAT3 in co-regulating sustained angiogenesis. We pulled genes together based on their activities shared with two signal transduction pathways (i.e. VEGF and PDGFRB in Fig. 4F). Those gene expression levels are shown in the heatmaps (A). A series of *in vivo* sonographic images (a-d) show that tumor vascularity would validate the predicted gene activities shown on the heatmaps (A) for two subtypes (TN and ERBB2+) of ER(-) IDCs. Dissecting those gene activities driven differentially by at least two transcriptional regulatory networks, one diagram of this hypothesis is shown in (B). METAP2 is a component of sustained angiogenesis and is predicted to be a poor prognostic factor. “V+” stands for positive vascularity. “V-” stands for negative vascularity. “1” stands for HIF1. “2” stands for HIF2. Lower panel shows a FOXC1 transcriptional regulatory subnetwork enriched in TN but not significant in prognosis. The heatmaps show mRNA levels of probes in FOXC1 subnetwork enriched in TN (D). The subtype difference between TN and ERBB2+ in their survival probability after surgical removal of tumor is not significant (E). A subset of patients with high activity of FOXC1 subnetwork (subcohort 1) is not significant to be a predictor for poor prognosis in TN when it is compared with low activity one (subcohort 3) (F).



development of the ductal network during mammary gland development as well as in promoting distant metastasis for breast cancer progression have been documented.³⁷

Other published data showed that *HIF1* and *HIF2* actively induced EMT event via coupling with the existing *STAT3* in tumor setting.³⁸ It supported the prediction shown in Figure 5E. Typically, they found that *HIF2* α correlates with distant recurrence and poor outcome in invasive breast cancer.³⁸ However, no significant findings for the potential role of *HIF2* α (*EPAS1*) based on this study (Table S4.1). We observed high expression level of *HIF2* α mRNA in the heatmap of tumor adjacent counterpart (Fig. 6C), although all the current research evidence by others suggested no critical role for *HIF2* α during mammary gland development. Its protein level is undetectable in some non-tumor part.³⁹ Therefore, our finding on a relatively high mRNA expression level of *HIF2* α in non-tumor samples deserves future study in a large cohort. For this subnetwork, MCBs show similar gene expression pattern with a subset of TN and ERBB2+ (Fig. 6C).

Increased tumor survival mechanism via sustained angiogenesis involving *STAT3*

We hypothesized a pathway for sustained angiogenesis, which is proved to be significant in the overlapped network of *MYC* & *STAT3*. Fig. 5C shows that it includes the IGF2-IGF2R-PLC_2 axis⁴⁰ and VEGF signaling (KEGG database). Based on network approach and signal pathway profiling, it infers both *HIF1* and *HIF2* interacting with *STAT3* to lead a functional transcriptome mainly consisting of *METAP2* and *VEGFC* possibly for sustained angiogenesis. *VEGFA* is a direct target gene of *HIF1*⁴¹ and *STAT3*.⁴² In the meantime, we observed both *MYC* and *STAT3* networks that may rule both VEGF and PDGFRB signal transduction pathways to sustain angiogenesis during ER(-) breast tumor development. The cross talking between two pathways for the sustained angiogenesis is via *STAT3* (Fig. 7B).

The activation of PDGFRB signal transduction pathway has been documented⁴³ during lymphangiogenesis involving activity of *VEGFC*. It involves *STAT3*-mediated *MYC* expression for Src transformation and PDGF-induced mitogenesis.⁴⁴ We observed the predicted actions of *ARNT* in regulating *PDG-*

FRB, *VEGFC* and *VEGFA* during stage progression. In addition, *METAP2* is within *STAT3* & *ARNT* overlapped network and were predicted to be regulated during LVI progression (Data not shown). We also found that c-Src mRNA expression was associated with LVI in ER(-) IDCs (Table S4.1). C-Src is activated by *METAP2*⁴⁵ that allows the activation of *STAT3* to functionally regulate *STAT3* network (see the mechanism in a paragraph below). We, therefore, hypothesized that other transcriptional regulatory pathways, such as *HIF1/STAT3* and *HIF2/STAT3*, may control in expressions of *VEGFA*, *VEGFC* and *METAP2* during tumor angiogenesis in ER(-) population.

In the meantime, we observed from the heatmaps (Figs. 7A and S3.6) that the non-tumor part showed relatively low expressions of genes in PDGFRB signaling pathway, suggesting that it may slightly participate in *VEGFC* induced vascularity as well as in constitutive activity of *METAP2* for physiological states in non-tumor part of breast tissue. Further comparison on the relative mRNA levels of a few key angiogenesis related genes in box plots (Fig. S4.3) revealed difference among TN, ERBB2+ and non-tumor part. MCBs appear to have sustained angiogenesis and their gene expression patterns are similar to subsets of patients in both TN and ERBB2+ (Fig. 7A).

Based on the hypothesis shown in Figure 7B, we suspected that blocking the activities of *METAP2* will indirectly inhibit angiogenesis in ER(-) IDCs. The supporting data by others are (1) C-Src is activated by *METAP2* that is involved in the cotranslational removal of protein initiator methionine of c-Src⁴⁵; (2) Functional c-Src is found to activate transcriptional activity of *STAT3* via tyrosine phosphorylation on Y705⁴⁵; (3) Both *VEGFA* and *VEGFC* are target genes of *STAT3*.^{46,47} Together, we proposed that the inhibitory mechanism on angiogenesis by targeting *METAP2* may be not only through c-Src but through other molecules which are activated via translational and posttranslational regulation by this bifunctional protein—*METAP2*/eIF2 alpha binding protein.^{48,49}

Tumor proliferative activities regulated by network of *STAT3* and/or that of other TF(s)

Dynamic changes in expressions of a few candidate biomarkers are mediated by *STAT3* during tumor



development. We found that inappropriate expression and activation of *STAT3* lead to pathogenesis at early stage of disease progression. As a consequence of *STAT3* action, one of its target genes—*FOXC1* determines part of cell proliferation during grade promotion typically shown in tubule formation (Table S4.1). On the other hand, mRNA expression of *METAP2* is predicted to be suppressed by *MYC* and *STAT3* (Fig. 5C). It may suggest its proliferative activity⁵⁰ to be at relatively late stage of tumor development. Interestingly, *METAP2* is the determinants of stage, LYM, LVI, NP and tumor size (Table S4.1). Other line of research evidence suggested *METAP2* to be an oncogene⁵⁰ that supports our findings. Both *FOXC1* and *FOXMI* are two gene partners of *STAT3* for grade development. This is a TN enriched event. We observed *MELK* to be up-regulated by both *FOXMI* and *FOXC1*, respectively (Fig. 5C). *MELK* is a grade determinant. Both *MELK* and *DEK* are determinants for mitotic counts (Fig. 6D) in ER(−) IDCs suggesting *FOXC1* network interacting with *FOXMI* network for uncontrolled proliferative activities. MCB shares the gene expression patterns with TN and a subset of ERBB2+ (Fig. 6D and part of Fig. 6A). The role of up-regulated *DEK* in non-tumor part deserves further investigation in a larger sample size. *FOXC1*, *MELK* and *DEK* are known as markers for poor prognosis.^{25,33,51,52} Gefitinib is known to suppress *FOXMI* expression.⁵³ Moreover, *FOXC1*, *MELK* and *DEK* may be candidates of druggable targets for anticancer treatments of subsets of TN, MCB and ERBB2+.

Validation of major functional *MYC* & *STAT3* subnetworks in TN and ERBB2+

The *STAT3* network predicted *STAT3*, *METAP2*, *VEGFA* and *FOXMI* to be druggable targets for treating subsets of TN, MCB and ERBB2+ patients (Figs. 5A and C). A few transcription factors are within multiple routes of transcriptional regulation on their target genes via interacting with *STAT3*. They significantly take part in building up not only some biochemical phenotypes (Fig. 4F) but a few morphological phenotypes (Figs. 5, 6 and 7) for subsets of patients in both TN and ERBB2+. Each protein encoded by a target gene of *STAT3* may have multiple functions and its clinical impacts may just start to be unraveled through connecting with the functional transcriptomes of those major transcription factors via network

approach. We predicted their functions *in vivo* by their gene annotations, pathway profiling and their aberrant expression during tumor development.

We were able to validate those statistically predicted transcriptome within *STAT3* network in relation to sustained angiogenesis *in vivo* (Fig. 7A). We examined the color Doppler vascularity and mRNA expressions of angiogenesis-related molecules within *MYC* & *STAT3* networks of each patient (see the heatmaps in Fig. 7A). As a result, those patients whose breast tumors expressed a transcriptome coding for proteins in sustained angiogenesis were detected to be positive in vascularity (see angiosonograms in Fig. 7a, c and d). Figure 7b has invisible vascularity but having high *VEGFA* mRNA expression that suggests tumor vascularity of that patient to be below the sensitivity limit of color Doppler ultrasound. We further evaluated the prognostic power of those genes (see Fig. 7C) within functional *MYC* & *STAT3* overlapped network in ER(−) breast cancer populations (77A, 91A or 96A). High level of *METAP2* mRNA expression is an independent prognostic factor associated to reduced breast cancer-specific survival in ER(−) population ($P = 0.049$, 96A; $P = 0.025$, 77A). So are to *MMP7* mRNA expression ($P = 0.039$, 91A; $P = 0.039$, 77A), *IGF2R* mRNA expression ($P = 0.039$, 91A) and *IGF* mRNA expression ($P = 0.009$, 77A) (Table 1 and Fig. S4.4).

Dynamical changes of network activities mediated by *STAT3* and *MYC* enriched in TN

MYC & *STAT3* overlapped network is known heavily involved in both stem cell and breast cancer development. Both *MYC* and *STAT3* are master TFs. We observed that they have broad spectrum effects on tumor development due to numerous TFs to be their gene partners in each individual network (Tables S1.1–S1.7). For instance, we observed a set of TFs differentially expressed in *MYC* network and some are clinicopathological determinants (Fig. 5B and Table S4.1). There are multiple transcription factors within *MYC* & *STAT3* overlapped network. As such, the oncogenic activities of this network in contributing to clinicopathological categories will provide functional links between gene pools and their potential niches in breast cancer development.

In reality, the transcriptional regulatory network approach is beyond the scale of individual TF alone



but involving more interactions among TFs. We did identify four oncogenic activities—Warburg effect, EMT, cell proliferation and angiogenesis based on their gene profiles predicted to be driven by a few combined co-regulatory events among five major TFs—*MYC*, *STAT3*, *ARNT/HIF1 α* , *ARNT/HIF2 α* and *FOXMI*. Therefore, multivariate portion of its network should play important role in interpreting causal mechanisms for their downstream gene pools. More druggable targets are expected to be discovered in this study. An established *STAT3* transcriptional regulatory network indicates *STAT3* to be a center regulator in ER(-) breast cancer.

This approach is promising because one of sub-network activities—sustained angiogenesis was validated by angiosonograms (Figs. 7A–D). The annotated gene functions within the predicted sub-network were linked to functional signal pathway profiling (Fig. 4F). Gene expression patterns within *MYC* & *STAT3* overlapped network indicate that two transcriptional regulatory pathways involving *STAT3* may be all toward sustained angiogenesis demonstrated in Figure 7B. It suggests a hypothesis of cross talking between two possible signaling pathways that may promote tumor angiogenesis. For those patients with that signature subnetwork, they may have suppressed local metastasis indicated by a clinicopathological category—LNM (see both *STAT3* and *MYC* actions on LNM indicated in Fig. 3 and Table S4.1). Importantly, *FOXC1* is predicted to be one of *STAT3* & *MYC* shared target genes. *FOXC1* core network may serve as a poor prognostic signature. We found 10 probes (10/14) within *FOXC1* network to be preferentially up-regulated in TN (Figs. S4.1 and S4.2) and several of them were previously implicated to be poor prognostic factors by others.^{25,33,51,52} However, we can not prove our prediction based on network result (see Fig. 7F). We suspect that it may be because majority of the cohort was recruited around 4–6 years ago (The breast tumor sampling was performed mainly in 2005–2007) and the subcohorts for this prediction are small (total N = 38) in this study. *FOXC1* is a low risk factor which was predicted to be a poor prognostic factor by survival analysis (for patients with >2 years after surgically removal of breast tumors).²⁵ Lastly, elevated *MYC* and some of its target genes lead to Warburg effect are enriched in TN (Fig. 5D) that has been predicted by network approach. The most recent

in vivo evidence supports our prediction that *MYC* up regulates a subset of gene expressions involved in Warburg effect in TN.⁵⁴

Conclusion

STAT3 appears to be a center regulator mainly in early development of an ER(-) breast cancer model system based on our network prediction. *STAT3* is known to be a proto-oncogene and a multifunctional protein. In this hypothesis testing study, we concluded not only more than 70 transcription factors are potentially regulated by *STAT3* (Table S1.2) but total four functional subnetwork activities to be enriched in TN that are part of *STAT3* network activities (Figs. 5C–F).

Major findings based on network approach are: (1) The subtype enriched gene activities revealed due to both *MYC* and *STAT3* predominantly being up-regulated in TN. A subset of gene pool shared by regulatory networks of both *MYC* and *STAT3* was found to participate, in part, in maintaining stem cell phenotype, cell proliferation, Warburg effect and sustained angiogenesis. This indicates that *STAT3* may also initiate cancer metastasis through three major mechanisms: promotion of sustained angiogenesis (Figs. 5C, 6A, and 7A), induction of tumor cell epithelial-mesenchymal transition (EMT) (Figs. 5E, 6C, D and Table 3), and activation/induction of proteolytic enzyme mediating tumor cell invasiveness (e.g. *MMP7* in Fig. 5F; *MMP17* in Table S1.6 and Fig. S3.8); (2) Both *MYC* and *STAT3* are also relevant determinants to a few clinical indices (Fig. 3); (3) The predicted feedback regulatory action between *MYC* and *STAT3* (Fig. 5A) enriched in TN indicates both networks to be mutually cooperative. Evidence on common gene activities governing a range of clinical indices is within the core network co-driven by *MYC* and *STAT3* (Fig. 4E). Notably, *MMP17*, *BOP1* and *STAT5a* were predicted to be up regulated by both *MYC* and *STAT3* in a subset of patients that indicates the promotion on metastasis, anti-apoptosis, respectively (Tables 3 and S1.6, Fig. S3.8). Moreover, *STAT3* may be a key transcription factor in ER(-) BC. *MYC*, *FOXMI*, *ARNT/HIF1 α* and *ARNT/HIF2 α* are predicted to be its major partners to differentially regulate proliferation, Warburg effect, angiogenesis and ES like phenotype.

Novel findings based on *STAT3* network should be not limited by (a) four poor prognostic factors—*MMP7*, *IGF2*, *IGF2R*, and *METAP2*; (b) four



druggable targets- *VEGFA*, *STAT3*, *FOXM1* and *METAP2*; (c) clinical relevant; (d) subtype enriched. The summary for predicted clinical roles of components in those subnetworks (Fig. 5F) and in core network of *MYC* (Fig. 5B) suggests that the clinical implications of *STAT3* should be not limited to LNM and stage (Fig. 3) but should be extended to other clinical indices when this center regulator interacts with different partner transcription factor(s) that may cooperate with *STAT3* to determine tumor cell fate predominantly in TN. Many downstream transcription factors in *STAT3* network may have interactions with *STAT3* and *MYC* in ER(-) breast cancer to be mostly unexplored before. Further dissecting the roles of *STAT3* by building multivariate space of *STAT3* mediated transcriptional regulatory network would advance our understanding for this master transcription factor in ER(-) BC.

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Abbreviations

MYC, v-myc myelocytomatosis viral oncogene homolog (avian); *VEGFA*, vascular endothelial growth factor A; *STAT3*, signal transducer and activator of transcription 3 (acute-phase response factor); *FOXM1*, forkhead box M1; *METAP2*, methionyl aminopeptidase 2; *MMP7*, matrix metalloproteinase 7 (matrilysin, uterine); *MMP17*, matrix metalloproteinase 17 (membrane-inserted); *IGF2*, insulin-like growth factor 2 (somatomedin A); *IGF2R*, insulin-like growth factor 2 receptor; ER(-), negative status for immunochemical stain of estrogen receptor; ER(+), positive status for immunochemical stain of estrogen receptor; PR(-), negative status for immunochemical

stain of progesterone receptor; HER(-), negative status for immunochemical stain of homo sapiens v-erb-b2 erythroblastic leukemia viral oncogene homolog 2, neuro/glioblastomaderived oncogene homolog (avian); TN, triple negative status for ER(-), PR(-) and HER(-); ERBB2+, ER(-), PR(-) and HER(+); IDC, infiltrating ductal carcinoma; MCB, metastatic carcinoma of breast; VI, vascularity index; LVI, lymphovascular invasion; LYM, lymph node metastasis; LNM, number of lymph node positive; NP, nuclear pleomorphism; MC, mitotic count; TF, tubule formation; TF, transcription factor; CID, coefficient of intrinsic dependence; GPCC, Galton Pearson's Correlation Coefficient; ANOVA, analysis of variance; KEGG, Kyoto Encyclopedia of Genes and Genomes; NCBI, National Center for Biotechnology Information; CDF, cumulative distribution function; ARNT, aryl hydrocarbon receptor nuclear translocator; *STAT5a*, signal transducer and activator of transcription 5A; *STAT5*, signal transducer and activator of transcription 5; *BOPI1*, block of proliferation 1; EMT, epithelial mesenchymal transition; PC, pyruvate carboxylase; *OGDH*, oxoglutarate (alpha-ketoglutarate) dehydrogenase (lipoamide); *GLS*, glutaminase; *LDHB*, lactate dehydrogenase B; *IDH3G*, isocitrate dehydrogenase 3 (NAD+) gamma; *ESRRG*, estrogen-related receptor gamma; *HK1*, hexokinase 1; *TWIST1*, twist homolog 1 (*Drosophila*); *SNAI1*, snail homolog 1 (*Drosophila*); *FOXC1*, forkhead box C1; *FOXC2*, forkhead box C2 (MFH-1, mesenchyme forkhead 1); *MELK*, maternal embryonic leucine zipper kinase; *KLF4*, Kruppel-like factor 4 (gut); *NOTCH1*, Notch homolog 1, translocation-associated (*Drosophila*); *HIF1*, hypoxia inducible factor 1; *HIF2*, hypoxia inducible factor 2; *HIF2 α* (*EPAS1*), endothelial PAS domain protein 1; *HIF1 α* , hypoxia inducible factor 1, alpha subunit (basic helix-loop-helix transcription factor); *PLC*, phospholipase C; *VEGF*, vascular endothelial growth factor; *VEGFC*, vascular endothelial growth factor C; *VEGFA*, vascular endothelial growth factor A; *PDGFRB*, platelet-derived growth factor receptor, beta polypeptide; c-*Src*, v-*src* sarcoma (Schmidt-Ruppin A-2) viral oncogene homolog (avian); *DEK*, *DEK* oncogene; *ZFH3(ATBF1)*, the official name is zinc finger homeobox 3 (other designation was AT motif-binding factor 1); *TYMP(EGGF1)*, the official name is thymidine phosphorylase (other alias is *EGGF1*).



Disclosures

Author(s) have provided signed confirmations to the publisher of their compliance with all applicable legal and ethical obligations in respect to declaration of conflicts of interest, funding, authorship and contributorship, and compliance with ethical requirements in respect to treatment of human and animal test subjects. If this article contains identifiable human subject(s) author(s) were required to supply signed patient consent prior to publication. Author(s) have confirmed that the published article is unique and not under consideration nor published by any other publication and that they have consent to reproduce any copyrighted material. The peer reviewers declared no conflicts of interest.

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Supplementary Data

A combined PDF file has included the following contents except supplementary file 3 displayed below:

Table of content—a PDF file contains a brief description for supplementary files.

Supplementary file 1—a PDF file contains Tables S1.1–S1.7.

Supplementary file 2—a PDF file contains Tables S2.1–S2.9.

Supplementary file 4—a PDF file contains Table S4.1 and Figures S4.1–S4.4.

The following data (i.e. supplementary file 3) further interprets part of results in supplementary file 2. Here, we would like to illustrate that the same signal transduction pathway in different subtypes

of breast cancer as well as non-tumor part has differential gene expression patterns due to a perturbed network driven by *MYC* & *STAT3* enriched in the tumor compartment. The phenotypic changes are expected mainly for promoting tumor development by *MYC* & *STAT3* overlapped transcriptional regulatory network. To evaluate those critical genes in a perturbed network, we examined differentially expressed network components in the heatmaps for seven signal transduction pathways. In addition, we demonstrated a core network of *MYC* & *STAT3*, which is TN enriched and clinicopathologically relevant, via the heatmap display in Figure S3.8. NT stands for non-tumor part. TN stands for triple negatives. MCB stands for metaplastic carcinoma of breast. ERBB2+ stands for ER(-)PR(-)HER2/neu(+).

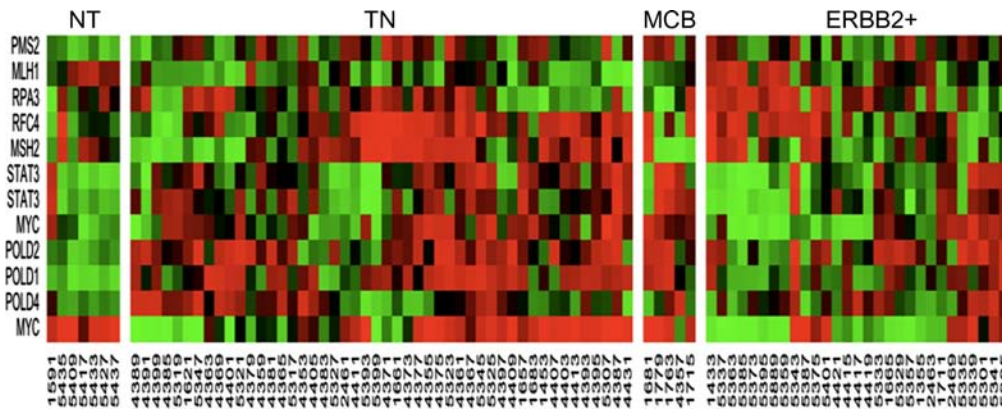


Figure S3.1. Heatmaps for eight probes in Table S2.4 and four probes for both *MYC* & *STAT3*. A subset of gene expressions in the mismatch repair pathway (MRP) shows lower mRNA levels in non-tumor part than in tumor part. The fluctuation of gene expression pattern indicates majority of patients in ER(-) population (many patients in TN and MCB but a small subset patients in ERBB2+) having altered MRP activity driven by *MYC* & *STAT3*.

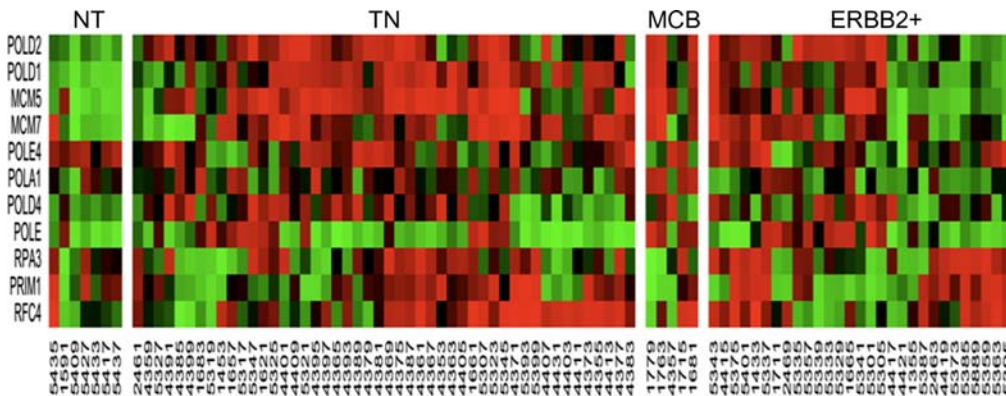


Figure S3.2. Heatmaps for eleven probes in Table S2.2. A subset of gene expressions in DNA replication signal transduction pathway (DRS) shows lower mRNA levels in non-tumor part than in tumor part. Higher activity of DRS is indicated in both TN and MCB than in ERBB2+. Those genes are components within *MYC* & *STAT3* overlapped network.

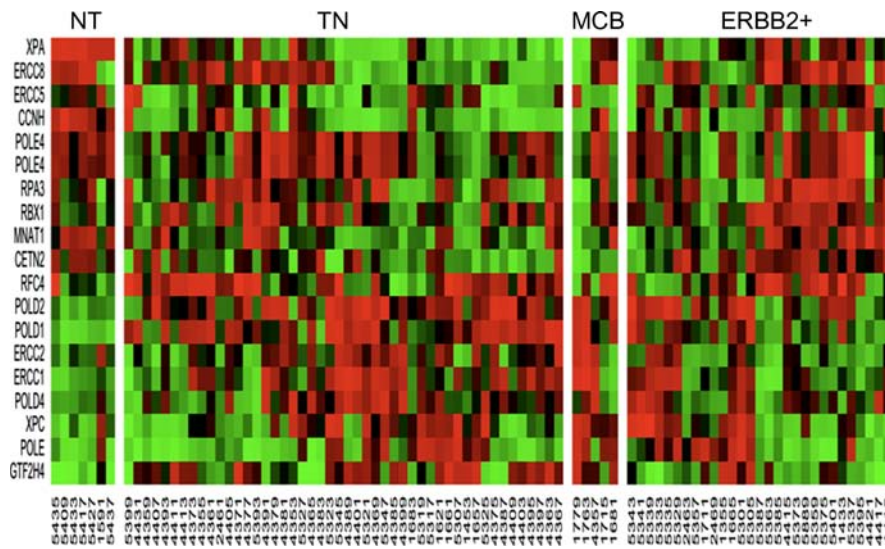


Figure S3.3. Heatmaps for nineteen probes in Table S2.5. A subset of gene expressions in the nucleotide excision repair pathway (NER) shows different patterns in non-tumor part as compared to those in tumor part. NER is a common event in both non-tumor and tumor parts. However, both TN and MCB indicate more altered gene activities driven by *MYC* & *STAT3* as compared to ERBB2+. No subtype specific gene expression pattern is observed.

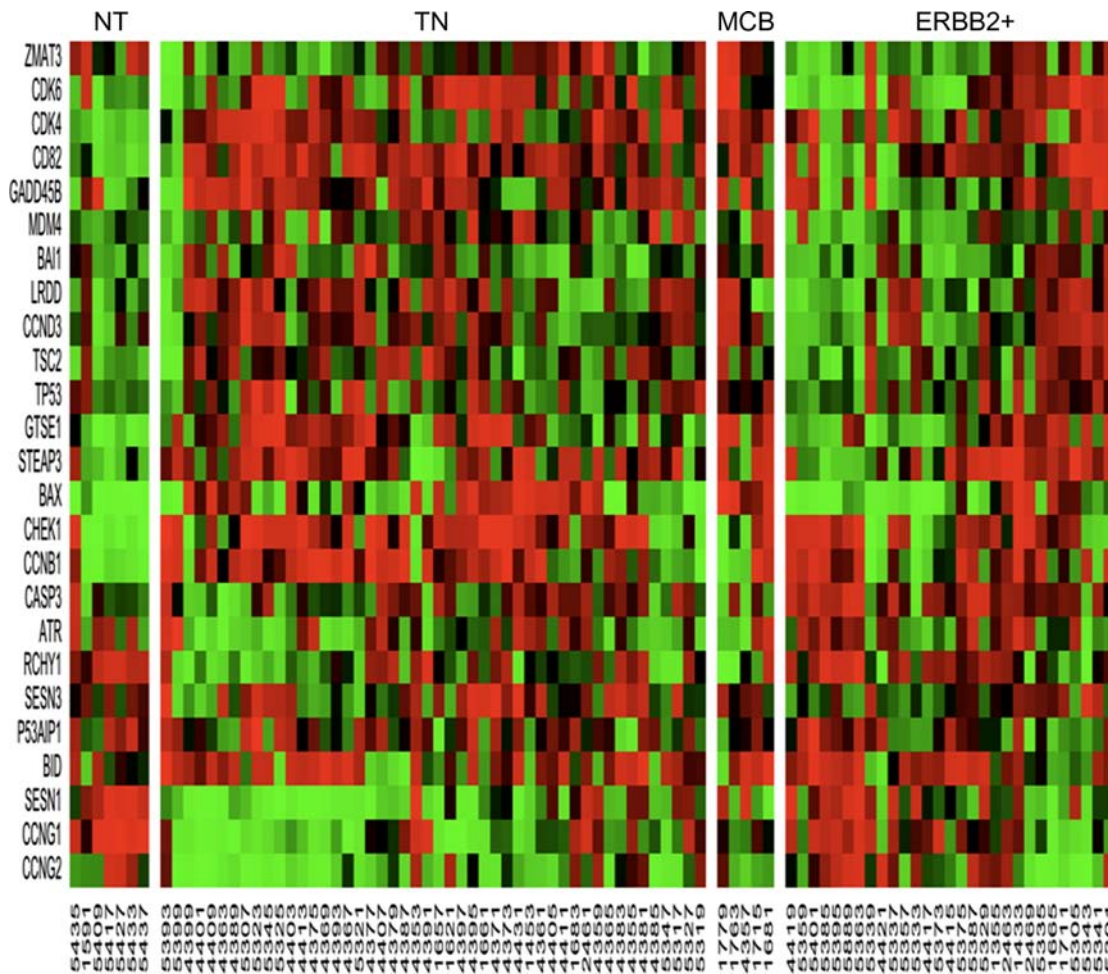


Figure S3.4. Heatmaps for twenty five probes in Table S2.6. p53 signaling is known to be involved in tumor suppressive activity. TN, MCB and ERBB2+ are known to have high frequency of p53 mutation. As a result, the gene expression pattern of 25 probes in non-tumor part is distinctive. Majority of TN, MCB and a small subset of ERBB2+ show in their gene expression patterns of 25 probes to be very different from non-tumor part. Such dramatical difference in a subset of gene expression patterns driven by *MYC* & *STAT3* suggesting altered tumor suppressive activities of p53 signal transduction pathway in tumor part.

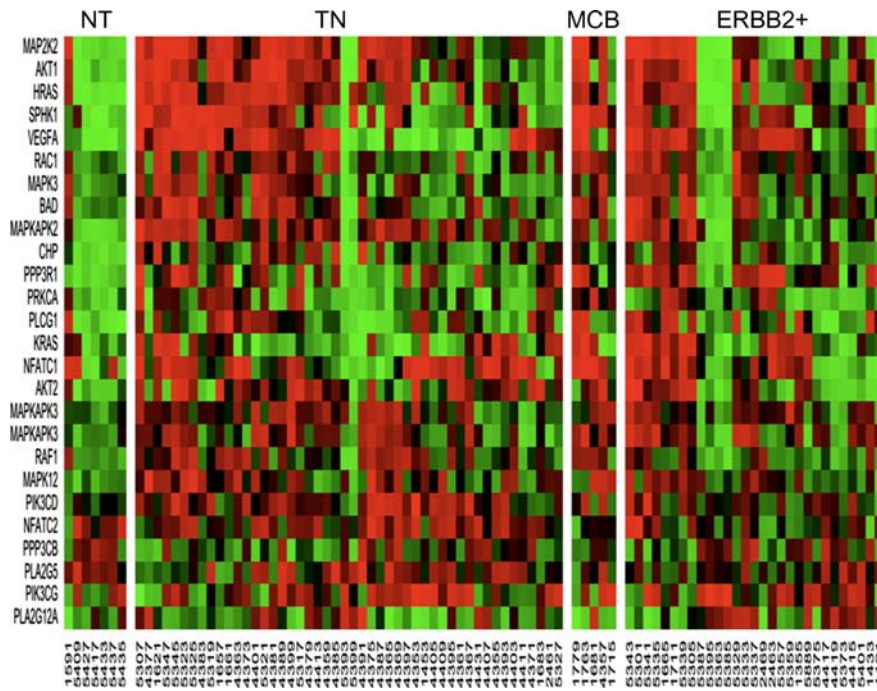


Figure S3.5. Heatmaps for twenty six probes in Table S2.7. A subset of gene expressions in vascular endothelial growth factor (VEGF) signal transduction pathway shows lower mRNA levels in non-tumor part than in tumor part. *MYC* & *STAT3* networks may activate VEGF signal transduction pathway more in both TN and MCB populations but less in ERBB2+ population.

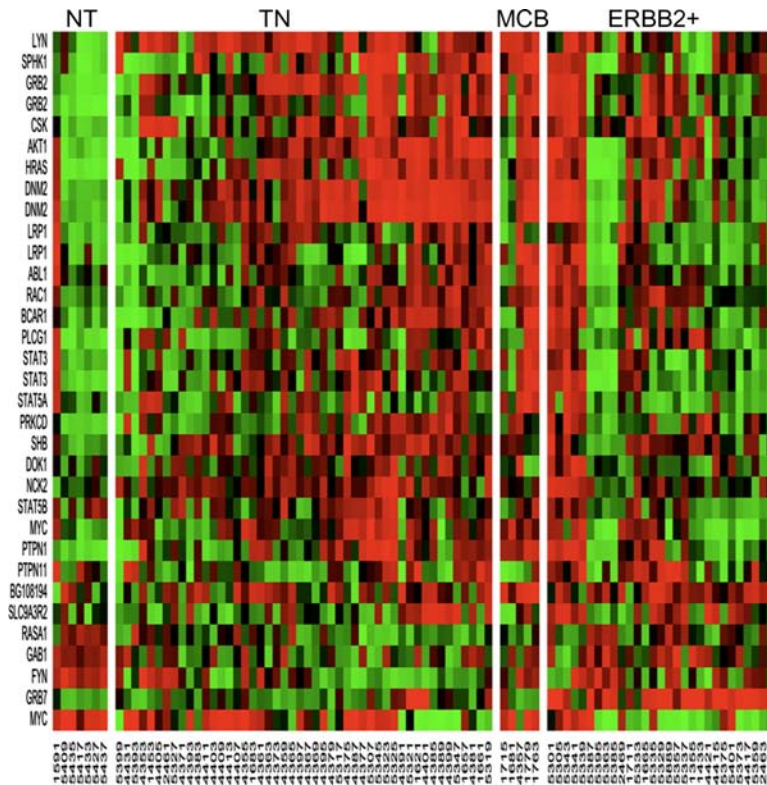


Figure S3.6. Heatmaps for thirty three probes in Table S2.9. We observed PDGFRB signaling with different driving force between non-tumor part and tumor part. For instance, aberrant gene expressions of *MYC* & *STAT3* in tumor part would suggest more activity of this pathway that may contribute to selective tumorigenesis. More patients in TN as compared to those in ERBB2+ have up-regulated *MYC* & *STAT3*. MCB shares similar gene expression patterns with TN and a subset of ERBB2+. More mitogenic activity may be expected to contribute uncontrolled growth in tumor part because the target gene expression patterns (thirty three probes) within *MYC* & *STAT3* overlapped network indicate increased survival from carcinogenesis and promoting tumor progression (please see the main text).

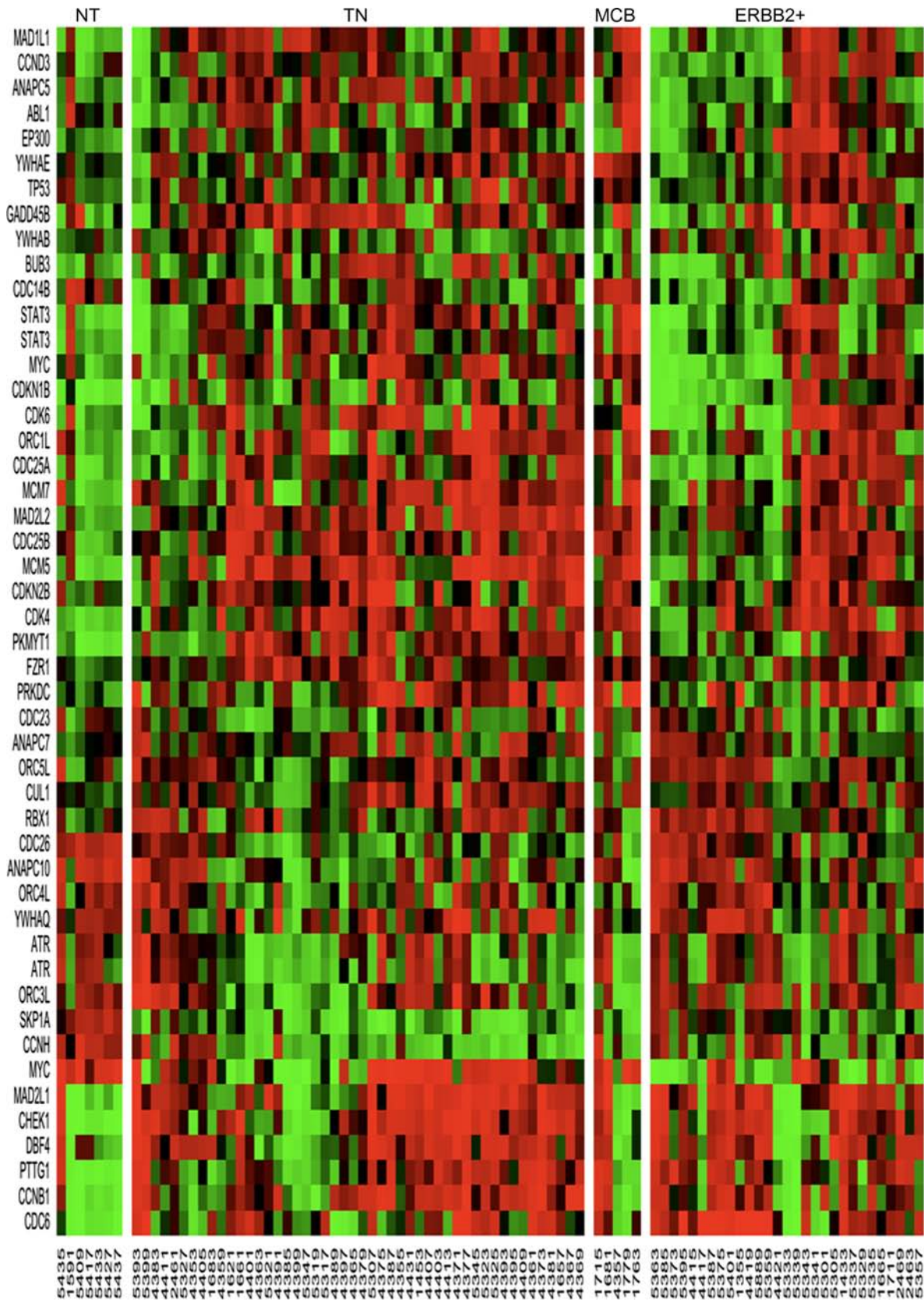


Figure S3.7. Heatmaps for forty four probes in Table S2.8 and four probes for both *MYC* & *STAT3*. The phenotypic changes in ER(-) breast cancers due to cell cycle signal transduction pathway are expected mainly for promoting uncontrolled growth by *MYC* & *STAT3* overlapped transcriptional regulatory network. We suspect this pathway to be regulated by *MYC* & *STAT3* during tumor development of ER(-) breast cancers. For instance, *CCNB1* involves in mitotic count. *PTGG1*, *MAD2 L1* and *CHEK1* are determinants for both grade and MC, while *PKMYT1* is a determinant for NP in ER(-) IDCs.

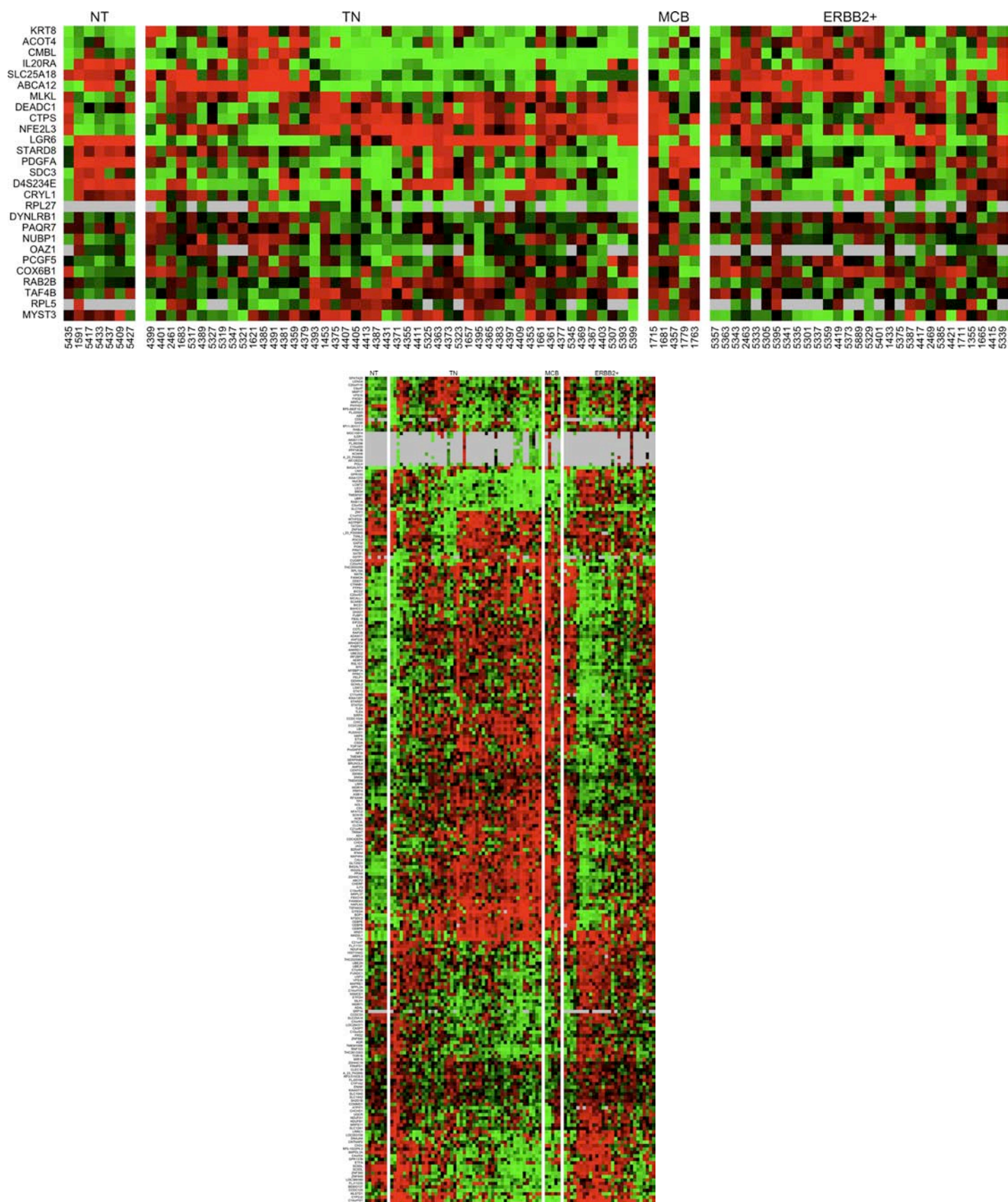


Figure S3.8. Heatmaps for (A) twenty seven probes and (B) two hundred forty one probes in Table S1.7.



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