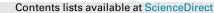


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# Heart & Lung



# Clinical and laboratory predictors at ICU admission affecting course of illness and mortality rates in a tertiary COVID-19 center



HEART

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# ABSTRACT

*Background:* Survival rates of critically ill COVID-19 patients are affected by various clinical features and laboratory parameters at ICU admission. Some of these predictors are universal but others may be population specific.

*Objective:* To determine utility of baseline clinical and laboratory parameters in a multivariate regression model to predict outcomes in critically ill COVID-19 patients in a tertiary hospital in Croatia.

*Methods:* 692 critically ill COVID-19 patients treated during a 10-month period were included in this retrospective observational trial to assess the risk factors determining mortality rates. Various anthropometric features, comorbidities, laboratory parameters, clinical features and therapeutic interventions were included in the analysis. ICU mortality rates and length of ICU stay were primary endpoints analyzed in this study.

*Results*: After multivariate adjustment, only the SOFA score,  $PaO_2/FiO_2$  and history of arterial hypertension had an effect on ICU mortality, as well as the need to initiate invasive mechanical ventilation. Increase in  $PaO_2/FiO_2$  over the first 7 days was present in survivors, while reverse applied to SOFA. Length of ICU stay was 9 (4–14) days. Factors affecting survival times were admission from wards, congestive heart failure, invasive mechanical ventilation, bacterial superinfections, age > 75 years, SOFA score, and serum ferritin, CRP and IL-6 values at ICU admission.

*Conclusion:* Elevated inflammatory biomarkers and SOFA score at ICU admission were detected as significant predictors of ICU mortality in this cohort, while initiation of invasive mechanical ventilation is the most relevant interventional mortality risk factor in critically ill COVID-19 patients.

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# Introduction

The pandemic of coronavirus disease (COVID-19) struck the world and the healthcare system of almost every country so severely that the World Health Organisation (WHO) declared it as a public health emergency.<sup>1</sup> In a year there were around 300,000 cases of COVID-19<sup>2</sup> recorded in Croatia. Most of them with mild flu-like symptoms or no symptoms at all, and the others requiring hospitalization and approximately 10% of hospitalized patients require ICU admission due to severe course of disease caused by dysregulated immune response which may cause coagulopathy,<sup>3</sup> massive alveolar damage and progressive respiratory failure,<sup>4</sup> all of which are linked to adverse outcomes. Some systematic reviews and meta-analyses have already linked severe COVID-19 to history of arterial hypertension,<sup>5,6</sup> diabetes mellitus,<sup>7</sup> advanced age and male sex<sup>8</sup> in patients with poor outcome. Due to differences in patient population and geographical distribution the percentage of hospitalized COVID-19 patients demanding ICU admission varies from 4%<sup>9</sup> to 32%.<sup>10</sup>

The data on clinical characteristics and factors affecting outcomes of critically ill patients with COVID-19 are of great importance in reducing mortality rates which, among ICU admitted patients, vary from 16%, <sup>11</sup> 38%, <sup>10</sup> 62%, <sup>12</sup> 67%<sup>13</sup> to 78%. <sup>14</sup>

The first case of coronavirus infection in Croatia was confirmed on February 25, 2020. Following the growing incidence of COVID-19, the number of patients with severe symptoms of COVID-19 started to increase simultaneously, which caused a major challenge for the healthcare system on a national level. By the decision of the Ministry of Health in March 2020, University Hospital Dubrava was repurposed to be the first and, so far, the only national COVID-19 hospital

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in Croatia. From that point onwards the hospital was organized as the Primary Respiratory Center, taking care of COVID-19 patients from the Zagreb area and surrounding counties. Special subunit Primary Respiratory Intensive Center (PRIC) was formed in order to provide invasive or noninvasive respiratory support and any other form of intensive care. Being so, most COVID-19 patients in the country were admitted to UH Dubrava. Critically ill COVID-19 patients were treated by medical staff (with approximately one third physicians with critical care medicine experience) from University Hospital Dubrava, as well as from University Hospital Center Zagreb, University Hospital Center Sestre Milosrdnice, University Hospital Merkur, University Hospital Sveti Duh and Children's Hospital Zagreb which were deployed to UH Dubrava to provide assistance.

Since the outbreak of COVID-19, numerous reports have been published, but more studies focusing on identifying risk factors affecting survival are still needed due to diverging findings in various subpopulations. The aim of this paper was to identify the effect of comorbidities, laboratory parameters and demographic and anthropometric factors on survival rates of critically ill COVID-19 patients treated in a tertiary hospital in continental Croatia.

# Methods

This study was designed as a retrospective observational study and it included COVID-19 patients with a positive polymerase chain reaction (PCR) test admitted to the combined intensive care unit (ICU) organized in specialized PRIC UH Dubrava between April 1, 2020, and February 1, 2021.

After institutional ethics board approval, data collection was performed from electronic patient data records (iBIS, IN2, Zagreb, Croatia). Recorded variables were: basic demographic characteristics (gender, age), organizational aspects (patient admitted to the ICU from other departments of PRIC UH Dubrava or admitted directly from ICUs in other hospitals in continental Croatia), anthropomorphic characteristics (body mass index - BMI, kg/m<sup>2</sup>), presence of major comorbidities (arterial hypertension, diabetes mellitus, congestive heart failure defined as NYHA status > II, chronic kidney disease defined as glomerular filtration rate  $< 60 \text{ ml/min/1.73 m}^2$  and chronic hematologic disorders), Charlson comorbidity index (CCI), sequential organ failure assessment (SOFA) score, duration of COVID-19 disease before ICU admission, hospital infection rate (stratified by site and type of bacteria or fungi), thromboembolic incident rate (stratified by severity of incident and modality of treatment), and the following laboratory parameters at ICU admission: white blood cell count (WBC, x10<sup>9</sup> / L), neutrophil and lymphocyte percentage in WBC, Horovitz quotient (PaO<sub>2</sub>/FiO<sub>2</sub>, mmHg), serum D-dimer (mg/L), serum ferritin ( $\mu$ g/L), serum procalcitonin (ng/ml), serum C-reactive protein (CRP, mg/L), serum IL-6 (pg/ml), and glomerular filtration rate (ml/min/1.73 m<sup>2</sup>). Endpoints were defined as ICU and hospital mortality, length of mechanical ventilation and length of ICU stay.

# Statistical analysis

Data is presented as tables and charts. Continuous variables are displayed as either mean and standard deviation (SD) for values with Gaussian distribution, or median and interquartile range for data that does not follow normal distribution. Normality of distribution was assessed using the Shapiro-Wilk test. Categorical variables are displayed as counts and percentages.

Differences in independent continuous variables between 2 groups were tested for statistical significance using Student's t-test for independent samples or Mann-Whitney U test, depending on distribution of data. For more than two groups, two-way analysis of variance (ANOVA) was used to test for significance between normally distributed groups and Kruskal Wallis test was used for variables without normal distribution.

For dependent continuous variables Student's t-test for paired samples or Wilcoxon rank test were used. Differences in categorical variables were tested for statistical significance using  $\chi^2$  or Fisher's exact test for 2 × 2 tables.

Multivariate logistic regression was performed to calculate predictive value of various variables on adjusted odds ratio and 95% confidence interval (CI) on survival rates in the ICU. Selection of variables included in the model was performed by first performing univariate analysis of each variable, and then discarding values for which P values were > 0.2. After selection of variables, variables in the model were tested for multicollinearity and variables with variance inflation factor (VIF) > 5 were flagged for further analysis. Model was then retested with each of the flagged variables excluded, and the model where the remaining variables had VIF < 5 and highest value of receiver operating curve area under the curve (ROC-AUC) was used. Fit of the model was also evaluated using Hosmer-Lemeshow goodness of fit test and Nagelkerke  $R^2$  statistic.

Multivariate Cox regression survival analysis was performed to assess the adjusted and non-adjusted hazard ratio (and the 95% CI) of the aforementioned variables on ICU survival times.

Change of continuous variables with a statistically significant predictive value of ICU mortality during the first week of ICU stay was tested for statistical significance using repeated measures analysis of variance (RM-ANOVA) with post-hoc Bonferroni correction.

P values <0.05 were considered statistically significant. Software packages used for statistical analysis and data visualization were jamovi v1.6.16<sup>15</sup> with survminer<sup>16</sup> and finalfit<sup>17</sup> modules and JASP v0.14.1.<sup>18</sup>

# Results

From March 1, 2020, to February 1, 2021, of 3736 patients admitted to PRIC UH Dubrava because of COVID-19, 692 (18.5%) patients were admitted to PRIC-IC (Fig. 1); 320 (46.2%) from the hospital ward, 134 (19.4%) from the emergency department (ED), and 134 (19.4%) from an ICU in another hospital. Median time elapsed from positive SARS-CoV-2 test to ICU admission was 5 (1–9) days. While most patients had severe ARDS, according to the current definition of ARDS,<sup>19</sup> Horovitz quotients were even lower in patients admitted from wards, while patients admitted from ED had lower duration of illness compared to other groups. There were no differences between these groups in other recorded parameters (Table 1).

#### Ventilatory support

A large proportion of patients started with HFNO, of which a large proportion continued with invasive ventilation. Distribution of patients and their ventilatory support, as well as their survival rates are depicted in Fig. 2. Median duration of successful HFNO (in 89 patients) was 6 (4–9) days, median duration of unsuccessful HFNO was 3 (1–5) days. Duration of invasive ventilation was 7 (3–12) days. 6 patients (0.9%) received extracorporeal membrane oxygenation support.

#### **Renal replacement therapy**

41 patients (5.9%) received renal replacement therapy (RRT). 16 of those patients received intermittent hemodialysis (IHD), 18 received continuous renal replacement therapy (CRRT), 2 received both IHD and CRRT and 5 patients continued with dialysis due to end-stage renal disease.

#### Factors affecting survival

Differences in survival rates and various baseline factors between survivors and non-survivors are displayed in Table 2. Factors associated with mortality are shown in Table 3. In multivariate analysis,

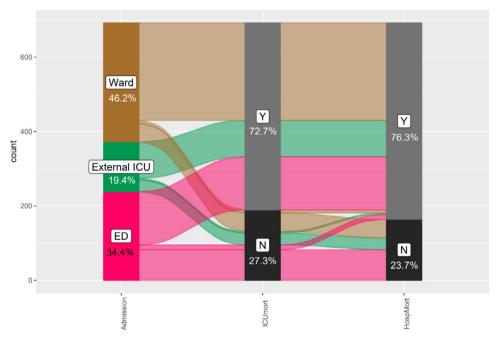


Fig. 1. Sankey plot depicting distribution and outcomes of patients treated in the ICU according to their origin of admission.

only the SOFA score,  $PaO_2/FiO_2$  and history of arterial hypertension had an association with outcome – Fig. 3.

Over the first 7 days, survivors' PaO<sub>2</sub>/FiO<sub>2</sub> and SOFA both showed a statistically significant improvement, while there was no statistically significant change of these parameters in non-survivors. Estimated marginal mean PaO<sub>2</sub>/FiO<sub>2</sub> was 121.7 mmHg at admission and 168.3 mmHg at day 7 in survivors vs 96.8 mmHg at admission and 104.3 mmHg at day 7 in non-survivors (p<0.001 between groups and within group). SOFA score at admission was 3.0 and 3.1 at day 7 in survivors (p<0.001 between groups and within group) – Fig. 4.

After multivariate adjustment for procedures and complications during ICU stay, only the need to initiate invasive mechanical ventilation was a significant predictor of mortality in the ICU (OR 11.8, 95% CI 7.4–19.2, p<0.001), while bacterial superinfection rate and renal replacement therapy were significant factors in univariate analysis, but significance was lost after multivariate adjustment - Table 4, Fig. 5.

# Factors associated with duration of ICU stay

Length of ICU stay was 9 (4-14) days. Median survival for mechanically ventilated patients was 11 days, and 24 days for patients that were not mechanically ventilated. For patients with bacterial superinfections median survival was 13 days and 8 days for those without bacterial superinfections. Factors affecting survival times after multivariate adjustment was performed were admission from wards, as opposed to direct transfer from emergency department or ICUs in other hospitals (HR 0.69, p = 0.044 for patients that weren't admitted from hospital wards), congestive heart failure (HR 0.55, p = 0.015 for patients without CHF), invasive mechanical ventilation (HR 0.12, p < 0.001 for patients which were not mechanically ventilated), occurrence of bacterial superinfections (HR 2.31, p < 0.001for patients without bacterial superinfections), age > 75 years (HR 3.46, p < 0.001 compared to patients between 45 and 65 years of age), SOFA score (HR 1.1, *p* = 0.016 per each unit increase), serum ferritin (HR 1.03, *p*<0.001 per each 0.1 mg/L increase), CRP (HR 0.74, *p* = 0.01

Table 1	
Differences of basel	ine parameters regarding origin of ICU admission.

Variable	Emergency department	External ICU	Ward	Р
Age (years)	71 (62–79)	73 (64–79)	72 (64–78)	0.406
BMI (kg/m <sup>2</sup> )	$29.9\pm5.2$	$29.6\pm5.9$	$31.7\pm6.1$	0.088
Number of comorbidities	3 (2-4)	3 (2-4)	3 (2-4)	0.300
SARS-CoV-2 positive days	1 (1-6)*	5 (1-10)	7 (4–10)	< 0.001*
CCI	5 (3-7)	5 (4-7)	5(3-6)	0.356
SOFA	4 (2-6)	4(2-6)	4(2-5)	0.443
PaO <sub>2</sub> /FiO <sub>2</sub> (mmHg)	95 (63-180)*	75 (60-129)	68 (54-96)	< 0.001*
Ferritin (mg/L)	$1.34 \pm 1.26$	$1.48 \pm 1.28$	$1.37 \pm 1.02$	0.627
D-dimer (mg/L)	3.6 (1.3-4.3)	2.2(1.1-4.3)	3.1 (1.4-4.3)	0.266
CRP (mg/L)	124 (72–183)	132 (74–196)	122 (78-175)	0.945
PCT (ng/ml)	0.58 (0.19-1.96)	0.64 (0.26-3.39)	0.46 (0.17-1.6)	0.343
IL-6 (pg/ml)	63 (27–147)	69 (34-142)	70 (30-179)	0.873
Lactate (mmol/L)	1.7 (1.3–2.1)	1.6 (1.3-3.4)	1.6 (1.2-2.6)	0.277
GFR (ml/min/1.73 m <sup>2</sup> )	$69.5\pm35.8$	$68.6\pm37.0$	$\textbf{76.2} \pm \textbf{32.0}$	0.054
WBC (x10 <sup>9</sup> /L)	$12.6\pm8.6$	$12.1\pm5.9$	$12.6\pm7.0$	0.809
Neutrophil (%)	$85.1\pm12.8$	$85.7\pm89.2$	$\textbf{87.0} \pm \textbf{10.9}$	0.199
Lymphocyte (%)	5.7 (3.3–9.2)	4.7 (2.4–9.3)	5.4 (3.4-8.6)	0.432

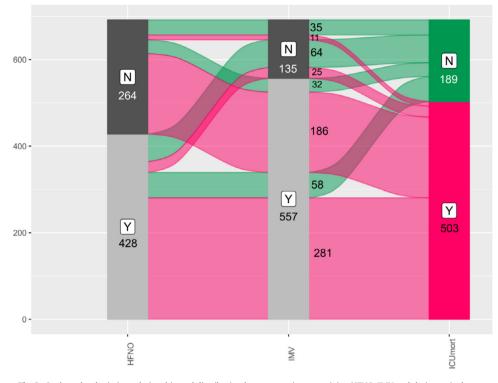


Fig. 2. Sankey plot depicting relationship and distribution between patients receiving HFNO, IMV and their survival rates.

 Table 2

 differences in baseline characteristics between survivors and nonsurvivors.

Variable	Survivors	Non-survivors	Р
Age (years)	65 (56-73)	74 (67–79)	< 0.001
BMI (kg/m <sup>2</sup> )	$31.2\pm6.2$	$30.1\pm5.6$	0.411
Number of comorbidities	2 (1-4)	3 (2-4)	0.001
SARS-CoV-2 positive days	5 (1-8)	5 (2-9)	0.067
CCI	3 (2-5)	5 (4-7)	< 0.001
SOFA	2 (2-4)	4 (2-6)	< 0.001
PaO <sub>2</sub> /FiO <sub>2</sub> (mmHg)	100 (70-224)	69 (55-103)	< 0.001
Ferritin (mg/L)	$1.15\pm0.98$	$1.48 \pm 1.06$	0.003
D-dimer (mg/L)	$2.54 \pm 1.61$	$2.88\pm3.35$	0.013
CRP (mg/L)	112 (46-171)	129 (80-190)	0.001
PCT (ng/ml)	0.28 (0.10-0.82)	0.69 (0.24-2.5)	< 0.001
IL-6 (pg/ml)	31 (14–94)	81 (43-187)	< 0.001
Lactate (mmol/L)	1.4 (1.1-1.8)	1.8 (1.3-3.4)	0.016
GFR (ml/min/1.73 m <sup>2</sup> )	91 (61-106)	72 (41–92)	< 0.001
WBC (x10 <sup>9</sup> /L)	10.4 (7.7–14)	11.2 (8.2-16.4)	0.030
Neutrophil (%)	86.6 (80.3-90.9)	89.7 (85.8-92.9)	< 0.001
Lymphocyte (%)	6.5 (4.1–10.7)	4.9 (2.9-8.3)	< 0.001
Age group < 45	12 (57.1%)	9 (42.9%)	< 0.001
Age group 45 - 65	78 (45.6%)	93 (54.4%)	
Age group 65 - 75	56 (24.8%)	170 (75.2%)	
Age group > 75	43 (15.7%)	231 (84.3%)	

per each 100 mg/l increase) and IL-6 (HR 1.11, *p*<0.001 per each 0.1 mcg/L increase) - Table 5, Figs. 6-9.

#### Discussion

The aim of this retrospective observational study was to assess how the course of illness during ICU stay and risk factors present at ICU admission affect survival rates of 692 COVID-19 patients treated in PRIC-IC in a tertiary institution in continental Croatia.

In terms of patient characteristics, certain factors which affect reported survival rates must be stated in order to clarify obtained results. First, since UH Dubrava was repurposed to become a COVID- 19 exclusive hospital in order to minimize potential horizontal SARS-CoV-2 spread in other hospitals in continental Croatian; a specialized ward was organized to treat patients which require high-flow nasal oxygen (HFNO) therapy. Because of that, survival rates might be skewed, since only patients with severe clinical presentation and imminent HFNO failure with need to initiate invasive mechanical ventilation (per hospital protocol, ROX indices < 3.8 were used as one of ICU admission criteria<sup>20</sup>) were admitted to the ICU. Therefore only 89 patients (12.9%) treated with HFNO completed their ICU stay without need for intubation and invasive mechanical ventilation - a number that is in general lower than previously reported,<sup>21–24</sup> but can also be explained with much lower PaO<sub>2</sub>/FiO<sub>2</sub> ratios at ICU admission compared to other studies.<sup>4,21,22,24-28</sup>

Percentage of patients which received invasive mechanical ventilation (IMV) in this study is relatively high - 80.5%, which is among the higher ones reported, with other studies reporting varying percentages: from 3%<sup>29</sup> to 87%.<sup>8</sup> Initiation of IMV is one of the most important ICU mortality risk in our study with mortality of 83.8% for mechanically ventilated patients, multivariate OR of survival of 11.80 (7.40-19.21, p<0.001) and HR of 0.12 (0.04-0.39, p<0.001) for patients that weren't mechanically ventilated compared to those who were. These numbers are among the higher ones reported 30-33when general numbers are analyzed, but it must be stated that patients included in this study are among the oldest ones reported so far.<sup>12,23,26–28,34–36</sup> When patients were divided into age sub-groups, mortality rates for ventilated patients per age sub-group (53.3% under 45 years of age, 70.5% 45-65 years of age, 87.6%, 65 to 75 years of age, 90.4% over 75 years of age) are in general agreement with data reported from other studies.

In the cohort analyzed in this study age is one of the defining factors determining mortality rate in the univariate analysis, with survivors being 9 years younger than non-survivors (65 (56–73) vs 74 (67–79) years, P < 0.001), and odds ratio (OR) of 1.06 (1.04–1.07, p < 0.001) per each year of age. This finding is in accordance with previously published data.<sup>12,26,27,34,35</sup>

Table 3			
odds ratio of factors pres	ent at ICU admission affecting	survival in the ICU.	Binomial logistic regression.
Factor	Suminore	Non currinore	OB and 05% CI (univariable)

Factor		Survivors	Non-survivors	OR and 95% CI (univariable)	OR and 95% CI (multivariable)
Ward admission	Y	71 (17.9)	325 (82.1)	_	_
	Ν	118 (39.9)	178 (60.1)	0.33 (0.23–0.46, <i>p</i> <0.001)	0.54 (0.26–1.13, <i>p</i> = 0.106)
Diabetes mellitus	Y	46 (20.4)	179 (79.6)	_	_
	Ν	142 (30.5)	323 (69.5)	0.58 (0.40–0.85, <i>p</i> = 0.006)	0.56 (0.24–1.28, <i>p</i> = 0.176)
Arterial hypertension	Y	124 (25.2)	368 (74.8)	_	_
	Ν	64 (32.2)	135 (67.8)	0.71 (0.50–1.02, <i>p</i> = 0.063)	2.68 (1.14–6.67, <i>p</i> = 0.028)
Congestive heart failure	Y	24(18.2)	108 (81.8)	_	_
	Ν	164 (29.3)	395 (70.7)	0.54 (0.33–0.85, <i>p</i> = 0.010)	0.78 (0.24–2.37, <i>p</i> = 0.664)
Kidney failure	Y	14(16.1)	73 (83.9)	_	_
	Ν	174 (28.8)	430 (71.2)	0.47 (0.25–0.84, <i>p</i> = 0.014)	1.85 (0.37–8.24, <i>p</i> = 0.430)
Age (y)		$64.3 \pm 13.0$	$\textbf{72.2} \pm \textbf{10.6}$	1.06(1.04 - 1.07, p < 0.001)	1.04 (1.00–1.09, <i>p</i> = 0.064)
CCI		$\textbf{3.7} \pm \textbf{2.7}$	$5.4\pm2.5$	1.34(1.24 - 1.46, p < 0.001)	1.21 (0.98–1.52, <i>p</i> = 0.096)
SOFA		$\textbf{3.0} \pm \textbf{1.9}$	$\textbf{4.8} \pm \textbf{3.0}$	1.42(1.29-1.57, p<0.001)	1.66 (1.31–2.20, <i>p</i> <0.001)
PaO <sub>2</sub> /FiO <sub>2</sub> (x 10 mmHg)		$16.9\pm15.5$	$10.1\pm9.7$	0.96 (0.94–0.97, <i>p</i> <0.001)	0.96 (0.92–1.00, <i>p</i> = 0.050)
GFR ml/min/1.73 m <sup>2</sup>		$84.2\pm32.0$	$67.9\pm34.3$	0.99 (0.98–0.99, <i>p</i> <0.001)	1.00 (0.99–1.02, <i>p</i> = 0.497)
CRP (mg/L/100)		$1.2\pm1.0$	$1.4\pm0.8$	1.28 (1.05–1.58, <i>p</i> = 0.018)	0.89 (0.58–1.38, <i>p</i> = 0.598)
IL6 (pg/ml)		$132.8\pm314.8$	$251.1\pm408.7$	1.00 (1.00–1.00, <i>p</i> = 0.009)	1.00 (1.00–1.00, <i>p</i> = 0.430)
Ferritin (ng/L/100)		$11.5\pm9.8$	$14.8\pm12.1$	1.03 (1.01–1.05, <i>p</i> = 0.004)	1.03 (0.99–1.07, <i>p</i> = 0.172)
D-Dimer (mg/L)		$2.5\pm1.6$	$2.9\pm1.5$	1.16 (1.02–1.31, <i>p</i> = 0.019)	0.98 (0.77–1.25, <i>p</i> = 0.900)
WBC (x10 <sup>9</sup> /L)		$11.5\pm6.1$	$12.9\pm7.8$	1.03 (1.00–1.06, <i>p</i> = 0.029)	1.05 (0.97–1.13, <i>p</i> = 0.218)
Neutrophil (%)		$\textbf{83.0} \pm \textbf{14.2}$	$87.3 \pm 11.5$	1.03 (1.01–1.04, <i>p</i> <0.001)	1.02 (0.99–1.05, <i>p</i> = 0.208)
Lymphocyte (%)		$\textbf{8.6} \pm \textbf{7.1}$	$\textbf{6.8} \pm \textbf{8.4}$	0.98 (0.96–1.00, <i>p</i> = 0.020)	1.00 (0.95–1.06, <i>p</i> = 0.900)

Model AIC 248.7, ROC AUC 0.86, Hosmer Lemeshow test  $\chi^2$  8.13, p = 0.633, Nagelkerke R<sup>2</sup> 0.44.

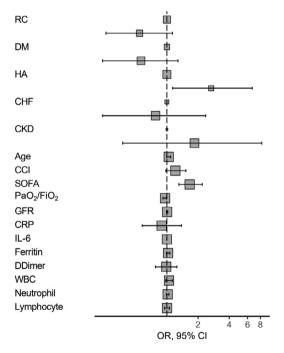


Fig. 3. Forest plot depicting odds-ratios and 95% confidence intervals of survival risk factors present at ICU admission.

After subdividing the cohort into 4 age groups (< 45, 45–65. 65–75, >75), and multivariate Cox regression survival analysis, patients older than 75 years of age were identified at most risk compared to reference (45–65) with HR of 3.46, a result which is in general agreement with previously published data such as Grasseli et al.<sup>8</sup> where non-survivors had a hazard ratio (HR) of 1.75 per every ten year increase in age, and Wu et al<sup>29</sup> with a HR of 6.75 in group over 65 years of age compared to patients younger than 65 years.

In interpreting odds ratios considering case fatality ratios in general, the nature of the regression model used in our study must be taken into account because it also included the CCI which uses age as one of components in calculating the final score.<sup>37</sup> While simultaneous use of both age and CCI may seem to add multicollinearity bias, variance inflation factors for those two parameters were well inside tolerated values - 2.43 and 2.17 respectively.<sup>38</sup> It must also be noted that the cohort analyzed in this study was much older compared to population age reported in other studies, with median age of 72 years, vs 63,<sup>8</sup> 60.5<sup>39</sup> and 51<sup>29</sup> years of age.

While increased BMI has been linked in multiple studies with increased severity of COVID-19 clinical presentation and higher mortality rates<sup>40,41</sup> our findings suggest that there is no statistically significant difference in BMI levels between survivors and non-survivors, with both groups falling into the overweight category (29.9 vs 29.1 kg/m<sup>2</sup>, P = 0.219). One of the factors that must not be overlooked when interpreting these results is the increased age of the cohort. As age progresses, muscle mass is gradually lost and replaced with fat<sup>42,43</sup> and at older age BMI is not as reliable a parameter in quantifying obesity as it would be at a younger age. Also, due to general loss of muscle mass, loss of diaphragmatic muscle mass might be one of the factors that contributes to increased case fatality rates of elderly COVID-19 patients, especially those who were mechanically ventilated.<sup>44</sup>

Sequential organ failure assessment (SOFA) score,<sup>45</sup> which has become the golden standard in evaluating the severity of organ damage due to dysregulated immune system response to pathogens (i.e. sepsis) has in the studied cohort shown a statistically significant prognostic value in both logistic and Cox regression model (OR 1.6 and HR 1.1 per 1 point SOFA score increase, respectively), which is in concordance with previously published data.<sup>14,46,47</sup>

The respiratory component of SOFA score was the prevalent factor affecting the composite score in patients in this study, with a median  $PaO_2/FiO_2$  of 75 (56–125) mmHg for the whole cohort at ICU admission, and 100 (70–224) for survivors and 69 (55–103) for non-survivors (according to which both subgroups fall into the severe ARDS subgroup according to the Berlin definition<sup>19</sup>). Compared to other published data, these values were among the lowest ones reported, in comparison to 160 (114–220) mmHg from Italian<sup>8</sup> ICUs, 135 (101–170) for survivors and 121 (85–151) for non-survivors from Spanish<sup>26</sup> ICUs and 124 (86–188) from U.S.<sup>39</sup> ICUs. In the studied population, decrease of  $PaO_2/FiO_2$  was a significant predictor of ICU mortality with an of OR 0.96 (0.92–1.00) per 10 mmHg change. Severity of blood gas exchange impairment at ICU admission has been confirmed in other studies as a strong predictor of ICU mortality.<sup>23,26,48</sup>

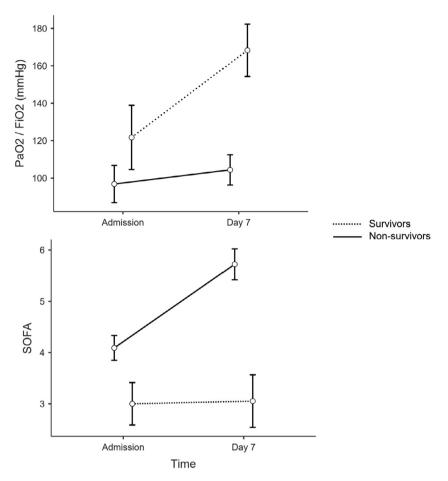


Fig. 4. differences in change of PaO<sub>2</sub>/FiO<sub>2</sub> and SOFA over time in survivors and non-survivors. Estimated marginal means with 95% confidence intervals as error bars.

Table 4           Survival odds of effect of therapeutic intervention	ntions and complica	ations during I	CU stay. OR and 95% CI.	
Fester	New auminous	Completence	OB and OF% CI (university)	

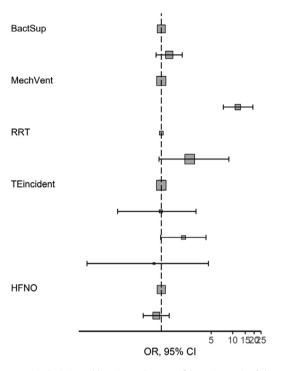
Factor		Non-survivors	Survivors	OR and 95% CI (univariable)	OR and 95% CI (multivariable)
Bacterial superinfection	Y	311 (81.2)	72 (18.8)	-	-
	Ν	192 (62.1)	117 (37.9)	2.63 (1.87–3.73, p<0.001)	1.29 (0.84–1.96, <i>p</i> = 0.232)
Mechanical ventilation	Y	467 (83.8)	90 (16.2)	_	_
	N	36 (26.7)	99 (73.3)	14.27(9.24-22.47, p<0.001)	11.80 (7.40–19.21, p<0.001)
RRT	Y	38 (90.5)	4 (9.5)	_	_
	Ν	465 (71.5)	185 (28.5)	3.78 (1.49–12.74, <i>p</i> = 0.013)	2.50 (0.93–8.85, p = 0.103)
Thrombosis	None	458 (74.2)	159 (25.8)	_	_
	Peripheral	16 (80.0)	4 (20.0)	0.72(0.20-2.00, p = 0.562)	0.98 (0.25–3.06, <i>p</i> = 0.977)
	CardioPulm	23 (48.9)	24 (51.1)	3.01 (1.65–5.50, p<0.001)	2.05(0.98-4.23, p=0.053)
	CNS	6 (75.0)	2 (25.0)	0.96(0.14 - 4.22, p = 0.961)	0.79(0.09 - 4.57, p = 0.809)
HFNO	D	306 (71.5)	122 (28.5)	_	_
	Ν	197 (74.6)	67 (25.4)	0.85 (0.60–1.20, <i>p</i> = 0.370)	0.85 (0.56–1.29, <i>p</i> = 0.453)

Model AIC 656.4, ROC AUC 0.76, Hosmer Lemeshow test  $\chi^2$  4.48, p = 0.812, Nagelkerke R<sup>2</sup> 0.32.

In the studied population presence of arterial hypertension, while being a risk factor in the univariate analysis, which is in agreement with previously published data,<sup>5,6</sup> showed a reduction of risk in the multivariable model. While these results are baffling, an explanation for this would be presence of other comorbidities and high CCI score in patients with arterial hypertension. While there is no evidence of multicollinearity (as previously stated with low VIF values), these results should still be taken with a grain of salt and further analyses are needed (for example medication regimens of patients with hypertension).

Of all the recorded comorbidities, history of congestive heart failure has the most significant effect on survival times in the studied cohort, both in univariate and multivariate analysis. While COVID-19 myocardial injury, which was reported in several other studies<sup>49,50</sup> could be a potential culprit which worsened preexisting cardiac condition, due to organizational difficulties caused by increased influx of patients and lack of specific therapy to treat myocarditis, myocardial biopsies were not performed to confirm or exclude myocardial injury caused by SARS-CoV-2 infection.

In terms of biomarkers of inflammation, ferritin with HR of 1.03 per each 0.1 mg/L increase and IL-6 with HR 1.11 per each 0.1 mcg/L increase shortened ICU survival times, while increases in CRP showed a reduction of HR with 0.74 per each 100 mg/l increase (in contrast to having a univariate OR 1.28), which can be linked to increased survival times of patients with bacterial superinfections (where patients without had a HR of 2.31 compared to those with bacterial



**Fig. 5.** Forest plot depicting odds ratios and 95% confidence intervals of therapeutic interventions and complications during ICU stay.

superinfections). These results are in partial agreement with previously published data,<sup>47,51</sup> where CRP levels at admission were a more significant factor in determining survival rates. In the studied population the CRP cutoff value with highest ability to discriminate between survivors and non-survivors was similar to levels reported by Liu et al. (41.3 vs 41.8 mg/L) but area below the receiver operating curve was much lower (0.58 vs 0.86), limiting its usefulness.

Results of this study show certain idiosyncrasies of the Croatian healthcare system and culture of health itself.

Obesity and arterial hypertension which have been linked to more severe course of illness are very common in the Croatian population, especially males<sup>2</sup> and arterial hypertension (a well-established factor

affecting COVID-19 mortality rates<sup>5,6</sup>) was present in 71.1% of critically ill COVID-19 patients in our hospital, as well as increased BMI (another factor linked to increased mortality<sup>40,41</sup>). Compared to other countries in the European Union, Croatia has the highest incidence of overweight population,<sup>52</sup> which may explain one of the highest COVID-19 hospital admission rates in the EU<sup>53</sup> as well as high ICU mortality rates found in the analyzed cohort.

Another factor affecting survival rates is the fact that UH Dubrava was re-purposed to become a COVID-19 only hospital which reduced horizontal virus transmission in other hospitals in north-western Croatia (and other healthcare facilities such as palliative facilities) but added additional workload (number of ICU beds were nearly doubled compared to pre-pandemic) which was partially alleviated with personnel from other hospitals in Zagreb of which some never worked in the ICU before the start of the pandemic. One specific event that overburdened the ICU capacity in UH Dubrava was the earthquake in Sisak-Moslavina county on December 28. 2020, in which the county hospital was severely damaged and all the COVID positive patients from that hospital (of which some were admitted with multi-drug resistant strains such as *Acinetobacter Baumanii*) were admitted during a 24-hour period, which introduced another burden to our hospital which was already functioning at near full capacity.

There were certain limitations in this study. First, because of ICU bed allocation and formation of specialized "semi-intensive" wards for treatment of patients receiving HFNO (which were normally treated in the ICU before the pandemic), only patients with the most severe clinical presentation were admitted to the ICU (a fact that is evident when comparing baseline PaO<sub>2</sub>/FiO<sub>2</sub> ratios in this cohort compared to other studies). Also, since there were many admissions from other institutions, with many patients admitted from palliative care facilities (to reduce viral spread among these, most vulnerable patients), which would not normally be admitted to ICUs due to low life expectancy, mortality rates were higher than reported in other studies.

Since a large proportion of patients were re-transferred to other, non-COVID ICUs in other hospitals after two successive negative PCR tests, longer period follow-up was not performed.

One other significant limitation is the fact that therapeutic regimen (corticosteroids, anticoagulation and anti-aggregation therapy, antiviral, and immunomodulatory drugs) was not recorded

# Table 5

Hazard ratios and 95% confidence intervals of various factors for ICU survival times. For binary categorical values "yes" is reference. Multivariate Cox regression.

Factor		HR and 95% CI (univariable)	HR and 95% CI (multivariable)
Ward admission	N	0.86(0.62 - 1.18, p = 0.335)	0.69(0.49-0.99, p = 0.044)
DM	Ν	0.62(0.45 - 0.85, p = 0.004)	0.69(0.46 - 1.03, p = 0.068)
НА	Ν	1.02 (0.73–1.42, <i>p</i> = 0.925)	1.08 (0.72–1.62, <i>p</i> = 0.717)
CHF	Ν	0.46 (0.30–0.72, <i>p</i> = 0.001)	0.55 (0.34–0.89, <i>p</i> = 0.015)
CKD	Ν	0.39 (0.25–0.62, <i>p</i> <0.001)	0.65(0.37 - 1.16, p = 0.145)
Hematological	Ν	0.84 (0.46–1.51, <i>p</i> = 0.552)	1.08 (0.55–2.14, <i>p</i> = 0.817)
MV	Ν	0.12 (0.04–0.37, <i>p</i> <0.001)	0.12 (0.04–0.39, <i>p</i> <0.001)
Bacterial superinfection	N	1.57 (1.09–2.27, <i>p</i> = 0.014)	2.31 (1.52–3.51, <i>p</i> <0.001)
Age group	45 - 65	_	_
	65 - 75	1.40 (0.91–2.16, <i>p</i> = 0.122)	1.60 (0.95–2.70, <i>p</i> = 0.077)
	<45	1.20 (0.47–3.08, <i>p</i> = 0.702)	0.79(0.24 - 2.62, p = 0.703)
	>75	2.40 (1.58–3.64, <i>p</i> <0.001)	3.46 (2.00–5.99, <i>p</i> <0.001)
CCI		1.12 (1.06–1.18, <i>p</i> <0.001)	1.01 (0.93–1.10, <i>p</i> = 0.842)
WBC		1.03 (1.00–1.06, <i>p</i> = 0.037)	1.02 (0.98–1.05, <i>p</i> = 0.306)
Neutrophil		1.01 (0.99–1.02, <i>p</i> = 0.387)	1.00(0.99-1.02, p = 0.855)
Lymphocyte		0.99 (0.96–1.02, <i>p</i> = 0.557)	1.02(1.00-1.05, p = 0.087)
GFR		0.99 (0.99–1.00, <i>p</i> <0.001)	1.00(1.00-1.01, p = 0.334)
SOFA		1.16 (1.11–1.23, <i>p</i> <0.001)	1.10 (1.02–1.19, <i>p</i> = 0.016)
Ferritin		1.02 (1.01–1.04, <i>p</i> = 0.002)	1.03 (1.02–1.05, <i>p</i> <0.001)
D-Dimer		1.10 (0.99–1.22, <i>p</i> = 0.068)	1.12 (0.99–1.27, <i>p</i> = 0.073)
РСТ		1.00 (0.99–1.01, <i>p</i> = 0.340)	0.99(0.98 - 1.01, p = 0.228)
CRP		0.98 (0.82–1.17, <i>p</i> = 0.819)	0.74(0.58-0.93, p = 0.010)
IL-6		1.08(1.05-1.12, p<0.001)	1.11(1.06-1.16, p < 0.001)

	D			
RC	D (N=131)	reference		
	N (N=106)	0.69 (0.487 - 0.99)	<b></b>	0.044 *
DM	D (N=85)	reference		
	N (N=152)	0.69 (0.457 - 1.03)		0.068
НА	D (N=167)	reference		
	N (N=70)	1.08 (0.718 - 1.62)	·	0.717
CHF	D (N=33)	reference	• • • • • • • • • • • • • • • • • • •	
	N (N=204)	0.55 (0.340 - 0.89)	•	0.015 *
CKD	D (N=29)	reference		
	N (N=208)	0.65 (0.369 - 1.16)		0.145
HEMATO	D (N=15)	reference	i i i i i i i i i i i i i i i i i i i	
	N (N=222)	1.08 (0.550 - 2.14)		0.817
MV	D (N=187)	reference		
	N (N=50)	0.12		<0.001 *
BaktSup	D (N=149)	reference		
	N (N=88)	2.31 (1.524 - 3.51)		<0.001 *
DobGr	45-65 (N=70)	reference	<b>i</b>	_
	65-75 (N=74)	(0.951 - 2.70)		0.077
	<45 (N=10)	0.79 (0.240 - 2.62)		0.703
	>75	(0.240 - 2.62) 3.46 (1.997 - 5.99)		<0.001 *
CCI	(N=83) (N=237)	(1.997 - 5.99) 1.01 (0.927 - 1.10)	<u> </u>	0.842
WBC	(N=237)	(0.927 - 1.10) 1.02 (0.984 - 1.05)		0.306
Neutrophil	(N=237)	(0.984 - 1.05) 1.00 (0.985 - 1.02)		0.855
Lymphocyte	(N=237) (N=237)	(0.985 - 1.02) 1.02 (0.997 - 1.05)		0.087
GFR	(N=237)	(0.997 - 1.05) 1.00		0.334
		1.00 (0.996 - 1.01) 1.10		
SOFA	(N=237)	1.10 (1.018 - 1.19) 1.03	<b>_</b>	0.016 *
Ferritin	(N=237)	1.03 (1.015 - 1.05)		<0.001 **
DDimer	(N=237)	1.12 (0.989 - 1.27)	• <b>•</b> ••	0.073
PCT	(N=237)	0.99 (0.979 - 1.01)		0.228
CRP	(N=237)	0.74 (0.583 - 0.93)		0.01 **
IL6	(N=237)	1.11 (1.057 - 1.16)		<0.001 **
# Events: 160; Global p-value AIC: 1334.25; Concordance		0.05 0.1	0.2 0.5 1 2	5

Fig. 6. Hazard regression plot depicting hazard ratios and 95% confidence interval for ICU survival time.

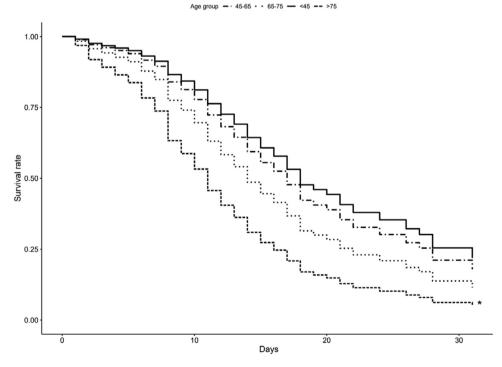


Fig. 7. Kaplan-Meier plot depicting adjusted survival curve after multivariate adjustment for age groups.

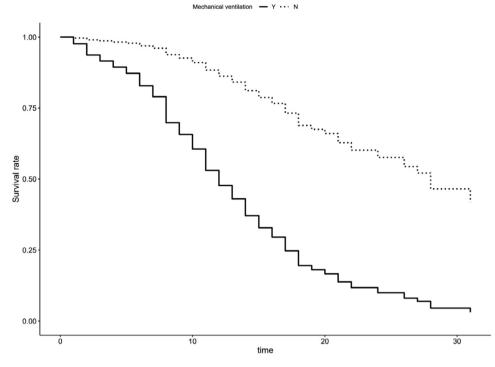


Fig. 8. Kaplan-Meier plot depicting adjusted survival curve after multivariate adjustment for mechanically ventilated patients.

Bacterial superinfection - Y ···· N

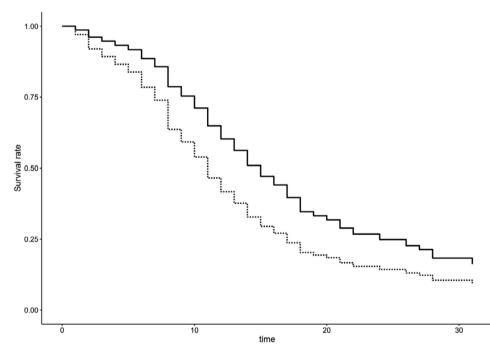


Fig. 9. Kaplan-Meier plot depicting adjusted survival curve after multivariate adjustment for patients with bacterial superinfections.

electronically but on paper charts, which, due to COVID containment measures, were sealed after patient discharge, and therefore could not be included in the analysis.

# Conclusion

In the studied cohort which included critically ill patients during the first two waves of the COVID-19 pandemic treated in a tertiary institution in continental Croatia, survivors were of significantly lower age, number of comorbidities, CCI, SOFA score, WBC and neutrophil counts as well as serum ferritin, C-reactive protein, D-dimer, procalcitonin, IL-6 and lactate levels at ICU admission. After multivariate adjustment, SOFA score (especially its respiratory component), and the need for initiation of invasive mechanical ventilation were the most important predictive factors of ICU mortality.

# **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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