

What Does Acute Respiratory Distress Syndrome Mean during the COVID-19 Pandemic?

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Acute Respiratory Distress Syndrome (ARDS) was first described in 1967 as a syndrome of severe hypoxemia and diffuse bilateral opacities (1). From the beginning, diagnosis of this syndrome has been context dependent. Before unification of the ARDS nomenclature, patients had “shock lung,” “Da Nang lung,” “wet lung,” and other diagnoses contingent on their precipitating insult and management in a military, civilian trauma, or medical setting. In fact, the syndrome’s very existence is contingent on advancements in traumatic and medical resuscitation and the broad use of invasive mechanical ventilation for hypoxemic respiratory failure, which together allowed patients to live long enough to be diagnosed with ARDS.

Years ago, as it became apparent that ARDS was the common clinical

manifestation of serious acute lung injury of multiple causes, serial consensus efforts sought to harmonize various definitions of this sprawling syndrome (2, 3). The most recent classification system is the Berlin consensus definition, which requires bilateral opacities after an identifiable trigger leading to hypoxemia (arterial oxygen pressure [PaO_2]:fraction of inspired oxygen [FiO_2] < 300 on positive pressure ventilation providing at least 5 cm H_2O of positive end-expiratory pressure [PEEP] or with continuous positive airway pressure by face mask allowed in mild cases). This constellation of findings should not be primarily hydrostatic in origin (4).

Since its publication less than a decade ago, however, two important modifications have been required to adapt this definition to real-world contexts. First, the Kigali definition adapted the Berlin definition to resource-constrained environments, broadening chest imaging to include ultrasound, removing PEEP requirements, and advocating the oxygen saturation as measured by pulse oximetry (SpO_2): FiO_2 ratio in place of the PaO_2 : FiO_2 ratio (using an SpO_2 : FiO_2 threshold of <315 rather than PaO_2 : FiO_2 ratio of <300) given the limited availability of arterial blood gas analyses in many settings (5). Second, the increasing use

of high-flow nasal oxygen (HFNO) prompted Matthay and colleagues to advocate that HFNO be considered equivalent to mechanical ventilation for the purpose of diagnosis (6).

The coronavirus disease (COVID-19) pandemic has been a pivotal time for clinicians and trialists concerned with prevention, treatment, and rehabilitation of ARDS. Although COVID-19 can cause death and disability through other pathologies (e.g., thromboembolic complications), the overwhelming majority of deaths from COVID-19 occur in patients with viral pneumonia and associated hypoxemia (7). Patients with such hypoxemic respiratory failure are commonly managed with HFNO, noninvasive ventilation (NIV), or invasive mechanical ventilation (IMV). In other words, COVID-19 causing respiratory failure is almost always ARDS, even if managed with HFNO alone. An unprecedented number of patients is therefore suffering from ARDS and its sequelae, resulting in healthcare resources stretched perilously thin and trialists—including investigators new to the ARDS arena—called to be both nimble and rigorous.

A consistent, simple, and meaningful definition for COVID-19–associated ARDS is therefore crucial for clinical care

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and trials targeting this condition. At present, however, a patient’s COVID-19–associated respiratory failure is typically classified with a scale that was developed early in the pandemic and aligns poorly with established ARDS definitions. COVID-19 severity has been defined variously on the basis of the World Health Organization/National Institutes of Health ordinal scales (which largely divide patients on the basis of the amount of respiratory support provided), the location of therapy, or the type of life support therapies administered. Under these early definitions, patients with COVID-19 ARDS may be classified variously as “severe” or “critical” COVID-19, and patients with similar severity respiratory failure may nevertheless be scored a 5, 6, or 7 on common 8-point ordinal scales (8) (in which 8 is deceased) contingent on the specific mode of advanced respiratory support applied, which, in turn, depends on resource availability, clinician- and hospital-level practice patterns, and patient preferences. Both ARDS and COVID-19 clinical trials focus on early intervention; waiting for patients on HFNO to progress to intubation to intervene defies current treatment paradigms. Rather than waiting for endotracheal intubation, an expanded definition that identifies patients at an earlier time point in their ARDS could focus the attention of clinicians and

trialists on patients at a pivotal time for potential interventions, including trial enrollment.

The nature of COVID-19 nevertheless simplifies the application of the Berlin definition within the pandemic. Specifically, the time course of COVID-19–associated ARDS is well known and predictable (5–14 d); severe hypoxemia is common, and opacities are generally bilateral (consistent with the definition of compatible opacities in the Berlin definition). It may thus be possible to employ pragmatic approaches to the ARDS definition within COVID-19 without meaningfully altering the specificity of the resulting diagnosis or the relevance of ARDS-specific treatments. We therefore propose a pragmatic definition of ARDS owing to COVID-19: a patient receiving HFNO, NIV, or IMV for acute hypoxemic respiratory failure owing to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) pneumonia. In the setting of COVID-19, this definition is fully consistent with the pathophysiological rationale underpinning the Berlin definition (Table 1).

We anticipate that our proposal’s omission of formal requirements for PEEP and a Pa_O₂:Fi_O₂ ratio may be controversial (notably, diffuse opacities and noncardiogenic source are still included in the definition given the requirement for SARS-CoV-2 pneumonia). As noted above, however, the Kigali modification of the Berlin criteria already eliminated

requirements for PEEP and positive pressure ventilation in the interests of generalizability and pragmatism. HFNO, moreover, appears to deliver PEEP approaching 5 cm H₂O at the flow rates commonly used in clinical practice (9), and patients with ARDS managed with HFNO are known to have high morbidity and mortality (10). With regard to chest imaging findings, most patients meeting our COVID-19 ARDS definition will have some form of chest imaging to assure the diagnosis of COVID-19 pneumonia. We are mindful of the poor reproducibility of plain chest radiographs (11) as well as the evidence that SARS-CoV-2 pneumonia is a bilateral process at least 88% of the time (12) and that adjusted mortality is similar for unilateral versus bilateral opacities (13). Unpublished data from the PETAL RED CORAL cohort suggest that 82% of patients receiving HFNO, NIV, or IMV will have bilateral opacities on their first postadmission imaging. Finally, in terms of Pa_O₂:Fi_O₂ or Sp_O₂:Fi_O₂ ratios, the vast majority of patients will meet Kigali Sp_O₂:Fi_O₂ ratio thresholds, as an Sp_O₂ < 94% on an Fi_O₂ of 0.3 (and any Sp_O₂ on an Fi_O₂ of 0.35) would qualify as meeting the threshold of Sp_O₂:Fi_O₂ ratio < 315. We acknowledge the use of variable definitions of HFNO by regulators and trialists and emphasize the need for higher flow rates (>20 L/min), titratable Fi_O₂, and delivery of modest levels of PEEP.

We believe this approach to defining COVID-19 ARDS strikes the correct balance

Table 1. Features of a pragmatic definition of COVID-19 ARDS: “a patient receiving HFNO, NIV, or IMV for acute hypoxemic respiratory failure owing to SARS-CoV-2 pneumonia”

Feature of Definition	Berlin Criterion	COVID-19 Application
Associated with COVID-19	No restriction by pathogen	Limited to patients with SARS-CoV-2 pneumonia
Acute	<7 d since onset	5–14 d is common; most important factor is that the respiratory failure be from COVID-19
Bilateral opacities	Bilateral opacities consistent with pulmonary edema “may be very mild, patchy, and asymmetric”	COVID-19 pneumonia is generally a bilateral process
Hypoxemic	Positive pressure ventilation with PEEP ≥5 cm H ₂ O and Pa _O ₂ :Fi _O ₂ < 300 (Kigali modification Sp _O ₂ :Fi _O ₂ < 315 and eliminates PEEP and positive pressure ventilation requirements)	Hypoxemic respiratory failure treated with HFNO, NIV, IMV (Fi _O ₂ ≥0.35 guarantees Sp _O ₂ :Fi _O ₂ < 315 regardless of Sp _O ₂)
Not primarily cardiogenic/hydrostatic	Clinical assessment and judgment	Respiratory failure primarily owing to COVID-19 pneumonia

Definition of abbreviations: ARDS = acute respiratory distress syndrome; COVID-19 = coronavirus disease; Fi_O₂ = fraction of inspired oxygen; HFNO = high-flow nasal oxygen; IMV = invasive mechanical ventilation; NIV = noninvasive ventilation; Pa_O₂ = arterial oxygen pressure; PEEP = positive end-expiratory pressure; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Sp_O₂ = oxygen saturation as measured by pulse oximetry.

between pragmatism and rigor: in the context of COVID-19, this definition will identify a target population physiologically consistent with the intent of the Berlin consensus, especially as extended in the

Kigali definition and the recent proposal of Matthay and colleagues. Further analyses of ultrasound, chest radiographs, and $SpO_2:FiO_2$ ratios from clinical trial and observational COVID-19 cohorts are needed to guide

further iterative refinement of pragmatic definitions of ARDS. ■

Author disclosures are available with the text of this article at www.atsjournals.org.

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