1	A combination of cross-neutralizing antibodies synergizes to
2	prevent SARS-CoV-2 and SARS-CoV pseudovirus infection
3	Hejun Liu <sup>1,*</sup> , Meng Yuan <sup>1,*</sup> , Deli Huang <sup>2,‡</sup> , Sandhya Bangaru <sup>1,‡</sup> , Chang-Chun D. Lee <sup>1</sup> , Linghang
4	Peng <sup>2</sup> , Xueyong Zhu <sup>1</sup> , David Nemazee <sup>2</sup> , Marit J. van Gils <sup>3</sup> , Rogier W. Sanders <sup>3,4</sup> ,
5	Hans-Christian Kornau <sup>5,6</sup> , S. Momsen Reincke <sup>5,7,8</sup> , Harald Prüss <sup>5,7,8</sup> , Jakob Kreye <sup>5,7,8,9</sup> ,
6	Nicholas C. Wu <sup>10,11,12</sup> , Andrew B. Ward <sup>1</sup> , Ian A. Wilson <sup>1,13,§</sup>
7	
8	<sup>1</sup> Department of Integrative Structural and Computational Biology, The Scripps Research
9	Institute, La Jolla, CA 92037, USA
10	<sup>2</sup> Department of Immunology and Microbiology and Infection Prevention, The Scripps Research
11	Institute, La Jolla, CA 92037, USA
12	<sup>3</sup> Department of Medical Microbiology, Amsterdam University Medical Centers, Location AMC,
13	University of Amsterdam, Amsterdam, The Netherlands
14	<sup>4</sup> Department of Microbiology and Immunology, Weill Medical College of Cornell University, New
15	York, NY 10021, USA
16	<sup>5</sup> German Center for Neurodegenerative Diseases (DZNE) Berlin, Berlin, Germany
17	<sup>6</sup> Neuroscience Research Center (NWFZ), Cluster NeuroCure, Charité-Universitätsmedizin
18	Berlin, corporate member of Freie Universität Berlin, Humboldt-Universität Berlin, and Berlin
19	Institute of Health, Berlin, Germany
20	<sup>7</sup> Helmholtz Innovation Lab BaoBab, Berlin, Germany
21	<sup>8</sup> Department of Neurology and Experimental Neurology, Charité-Universitätsmedizin Berlin,
22	corporate member of Freie Universität Berlin, Humboldt-Universität Berlin, and Berlin Institute of
23	Health, Berlin, Germany

- <sup>9</sup> Department of Pediatric Neurology, Charité-Universitätsmedizin Berlin, corporate member of
- 25 Freie Universität Berlin, Humboldt-Universität Berlin, and Berlin Institute of Health, Berlin,
- 26 Germany
- <sup>10</sup> Department of Biochemistry, University of Illinois at Urbana-Champaign, Urbana, IL 61801,
- 28 USA.
- 29 <sup>11</sup> Carl R. Woese Institute for Genomic Biology, University of Illinois at Urbana-Champaign,
- 30 Urbana, IL 61801, USA.
- 31 <sup>12</sup> Center for Biophysics and Quantitative Biology, University of Illinois at Urbana-Champaign,
- 32 Urbana, IL 61801, USA
- <sup>13</sup> The Skaggs Institute for Chemical Biology, The Scripps Research Institute, La Jolla, CA,
- 34 92037, USA
- 35 \* These authors contributed equally to this work
- <sup>‡</sup>These authors contributed equally to this work
- 37 § Correspondence: <u>wilson@scripps.edu</u> (I.A.W.)
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## 40 ABSTRACT

41 Coronaviruses have caused several epidemics and pandemics including the ongoing coronavirus 42 disease 2019 (COVID-19). Some prophylactic vaccines and therapeutic antibodies have already 43 showed striking effectiveness against COVID-19. Nevertheless, concerns remain about antigenic 44 drift in SARS-CoV-2 as well as threats from other sarbecoviruses. Cross-neutralizing antibodies 45 to SARS-related viruses provide opportunities to address such concerns. Here, we report on 46 crystal structures of a cross-neutralizing antibody CV38-142 in complex with the receptor binding 47 domains from SARS-CoV-2 and SARS-CoV. Our structural findings provide mechanistic insights 48 into how this antibody can accommodate antigenic variation in these viruses. CV38-142 synergizes with other cross-neutralizing antibodies, in particular COVA1-16, to enhance 49 50 neutralization of SARS-CoV-2 and SARS-CoV. Overall, this study provides valuable information 51 for vaccine and therapeutic design to address current and future antigenic drift in SARS-CoV-2 52 and to protect against zoonotic coronaviruses.

## 53 INTRODUCTION

54 Severe acute respiratory syndrome coronavirus (SARS-CoV), middle east respiratory syndrome 55 coronavirus (MERS-CoV) and SARS-CoV-2, have caused epidemics in the past two decades 56 including the current pandemic of coronavirus disease 2019 (COVID-19). SARS-CoV-2 has 57 already resulted in more than 100 million reported cases and almost 2.3 million deaths worldwide 58 as of the beginning of February 2021 (https://covid19.who.int). Although these viruses have 59 devastating consequences in the human population, they are of animal origin and have less 60 morbidity or even no symptoms in their animal hosts (Cui et al., 2019; Tortorici and Veesler, 2019; 61 Ye et al., 2020). In addition to these human β-coronaviruses (SARS-CoV, MERS-CoV, and 62 SARS-CoV-2), other SARS-related coronaviruses (SARSr-CoVs) of the sarbecovirus subgenus 63 within the  $\beta$ -coronavirus genus are found in mammalian reservoirs, such as bats and pangolins, 64 and could also constitute potential pandemic threats to human health (Hu et al., 2015; Lam et al., 65 2020: Wacharapluesadee et al., 2021: Ye et al., 2020). Recently, mutations in SARS-CoV-2 were 66 identified in farmed mink and these viruses were found to be reciprocally transmissible between 67 humans and farmed mink (Welkers et al., 2021), further underscoring concerns about the long-68 term efficacy of current antibody therapies and vaccines under development (Mallapaty, 2020). 69 Hence, identification and characterization of cross-neutralizing antibodies within the sarbecovirus 70 subgenus are of value for design and development of the rapeutics and next generation vaccines 71 to mitigate against antigenic drift as well as future SARSr-CoV transmission to humans from the 72 mammalian reservoir.

Since the spike protein is the major surface protein on sarbecoviruses, neutralizing antibodies are targeted towards the spike and many of these antibodies are able to prevent virus interaction with the host receptor, angiotensin-converting enzyme 2 (ACE2) (Piccoli et al., 2020; Yuan et al., 2020b). Other inhibition mechanisms also seem to be possible and are being assessed for other

77 subsets of antibodies (Hansen et al., 2020; Piccoli et al., 2020; Pinto et al., 2020). The receptor 78 binding domain (RBD) of the spike protein is highly immunogenic and can induce highly specific 79 and potent neutralizing antibodies (nAbs) against SARS-CoV-2 virus (Barnes et al., 2020a; 80 Barnes et al., 2020b; Brouwer et al., 2020; Cao et al., 2020; Ju et al., 2020; Kreye et al., 2020; 81 Piccoli et al., 2020; Robbiani et al., 2020; Rogers et al., 2020; Yuan et al., 2020a; Zost et al., 82 2020). Many of these nAbs bind to the receptor binding site (RBS) on the RBD (Yuan et al., 2020b). 83 However, the breadth of these nAbs is limited as the RBS shares relatively low sequence identity 84 among sarbecoviruses; the RBS is only 48% conserved between SARS-CoV-2 and SARS-CoV 85 compared to 73% for the complete RBD (84% identity for non-RBS regions of the RBD). The RBS 86 is also prone to naturally occurring mutations, similar to the N-terminal domain (NTD), where 87 insertions and deletions have also been found (Greaney et al., 2021b; Kemp et al., 2021; Liu et 88 al., 2021; McCarthy et al., 2020; Starr et al., 2020; Tegally et al., 2020; Van Egeren et al., 2020; 89 Voloch et al., 2020). Recent studies showed that many potent monoclonal neutralizing antibodies 90 are subject to the antigenic drift or mutation on the RBD of the spike protein (Thomson et al., 2020; 91 Wang et al., 2021a; Wang et al., 2021b; Weisblum et al., 2020; Wibmer et al., 2021), as well as 92 polyclonal sera from convalescent or vaccinated individuals (Andreano et al., 2020; Greaney et 93 al., 2021a; Liu et al., 2021; Wang et al., 2021a; Wang et al., 2021b; Weisblum et al., 2020; Wu et 94 al., 2021).

We and others have reported cross-neutralizing antibodies that bind to a highly conserved cryptic site in receptor binding domain (RBD) of the spike (Liu et al., 2020; Lv et al., 2020; Yuan et al., 2020b; Zhou et al., 2020). Although the epitopes of these antibodies do not overlap with the ACE2 receptor binding site, some can sterically block ACE2 binding to the RBD or attenuate ACE2 binding affinity (Liu et al., 2020; Lv et al., 2020). Other RBD surfaces are also possible targets for cross-neutralizing antibodies, but are only moderately conserved within sarbecoviruses, although more so than the RBS. Such a site was originally identified as the epitope for antibody S309,

102 which was isolated from a SARS patient, but cross-neutralizes SARS-CoV-2, S309 binds to a 103 non-RBS surface containing an N-glycosylation site at N343 (Pinto et al., 2020). Further 104 investigation is ongoing as to whether the S309 site is a common target for antibodies elicited by 105 SARS-CoV-2 infection. Here, we report on cross-neutralization of sarbecoviruses by an IGHV5-51 106 encoded antibody isolated from a SARS-CoV-2 patient. High-resolution crystal structures of 107 CV38-142 were determined in complex with both SARS-CoV RBD and SARS-CoV-2 RBD in 108 combination with another cross-neutralizing antibody COVA1-16. The structural information, 109 along with binding data, revealed that CV38-142 can be combined with cross-neutralizing 110 antibodies to other epitopes to generate therapeutic cocktails that to protect against SARS-CoV-111 2 variants, escape mutants, and future zoonotic coronavirus epidemics. The information may also 112 inform next generation vaccine and therapeutic design (Barnes et al., 2020a).

#### 113 **RESULTS**

#### 114 CV38-142 neutralizes both SARS-CoV-2 and SARS-CoV pseudoviruses

115 Previously, we reported that antibody CV38-142 isolated from a COVID-19 patient showed potent 116 neutralization on authentic SARS-CoV-2 virus (Munich isolate 984) and was able to cross-react 117 with SARS-CoV (Kreye et al., 2020). CV38-142 is an IGHV5-51-encoded antibody with little 118 somatic hypermutation (only four mutations in the amino-acid sequence). This germline heavy-119 chain gene was also used in another cross-reactive antibody CR3022 (Yuan et al., 2020c) that 120 was isolated from a SARS patient (ter Meulen et al., 2006), but their CDR H3s are guite distinct. 121 A biolayer interferometry (BLI) binding assay revealed that CV38-142 binds with high affinity not 122 only to SARS-CoV-2 RBD (29 nM), but also SARS-CoV, RaTG13 and Guangdong pangolin 123 coronavirus RBDs with roughly comparable affinity (36-99 nM) (Figure 1A). A pseudovirus 124 neutralization assay showed that CV38-142 IgG neutralizes both SARS-CoV-2 and SARS-CoV

with similar potency (3.5 and 1.4 μg/ml) (Figure 1B). Of note, the CV38-142 Fab exhibits much
weaker or no neutralization in the same assay, which suggests that the avidity of bivalent
CV38-142 IgG plays a crucial role in the neutralization (Figure 1B) as we also observed in other
antibodies such as COVA1-16 (Liu et al., 2020).

#### 129 CV38-142 can be combined with either RBS or CR3022 cryptic site antibodies

130 Recent reports on SARS-CoV-2 mutations in both human and mink populations give rise to 131 concerns about viral escape from current vaccines and therapeutics in development (Andreano 132 et al., 2020; Greaney et al., 2021a; Kemp et al., 2021; Mallapaty, 2020; Oude Munnink et al., 133 2021; Tegally et al., 2020; Voloch et al., 2020). However, antibody cocktails that bind to distinct 134 epitopes can increase neutralization breadth and may help prevent escape mutations (Baum et 135 al., 2020; Du et al., 2020; Greaney et al., 2021b; Hansen et al., 2020; Koenig et al., 2021). We 136 previously reported that CV38-142 does not compete for RBD binding with other potent antibodies 137 in our sample set, which are encoded by diverse germline genes, such as CV07-200 (IGHV1-2). 138 CV07-209 (IGHV3-11), CV07-222 (IGHV1-2), CV07-250 (IGHV1-18), CV07-262 (IGHV1-2), 139 CV38-113 (IGHV3-53), and CV38-183 (IGHV3-53) (Kreye et al., 2020). Here, we show that 140 CV38-142 can bind either SARS-CoV-2 RBD or spike protein at the same time in a sandwich 141 assay as CC12.1 and COVA2-39 (Figure 2A), which are potent IGHV3-53 neutralizing antibodies 142 from different cohorts (Brouwer et al., 2020; Rogers et al., 2020). Since CC12.1 (Yuan et al., 143 2020a), as well as COVA2-39 (Wu et al., 2020) and CV07-250 (Kreye et al., 2020), bind to the 144 RBS, these data suggest that CV38-142 can be combined with potent RBS antibodies derived 145 from diverse germlines in an antibody cocktail. Hence, we tested whether CV38-142 could bind 146 RBD at the same time as two other potent cross-neutralizing antibodies that target other sites on 147 the RBD (Yuan et al., 2020b). The sandwich binding assay revealed that CV38-142 competes 148 with S309 from a SARS patient (Pinto et al., 2020), but is compatible with COVA1-16, a cross-

neutralizing antibody to the CR3022 site isolated from a COVID-19 patient (Figure 2A) (Brouwer 149 150 et al., 2020). We then assembled a cocktail consisting of different amounts and ratios of CV38-151 142 and COVA1-16. The cocktail showed enhanced potency in the 2D neutralization matrix assay 152 with SARS-CoV-2 and enhanced potency and improved efficacy with SARS-CoV pseudoviruses, 153 demonstrating that CV38-142 is a promising candidate for pairing with cross-neutralizing 154 antibodies to the CR3022 cryptic site (Figure 2B). For example, 100% inhibition in the 155 neutralization assay could be achieved with 1.6 µg/ml of each of CV138-142 and COVA1-16 with 156 SARS-CoV-2 compared to >200 µg and 40 µg/ml for the individual antibodies, respectively. For 157 SARS-CoV, the corresponding numbers were higher and required 200 µg/ml of each antibody to 158 approach 100% inhibition, where 200 µg only achieved 77% and 28% neutralization, respectively, 159 for each individual antibody. These changes in potency and efficacy suggest synergy between 160 CV38-142 and COVA1-16. Synergistic neutralization effects have been analyzed in other viruses 161 (Zwick et al., 2001), including coronaviruses (ter Meulen et al., 2006; Zost et al., 2020), and can 162 be guantified by several algorithms using multiple synergistic models (lanevski et al., 2017; 163 Wooten and Albert, 2020). Using the most up to date synergy model, our data analysis showed 164 synergistic potency ( $\alpha$ >1) between CV38-142 and COVA1-16 in two directions against both 165 SARS-CoV-2 and SARS-CoV pseudoviruses, which suggests reciprocal synergy between 166 CV38-142 and COVA1-16 (Figure S1). Addition of COVA1-16 also improved the maximal efficacy 167 of CV38-142 in neutralizing SARS-CoV as indicated by the positive synergistic efficacy score 168  $(\beta>0)$  (Figure S1) as well as the neutralization matrix (Figure 2B).

# 169 CV38-142 binds to a proteoglycan site on SARS-CoV-2 RBD

We then determined the crystal structure of SARS-CoV-2 RBD in complex with CV38-142 and COVA1-16 Fabs at 1.94 Å resolution (Figure 3A and Figure S2A, Table S1). COVA1-16 binds to a highly conserved epitope on RBD in the same approach angle as we reported before (Liu et al., 173 2020). However, CV38-142 binds to a less conserved surface with no overlap with the COVA1-16 174 epitope (Liu et al., 2020) and involves the N-glycosylation site at N343 on the RBD that is distal 175 to the RBS (Figure 3A and Figure S2A). This N343 glycosylation site is conserved in 176 sarbecoviruses (Figure S3). The crystal structure showed well-resolved density for four of the 177 sugar moleties attached to N343 (Figure S4A). Several hydrogen bonds are made to the glycan 178 from both heavy and light chain (Figure 3B). The  $V_{\rm H}$  S100 amide hydrogen bonds to the post-179 translationally modified N343, and  $V_H$  R96,  $V_L$  Y49 and  $V_L$  S53 hydrogen bond to the core fucose 180 moiety of the glycan as well as water molecules that mediate interactions between CV38-142 and 181 glycan. These interactions contribute to binding between CV38-142 and SARS-CoV-2 RBD as 182 glycan removal from the RBD using PNGase F, or with RBD expressed in HEK293S cells that 183 results in high mannose glycans with no core fucose, results in a decrease in binding to CV38-142 184 from a K<sub>D</sub> of 27 nM to 42nM and 168 nM (Figure 3C and Figures S2B–C). Glycan removal resulted 185 in only a slight decrease in binding to SARS-CoV elicited antibody S309 (Figure S2E), which also 186 interacts with the N343 glycan in SARS-CoV-2 RBD (Pinto et al., 2020). To eliminate glycosylation 187 at the N343 site, mutations were introduced into the NxT sequon either at asparagine or threonine 188 residue in both SARS-CoV-2 and SARS-CoV RBDs. An enzyme-linked immunosorbent assay 189 (ELISA) showed a significant drop in binding of CV38-142 to both SARS-CoV-2 and SARS-CoV 190 RBD, while antibody binding to other epitopes, such as CR3022 and CV07-209, were not 191 impacted (Figure S2D). Deep mutational scanning on SARS-CoV-2 RBD previously indicated 192 lower expression of mutants with changes near the glycosylation site, especially at residue 343 193 (Starr et al., 2020). We therefore used S309 as a probe to show the epitope surface is exposed 194 and can be recognized by S309 (Figure S2D). S309 is less affected by the absence of the N343 195 glycan as mutation in the NxT sequon at residue 345 had minor impact on S309 binding to the 196 RBD, although there was a significant drop in binding to SARS-CoV-2 RBD N343Q (Figure S2D). 197 Residue 343 also appears to be less tolerant of mutations than residue 345 (Starr et al., 2020).

These findings suggest that the complex glycan at N343 (Wang et al., 2020; Watanabe et al., 2020) contributes to RBD binding by CV38-142, especially with its core fucose, rather than simply acting as a glycan shield to antibodies.

201 In addition to the N343 glycan, interactions with other residues are observed between 202 CV38-142 and SARS-CoV-2 RBD. The  $V_H$  R58 guanidinium hydrogen bonds to the L441 203 backbone carbonyl in SARS-CoV-2, while its hydrophobic portion interacts with the alkene region 204 of K444. V<sub>H</sub> W100c indole hydrogen bonds with the N440 carbonyl and forms a hydrophobic patch 205 with V<sub>H</sub> V98 and the L441 side chain in SARS-CoV-2 (Figure 4A). The V<sub>H</sub> S55 backbone carbonyl 206 oxygen hydrogen bonds to the N450 amide (Figure 4A). Besides heavy-chain interactions, the 207 V<sub>L</sub> Y92 carbonyl oxygen hydrogen bonds to the N440 side chain in SARS-CoV-2 RBD. Overall, 208 CV38-142 interacts with RBD mainly through its heavy chain, which contributes 79% of the buried surface area (BSA) on the RBD (629 Å<sup>2</sup> out of 791 Å<sup>2</sup> total BSA as calculated by the PISA program. 209 210 Figure 4B). Eight polar interactions and two sites of hydrophobic interactions are involved in binding of CV38-142 to SARS-CoV-2 RBD (Table S2). 211

#### 212 CV38-142 uses a plethora of water-mediated interactions to aid in cross-reactivity with

#### 213 SARS-CoV-2 and SARS-CoV RBDs

214 The RBD residues involved in CV38-142 interaction with SARS-CoV-2 are not all identical in 215 SARS-CoV RBD (Figure S4). Eight of 20 residues differ in the CV38-142 epitope between 216 SARS-CoV-2 and SARS-CoV. To investigate how CV38-142 accommodates these differences, 217 we determined a crystal structure of CV38-142 Fab in complex with SARS-CoV RBD at 1.53 Å 218 resolution (Figure 3A, Table S1). CV38-142 binds SARS-CoV RBD at the same site with an 219 identical approach angle, albeit interacting with some different residues in the RBD. Interaction 220 with the conserved N330 glycan (Figure 3B and Figure S2D), and the conserved N427 and N437 221 (Figure 4C) are the same as with SARS-CoV-2. Similar hydrophobic interactions are maintained 222 with I428 in SARS-CoV RBD and L441 in SARS-CoV-2 RBD (Figure 4C). However, interactions 223 with K444 are lost in CV38-142 binding to SARS-CoV RBD due a change to the corresponding 224 T431 in SARS-CoV RBD (Table S2). A hydrophilic surface of CDRH3 of CV38-142 is now 225 juxtaposed to F360 of SARS-CoV RBD compared to S373 of SARS-CoV-2 RBD. The phenyl 226 molety of F360 adopts heterogeneous conformations with diffuse electron density in the X-ray 227 structure (Figure S4E). Side chains of other epitope residues of SARS-CoV RBD that differ from 228 SARS-CoV-2 RBD are well adapted to the binding interface with no clashes or significant changes 229 in the CV38-142 structure. Thus, the overall binding of CV38-142 to SARS-CoV RBD is essentially 230 identical to SARS-CoV-2 (Figures 1A and 3A) despite a few differences in specific interactions 231 (Figures 4A and 4C). It would appear to be unusual that the binding between an antibody and 232 antigen would be retained at the same level with half of the polar interactions being depleted in 233 the interface of a cross-reacting protein (Table 2). One explanation is the abundance of water 234 molecules mediating interaction between CV38-142 and both SARS-CoV-2 and SARS-CoV RBD. 235 Many conserved water-mediated interactions are found with the peptide backbone in both 236 SARS—CoV-2 and SARS-CoV RBD (Figure 5). The structures here are at high enough resolution 237 to confidently identify these bound water molecules (Figure S4C-D). Water molecules have also 238 been shown to be very important in some other antibody-antigen interfaces (Braden et al., 1995; 239 Wilson and Stanfield, 1993; Yokota et al., 2003). The shape complementarity (SC) (Lawrence 240 and Colman, 1993) between CV38-142 and SARS-CoV-2 or SARS-CoV (0.63 and 0.58, 241 respectively) is lower than for the average for antibody-antigen interactions or protein-protein 242 interactions (Kuroda and Gray, 2016), when water molecules are not considered. Consistent with 243 the SC analysis and high binding affinities, 24 water molecules mediate more than 60 hydrogen 244 bonds between CV38-142 and SARS-CoV-2 RBD (Figure 5A and Figure S4C). A comparable 245 number of water-mediated interactions are also observed with SARS-CoV RBD (Figure 5B and 246 Figure S4D). These water-mediated interactions are mostly conserved in the interaction with

CV38-142 with SARS-CoV-2 and SARS-CoV RBDs, with 15 that overlap and mediate interactions
with both SARS-CoV-2 and SARS-CoV RBD (Figure 5). Considering the contribution from these
water molecules, the loss of some direct contacts between CV38-142 and SARS-CoV RBD may
be partially compensated by these abundant water-mediated interactions, suggesting a potential
mechanism whereby CV38-142 could resist antigenic drift.

#### 252 CV38-142 accommodates rather than competes with ACE2 binding to the RBD

253 Structure superimposition of ACE2 bound to RBD reveals no clash between ACE2 and CV38-254 142. The closest distance is 6 Å, which corresponds to the distance between the first NAG moiety 255 of the ACE2 N53 glycan with the H66 imidazole of CV38-142 in the antibody complex with 256 SARS-CoV-2 RBD (Figure 4D). There seems to be sufficient space for the remainder of the glycan 257 to be accommodated due to the large open void between CV38-142 and ACE2. In addition, we 258 observe some flexibility in this region (S60-H66) of CV38-142 that would allow even more room for the ACE2 N53 glycan if both ACE2 and CV38-142 were to bind RBD simultaneously (Figure 259 260 S5A). BLI sandwich binding assays and the surface plasma resonance (SPR) competition assays 261 revealed that binding of CV38-142 IgG does not occlude ACE2 binding to SARS-CoV-2 RBD or 262 spike protein (Figure 2A and Figure S5B), suggesting no steric block between CV38-142 and 263 ACE2. Since CV38-142 IgG potently neutralize SARS-CoV-2 and SARS-CoV infection in 264 pseudovirus (Figure 1B) and authentic virus assays (Kreye et al., 2020), this finding then poses 265 a question about the mechanism of CV38-142 neutralization of sarbecovirus infection. One 266 explanation is that CV38-142 somehow attenuates ACE2 or other cofactor binding that cannot be 267 observed in the sandwich binding assay or the SPR competition assay. We in fact previously 268 reported that CV38-142 IgG reduced ACE2 binding to SARS-CoV-2 RBD in an enzyme-linked 269 immunosorbent assay (ELISA) by 27% (Kreye et al., 2020). The possible constraint on

accommodating the N53 glycan in ACE2 upon simultaneous binding by CV38-142 IgG may
contribute to this reduction on ACE2 binding in the ELISA assay (Kreye et al., 2020).

## 272 CV38-142 binds RBD in either "up" or "down" state and could cross-link spikes

273 Superimposition of the CV38-142 binding epitope onto a cryoEM structure of the spike trimer 274 (PDB: 6VYB) suggests that CV38-142 is capable of binding RBD in both "up" and "down" states 275 (Figure 4B). Consistent with this notion, 2D classification of the negative-stain electron 276 microscopy (nsEM) images reveals that CV38-142 Fab can bind to SARS-CoV-2 or SARS-CoV 277 spikes with various binding stoichiometries (Figure S6A-B). The 3D reconstructions of both 278 SARS-CoV-2 and SARS-CoV spikes indicated that CV38-142 Fab could bind RBDs in either "up" 279 or "down" state (Figure 6A and Figures S6C and S6D). The nsEM reconstructions also showed 280 high flexibility of the RBD that only allowed reconstruction of partial density for the Fab (Figure 281 S6D), suggesting heterogeneous conformations of the RBD when bound with CV38-142 Fab. 282 Since the resolutions of the nsEM data are insufficient to build atomic models of spikes, we fit the 283 crystal structure of CV38-142 Fab+SARS-CoV-2 RBD into the nsEM density map of SARS-CoV-2 spike bound to three CV38-142 Fabs in the two "down", one "up" state (Figure 6A, pale blue). The 284 tentative fitting model suggests a distance of 88 Å between the heavy chain C-termini of CV38-142 285 Fabs bound with RBD in "down" state and distances of 146 and 158 Å between the heavy chain 286 287 C-terminal of CV38-142 Fab bound with RBD in "up" state and one of the RBDs in "down" state 288 (Figure 6B).

For spike with RBDs in "2-up-1-down" state, we aligned CV38-142 Fab to the cryoEM structure of SARS-CoV-2 spike (PDB: 7CAI) (Lv et al., 2020). Structural alignment suggests that the C-terminus of the CV38-142 Fab heavy chain points away from the spike center axis due to its particular approach angle (Figure 6C), echoing a similar observation in the nsEM reconstruction data. The distance among the C-termini ranges from 168–190 Å depending on the

294 various combination of RBD states and similar to that measured in the nsEM fitting model (Figure 295 6B), indicating that it is not possible for an CV38-142 IgG to bind two RBDs bivalently in either 296 two "up" or one "up", one "down" states within a spike trimer. For spike with RBDs in all "down" 297 state, we aligned the crystal structure of CV38-142 Fab to a cryoEM structure of dimeric spike 298 trimer (PDB: 7JJJ). The structural alignment reveals that the distance between any two C-termini 299 of CV38-142 Fab bound within a spike trimer is around 106 Å, which also suggests that CV38-142 300 is unlikely to bind two RBDs in the "down" state within a spike trimer (Figure 6D). On the other 301 hand, CV38-142 Fabs can bind RBDs from two adjacent spikes in a dimer seen in Novavax vaccine candidate NVAX-CoV2373 (Bangaru et al., 2020) with a distance of 26 Å between the 302 303 C-termini of the Fabs, suggesting that a CV38-142 IgG can bind a dimeric spike, or two spikes 304 that are close together, with its two Fabs bound to RBDs from neighboring spikes (Figure 6D). 305 These analyses are in line with the neutralization data, where bivalency plays a critical role on 306 neutralizing both SARS-CoV-2 and SARS-CoV infection as the Fab has much weaker to no 307 inhibition against pseudovirus infection by these sarbecoviruses (Figure 1B).

#### 308 **DISCUSSION**

309 We report here on a distinct cross-neutralizing epitope in the RBD for an anti-SARS-CoV-2 310 neutralizing antibody, CV38-142, that cross-reacts with other sarbecoviruses including 311 SARS-CoV-2, SARS-CoV and SARS-related viruses in pangolins and bats (Figure 1A and Figure 312 S3). The epitope of CV38-142 is exposed to solvent regardless of whether RBD in the spike is in 313 either the "up" or "down" states (Figure 4B). A SARS-CoV cross-neutralizing antibody S309, which 314 has been previously characterized, binds to a nearby site and also interacts with the N343 glycan 315 (Pinto et al., 2020). Both CV38-142 and S309 bind to the same face of the RBD to partially 316 overlapping epitopes (Figure 3A and Figure S3) and compete with each other for RBD binding (Figure 2A). However, CV38-142 uses a different approach angle with its heavy and light chain 317

rotated 90° around the epitope and N343 glycan site (N330 in SARS-CoV) compared to S309
(Figure 3A).

320 Binding of CV38-142 to RBD allows simultaneous binding of RBS antibodies including 321 those encoded by IGHV3-53 and other germlines (Kreye et al., 2020) as well as others tested in 322 this study. Moreover, we also found that a particular combination of cross-neutralizing antibodies, 323 namely CV38-142 and COVA1-16, to two different sites could synergize to enhance neutralization 324 of both SARS-CoV-2 and SARS-CoV pseudoviruses. The crystal structure of the antibody cocktail 325 in complex with SARS-CoV-2 revealed how two different cross-neutralizing antibodies can 326 interact with the RBD without inhibiting each other (Figure S2). Our neutralization data indicated 327 enhanced potency (i.e. half-maximal inhibitory concentration) and efficacy (maximum percentage 328 of inhibition) with the cross-neutralizing antibody combination (Figure 2B and Figure S1). The 329 improved neutralization may arise from a synergistic effect on trapping the RBD in the up state 330 since binding of COVA1-16 leads the RBD to tilt and twist in the up state (Liu et al., 2020). Since 331 COVA1-16 is representative of cross-neutralizing antibodies that bind to the CR3022 cryptic site 332 (Yuan et al., 2020b), other cross-neutralizing antibodies identified so far (i.e. S304, H014, and 333 EY6A) (Lv et al., 2020; Piccoli et al., 2020; Zhou et al., 2020) that also bind to the CR3022 site 334 (Figure S3) could also be paired with CV38-142 to improve cross-neutralization potency. The 335 receptor binding site is guite diverse in sequence among SARS-CoV-2 and SARS-CoV and 336 already subject to escape mutations; thus, antibodies to cross-neutralizing sites may provide 337 better protection against antigenic drift. Although CV38-142 binds to a less conserved surface of 338 the RBD across sarbecoviruses than COVA1-16, it uses fewer direct contacts and compensates 339 through abundant water-mediated interactions that could accommodate antigenic differences and 340 drift in sarbecoviruses. Given that COVA1-16 has been reported to show resilience to mutations 341 present in the currently circulating variants (Wang et al., 2021a), our study on combinatorial use 342 of cross-neutralizing antibodies provides valuable information to counteract potential escape

mutations or antigenic drift in SARS-CoV-2, as well as future zoonotic viruses that could cause
threats to global human health.

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#### 360 AUTHOR CONTRIBUTIONS

H.L., M.Y., N.C.W., and I.A.W. conceived and designed the study. H.L., M.Y., and C.C.D.L.
expressed and purified the proteins for crystallization and binding assay. D.H., L.P. and D.N.
provided neutralization data. S.B. and A.B.W. provided nsEM data and reconstructions. H.-C.K.,
S.M.R., H.P. and J.K. provided CV38-142 antibody sequences and ELISA binding data. M.J.v.G.
and R.W.S. provided COVA1-16 antibody sequences. H.L., M.Y. and X.Z. crystallized the

- 366 antibody-antigen complexes and solved the crystal structures. H.L., M.Y., D.H., S.B., N.C.W., H.-
- 367 C.K., S.M.R., H.P., J.K., A.B.W. and I.A.W. analyzed the data. H.L., M.Y., N.C.W and I.A.W wrote
- 368 the paper and all authors reviewed and/or edited the paper.

## 369 **DECLARATION OF INTERESTS**

- A patent application for SARS-CoV-2 antibody CV38-142 was first disclosed in (Kreye et al., 2020)
- and filed under application number 20182069.3 by some of the authors at Neurodegenerative
- 372 Diseases (DZNE) and Charité-Universitätsmedizin Berlin. The Amsterdam UMC filed a patent on
- 373 SARS-CoV-2 antibodies including COVA1-16 under application number 2020-039EP-PR that
- included the AMC authors on this paper.

## 375 MATERIALS AND METHODS

## 376 Expression and purification of SARS-CoV, SARS-CoV-2 and SARSr-CoV RBDs

377 The receptor-binding domain (RBD) (residues 319-541) of the SARS-CoV-2 spike (S) protein 378 (GenBank: QHD43416.1), RBD (residues 306-527) of the SARS-CoV S protein (GenBank: 379 ABF65836.1), RBD (residues 315-537) of Guangdong pangolin-CoV (GenBank: QLR06866.1), 380 and RBD (residues 319-541) of Bat-CoV RaTG13 (GenBank: QHR63300.2) were separately 381 cloned into a customized pFastBac vector (Ekiert et al., 2011), and fused with an N-terminal gp67 382 signal peptide and C-terminal His<sub>6</sub> tag (Yuan et al., 2020c). Recombinant bacmids encoding each 383 RBDs were generated using the Bac-to-Bac system (Thermo Fisher Scientific) followed by 384 transfection into Sf9 cells using FuGENE HD (Promega) to produce baculoviruses for RBD 385 expression. RBD proteins were expressed in High Five cells (Thermo Fisher Scientific) with 386 suspension culture shaking at 110 r.p.m at 28 °C for 72 hours after the baculovirus transduction 387 at an MOI of 5 to 10. Each supernatant containing RBD proteins were then concentrated using a 388 10 kDa MW cutoff Centramate cassette (Pall Corporation) followed by affinity chromatography 389 using Ni-NTA resin (QIAGEN) and size exclusion chromatography using a HiLoad Superdex 390 200 pg column (Cytiva). The purified protein samples were buffer exchanged into 20 mM Tris-HCI 391 pH 7.4 and 150 mM NaCl and concentrated for binding analysis and crystallographic studies.

## 392 Expression and purification of antibodies

Expression plasmids encoding the heavy (HC) and light chains (LC) of the CV38-142 and CV07-250 (Kreye et al., 2020), COVA1-16 and COVA2-39 (Brouwer et al., 2020), CC12.1 (Rogers et al., 2020), and S309 (Pinto et al., 2020) IgG or Fab were transiently co-transfected into ExpiCHO cells at a ratio of 2:1 (HC:LC) using ExpiFectamine<sup>™</sup> CHO Reagent (Thermo Fisher Scientific) according to the manufacturer's instructions. The supernatant was collected at 14 days posttransfection. The IgG antibodies and Fabs were purified with a CaptureSelect<sup>™</sup> CH1-XL Matrix column (Thermo Fisher Scientific) for affinity purification and a HiLoad Superdex 200 pg column
(Cytiva) for size exclusion chromatography. The purified protein samples were buffer exchanged
into 20 mM Tris-HCl pH 7.4 and 150 mM NaCl and concentrated for binding analysis,
crystallographic studies, negative-stain electron microscopy, and pseudovirus neutralization
assays.

# 404 Expression and purification of human ACE2, SARS-CoV-2 RBD and S-HexaPro for binding 405 assay

406 The N-terminal peptidase domain of human ACE2 (residues 19 to 615, GenBank: BAB40370.1) 407 and the receptor-binding domain (RBD) (residues 319-541) of the SARS-CoV-2 spike (S) protein 408 (GenBank: QHD43416.1) were cloned into phCMV3 vector and fused with C-terminal His-tag. A 409 plasmid encoding stabilized SARS-CoV-2 spike protein S-HexaPro (Hsieh et al., 2020) was a gift 410 from Jason McLellan (Addgene plasmid #154754; http://n2t.net/addgene:154754; RRID: 411 Addgene 154754) and used to express S-HexaPro for the binding assay. The plasmids were 412 transiently transfected into Expi293F cells using ExpiFectamine<sup>™</sup> 293 Reagent (Thermo Fisher 413 Scientific) according to the manufacturer's instructions. The supernatant was collected at 7 days 414 post-transfection. The His-tagged ACE2 or S-HexaPro protein were then purified by affinity 415 purification using Ni Sepharose excel resin (Cytiva) followed by size exclusion chromatography.

## 416 Crystallization and X-ray structure determination

The CV38-142 Fab complexed with SARS-CoV-2 RBD and COVA1-16 Fab (3-mer complex) and CV38-142 Fab complexed with SARS-CoV RBD (2-mer complex) were formed by mixing each of the protein components in an equimolar ratio and incubating overnight at 4°C. 384 conditions of the JCSG Core Suite (Qiagen) were used for setting-up trays for screening the 3-mer complex (12.1 mg/mL) and 2-mer complex (15.0 mg/mL) on our robotic CrystalMation system (Rigaku) at Scripps Research. Crystallization trials were set-up by the vapor diffusion method in sitting drops

containing 0.1 µl of protein complex and 0.1 µl of reservoir solution. Crystals appeared on day 3, 423 424 were harvested on day 7, pre-equilibrated in cryoprotectant containing 15–20% ethylene glycol, 425 and then flash cooled and stored in liquid nitrogen until data collection. Diffraction data were 426 collected at cryogenic temperature (100 K) at beamlines 23-ID-D and 23-ID-B of the Advanced 427 Photon Source (APS) at Argonne National Laboratory and processed with HKL2000 (Otwinowski 428 and Minor, 1997). Diffraction data were collected from crystals grown in drops containing 1.0 M 429 lithium chloride, 10% (w/v) polyethylene glycol 6000, 0.1 M citric acid pH 4.0 for the 3-mer complex 430 and drops containing 0.2 M di-ammonium tartrate, 20% (w/v) polyethylene glycol 3350 for the 2-431 mer complex. The X-ray structures were solved by molecular replacement (MR) using PHASER 432 (McCoy et al., 2007) with MR models for the RBD and Fab from PDB 7JMW (Liu et al., 2020). 433 Iterative model building and refinement were carried out in COOT (Emsley and Cowtan, 2004) 434 and PHENIX (Adams et al., 2010), respectively. Epitope and paratope residues, as well as their 435 interactions, were identified by using PISA program (Krissinel and Henrick, 2007) with buried surface area (BSA >0  $Å^2$ ) as the criterion. 436

#### 437 Expression and purification of recombinant spike protein for nsEM

438 The spike constructs used for negative-stain EM contain the mammalian codon-optimized gene 439 encoding residues 1-1208 (SARS-CoV-2, GenBank: QHD43416.1) and 1-1190 (SARS-CoV, 440 GenBank: AFR58672.1) of the spike protein, followed by a C-terminal T4 fibritin trimerization 441 domain, a HRV3C cleavage site, 8x-His tag and a Twin-Strep tags subcloned into the eukaryotic-442 expression vector pcDNA3.4. For the SARS-CoV-2 spike protein, three amino-acid mutations 443 were introduced into the S1-S2 cleavage site (RRAR to GSAS) to prevent cleavage and two 444 stabilizing proline mutations (K986P and V987P) to the HR1 domain. Residues T883 and V705 445 were mutated to cysteines to introduce a disulfide for additional S stabilization. For the SARS-446 CoV spike protein, residues at 968 and 969 were replaced by prolines to generate stable spike

447 proteins as described previously (Kirchdoerfer et al., 2018). The spike plasmids were transfected 448 into 293F cells and supernatant was harvested at 6 days post transfection. Spike proteins were 449 purified by running the supernatant through streptactin columns and then by size exclusion 450 chromatography using Superose 6 increase 10/300 columns (Cytiva). Protein fractions 451 corresponding to the trimeric spike protein were pooled and concentrated.

#### 452 **nsEM sample preparation and data collection**

453 SARS-CoV-2 and SARS-CoV proteins were complexed with six molar excess of Fab for 1 hour 454 prior to direct deposition onto carbon-coated 400-mesh copper grids. The EM grids were stained 455 with 2 % (w/v) uranyl-formate for 90 seconds immediately following sample application. Grids 456 were either imaged at 120 keV on a Tecnai T12 Spirit using a 4kx4k Eagle CCD. Micrographs 457 were collected using Leginon (Suloway et al., 2005) and the images were transferred to Appion 458 for processing. Particle stacks were generated in Appion (Lander et al., 2009) with particles picked 459 using a difference-of-Gaussians picker (DoG-picker) (Voss et al., 2009). Particle stacks were then 460 transferred to Relion (Zivanov et al., 2018) for 2D classification followed by 3D classification to 461 sort well-behaved classes. Selected 3D classes were auto-refined on Relion and used to illustrate 462 with UCSF Chimera (Pettersen et al., 2004). A published prefusion spike model (PDB: 6Z97) (Huo 463 et al., 2020) was used in our structural analysis.

## 464 Measurement of binding affinities and competition using biolayer interferometry

Binding assays were performed by biolayer interferometry (BLI) using an Octet Red instrument (FortéBio). To determine the binding affinity of CV38-142 Fab with SARS-CoV-2 and SARS-CoV RBDs, 20 µg/mL of His-tagged SARS-CoV or SARS-CoV-2 RBD protein purified from Hi5 cell expression was diluted in kinetics buffer (1x PBS, pH 7.4, 0.002% Tween-20, 0.01% BSA) and loaded on Ni-NTA biosensors (ForteBio) for 300 s. After equilibration in kinetics buffer for 60 s, the biosensors were transferred to wells containing serially diluted Fab samples in running buffer

471 to record the real time association response signal. After a 120 s association step, the biosensors 472 were transferred to wells containing blank running buffer to record the real time disassociation 473 response signal. All steps were performed at 1000 r.p.m. shaking speed. K<sub>D</sub>s were determined 474 using ForteBio Octet CFR software. To determine the binding affinity of CV38-142 Fab or S309 475 IgG with SARS-CoV-2 RBD pretreated with or without PNGase F, Fab or IgG was loaded on 476 Fab2G or AHC biosensors (ForteBio) for 300 s followed by similar steps to test binding to RBD 477 that was expressed in Expi293F cells. For the sandwich binning assay, CV38-142 IgG was loaded 478 onto AHC biosensors (ForteBio) followed by equilibration in kinetics buffer. The biosensors were 479 transferred to wells containing either SARS-CoV-2 RBD or S-HexaPro proteins in kinetics buffer 480 to allow for antigen association for 200 s followed by testing association of a second antibody Fab 481 or ACE2 for 120 s.

# 482 Measurement of competition using surface plasma resonance

483 To test whether binding of CV38-142 to SARS-CoV-2 RBD has an impact on the binding of ACE2, 484 a surface plasma resonance (SPR) competition assay was performed on a Biacore T200 485 instrument (Cytiva) at 25 °C. Biotinylated human ACE2 (residue 18-740, ACROBiosystems) was 486 reversibly immobilized on a CAP sensor chip (Cytiva) using Biotin CAPture Kit (Cytiva). CV38-487 142 IgG used in the SPR assay was produced in CHO cells and was kindly provided by Miltenyi 488 Biotec, Bergisch Gladbach, Germany. The SPR system was primed and equilibrated with running 489 buffer (10 mM HEPES pH 7.4, 150 mM NaCl, 3 mM EDTA, 0.05% Tween 20) before 490 measurement. 10 nM of SARS-CoV-2 RBD (ACROBiosystems) together with different 491 concentrations of CV38-142 IgG dissolved in the running buffer were injected into the system 492 within 90 s in a flow rate of 30 µl/min followed by a regeneration step between each concentration. 493 The binding response signals were recorded in real time by subtracting from reference cell. And 494 the experiment was repeated once.

#### 495 Enzyme-linked immunosorbent assay (ELISA) measuring antibody binding to RBD

496 Rabbit IgG1 Fc-tagged RBD-SD1 regions of MERS-CoV, SARS-CoV and SARS-CoV-2 as well 497 as point mutants thereof (SARS-CoV: N330Q and T332A, SARS-CoV-2: N343Q and T345A) were 498 expressed in HEK293T cells and immobilized onto 96-well plates as previously described (Kreye 499 et al., 2020). Mutations were introduced by overlap extension PCR and confirmed by Sanger 500 sequencing (LGC Genomics). Human anti-spike RBD monoclonal antibodies were applied at 501 1 µg/ml and detected using horseradish peroxidase (HRP)-conjugated anti-human IgG (Dianova) 502 and the HRP substrate 1-step Ultra TMB (Thermo Fisher Scientific). HRP-conjugated F(ab')2 anti-503 rabbit IgG (Dianova) was used to confirm the presence of immobilized antigens.

## 504 **Pseudovirus neutralization assay and synergistic study**

505 Pseudovirus preparation and assay were performed as previously described with minor 506 modifications (Rogers et al., 2020). Pseudovirions were generated by co-transfection of HEK293T 507 cells with plasmids encoding MLV-gag/pol, MLV-CMV-Luciferase, and SARS-CoV-2<sub>∆18</sub> spike 508 (GenBank: MN908947) or SARS-CoV spike (GenBank: AFR58672.1). The cell culture 509 supernatant containing SARS-CoV-2 and SARS-CoV S-pseudotyped MLV virions was collected 510 at 48 hours post transfection and stored at -80°C until use. Lentivirus transduced Hela cells 511 expressing hACE2 (GenBank: BAB40370.1) were enriched by fluorescence-activated cell sorting 512 (FACS) using biotinylated SARS-CoV-2 RBD conjugated with streptavidin-Alexa Fluor 513 647 (Thermo, S32357). Monoclonal antibodies IgG or Fab were serially diluted with DMEM 514 medium supplemented with 10% heat-inactivated FBS, 1% Q-max, and 1% P/S. The serial 515 dilutions were incubated with the pseudotyped viruses at 37°C for 1 hour in 96-well half-well plate 516 (Corning, 3688). After the incubation, 10,000 Hela-hACE2 cells were added to the mixture and 517 supplemented 20 µg/ml Dextran (Sigma, 93556-1G) for enhanced infectivity. The supernatant 518 was removed 48 hours post incubation, and the cells were washed and lysed in luciferase lysis

519 buffer (25 mM Gly-Gly pH 7.8, 15 mM MgSO<sub>4</sub>, 4 mM EGTA, 1% V/V Triton X-100). After addition 520 of Bright-Glo (Promega, PR-E2620) according to the manufacturer's instruction, luminescence 521 signal was measured in duplicate. At least two biological replicates were performed for each 522 assay. The IgG half-maximal inhibitory concentration  $(IC_{50})$  values were calculated using "One 523 Site - Fit LogIC50" regression in GraphPad Prism 9. For synergy assessment of two monoclonal 524 antibodies, an antibody cocktail matrix was prepared by a combination of mixing a fixed 525 concentration of CV38-142 and increasing the concentration of COVA1-16 or increasing the 526 concentration of CV38-142 and fixing the concentration of COVA1-16. Neutralization percentages 527 for each combination were measured and calculated the same way as the pseudovirus 528 neutralization assay. The neutralization data were converted to the input format for the synergy 529 program (Wooten and Albert, 2020). Synergy scores were calculated by fitting the 530 multidimensional synergy of combinations (MuSyC) model, which is a synergy model based on a 531 multidimensional extension of the Hill equation that allows non-linear dose-response surface 532 contour (Meyer et al., 2019). MuSyC model quantifies synergy in bidirectional way and 533 distinguishes synergies between potency and efficacy. The synergy parameter  $\alpha_{12}$ , namely 534 synergistic potency quantifies how the second antibody changes the first's potency and  $\alpha_{21}$ 535 quantifies how the first changes the second's potency. The MuSyC model fitting with the synergy 536 program also gives two other parameters, namely synergistic efficacy (β) and synergistic 537 cooperativity (y) score (Wooten and Albert, 2020). The  $\beta$  score denotes synergistic efficacy, which 538 guantifies the percent change on the maximal efficacy of the antibody combination compared to 539 the most efficacious single agent. The  $\gamma_{12}$  score denotes how the first antibody changes the 540 second's Hill slop while  $\gamma_{21}$  denotes how the second changes the first's Hill slop.

# 541 Shape complementarity analysis

- 542 Shape complementarity values (Sc) were calculated using SC program as described previously
- 543 (Lawrence and Colman, 1993).

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# 769 FIGURES AND FIGURE LEGENDS

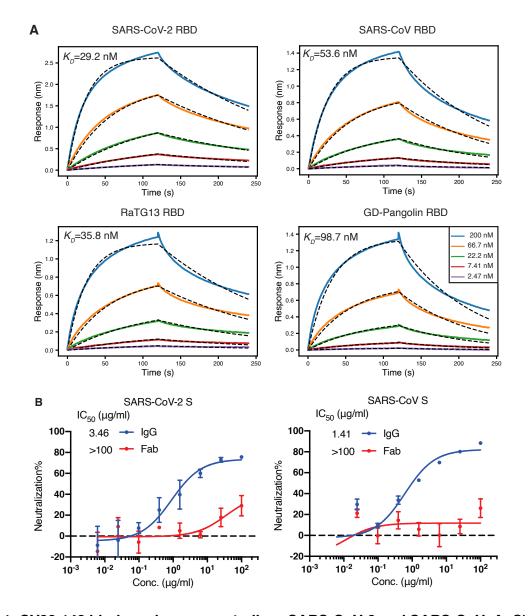
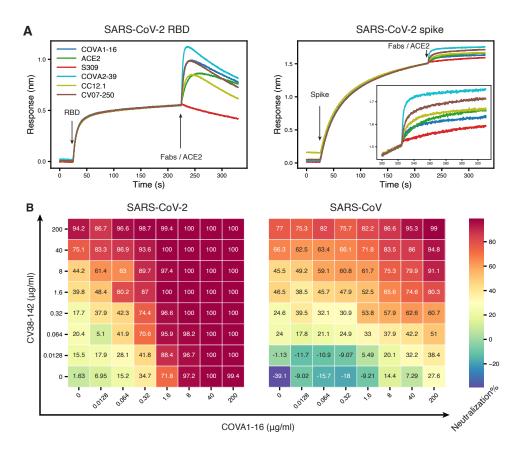


Figure 1. CV38-142 binds and cross-neutralizes SARS-CoV-2 and SARS-CoV. A. CV38-142 Fab binds to RBDs from human, bat and pangolin sarbecoviruses with generally similar affinities. Binding kinetics were measured by biolayer interferometry (BLI) with RBDs on the biosensor and Fab in solution. Concentrations of Fab serial dilution are shown in the middle insert panel. The association and disassociation were recorded in real time (s) on the x axis with binding response (nm) on the y axis with colored lines. Disassociation constant (K<sub>D</sub>) values were obtained by fitting a 1:1 binding model. The fitted curves are represented by the dash lines (black). **B.** CV38-142

- neutralizes both SARS-CoV-2 and SARS-CoV, while its Fab counterpart barely neutralizes the
- two pseudotype viruses at the highest concentrations tested in the same neutralization assay.
- The IgG half-maximal inhibitory concentration (IC<sub>50</sub>) values (3.46 µg/ml for SARS-CoV-2 and
- 781 1.41 μg/mL for SARS-CoV) were determined using Prism software (version 8.4.3). Error bars
- 782 indicate standard deviation (SD) of at least two biological replicates.



783

784 Figure 2. CV38-142 could be combined with antibodies binding to receptor binding site or 785 CR3022 cryptic site. A. Competitive binding of CV38-142 to SARS-CoV-2 RBD or spike. 786 Insertion in the right panel shows a zoomed-in view for Fabs/ACE2 binding on spike. A sandwich 787 binding assay was used for the competition assay. CV38-142 IgG was first pre-loaded on the 788 biosensor then SARS-CoV-2 RBD or spike was loaded at the indicated timepoint. The biosensors 789 with captured antibody-antigen complex were tested against binding to a second antibody Fab or 790 human ACE2. Loading events for RBD/spike and the second antibody Fab/ACE2 are indicated 791 by arrows along the timeline (x-axis), while the binding response (nm, y-axis) was recorded in real 792 time as colored lines corresponding to each antibody Fab or ACE2. B. Cross-neutralization dose-793 response matrix of an antibody cocktail consisting of CV38-142 and COVA1-16. The pseudovirus 794 neutralization assay was performed by addition of mixtures of varying ratios of CV38-142 and

- 795 COVA1-16. The percentage neutralization for each experiment with SARS-CoV-2 and SARS-CoV
- is plotted on heatmap matrices with their corresponding color bar shown on the right.

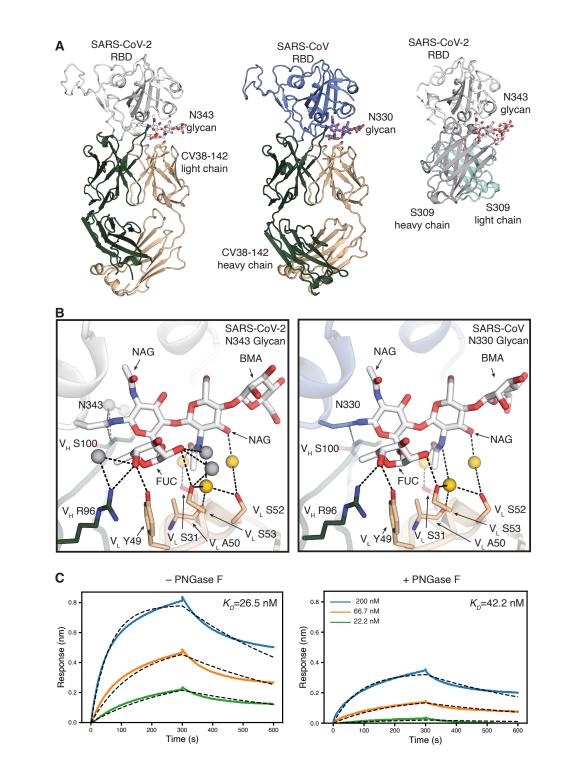
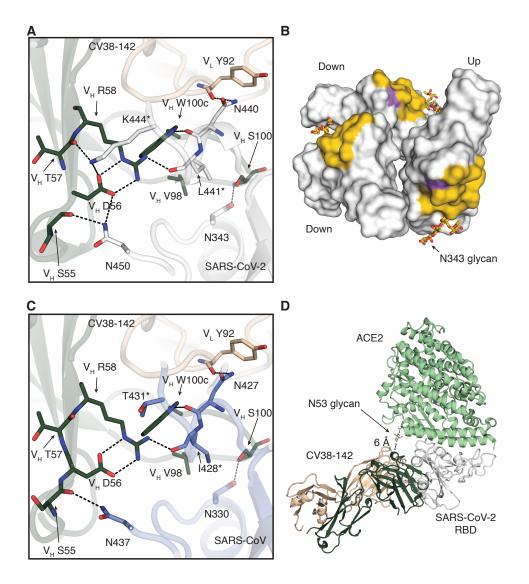


Figure 3. The CV38-142 epitope on the RBD involves an N-glycosylation site on SARS-CoV2 and SARS-CoV. A. Ribbon representation of the crystal structures of SARS-CoV-2 (left) and
SARS-CoV (middle) RBD in complex with CV38-142 Fab and comparison to cryo-EM structure

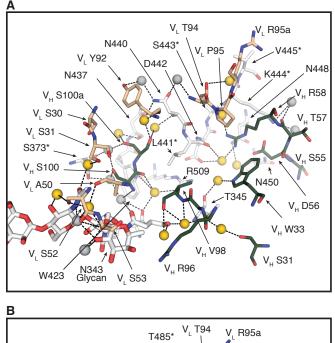
801 of S309 Fab in complex with spike trimer (PDB: 6WPS) (right, only the comparable RBD regions 802 are shown). CV38-142 Fab heavy chain is in forest green and light chain in wheat, S309 Fab 803 heavy chain in grey and light chain in cyan, SARS-CoV-2 RBD in white and SARS-CoV RBD in 804 pale blue. The N343 glycan in SARS-CoV-2 and N330 glycan in SARS-CoV are shown as sticks. 805 The same perspective views are used for the comparison. The overall structure of SARS-CoV-2 806 RBD in complex with CV38-142 and COVA1-16 is shown in Figure S1A. B. Interactions between 807 CV38-142 Fab residues and N343 (SARS-CoV-2) and N330 (SARS-CoV) glycans are shown in 808 stick representation. Water molecules mediating the antibody-antigen interaction are shown in 809 spheres (grey; yellow for shared water-mediated interactions between SARS-CoV-2 and 810 SARS-CoV). Dashed lines (black) represent hydrogen bonds. Residues of the heavy and light 811 chain are both involved in the interactions with glycans. The interactions of CV38-142 with SARS-812 CoV-2 RBD and SARS-CoV RBD are similar. C. Glycan removal in the RBD decreases binding 813 between CV38-142 and SARS-CoV-2 RBD. The binding kinetics were measured by BLI with 814 CV38-142 Fab on the biosensor and RBD in solution. SARS-CoV-2 RBD was pretreated with or 815 without PNGase F digestion in the same concentration and condition before being used in the BLI 816 assay. Concentrations of RBD serial dilution are shown in the right panel. The association and 817 disassociation were recorded in real time (s) in the x axis and response (nm) on the y axis as colored lines. Disassociation constant (K<sub>D</sub>) values were obtained by fitting a 1:1 binding model 818 819 with fitted curves represented by the dash lines.



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Figure 4. Detailed interactions between CV38-142 and RBDs. SARS-CoV-2 RBD is in white, 821 822 SARS-CoV RBD in pale blue, CV38-142 heavy chain in forest green and light chain in wheat, and 823 ACE2 in pale green. Corresponding residues that differ between SARS-CoV-2 and SARS-CoV 824 are labelled with asterisks (\*). Dashed lines (black) represent hydrogen bonds or salt bridges. A. 825 Direct interactions between CV38-142 and SARS-CoV-2 RBD are shown in sticks. B. Surface 826 representation of the CV38-142 epitope site in SARS-CoV-2 RBD. The CV38-142 epitope is exposed to solvent regardless of whether the RBD is in the "up" or "down" state. RBDs are shown 827 828 in surface representation model with symmetry derived from the spike protein (PDB: 6VYB) to

829 show their solvent accessible surface area in either "up" or "down" state. The buried surface area 830 (BSA) was calculated by PISA program (Krissinel and Henrick, 2007). The epitope surface buried 831 by the CV38-142 heavy chain is shown in orange and that by the light chain in purple. The total surface area buried on the RBD by CV38-142 is 792 Å<sup>2</sup> with 629 Å<sup>2</sup> (79%) contributed by the 832 833 heavy chain and 163 Å<sup>2</sup> (21%) by the light chain. C. Direct interactions between CV38-142 and 834 SARS-CoV RBD. The same perspective is used as in A. D. Structural alignment illustrating a 835 model with simultaneous binding by CV38-142 and ACE2 to SARS-CoV-2 RBD. Structures of 836 CV38-142 Fab+SARS-CoV-2 RBD and ACE2+SARS-CoV-2 spike are aligned by superimposition 837 of their RBD. The scale bar shows the closest distance between ACE2 and CV38-142, which is 838 6 Å, although some sugars in the N53 glycan are not visible in the electron density map.



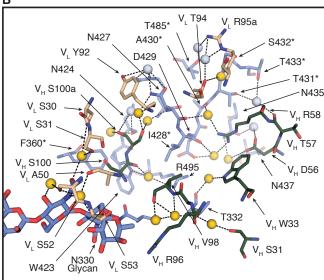
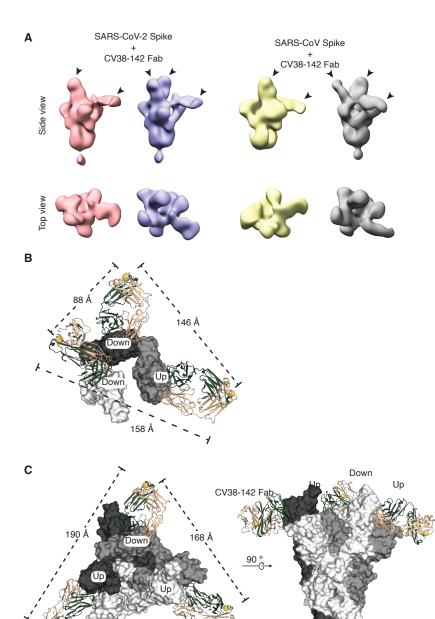
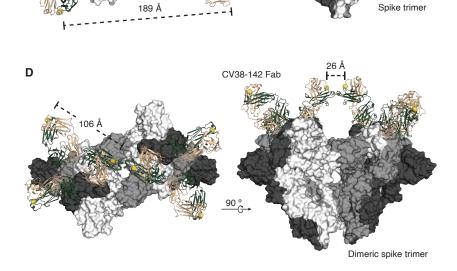


Figure 5. A plethora of water molecules mediating interactions between CV38-142 and SARS-CoV-2 and SARS-CoV RBD. SARS-CoV-2 RBD is in white, SARS-CoV RBD in pale blue, CV38-142 heavy chain in forest green and light chain in wheat. Corresponding residues that differ between SARS-CoV-2 and SARS-CoV are labelled with asterisks (\*). Dashed lines (black) represent hydrogen bonds. Amino acid residues as well as the glycans involved in the watermediated interactions are shown in sticks. Yellow spheres indicate water molecules in the same location in the structures of the CV38-142 Fab+SARS-CoV-2 RBD+COVA1-16 Fab complex (**A**)

and the CV38-142 Fab+SARS-CoV RBD (B). Grey spheres indicate unique water molecules in

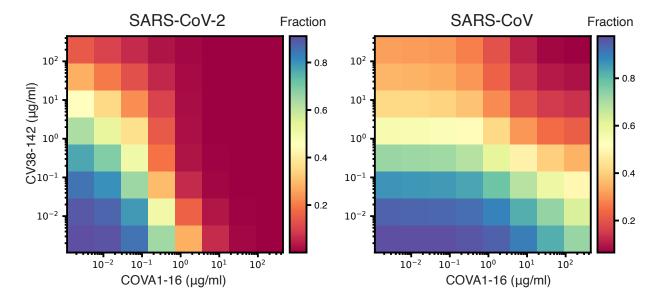
848 each complex structure.





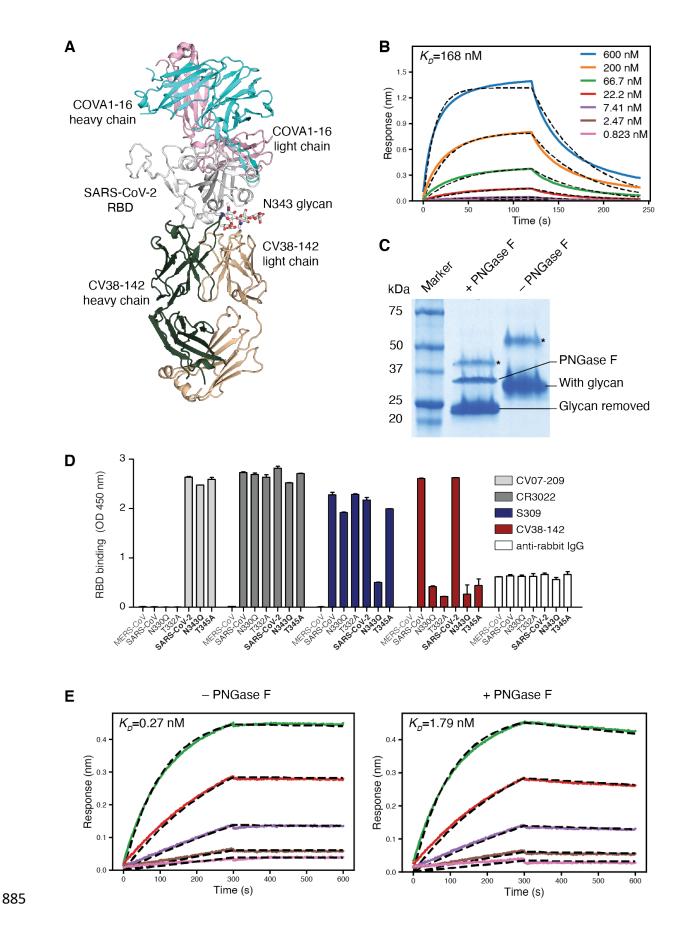
850 Figure 6. CV38-142 Fab binding to SARS-CoV-2 and SARS-CoV spike trimers. A. CV38-142 851 Fab binding to spike trimers observed by nsEM. Representative 3D nsEM reconstructions are 852 shown of CV38-142 Fab complex with the spike trimers with its RBDs in "up" and "down" states. 853 The location of the bound CV38-142 Fabs are indicated by arrow heads. SARS-CoV-2 (pink) or 854 SARS-CoV (yellow) spikes with at least one "up" RBD and one "down" RBD are bound by two 855 CV38-142 Fabs. The spikes (pale blue to SARS-CoV-2 and grey to SARS-CoV) with RBD in the 856 two "down", one "up" states are bound by three Fabs. Other binding stoichiometries and 857 conformations are show in Figure S6. B-D. C-termini distances of CV38-142 Fab binding to 858 spikes. The three RBDs (B) or three protomers (C–D) in the spike trimer are shown in white, grey 859 and dark, respectively. CV38-142 Fabs are shown in ribbon representation with heavy chain in 860 forest green and light chain in wheat. The C-termini of CV38-142 heavy chains are shown as 861 spheres (vellow). Dashed lines represent distances among the various combinations of C-termini. 862 B. nsEM fitting model. To measure the distances between C-termini of CV38-142 Fabs in nsEM 863 data, the crystal structure of CV38-142 Fab+SARS-CoV-2 was fitted into the nsEM density in A 864 (second from the left). (C-D) Structural superimposition of CV38-142 Fabs onto the spike trimer, 865 which is shown in surface representation. Alignment of CV38-142 Fab binding to the spike trimer 866 with RBD in two "up", one "down" state (PDB: 7CAI) (C) or to a dimeric spike trimer that is found 867 in Novavax vaccine candidate NVAX-CoV2373 with RBD in "all-down" state (PDB: 7JJJ) (Bangaru 868 et al., 2020) (D). The **B–D** models represent various possibilities of CV38-142 binding to the spike 869 protein on the viral surface.





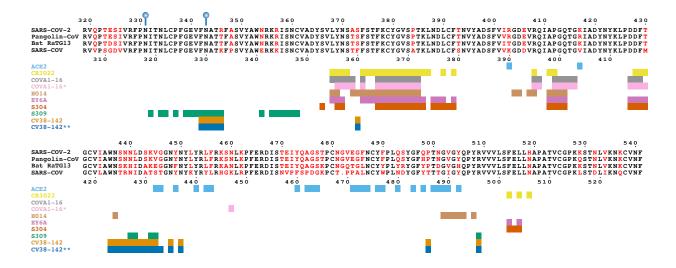


872 Figure S1. Quantification of synergy between CV38-142 and COVA1-16 using the MuSyC 873 model. Neutralization percentage was used to generate the fraction data with 1 indicates no 874 neutralization and 0 indicates 100% neutralization. Heatmap plot shows the fraction data used for 875 synergy quantification. A>1, y>1, or  $\beta$ >0 indicate synergism while  $\alpha$ <1, y<1, or  $\beta$ <0 indicate 876 antagonism. CV38-142 was assigned as the first antibody and COVA1-16 was assigned as the 877 second antibody in the analysis. For SARS-CoV-2, CV38-142 and COVA1-16 synergistically 878 change each other's neutralization potency ( $\alpha_{21}$ =5314,  $\alpha_{12}$ =671) and CV38-142 increase the 879 steepness of COVA1-16's neutralization Hill slope, while COVA1-16 decrease the steepness of 880 CV38-142's neutralization Hill slop ( $y_{21}$ = 2.1,  $y_{12}$ =0.38). For SARS-CoV, CV38-142 and COVA1-881 16 synergistically change each other's neutralization potency ( $\alpha_{21}=27$ ,  $\alpha_{12}=123$ ) and COVA1-16 882 increased the efficacy of CV38-142 as indicated by the positive synergistic efficacy score ( $\beta$ =0.4). 883 However, the synergistic efficacy ( $\beta$ ) in SARS-CoV-2 neutralization and synergistic cooperativity 884 (y) in SARS-CoV neutralization are ambiguous (not interpretable) at a 95% confidence interval.



886 Figure S2. N343 glycan involved in binding to CV38-142. A. Crystal structures of SARS-887 CoV-2 RBD in complex with CV38-142 and COVA1-16 Fabs. Ribbon representation of SARS-888 CoV-2 complexed with both CV38-142 Fab and COVA1-16 Fab. The N343 glycan is shown in 889 sticks. SARS-CoV-2 RBD is in white, CV38-142 heavy chain in forest green and light chain in 890 wheat, and COVA1-16 heavy chain in cyan and light chain in pink. There is no overlap between 891 COVA1-16 and CV38-142 epitope as well as no interaction between COVA1-16 Fab and CV38-892 142 Fab when bound to the same RBD. B. Decreased binding affinity between CV38-142 Fab 893 and SARS-CoV-2 RBD expressed in HEK293S cell. HEK293S cell does not have N-894 acetylglucosaminyltransferase I (GnTI) and therefore protein expressed in this cell lack complex 895 N-glycans (Reeves et al., 2002). N343 glycan of SARS-CoV-2 RBD expressed in HEK293S cell 896 has no fucose molety and abolishes its interaction to CV38-142 as shown in Figure 3B. Binding 897 kinetics were measured by biolayer interferometry (BLI) with RBDs on the biosensor and Fab in 898 solution. Concentrations of Fab serial dilution are shown in upper right insert. The association and 899 disassociation were recorded in real time (s) on the x axis with binding response (nm) on the y 900 axis with colored lines. Disassociation constant ( $K_D$ ) values were obtained by fitting a 1:1 binding 901 model. The fitted curves are represented by the dash lines (black). C. PNGase F treatment 902 removes glycans in the SARS-CoV-2 RBD. Non-reducing sodium dodecyl sulphate-903 polyacrylamide gel electrophoresis (SDS-PAGE) showed the shifted bands between treated and 904 untreated SARS-CoV-2 RBD. Lanes of protein marker, PNGase F treated sample, control sample 905 are indicated above the gel. Protein bands corresponding to SARS-CoV-2 RBD with glycan, with 906 glycan removal, and PNGase F are labeled. Asterisk (\*) indicates a small fraction of dimeric RBD 907 formed during protein production. **D.** Mutation in the N343 sequon results in a large decrease in CV38-142 binding to the SARS-CoV-2 RBD. Rabbit IgG1 Fc-tagged RBDs of MERS-CoV. SARS-908 909 CoV, SARS-CoV-2 as well as mutant RBDs were coated on a 96-well plate. Binding of the 910 indicated anti-RBD antibodies was tested using an enzyme-linked immunosorbent assay (ELISA).

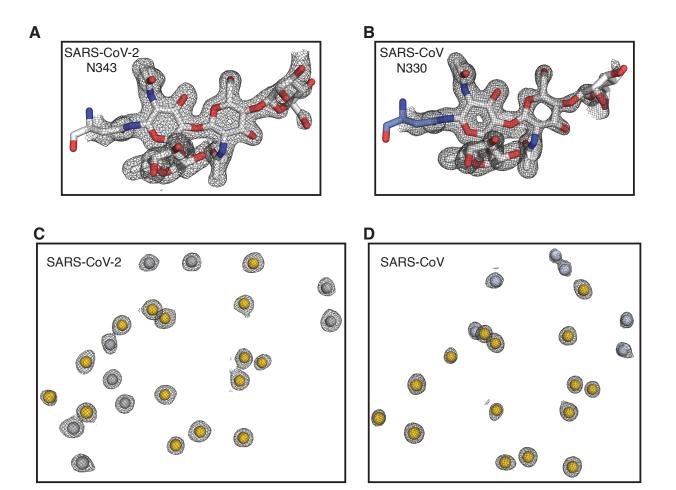
911 Abolishing the N343 glycosylation by introducing either N343Q or T345A in SARS-CoV-2 and 912 N330Q or T332A in SARS-CoV RBD significantly decreased the CV38-142 binding, with no 913 obvious loss on binding by other antibodies such as CR3022 and CV07-209. S309 appears to be 914 less susceptible to the absence of N343 glycosylation. Note that S309 binds T345A stronger than 915 N343Q, although both mutations lead to no glycosylation at residue 343. N343Q in SARS-CoV-2 916 may either lead to some steric clashes for antibody binding to the N343Q site that is not the case 917 for T345A or result in a less stable RBD that interferes with the binding detection, as seen by 918 deep mutational scanning (Starr et al., 2020). Two independent repeats were performed, and bar 919 values indicate mean RBD binding with error bars represent the standard deviation. E. N343 920 glycan aids S309 binding to SARS-CoV-2 RBD (Pinto et al., 2020). The binding kinetics were 921 measured by BLI with S309 on the biosensor and RBD in solution. SARS-CoV-2 RBD was treated 922 with or without PNGase F digestion in the same concentration and condition before being used 923 in the BLI assay. Concentrations of RBD serial dilution are color coded as in **B**. The association 924 and disassociation were recorded in real time (s) on the x axis and response (nm) on the y axis 925 as colored lines. Disassociation constant (K<sub>D</sub>) values were obtained by fitting a 1:1 binding model 926 with fitted curves represented by the dash lines.

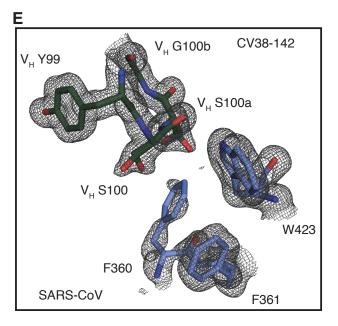


927

928 Figure S3. CV38-142 epitope and comparison with other cross-reactive antibodies. 929 Epitopes of cross-reactive antibodies on SARS-CoV-2 or SARS-CoV RBD. Sequence alignment 930 of CV38-142 reactive RBDs from SARS-CoV-2, SARS-CoV, bat coronavirus RaTG13, and 931 Guangdong pangolin coronavirus RBD with non-conserved residues highlighted in red. The 932 conserved glycosylation sites are marked with blue balloons. Numbers corresponding to SARS-933 CoV-2 RBD and SARS-CoV RBD are labelled every ten residues above and below the sequences 934 panel. Colored bars representing the RBD epitope residues corresponding to each antibody or 935 ACE2 are shown under the sequence panel with their ligand name (ACE2 or antibody) on the left. 936 Epitope residues or ACE2-interacting residues are assigned as BSA>0 Å<sup>2</sup> as calculated by the 937 PISA program (Krissinel and Henrick, 2007) for SARS-CoV-2 RBD with ACE2 (PDB: 6M0J). CR3022(PDB: 6XC3), COVA1-16(PDB: 7JMW), H014(PDB: 7CAH), EY6A(PDB: 6ZCZ), S304 938 939 and S309 (PDB: 7JX3). \* indicates COVA1-16 epitope on SARS-CoV-2 RBD calculated from its 940 structure complexed with CV38-142 Fab and SARS-CoV-2 RBD reported in this study as 941 compared to that without CV38-142 (above). The slight discrepancy in COVA1-16 epitope 942 residues is due to the improvement in resolution rather than the simultaneous binding of CV38-

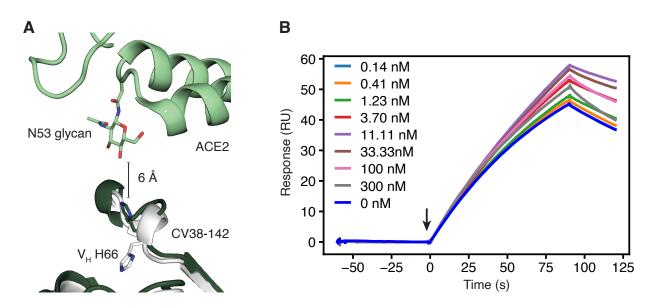
- 943 142. \*\* indicates CV-38-142 epitope on SARS-CoV RBD reported in this study in comparison to
- 944 that on SARS-CoV-2 RBD also reported in this study (above).





## 946 Figure S4. Electron density for glycans, water molecules and region around F360 in the

- 947 **RBD.** 2mFo-DFc Sigma-A weighted maps were calculated by Phenix software and contoured at
- 948 1.0 σ to show electron density in mesh with the refined structure in spheres (water molecules) or
- 949 sticks. Glycans and residues are shown in sticks. Water molecules are shown in spheres. Maps
- 950 are shown in grey meshes. A. Electron density for the SARS-CoV-2 N343 glycan. B. Electron
- 951 density for the SARS-CoV N330 glycan. C. Electron density for waters in the interface between
- 952 CV38-142 and SARS-CoV-2 RBD. Shared waters interacting to both SARS-CoV-2 and SARS-
- 953 CoV RBD are highlighted in yellow. D. Electron density for waters in the interface between CV38-
- 954 142 and SARS-CoV RBD. E. Electron density for F360 of SARS-CoV RBD and its surrounding
- 955 residues. CV38-142 is in forest green and SARS-CoV RBD in pale blue.

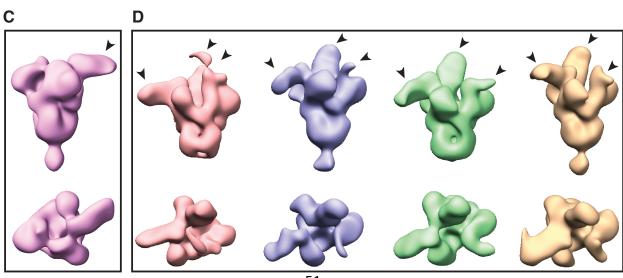


956

Figure S5. A. Close-up view of S60-H66 region of the CV38-142 Fab that is close to the N53 957 958 glycan of ACE2 if both were to bind SARS-CoV-2 RBD. Structures of CV38-142 Fab+SARS-CoV-959 2 RBD (forest green), CV38-142 Fab +SARS-CoV RBD (white), and ACE2+SARS-CoV-2 spike 960 are aligned by superimposition of their RBD. V<sub>H</sub> H66 of CV38-142 in the Fab complex with SARS-961 CoV-2 and SARS-CoV RBD is shown as sticks. The closest distance between ACE2 and CV38-962 142 is 6 Å, while  $V_H$  H66 as well as the rest of region S60-H66 of CV38-142 show some flexibility 963 to accommodate the N53 glycan of ACE2. B. Surface plasma resonance (SPR) competition assay. 964 Human ACE2 was immobilized on a CAP sensor chip before the measurement of competition. 965 Binding to ACE2 was monitored in real time. Arrow indicates the timepoint of injection of SARS-966 CoV-2 RBD+CV38-142 IgG mixture. The concentration of SARS-CoV-2 RBD is fixed to 10 nM 967 with while increasing the concentration of CV38-142 IgG as indicated in the insert legend. No 968 inhibition from CV38-142 IgG has been observed as all the concentration tested give very similar on- and off-rate in the binding of ACE2 to the given concentration of SARS-CoV-2 RBD. 969

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## 971 Figure S6. nsEM 2D images and 3D reconstruction of CV38-142 Fab in complex with SARS-

972 CoV-2 and SARS-CoV spikes. A-B. 2D classification of the nsEM images showing various 973 binding stoichiometries between CV38-142 Fab and SARS-CoV-2 spike (A) and SARS-CoV spike 974 (B). C-D. 3D reconstruction of SARS-CoV spike bound with one CV38-142 Fab (C) and three 975 CV38-142 Fabs (D). Arrow heads indicate the RBD with Fab bound. C. The spike with at least 976 one "up" RBD. CV38-142 Fab binds to the RBD in the "up" conformation. **D.** The spike with at 977 least one "up" RBD and one "down" RBD. Fabs show binding at various angles among these 3D 978 reconstructions due to flexibility of the RBD in the spike and whether the RBD is up or down. The 979 EM maps for some RBDs bound to Fab are difficult to interpret due to the heterogeneous

980 conformations resulting from the RBD flexibility.

## 981 Table S1. Crystallographic data collection and refinement statistics

	CV38-142 Fab + SARS-CoV-2 RBD + COVA1-16 Fab	CV38-142 Fab + SARS-CoV RBD
Data collection		
Beamline	APS 23ID-D	APS 23ID-B
Wavelength (Å)	0.97934	1.03317
Space group	P 21 21 21	C121
Unit cell parameters		
a, b, c (Å)	59.7, 148.2, 162.3	238.0, 71.9, 49.2
α, β, γ (°)	90, 90, 90	90, 90.8, 90
Resolution (Å) <sup>a</sup>	50.0–1.94 (1.97–1.94)	50.0 –1.53 (1.56–1.53)
Unique reflections <sup>a</sup>	107,834 (5,287)	120,261 (5,241)
Redundancy <sup>a</sup>	10.2 (8.3)	6.4 (3.8)
Completeness (%) <sup>a</sup>	100 (100)	95.7 (84.1)
< <b>I</b> /01> <sup>a</sup>	29.2 (2.5)	24.5 (1.1)
<i>R</i> <sub>sym</sub> <sup>b</sup> (%) <sup>a</sup>	8.6 (87.3)	9.1 (79.4)
<i>R</i> <sub>pim</sub> <sup>b</sup> (%) <sup>a</sup>	2.8 (32.2)	3.9 (41.9)
CC <sub>1/2</sub> <sup>c</sup> (%) <sup>a</sup>	99.8 (73.4)	99.1 (64.7)
Refinement statistics		
Resolution (Å)	33.7–1.94	49.2–1.53
Reflections (work)	101,477	114,184
Reflections (test)	5,355	6,011
R <sub>cryst</sub> <sup>d</sup> / R <sub>free</sub> <sup>e</sup> (%)	16.9/20.0	17.0/19.2
No. of atoms	9,315	5619
Macromolecules	8,195	4912
Glycans	49	74
Solvent	1,071	633
Average <i>B</i> -value (Ų)	23	27
Macromolecules	22	26
Fab	22	24
RBD	23	30
Glycan	32	32
Solvent	32	37
Wilson <i>B</i> -value (Ų)	19	19
RMSD from ideal geometry	у	
Bond length (Å)	0.011	0.011
Bond angle (°)	1.07	1.45
Ramachandran statistics (	(%)	
Favored	97.5	97.4
Outliers	0.0	0.0
PDB code	7LM8	7LM9

<sup>982</sup> 983 984 985 986 987 988

<sup>a</sup> Numbers in parentheses refer to the highest resolution shell.

<sup>b</sup>  $R_{sym} = \sum_{hkl} \sum_{i} | I_{hkl,i} - \langle I_{hkl} \rangle | \sum_{hkl} \sum_{i} | I_{hkl,i}$  and  $R_{pim} = \sum_{hkl} (1/(n-1))^{1/2} \sum_{i} | I_{hkl,i} - \langle I_{hkl} \rangle | \sum_{i} I_{hkl,i}$ , where  $I_{hkl,i}$  is the scaled intensity of the i<sup>th</sup> measurement of

reflection h, k, l, < l<sub>hk</sub>> is the average intensity for that reflection, and *n* is the redundancy.

° CC<sub>1/2</sub> = Pearson correlation coefficient between two random half datasets.

 $d_{\text{R}_{\text{cryst}}} = \sum_{hkl} |F_o - F_c| / \sum_{hkl} |F_o| \times 100$ , where  $F_o$  and  $F_c$  are the observed and calculated structure factors, respectively.

8 e Rfree was calculated as for Rcryst, but on a test set comprising 5% of the data excluded from refinement.

990	Table S2. Polar interactions identified at the antibody-antigen interface using the PISA
991	program*

991

992

Chain	Residue	Atom	Distance (Å)	Chain	Residue	Atom				
SARS-CoV-2										
М	SER 100	Ν	2.9	А	ASN 343	OD1				
М	ARG 58	NH1	2.8	А	LEU 441	0				
М	SER 55	0	3.2	А	ASN 450	ND2				
М	ASP 56	OD2	3.7	А	ASN 450	ND2				
М	THR 57	0	2.9	А	LYS 444	NZ				
N	TYR 92	0	3.6	А	ASN 440	ND2				
М	ASP 56	OD1	2.9	А	LYS 444	NZ				

## SARS-CoV

Н	SER 100	Ν	3.2	А	ASN 330	OD1				
Н	ARG 58	NH1	2.7	А	ILE 428	0				
Н	SER 55	0	3.1	А	ASN 437	ND2				
L	TYR 92	0	3.2	А	ASN 427	ND2				

993

994 \*Direct polar interactions of CV38-142 with SARS-CoV-2 RBD that are lost on binding to SARS-CoV are highlighted in yellow. 995