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## Engineering enhanced CAR T-cells for improved cancer therapy

Michael C. Milone<sup>1,2</sup>, Jie Xu<sup>1,2,3</sup>, Sai-Juan Chen<sup>3</sup>, McKensie A. Collins<sup>1,2</sup>, Jiafeng Zhou<sup>4</sup>, Daniel J. Powell Jr.<sup>1,2</sup>, J. Joseph Melenhorst<sup>1,2,+</sup>

<sup>1</sup>Center for Cellular Immunotherapies, Perelman School of Medicine at the University of Pennsylvania, Philadelphia, PA 19104, USA

<sup>2</sup>Department of Pathology and Laboratory Medicine, Perelman School of Medicine at the University of Pennsylvania, Philadelphia, PA 19104, USA

<sup>3</sup>Department of Hematology, Shanghai Institute of Hematology, Ruijin Hospital affiliated to Shanghai Jiao Tong University School of Medicine, Shanghai, PR China

<sup>4</sup>Immunotherapy Research Center for Hematologic Diseases of Hubei Province, PR China

### Abstract

Chimeric antigen receptor (CAR) T-cell therapies have evolved from a research tool to a paradigm-shifting therapy with impressive responses in B cell malignancies. This review summarizes the current state of the CAR T-cell field, focusing on CD19- and B cell maturation antigen-directed CAR T-cells, the most developed of the CAR T-cell therapies. We discuss the many challenges to CAR-T therapeutic success and innovations in CAR design and T-cell engineering aimed at extending this therapeutic platform beyond hematologic malignancies.

### Keywords

chimeric; antigen; CAR; T cell; cancer

### Introduction

Although anti-tumor immunity by T lymphocytes has been known for decades, translating it into anti-cancer therapies has been challenging. However, biological advances, such as the generation of single chain antibody fragments (scFv)<sup>1</sup>, the elucidation of pathways mediating the activation of functional memory T-cells<sup>2,3</sup>, and molecular cloning<sup>4</sup> have led to the engineering of chimeric antigen receptor (CAR) T-cells, introducing a new era

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**+Corresponding author:** J. Joseph Melenhorst, PhD, Perelman School of Medicine of the University of Pennsylvania, 505A Stellar Chance Laboratories, 422 Currie Boulevard, Philadelphia, PA 19104, USA, [mej@penncmedicine.upenn.edu](mailto:mej@penncmedicine.upenn.edu).

Author contributions

JJM developed the concept of the papers and all authors contributed to the first draft of this manuscript. All authors approve this final version.

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of cancer immunotherapy<sup>5,6</sup> and permitting the treatment of large groups of patients with genetically-augmented patient-derived T-cells.

The first generation of CAR T-cells fused the scFv antibody fragment to T-cell signaling domains comprising the immunoreceptor tyrosine-based activation motif (ITAM), offering a relatively simple method of endowing T-cells with MHC-independent recognition of antigen<sup>7</sup>. Over the following two decades, the CAR platform evolved into 2<sup>nd</sup> generation (2 domain) and 3<sup>rd</sup> generation (3 domain) CARs that incorporated additional signal transduction domains, including cytoplasmic domains from important T-cell costimulatory receptors such as CD28, CD137 (4-1BB), and CD134 (OX-40) (reviewed in<sup>8,9</sup>). These additional signaling domains promote both the persistence and anti-tumor activity of CAR-T-cells following adoptive transfer<sup>3,10-14</sup>, and were essential to avoid the anergy observed with 1<sup>st</sup> generation CARs<sup>15</sup>.

The remarkable ability of a CAR to reprogram T-cell specificity led to attempts at clinical translation. The earliest clinical application used a simple CAR design comprised of a CD4 ectodomain fused to the CD3 $\zeta$  cytoplasmic domain to treat HIV-infected patients<sup>16</sup> and established both the safety of engineered CAR T-cells, as well as the potential for decade-long persistence of the genetically-modified T-cells<sup>17</sup>. Subsequent studies evaluating first and second-generation scFv-based CARs soon followed, leading to the demonstration of robust activity of CD19-specific CAR T-cells and ultimately the regulatory approval of two CAR T-cell therapies for hematologic malignancies in the USA in 2017 and Europe in 2018.

As preclinical models of adoptive T-cell therapy are limited, correlative studies performed during their clinical development to determine the kinetics and quality of the infused CAR T-cells, measure tumor cells dynamics, and assess cytokine levels and repertoires during therapy, have proven pivotal in improving our understanding of these complex therapies and enhancing their clinical application. These correlative studies have highlighted many factors that are essential to safely achieving both deep and durable clinical responses in otherwise treatment-refractory cancers. Here, we discuss the important role of correlative science in developing CAR T-cell therapies, and highlight the challenges still faced during clinical application and the new technologies promising to address these complications to help extend this therapeutic modality beyond B-cell malignancies.

## Efficacy and toxicities of CD19-specific CAR T-cell therapies

Normal and malignant B cells uniformly and exclusively express CD19<sup>18</sup>, the dominant signaling moiety of a tetramolecular complex consisting of CD21, CD81, and CD225, which modulates B-cell receptor signaling and mediates immunoglobulin-induced B cell activation<sup>19</sup>. Given CD19's broad expression within the B-cell lineage from early pro-B cells to subsets of plasma cells (Fig. 1), and its generally uniform expression on B-cell malignancies<sup>20</sup> this molecule became a prime target of CAR T-cell approaches. The initial encouraging results in relatively small studies in non-Hodgkin's Lymphoma (NHL)<sup>13,21</sup>, Chronic Lymphocytic Leukemia (CLL)<sup>22-24</sup> and Acute Lymphoblastic Leukemia (ALL)<sup>24,25</sup> have since been confirmed in larger cohorts<sup>26-36</sup>. So far, the first CLL patients treated

with anti-CD19 CAR T-cells have sustained remission beyond 9 years<sup>37</sup>, and the first ALL patient to be treated with the same engineered product, has been in remission for more than 7 years<sup>25</sup>.

Generally, the overall response rate (ORR) has been highest in B-ALL (>80%), variable in lymphomas (63%~100%) and lower in CLL (50–70%)<sup>28,35,38,39</sup>. CLL patients who achieve remission with anti-CD19 CAR T-cell treatment sustained their disease-free state<sup>35,40,41</sup>. In ALL, however, only 20–40% of patients sustained remission on this therapy<sup>28,32,33,35,38,39</sup>. Loss of CD19 expression is a major mechanism of resistance in ALL, accounting for ~2/3 of relapse cases and is a well-recognized phenomenon in lymphoma as well<sup>42–44</sup>. Loss of CAR T-cell engraftment may account for most of the remaining cases of relapse<sup>26</sup>. Initial small trials<sup>13,24</sup> followed by larger ones<sup>32,33,45</sup> confirmed the immense potential for this therapy also in NHL. Both the CD28-<sup>33</sup> and 4–1BB cosignaling anti-CD19 CAR T-cells<sup>46</sup> induced complete remission in 40–50% of patients, most of whom remained disease-free. Although clinical responses were generally sustained in NHL, most disease-free patients would display normal B cell recurrence and loss of detectable CAR T-cells, suggesting that other mechanisms were responsible for longterm tumor control in NHL.

Interestingly, although ALL and CLL patients generally achieved their best overall response within the first month following CAR T-cell infusion, lymphoma patients often continued to improve beyond the first month, with some patients not achieving their maximal response until 6 months post-CAR T-cell treatment<sup>32,33,45,47</sup>. The reasons for these differences are not understood, and remain an important subject of study in the post-marketing phase.

Although CD19-specific CAR T-cell therapies have shown remarkable clinical activity against B-cell malignancies, these deep and durable responses do come at a cost of some unique adverse effects. Cytokine release syndrome (CRS) is the most frequently observed adverse event in CART19-treated patients. Most CRS is mild or moderate in severity and manageable. However, the frequency of severe CRS across studies, reported in 19.8–38.8% of treated individuals<sup>48</sup>, has been clouded by the use of diverse grading systems. Fortunately, a new consensus grading system for CRS was recently described, the adoption of which should greatly facilitate comparing its incidence across different CAR T-cell products<sup>49</sup>. In addition to CRS, a somewhat unique and unexpected neurotoxicity has also been observed in CD19-specific CAR T-cell-treated patients. This toxicity can range from mild delirium to severe encephalopathy. The incidence of neurotoxicity may depend on the disease and CAR design. Severe neurotoxicity was seldomly reported in CLL patients treated with the BB $\zeta$ -signaling CAR<sup>24,28,35,50</sup>, but observed in every CAR T-cell trial for ALL<sup>26,29,33,38,39</sup>, more prominently with a CD28 $\zeta$  signaling CAR<sup>38,39</sup>. Myelosuppression has also been observed in anti-CD19 CAR T-cell-treated lymphoma and leukemia patients<sup>33,36,39,51</sup>. Additionally, during the first 8 weeks post-infusion, febrile neutropenia, and tumor lysis syndrome is commonly observed in lymphoma patients treated with the BB $\zeta$ -based CAR T-cells<sup>45</sup>. The majority of adverse events have been reversible through supportive care, cytokine inhibitors and glucocorticoid<sup>52</sup>.

## Generating hypotheses and interventions with correlative studies

Defining the kinetics, homing, and bioactivity of the cell therapy product and the tumor response to treatment in each patient requires diligent monitoring, as these are critical components in the continued translational cycle from the bench to the bed and back again. Furthermore, the US Food and Drug Administration (FDA) mandates that sponsors observe study participants for delayed adverse events for as long as 15 years following the infusion of modified cells (<https://www.fda.gov/media/113768/download>). To this end, it is desirable to include a correlative studies laboratory in an organization which operates according to Good Clinical Laboratory Practice (<https://www.niaid.nih.gov/sites/default/files/gclp.pdf>) (Fig. 2) to ensure that biospecimens from patients on cell therapy are handled by qualified personnel following experimental processes specified by Standard Operating Procedure (SOP). Sample analytics and biobanking are two critical activities in such a laboratory, all of which should be carried out using rigorously validated, SOP-defined procedures. As most phase I trials are run in academic centers, some of the analytical methods would have to be developed and validated for novel, innovative therapies such as the CRISPR/Cas9-mediated disruption of endogenous genes in mature T-cells, combined with lentiviral delivery of a tumor-targeting T-cell receptor<sup>53</sup>. An example is the frequent monitoring of CAR T-cell bioactivity in terms of changes in cytokine and soluble cytokine receptor levels<sup>22,23,25,54–56</sup> in serum early after infusion, given that high-grade toxicities may rapidly develop upon treatment.

The value of correlative studies is underscored by the identification of a rise in interleukin-6 (IL-6) levels in association with the onset of CRS in patients, which played a central role in prompting the evaluation of IL-6/IL-6 receptor blockade in severe CRS<sup>25</sup>. This insight proved life-saving for many patients, and formed the foundation for co-developing anti-IL-6 and CD19 CAR T-cell therapy, leading to their concurrent FDA approval for severe CRS<sup>57</sup> and B cell ALL, respectively. More extensive analyses of serum from patients in multiple trials have led to the discovery and validation of biomarkers of CRS and neurotoxicity, providing insight into the mechanisms that drive them<sup>58</sup> and potential paths to predicting these complications of CAR T-cell therapy. Although not all studies agree on the precise cytokines<sup>55</sup> or biomarkers<sup>56</sup> to interrogate, they all focus on identifying predictive markers and developing algorithms to distinguish patients at increased risk of developing life-threatening toxicities.

Biobanked cells from patients have played a critical role in identifying mechanisms of resistance to CD19-specific CAR therapy. One of the earliest reports of CART19 in ALL revealed evidence of relapse in the context of loss of CD19 expression, which has been demonstrated to be the dominant resistance mechanism in ALL, occurring through various genetic mechanisms and rare iatrogenic causes<sup>59–61</sup>. Early loss of CAR T-cells preceded by normal B cell recovery is another commonly observed event association with relapse<sup>26</sup>. Analyses of the T-cells used for manufacturing the CAR T-cells as well as the product itself have revealed a number of associations that link CAR T-cell quality to outcome. In particular, the presence of naive-like CD27+CD45RO- cells in the apheresis product used for CART19 generation was shown to predict engraftment and clinical response in CLL<sup>41</sup>. The reinfusion of relapsing leukemia patients with a murine scFv-based CAR has been

associated with reduced expansion compared with first infusion<sup>62,30,31</sup>, suggesting that an immune-mediated mechanism may underlie resistance to retreatment. Humanizing or developing a fully human scFv fragment might therefore enhance therapeutic success<sup>62</sup>. Recently, defects in death receptor signaling have been identified in a subset of ALL that is resistant to CD19-specific CAR T-cell therapy, providing additional resistance mechanisms beyond CD19 loss<sup>63</sup>.

Correlative studies also reveal differential kinetics of the CAR T-cells in responding and non-responding patients with CLL<sup>28</sup> and ALL<sup>29,64,65</sup>, which led to the development of an *in vitro*, proliferation-based potency assay<sup>41,66</sup>. This correlation between clinical response and *in vivo* CART19 cell proliferation, was not evident in trials of the same product when used for NHL<sup>32,45,67</sup>, in contrast with a CD28-costimulated CAR<sup>33</sup>, suggesting that the costimulatory domain dominated the differential expansion kinetics of CART19 for NHL.

Correlative studies sometimes also provide unexpected observations that can lead to new ideas. The rather dramatic expansion of an ultra-low dose of CAR T-cells ( $1.4 \times 10^7$ ) followed by the eradication of the leukemic mass in one patient<sup>23</sup> suggested that the proliferative response was key to the anti-tumor response<sup>11,68</sup>.

In aggregate, correlative studies followed by mechanistic investigations based on samples and data from patients treated with CD19-specific CAR T-cells in clinical studies continue to improved our understanding of therapy-related toxicities and mechanisms of escape.

## The impact of T-cell biology and CAR engineering on clinical responses

Although CART19 therapy has been efficacious in ALL and NHL, many factors contributing to patient response remain poorly understood. As patient-derived T-cells are used to target a tumor-associated cell surface protein, the immune system is repurposed to treat the malignancy. Thus, the therapeutic efficacy still depends on T-cell memory and effector functions. This also includes T-cell fitness, which is affected by the malignancy and prior therapies, and, most importantly, the ability of the CAR-redirectioned T-cells to sustain the anti-tumor response, because most tumors exist in actively growing and dormant phases which can last from several several years to decades<sup>69-71</sup>. By harnessing T-cells, this form of immunotherapy abides by similar target cell quiescence-reactivation principles to induce a cure. Naïve and memory T-cells retain the ability to proliferate vigorously in response to cognate antigen recognition, in contrast to their effector progeny that has lost that ability and instead directly lyse the tumor. Two studies recently confirmed that this therapy depends on a functional, self-renewing T-cell pool, by demonstrating that in CLL the advanced age of the patient population in combination with effector-memory skewing limited CAR T-cell functionality (Figure 3)<sup>41,72</sup>. Further, response to therapy in CLL can be predicted based on the presence of a pool of more functional early memory cells<sup>41</sup>. CAR T-cells and other therapies that rely on immune system activation may therefore have limited effect in malignancies that terminally skew T-cell differentiation or occur in aged populations where T-cells are less functional at baseline. That baseline functionality of the T-cell pool plays a significant role in dictating response rates was confirmed in a separate study, which revealed that CD8+ T-cell dysfunction at apheresis

and the rapid expression of immune checkpoint molecules after infusion marked CAR T-cells from non-responding and partially responding ALL patients<sup>65</sup>. Therefore, CAR T-cells are subject to inhibition via endogenous immune checkpoint pathways such as PD-1<sup>73</sup>. Inhibitory receptor/ligand interactions normally dampen T-cell functions to prevent an overactive immune response and sustain a memory T-cell pool. In CAR T-cells this can result in failure to eliminate the tumor and loss of T-cell persistence. Whereas checkpoint blockade can improve responses, other immune-suppressive factors in the microenvironment can impair CAR T-cell function. Immune-suppressive cytokines, metabolic competition, and high inhibitory ligand expression levels all serve to modulate the function of cell-based therapies<sup>73–77</sup>.

## Enhancing CAR T-cell Potency by Genome Engineering

Although the natural basis of CAR T-cell efficacy as laid out in the previous sections presents the foundation of immunogene therapies with CAR T-cells (and likely other systems that depend on a sustained tumor control), CAR T-cell engineering may also impact cell function as recently reported<sup>66,78</sup>. CAR T-cells produced with lentivirus display quasi-random integration of the vector throughout the genome, introducing the potential for genomic activation or disruption events<sup>79,80</sup>. Although the majority of CAR T-cells generated in this process are polyclonal<sup>66,79</sup>, the CAR T-cell population undergoes rapid changes after infusion due to, among other factors, selective expansion of CAR T-cell clones for reasons that are currently poorly understood<sup>66,79,81</sup>. In most patients a multitude of clones contribute to the anti-tumor response<sup>79,81</sup>. Two recently published reports concern a clonal CD8+ CAR T-cell expansion in two patients, in whom the CAR was shown by sequencing vector integration sites to have integrated into the *CBL* and *TET2* gene loci. In the case of the *TET2* integration, the patient's CAR T-cell population underwent delayed expansion accompanied by tumor clearance, complete remission status, and contraction of the clonal population.<sup>66</sup> The *CBL*-integrated clone underwent a similar, albeit less dramatic expansion process<sup>78</sup>. *CBL* knockdown had been previously associated with decreased T-cell activation thresholds, reduced reliance on co-stimulation, and decreased sensitivity to PD-1 inhibition, which could represent mechanisms for the therapeutic effect<sup>82–85</sup>. These cases highlight how lentiviral integrations can significantly impact CAR T-cell growth, persistence, and effector function. Further insight into the fate of CAR T-cells was provided by analyzing the CAR vector integration site landscape in the infusion product and post-infusion aliquots of 58 CLL and ALL patients, demonstrating that CAR-mediated gene disruptions frequently occur in proliferation-augmenting pathways<sup>79</sup>. These findings suggest that such gene disruptions may be as important as T-cell quality and CAR design in the outcomes observed with CAR T-cell therapy<sup>79</sup>.

Additionally, targeted CAR integrations have revealed locus-specific regulation and protective effects. For instance, CAR expression from the T-cell receptor- $\alpha$  (*TRAC*) locus optimized CAR expression and protected cells from exhaustion compared to integration in other sites<sup>86</sup>. The genomic landscape of the CAR transgene cassette can therefore play a significant role in how individual CAR T-cells function. Unique cases such as the *TET2* and *CBL* loci integration events are informative not only on how genome regulation can influence CAR expression and function, but also in terms of novel regulators of these

functions. The identification of TET2 disruption as an enhancer of T-cell persistence has sparked a wide array of research focused on knocking out TET2 to improve CAR function and to determine the mechanisms underlying this selective advantage.

Natural killer cells have also been engineered to express a B cell targeting CAR combined with constitutive secretion of IL-15<sup>87</sup>. Pre-clinical studies have similarly demonstrated a beneficial effect of CAR T-cells co-expressing IL-15<sup>88</sup>. Based on these findings, several clinical trials have been launched to evaluate T-cells engineered to express this cytokine in conjunction with a tumor-targeting CAR. However, IL-15 was separately demonstrated to drive antigen-independent growth of T-cells, resulting in a pre-leukemic disorder in mice<sup>89,90</sup>. Therefore, the addition of a safety switch to the CAR and IL-15 construct should allow for the control of the infused cells, as indicated in the design of one of these trials ([NCT03721068](#)), which targets GD2 in brain cancers using anti-GD2 CAR with IL-15 and the iCaspase 9 safety switch. Moreover, preclinical studies have shown augmented anti-tumor efficacy of IL-18 co-expressing T-cells in a CD19-redirectioned T-cell model<sup>91</sup>. Similar combination therapies have been shown to jointly blunt tumor function and boost T-cell potency<sup>92–95</sup>. Next-generation CAR T-cell therapies incorporating such engineering approaches are expected to further raise the therapeutic index.

Tumor-redirectioned T-cells encounter numerous inhibitory signals in the tumor bed, most notoriously transforming growth factor-beta (TGF $\beta$ )<sup>96</sup>. Dominant negative TGF $\beta$ R-engineered receptor CAR T-cells showed augmented potency against a solid tumor model<sup>97</sup>, leading to the development of an ongoing clinical trial to target prostate cancers with a prostate-specific maturation antigen-specific CAR T-cell ([NCT03089203](#)).

## Tumor resistance to CAR T-cell therapy

Extensive clinical data have revealed mechanisms by which tumor cells escape CAR T-cell targeting and informed engineering advances to overcome this. The anti-CD19 CAR was shown to require minute quantities of target antigen to display full effector function<sup>98</sup> and, therefore, the tumor cells could only escape this pressure via antigen loss<sup>25,59,60,99–101</sup> or antigen masking<sup>61</sup>. Routine analyses revealed that a pediatric ALL patient treated with the murine anti-CD19 CAR relapsed with the original disease two months post-treatment<sup>25</sup>. In the ensuing months and years, similar patterns of relapse were observed from routine correlative studies, which were later confirmed by clinical pathology<sup>25,59,60,102</sup>. The molecular basis of these and similar cases of antigen loss-related anti-CD19 CAR T-cell therapy were shown to be related to the acquisition of open reading frame-disrupting mutations in the target antigen, compounded by altered mRNA splicing in tumor cells<sup>59,60</sup>. Others similarly observed antigen-negative relapse in CD19-directed CAR T-cell therapies<sup>30,38,99</sup>. Additionally, MLL-rearranged leukemias displayed lineage switch-related relapse with loss of CD19 protein expression<sup>99,100</sup>, further illustrating how the immense immune pressure exerted by CD19-specific CAR T-cells mediates a Darwinian selection of the malignant cell pool. These examples, demonstrate the impact of T-cell and tumor cell physiology on clinical responses to single antigen-directed CAR T-cell therapies. This knowledge has been used to prevent relapse through a bispecific CAR T-cell that recognizes two antigens present on the tumor surface. This anti-CD19/anti-CD22 bispecific CAR T-cell

has been successfully used to treat an adult ALL patient who remains disease-free for more than a year post-therapy<sup>103</sup>. Antigen loss has now also been observed in a patient on CD22-targeting CAR treatment<sup>104</sup>, whereas others have shown that downregulation was sufficient to evade CART22 treatment<sup>105</sup>.

## The CAR Design

As described above, many factors independent of the CAR itself impact therapeutic efficacy. Correlative studies by various groups targeting the same tumor-associated antigen, e.g. CD19, with a scFv derived from the same monoclonal antibody, e.g. FMC63, but different spacer domains, cosignaling domains etc., have allowed the identification of several “pain points” and success stories of chimeric receptors (Figure 4). First, it has become obvious that costimulation has to be engineered into the CAR, as even the transduction of memory T-cells could not rescue a first generation CAR<sup>106</sup>. Hence, around the time that CD28 costimulation was discovered as an essential component to memory T-cell formation and effector differentiation<sup>2</sup>, second generation CARs were developed that included this domain<sup>107</sup>. However, comparative clinical studies to demonstrate the differential impact of CD28 and other cosignaling domains on effector and memory function in vivo are lacking and would be useful, because despite the CAR-contained CD28 driving a profound effector differentiation, it can also render the T-cells dysfunctional with loss of persistence<sup>108</sup>.

Early data also revealed the profound impact of the spacer domain on CAR T-cell function (reviewed in<sup>109</sup>). Most early generation CARs, including those in first generation CAR designs<sup>5,110</sup>, used scFv derived from mouse antibodies. T-cells discern minute differences between cancerous and normal cells, and a single difference in amino acid residues can induce a robust immune response against this non-self entity<sup>111–113</sup>. This same selective threat elimination machinery deletes recombinant proteins containing minimal sequence divergence from the native protein just as efficiently as foreign threats<sup>114,115</sup>. It should therefore come as no surprise that suicide genes<sup>116</sup> and CARs incorporating non-human sequences are readily targeted by the immune system<sup>30,31,117–119</sup>. Moreover, the poor expansion of re-infused CAR T-cells<sup>31,55,110,120–122</sup> correlated with the detection of patient-derived T-cell epitopes in the CAR<sup>30,31</sup>. The field therefore is moving away from incorporating non-human tumor targeting moieties into the CAR<sup>62</sup>. That being said, the remarkable response rates with a non-human CAR in multiple myeloma recently suggests that deep molecular remissions are possible (see below).

## Extending CD19 CAR-T therapy beyond CD19+ malignancies

Although multiple myeloma derives from plasma cells, the terminal stage of B cell differentiation, myeloma precursor cells may express CD19. Pilot studies suggested a potential benefit to targeting CD19 in myeloma<sup>123</sup>, yet little or no activity was apparent in the vast majority of treated patients, indicating that an alternative target antigen is needed to address this disease with CAR T-cell therapy<sup>98</sup>. Myeloma cells uniformly express B cell maturation antigen (BCMA)(Fig. 1), leading to the development of BCMA-specific CAR T-cells. Currently 90 relevant clinical trials are listed on [ClinicalTrials.gov](https://clinicaltrials.gov), with a few



moving forward towards their commercial roll-out (see Tables 1 and 2 for constructs and trials furthest along in their development).

BCMA has been targeted by various groups using a diverse array of chimeric receptors (reviewed in <sup>124,125</sup>). Although human anti-BCMA CARs gained traction in myeloma<sup>126–135</sup>, non-human derived BCMA CARs, with an anti-BCMA murine<sup>136–139</sup> or alpaca-immunoglobulin<sup>140–143</sup> chain are further along in clinical trials (Table 2). Most of these products include 4-1BB as a cosignaling domain which, at least in CD19 targeting CARs.

Lymphodepletion using cyclophosphamide and fludarabine prior to adoptive T-cell transfer further boosts CAR T-cell expansion <sup>144</sup> by depleting cytokine sinks <sup>145</sup> and immune suppressive cells<sup>146,147</sup>. Although response rates vary widely among different BCMA-specific CAR T-cell products, the biggest challenge remains durability of response, with patients appearing to ultimately progress regardless of product <sup>141</sup>.

The mechanisms that underlie myeloma resistance to CART-BCMA therapy are coming to light through correlative analysis of biobanked specimens from the early phase clinical trials. Comparisons are difficult to make across trials, institutions and therapies, even though they all target the same myeloma-associated antigen, as differences in the cell manufacturing process, vector used, CAR design and the trial participant selection criteria, among other factors, are likely to affect outcome. Modulation of BCMA expression may also play a role. Early studies preselected patients based on expression of BCMA. Although to date no significant association between baseline BCMA expression and clinical response to CART-BCMA therapy has been reported in the published literature, several studies have observed a reduction in BCMA expression following therapy, which may be contributing to resistance <sup>126</sup>. The mechanism of BCMA downregulation in myeloma is not entirely understood, but this protein is naturally shed from the cell surface by the gamma-secretase protease complex<sup>148–150</sup>. The resulting increased concentrations of soluble BCMA could also block CAR binding to the native, cell-bound protein, thereby limiting the clinical impact of CART-BCMA cells further. Preliminary results of a clinical trial that included patients who had failed prior BCMA targeted therapy and combined a  $\gamma$ -secretase inhibitor (JSMD194) with a low dose of BCMA CAR-T-cells, reported a 100% response rate<sup>151</sup>.

T-cell-intrinsic mechanisms similar to those seen with CD19-specific CAR T-cells in ALL and CLL may also be contributing to resistance. In this latter setting, patient T-cells expressing a fully human, BB $\zeta$ -signaling CAR exhibited the most dramatic expansion kinetics in complete responders, whereas non-responders exhibited little expansion in the first month after infusion. This led to the discovery of an early memory T-cell subset in apheresed, i.e. pre-CAR engineering, T-cells that is associated with responses in CLL <sup>41</sup>. Similarly, data from a phase I study of BCMA-specific CAR-T-cell therapy, expansion and persistence of the CAR T-cells in non-responders was significantly lower than that observed in responders <sup>67,126,152</sup>. Again, the frequency of naïve-like, early memory T-cells within the apheresis product used to generate the CAR T-cells show a correlation with early engraftment. Although prospective studies using selected subsets of T-cells are necessary

to confirm the role of these T-cells on outcome, these data suggest that some resistance to therapy may be intrinsic to the T-cell product.

## CAR-T-cell therapy for solid tumors

Although CAR T-cells can mediate deep and durable cancer remission in B-cell malignancies, achieving comparable clinical responses in non-hematopoietic solid cancers remains a daunting task. Nevertheless, a complete response to CAR T-cell therapy of recurrent multifocal glioblastoma was achieved using multiple intracavitary and intraventricular infusions of autologous T-cells genetically-redirected to interleukin-13 receptor alpha 2 (IL13R $\alpha$ 2)<sup>153</sup>, laying the foundation for additional investigations of how to apply effective CAR T-cell therapy in this and other non-hematopoietic solid cancers<sup>5,110,154–156</sup>. CAR T-cell trials have established that deep, durable remissions with CAR-engineered cells, correlate with a minimal proportion of early memory T-cells in pre- and post-CAR engineering T-cells. Critical features include early memory T-cell differentiation in responding patients and absence or low level of T-cell dysfunction, glycolysis, effector cell differentiation, and exhaustion<sup>41</sup>. These findings were validated in functional studies and in additional cohorts of leukemias, but also myeloma and non-Hodgkin's lymphoma<sup>67</sup>. CAR T-cells targeting solid tumor antigens may have a different set of requirements to achieve efficacy than those targeting B-lineage malignancies. In addition to identifying appropriate target antigens, these requirements include the need for CAR T-cells to (i) traffic to sites of disease, (ii) migrate through tumor endothelial and stromal barriers before infiltrating into tumors, (iii) broadly attack cancer cells in the face of heterogeneous antigen expression, and (iv) thrive in a harsh tumor microenvironment (TME) characterized by hypoxia, oxidative stress, nutrient deprivation, acidic pH, as well as many immunosuppressive soluble cytokines and factors, overexpression of inhibitory molecules with coordinate expression of inhibitory receptors on T-cells, and the presence of an array of immune cells with immunosuppressive function, including Tregs, TAMs, MDSCs and TANs (Figure 3). Ultimately, CAR T-cell therapy may achieve greater efficacy in patients harboring solid tumors once approaches are developed that address each of these barriers together.

An expanding cadre of tumor-specific and tumor-associated antigens (TAAs) that could be targeted using CAR T-cell therapy in non-hematopoietic solid cancers have been identified, including mesothelin, folate receptor alpha, HER2, IL13R $\alpha$ 2, EGFRvIII, claudin 18.2, MUC1, Glypican-2, carboxy-anhydrase-IX (CAIX), and others. Nevertheless, identification of an antigen with restricted expression on solid cancer cells has been challenging. Ideally, CAR T-cells should be highly specific for a tumor-restricted antigen, expressed uniformly and at high levels on cancer cells, but not on vital healthy tissue. The importance of antigen exclusivity was demonstrated in CAR T-cell trials targeting tumor-associated antigens (TAAs) such as HER2 and CAIX that are expressed by both cancer cells and normal tissues, and which resulted in severe toxicity<sup>110,157</sup>. The need for consistent antigen expression was illustrated in a clinical trial targeting mutant EGFRvIII, a CAR target antigen with highly restricted but heterogeneous expression in glioblastoma (GBM). Although intravenous T-cell infusion resulted in CAR T-cell trafficking to the brain with accompanied antigen-directed activity against EGFRvIII+ cancer cells, the heterogeneous

EGFRvIII expression and potential antigen loss resulted in the outgrowth of antigen-negative disease <sup>155</sup>. In some cases, targeting antigens with more restricted and uniform expression in tumors, or those preferentially expressed on organs that are not essential for patient survival, such as follicle stimulating hormone receptor <sup>158</sup>, may pave the way toward broader and safer antitumor activity. Nevertheless, heterogeneous TAA expression is common in solid tumors, highlighting the need to develop multi-antigen targeting approaches or strategies that improve epitope spreading and engagement of endogenous antitumor immunity. Evidence already exists for epitope spreading and bolstering of endogenous immunity in clinical trials and in preclinical models of CAR T-cells in solid tumor <sup>159,160</sup>, suggesting antigen spreading may be necessary to improve activity. As an alternative approach to address both antigen heterogeneity and the threat of antigen loss, so-called universal immune receptors (UIRs) were created (reviewed in <sup>161</sup>). These CARs do not directly recognize the tumor antigen, but rather recognize a tag, such as biotin <sup>162</sup>, on an antigen-targeted ligand (e.g. an antibody, scFv fragment) that serves as an immunologic bridge between the CAR and the TAA. UIRs allow the modified T-cells to recognize multiple distinct TAAs simultaneously or sequentially, thus addressing both heterogeneity and TAA loss observed with monospecific CARs, with the added benefit of dose-dependent control of T-cell activity. Clinical trials of UIR T-cells are ongoing (e.g. [NCT03680560](#), [NCT03266692](#), [NCT03189836](#)). Another approach, referred to as dual or tandem CARs, allows CAR T-cells to recognize two or more distinct antigens rather than one. Proof of principle has been established in solid tumor models using a HER2/MUC1 bispecific CAR for breast cancer cells *in vitro* <sup>163</sup>, a HER2/IL-13Ra2 bispecific CAR for the treatment of a glioma xenograft *in vivo* <sup>164</sup>, and a EGFR/EpCAM/HER2 tri-specific against Raji lymphoma cells engineered to express these TAAs<sup>165</sup>. Alternatively, diversification of TAAs recognized by single CAR T-cell products for solid tumor treatment may be achieved using SynNotch systems for conditional expression a second CAR following engagement of a primary CAR with a cognate TAA, thereby allowing for potential localized expression of a CAR specific for a distinct antigen at the site of primary target encounter <sup>166</sup>. An alternative approach would be through bicistronic vectors for engineered co-expression of a CAR specific for one antigen and a soluble bispecific T-cell engager specific for a second antigen <sup>167</sup>.

Although for hematopoietic cancers intravenous (IV) infusion of CAR T-cells may target cancer cells in natural immune cell environments such as the blood, lymph nodes and bone marrow, it remains challenging to deliver CAR T-cells targeting solid tumors to distant tumor deposits. In some cases, direct intratumoral or regional delivery of T-cells may facilitate and improve T-cell infiltration and antitumor activity, particularly for compartmentalized cancers <sup>153,168,169</sup>. Lymphodepleting chemotherapy as a preconditioning regimen may also augment CAR T-cell accumulation in solid tumors after IV infusion. Following IV administration of indium-111 labeled tumor-infiltrating lymphocytes (TILs) to patients with metastatic melanoma, the cells rapidly accumulated in the lungs, liver and spleen before progressively localizing in tumor deposits <sup>170</sup>. In these trials, TIL accumulation was enhanced with prior lymphodepletion and associated with improved clinical response to treatment <sup>170,171</sup>. Still, the natural trafficking of T-cells to tumors requires that they respond to chemokines produced in the TME <sup>172</sup>, and that tumor-derived chemokines be matched to the expression of the appropriate chemokine receptors on the

infused T-cells to permit trafficking<sup>173</sup>. Although most CAR T-cells do not naturally express cognate receptors for the chemokines produced by tumors, it is possible to engineer matched chemokine receptor expression to achieve enhanced infiltration and killing of solid tumors<sup>174–176</sup>. CAR T-cells may also be outfitted to produce chemokine ligands, such as CCL19 and other factors, to foster chemokine-receptor-dependent recruitment of endogenous T-cells and dendritic cells to tumor sites when infused without prior lymphodepletion<sup>177</sup>.

CAR T-cells trafficking to solid tumor sites also encounter formidable physical barriers that can both block T-cell infiltration and disable T-cell function. Major barriers include the fibrotic tumor stroma comprised of extracellular matrix (ECM) and cancer associated fibroblasts (CAFs), and the abnormal vasculature at the tumor site. Solid malignancies, such as pancreatic, ovarian and breast cancers, often contain fibrotic tumor stroma that may impede effective delivery of drug, including CAR T-cells. CAR T-cells naturally express low levels of enzymes that degrade ECM components, but engineering the expression of heparanase was shown to improve their capacity to degrade ECM proteoglycans, thereby promoting CAR T-cell entry into stroma-rich tumors and antitumor activity<sup>178</sup>.

CAFs contribute to ECM remodeling, modulate tumor angiogenesis and promote metastasis, with CAF depletion fostering endogenous antitumor immunity in an autochthonous model of pancreatic ductal adenocarcinoma<sup>179</sup>. Thus, engineering CAR T-cells against fibroblast activation protein (FAP), which is expressed by CAFs and myofibroblasts, was shown to target stromal CAFs and inhibit cancer progression without significant toxicity in multiple solid tumors<sup>180</sup>. However, FAP-targeted CARs also recognized multipotent bone marrow stromal cells, resulting in lethal bone toxicity and cachexia in other tumor models<sup>181</sup>.

CAR T-cells can also be designed to target and disrupt the tumor vasculature to allow T-cell infiltration and restrict the flow of blood and nutrients to solid tumors. For instance, targeting VEGFR-2 using CARs can augment T-cell infiltration and inhibit the progression of different types of vascularized syngeneic solid tumors<sup>182,183</sup>. CARs specific for PSMA can ablate PSMA+ vessels and limit tumor progression *in vivo* through indirect loss of tumor cells, related to the disruption of the vasculature<sup>184</sup>, and CARs targeting the angiogenic integrin,  $\alpha v\beta 3$ , on the vascular endothelium can disrupt tumor vessels and suppress tumor outgrowth<sup>185</sup>. CAR T-cells may also be combined with anti-vasculature agents, including anti-VEGF or PGE<sub>2</sub> antibodies<sup>186</sup>, anti-TEM-1/endothelial immunotoxin<sup>187</sup>, or agents targeting molecules on the tumor endothelium, such as Fas-L, which establishes a tumor endothelial death barrier and kills incoming effector CD8 T-cells<sup>186</sup>. Together, these findings provide the rationale for the further investigation and use of stroma-disrupting strategies as both preparative and combinatorial regimens to augment T-cell entry into solid tumors in TAA-targeted CAR T-cell trials.

In the stroma and the tumor bed, CAR T-cells contend with overexpression of inhibitory checkpoint ligands with coordinate expression of inhibitory receptors on T-cells, immunosuppressive soluble cytokines and factors, various immunosuppressive cell types, and a hypoxic and nutrient-deprived environment. Both tumor cells and immune cells in the TME can regulate CAR T-cell activation through the expression of inhibitory signals

that block T lymphocyte activation and function, thereby circumventing otherwise effective immune control of tumor progression.

## Future prospects

Over the past decade an astounding series of proof-of-concept trials have taken place, with validation of early results in phase II trials<sup>39,45,188–191</sup> leading to the approval of CD19-specific CAR T-cell therapies for select B cell malignancies. Separately, insight into the biology of CRS has led to biomarker-driven trials (NCT02906371) and the discovery and validation of a novel biomarker profile of this potentially lethal toxicity<sup>58</sup>. Additional observations from routine and translational studies revealed mechanisms of resistance and response, and the identification of the natural basis of successful and failed CAR T-cell therapy<sup>41,65,67</sup>. Novel therapies started to incorporate small molecules which proved to augment T-cell function and simultaneously inhibit the malignant population<sup>35,93,95,192</sup>. Combination trials also targeted more than one antigen, either on the same target as with CD19 and CD22, or precursor and progeny of the tumor as with CD19 and CD20, CD22, or BCMA<sup>190,193,194</sup>. The next few years are likely to witness the increased efficacy of CAR T-cells for solid tumors, a major current focus in this field. However, a better understanding and monitoring of the tumor would be essential for CAR-T-cell therapy to be offered to patients in early stages of their disease, before genomic instability and evolution of the tumor complicate treatment.

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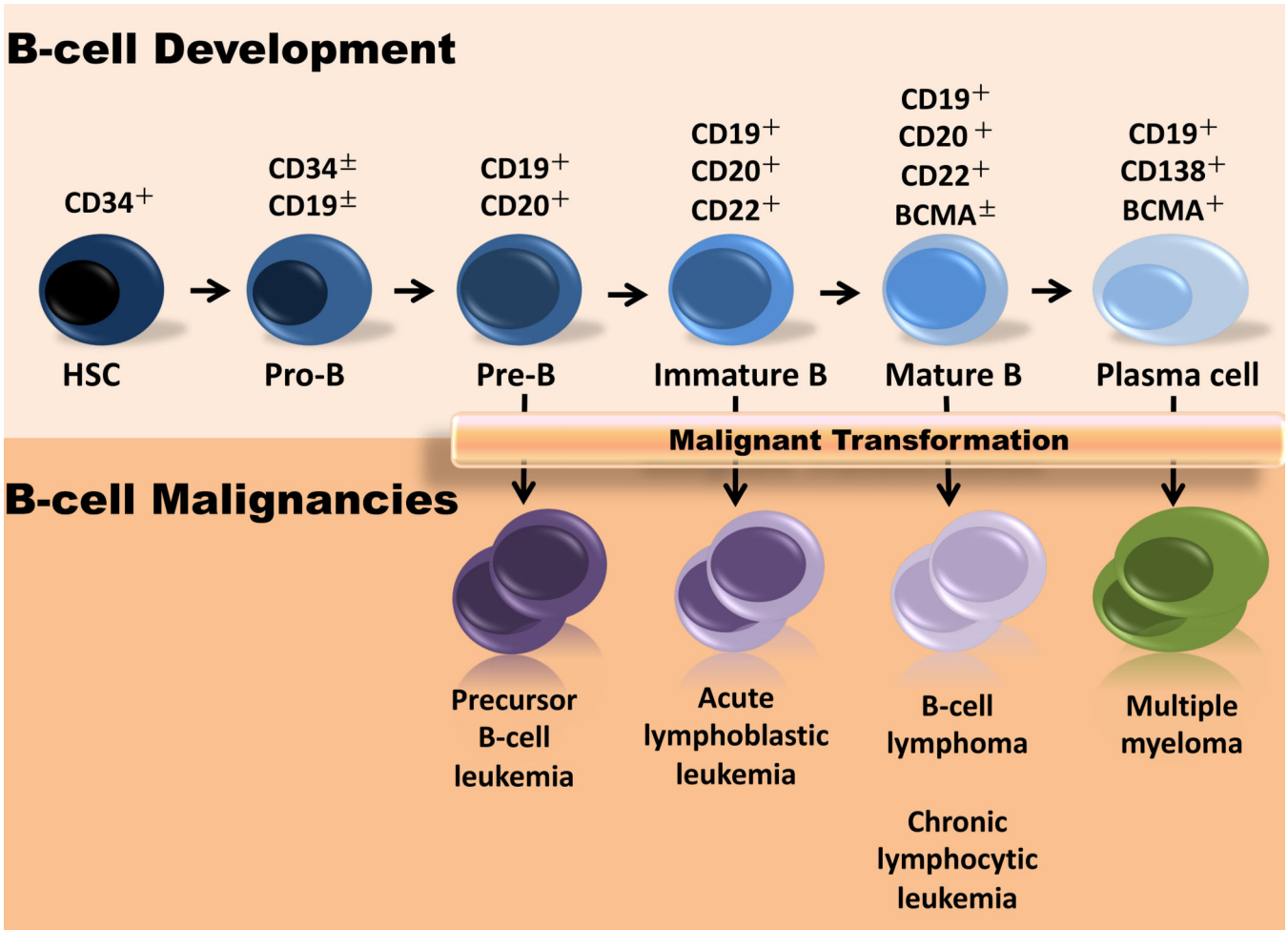
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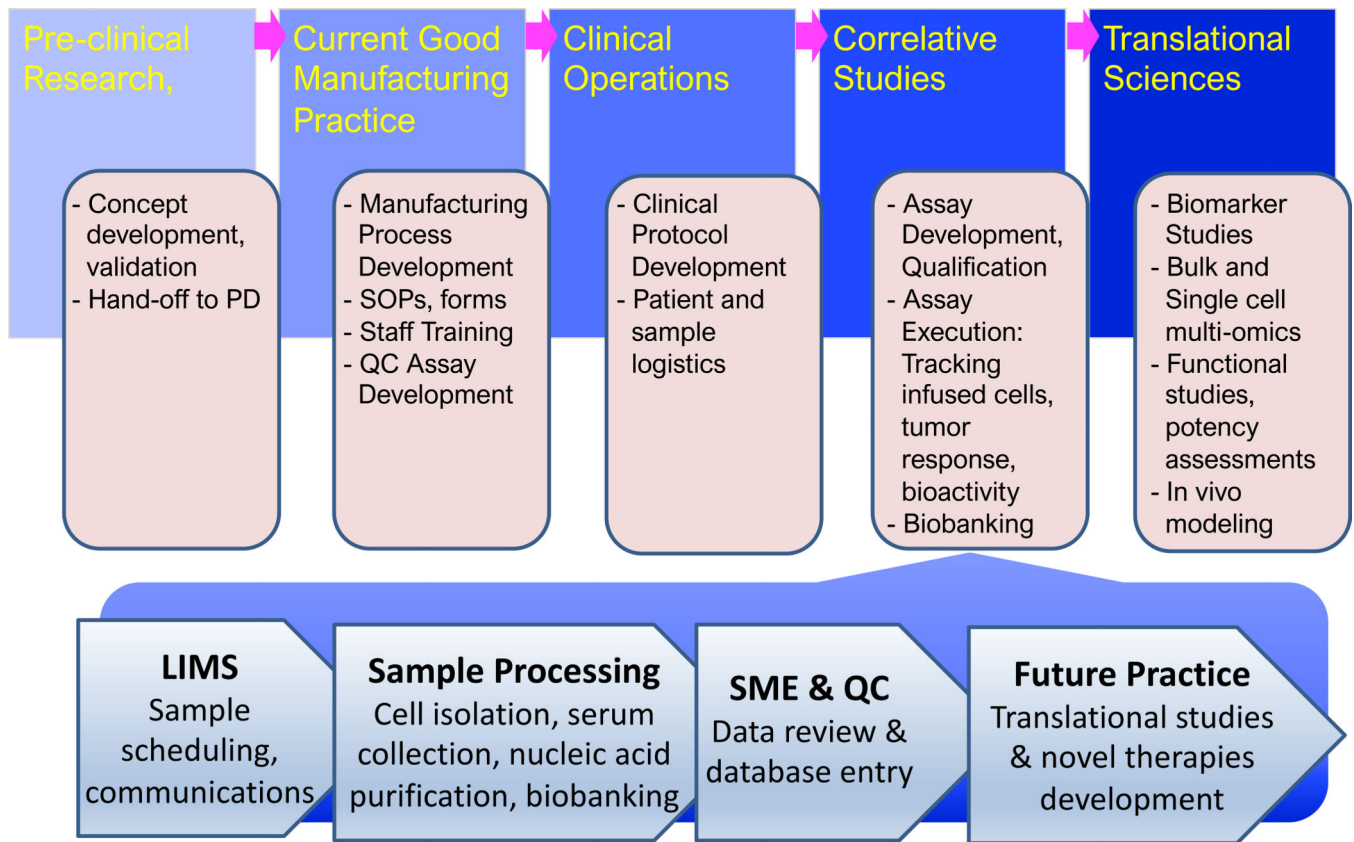
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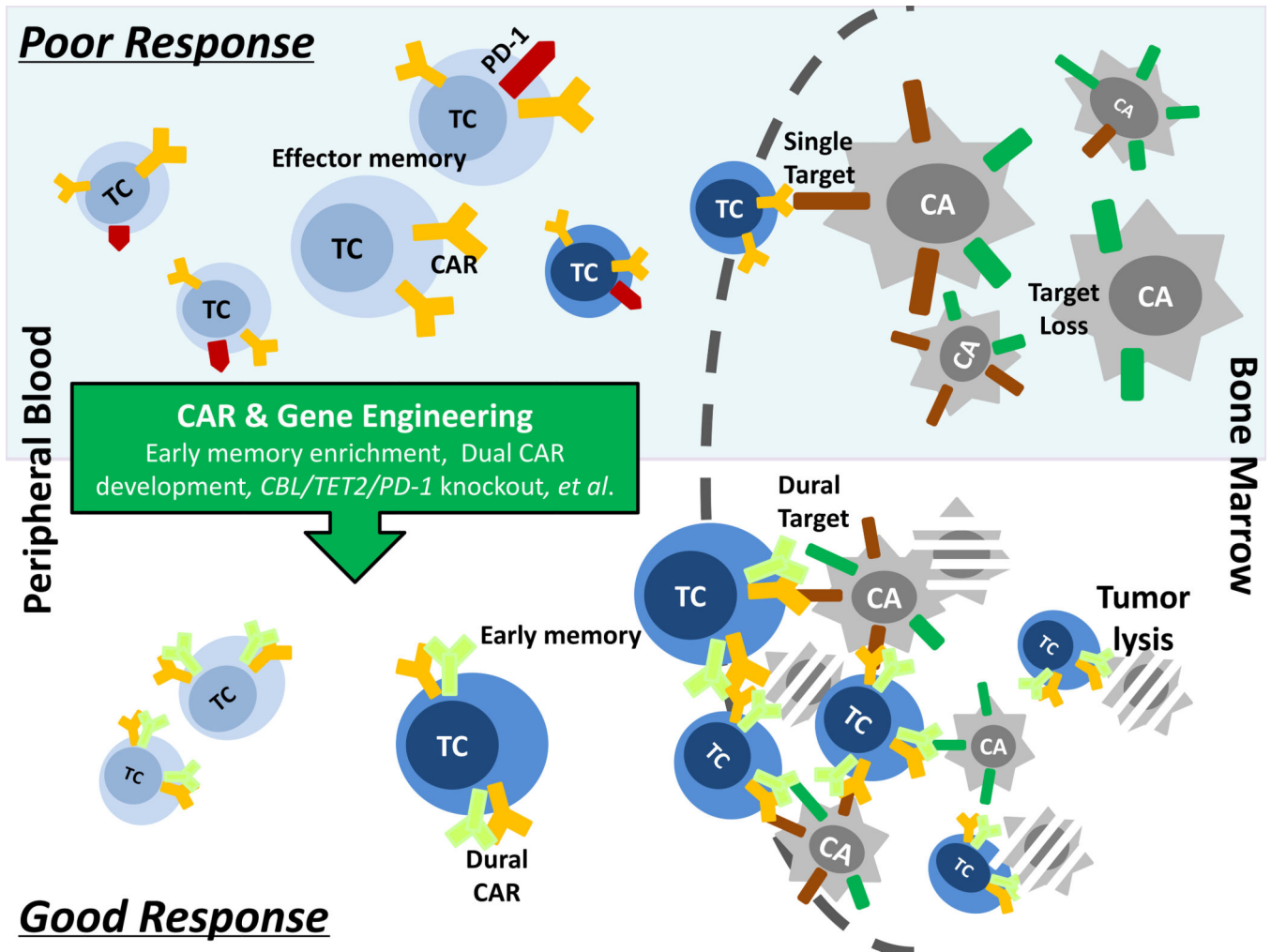
**Figure 1.** B cell malignancies at the different stages of B cell development. The normal B-cell developmental lymphocytes shown at the top, often share the same immunophenotypic characteristics with the malignant counterparts depicted at the bottom, reflecting the expansion of a dominant clone leading to development of leukemia or lymphoma.





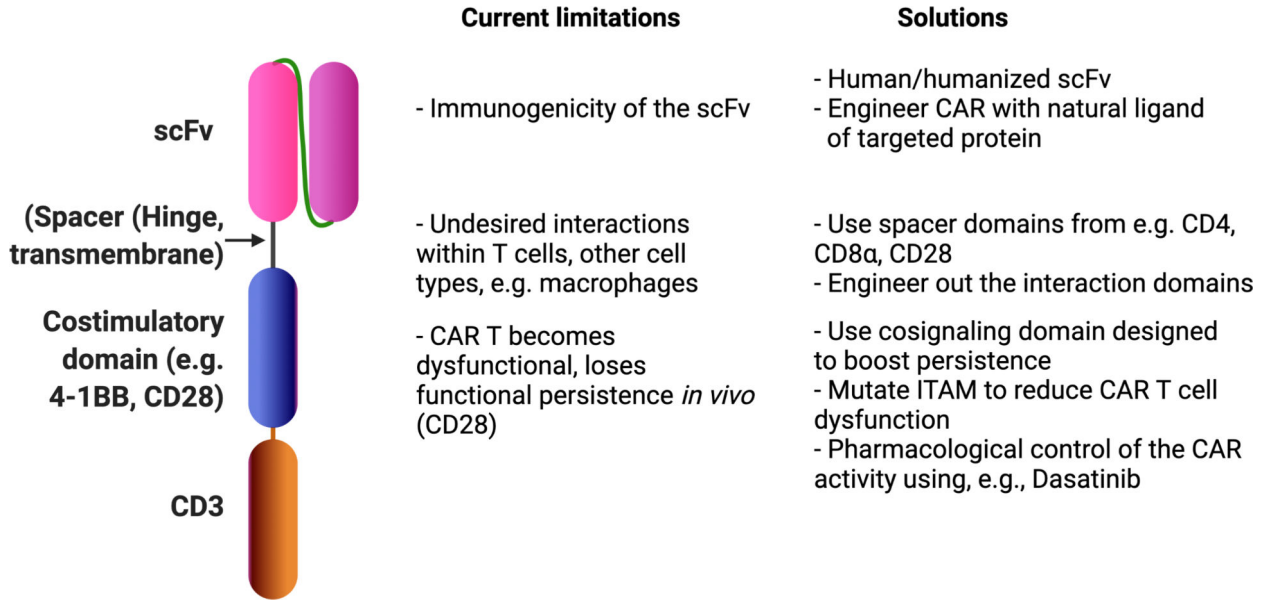
**Figure 2.**

Operational pipeline for integrating correlative studies in translational science laboratories. Novel therapies developed and pre-clinically validated in research laboratories are handed off to the process development (PD) team for scale-up and the development of a current Good Manufacturing Practice (GMP) process. In collaboration with the GMP teams Standard Operating Procedures (SOP) and documentation forms are developed and GMP staff trained in the new procedures. The Correlative Studies Laboratory will, in parallel, ensure that all supportive assays, protocols, and forms are in place, that staff is trained, and that routine, qualified assays are developed and biobanking ensured. This same team is also involved in protocol development, which is lead by the Clinical Operations team with feedback from the study clinicians and the research laboratory that developed the new process. When a new clinical trial begins the Correlative Studies laboratory starts receiving biospecimens from the clinic, manufacturing facility, or collaborating laboratories, and logs these samples into the Laboratory Information Management System (LIMS), to be processed as specified by standard operating procedures and examined using validated assays by qualified personnel. Aliquots are retained from each specimen for future translational studies. The data are reviewed by subject matter experts (SME) before being reviewed by the quality control (QC) manager and entered into a database. A staff statistician cleans and analyzes the data for reporting purposes, e.g. to FDA or for scientific meetings and manuscript preparation.



**Figure 3.**

Current strategies to overcome the hurdles of poor response to autologous CAR-T-cell therapy. Several factors, such as low frequencies of early memory CAR-T-cells in the infusion product, over-expression of checkpoint inhibitory molecules on the apheresis T-cells and loss of target antigen on the tumor, have been shown to contribute to the lack of efficacy of CAR-T-cells in many patients. Optimizing the manufacturing process by laboratory-based engineering approaches, such as memory T-cells enrichment, dural CAR development and specific gene editing, is essential to improve the quality of CAR-T-cell product, thereby enhancing its capacity of tumor clearance and in vivo persistence.



**Figure 4.** CAR design limitations that affect clinical responses following CAR T-cell treatment and potential solutions. Most CARs are made up of an tumor-associated antigen-binding scFv fragment (e.g. CD19, fused in-frame with a T-cell signaling domain), enhanced with a co-stimulatory domain (e.g. CD28 or 4-1BB) that is separated from the scFv by a spacer sequence. The design of this synthetic receptor affects various aspects of its *in vivo* performance and ultimately clinical responses. Additionally, small molecules such as Dasatinib may tone dysfunction-inducing CAR signaling<sup>195</sup>.

**Table 1.**

Summary of BCMA targeted CAR structures

Manufacturer	CAR name	Gene delivery system	Species of antigen binding domain	Structure of antigen binding domain	hinge and transmembrane domain	Signaling domain	Safety switch
National Cancer Institute	CAR-BCMA	Retroviral vector	mouse	scFv	CD8 $\alpha$	CD28-CD3 $\xi$	No
Bluebird Bio/ Celgene	Idecabtagene Vicleucel / bb2121	lentiviral vector	mouse	scFv	CD8 $\alpha$	4-1BB-CD3 $\xi$	No
	bb21217	lentiviral vector	mouse	scFv	CD8 $\alpha$	4-1BB-CD3 $\xi$	No
HRAIN Biotechnology	BCMA CAR-T	Retroviral vector	mouse	scFv	NA	4-1BB-CD3 $\xi$	EGFRt
Nanjing Legend / Janssen	Ciltacabtagene autoleucel / LCAR-B38M	lentiviral vector	alpaca	VHH	CD8 $\alpha$	4-1BB-CD3 $\xi$	No
University of Pennsylvania	CART-BCMA	lentiviral vector	human	scFv	CD8 $\alpha$	4-1BB-CD3 $\xi$	No
Memorial Sloan Kettering Cancer Center	MCARH171	Retroviral vector	human	scFv	CD8 $\alpha$	4-1BB-CD3 $\xi$	EGFRt
Memorial Sloan Kettering Cancer Center	JCARH25	lentiviral vector	human	scFv	CD28	4-1BB-CD3 $\xi$	No
Fred Hutchinson Cancer Research Center	FCARH143	lentiviral vector	human	scFv	NA	4-1BB-CD3 $\xi$	EGFRt
CARsgen Therapeutics	CT053	lentiviral vector	human	scFv	NA	4-1BB-CD3 $\xi$	No
IASO Biotherapeutics	CT103A	lentiviral vector	human	scFv	CD8 $\alpha$	4-1BB-CD3 $\xi$	No
Poseida Therapeutics	P-BCMA-101	piggyBac™ DNA Modification System	human	Centyrin™	NA	4-1BB-CD3 $\xi$	Yes (activated by Rimiducid)

**Table 2.**

Summary of BCMA CAR engineered autologous T cells monodrug clinical trial in treating relapse and refractory multiple myeloma

Manufacturer	Name of product	Clinical trial registered No.	Year of data updated	No. of pts evaluated	Enrollment based on BCMA expression	No. of lines of prior therapies	Disease burden at time of infusion	Conditioning therapy	Infusion dose
National Cancer Institute	CAR-BCMA	<a href="#">NCT02215967</a>	2018	16	Yes	9.5 in average (range: 3 to 19)	r/r cases with BCMA uniformly expressed on tumor cells including extramedullary diseases. 40% patients carried high risk cytogenetics.	cyclophosphamide and fludarabine	$9 \times 10^6$ CAR+ cells/kg
Bluebird Bio / Celgene	Idecabtagene Vicleucel / bb2121	<a href="#">NCT02658929</a>	2020	62	Yes	above 3	44% r/r cases had 50% bone marrow CD138+ plasma cells	cyclophosphamide and fludarabine	(50/150/450/8) $\times 10^6$ CAR+ T cells in total
	bb21217	<a href="#">NCT03274219</a>	2020	46	Yes	6 in average (range: 3 to 17)	57% r/r cases were triple refractory	cyclophosphamide and fludarabine	(150/300/450) $\times 10^6$ CAR+ T cells in total
HRAIN Biotechnology	BCMA CAR-T	<a href="#">NCT03093168</a>	2019	44	No	above 2	19.6% cases had extramedullary plasmacytoma	cyclophosphamide and fludarabine	$9 \times 10^6$ CAR+ cells/kg
Nanjing Legend / Janssen	Ciltacabtagene autoleucel / LCAR-B38M	<a href="#">NCT03090659</a>	2018	57	Yes	3 in average (range: 1 to 9)	51% r/r cases had 40% tumor BCMA expression. Patients with extramedullary involvements were included. 37% of patients were in Stage III disease.	cyclophosphamide	(0.07–2.1) $\times 10^6$ CAR+ T cells
		<a href="#">NCT03090659</a> , <a href="#">ChiCTRONH17012285</a>	2019	17	Yes	4.6 in average (range: 3 to 11)	88% r/r cases had >70% tumor BCMA expression and 29% having extramedullary disease. 38% patients carried high risk cytogenetics.	cyclophosphamide with or without fludarabine	(0.21–1.52) $\times 10^6$ CAR+ T cells
		<a href="#">NCT03548207</a>	2020	97	No	6 in average (range: 3 to 18)	87.6% r/r cases were triple refractory.	cyclophosphamide and fludarabine	(0.5–1.0) $\times 10^6$ CAR+ T cells
University of Pennsylvania	CART-BCMA	<a href="#">NCT02546167</a>	2019	25	No	7 in average (range: 3 to 13)	median 65% myeloma cells on bone marrow biopsy. 28%	cyclophosphamide or no conditioning therapy	(10–500) $\times 10^6$ CAR+ T cells total

Manufacturer	Name of product	Clinical trial registered No.	Year of data updated	No. of pts evaluated	Enrollment based on BCMA expression	No. of lines of prior therapies	Disease burden at time of infusion	Conditioning therapy	Infusion dose
							patients had extramedullary disease, and 96% carried high risk cytogenetics.		
Memorial Sloan Kettering Cancer Center	MCARH171	<a href="#">NCT03070327</a>	2018	11	Yes	6 in average (range: 4 to 14)	82% patients had high risk cytogenetics	cyclophosphamide with or without fludarabine	(72/137/475/8) ×10 <sup>6</sup> CAR+ T cells in total
Memorial Sloan Kettering Cancer Center	Orvacabtagene Autoleucl / JCARH25	<a href="#">NCT03430011</a>	2018	8	No	10 in average (range: 4 to 15)	50% patients had high risk cytogenetics	cyclophosphamide and fludarabine	(50/150) ×10 <sup>6</sup> CAR+ T cells total
			2020	44	No	6 in average (range: 3 to 8)	NA	cyclophosphamide and fludarabine	(300/450/600) ×10 <sup>6</sup> CAR+ T cells in total
Fred Hutchinson Cancer Research Center	FCARH143	<a href="#">NCT03338972</a>	2018	11	Yes	8 in average (range: 6 to 11)	The median percentage of bone marrow plasma cells was 58% (range 20% to >80%), and 100% patients had high risk cytogenetics.	cyclophosphamide and fludarabine	(50/150) ×10 <sup>6</sup> CAR+ T cells total
CARsgen Therapeutics	CT053	<a href="#">NCT03716856</a> , <a href="#">NCT03302403</a> , <a href="#">NCT03380039</a>	2020	24	Yes	4.5 in average (range: 2 to 11)	41.7% had extramedullary involvement	cyclophosphamide and fludarabine	(50/100/150/1) ×10 <sup>6</sup> CAR+ T cells in total
		<a href="#">NCT03975907</a>	2020	12	No	6 in average (range: 3 to 7)	14.2% had extramedullary disease, and 35.7% had high-risk cytogenetics.	cyclophosphamide and fludarabine	(100/150) ×10 <sup>6</sup> CAR+ T cells total
		<a href="#">NCT03915184</a>	2020	10	No	6 in average (range: 3 to 11)	93% were triple refractory, 36% had extramedullary disease, and 64% had high-risk cytogenetics	cyclophosphamide and fludarabine	(150–300) ×10 <sup>6</sup> CAR+ T cells total
IASO Biotherapeutics	CT103A	<a href="#">ChiCTR1800018137</a>	2019	16	NA	above 3	25% relapsed after a prior murine BCMA CAR-T therapy and 31.3% patients had extramedullary disease and/or plasma cell leukemia	cyclophosphamide and fludarabine	(1/3/6/8) ×10 <sup>6</sup> CAR+ T cells
Poseida Therapeutics	P-BCMA-101	<a href="#">NCT03288493</a>	2020	34	No	7 in average (range: 3 to 18)	NA	cyclophosphamide and fludarabine	(0.75–15) ×10 <sup>6</sup> CAR+ T cells