

Preclinical Evaluation of miR-15/107 Family Members as Multifactorial Drug Targets for Alzheimer's Disease

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Alzheimer's disease (AD) is a multifactorial, fatal neurodegenerative disorder characterized by the abnormal accumulation of A β and Tau deposits in the brain. There is no cure for AD, and failure at different clinical trials emphasizes the need for new treatments. In recent years, significant progress has been made toward the development of miRNA-based therapeutics for human disorders. This study was designed to evaluate the efficiency and potential safety of miRNA replacement therapy in AD, using miR-15/107 paralogues as candidate drug targets. We identified miR-16 as a potent inhibitor of amyloid precursor protein (APP) and BACE1 expression, A β peptide production, and Tau phosphorylation in cells. Brain delivery of miR-16 mimics in mice resulted in a reduction of AD-related genes APP, BACE1, and Tau in a region-dependent manner. We further identified Nicastrin, a γ -secretase component involved in A β generation, as a target of miR-16. Proteomics analysis identified a number of additional putative miR-16 targets *in vivo*, including α -Synuclein and Transferrin receptor 1. Top-ranking biological networks associated with miR-16 delivery included AD and oxidative stress. Collectively, our data suggest that miR-16 is a good candidate for future drug development by targeting simultaneously endogenous regulators of AD biomarkers (*i.e.*, A β and Tau), inflammation, and oxidative stress.

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Introduction

Alzheimer's disease (AD) is the most common form of dementia characterized by the progressive decline of cognitive and behavioral abilities.¹ Most (>95%) AD cases are of sporadic origin with unknown cause.^{2,3} The two major hallmarks of the disease are senile plaques and neurofibrillary tangles in the brain. Plaques are composed of depositions of β -amyloid (A β) peptides, generated by the cleavage of the amyloid precursor protein (APP) by BACE1/ β -secretase and γ -secretase (a multiprotein complex composed of Presenilin, Nicastrin, PEN2, and Aph-1).⁴ Neurofibrillary tangles are composed of intraneuronal inclusions of hyperphosphorylated and aggregated Tau protein.⁵

Up to now, most therapeutic efforts in AD have been concentrated toward the development of drugs against A β (*e.g.*, APP, BACE1, and γ -secretase) or Tau independently. AD pathology is complex and likely multifactorial, where it has been suggested that the conventional "one protein, one drug, one disease" theory for AD would not be effective.⁶ Failure of recent clinical trials is in line with this hypothesis.^{6–8} Strategies that target simultaneously multiple disease components and/or pathways could therefore address this issue.^{9,10}

MiRNAs comprise the largest group of small (~22 nt) endogenous noncoding RNAs driving gene silencing in cells,¹¹ predicted to regulate more than 60% of protein-coding genes.^{12,13} Once incorporated into the RNA-induced silencing complex, miRNAs function to repress translation and/or promote RNA degradation through imperfect base-pairing with specific mRNA sequences, generally located in the 3' untranslated region (3'UTR).¹⁴ The aberrant expression of miRNAs in many human diseases and involvement

in key biological pathways has made them attractive drug targets.^{15–17} This is well recognized in the cancer field, where miRNAs can function as oncogenes or tumor suppressors.^{16,18} The interest for miRNA replacement therapy is rapidly growing,^{19–21} which involves the "reintroduction" of a missing miRNA into cells to compensate for a loss-of-function. miRNA mimics used in replacement therapy have the same sequence and structure as the depleted, endogenously expressed miRNA. Thus, off-target effects are less likely to occur as the mimics behave like their natural counterparts by fine-tuning the expression of targets through conserved miRNA:mRNA interactions.¹⁹ In contrast to conventional gene therapy that involves relatively large DNA plasmids or viral vectors, miRNA mimics are substantially smaller in size, and they merely need to enter the cytoplasm of target cells to be active. Another strong rationale to use miRNAs in replacement therapy is based on the fact that a single miRNA can regulate multiple genes simultaneously, therefore acting on "disease pathways".²²

The miR-15/107 superfamily controls a number of fundamental processes including metabolism, cell cycle regulation, inflammation, and the stress response.^{23,24} Interestingly, several members of this family have been documented to be misregulated in AD brain, including miR-16,^{25,26} miR-15a/b,^{27–31} miR-195,^{32,33} and miR-103/107.^{34–36} Studies *in vitro* have implicated miR-16, miR-15a, miR-195 in the regulation of BACE1 and APP expression, A β production, and Tau phosphorylation.^{31,33,37} More recent studies *in vivo* have implicated miR-195 in memory formation.³³ Collectively, these studies point to the potential therapeutic use of miRNAs in AD by targeting genes involved in both A β production and Tau metabolism. To date, however, a detailed comparative

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analysis of miR-15/107 superfamily members has not yet been conducted.

A defined protocol has been proposed before entering miRNAs into the clinic, including the optimization of suitable candidates.³⁸ However, these procedures have been developed mainly for peripheral disorders (e.g., inhibition of liver-specific miR-122),³⁹ leaving neurodegenerative diseases largely unexploited. Several critical questions remain to be addressed: Is widespread delivery to the brain possible? Are miRNAs functional in the brain, and particularly in neurons? What are potential side effects? In attempt to address these issues, we sought to evaluate the therapeutic applicability of miRNAs in AD, using miR-15/107 family members as candidate drug targets. Specifically, we wanted to determine the efficiency and potential safety of miRNA mimics toward the regulation of AD-related genes *in vivo* with a focus on endogenous APP, BACE1, and Tau.

Results

Comparative analysis of miR-15/107 family members *in vitro* and in cells

Our experimental strategy is presented in see **Supplementary Figure S1**. We first evaluated the effects of miR-15a, -15b, -16, -195, -424, -497, and -103 mimics on human APP and BACE1 expression in luciferase-based assays. In contrast to previous studies,^{33,40,41} we used the full-length 3'UTR of tested genes to better mimic physiological conditions. As shown in **Figure 1a**, the predicted miRNA binding sites in APP and BACE1 are highly conserved. We cotransfected the wild-type 3'UTR reporter constructs (**Figure 1b**) with candidate miRNA mimics into native HEK293 cells. Compared to a scrambled control, most miRNAs significantly reduced luciferase signal (expression) of both APP and BACE1 (**Figure 1c,e**). Among tested miRNAs, miR-16 showed the strongest negative effects on both APP and BACE1. To validate the specificity of these results, we generated mutant APP (CTG546-548AAA) and BACE1 (CTG269-271AAA and CTG1798-1800AAA) reporter constructs. As expected, disruption of miR-16 binding sites partly rescued the effects on luciferase activity (**Figure 1d,f**).

We next performed functional studies in HEK293 cells overexpressing the APP Swedish (KM670/671NL) mutation (hereinafter referred to as HEK293-APPSwe), with a purpose to measure human A β levels.²⁸ As before, we introduced equal concentrations of miR-15a, -15b, -16, -195, -424, -497, and -103 mimics in this cell line. These experiments showed that miR-16, -15, and -195 mimics similarly suppressed A β production (**Figure 1g**). This effect was unrelated to overall miRNA levels in the cells (see **Supplementary Figure S2**). APP β -CTF levels, the direct products of BACE1, were significantly downregulated in these conditions. Inversely, APP α -CTF levels were increased. Endogenous BACE1 protein was below detection levels in this cell line. Mutant APP levels remained unchanged following mimic overexpression (**Figure 1h**), which was expected since expressed approximately five-fold over endogenous APP (ref. 42 and data not shown) and it does not contain a 3'UTR. On the other hand, all members of this family could downregulate endogenous APP in native HEK293 cells (see **Supplementary Figure S3**).

Given its strong regulatory effects on APP, BACE1, APP β -CTFs, and A β (both direct substrates of BACE1), we focused our studies on miR-16. We investigated the effects of miR-16 mimics on endogenous APP and BACE1 in neuronal cells. We observed a concomitant reduction of APP and BACE1 protein levels following miR-16 overexpression in native Neuro2a cells (**Figure 2a,b**). Notably, the introduction of miR-16 induced also a significant decrease in total Tau phosphorylation (as measured using the Tau1 epitope, which specifically labels nonphosphorylated Tau⁴³) (**Figure 2a,b**). We also validated the effects of miR-16 on human A β in Neuro2a cells expressing APPSwe (hereinafter referred to as Neuro2a-APPSwe) (see **Supplementary Figure S2**). Finally, we observed lower levels of endogenous APP and BACE1 in miR-16-expressing native HT22 cells, an independent neuronal cell line (**Figure 2c,d**). Unfortunately, Tau protein was below detection levels in this cell type. Taken together, these results identified miR-16 as an endogenous regulator of both A β production and Tau phosphorylation.

Loss of the DLEU2/miR-15a/16-1 in sporadic AD

The above-mentioned results prompted us to re-evaluate the expression levels of miR-16 in AD. The miR-16 and miR-15a cluster is encoded within the DLEU2 noncoding gene on chromosome 13.⁴⁴ To exclude any bias toward one of the two miRNAs, we measured the DLEU2 transcript in human brain tissues. By real-time quantitative RT-PCR (qRT-PCR), we observed a significant downregulation of DLEU2 mRNA in AD patients when compared to nondemented controls in both the temporal and frontal cortex (see **Supplementary Figure S4**). These results strengthen the notion that miR-16 (and miR-15a) is lower in sporadic AD, and further validate the use of miR-16 in therapeutic applications.

Effective delivery of miR-16 mimics into the mammalian brain

Oligonucleotide delivery using osmotic pumps is a recognized technique with potential therapeutic applications in mammals including humans.^{45–48} We therefore used this strategy to deliver miR-16 mimics into the mouse brain. We chose wild-type mice—instead of AD mice—for these *in vivo* preclinical studies since harboring all physiological regulatory elements (e.g., 3'UTR) of genes of interest. We first treated mice with increasing doses of miR-16 mimics for 7 days ($n = 3/\text{group}$). As control we used vehicle alone (saline 0.9%). Following delivery, the mice were sacrificed and the hippocampi were isolated for functional analyses. In these conditions, we observed a dose-dependent decrease in endogenous BACE1 and Tau (**Figure 3a**). Tau1 epitope was significantly increased (mirroring lower Tau phosphorylation), consistent with our cell-based studies. We used the previously recognized miR-16 target ERK1 as internal control.³¹ These effects were specific, as they were not reproduced using a chemically-modified nonfunctional miR-16 mimic (see **Supplementary Figure S5**).

Based on the aforementioned observations, we chose a dose of 50 $\mu\text{g}/\text{day}$ to pursue our *in vivo* studies. An independent group of mice received miR-16 mimics for 7 days ($n = 10/\text{group}$). We first evaluated the levels of miR-16 mimics in the treated mice. By qRT-PCR, we observed a strong increase

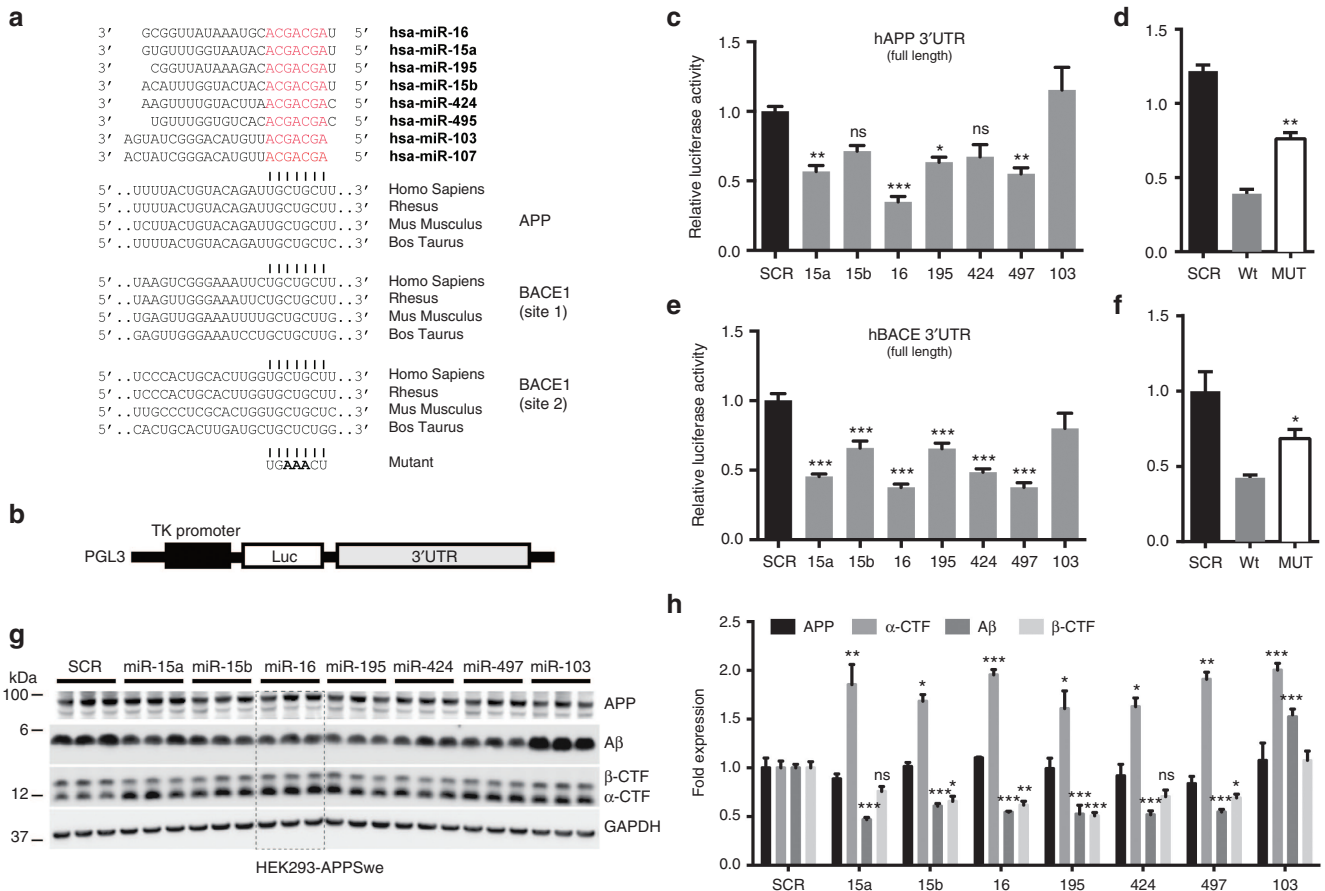


Figure 1 Comparative analysis of miR-15/107 family members *in vitro*. (a) Mature miRNA sequences are shown. Seed sequences are shown in red. The corresponding binding sites within the amyloid precursor protein (APP) and BACE1 3'UTRs are shown in gray. (b) Schematic representation (not to scale) of the luciferase reporter construct. Luc, luciferase gene; TK, thymidine kinase promoter. (c) APP 3'UTR regulation by selected miR-15/107 family members. HEK293 cells were transfected with 50 nmol/l final concentration of candidate mimics. Twenty-four hours post-transfection luciferase signal was measured. Signals were normalized for transfection efficiency, and graph represents the relative luciferase signals compared to the scrambled control (SCR). Statistical significance was assessed by one-way analysis of variance (ANOVA) with Bonferroni multiple comparison test. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. (d) Luciferase assays were performed using a mutant 3'UTR construct for APP. Here, cells were treated with and 12.5 nmol/l of miR-16 mimics. A significant difference was observed between wild-type and mutant constructs (** $P < 0.01$). (e) BACE1 3'UTR regulation by selected miR15/107 family members. HEK293 cells were transfected with 50 nmol/l final concentration of candidate miRNA mimics. Twenty-four hours post-transfection luciferase signal was measured. Graph represents the relative luciferase signals compared to the SCR. Statistical significance was assessed by one-way ANOVA with Bonferroni multiple comparison test. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. (f) Luciferase assay using a 3'UTR BACE1 double mutant construct. Cells were treated with 25 nmol/l of miR-16 oligos. Statistical significance was calculated by one-way ANOVA with Bonferroni multiple comparison test. (g,h) HEK293-APPsw cells were treated with candidate mimics at a final concentration of 50 nmol/l. A strong effect on soluble Aβ levels (measured in cell medium) was observed after 24 hours treatment. This is in agreement with the downregulation of APP β-CTFs (the direct BACE1 substrates) and concomitant increase in APP α-CTFs. GAPDH, glyceraldehyde 3-phosphate dehydrogenase.

of miR-16 in the hippocampus (106-fold), cortex (34-fold), striatum (27-fold), and brainstem (27-fold) (see **Supplementary Figure S6**). Such increases were independent of miR-16 baseline levels (see **Supplementary Figure S6**). We also performed RIP-Chip (*i.e.*, anti-Ago2) assays to determine the enrichment of miR-16 directly in the RNA-induced silencing complex following its overexpression. These experiments showed a 3.63- and 2.66-fold enrichment of miR-16 in the cortex and brainstem, respectively (see **Supplementary Figure S6**). Thus, the absolute increase of (functional) miR-16 is physiologically relevant.

Western blot analysis on APP, BACE1, Tau, and ERK1 was next performed on four different brain regions, including hippocampus, cortex, striatum, and brainstem (**Figure 3b**).

Interestingly, the effects of miR-16 mimics on BACE1, APP, Tau, and ERK1 were region-dependent. For instance, APP protein levels were downregulated in the cortex, brainstem, and striatum but not in hippocampus. BACE1 protein levels were reduced in the hippocampus, brainstem, and striatum. We also marked a significant downregulation of total Tau in the hippocampus, brainstem, and striatum followed by a modest increase in unphosphorylated Tau in the hippocampus and striatum. We confirmed an overall decrease of phosphorylated Tau (PHF13 epitope) in the hippocampus of treated mice (see **Supplementary Figure S7**). ERK1 was downregulated mainly in the hippocampus and cortex. We also investigated mRNA levels for APP, BACE1, and Tau in these regions. These experiments showed that only APP and

Tau mRNA expression was significantly lower in the cortex and brainstem respectively, but not in other regions (see **Supplementary Figure S7**).

In the course of these studies, we also observed a significant downregulation of Nicastrin in the treated mice (**Figure 3c,d**). These effects were not observed on other members of the γ -secretase complex, including Presenilin-1 and PEN2. Using the miRWalk algorithm,⁴⁹ we identified one putative miR-16 binding site located in 3'UTR of Nicastrin (see **Supplementary Figure S8**). We therefore repeated the luciferase-based experiments using the full-length Nicastrin 3'UTR as before.⁵⁰ As hypothesized, miR-16 could significantly downregulate luciferase activity (Nicastrin expression) in these conditions (see **Supplementary Figure S8**).

Assessment of potential side effects related to miR-16 mimic brain delivery

Previous studies have associated miR-16 with the inflammation response.^{51,52} We therefore wanted to determine whether miR-16 overexpression was associated with an increase (or decrease) in inflammation markers. Compared to controls, treated mice displayed a significant downregulation of glial fibrillary acidic protein (Gfap) in the hippocampus and striatum (**Figure 4**). A tendency for reduced Allograft inflammatory factor 1 (Aif1) was also observed in these regions. Other inflammation markers including Toll-like receptor 2 (Tlr2), Bcl-2-associated death promoter (Bad), and T-lymphocyte activation antigen (CD86) remained unchanged in these conditions, with an overall nonstatistical trend for lower levels. These results strongly suggest that miR-16 delivery to the brain per se is not associated with overt inflammation.

Given no or little effects of miR-16 mimics on mRNA levels of candidate genes (see **Supplementary Figure S7**), we next thought to perform proteomics studies. The purpose here was twofold: (i) to identify additional miR-16 mimic targets in the brain, and (ii) to assess potential indirect effects associated with miR-16 mimic delivery. For these studies, we chose the hippocampus and brainstem, two functionally and temporally distinct regions related to AD.^{53–55} iTRAQ (isobaric tags for relative and absolute quantification) analysis⁵⁶ identified a total of 4,058 proteins in the adult mouse brain (data not shown). Compared to the control group, a total of 16 proteins were significantly misregulated in the hippocampus, including 5 upregulated and 11 downregulated proteins (fold change <0.8 and >1.2 , $P < 0.05$) (see **Supplementary Table S1**). In the brainstem, a total of 102 proteins were changed using similar cut-off values, including 47 upregulated and 55 downregulated proteins. Using the miRWalk algorithm, we identified 7/11 (64%) and 31/55 (56%) of downregulated proteins with at least one predicted miR-16 target site in their 3'UTR (see **Supplementary Table S1**). Furthermore, 14/55 (25%) of proteins misregulated in the brainstem had at least one miR-16 site within the coding sequence (open reading frame).

We selected four proteins for further validation, including α -Synuclein (α -Syn) (fold 0.766, $P = 0.001$), serine/arginine repetitive matrix protein 2 (Srrm2) (fold 0.798, $P = 0.023$), GTPase-activating protein, VPS9 domain-containing protein

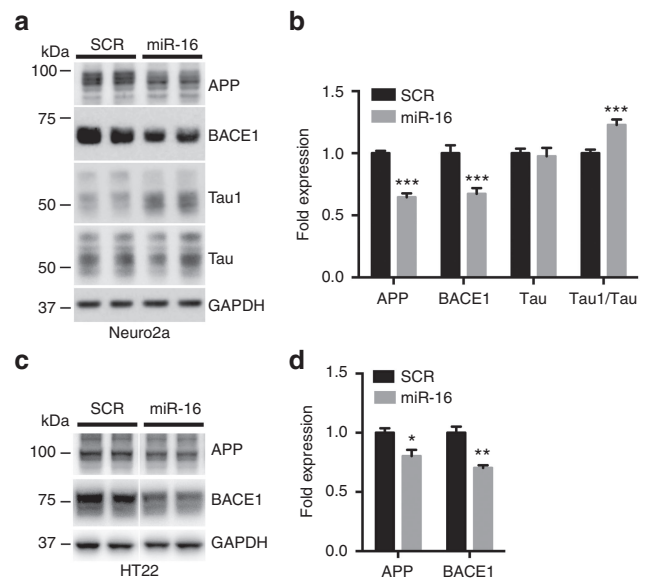


Figure 2 Effects of miR-16 overexpression on amyloid precursor protein (APP), BACE1, and Tau in neuronal cells. (a,b) Representative western blot analysis of Neuro2a cells treated with miR-16 mimics (50 nmol/l final concentration). Results are shown 24 hours post-transfection. Shown here is a combined regulatory effect of miR-16 on APP, BACE1, and Tau. (c,d) Western analysis of BACE1 following miR-16 overexpression in HT22 cells. Here, results are shown 48 hours post-transfection. A scrambled oligonucleotide sequence (SCR) was used as negative control in all experiments. Error bars represent standard errors derived from three or more independent experiments performed in triplicate. Statistical significance between SCR- and miRNA-treated cells was determined using unpaired *t*-test with Bonferroni multiple comparison test as a post-test. Data are shown as mean \pm standard error of the mean. GAPDH, glyceraldehyde 3-phosphate dehydrogenase.

1 (GAPVD1) (fold 0.771, $P = 0.038$), and Transferrin receptor protein 1 (TfR1) (fold 0.666, $P = 0.037$). By western blot, we could confirm the downregulation of α -Syn, Srrm2, GAPVD1, and TfR1 in mimic-treated mice when compared to controls (**Figure 5**). To determine whether these effects were a direct consequence of miR-16 overexpression, we performed gain-of-function studies in HT22 cells. These experiments confirmed the regulation of identified genes by miR-16 in neuronal cells.

We next explored the functional relationship of misregulated proteins (up- and downregulated) using the Genemania online tool.⁵⁷ This analysis identified a significant (physical) interaction map between α -Syn and various other affected proteins in the brainstem (see **Supplementary Figure S9**). Unfortunately, the relatively low number of affected proteins in the hippocampus made similar predictions impossible. We also performed an enrichment analysis of genes encoding all top ranked proteins in the brainstem using the DAVID software.⁵⁸ The highest-ranking network associated with miR-16 delivery was AD ($P = 5.1E-10$). Other relevant networks and pathways included Parkinson's disease (PD) ($P = 6.3E-9$), oxidative phosphorylation ($P = 7.5E-9$), and cytoskeleton protein binding ($P = 3.6E-7$) (see **Supplementary Table S2**).

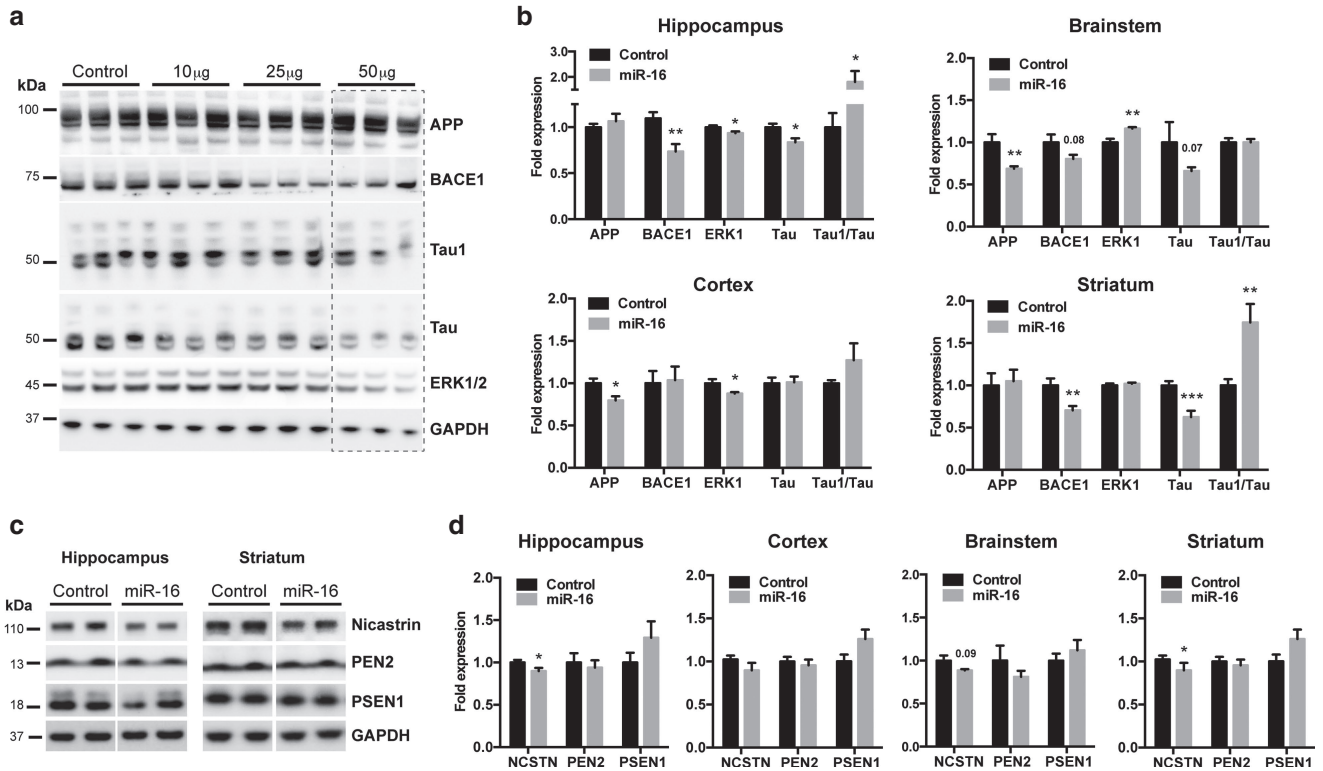


Figure 3 *In vivo* regulation of AD genes by miR-16 mimics. (a) Dose-dependent effects of miR-16 mimics on BACE1, Tau, and ERK1/2 in the hippocampus. As control we used vehicle alone (saline 0.9%). Amyloid precursor protein remained unaffected in this region. (b) Region-dependent effects of miR-16 mimics on AD-related genes. Data are shown as mean \pm standard error of the mean. Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) served as a normalizing control. (c,d) Representative western analysis and quantification of γ -secretase complex members. Overall changes in protein levels were calculated by parametric unpaired *t* test with Welch's correction, where * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

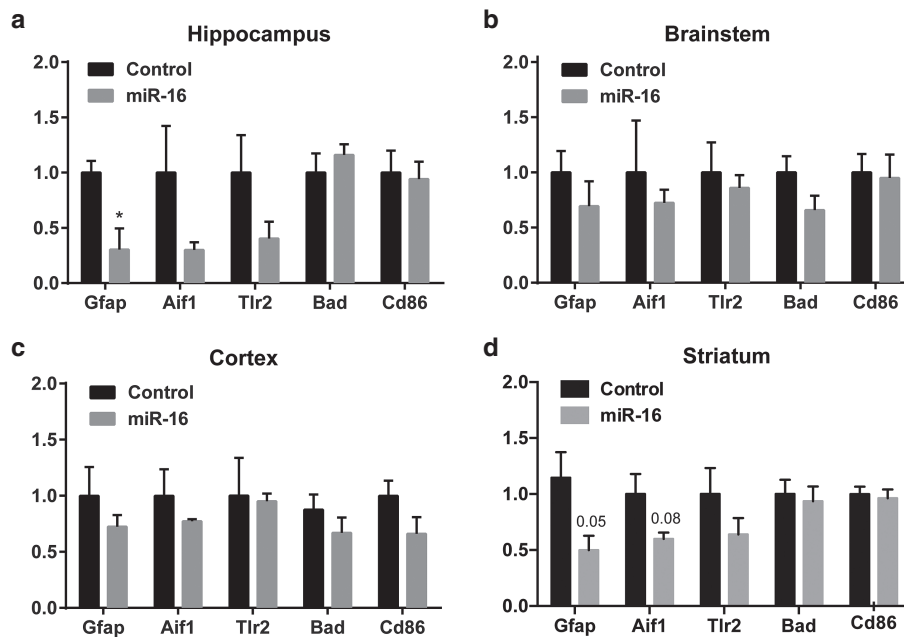


Figure 4 Analysis of inflammation markers following miR-16 mimic treatment. Seven days after delivery, mRNA levels of inflammatory markers were measured by qRT-PCR in (a) hippocampus, (b) brainstem, (c) cortex, and (d) striatum. Overall changes were calculated by parametric unpaired *t*-test with Welch's correction, where $P < 0.05$ is considered as statistical significant. Data are shown as mean \pm standard error of the mean. Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) served as normalization control.

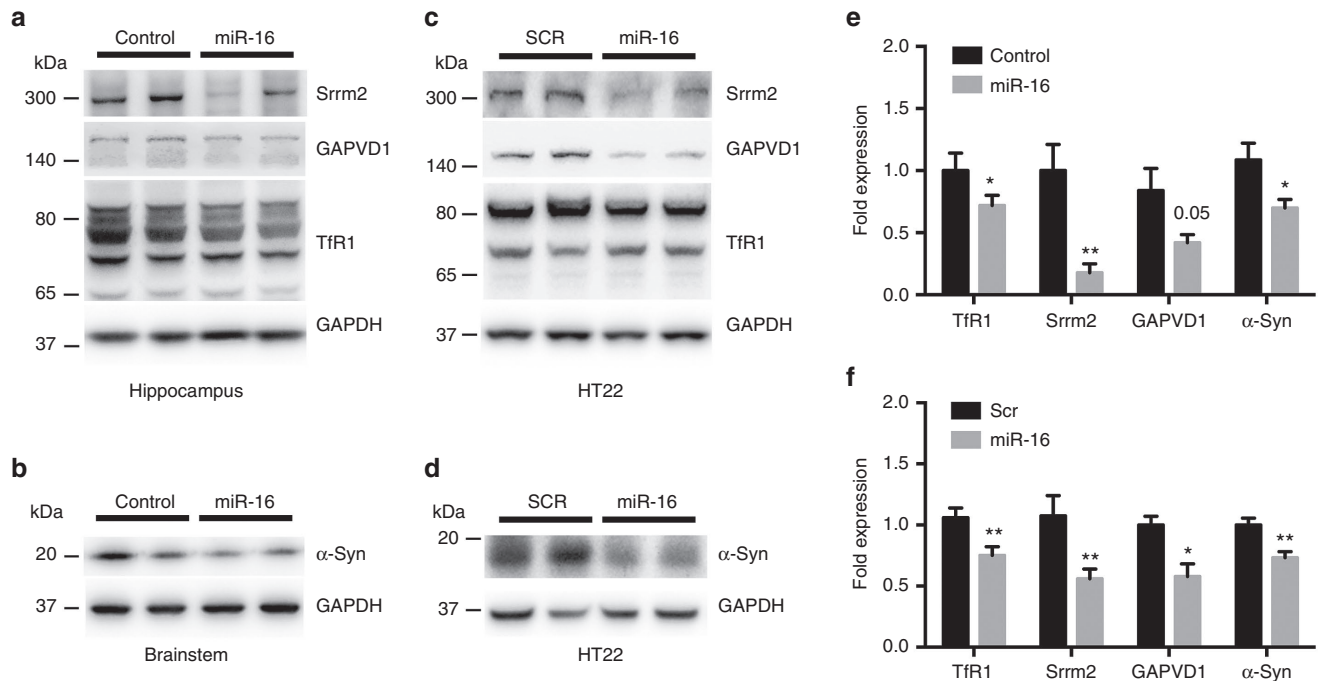


Figure 5 Proteomics validation *in vivo* and in neuronal cells. (a,b) Representative western blot analysis of selected proteins in the hippocampus and brainstem of miR-16 mimic-treated mice. **(c,d)** Results on HT22 cells treated with 50 nmol/l final concentration of miR-16 mimics ($n = 3$ in triplicate). Statistical significance between control- and miRNA-treated mice was determined using unpaired *t*-test with Bonferroni multiple comparison test as a post-test. Data are shown as mean \pm standard error of the mean. **(e,f)** Quantification of protein levels. Statistical significance was calculated by parametric unpaired *t*-test with Welch's correction, where * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. Data are shown as mean \pm standard error of the mean. Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) served as normalization control.

Discussion

This study examines the potential application of miRNA mimics as therapeutic agents in AD and provides new information about the role of miR-16 in the brain. Overexpression studies *in vitro*, in cells and in mice suggest that miR-16 could target simultaneously (or a combination of) endogenous regulators of A β , Tau, inflammation, and oxidative stress. Together, these results suggest that selected miR-15/107 family members can function as promising multifactorial drug targets for AD.

The results presented herein support the notion that miR-16 and its homologues are involved in the physiological regulation of AD genes across species. It is noteworthy that miR-16 itself is highly conserved.²³ The observation that miR-103 did not regulate BACE1 in most conditions tested herein might reflect a different mode of regulation of miR-103/107 close homologues (*i.e.*, via coding sequence or other regulatory elements).^{34,59} Our overexpression paradigms cannot discriminate if identified miR-16 targets *in vivo* are regulated mainly during development, adult maintenance, and/or disease conditions. Also, this study does not address the question of whether miR-16 regulates these genes simultaneously, and in the same cell populations. Obviously, these questions are biologically meaningful, and should be addressed in future experiments, for instance using loss-of-function paradigms. The overexpression conditions used herein remain, however, a method of choice for therapeutic applications, particularly in miRNA replacement therapy. It is interesting to note that

the mimics could effectively target genes expressed mainly in neurons (*i.e.*, APP, BACE1, and Tau), consistent with recent observations⁴⁵ (although some non-neuronal genes may also be regulated—see below). The fact that central nervous system-delivered mimics can distribute throughout the brain can be viewed as an advantage in targeting widespread diseases such as AD; however, further preclinical studies are required to ascertain this hypothesis. The delivery of mimics to specific brain regions and/or cell types is also feasible, for instance using receptor-specific peptides.⁶⁰ As shown here, brain cells adapt well to “high” levels of exogenous miRNA mimics, thus opening an interesting therapeutic window.^{61–64}

Most AD mouse models used in preclinical studies express only the coding sequence of mutant genes of interest (*e.g.*, APP, BACE1, PSEN), therefore excluding partially or entirely the 3'UTR. The use of wild-type mice is therefore essential to address our questions, since harboring all physiological regulatory elements. For instance, the use of wild-type mice allowed us to investigate in more detail the role of miR-16 in the regulation of endogenous Tau phosphorylation. In addition, and importantly, we identified protein networks downstream of miR-16 overexpression in the adult brain. These effects are independent of mutant transgenes, known to have pleiotropic effects,⁶⁵ and thus provide a more accurate view of regulated pathways. We hypothesize that miRNA-based therapies will benefit mostly patients with sporadic AD, thus with no causative mutations in APP or PSEN genes.⁶⁶

Continuous delivery of miR-16 mimics in the brain did not induce overt inflammation, another significant advantage

when developing central nervous system-based drugs. We actually noticed a downregulation of Gfap and Aif1 in the treated mice. All members of the miR-15/107 family are predicted to target the human GFAP 3'UTR (targets.org). Whether this phenomenon is conserved in mice remains an interesting possibility, and would indicate that a pool of miR-16 mimics could effectively target non-neuronal genes. Interestingly, previous studies have shown that Gfap deficiency in mice protects neurons against metabolic and excitotoxic insults,⁶⁷ whereas interference with glial activation in AD mice results in improved cognitive and synaptic function.⁶⁸ In AD brain, a large number of GFAP-positive astrocytes are colocalized with amyloid plaques.⁶⁹ While the role of inflammation in AD remains under debate,⁷⁰ our results suggest a potential neuroprotective role for miR-16 upregulation in AD. The underlying mechanisms involved in this process remain to be determined, but might involve previously identified miR-16 downstream effectors (e.g., TNF, IL-8).^{51,71} Of course, longer treatments are required to determine if these effects are maintained over time.

Our quantitative proteomics analyses identified various putative miR-16 targets *in vivo* with potential important functions in AD and neurodegeneration. For instance, α -Synuclein, the major component of Lewy bodies in PD,⁷² can induce the fibrillation of Tau.⁷³ Tfr1 is a major iron binding protein, with high affinity for transferrin. Recent evidence suggests that ferritin iron accumulation in the hippocampus of AD patients concurs with decreased tissue integrity.⁷⁴ The reduction of Tfr1 in the hippocampus is also thought to be protective against oxidative stress in AD.⁷⁵ GAPVD1, also known as RAP6, is a regulator of endocytosis⁷⁶ and regulates Glut4 trafficking mainly in adipocytes.⁷⁷ Although the role of GAPVD1 in the brain is unknown, profiling studies suggest the GAPVD1 mRNA is upregulated in AD patients (NextBio databank: <http://www.nextbio.com>). Srrm2/SRM300 plays an important role in pre-mRNA splicing as a spliceosome component,⁷⁸ and is a candidate gene for PD.⁷⁹ Again, mRNA expression studies suggest an upregulation of Srrm2 in AD patients when compared to nondemented controls (NextBio databank, data sets GSE48350 and GSE5281).^{80,81} Interestingly, miR-16 mimics also induced a downregulation of various mitochondrial respiration components, including Ndufb5, Ndufb9, ATP5j, ATP6V0, COX4i, Cox5a,b,-6C in the brainstem. In the rat brain, it has been suggested that aging elicits elevates metabolic activity by regulating in part these genes.⁸² Whether miR-16 introduction (or reintroduction) could prevent or attenuate oxidative stress associated with ageing and/or disease is an interesting possibility.

Considering that a single miRNA can modulate a large number of genes, miRNA-based therapeutics have their own challenges that must be overcome before assessing their efficacy in humans, like stability, delivery, and safety.⁸³ One should keep in mind that certain miRNAs can, however, function through specific "master switches", thus limiting the number of affected genes.^{84,85} In this context, our study provides important new information with regard to the efficiency of miRNA mimics for AD therapy, by showing the combined action of miR-16 on APP, BACE1, and Tau. Although bioinformatics predict a large number of miR-16 targets (e.g., >1,000 using miRWalk), our *in vivo* studies in the brain show

that relatively few genes (~100 in total) are affected by miR-16 overexpression, and in a region-specific manner. These observations suggest that miRNA replacement therapy could be safe with minimal side effects in humans. While previous studies have linked miR-16/15a misregulation to cancer,^{86–89} it is not expected that proposed target genes (e.g., Bcl-2, Mcl1, Ccnd1, and Wnt3a) are regulated in postmitotic neurons. Such cell and tissue specificity is well documented, for instance, with transcription factors. Consistent with this notion, Bcl-2 protein levels remained unchanged following miR-16 mimic overexpression (data not shown), consistent with our proteomics analysis. In addition, there is no clear indication that major cancer-related networks and pathways are affected in the treated mice.

Considering that miR-16 dysregulation is associated with various other neurodegenerative and psychiatric diseases, these results set the stage to explore in more detail the role of this superfamily in brain disorders in general. Future experiments include testing the effects of mimics in animal models of neurodegeneration (taking into account their limitations), as well as performing detailed pharmacokinetics analyses of mimics in the brain. Finally, our research suggests that miR-16 replacement therapy can specifically be used for AD and possibly PD.

Materials and methods

Cell culture. Mouse neuroblastoma Neuro2a cells, mouse Neuro2a cells expressing the Swedish mutant of APP and $\Delta 9$ mutant of PSEN1 (Neuro2a APPSwe/ $\Delta 9$) (Dr. Gopal Thinnakaran, University of Chicago, USA), mouse hippocampal-derived HT22 cells (Dr. Schubert, Salk institute, USA), human HEK293T cells, and human HEK293 cells expressing the Swedish mutant of APP (HEK293-APPSwe) were cultured in Dulbecco's modified Eagle medium supplemented with 10% fetal calf serum (ThermoFischer Scientific, Waltham, MA).

Cell transfection. Cells were seeded into six-well plates at the concentration of 1.5×10^5 cells per well the day before transfection. All miRNA mimics used for *in vitro* studies were purchased from Ambion (Life Technologies, Burlington, Canada). These were transfected at various concentrations (see text) using Lipofectamine 2000 (Life Technologies) according to the manufacturer's instructions.

Western blotting. Total proteins from cells were extracted from cells using RIPA buffer (25 mmol/l Tris-HCl (pH 7.6), 150 mmol/l NaCl, 1% NP-40, 1% sodium deoxycholate) supplemented with 0.01% Protease and phosphatase inhibitor cocktail and 0.025% Na-deoxycholate. Brain proteins and total RNA was extracted using the mirVana PARIS kit (Life Technologies). Protein lysates were separated by electrophoresis using 4–12% NuPAGE precast gels and Tris-Acetate 3–8% for protein more than 200kD (Life Technologies) and wet transferred onto a 0.45 μ m Nitrocellulose membrane (Bio-Rad, Mississauga, Canada). GAPVD1 (k-22, cat#sc-133607) and SRM300 (H111, cat#sc-292291) antibodies were purchased from Santa Cruz Biotechnology. (Dallas, TX) Phospho-ERK1/2 (cat#9101),

ERK1/2 (cat #9102), Nicastrin (D38F9, cat#5665), BACE1 (D10E5, cat#5606), and PEN2 (D2G6, cat#8502) antibodies were purchased from Cell Signaling (Danvers, MA). TAU1 (cat#MAB3420), goat anti-rat IgG-HRP (#catAP136P), PRESENILIN-1 (PS1-loop, cat#MAB5232), AMYLOID- β (WO-2, cat#MABN10), and glyceraldehyde 3-phosphate dehydrogenase (cat#MAB374) antibodies were purchased from Millipore (Etobicoke, Canada). Other antibodies included: TAU total (cat#A0024, Dako, Denmark), TAU PHF13 (cat#ab24716, Abcam, Toronto, Canada), APP (cat#A8717, Sigma), ALPHA-SYNUCLEIN (cat#PA1-18264, Thermo Fisher Scientifics, Burlington, Canada), and Peroxidase-conjugated affinitypure goat-anti mouse IgG (Jackson Immuno research, West Grove, PA). Images were acquired using Immobilon Western Chemiluminescent HRP Substrate (cat#WBKLS0050, Millipore) and Fusion FX (Vilber Lourmat, Eberhardzell, Germany) imaging system.

qRT-PCR. Total RNA was reverse-transcribed to cDNA using iScript Reverse Transcription Supermix (Bio-Rad) according to the manufacturer's instructions. cDNA was used as template in qRT-PCR reaction performed using soFast EvaGreen Supermix (Bio-Rad). Primer sequences used were: Aif1 Forward: ATCAACAAGCAATTCCTCGATGA, Aif1 Reverse: CAGCATTCGCTTCAAGGACATA (Primer Bank ID 9506379a1); Tlr2 Forward: ACAACTTACCGAAACCTCAGAC, Tlr2 Reverse: ACCCCAGAAGCATCACATG; Bad Forward: TGAGCCGAGTGAGCAGGAA, Bad Reverse: GCCTCCATGATGACTGTTGGT; Gfap Forward: AGAGGGA CAACTTGCACAG (Primer Bank ID 6671610a1), Gfap Reverse: TCCAAATCCACACGAGCC; CD86 Forward: CTGGACTCTACGACTTCACAATG, CD86 Reverse: AGTTGGCGATCACTGACAGTT; Tau Forward: TGACACGGACGCTGGCCTGAA, Tau Reverse: CACTTGGAGGTCACCTTGCTC; APP Forward: CGAGAGAGAATGTCCCAGGT, APP Reverse: AGTTCTTGGCTTGACGCTCT; Bace1 Forward: CGTGTGGAAATCAATGGTCAAG, Bace1 Reverse: GACGGCAGCTTCAAATACTTTC; Ncstn Forward: TCCGTGGTACTGGCAGGATT Ncstn Reverse: CCCCTGTATCCCCACTAATTGA (Primer Bank ID 31981205a1). Relative expression was calculated by using the $\Delta\Delta C_t$ method using a LightCycler 480 II (Roche, Laval, Canada). Glyceraldehyde 3-phosphate dehydrogenase was used as normalization control. For miRNA quantification, TaqMan miRNA assays (Applied Biosystem, Burlington, Canada) for miR-16 was used, and relative levels were calculated using the $\Delta\Delta C_t$ method against RNU19 as reference control.⁹⁰

Luciferase assays. The full-length *hAPP*, *hBACE1*, and *hNicastrin* 3'UTR luciferase constructs were described previously.^{28,50,90} Mutagenesis was performed by TOP gene Technologies (Montréal, Canada) and validated by sequencing. miRNA mimics (pre-miRs) with concentrations between 0–25 nmol/l, pRL Renilla (10 ng) and pGL3 plasmids harboring 3'UTR of interest (500 ng) were cotransfected using LipofectAMINE 2000 into HEK293 cells. Twenty-four hours after cotransfection, luciferase activities were measured by using a Dual-Glo Luciferase Assay System (Promega, Madison, WI) according to the manufacturer's protocol.

Firefly luciferase activity was normalized to Renilla luciferase activity.

ELISA. Supernatants of Neuro2a-APP^{Swe}/ Δ 9 cells were collected 48 hours post-transfection with miR-16 mimics or scrambled control. Soluble (secreted) human A β 1–40 and A β 1–42 levels were measured by ELISA (cat #KHB3481 and KHB3441, Invitrogen, Burlington, Canada) following the manufacturer's protocol.

In vivo administration of mimics. Mouse miR-16 mimics (CONmir mimics) used for *in vivo* studies were purchased from Riboxx (Redebul, Germany). All animal protocols were approved by the animal protection committee of the CHU de Québec. Wild-type mice (C57BL/6, female, 2 months old) were used in all experiments. Mice were maintained in a 12-hour light/12-hour dark cycle and received routine veterinary monitoring. The mini-pumps (ALZET model 1007D) and brain infusion kits (cat#8663) were purchased from Durect (Cupertino, CA). Preoperative procedure included 30 μ l of Anafen (1 mg/ml), 100 μ l Marcaine (5.0 mg/ml), and 500 μ l saline (0.9%). ALZET mini-osmotic pumps were implanted subcutaneously. Mimics were administrated into the brain using coordinates: ventricle A/P = -0.22 M/L = 0.5 D/v = 3.5 (12 hours per day) (0.5 μ l per hour with concentration of 4.2 μ g/ μ l). During the postoperative procedure, mice were treated with 50 μ l Anafen (1 mg/ml) and 500 μ l saline (0.9%).

Proteomics. Proteomics was performed by the proteomics platform of the Centre de recherche du CHU de Québec on two selected regions of brainstem and hippocampus with $n=4$ mice/region. Frozen tissues were disrupted using a mortar and pestle. Samples were kept frozen on dry-ice, and grind to fine powder. Then lysis buffer (50 mmol/l ammonium bicarbonate, 50 mmol/l dithiothreitol, 0.5% sodium deoxycholate) containing protease inhibitors cocktail (Roche Diagnostics, Indianapolis, IN) was added, and the sample preparation was homogenized on ice by sonication with a Sonic Dismembrator (Fisher, Pittsburgh, PA) with 1 second pulse (20 times). Samples were centrifuged 10 minutes at 16,000 g. The supernatants were mixed with five volumes of acetone (stored at -20 °C) and incubated overnight at -20 °C. Precipitated proteins were centrifuged 15 minutes at 16,000 g. Protein pellets were air dried and then resuspended in 0.5 M triethylammonium bicarbonate—0.5% sodium deoxycholate. Fifteen micrograms of protein for each group were used for iTRAQ labeling. Triethylammonium bicarbonate and sodium deoxycholate were added to each sample to reach a final concentration of 0.5 M and 0.5%, respectively. Proteins were then reduced and alkylated according to the iTRAQ kit manufacturer's instruction (Applied Biosystems, Burlington, Canada). Samples were digested with trypsin (Sequence grade Modified, Promega) using 1:30 ratio overnight at 37 °C. After digestion, peptides were acidified to precipitate deoxycholate, and then purified with an oasis HLB cartridge (1 cc, 10 mg, Waters) and lyophilized. Dried peptides were dissolved in 30 μ l 0.5 M triethylammonium bicarbonate and labeled with iTRAQ label reagent (Applied Biosystems). Fourplex labeling was performed for 2 hours at room temperature

in the dark. Labeled peptides were combined in one tube and dried with the SpeedVac. Samples were cleaned up using HLB cartridge. Samples were dried and reconstituted 200 μ l HPLC H₂O and 1/100 ampholytes pH 3–10 (Biorad). Then peptides were fractionated with 7cm IPG strips pH 3–10 using an isoelectric focusing method, and performed for 10,000V h. IPG strips were cut in 14 fractions and peptides were extracted in 2% ACN –0.1%FA solution followed by 50% ACN-1% FA. Finally, fractions were dried with the SpeedVac. The proteins listed were the one considered to be differentially expressed and they were identified at a false discovery rate less than 1% as estimated by a Protein Pilot tool using reverse database search strategy and their iTRAQ ratios were <0.8 and >1.2 with a *P* value lower than 0.05 as calculated by Protein Pilot based on two-tailed *t*-tests where the degree of freedom is equal to the number of distinct peptide minus one.

RNA immunoprecipitation (RIP-Chip). RIP immunoprecipitations were performed as described previously.^{91,92} Briefly, anti-AGO2 (2A8, cat# MABE56, Millipore) and control mouse IgGs were coupled to Protein G Sepharose (GE Healthcare Bioscience, Mississauga, Canada). Brainstem and cortex tissues were homogenized in a lysis buffer (25 mmol/l Tris-HCl pH8, 150 mmol/l NaCl, 2 mmol/l MgCl₂, 0.5% Triton X-100, 5 mmol/l dithiothreitol, 250U/ml RNasin, and protease inhibitors). Proteins were transferred to a clean tube after high-speed centrifugation. Total lysate was precleared by incubating with protein G alone and then separated into two fractions. These were incubated with either the antibody (AGO2) or control IgG-coupled beads. Following washes (high salt buffer = lysis buffer at 900 mmol/l NaCl and low Triton X-100 buffer = lysis buffer at 0.05% Triton X-100), proteins, including RNA-binding proteins, were eluted with sample buffer. Immunoprecipitated total RNAs were extracted directly from the beads using Trizol (Invitrogen). MiR-16 was subjected to qRT-PCR analysis. Following the immunoprecipitation, the protein fraction was subjected to western blot analysis (anti-AGO2 C34C6, cat#2897, Cell Signalling) in order to validate the efficiency of Ago2 immunoprecipitation (data not shown).

Statistics. Statistical significance and normality was calculated using GraphPad version 6.0d software (La Jolla, CA). Western blot images were analyzed by ImageJ V1.47 software. Statistical significance was calculated by parametric unpaired *t*-test with Welch's correction (*P* < 0.05 considered as significant) and multiple comparisons was done using the Bonferroni method.

Supplementary material

Figure S1. Experimental overview of current study.

Figure S2. Characterization of miR-16/15a family members in cells.

Figure S3. Regulation of endogenous APP in native HEK293 cells.

Figure S4. Downregulation of the miR-16/15a cluster in AD.

Figure S5. Validation of miR-16 mimic specificity *in vivo*.

Figure S6. Characterization of miR-16/15a family members in the brain.

Figure S7. Analysis of APP, BACE1, and Tau mRNA *in vivo*.

Figure S8. Nicastrin is directly regulated by miR-16.

Figure S9. Physical interaction networks between putative miR-16 targets *in vivo*.

Table S1. Protein changes in the brainstem and hippocampus of treated mice versus controls (n=4/group).

Table S2. DAVID gene enrichment analysis of top ranked targets identified in brainstem using Homo sapiens background.

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