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Scientific Opinion on Flavouring Group Evaluation 63, Revision 3 (FGE.63Rev3): aliphatic secondary alcohols, ketones and related esters evaluated by JECFA (59th and 69th meetings) structurally related to saturated and unsaturated aliphatic secondary alcohols, ketones and esters of secondary alcohols and saturated linear or branched-chain carboxylic acids evaluated by EFSA in FGE.07Rev4

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Abstract

The EFSA Panel on Food Contact Materials, Enzymes, Flavourings and Processing Aids was requested to consider evaluations of flavouring substances assessed since 2000 by the Joint FAO/WHO Expert Committee on Food Additives (JECFA), and to decide whether further evaluation is necessary, as laid down in Commission Regulation (EC) No 1565/2000¹. The present consideration concerns a group of 29 aliphatic secondary alcohols, ketones and related esters evaluated by JECFA at the 59th and 69th meetings in 2002 and 2008. This revision is made due to the inclusion of nine additional substances cleared for genotoxicity concern in FGE.205 Revision 1. The substances were evaluated through a stepwise approach that integrates information on structure–activity relationships, intake from current uses, toxicological threshold of concern and available data on metabolism and toxicity. The Panel agrees with the application of the Procedure as performed by JECFA for all 29 substances considered in this FGE. For all substances, the Panel concludes that there is ‘no safety concern at the estimated levels of intake as flavouring substances based on the MSDI approach’. For all 29 substances, the specifications for the materials of commerce have also been considered and found adequate. Ten out of the 14 substances for which use levels became available exceed the modified theoretical added maximum daily intake (mTAMDI) and more reliable exposure data are required to finalise their evaluation. On the basis of such data, additional toxicological data might become necessary. For 15 substances, use levels are needed to calculate the mTAMDI in order to identify those flavouring substances that need more refined exposure assessment to finalise the evaluation.

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Summary

Following a request from the European Commission, the Panel on Food Contact Materials, Enzymes, Flavourings and Processing Aids (CEF Panel) was asked to deliver a scientific opinion to provide scientific advice to the Commission on the implications for human health of chemically defined flavouring substances used in or on foodstuffs in the Member States. In particular, the Panel was requested to consider the Joint FAO/WHO Expert Committee on Food Additives (the JECFA) evaluations of flavouring substances assessed since 2000, and to decide whether no further evaluation is necessary, as laid down in Commission Regulation (EC) No 1565/2000.¹ These flavouring substances are listed in the Union List, which was adopted by Commission Regulation (EU) No 872/2012² and its consecutive amendments.

The Flavouring Group Evaluation 63 Revision 3 (FGE.63Rev3) deals with the consideration of 29 aliphatic secondary alcohols, ketones and related esters evaluated by JECFA at its 59th and 69th meetings (JECFA, 2003, 2009b). Sixteen of the 29 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.081, 07.099, 07.101, 07.102, 07.190, 07.247, 07.256, 09.281, 09.282 and 09.936] possess an α,β -unsaturated structure which is considered a structural alert for genotoxicity. Therefore, the 16 substances have been evaluated by the European Food Safety Authority (EFSA) in FGE.204, FGE.206, FGE.205 and FGE.205Rev1, respectively, and the genotoxicity concern could be ruled out.

The Panel concluded that the 29 substances in the JECFA flavouring group of aliphatic secondary alcohols, ketones and related esters are structurally related to the group of 49 saturated and unsaturated aliphatic secondary alcohols, ketones and esters of secondary alcohols and saturated linear or branched-chain carboxylic acids evaluated in FGE.07Rev4.

The Panel agrees with the application of the Procedure as performed by JECFA for the 29 substances considered in this FGE.

For the 29 substances, the JECFA evaluation was based on maximised survey-derived daily intake (MSDI) values derived from production figures from the European Union (EU). For all 29 substances, the Panel agreed with the JECFA conclusion that, according to the Procedure, they are not expected to be of safety concern when used as flavouring substances based on the MSDI approach.

In order to determine whether the conclusion for the 29 JECFA-evaluated substances can be applied to the materials of commerce, it is necessary to consider the available specifications. Adequate specifications including complete purity criteria and identity became available for all the JECFA-substances evaluated in this FGE.

Thus, for 29 JECFA-evaluated aliphatic secondary alcohols, ketones and related esters [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.015, 07.069, 07.081, 07.099, 07.100, 07.101, 07.102, 07.114, 07.123, 07.151, 07.190, 07.240, 07.247, 07.249, 07.256, 09.281, 09.282, 09.657, 09.658, 09.923, 09.924, 09.925 and 09.936], the Panel agrees with the JECFA conclusion: 'No safety concern at current levels of intake when used as flavouring agents, based on the MSDI approach'.

For 14 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.081, 07.099, 07.101, 07.102, 07.190, 09.281, 09.282 and 09.936], industry has submitted use levels for normal and maximum use. Based on these normal use levels, modified theoretical added maximum daily intake (mTAMDI) values can be calculated. Four flavouring substances [FL-no: 02.252, 07.099, 07.101 and 09.936] have mTAMDI intake estimates below the threshold of concern for their structural class. The Panel noted that these four substances are evaluated via the A-side of the Procedure. For 10 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 07.081, 07.102, 07.190, 09.281 and 09.282], the mTAMDI values are above the thresholds of concern for their structural class II of 540 $\mu\text{g}/\text{person}$ per day. Therefore, for these 10 substances, more reliable exposure data are required in order to finalise the evaluation. On the basis of such additional data, these flavouring substances should be reconsidered using the Procedure. Following this procedure, additional toxicological data might become necessary. For the remaining 15 substances evaluated through the Procedure, use levels are needed to calculate the mTAMDI in order to identify those flavouring substances that need more refined exposure assessment in order to finalise the evaluation.

¹ Commission Regulation No 1565/2000 of 18 July 2000 laying down the measures necessary for the adoption of an evaluation programme in application of Regulation (EC) No 2232/96. Official Journal of the European Communities L 180, 19.7.2000, 8–16.

² EC (European Commission), 2012. Commission implementing Regulation (EU) No 872/2012 of 1 October 2012 adopting the list of flavouring substances provided for by Regulation (EC) No 2232/96 of the European Parliament and of the Council, introducing it in Annex I to Regulation (EC) No 1334/2008 of the European Parliament and of the Council and repealing Commission Regulation (EC) No 1565/2000 and Commission Decision 1999/217/EC. OJ L 267, 2.10.2012, p. 1–161.

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1. Introduction

1.1. Background and Terms of Reference as provided by the requestor

1.1.1. Background

The use of flavourings is regulated under Regulation (EC) No 1334/2008³ of the European Parliament and Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods. On the basis of Article 9(a) of this Regulation, an evaluation and approval are required for flavouring substances.

The Union list of flavourings and source materials was established by Commission Implementing Regulation (EC) No 872/2012.² The list contains flavouring substances for which the scientific evaluation should be completed in accordance with Commission Regulation (EC) No 1565/2000¹.

On 27 September 2012, the EFSA Panel on Food Contact Materials, Enzymes, Flavourings and Processing Aids (CEF Panel) adopted an opinion on Flavouring Group Evaluation 205 (FGE.205): Consideration of genotoxic potential on α,β -unsaturated aliphatic ketones with terminal double bonds and precursors from chemical subgroup 1.2.2 of FGE.19.

The Panel concluded that for the two representative substances: oct-1-en-3-one [FL-no: 07.081] and pent-1-en-3-one [FL-no: 07.102], the positive effects in the bacterial mutagenicity assays cannot be overruled by one negative and one equivocal gene mutation test in mammalian cells. Accordingly, an *in vivo* Comet assay on the first site of contact (e.g. the stomach or duodenum) and on the liver was requested for the most potent substance, pent-1-en-3-one [FL-no: 07.102]. As an alternative, a transgenic animal assay would also be acceptable.

On 10 March 2015, the applicant submitted additional studies on the representative substances [FL-no: 07.102] and [FL-no: 07.081]. These studies are intended to cover the substances in this group, namely: FL-nos: 02.023, 02.099, 02.104, 02.131, 02.136, 02.155, 02.187, 07.161, 07.210, 09.281 and 09.282.

1.1.2. Terms of Reference

The European Commission requests the European Food Safety Authority (EFSA) to evaluate this new information and, depending on the outcome, proceed to the full evaluation on the above mentioned flavouring substances in accordance with Commission Regulation (EC) No 1565/2000¹.

1.2. Interpretation of the Terms of Reference

The European Commission requests EFSA to carry out a safety assessment on the substances oct-1-en-3-ol, pent-1-en-3-ol, hex-1-en-3-ol, dec-1-en-3-ol, 1-hepten-3-ol, oct-1-en-3-one, pent-1-en-3-one, oct-1-en-3-yl acetate and oct-1-en-3-yl butyrate [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 07.081, 07.102, 09.281 and 09.282], evaluated in FGE.205 Revision 1, in accordance with Commission Regulation (EC) No 1565/2000¹.

2. Data and methodologies

The approach used by EFSA for safety evaluation of flavouring substances is referred to in Commission Regulation (EC) No 1565/2000¹, hereafter named the 'EFSA Procedure'. This Procedure is based on the opinion of the Scientific Committee on Food (SCF, 1999), which has been derived from the evaluation procedure developed by the Joint FAO/WHO Expert Committee on Food Additives (JECFA, 1995, 1996, 1997, 1999), hereafter named the 'JECFA Procedure'. The CEF Panel compares the JECFA evaluation of structurally related substances with the result of a corresponding EFSA evaluation, focussing on specifications, intake estimations and toxicity data, especially genotoxicity data. The evaluations by EFSA will conclude whether the flavouring substances are of no safety concern at their estimated levels of intake, whether additional data are required or whether certain substances should not be put through the EFSA Procedure.

The following issues are of special importance.

³ Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods and amending Council Regulation (EEC) No 1601/91, Regulations (EC) No 2232/96 and (EC) No 110/2008 and Directive 2000/13/EC. OJ L 354, 31.12.2008, p. 34–50.

Intake

In its evaluation, the Panel as a default uses the 'maximised survey-derived daily intake' (MSDI) approach to estimate the per capita intakes of the flavouring substances in Europe.

In its evaluation, JECFA includes intake estimates based on the MSDI approach derived from both European and USA production figures. The highest of the two MSDI figures is used in the evaluation by JECFA. It is noted that in several cases, only the MSDI figures from the USA were available, meaning that certain flavouring substances have been evaluated by JECFA only on the basis of these figures. For Register substances for which this is the case, the Panel will need the European Union (EU) production figures in order to finalise the evaluation.

When the Panel examined the information provided by the European Flavour Industry on the use levels in various foods, it appeared obvious that the MSDI approach in a number of cases would grossly underestimate the intake by regular consumers of products flavoured at the use level reported by industry, especially in those cases where the annual production values were reported to be small. In consequence, the Panel had reservations about the data on use and use levels provided and the intake estimates obtained by the MSDI approach. It is noted that JECFA, at its 65th meeting considered 'how to improve the identification and assessment of flavouring agents, for which the MSDI estimates may be substantially lower than the dietary exposures that would be estimated from the anticipated average use levels in foods' (JECFA, 2006).

In the absence of more accurate information that would enable the Panel to make a more realistic estimate of the intakes of the flavouring substances, the Panel has decided also to perform an estimate of the daily intakes per person using a modified 'theoretical added maximum daily intake' (mTAMDI) approach based on the normal use levels reported by industry.

As information on use levels for the flavouring substances has not been requested by JECFA or has not otherwise been provided to the Panel, it is not possible to estimate the daily intakes using the mTAMDI approach for the substances evaluated by JECFA. The Panel will need information on use levels in order to finalise the evaluation.

Threshold of 1.5 µg/person per day (Step B5) used by JECFA

JECFA uses the threshold of concern of 1.5 µg/person per day as part of the evaluation procedure:

The Committee noted that this value was based on a risk analysis of known carcinogens which involved several conservative assumptions. The use of this value was supported by additional information on developmental toxicity, neurotoxicity and immunotoxicity. In the judgement of the Committee, flavouring substances for which insufficient data are available for them to be evaluated using earlier steps in the Procedure, but for which the intake would not exceed 1.5 µg/person per day would not be expected to present a safety concern. The Committee recommended that the Procedure for the Safety Evaluation of Flavouring Agents used at the 46th meeting be amended to include the last step on the right-hand side of the original Procedure ('Do the condition of use result in an intake greater than 1.5 µg per day?') (JECFA, 1999).

In line with the opinion expressed by the Scientific Committee on Food (SCF, 1999), the Panel does not make use of this threshold of 1.5 µg/person per day.

Genotoxicity

As reflected in the opinion of SCF (1999), the Panel has in its evaluation focussed on a possible genotoxic potential of the flavouring substances or of structurally related substances. Generally, substances for which the Panel has concluded that there is an indication of genotoxic potential *in vitro*, will not be evaluated using the EFSA Procedure until further genotoxicity data are provided. Substances for which a genotoxic potential *in vivo* has been concluded, will not be evaluated through the Procedure.

Specifications

Regarding specifications, the evaluation by the Panel could lead to a different opinion than that of JECFA, since the Panel requests information on, e.g. isomerism.

Structural Relationship

In the consideration of the JECFA-evaluated substances, the Panel will examine the structural relationship and metabolism features of the substances within the flavouring group and compare this with the corresponding Flavouring Group Evaluation (FGE).

2.1. History of the evaluation of the substances in the present FGE

At its 59th meeting, JECFA evaluated a group of 39 flavouring substances consisting of aliphatic secondary alcohols, ketones and related esters (JECFA, 2003). One of the JECFA-evaluated substances is not in the Register [(*E,R*)-3,7-dimethyl-1,5,7-octatrien-3-ol (JECFA No: 1154)], and 25 substances [FL-no: 02.023, 02.099, 02.102, 02.104, 02.136, 02.193, 07.044, 07.048, 07.081, 07.082, 07.099, 07.101, 07.102, 07.104, 07.105, 07.106, 07.107, 07.121, 07.138, 07.139, 07.177, 07.188, 07.244, 07.247 and 07.256] are α,β -unsaturated ketones or precursors for such, which have been considered together with other α,β -unsaturated substances. FGE.63 therefore only dealt with 13 JECFA-evaluated substances.

The first revision of FGE.63, FGE.63Rev1, included the consideration of six additional substances [FL-no: 02.252, 07.099, 07.190, 07.247, 07.256 and 09.936] evaluated by JECFA at their 59th and 69th meetings. Furthermore, for six substances [FL-no: 07.069, 07.114, 09.657, 09.658, 09.923 and 09.925] industry, European Flavour and Fragrance Association (EFFA), had submitted information on the stereoisomeric composition, and for three substances, [FL-no: 07.069, 07.100 and 09.658], provided the EU production volumes (EFFA, 2010).

The second revision of FGE.63, FGE.63Rev2, included the consideration of one additional substance, 4-methylpent-3-en-2-one [FL-no: 07.101]. This substance is an α,β -unsaturated ketone and was originally evaluated in FGE.204 (EFSA CEF Panel, 2012a) in which it was considered not to be of concern with respect to genotoxicity.

| FGE | Adopted | Link | No. substances |
|--------|------------|---|----------------|
| 63 | 07.07.2007 | http://www.efsa.europa.eu/en/efsajournal/pub/706.htm | 13 |
| 63Rev1 | 26.09.2012 | http://www.efsa.europa.eu/en/efsajournal/pub/2900.htm | 19 |
| 63Rev2 | 09.04.2013 | https://www.efsa.europa.eu/en/efsajournal/pub/3188 | 20 |
| 63Rev3 | 30.11.2016 | https://www.efsa.europa.eu/en/efsajournal/pub/4662 | 29 |

FGE: Flavouring Group Evaluation.

The present revision of FGE.63, FGE.63Rev3, includes the consideration of six additional substances from the 59th meeting of JECFA, [FL-no: 02.023, 02.099, 02.104, 02.136, 07.081 and 07.102,] and three substances [FL-no: 02.155, 09.281 and 09.282] from the 69th meeting of JECFA. These substances are α,β -unsaturated secondary alcohols and ketones and were originally evaluated in FGE.205 (EFSA CEF Panel, 2012c) and FGE.205Rev1 (EFSA CEF Panel, 2016) in which they were considered to be of no concern with respect to genotoxicity. Therefore, these nine substances can be evaluated in the present FGE using the Procedure. Additional specifications, use levels and tonnage data have also become available for these substances and considered by the Panel (EFFA, 2016).

3. Assessment

3.1. Presentation of the substances in FGE.63Rev3

3.1.1. Substances evaluated by JECFA at the 59th and 69th meetings

3.1.1.1. JECFA status

This FGE deals with 29 JECFA-evaluated substances, 23 substances from the 59th meeting, 2002, and six substances from the 69th meeting, 2008:

Of the 39 aliphatic secondary alcohols, ketones and related esters evaluated by JECFA at the 59th meeting (JECFA, 2003), one of which is not in the Register ((*E,R*)-3,7-dimethyl-1,5,7-octatrien-3-ol (JECFA No: 1154)) and one is no longer supported by industry (2-pentylbut-1-en-3-one [FL-no: 07.138] (DG SANCO, 2012)). Thirteen substances (all saturated aliphatic secondary alcohols, ketones and related esters) were included in the first release of FGE.63. The remaining 25 substances are α,β -unsaturated ketones or precursors for such, which have been considered together with other α,β -unsaturated substances with respect to a possible genotoxic potential. Ten of these 25 substances were evaluated by EFSA for their genotoxic potential: three [FL-no: 07.099, 07.247 and 07.256] in FGE.206 (EFSA CEF Panel, 2011), one (4-methylpent-3-en-2-one [FL-no: 07.101]) in FGE.204 (EFSA CEF Panel, 2012a) and six [FL-no: 02.023, 02.099, 02.104, 02.136, 07.081 and 07.102] in FGE.205Rev1 (EFSA CEF Panel, 2016). For the remaining 14 substances [FL-no: 02.102, 02.139, 07.044, 07.048, 07.082, 07.104,

07.105, 07.106, 07.107, 07.121, 07.139, 07.177, 07.188 and 07.244], a concern for genotoxicity could not yet been ruled out in FGE.204 (EFSA CEF Panel, 2012a). Therefore, FGE.63Rev3 will address 23 substances that were evaluated by JECFA at their 59th meeting.

Of the 17 aliphatic secondary alcohols, ketones and related esters evaluated by JECFA at the 69th meeting (JECFA, 2009b), five are not in the Register ((*E,Z*)-4-octen-3-one (JECFA No: 1843), (*E*)-2-nonen-4-one (JECFA No: 1844), (*E*)-5-nonen-2-one (JECFA No: 1845), 10-undecen-2-one (JECFA No: 1849) and 8-nonen-2-one (JECFA No: 1851)). Three substances [FL-no: 02.155, 09.281 and 09.282] have been evaluated in FGE.205Rev1 and three substances [FL-no: 02.252, 07.190 and 09.936] were evaluated in FGE.206 (EFSA CEF Panel, 2011) for their possible genotoxic potential. The remaining six substances [FL-no: 02.253, 07.097, 09.938, 07.239, 09.565 and 09.822] have been considered in various other FGEs. Therefore, FGE.63Rev3 will address 6 substances that were evaluated by JECFA at their 69th meeting.

3.1.1.2. EFSA considerations

For sixteen α,β -unsaturated ketones evaluated by JECFA at its 59th and 69th meetings (JECFA, 2003, 2009b), EFSA concluded that these were not of concern with respect to genotoxicity. Six substances evaluated in FGE.206 and one substance evaluated in FGE.204 were included in FGE.63Rev1 and FGE.63Rev2, respectively; nine substances evaluated in FGE.205Rev1 will be included in the current revision of FGE.63Rev3. These, together with 13 aliphatic secondary alcohols, ketones and related esters already considered in FGE.63, will thus comprise 29 flavouring substances.

The Panel concluded that these 29 substances are structurally related to the group of 49 saturated and unsaturated aliphatic secondary alcohols, ketones and esters of secondary alcohols and saturated linear or branched-chain carboxylic acids evaluated by EFSA in Flavouring Group Evaluation 07, Revision 4 (FGE.07Rev4) (EFSA CEF Panel, 2012b).

3.1.2. Isomers

3.1.2.1. JECFA status

Fifteen substances in the group of JECFA-evaluated aliphatic secondary alcohols, ketones and related esters have a chiral centre [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.069, 09.281, 09.282, 09.657, 09.658, 09.923, 09.924, 09.925 and 09.936] and eight substances can exist as geometrical isomers [FL-no: 02.252, 07.099, 07.114, 07.123, 07.190, 07.247, 07.256 and 09.936].








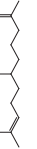


3.1.2.2. EFSA considerations




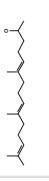
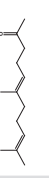

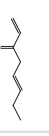
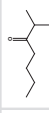

Flavouring substance [FL-no: 07.114] is a mixture of four geometrical isomers; however, no quantitative information on the occurrence of these isomers is provided. The Panel considered the available information on [FL-no: 07.114] adequate. For [FL-no: 07.123], the CASrn specifies that it consists of the *E*-isomer. The 13 chiral substances considered in this FGE are reported as racemates (see Table 1).


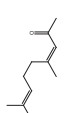
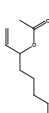
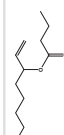

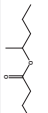



3.1.3. Specifications

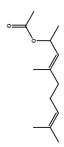
JECFA specifications are available for all 29 substances (JECFA, 2002, 2009a). See Table 1.

Table 1: Specification summary of the substances in the Flavouring Group Evaluation 63, Revision 3

| FL-no JECFA no | EU Register name | Structural formula | FEMA no CoE no CASrn | Phys. form Mol. formula Mol. weight | Solubility ^(a) Solubility in ethanol ^(b) | Boiling point, °C ^(c) Melting point, °C ID test Assay minimum | Refrac. index ^(d) Spec. gravity ^(e) | Specification comments |
|-------------------|------------------------------------|---|----------------------------|---|--|---|--|--|
| 02.023 1152 | Oct-1-en-3-ol |  | 2805 72 3391-86-4 | Liquid C ₈ H ₁₆ O 128.22 | Insoluble Miscible | 175–175.2 NMR 96% | 1.431–1.442 0.835–0.845 | Racemate (EFFA, 2016) |
| 02.099 1150 | Pent-1-en-3-ol |  | 3584 11717 616-25-1 | Liquid C ₅ H ₁₀ O 86.13 | Sparsely soluble Miscible | 114 NMR 98% | 1.419–1.427 0.831–0.837 | Racemate (EFFA, 2016) |
| 02.104 1151 | Hex-1-en-3-ol |  | 3608 10220 4798-44-1 | Liquid C ₆ H ₁₂ O 100.16 | Insoluble Miscible | 133.5–134 NMR 98% | 1.425–1.431 0.830–0.836 | Racemate (EFFA, 2016) |
| 02.136 1153 | Dec-1-en-3-ol |  | 3824 51100-54-0 | Liquid C ₁₀ H ₂₀ O 156.27 | Slightly soluble Miscible | 215 NMR MS 97% | 1.439–1.446 0.836–0.842 | Racemate (EFFA, 2016) |
| 02.155 1842 | 1-Hepten-3-ol |  | 4129 10218 4938-52-7 | Liquid C ₇ H ₁₄ O 114.19 | Practically insoluble or insoluble Freely soluble | 155 MS 97% | 1.431–1.437 0.834–0.837 | Racemate (EFFA, 2016) |
| 02.252 1841 | 4,8-Dimethyl-3,7- nonadien-2-ol |  | 4102 67845-50-5 | Liquid C ₁₁ H ₂₀ O 168 | Insoluble Soluble | 70 (2.6 hPa) IR NMR 95% | 1.465–1.473 0.860–0.870 | Racemate Mixture of <i>E/Z</i> isomers: 50–80% (<i>E</i>) (EFFA, 2012) |
| 07.015 1120 | 6-Methylhept-5-en-2- one |  | 2707 149 110-93-0 | Liquid C ₈ H ₁₄ O 126.19 | Insoluble Miscible | 173.1 NMR 97% | 1.435–1.445 0.846–0.854 | Racemate |
| 07.069 1121 | Tetrahydro-pseudo- ionone |  | 3059 2053 4433-36-7 | Liquid C ₁₃ H ₂₄ O 196.33 | Insoluble Miscible | 234 NMR 95% | 1.449–1.455 0.865–0.875 | Racemate (EFFA, 2010) |
| 07.081 1148 | Oct-1-en-3-one |  | 3515 2312 4312-99-6 | Liquid C ₈ H ₁₄ O 126.20 | Insoluble Miscible | 37–38 (3 hPa) NMR 96% | 1.428–1.439 0.813–0.819 | Racemate |
| 07.099 1134 | 6-Methylhepta-3,5- dien-2-one |  | 3363 11143 1604-28-0 | Liquid C ₈ H ₁₂ O 124.18 | Almost insoluble Miscible | 190 NMR 96% | 1.528–1.537 0.895–0.899 | Mixture of <i>E/Z</i> isomers: 60–90% (<i>E</i>) (EFFA, 2012) |

| FL-no JECFA no | EU Register name | Structural formula | FEMA no CoE no CASrn | Phys. form Mol. formula Mol. weight | Solubility ^(a) Solubility in ethanol ^(b) | Boiling point, °C ^(c) Melting point, °C ID test Assay minimum | Refrac. index ^(d) Spec. gravity ^(e) | Specification comments |
|-------------------|---|---|----------------------------|---|--|---|--|--|
| 07.100 1119 | 5-Methylhex-5-en-2-one |  | 3365 11150 3240-09-3 | Liquid C ₇ H ₁₂ O 112.17 | Insoluble Miscible | 148-149 NMR 97% | 1.428-1.433 0.862-0.868 | |
| 07.101 1131 | 4-Methylpent-3-en-2-one |  | 3368 11853 141-79-7 | Liquid C ₆ H ₁₀ O 98.14 | Slightly soluble Miscible | 126.76 NMR 95% | 1.442-1.447 0.862-0.868 | |
| 07.102 1147 | Pent-1-en-3-one |  | 3382 11179 1629-58-9 | Liquid C ₅ H ₈ O 84.12 | Insoluble Miscible | 68-70 (260 hPa) NMR 97% | 1.417-1.422 0.842-0.848 | |
| 07.114 1123 | 6,10,14-Trimethylpentadeca-5,9,13-trien-2-one |  | 3442 11206 762-29-8 | Liquid C ₁₈ H ₃₀ O 262.44 | Soluble Miscible | 147-148 NMR 96% | 1.478-1.483 0.885-0.895 | Mixture of (5 <i>E</i> ,9 <i>E</i>)-, (5 <i>Z</i> ,9 <i>Z</i>)-, (5 <i>E</i> ,9 <i>Z</i>)- and (5 <i>Z</i> ,9 <i>E</i>)-isomers (EFFA, 2010) |
| 07.123 1122 | Geranylacetone |  | 3542 11088 3796-70-1 | Liquid C ₁₃ H ₂₂ O 194.32 | Slightly soluble Miscible | 247 NMR 95% | 1.463-1.471 0.861-0.867 | <i>E</i> -isomer Name in the Union List to be changed to (<i>E</i>)-geranylacetone |
| 07.151 1118 | Decan-3-one |  | 3966 11056 928-80-3 | Liquid C ₁₀ H ₂₀ O 156.27 | Insoluble Miscible | 204-205 NMR 97% | 1.421-1.427 0.820-0.830 | |
| 07.190 1848 | Octa-1,5-dien-3-one |  | 4405 65213-86-7 | Liquid C ₈ H ₁₂ O 124.18 | Practically insoluble or insoluble Freely soluble | 169 MS 95% | 1.438-1.444 0.823-0.829 | Mixture of <i>E/Z</i> isomers: 60-90% (<i>E</i>) (EFFA, 2012) |
| 07.240 1156 | 2-Methylheptan-3-one |  | 4000 13019-20-0 | Liquid C ₈ H ₁₆ O 128.2 | Insoluble Miscible | 158-160 NMR 98% | 1.408-1.413 0.811-0.821 | |
| 07.247 1139 | (<i>E,E</i>)-3,5-Octadien-2-one |  | 4008 30086-02-3 | Liquid C ₈ H ₁₂ O 124.2 | Insoluble Miscible | 220 NMR 95% | 1.508-1.516 0.880-0.890 | |

| FL-no JECFA no | EU Register name | Structural formula | FEMA no CoE no CASrn | Phys. form Mol. formula Mol. weight | Solubility ^(a) Solubility in ethanol ^(b) | Boiling point, °C ^(c) Melting point, °C ID test Assay minimum | Refrac. index ^(d) Spec. gravity ^(e) | Specification comments |
|-------------------|--|---|-----------------------------|--|--|---|--|--|
| 07.249 1155 | Undecan-6-one |  | 4022 927-49-1 | Liquid C ₁₁ H ₂₂ O 170.3 | Insoluble Miscible | 228 NMR 97% | 1.424–1.430 0.826–0.836 | |
| 07.256 1137 | (E) & (Z)-4,8-Dimethyl-3,7-nonadiene-2-one |  | 3969 817-88-9 | Liquid C ₁₁ H ₁₈ O 166.26 | Insoluble Freely soluble | 200–201 n.a. IR NMR 94% | 1.473–1.477 0.869–0.875 | Mixture of E/Z isomers: 60–90% (E) (EFFA, 2012) |
| 09.281 1836 | Oct-1-en-3-yl acetate |  | 3582 11716 2442-10-6 | Liquid C ₁₀ H ₁₈ O ₂ 170.25 | Practically insoluble or insoluble Freely soluble | 80 (2 hPa) NMR 97% | 1.418–1.428 0.865–0.886 | Racemate (EFFA, 2016) |
| 09.282 1837 | Oct-1-en-3-yl butyrate |  | 3612 16491-54-6 | Liquid C ₁₂ H ₂₂ O ₂ 198.32 | Practically insoluble or insoluble Freely soluble | 81 (0.46 hPa) IR NMR MS 95% | 1.418–1.428 0.865–0.875 | Racemate (EFFA, 2016) |
| 09.657 1146 | 1-Methylbutyl acetate |  | 4012 10761 626-38-0 | Liquid C ₇ H ₁₄ O ₂ 130.2 | Insoluble Partially Soluble | 135 NMR 98% | 1.369–1.400 0.862–0.866 | Racemate (EFFA, 2010) |
| 09.658 1142 | 1-Methylbutyl butyrate |  | 3893 10763 60415-61-4 | Liquid C ₉ H ₁₈ O ₂ 158.24 | Insoluble 50% Soluble | 185–186 IR NMR MS 99% | 1.409–1.415 0.862–0.868 | Racemate (EFFA, 2010) |
| 09.923 1144 | Hept-2-yl butyrate |  | 3981 39026-94-3 | Liquid C ₁₁ H ₂₂ O ₂ 186.3 | Insoluble Miscible | 210 NMR 98% | 1.413–1.417 0.855–0.860 | Racemate (EFFA, 2010) |
| 09.924 1143 | 3-Heptyl acetate (mixture of R and S) |  | 3980 5921-83-5 | Liquid C ₉ H ₁₈ O ₂ 158.2 | Insoluble Miscible | 185 NMR 98% | 1.406–1.414 0.858–0.867 | Racemate |
| 09.925 1145 | Nonan-3-yl acetate |  | 4007 60826-15-5 | Liquid C ₁₁ H ₂₂ O ₂ 186.3 | Insoluble Miscible | 225 NMR 98% | 1.416–1.423 0.854–0.864 | Racemate (EFFA, 2010) |

| FL-no JECFA no | EU Register name | Structural formula | FEMA no CoE no CASrn | Phys. form Mol. formula Mol. weight | Solubility ^(a) Solubility in ethanol ^(b) | Boiling point, °C ^(c) Melting point, °C ID test Assay minimum | Refrac. index ^(d) Spec. gravity ^(e) | Specification comments |
|-------------------|--|---|----------------------------|---|--|---|--|--|
| 09.936 1847 | 4,8-Dimethyl-3,7- nonadien-2-yl acetate |  | 4103 91418-25-6 | Liquid C ₁₃ H ₂₂ O ₂ 210 | Insoluble Soluble | 75–83 (3 hPa) IR NMR 95% | 1.451–1.459 0.890–0.900 | Racemate Mixture of <i>E/Z</i> isomers: 50–80% (<i>E</i>) (ECHA, 2012) |

Atm: atmosphere (unit); CASrn: CAS register number; CoE: Council of Europe; CoE no: CoE number; ECHA: European Flavour and Fragrance Association; FEMA: Flavor and Extract Manufacturers Association; FEMA no: FEMA number; FL-no: FLAVIS number; ID: Identity; JECFA no: JECFA number; Mol. Formula: Molecular formula; Mol. weight: Molecular weight; Phys. form: Physical form; Refract. index: Refractive index; Spec. gravity: Specific gravity.

(a): Solubility in water, if not otherwise stated.

(b): Solubility in 95% ethanol, if not otherwise stated.

(c): At 1013.25 hPa (1 Atm), if not otherwise stated.

(d): At 20°C, if not otherwise stated.

(e): At 25°C, if not otherwise stated.

3.1.4. Intake data

3.1.4.1. JECFA status

For 29 substances evaluated by JECFA, intake data (MSDI) were available for the EU, see Tables B.1 and C.2.

3.1.4.2. EFSA considerations

For all substances, industry has submitted production figures for the EU.

For 14 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.081, 07.099, 07.101, 07.102, 07.190, 09.281, 09.282 and 09.936], industry has submitted normal and maximum use levels (Flavour Industry, 2004; EFFA, 2016) (see Table C.1). Based on the normal use levels, mTAMDI values can be calculated (see Table C.2), (EFSA, 2004). For 10 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 07.081, 07.102, 07.190, 09.281 and 09.282], the mTAMDI values are above the threshold of concern for their structural class II of 540 µg/person per day. The remaining four flavouring substances [FL-no: 02.252, 07.099, 07.101 and 09.936] have mTAMDI intake estimates below the threshold of concern for their structural class.

For 21 substances, use levels are needed in order to calculate the mTAMDI.

The use levels and mTAMDI values are presented in Appendix C, Tables C.1 and C.2.

3.2. Genotoxicity

3.2.1. Genotoxicity studies – text taken⁴ from the 59th JECFA meeting and the 69th JECFA meeting (JECFA, 2003, 2009b)

Genotoxicity data were only available from the 59th meeting and only *in vitro* studies were performed.

In vitro

Assays for reverse mutation were performed with 6-methylhept-5-en-2-one [FL-no: 07.015] and 6-methyl-3,5-heptadien-2-one [FL-no: 07.099]. There was no evidence of mutagenicity for 6-methylhept-5-en-2-one at concentrations up to 380 µg/plate in TA98, TA100, TA1535 or TA1537 strains of *Salmonella* Typhimurium (Florin et al., 1980). There was also no evidence of mutagenicity for 6-methyl-3,5-heptadien-2-one at concentrations up to 370 µg/plate in the same strains (Florin et al., 1980).

For a summary of *in vitro* genotoxicity data considered by JECFA, see Table A.1.

3.2.2. Genotoxicity studies – text taken⁴ from EFSA FGE.07Rev4 (EFSA CEF Panel, 2012b)

In vitro/In vivo

In vitro genotoxicity data have been reported for nine candidate substances. Negative results were obtained in bacterial systems (+/– metabolic activation) with six candidate substances: one saturated aliphatic acyclic secondary alcohol [FL-no: 02.183]; two saturated ketones [FL-no: 07.181 and 07.205]; two unsaturated ketones [FL-no: 07.198 and 07.262] and the ester isopropyl hexadecanoate [FL-no: 09.606]. Negative results were also obtained for the candidate substances pseudo-ionone [FL-no: 07.198], pentan-3-ol [FL-no: 02.077] and methyl-3-butan-2-one [FL-no: 07.178], the two-first mentioned being tested for chromosomal aberrations in mammalian cells and the latter for induction of aneuploidy in yeast cells, respectively.

Induction of aneuploidy in yeast cells has been demonstrated for pentan-3-one [FL-no: 07.084]. The effect, measured only at high concentrations, approaching cytotoxic levels, can be considered to be a threshold effect, not mediated by direct interaction with DNA. In addition, induction of aneuploidy described in the paper is strongly potentiated by ice treatments included in the experimental protocol, consistently with tubulin dissociation at low temperature *in vitro*; in the absence of this passage the effect is very weak. Therefore, the effect could be considered as an effect occurring only under unrealistic experimental conditions and the extrapolation of this result to the *in vivo* situation in

⁴ The text is taken verbatim from the indicated reference source, but text related to substances not included in the present FGE has been removed.

humans is questionable. Furthermore, it is well recognised that the relevance of fungal systems is limited when induction of aneuploidy in mammalian systems has to be evaluated.

Pseudo-ionone [FL-no: 07.198] was considered with respect to genotoxicity in FGE.206 (EFSA CEF Panel, 2011) where the Panel concluded that the data available ruled out the concern for genotoxicity. Pseudo-ionone was tested in *S. Typhimurium* strains TA98, TA100, TA1535, TA1537 and TA102 in the presence or absence of S9 and it is concluded that under the test conditions applied pseudo-ionone is not mutagenic in bacteria. Pseudo-ionone was also evaluated in an *in vitro* micronucleus assay in human peripheral blood lymphocytes for its ability to induce chromosomal damage or aneuploidy in the presence and absence of rat S9 fraction as an *in vitro* metabolising system. Under the conditions of this study, pseudo-ionone was not clastogenic and/or aneuploidy inducing in cultured human lymphocytes.

In vitro genotoxicity data are also available for 10 supporting substances.

No evidence of mutagenicity obtained with bacterial and/or mammalian cells systems was reported for: one saturated aliphatic acyclic secondary alcohol [FL-no: 02.079], five saturated [FL-no: 07.002, 07.050, 07.017, 07.053 and 07.122] and two unsaturated [FL-no: 07.015 and 07.099] aliphatic acyclic ketones; two esters of an aliphatic acyclic secondary alcohol with linear aliphatic carboxylic acids [FL-no: 09.003 and 09.105]. 4-Methyl-2-pentanone [FL-no: 07.017] gave negative results also when tested for chromosomal aberration activity.

Beside the negative results in *in vitro* bacterial point mutation tests, acetone [FL-no: 07.050] showed no evidence of increased sister chromatid exchanges in several cytogenetic assays on different mammalian cells, as well as no induction of chromosomal aberrations in Chinese hamster ovary cells up to very high concentrations. Only one test on hamster lung fibroblasts (conducted at an unspecified acetone concentration) and an aneuploidy induction test on *Saccharomyces cerevisiae* (about 7% acetone) gave positive results. However, these two studies were considered not relevant on the basis of their poor quality and taking into account all the other negative genotoxicity results obtained with acetone, including results *in vivo* (see below).

6-Methylhepta-3,5-dien-2-one [FL-no: 07.099] was considered with respect to genotoxicity in FGE.206 (EFSA CEF Panel, 2011) where the Panel concluded that the data available ruled out the concern for genotoxicity. 6-Methylhepta-3,5-dien-2-one was tested in *S. Typhimurium* strains TA98, TA100, TA1535, TA1537 and TA102 in the presence or absence of S9 and it was concluded that under the test conditions applied 6-methylhepta-3,5-dien-2-one is not mutagenic in bacteria. 6-Methylhepta-3,5-dien-2-one was also evaluated in an *in vitro* micronucleus assay in human peripheral blood lymphocytes for its ability to induce chromosomal damage or aneuploidy in the presence and absence of rat S9 fraction as an *in vitro* metabolising system. Under the conditions of this study, 6-methylhepta-3,5-dien-2-one was not clastogenic and/aneuploidy inducing in cultured human lymphocytes.

In vivo data are available for four supporting substances: one saturated aliphatic secondary alcohol [FL-no: 02.079] and three saturated aliphatic ketones [FL-no: 07.017, 07.050 and 07.053], which exhibited no genotoxic potential in the micronucleus cytogenetic assay at doses approaching the LD₂₀ and the LD₅₀ of the tested substances.

Conclusion on genotoxicity

On the basis of available data from *in vitro* and *in vivo* tests on candidate and supporting substances, it can be concluded that the 49 candidate substances included in this group exhibit no genotoxic potential.

For a summary of *in vitro/in vivo* genotoxicity data considered by EFSA, see Tables A.2 and A.3.

3.2.3. Genotoxicity studies – text taken⁴ from EFSA FGE.206 (EFSA CEF Panel, 2011)

Industry has submitted data concerning genotoxicity studies for 6-methylhepta-3,5-dien-2-one [FL-no: 07.099], a representative substance for FGE.19, subgroup 1.2.3 (EFSA, 2008a,b), evaluated in FGE.206 (EFSA CEF Panel, 2011). In this revision of FGE.63, the data below are of importance for the assessment of the genotoxic potential of six candidate substances [FL-no: 02.252, 07.099, 07.190, 07.247, 07.256 and 09.936], which have a structural alert for genotoxicity.

In vitro

6-Methylhepta-3,5-dien-2-one [FL-no: 07.099] was tested in *S. Typhimurium* strains TA98, TA100, TA1535, TA1537 and TA102 in the presence or absence of S9. In the first experiment, the

concentrations tested were 1.6, 8, 40, 200, 1,000 and 5,000 µg/plate, and the plate incorporation methodology was used. Severe toxicity was observed at 5,000 µg/plate in all strains (complete killing of bacteria). No increase in revertant colonies was observed at any of the tested concentrations. In the second experiment, the concentrations were 20.5, 51.2, 128, 320, 800, 2,000 and 5,000 µg/plate of 6-methylhepta-3,5-dien-2-one, and treatments in the presence of S9 were carried out according to the pre-incubation method. In the absence of S9, the standard plate incorporation method was performed. Slight thinning of the bacterial lawn or complete killing of the bacteria was observed in all strains at 2,000 and 5,000 µg/plate in the absence of S9. In the presence of S9, cytotoxicity was observed at 800 µg/plate and above and severe toxicity (complete killing of bacteria) was observed at 5,000 µg/plate in all strains (Williams, 2009a). The study design complied with current recommendations (OECD 471; GLP) and an acceptable top concentration was achieved. There was no evidence of mutagenic effect induced by 6-methylhepta-3,5-dien-2-one in any of the strains, either in the absence or presence of S9. No precipitation was observed at any tested concentrations (Williams, 2009a). It is concluded that under the test conditions applied, 6-methylhepta-3,5-dien-2-one [FL-no: 07.099] is not mutagenic in bacteria.

6-Methylhepta-3,5-dien-2-one [FL-no: 07.099] was evaluated in an *in vitro* micronucleus assay in human peripheral blood lymphocytes for its ability to induce chromosomal damage or aneuploidy in the presence and absence of rat S9 fraction as an *in vitro* metabolising system. The assay was performed in accordance with the OECD 487 Guideline and in compliance with Good Laboratory Practice (GLP). In a preliminary toxicity study, a wide range of concentrations up to 2,000 µg/mL of 6-methylhepta-3,5-dien-2-one was tested. The highest concentration used in the main test (450 µg/mL) was limited by toxicity observed in the preliminary study. Cells were stimulated for 48 h with phytohaemagglutinin to produce exponentially growing cells, and then treated for 3 h (followed by 21 h recovery) with 0, 225, 325 or 450 µg/mL of 6-methylhepta-3,5-dien-2-one in the absence of S9 and 0, 225, 300 and 350 µg/mL in the presence of S9, respectively. The levels of toxicity (reduction in replication index) at the top concentrations were 60% and 51% without and with S9, respectively. In a parallel assay, cells were treated for 24 h with 0, 100, 120 or 150 µg/mL of 6-methylhepta-3,5-dien-2-one in the absence of S9 with no recovery period. The top concentration induced 56% toxicity. There were two replicate cultures per treatment, and 1,000 binucleate cells per replicate (i.e. 2,000 cells per dose) were scored for micronuclei. No evidence of chromosomal damage or aneuploidy was observed by increased levels of micronucleated binucleate cells (MNBN) in the presence or absence of S9 metabolic activation (Whitwell, 2010). Under the conditions of this study, 6-methylhepta-3,5-dien-2-one was not clastogenic and/aneugenic in cultured human lymphocytes.

Conclusion on genotoxicity

The Panel concluded that the *in vitro* genotoxicity data on 6-methylhepta-3,5-dien-2-one [FL-no: 07.099] do not indicate genotoxic potential.

As 6-methylhepta-3,5-dien-2-one [FL-no: 07.099] is a representative with respect to genotoxicity for the following substances [FL-no: 02.252, 07.190, 07.247, 07.256 and 09.936], the genotoxicity concern for these five substances can be ruled out and all six substances can be evaluated using the Procedure.

For a summary of *in vitro* genotoxicity data considered by EFSA in FGE.206, see Table A.4.

3.2.4. Genotoxicity studies – text taken⁴ from EFSA FGE.204 (EFSA CEF Panel, 2012a)

Industry has submitted data concerning genotoxicity studies for 4-methylpent-3-en-2-one [FL-no: 07.101], a substance from FGE.19, subgroup 1.2.1 (EFSA, 2008a,b), evaluated in FGE.204 (EFSA CEF Panel, 2012a). In this revision of FGE.63, the data below are of importance for the assessment of the genotoxic potential of the candidate substance [FL-no: 07.101], which have a structural alert for genotoxicity.

4-Methylpent-3-en-2-one [FL-no: 07.101] is considered negative in the Ames test with *S. Typhimurium* tester strains consistent with the requirements for current regulatory guidelines. Statistically significant increase in the number of revertant colonies observed in tester strain TA1535 in the absence of S9-mix metabolism in one experiment following treatment with 4-methylpent-3-en-2-one are judged not biologically relevant, since they were not reproduced in the second experiment (Williams, 2009b; Ballantyne, 2011b).

Investigations at chromosome and genome levels in mammalian cells *in vitro* showed that 4-methylpent-3-en-2-one induced a small but statistically significant increase in the frequency of MNBN only in the presence of S9-mix metabolism following a 3-h treatment at the highest concentration tested (981.4 µg/mL). However, only one replicate culture fell outside the historical vehicle control range values. Following additional scoring of 2,000 erythrocytes, the resulting MNBN frequencies, although still significantly higher than concurrent vehicle control, lied within historical control range values. In a second confirmatory experiment (3-h treatment in the presence of S9-mix) performed at concentrations lower than concentrations used in the previous experiment, due to an unexplained shift of toxicity (comparable toxicity to those observed in the first experiment, but at lower concentrations), no significant increase in MNBN frequencies was observed. Based on these results the Panel concluded that 4-methylpent-3-en-2-one did not induce micronuclei in human peripheral blood lymphocytes, both in the absence and presence of rat liver S9-mix metabolism (Stone, 2011).

Conclusion on genotoxicity

The Panel noted that for 4-methylpent-3-en-2-one [FL-no: 07.101], the data available showed that it did not induce mutations in bacteria or micronuclei in human peripheral blood lymphocytes, neither in the presence nor in the absence of rat liver S9-mix metabolic activation. Based on these findings, the Panel concluded that 4-methylpent-3-en-2-one does not present a safety concern with respect to genotoxicity and accordingly the flavouring substance can be evaluated using the Procedure.

For a summary of *in vitro* genotoxicity data considered by EFSA in FGE.204, see Table A.5.

3.2.5. Genotoxicity studies – text taken⁴ from EFSA FGE.205Rev1 (EFSA CEF Panel, 2016)

Industry has submitted genotoxicity data for oct-1-en-3-one [FL-no: 07.081] and pent-1-en-3-one [FL-no: 07.102], which are substances from FGE.19, subgroup 1.2.2 (EFSA, 2008a,b), evaluated in FGE.205Rev1 (EFSA CEF Panel, 2016). In this revision of FGE.63, the data below are of importance for the assessment of the genotoxic potential of the candidate substances [FL-no: 07.081 and 07.102], which have a structural alert for genotoxicity.

In response to the data request in FGE.205, industry has submitted *in vivo* data on both pent-1-en-3-one [FL-no: 07.102] and oct-1-en-3-one [FL-no: 07.081].

Pent-1-en-3-one [FL-no: 07.102] tested *in vivo* in a combined micronucleus and comet assay did not show genotoxic effects in either the liver or duodenum of treated rats. The negative results of the bone marrow micronucleus assay are considered inconclusive because there is no evidence of bone marrow exposure to the tested substance. However, as results of the *in vitro* micronucleus assay were negative, no additional *in vivo* follow-up studies on clastogenicity and aneugenicity were needed. The bacterial mutation assay provided for oct-1-en-3-one [FL-no: 07.081] confirms the weak mutagenic effect in bacteria shown in previous studies, but does not clarify the mechanism of action. The liver comet assay is considered of limited validity due to low values of mean tail intensity and tail moment. However, based on the data available on the most potent of the two representative substances for subgroup 1.2.2, pent-1-en-3-one [FL-no: 07.102], the Panel concluded that there is no concern for genotoxicity and accordingly nine substances in subgroup 1.2.2 [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 07.081, 07.102, 09.281 and 09.282] can be evaluated using the Procedure.

For a summary of *in vitro* and *in vivo* genotoxicity data considered by EFSA in FGE.205 and FGE.205Rev1, see Tables A.6 and A.7.

3.2.6. EFSA considerations on genotoxicity for substances in FGE.63Rev3

The Panel concluded that the data available do not preclude evaluation of the 29 aliphatic secondary alcohols, ketones and related esters through the Procedure.

3.3. Application of the Procedure for the safety evaluation to 29 aliphatic secondary alcohols, ketones and related esters by JECFA (2003, 2009b)

According to JECFA, eight of the substances belong to structural class I and 21 to structural class II using the decision tree approach presented by Cramer et al. (1978).

JECFA concluded all 29 aliphatic secondary alcohols, ketones and related esters at step A3 in the JECFA Procedure, i.e. the substances are expected to be metabolised to innocuous products (step 2) and the intakes for all substances are below the thresholds for their structural classes I and II (step A3).

In conclusion, JECFA evaluated all 29 substances as to be of no safety concern at current levels of intake used as flavouring agents based on the MSDI approach.

The evaluations of the 29 aliphatic secondary alcohols, ketones and related esters are summarised in Table B.1: Summary of Safety Evaluation of Aliphatic Secondary Alcohols, Ketones and Related Esters (JECFA, 2003, 2009b).

3.4. Application of the Procedure for the safety evaluation to 49 saturated and unsaturated aliphatic secondary alcohols, ketones and esters of secondary alcohols and saturated linear or branched-chain carboxylic acids by EFSA, FGE.07Rev4 (EFSA CEF Panel, 2012b)

Twenty-eight of the candidate substances [FL-no: 02.077, 02.124, 02.142, 02.148, 02.177, 02.182, 02.183, 02.190, 02.255, 07.084, 07.178, 07.239, 09.304, 09.323, 09.325, 09.328, 09.332, 09.386, 09.388, 09.391, 09.604, 09.605, 09.606, 09.608, 09.609, 09.676, 09.880 and 09.926] are classified into structural class I, according to the decision tree approach presented by Cramer et al. (1978). The remaining 21 candidate substances [FL-no: 02.145, 02.194, 02.211, 07.072, 07.150, 07.156, 07.157, 07.158, 07.160, 07.162, 07.181, 07.182, 07.185, 07.189, 07.198, 07.199, 07.201, 07.204, 07.205, 07.236 and 07.262], which are unsaturated aliphatic secondary alcohols or acyclic aliphatic saturated or unsaturated ketones, are in structural class II.

Forty-eight substances were concluded at step A3 using the EFSA Procedure, i.e. the substances are expected to be metabolised to innocuous products (step 2) and the estimated daily intakes for these 48 substances are below the thresholds of concern for their structural classes, based on the MSDI approach (step A3).

One candidate substance, 5-methylheptan-3-one [FL-no: 07.182], cannot be predicted to be metabolised to innocuous products and therefore proceeds to step B3. The estimated daily intake of this substance of 0.32 µg/capita per day does not exceed the threshold of concern for structural class II (540 µg/person per day). Accordingly, the candidate substance proceeds to step B4 of the Procedure. On the basis of a study on the neurotoxic effects of orally administered 5-methylheptan-3-one [FL-no: 07.182] to male rats, a no observed adverse effect level (NOAEL) of 82 mg/kg body weight (bw) per day was established (International Business Machines Corporation (IBM Corp.), 1989). This NOAEL provides a margin of safety of 1.5×10^7 based on the estimated intake of the candidate substance of 0.32 µg/capita per day. Based on results of the safety evaluation sequence, this candidate substance does not pose a safety concern when used as flavouring substance at the estimated level of intake, based on the MSDI approach.

The stepwise evaluations of the 49 substances are summarised in Table B.2.

3.5. EFSA considerations

Two substances [FL-no: 09.281 and 09.282] were allocated to structural class I by JECFA, whereas EFSA allocated these into structural class II.

Otherwise, the Panel agrees with the application of the Procedure as performed by JECFA for the 29 substances in the group of aliphatic secondary alcohols, ketones and related esters, and concluded similar to JECFA that 29 flavouring substances are not of safety concern when used as flavouring substances, based on the MSDI approach.

4. Conclusions

The FGE.63Rev3 deals with the consideration of 29 aliphatic secondary alcohols, ketones and related esters evaluated by JECFA at its 59th and 69th meetings (JECFA, 2003, 2009b). Sixteen of the 29 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.081, 07.099, 07.101, 07.102, 07.190, 07.247, 07.256, 09.281, 09.282 and 09.936] possess an α,β -unsaturated structure which is considered a structural alert for genotoxicity. Therefore, the 16 substances have been evaluated by EFSA in FGE.204, FGE.206 and FGE.205Rev1, respectively, and the genotoxicity concern could be ruled out.

The Panel concluded that the 29 substances in the JECFA flavouring group of aliphatic secondary alcohols, ketones and related esters are structurally related to the group of 49 saturated and unsaturated aliphatic secondary alcohols, ketones and esters of secondary alcohols and saturated linear or branched-chain carboxylic acids evaluated in FGE.07Rev4.

The Panel agrees with the application of the Procedure as performed by JECFA for the 29 substances considered in this FGE.

For all 29 substances, the Panel agreed with the JECFA conclusion that, according to the Procedure, they are not expected to be of safety concern when used as flavouring substances based on the MSDI approach.

In order to determine whether the conclusion for the 29 JECFA-evaluated substances can be applied to the materials of commerce, it is necessary to consider the available specifications. Adequate specifications including complete purity criteria and identity are available for all the JECFA substances evaluated in this FGE.

Thus, for the 29 JECFA-evaluated aliphatic secondary alcohols, ketones and related esters [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.015, 07.069, 07.081, 07.099, 07.100, 07.101, 07.102, 07.114, 07.123, 07.151, 07.190, 07.240, 07.247, 07.249, 07.256, 09.281, 09.282, 09.657, 09.658, 09.923, 09.924, 09.925 and 09.936], the Panel agrees with the JECFA conclusion 'No safety concern at estimated levels of intake as flavouring substances, based on the MSDI approach'. For 14 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 02.252, 07.081, 07.099, 07.101, 07.102, 07.190, 09.281, 09.282 and 09.936], industry has submitted use levels for normal and maximum use. Based on these normal use levels, mTAMDI values can be calculated. Four flavouring substances [FL-no: 02.252, 07.099, 07.101 and 09.936] have mTAMDI intake estimates below the threshold of concern for their structural class. The Panel noted that these four substances are evaluated via the A-side of the Procedure. For 10 substances [FL-no: 02.023, 02.099, 02.104, 02.136, 02.155, 07.081, 07.102, 07.190, 09.281 and 09.282], the mTAMDI values are above the thresholds of concern for their structural class II of 540 µg/person per day. Therefore, for these 10 substances more reliable exposure data are required in order to finalise the evaluation. On the basis of such additional data, these flavouring substances should be reconsidered using the Procedure. Following this procedure, additional toxicological data might become necessary. For the remaining 15 substances evaluated through the Procedure, use levels are needed to calculate the mTAMDI in order to identify those flavouring substances that need more refined exposure assessment in order to finalise the evaluation.

Documentation provided to EFSA

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Abbreviations

| | |
|-------|--|
| bw | body weight |
| CAS | Chemical Abstract Service |
| CASrn | CAS register number |
| CEF | EFSA Panel on Food Contact Materials, Enzymes, Flavourings and Processing Aids |
| CHO | Chinese hamster ovary (cells) |

| | |
|------------------|--|
| CoE | Council of Europe |
| EFFA | European Flavour and Fragrance Association |
| F | female |
| FEMA | Flavor and Extract Manufacturers Association |
| FGE | Flavouring Group Evaluation |
| FLAVIS (FL) | Flavour Information System (database) |
| FL-no | FL-number |
| GLP | Good Laboratory Practice |
| HGPRT | hypoxanthine-guanine phosphoribosyl transferase |
| ID | Identity |
| IP | intraperitoneal |
| IR | infrared spectroscopy |
| IR NMR | infrared nuclear magnetic resonance spectroscopy |
| IR NMR MS | infrared nuclear magnetic resonance mass spectroscopy |
| LD ₂₀ | lethal dose, 20% |
| LD ₅₀ | lethal dose, 50%; Median lethal dose |
| M | male |
| MNBN | micronucleated binucleate cells |
| MS | mass spectrometry |
| MSDI | maximised survey-derived daily intake |
| mTAMDI | modified theoretical added maximum daily intake |
| ND | not derived |
| NMR | nuclear magnetic resonance |
| NMR MS | nuclear magnetic resonance mass spectroscopy |
| NOAEL | no observed adverse effect level |
| NR | not reported |
| OECD | Organisation for Economic Co-operation and Development |
| S9 | metabolic activation |
| TAMDI | theoretical added maximum daily intake |

Appendix A – Summary tables for genotoxicity data

Table A.1: Summary of *in vitro* genotoxicity data of aliphatic secondary alcohols, ketones and related esters evaluated by JECFA (JECFA, 2003)

| [FL-no] JECFA no | EU Register name | Structural formula | End-point | Test system | Concentration | Results | Reference |
|---------------------|------------------------------|---|------------------|---|---------------|-------------------------|----------------------|
| 07.015 1120 | 6-Methylhept-5-en-2-one |  | Reverse mutation | <i>Salmonella</i> Typhimurium TA98, TA100, TA1535, TA1537 | 380 µg/plate | Negative ^(a) | Florin et al. (1980) |
| 07.099 1134 | 6-Methyl-3,5-heptadien-2-one |  | Reverse mutation | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 370 µg/plate | Negative ^(a) | Florin et al. (1980) |

[FL-no]: FLAVIS number; JECFA no: JECFA number.

(a): With and without metabolic activation.

Table A.2: Summary of genotoxicity data (*in vitro*) evaluated by EFSA/FGE.07Rev4 (EFSA CEF Panel, 2012b) (substances in brackets are the JECFA-evaluated substances)

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|--------------------------|--------------------------|---|-----------------------|-------------------------|---------------------------|----------|
| (Acetone [07.050]) | Rec assay | <i>Bacillus subtilis</i> | NR | Negative ^(a) | Kawachi et al. (1980) | h |
| | Rec assay | <i>B. subtilis</i> | NR | Negative | Ishizaki et al. (1979) | h |
| | Ames test | <i>Salmonella</i> Typhimurium TA100 | 0.1–1,000 µg/plate | Negative | Rapson et al. (1980) | h |
| | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 174 µg/plate | Negative ^(a) | Florin et al. (1980) | h |
| | Ames test | <i>S. Typhimurium</i> TA98, TA100 | NR | Negative ^(a) | Kawachi et al. (1980) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA98, TA100 | 30 µL/plate | Negative ^(d) | Yamaguchi (1985) | h |
| | Ames test | <i>S. Typhimurium</i> TA97, TA98, TA100, TA1535, TA1537 | Up to 10,000 µg/plate | Negative ^(a) | McCann et al. (1975) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA97, TA98, TA100, TA1535, TA1537 | Up to 10,000 µg/plate | Negative ^(a) | Zeiger et al. (1992) | h |
| | Ames test | <i>S. Typhimurium</i> TA100 | 500 µg/plate | Negative ^(a) | Yamaguchi (1982) | h |
| | Ames test | <i>S. Typhimurium</i> TA97, TA98, TA100 | 20–40 µg | Negative ^(a) | Azizan and Blevins (1995) | h |

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|---------------------------------|----------------------------|--|--|-------------------------|--------------------------|------------|
| (Isopropyl alcohol [02.079]) | Sister chromatid exchanges | Human embryo fibroblasts | NR | Negative ^(d) | Kawachi et al. (1980) | h |
| | Sister chromatid exchanges | Hamster lung fibroblasts | NR | Negative ^(d) | Kawachi et al. (1980) | h |
| | Sister chromatid exchanges | Chinese hamster ovary cells | Up to 10 µg/mL | Negative | Sasaki et al. (1980) | h |
| | Sister chromatid exchanges | Chinese hamster ovary cells | Up to 5,020 µg/mL | Negative ^(a) | Loveday et al. (1990) | h |
| | Sister chromatid exchanges | Diploid human fibroblasts | 5 µg/mL | Negative | Sasaki et al. (1980) | h |
| | Sister chromatid exchanges | Human lymphocytes | 395 µg/mL | Negative | Norppa et al. (1983) | h |
| | Sister chromatid exchanges | Human lymphocytes | 0.1–1 mM | Negative | Zarani et al. (1999) | h |
| | Chromosomal aberrations | Chinese hamster ovary cells | Up to 5,020 µg/mL | Negative ^(a) | Loveday et al. (1990) | h |
| | Chromosomal aberrations | Hamster lung fibroblasts | NR | Positive ^(d) | Kawachi et al. (1980) | h |
| | Aneuploidy induction | <i>Saccharomyces cerevisiae</i> | 6.98–7.83% | Positive ^(d) | Zimmermann et al. (1985) | j |
| | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 174 µg/plate | Negative ^(a) | Florin et al. (1980) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, <i>Escherichia coli</i> WP2uvrA | 5–5,000 µg/plate | Negative ^(a) | Shimizu et al. (1985) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA97, TA98, TA100, TA102, TA104, TA1535, TA1537 | Up to 10 mg/plate ^(e) | Negative ^(a) | Zeiger et al. (1992) | h |
| | (2-Butanone [07.053]) | Forward mutation | Chinese hamster ovary cells ^(f) | 0.5–5.0 mg/mL | Negative ^(a) | CMA (1990) |
| Forward mutation | | Chinese hamster ovary cells ^(f) | 0.5–5.0 mg/mL | Negative ^(a) | Kapp et al. (1993) | h |
| Ames test | | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538 | 10,000 µg/plate | Negative ^(a) | Douglas et al. (1980) | h |
| Ames test | | <i>S. Typhimurium</i> TA102, TA104 | 1 mg/plate | Negative | Marnett et al. (1985) | h |

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|---------------------------------|--|---|-----------------------|-------------------------|----------------------------|--------------------------|
| (2-Butanone [07.053]) continued | Ames test ^(b) | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538 | 5–5,000 µg/plate | Negative ^(a) | Shimizu et al. (1985) | h |
| | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538 | 0.04–26 µg/plate | Negative ^(a) | O'Donoghue et al. (1988) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA97, TA98, TA100, TA104, TA1535, TA1537 | Up to 10,000 µg/plate | Negative ^(a) | Zeiger et al. (1992) | h |
| | Ames test | <i>S. Typhimurium</i> TA102 | 5,000 µg/plate | Negative ^(d) | Müller et al. (1993) | h |
| | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538, <i>E. coli</i> WP2uvrA | 4,000 µg/plate | Negative | Brooks et al. (1988) | h |
| | Gene conversion | <i>S. cerevisiae</i> | 5 mg/mL | Negative ^(a) | (Brooks et al. (1988) | h |
| | Forward Mutation | L5178Y/TL+/- mouse lymphoma cells | 0.67–12 µg/mL | Negative ^(a) | O'Donoghue et al. (1988) | h |
| | Unscheduled DNA synthesis | Human lymphocytes | 0.72 mg/mL | Negative ^(a) | Perocco et al. (1983) | h |
| | Unscheduled DNA synthesis | Rat hepatocytes | 7.2–360 mg/mL | Negative | O'Donoghue et al. (1988) | h |
| | Chromosomal aberrations | Rat hepatocytes | 1,000 µg/mL | Negative | Brooks et al. (1988) | h |
| | Chromosomal aberrations | Chinese hamster ovary cells | 1,000 µg/mL | Negative ^(a) | Brooks et al. (1988) | h |
| | Cell transformation assay ^(a) | BALB/3T3 cells (clone A31-1) | 6–18 µL/mL | Negative | (O'Donoghue et al. (1988) | |
| | Pentan-3-one [07.084] | Aneuploidy induction | <i>S. cerevisiae</i> | 3.38% | Positive ^(d) | Zimmermann et al. (1985) |
| Aneuploidy induction | | <i>S. cerevisiae</i> | 1.48% | Positive ^(d) | Zimmermann et al. (1985) | k |
| Pentan-3-ol [02.077] | Chromosomal aberrations | Chinese hamster ovary cells | 0.5 to 10% | Negative ^(a) | Abbondandolo et al. (1980) | |
| | Forward mutation | <i>Schizosaccharomyces pombe</i> | 0.5–10% | Negative ^(a) | Abbondandolo et al. (1980) | |
| (2-Heptanone [07.002]) | Unscheduled DNA synthesis | Rat hepatocytes | 1,000 ppm | Negative | Barber et al. (1999) | |

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|---|--|---|---|-------------------------|--------------------------|-----------------------|
| Methyl-3-butan-2-one [07.178] | Aneuploidy induction | <i>S. cerevisiae</i> | 1.23–1.36% | Negative ^(d) | Zimmermann et al. (1985) | k |
| | Aneuploidy induction | <i>S. cerevisiae</i> | 0.84–1.23% | Negative ^(d) | Zimmermann et al. (1985) | k |
| (4-Methyl-2-pentanone [07.017]) | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538 | 0.03–3 mg/plate | Negative ^(a) | O'Donoghue et al. (1988) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA97, TA98, TA100, TA1535 | Up to 6,667 µg/plate | Negative ^(a) | Zeiger et al. (1992) | h |
| | Ames test | <i>E. coli</i> WP2uvrA | 8,000 µg/plate | Negative ^(d) | Brooks et al. (1988) | h |
| | Gene conversion | <i>S. cerevisiae</i> | 5 mg/mL | Negative ^(a) | Brooks et al. (1988) | h |
| | Forward mutation | L5178Y/TL+/- mouse lymphoma cells | 0.26–4.2 µg/mL | Negative ^(a) | O'Donoghue et al. (1988) | h |
| | Unscheduled DNA synthesis | Rat hepatocytes | 8–80 µg/mL | Negative | O'Donoghue et al. (1988) | h |
| | Chromosomal aberrations | Rat hepatocytes | 1,000 µg/mL | Negative | Brooks et al. (1988) | h |
| | Cell transformation assay ^(a) | BALB/3T3 cells (clone A31-1) | 1–7 µL/mL | Negative | O'Donoghue et al. (1988) | h |
| | Chromosomal aberrations | Chinese hamster ovary cells | 1,000 µg/mL | Negative ^(a) | Brooks et al. (1988) | h |
| | Methyl-4-pentan-2-ol [02.183] | Ames test ^(b) | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538, <i>E. coli</i> WP2uvrA | 5,000 µg | Negative ^(a) | Shimizu et al. (1985) |
| Methyl-6-heptan-2-one [07.181] | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 5,000 µg/plate | Negative ^(a) | BASF (1989a) | h |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 1–333 µg/plate | Negative ^(a) | Mortelmans et al. (1986) | h |
| Trimethyl-6,10,14-pentadecan-2-one [07.205] | Ames test | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 5,000 µg/plate | Negative ^(a) | BASF (1989b) | h |
| (6-Methyl-5-hepten-2-one [07.015]) | Reverse mutation | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 380 µg/plate | Negative ^(a) | Florin et al. (1980) | i |
| | Ames test ^(b) | <i>S. Typhimurium</i> TA97, TA98, TA100, TA1537, TA1538 | Up to 10 mg/plate | Negative ^(a) | Zeiger et al. (1992) | h |

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|---|--------------------------|---|--|-------------------------|---------------------------|---|
| (Isopropyl myristate [09.105]) | Ames test ^(g) | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538 | 50 µg/plate | Negative ^(a) | Blevins and Taylor (1982) | h |
| Isopropyl hexadecanoate [09.606] | Ames test ^(g) | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537, TA1538 | 50 µg/plate | Negative ^(a) | Blevins and Taylor (1982) | |
| 9-Decen-2-one [07.262] | Ames test ⁽ⁱ⁾ | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | Up to 5 µL/plate | Negative ^(a) | Flavour Industry (2009) | |
| | Ames test ⁽ⁱ⁾ | <i>E. coli</i> WP2 (pKM 101) | Up to 5 µL/plate | Negative ^(a) | Flavour Industry (2009) | |
| (6-Methylhepta-3,5-dien-2-one [07.099]) | Reverse mutation | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 | 370 µg/plate | Negative ^(a) | Florin et al. (1980) | i |
| | Reverse Mutation | <i>S. Typhimurium</i> TA98, TA100, TA1535, TA1537 and TA102 | 1.6, 8, 40, 200, 1,000 and 5,000 µg/plate | Negative ^(a) | Williams (2009a) | Toxicity observed in all strains at 2,000 µg/plate or greater in the absence of S9 and at 800 µg/plate in the presence of S9. Study design complied with current recommendations. Acceptable top concentration was achieved |
| | Micronucleus induction | Human peripheral blood lymphocytes | 225, 325 and 450 µg/mL ^(m) 225, 300 and 350 µg/mL ⁽ⁿ⁾ | Negative | Whitwell (2010) | Complies with the draft OECD guideline 487. Acceptable levels of cytotoxicity achieved at the top concentrations used in all parts of the study |

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|---------------------------|------------------------|---|--|-------------------------|----------------------|--|
| Pseudo-ionone [07.198] | Ames test | S. Typhimurium TA98, TA100, TA1535, TA1537 | 20,48, 51.2, 128, 320, 800, 2000 and 5000 µg/plate ^(l) | Negative ^(a) | Florin et al. (1980) | i |
| | Reverse Mutation | S. Typhimurium TA98, TA100, TA1535, TA1537 and TA 102 | 0.128, 0.64, 3.2, 16, 80, 400 and 2,000 µg/plate | Negative ^(a) | Beevers (2009) | Toxicity was observed in all strains at 400 µg/plate and greater in the presence and absence of S9 in this experiment |
| | | | 0.12.5, 25, 50, 100, 200 and 400 µg/plate ^(l) | Negative ^(a) | | Precipitation was observed in the 400 µg/plate concentration in the presence and absence of S9 in this experiment. Study design complies with current recommendations. Acceptable top concentrations were achieved |
| | Micronucleus induction | Human peripheral blood lymphocytes | 30, 50 and 60 µg/mL ^(m) 100, 110 and 120 µg/m ⁽ⁿ⁾ | Negative | Lloyd (2010) | Complies with the draft OECD guideline 487. Acceptable levels of cytotoxicity achieved at the top concentrations used in all parts of the study |

| Chemical Name [FL-no] | Test system | Test object | Concentration | Result | Reference | Comments |
|-----------------------|------------------------|------------------------------------|------------------------------------|----------|--------------|---|
| | Micronucleus induction | Human peripheral blood lymphocytes | 10, 15 and 20 µg/mL ^(o) | Negative | Lloyd (2010) | Complies with the draft OECD guideline 487. Acceptable levels of cytotoxicity achieved at the top concentrations used in all parts of the study |

[FL-no]: FLAVIS number; NR: Not reported; BASF: Baden Aniline and Soda Factory; CMA: Chemical Manufacturers Association.

(a): Assay performed with and without metabolic activation.

(b): Modified Ames (Pre-incubation) protocol.

(c): Assay performed with S9 metabolic activation.

(d): Assay performed without S9 metabolic activation.

(e): Maximum non-toxic dose.

(f): HGPRT locus.

(g): Spot test.

(h): Summarised by JECFA, 51st meeting (JECFA, 2000).

(i): Summarised by JECFA 59th meeting (JECFA, 2003).

(j): Direct incorporation method.

(k): Unusual experimental protocol for detection of aneuploidy, which can be considered a threshold effect not mediated by a direct interaction with DNA. Positive results were obtained at concentrations approaching cytotoxic levels and are very likely due to the presence of technical artefacts (low temperature treatment including tubulin dissociation). Indeed, absence of effect was recorded when the ice treatment was skipped. – The limited relevance of fungal systems together with the uncertain quality of these results make questionable their extrapolation to the *in vivo* situation in humans.

(l): Assay modified with pre-incubation in the presence of S9.

(m): Without metabolic activation, 3 h treatment + 21 h recovery.

(n): With metabolic activation, 3 h treatment + 21 h recovery.

(o): Without metabolic activation, 24 h + 0 h recovery.

Table A.3: Summary of genotoxicity data (*in vivo*) evaluated by EFSA/FGE.07Rev4 (EFSA CEF Panel, 2012b) (substances in brackets are the JECFA-evaluated substances)

| Chemical Name [FL-no] | Test system | Test object | Route | Dose | Result | Reference | Comments |
|------------------------------|-------------------|---------------------------|---------------------------|-------------------------------|----------|--------------------------|----------|
| (Isopropyl alcohol [02.079]) | Micronucleus test | ICR Mouse (15M & 15F) | IP injection in 0.9% NaCl | 350–2,500 mg/kg | Negative | Kapp et al. (1993) | a |
| (Acetone [07.050]) | Micronucleus test | Chinese hamster (5M & 5F) | IP injection in corn oil | 865 mg/kg | Negative | Basler (1986) | a |
| (2-Butanone [07.053]) | Micronucleus test | CD-1 mice (5M & 5F) | IP injection in corn oil | LD ₂₀ (1.96 mL/kg) | Negative | O'Donoghue et al. (1988) | a |
| | Micronucleus test | Chinese hamster (5M & 5F) | IP injection in corn oil | 411 mg/kg | Negative | Basler (1986) | a |

| Chemical Name [FL-no] | Test system | Test object | Route | Dose | Result | Reference | Comments |
|---------------------------------|-------------------|---------------------|--------------------------|-------------------------------|----------|---------------|----------|
| (4-Methyl-2-pentanone [07.017]) | Micronucleus test | CD-1 mice (5M & 5F) | IP injection in corn oil | LD ₂₀ (0.73 mL/kg) | Negative | Basler (1986) | a |

[FL-no] FLAVIS number; M: male; F: female; IP: intraperitoneal; LD: lethal dose; NaCl: sodium chloride.

(a): Summarised by JECFA, 51st meeting (JECFA, 2000).

Table A.4: Summary of additionally submitted genotoxicity data (*in vitro*) on the representative substance 6-methylhepta-3,5-dien-2-one [FL-no: 07.099] of subgroup 1.2.3

| [FL-no] | Chemical name | Test system <i>in vitro</i> | Test object | Concentrations of substance and test conditions | Result | Reference | Comments |
|----------|------------------------------|-----------------------------|---|---|----------------------|------------------|---|
| [07.099] | 6-Methylhepta-3,5-dien-2-one | Reverse Mutation | <i>Salmonella</i> Typhimurium TA98, TA100, TA1535, TA1537 and TA102 | 1.6, 8, 40, 200, 1,000 and 5,000 µg/plate ^(a) 20.48, 51.2, 128, 320, 800, 2,000 and 5,000 µg/plate ^{(a),(b)} | Negative Negative | Williams (2009a) | Toxicity observed in all strains at 2,000 µg/plate or greater in the absence of S9 and at 800 µg/plate in the presence of S9. Study design complied with current recommendations. Acceptable top concentration was achieved |
| | | Micronucleus induction | Human peripheral blood lymphocytes | 225, 325 and 450 µg/mL ^(c) 225, 300 and 350 µg/mL ^(d) 100, 120 or 150 µg/mL ^(e) | Negative Negative | Whitwell (2010) | Complies with the draft OECD Guideline 487. Acceptable levels of cytotoxicity achieved at the top concentrations used in all parts of the study |

[FL-no] FLAVIS number:

(a): With and without metabolic activation.

(b): Assay modified with pre-incubation in the presence of S9.

(c): Without metabolic activation, 3 h treatment + 21 h recovery.

(d): With metabolic activation, 3 h treatment + 21 h recovery.

(e): Without metabolic activation, 24 h + 0 h recovery.

Table A.5: Summary of additionally submitted genotoxicity data (*in vitro*) on the representative substance 4-methylpent-3-en-2-one [FL-no: 07.101] of subgroup 1.2.1

| [FL-no] | Chemical name | Test system <i>in vitro</i> | Test object | Concentrations of substance and test conditions | Result | Reference | Comments |
|----------|-------------------------|-----------------------------|---|---|----------|------------------|---|
| [07.101] | 4-Methylpent-3-en-2-one | Reverse Mutation | <i>Salmonella</i> Typhimurium TA98, TA100, TA102, TA1535 and TA1537 | 1.6–5,000 µg/plate ^(a) | Negative | Williams (2009b) | Valid. Study design complies with current recommendations |
| | | | | 156.25–5,000 µg/plate ^{(a),(b)} | Negative | | |
| | | Micronucleus Assay | Human peripheral blood lymphocytes | 600–981.4 µg/mL ^(c) | Negative | Stone (2011) | Valid. Complies with the OECD Guideline 487 |
| | | | | 200–981.4 µg/mL ^(d) | Negative | | |
| | | | | 100–500 µg/mL ^(d) | Negative | | |
| | | | 100–300 µg/mL ^(e) | Negative | | | |

[FL-no] FLAVIS number; OECD: Organisation for Economic Co-operation and Development.

(a): With and without S9-mix metabolic activation.

(b): Assay modified with pre-incubation in the presence of S9-mix.

(c): Without metabolic activation, 3 h treatment + 21 h recovery.

(d): With metabolic activation, 3 h treatment + 21 h recovery.

(e): Without metabolic activation, 24 h + 0 h recovery.

Validity of genotoxicity studies:

Valid.

Limited validity (e.g. if certain aspects are not in accordance with OECD Guidelines or current standards and/or limited documentation).

Insufficient validity (e.g. if main aspects are not in accordance with any recognised guidelines (e.g. OECD) or current standards inappropriate/not validated test system).

Validity cannot be evaluated (e.g. insufficient documentation, short abstract only, too little experimental details provided, text not in a Community language).

Table A.6: Summary of *in vitro* mutagenicity study on the representative substance oct-1-en-3-one [07.081] considered by the Panel in FGE.205Rev1

| Chemical Name [FL-no] | Test | Test object | Concentration tested and test conditions | Result | Reference | Comments |
|-------------------------|----------------------------------|-------------------------------------|--|----------|---------------|---|
| Oct-1-en-3-one [07.081] | Bacterial reverse mutation assay | <i>Salmonella</i> Typhimurium TA100 | 7.8–500 µg/plate ^{(a),(b)} | Positive | Bowen (2013a) | Highly toxic especially without S9. Mutagenicity observed with and without S9 |

FGE: Flavouring Group Evaluation; FL-no: FLAVIS number.

(a): With and without metabolic activation.

(b): The following free radical/electrophile scavengers were added: glutathione, *N*-acetyl cysteine, catalase, 2,5-dimethylfuran.

Table A.7: Summary of *in vivo* genotoxicity data on the representative substances pent-1-en-3-one [07.102] and oct-1-en-3-one [07.081] considered by the Panel in FGE.205Rev1

| Chemical Name FL-no | Test system <i>in vivo</i> | Test object | Route | Dose | Result | Reference | Comments |
|-----------------------------|-------------------------------|-------------------|--------|------------------------------------|-----------------------------|-----------------------|--|
| Pent-1-en-3-one [07.102] | Micronucleus Assay | Han Wistar Rat; M | Gavage | 0, 10, 20 and 40 mg/kg bw per day | Negative | Keig-Shevlin (2015b), | Study performed in accordance with the OECD guideline 474 and GLP. No proof of bone marrow exposure |
| | Comet assay | Han Wistar Rat; M | Gavage | | Negative ^{(a),(b)} | Keig-Shevlin (2015c) | Study performed in accordance with the OECD guideline 489 and GLP |
| Oct-1-en-3-one [07.081] | Comet assay | Han Wistar Rat; M | Gavage | 0, 45, 90 and 180 mg/kg bw per day | Inconclusive ^(a) | Keig-Shevlin (2015a) | Study performed in accordance with GLP and internationally recognised protocols available before the publication of the OECD guideline 489. The study was considered of limited validity |

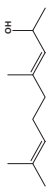
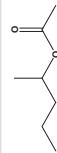
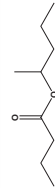
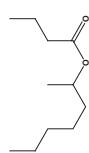
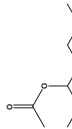


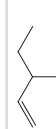
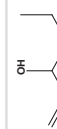

FGE: Flavouring Group Evaluation; FL-no: FLAVIS number; M: male; bw: body weight; OECD: Organisation for Economic Co-operation and Development; GLP: Good Laboratory Practice.

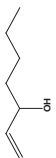

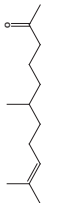


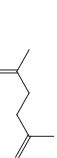
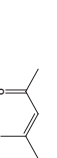
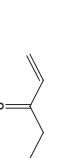

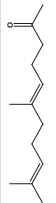

(a): Scored in liver cells.


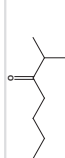
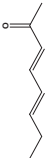
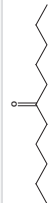




(b): Scored in duodenum cells.

Appendix B – Summary of safety evaluations

Table B.1: Summary of safety evaluation applying the Procedure (based on intakes calculated by the MSDI approach)

| FL-no JECFA no | EU Register name | Structural formula | MSDI ^(a) (µg/capita per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|----------------------|--|---|---|--|--|--|--|
| 02.252 1841 | 4,8-Dimethyl-3, 7-nonadien-2-ol |  | 3 0.1 | Class I A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 09.657 1146 | 1-Methylbutyl acetate |  | 2.9 3 | Class I A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 09.658 1142 | 1-Methylbutyl butyrate |  | 0.47 1 | Class I A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 09.923 1144 | Hept-2-yl butyrate |  | 3 3 | Class I A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 09.924 1143 | 3-Heptyl acetate (mixture of R and S) |  | 3 3 | Class I A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 09.925 1145 | Nonan-3-yl acetate |  | 3 3 | Class I A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 02.023 1152 | Oct-1-en-3-ol |  | 390 23 | Class II A3: Intake below threshold | d | g | No safety concern at the MSDI estimated level of intake |
| 02.099 1150 | Pent-1-en-3-ol |  | 4.3 1 | Class II A3: Intake below threshold | d | g | No safety concern at the MSDI estimated level of intake |
| 02.104 1151 | Hex-1-en-3-ol |  | 0.012 2 | Class II A3: Intake below threshold | d | g | No safety concern at the MSDI estimated level of intake |
| 02.136 1153 | Dec-1-en-3-ol |  | 0.012 0.1 | Class II A3: Intake below threshold | d | h | No safety concern at the MSDI estimated level of intake |

| FL-no JECFA no | EU Register name | Structural formula | MSDI ^(a) (µg/capita per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|----------------------|---|---|---|--|--|--|--|
| 02.155 1842 | 1-Hepten-3-ol |  | 0.13 | Class II A3: Intake below threshold | d | g | No safety concern at the MSDI estimated level of intake |
| 07.015 1120 | 6-Methylhept-5-en-2-one |  | 100 44 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.069 1121 | Tetrahydro-pseudo-ionone |  | 0.012 0.01 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.081 1148 | Oct-1-en-3-one |  | 1.5 0.1 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.099 1134 | 6-Methylhepta-3,5-dien-2-one |  | 13 5 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.100 1119 | 5-Methylhex-5-en-2-one |  | 0.24 0.3 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.101 1131 | 4-Methylpent-3-en-2-one |  | 0.34 ND | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.102 1147 | Pent-1-en-3-one |  | 1.6 0.1 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.114 1123 | 6,10,14- Trimethylpentadeca-5, 9,13-trien-2-one |  | 0.085 ND | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.123 1122 | Geranylacetone |  | 41 2 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.151 1118 | Decan-3-one |  | 3 3 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |

| FL-no JECFA no | EU Register name | Structural formula | MSDI ^(a) (µg/capita per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|----------------------|--|--|---|--|--|--|--|
| 07.190 1848 | Octa-1,5-dien-3-one |  | 0.061 ND | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.240 1156 | 2-Methylheptan-3-one |  | 3 3 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.247 1139 | (E,E)-3,5-Octadien-2-one |  | 3 4 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.249 1155 | Undecan-6-one |  | 3 3 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 07.256 1137 | (E) & (Z)-4,8-Dimethyl-3,7- nonadiene-2-one |  | 6.1 6.6 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |
| 09.281 1836 | Oct-1-en-3-yl acetate |  | 2.1 | Class II A3: Intake below threshold | d | g | No safety concern at the MSDI estimated level of intake |
| 09.282 1837 | Oct-1-en-3-yl butyrate |  | 0.0012 | Class II A3: Intake below threshold | d | g | No safety concern at the MSDI estimated level of intake |
| 09.936 1847 | 4,8-Dimethyl-3,7-nonadien- 2-yl acetate |  | 3 0.2 | Class II A3: Intake below threshold | d | f | No safety concern at the MSDI estimated level of intake |

ND: not derived; FL-no: FLAVIS number; JECFA no: JECFA number; EU: European Union; MSDI: maximised survey-derived daily intake.

(a): EU MSDI: Amount added to food as flavour in (kg/year) × 10E9/(0.1 × population in Europe (= 375 × 10E6) × 0.6 × 365) = µg/capita per day.

(b): Thresholds of concern: Class I = 1,800 µg/person per day, Class II = 540 µg/person per day, Class III = 90 µg/person per day.

(c): Procedure path A substances can be predicted to be metabolised to innocuous products. Procedure path B substances cannot.

(d): No safety concern based on intake calculated by the MSDI approach of the named compound.









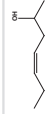


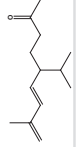
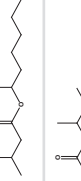

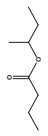
(e): Data must be available on the substance or closely related substances to perform a safety evaluation.


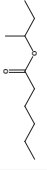
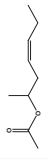
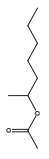
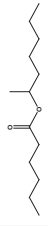




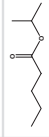

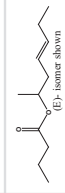

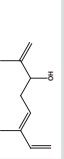
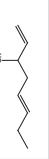

(f): No safety concern at estimated level of intake of the material of commerce meeting the specification of Table 1 (based on intake calculated by the MSDI approach).

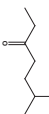

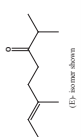
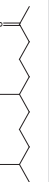


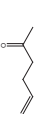


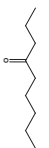
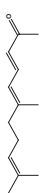


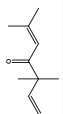

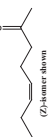
(g): Tentatively regarded as presenting no safety concern (based on intake calculated by the MSDI approach) pending further information on the purity of the material of commerce and/or information on stereoisomerism.


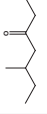
(h): No conclusion can be drawn due to lack of information on the purity of the material of commerce.

Table B.2: Summary of safety evaluation applying the Procedure (based on intakes calculated by the MSDI approach) (EFSA/FGE.07Rev4)

| FL-no | EU Register name | Structural formula | MSDI ^(a) (µg/capita per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|----------------|---|---|---|---|--|--|-----------------------|
| 02.077 | Pentan-3-ol |  | 0.19 | Class I A3: Intake below threshold | d | f | |
| 02.124 | 6-Methylhept-5-en-2-ol |  | 0.0061 | Class I A3: Intake below threshold | d | f | |
| 02.142 | 3,3-Dimethylbutan-2-ol |  | 0.24 | Class I A3: Intake below threshold | d | f | |
| 02.148 | Dodecan-2-ol |  | 0.35 | Class I A3: Intake below threshold | d | f | |
| 02.177 | 2-Methylhexan-3-ol |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 02.182 | 3-Methylpentan-2-ol |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 02.183 | 4-Methylpentan-2-ol |  | 0.0012 | Class I A3: Intake below threshold | d | f | |
| 02.190 | Nonan-3-ol |  | 0.011 | Class I A3: Intake below threshold | d | f | |
| 02.255 | (Z)-4-Hepten-2-ol |  | 0.03 | Class I A3: Intake below threshold | d | g | |
| 07.084 | Pentan-3-one |  | 0.24 | Class I A3: Intake below threshold | d | f | |
| 07.178 | 3-Methylbutan-2-one |  | 0.073 | Class I A3: Intake below threshold | d | f | |
| 07.239 1840 | [R-(E)]-5-Isopropyl-8-methylnona-6,8-dien-2-one |  | 0.24 | Class I A3: Intake below threshold | d | f | |
| 09.304 | sec-Heptyl isovalerate |  | 0.0012 | Class I A3: Intake below threshold | d | f | |
| 09.323 | sec-Butyl acetate |  | 0.0012 | Class I A3: Intake below threshold | d | f | |
| 09.325 | sec-Butyl butyrate |  | 1.3 | Class I A3: Intake below threshold | d | f | |

| FL-no | EU Register name | Structural formula | MSDI ^(a) (µg/capita per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|--------|---------------------------------------|---|---|---|--|--|-----------------------|
| 09.328 | sec-Butyl formate |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 09.332 | sec-Butyl hexanoate |  | 0.024 | Class I A3: Intake below threshold | d | f | |
| 09.386 | sec-Hept-4(<i>cis</i>)-enyl acetate |  | 0.024 | Class I A3: Intake below threshold | d | f | |
| 09.388 | sec-Heptyl acetate |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 09.391 | sec-Heptyl hexanoate |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 09.604 | Isopropyl decanoate |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 09.605 | Isopropyl dodecanoate |  | 0.12 | Class I A3: Intake below threshold | d | f | |
| 09.606 | Isopropyl hexadecanoate |  | 0.012 | Class I A3: Intake below threshold | d | f | |
| 09.608 | Isopropyl octanoate |  | 1.3 | Class I A3: Intake below threshold | d | f | |
| 09.609 | Isopropyl valerate |  | 0.012 | Class I A3: Intake below threshold | d | f | |
| 09.676 | sec-Octyl acetate |  | 0.011 | Class I A3: Intake below threshold | d | f | |
| 09.880 | Hept-4-enyl-2 butyrate |  | 0.79 | Class I A3: Intake below threshold | d | f | |
| 09.926 | Octan-3-yl formate |  | 0.24 | Class I A3: Intake below threshold | d | f | |
| 02.145 | 2,6-Dimethylocta-1,5,7-trien-3-ol |  | 0.0085 | Class II A3: Intake below threshold | d | f | i |
| 02.194 | Octa-1,5-dien-3-ol |  | 0.061 | Class II A3: Intake below threshold | d | g | i |
| 02.211 | Undeca-1,5-dien-3-ol |  | 0.061 | Class II A3: Intake below threshold | d | g | i |

| FL-no | EU Register name | Structural formula | MSDI ^(a) (µg/capita per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|--------|-------------------------------------|---|---|---|--|--|-----------------------|
| 07.072 | 6-Methylheptan-3-one |  | 0.19 | Class II A3: Intake below threshold | d | f | |
| 07.150 | Decan-2-one |  | 0.52 | Class II A3: Intake below threshold | d | f | |
| 07.156 | 2,6-Dimethyloct-6-en-3-one |  | 0.0012 | Class II A3: Intake below threshold | d | g | |
| 07.157 | 6,10-Dimethylundecan-2-one |  | 0.085 | Class II A3: Intake below threshold | d | f | |
| 07.158 | Dodecan-2-one |  | 0.73 | Class II A3: Intake below threshold | d | f | |
| 07.160 | Heptadecan-2-one |  | 0.12 | Class II A3: Intake below threshold | d | f | |
| 07.162 | Hex-5-en-2-one |  | 0.049 | Class II A3: Intake below threshold | d | f | |
| 07.181 | 6-Methylheptan-2-one |  | 0.0012 | Class II A3: Intake below threshold | d | f | |
| 07.185 | 3-Methylpentan-2-one |  | 1.2 | Class II A3: Intake below threshold | d | f | |
| 07.189 | Nonan-4-one |  | 0.52 | Class II A3: Intake below threshold | d | f | |
| 07.198 | Pseudo-ionone |  | 0.12 | Class II A3: Intake below threshold | d | f | i |
| 07.199 | Tetradecan-2-one |  | 0.073 | Class II A3: Intake below threshold | d | f | |
| 07.201 | Tridec-12-en-2-one |  | 0.024 | Class II A3: Intake below threshold | d | f | |
| 07.204 | 3,3,6-Trimethylhepta-1,5-dien-4-one |  | 0.012 | Class II A3: Intake below threshold | d | f | i |
| 07.205 | 6,10,14-Trimethylpentadecan-2-one |  | 0.0073 | Class II A3: Intake below threshold | d | f | |
| 07.236 | 5-Octen-2-one |  | 0.0097 | Class II A3: Intake below threshold | d | f | |

| FL-no | EU Register name | Structural formula | MSDI ^(a) ($\mu\text{g}/\text{capita}$ per day) | Class ^(b) Evaluation procedure path ^(c) | Outcome on the named compound ^{(d),(e)} | Outcome on the material of commerce ^{(f),(g),(h)} | Evaluation remarks |
|--------|----------------------|---|--|--|--|--|-----------------------|
| 07.262 | 9-Decen-2-one |  | 73 | Class II A3: Intake below threshold | d | f | |
| 07.182 | 5-Methylheptan-3-one |  | 0.32 | Class II B3: Intake below threshold, B4: Adequate NOAEL exists | d | f | j |

MSDI: maximised survey-derived daily intake; FGE: Flavouring Group Evaluation; FL-no: FLAVIS number; EU: European Union.

(a): EU MSDI: Amount added to food as flavour in (kg/year) \times 10E9/(0.1 \times population in Europe (= 375 \times 10E6) \times 0.6 \times 365) = $\mu\text{g}/\text{capita}$ per day.

(b): Thresholds of concern: Class I = 1,800 $\mu\text{g}/\text{person}$ per day, Class II = 540 $\mu\text{g}/\text{person}$ per day, Class III = 90 $\mu\text{g}/\text{person}$ per day.

(c): Procedure path A substances can be predicted to be metabolised to innocuous products. Procedure path B substances cannot.

(d): No safety concern based on intake calculated by the MSDI approach of the named compound.

(e): Data must be available on the substance or closely related substances to perform a safety evaluation.

(f): No safety concern at estimated level of intake of the material of commerce meeting the specification of Table 1 (based on intake calculated by the MSDI approach).

(g): Tentatively regarded as presenting no safety concern (based on intake calculated by the MSDI approach) pending further information on the purity of the material of commerce and/or information on stereoisomerism.

(h): No conclusion can be drawn due to lack of information on the purity of the material of commerce.

(i): Evaluated in FGE.206, genotoxicity concern could be ruled out.

(j): NOAEL for neurotoxicity: 82 mg/kg bw per day; adequate margin of safety.

Appendix C – Use levels and mTAMDI

Table C.1: Available normal and maximum use levels (mg/kg food)

| FL-no | Food categories | | | | | | | | | | | | | | | | | |
|--------|---------------------------|-----------------|---------------|-----------------|-----------------|--------------|------------|---------------|-----------------|-----------------|---------|---------|-----------------|------------|--------------|-------------|-----------------|-----------------|
| | Normal use levels (mg/kg) | | | | | | | | | | | | | | | | | |
| | 01.0 | 02.0 | 03.0 | 04.1 | 04.2 | 05.0 | 06.0 | 07.0 | 08.0 | 09.0 | 10.0 | 11.0 | 12.0 | 13.0 | 14.1 | 14.2 | 15.0 | 16.0 |
| 02.023 | 0.63 1.8 | 0.5 1.0 | 1 2 | - - | 12 18 | 1.1 1.8 | 0.6 1.8 | 3.8 11 | 3.7 5.7 | 1 5 | 1 5 | 1 5 | 2 5 | 1 2 | 0.6 1.2 | 0.3 1 | 0.4 0.7 | 2 10 |
| 02.099 | 5 35 | 2 25 | 3 50 | - - | 7 35 | 4 50 | 5 25 | 5 10 | 2 10 | 1 10 | 1 10 | 1 10 | 5 25 | 3 50 | 3 25 | 4 50 | 5 100 | 2 25 |
| 02.104 | 5 35 | 2 25 | 3 50 | - - | 7 35 | 4 50 | 5 25 | 5 10 | 2 10 | 1 10 | 1 10 | 1 10 | 5 25 | 3 50 | 3 25 | 4 50 | 5 100 | 5 25 |
| 02.136 | 5 35 | 2 25 | 3 50 | - - | 7 35 | 4 50 | 5 25 | 5 10 | 2 10 | 1 10 | 1 10 | 1 10 | 5 25 | 3 50 | 3 25 | 4 50 | 5 100 | 2 25 |
| 02.155 | 7 35 | 5 25 | 10 50 | 7 35 | - - | 10 50 | 5 25 | 10 50 | 2 10 | 2 10 | - - | - - | 5 25 | 10 50 | 5 25 | 10 50 | 20 100 | 5 25 |
| 02.252 | 0.0005 0.025 | 0.0005 0.025 | 0.005 0.25 | 0.0005 0.025 | 0.0005 0.025 | 0.05 2.5 | - - | 0.005 0.25 | 0.0005 0.025 | 0.0005 0.025 | - - | - - | 0.0005 0.025 | - - | 0.05 2.5 | 0.05 2.5 | 0.0005 0.025 | 0.0005 0.025 |
| 07.081 | 3 15 | 2 10 | 3 15 | - - | 2 10 | 4 20 | 2 10 | 5 25 | 1 5 | 1 5 | 1 5 | 1 5 | 2 10 | 3 15 | 2 10 | 4 20 | 5 25 | 2 10 |
| 07.099 | 0.05 0.05 | - - | 0.5 2 | - - | - - | 1.1 9 | 1 4.5 | 1 4.5 | - - | - - | - - | - - | 0.5 2 | 1 4.5 | 0.05 0.05 | 0 0 | - - | - - |
| 07.101 | 0.4 0.4 | - - | 0.75 0.75 | - - | - - | 1.12 1.12 | - 2.25 | - 2.25 | - - | - - | - - | - - | 0.5 0.5 | 0.5 0.5 | - - | 0 0 | - - | - - |
| 07.102 | 3 15 | 2 10 | 3 15 | - - | 2 10 | 4 20 | 2 10 | 5 25 | 1 5 | 1 5 | 1 5 | 1 5 | 2 10 | 3 15 | 2 10 | 4 20 | 5 25 | 2 10 |
| 07.190 | 3 15 | 2 10 | 3 15 | 2 10 | - - | 4 20 | 2 10 | 5 25 | 1 5 | 1 5 | - - | - - | 2 10 | 3 15 | 2 10 | 4 20 | 5 25 | 2 10 |
| 09.281 | 7 35 | 5 25 | 10 50 | 7 35 | - - | 10 50 | 5 25 | 10 50 | 2 10 | 2 10 | - - | - - | 5 25 | 10 50 | 5 25 | 10 50 | 20 100 | 5 25 |
| 09.282 | 7 35 | 5 25 | 10 50 | 7 35 | - - | 10 50 | 5 25 | 10 50 | 2 10 | 2 10 | - - | - - | 5 25 | 10 50 | 5 25 | 10 50 | 20 100 | 5 25 |
| 09.936 | 0.0005 0.025 | 0.0005 0.025 | 0.005 0.25 | 0.0005 0.025 | 0.0005 0.025 | 0.05 2.5 | - - | 0.005 0.25 | 0.0005 0.025 | 0.0005 0.025 | - - | - - | 0.0005 0.025 | - - | 0.05 2.5 | 0.05 2.5 | 0.0005 0.025 | 0.0005 0.025 |

FL-no: FLAVIS number.

Table C.2: Estimated intakes based on the MSDI approach and the mTAMDI approach

| FL-no | EU Register name | MSDI – EU (µg/capita per day) | MSDI – USA (µg/capita per day) | mTAMDI (µg/person per day) | Structural class | Threshold of concern (µg/person per day) |
|--------|--|----------------------------------|-----------------------------------|-------------------------------|---------------------|---|
| 02.252 | 4,8-Dimethyl-3,7-nonadien-2-ol | 3 | 0.1 | 19 | Class I | 1,800 |
| 09.657 | 1-Methylbutyl acetate | 2.9 | 3 | | Class I | 1,800 |
| 09.658 | 1-Methylbutyl butyrate | 0.47 | 1 | | Class I | 1,800 |
| 09.923 | Hept-2-yl butyrate | 3 | 3 | | Class I | 1,800 |
| 09.924 | 3-Heptyl acetate (mixture of <i>R</i> and <i>S</i>) | 3 | 3 | | Class I | 1,800 |
| 09.925 | Nonan-3-yl acetate | 3 | 3 | | Class I | 1,800 |
| 02.023 | Oct-1-en-3-ol | 390 | 23 | 1,800 | Class II | 540 |
| 02.099 | Pent-1-en-3-ol | 4.3 | 1 | 2,300 | Class II | 540 |
| 02.104 | Hex-1-en-3-ol | 0.012 | 2 | 2,300 | Class II | 540 |
| 02.136 | Dec-1-en-3-ol | 0.012 | 0.1 | 2,300 | Class II | 540 |
| 02.155 | 1-Hepten-3-ol | 0.13 | | 3,900 | Class II | 540 |
| 07.015 | 6-Methylhept-5-en-2-one | 100 | 44 | | Class II | 540 |
| 07.069 | Tetrahydro-pseudo-ionone | 0.012 | 0.01 | | Class II | 540 |
| 07.081 | Oct-1-en-3-one | 1.5 | 0.1 | 1,600 | Class II | 540 |
| 07.099 | 6-Methylhepta-3,5-dien-2-one | 13 | 5 | 190 | Class II | 540 |
| 07.100 | 5-Methylhex-5-en-2-one | 0.24 | 0.3 | | Class II | 540 |
| 07.101 | 4-Methylpent-3-en-2-one | 0.34 | ND | 340 | Class II | 540 |
| 07.102 | Pent-1-en-3-one | 1.6 | 0.1 | 1,600 | Class II | 540 |
| 07.114 | 6,10,14-Trimethylpentadeca-5,9,13-trien-2-one | 0.085 | ND | | Class II | 540 |
| 07.123 | Geranylacetone | 41 | 2 | | Class II | 540 |
| 07.151 | Decan-3-one | 3 | 3 | | Class II | 540 |
| 07.190 | Octa-1,5-dien-3-one | 0.061 | ND | 1,600 | Class II | 540 |
| 07.240 | 2-Methylheptan-3-one | 3 | 3 | | Class II | 540 |
| 07.247 | (<i>E,E</i>)-3,5-Octadien-2-one | 3 | 4 | | Class II | 540 |
| 07.249 | Undecan-6-one | 3 | 3 | | Class II | 540 |
| 07.256 | (<i>E</i>) & (<i>Z</i>)-4,8-Dimethyl-3,7-nonadiene-2-one | 6.1 | 6.6 | | Class II | 540 |
| 09.281 | Oct-1-en-3-yl acetate | 2.1 | | 3,900 | Class II | 540 |
| 09.282 | Oct-1-en-3-yl butyrate | 0.0012 | | 3,900 | Class II | 540 |
| 09.936 | 4,8-Dimethyl-3,7-nonadien-2-yl acetate | 3 | 0.2 | 19 | Class II | 540 |

MSDI: maximised survey-derived daily intake; mTAMDI: modified theoretical added maximum daily intake.
 ND: not derived.