

1 **Viral load dynamics in SARS-CoV-2 Omicron breakthrough infections**

2 Running title: **Viral dynamics in Omicron infections**

3
4 Felix Dewald^{1,2*}, Susanne Detmer^{1,2*}, Martin Pirkl¹, Martin Hellmich³, Eva Heger¹,
5 Maximilian Herrmann⁴, Janine Zweigner⁴, Florian Klein^{1,2,5}

6
7
8 ¹Institute of Virology, Faculty of Medicine and University Hospital Cologne, University
9 of Cologne, Cologne, Germany

10
11 ²Center for Molecular Medicine Cologne, University of Cologne, Cologne, Germany

12
13 ³Institute of Medical Statistics and Computational Biology, Faculty of Medicine and
14 University Hospital Cologne, University of Cologne, Cologne, Germany

15
16 ⁴Department of Hospital Hygiene and Infection Control, University Hospital of
17 Cologne, Cologne, Germany

18
19 ⁵German Center for Infection Research, Partner Site Bonn-Cologne, Cologne,
20 Germany

21
22 *contributed equally to this manuscript

23 Corresponding author: Florian Klein (florian.klein@uk-koeln.de)

24 Alternate corresponding author: Felix Dewald (felix.dewald@uk-koeln.de)

1 **Footnote Page**

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4) Corresponding author:

7

Prof. Dr. Florian Klein

8

Institute of Virology, Faculty of Medicine and University Hospital

9

Cologne, University of Cologne, Cologne, Germany

10

Fürst-Pückler-Straße 56

11

50935 Cologne

12

Mail: florian.klein@uk-koeln.de

13

Phone: +49 221 478-85801

14

Fax: +49 221 478-85802

15

16

Alternate Corresponding author:

17

Dr. med. Felix Dewald

18

Institute of Virology, Faculty of Medicine and University Hospital

19

Cologne, University of Cologne, Cologne, Germany

20

Fürst-Pückler-Straße 56

21

50935 Cologne

22

Mail: felix.dewald@uk-koeln.de

23

Phone: +49 221 478-85845

24

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1 **Abstract**

2 In order to determine viral dynamics in Omicron breakthrough infections, we
3 measured SARS-CoV-2 RNA in 206 double vaccinated or boosted individuals.
4 During the first three days following the onset of symptoms, viral loads were
5 significantly higher (Ct 21.76) in vaccinated compared to boosted (Ct 23.14)
6 individuals ($p = 0.029$). However, by performing a longitudinal analysis on 32
7 individuals over 14 days, no difference in the viral load trajectory was observed
8 between double vaccinated and boosted patients. Our results indicate that booster
9 immunization results in a small reduction in detectable viral loads without significantly
10 changing viral load dynamics over time.

11

12 **Keywords**

13 Omicron, breakthrough infection, viral load, booster immunization, vaccination

14

1 **Background**

2 The Omicron variant of SARS-CoV-2 is characterized by a significant immune
3 escape resulting in reduced vaccine effectiveness and a surge of breakthrough
4 infections [1–3]. To date, the Omicron VOC has become the dominant SARS-CoV-2
5 variant worldwide. The dynamics of viral shedding can critically determine the risk of
6 transmitting SARS-CoV-2. Differences in viral load dynamics have been reported for
7 various SARS-CoV-2 variants including Delta that was demonstrated to cause higher
8 peak viral loads compared to SARS-CoV-2 lineage A/B infections [4,5]. Furthermore,
9 vaccination status can impact peak viral loads as well as the duration of viral
10 shedding [6]. Therefore, vaccination is of critical importance to reduce the rate of
11 transmissions and to contain infections. Given the high numbers of Omicron
12 breakthrough infections and the subsequent challenge to maintain critical
13 infrastructure, it is essential to understand the characteristics and dynamics of viral
14 loads and their dependence on vaccination status.

15

16 **Methods**

17 **Ethical considerations**

18 The project outline was submitted to the Institutional Review Board (IRB) of the
19 University of Cologne which stated the investigators were exempt from applying for
20 ethical approval because analysis were solely retrospective.

21

22 *Cross-sectional cohort*

23 Viral load measurements and information on symptoms were documented as part of
24 the standard diagnostic procedure at the COVID-19 test center of the University
25 Hospital Cologne.

26

1 *Longitudinal cohort*

2 Viral loads were monitored for quality control. The high number of Omicron
3 breakthrough infections suggested that a significant fraction of the employees of the
4 University Hospital would not be able to work due to isolation. Thus, determining the
5 duration of infectivity was crucial for maintaining the critical infrastructure. Follow-up
6 detection of viral loads was performed after verbal consent.

7

8 **Study Population**

9 Study participants were outpatients or employees tested at the COVID-19 test center
10 of the University Hospital Cologne. Individuals presented based on their onset of
11 symptoms or due to contact with infected individuals.

12

13 *Cross-sectional cohort*

14 Participants were included based on the availability of information on vaccination
15 status and symptom onset.

16

17 *Longitudinal cohort*

18 157/206 (76%) individuals in the cross-sectional cohort tested with Ct-values <25
19 during the first three days after symptom onset. A Ct-value of <25 indicates a
20 middle/high viral load and a relatively early state of infection, implicating relevance for
21 transmission chains. Thus, for longitudinal analysis, only individuals with Ct-values
22 <25 during the first three days after symptom onset were included. To ensure timely
23 sample transportation, only individuals who lived in a radius of 3 km around the
24 laboratory were tested.

25

26

$$Ct = -1.303 \ln(\text{viral load}) + 43.41$$

To quantify the inter-run variation of SARS-CoV-2 Ct-values, 55 Ct-values of all positive controls (derived from the same charge) tested during the observation period with COBAS 6800 were analyzed. Mean Ct-values were 32.89 (SD 0.16) and 35.41 (SD 0.39), for Orf-1a and E-Gene, respectively (**Supplementary Fig. 2**).

Analysis of the SARS-CoV-2 variant

Samples of the cross-sectional analysis were obtained from 10th of January until 21st of January 2022 and assumed to contain the BA.1 Omicron variant due to its overall predominance of all positive samples in North Rhine-Westphalia [7]. All longitudinal samples could be assigned the Omicron BA.1 VOC by genotyping (**Supplementary Table 1**). To this end, 500 µl of sample were used to purify nucleic acids with the MagNa Pure 96 automatic nucleic acid extraction instrument and the Viral NA large volume Kit (Roche Molecular Systems). 100 µl were used for elution. Of the extracted RNA, 5 µl were used in a qPCR using the VirSNip SARS-CoV-2 Spike L452R and S371L S373P assay according to the manufacturer's instructions (TIBMolBiol, Berlin, Germany). LightCycler® 480 II (Roche Diagnostics) was used for melting analysis.

Quality control of self-sampled swabs

To assess the quality of self-sampled anterior Naso-/Oropharyngeal (aNp-/Op) swabs, human β-globin-gene quantification was performed as previously described [8,9]. To this end, 53 SARS-CoV-2-negative aNp-/Op-swabs and 53 professionally attained SARS-CoV-2-negative Np-/Op-swabs from the test-center of the University Hospital Cologne were analyzed (**Supplementary Fig. 3**). There was a difference of

1 1.13 in mean Ct-values (32.75 vs. 33.88) for beta-Globin ($p=0.0004$, Mann-Whitney
2 U-test).

3

4 **Statistical analysis**

5 Means, medians, standard deviations and 95% confidence intervals were calculated
6 for adjusted Ct-values. Differences in Ct-values were calculated with Mann-Whitney
7 U-test (MWU). P-values <0.05 were considered significant. Loess regression was
8 optimized over 10,000 bootstrap runs to fit the means and 95% confidence intervals
9 stratified by days after symptom onset (**Fig. 1B**). Matching adjusted Ct-values for
10 confounders was done with a linear regression. Data analyses were performed using
11 the software GraphPad Prism (v.9) and the R package stats.

12

13 **Results**

14 To investigate the viral loads during the acute phase of Omicron breakthrough
15 infections, we analyzed data from 4,697 Np-/Op-swabs that were tested in RT-qPCR
16 at the COVID-19 test center of the University Hospital Cologne during the period 10th
17 of January until 21st of January, 2022. 3,714 swabs (79.1%) were obtained from
18 employees and 983 (20.9%) from outpatients. 468 individuals tested positive. 100
19 (21.3%) were excluded due to a positive result before the observation period. The
20 remaining 368 individuals were categorized as symptomatic, if at least one COVID-19
21 associated symptom was newly reported within 7 days prior to or after diagnosis.
22 COVID-19 associated symptoms included fever, cough, sore throat, headache,
23 rhinitis, nausea, diarrhea, shortness of breath, myalgia, loss of taste and/or loss of
24 smell. 284 (77.1%) individuals had symptoms, 26 (7.1%) reported no symptoms.
25 Data on symptoms were not available for 58 (15.8%) individuals. Of the symptomatic
26 individuals, 156 (54.9%) were boosted and 110 (38.7%) were double vaccinated at

1 least 14 days before infection. Of 18 (6.4%), the vaccination status was either not
2 available or the individuals reported past infections. Of the 266 symptomatic infected
3 individuals that were boosted or double vaccinated, 206 (77.4%) were within the
4 first three days after symptom onset at the day of sample collection. Of those, the
5 median age was 29.5 years (range, 18-67 years) and gender was nearly equally
6 distributed (49% female, 51% male) (**Table 1A**). Ct-values were determined in a
7 routine diagnostic setting by RT-qPCR. The mean of the Ct-values detected in double
8 vaccinated individuals (mean: 21.76; CI: 20.87-22.64) was significantly lower than in
9 boosted individuals (mean 23.14; CI: 22.35-23.94; $p=0.029$, Mann-Whitney U-test;
10 **Fig. 1A**). Corresponding geometric means of viral loads were 1.66×10^7 and 5.74×10^6
11 SARS-CoV-2 copies/ml (95% CI: 6.71×10^6 - 1.54×10^8 and 6.48×10^5 - 7.01×10^7).
12 Moreover, 83.72% of the double vaccinated individuals and 70.83% of the boosted
13 individuals had a fraction of Ct-values <25 (viral load $>1.38 \times 10^6$ copies/ml) within the
14 first three days after symptom onset (**Fig. 1A**). These results did not change after
15 matching for age, gender and days after symptom onset. We conclude that booster
16 immunization leads to a decrease of peak viral loads in Omicron breakthrough
17 infections.

18 In correspondence to the viral loads of the majority of the cross-sectional
19 cohort (**Fig. 1A**), for longitudinal analysis, only individuals with middle/high viral loads
20 (at least one Ct-value <25 during the first three days after symptom onset) were
21 tested. 59.3% of the individuals were female and 40.7% were male. Median age was
22 29 years (range, 18-64 years). 10 (31.2%) individuals were double vaccinated and 22
23 (68.8%) were boosted. Of the double vaccinated individuals, median time between
24 second dose of vaccination and positive RT-qPCR was 160 days (range, 83-309
25 days). Of the boosted individuals, median time between third dose of vaccination
26 and positive RT-qPCR was 31 days (range, 13-91 days; **Table 1B**).

1 In total, 389 samples of 32 individuals were analyzed (mean, 11.7 swabs per
2 individual; **Fig.1B**). The mean Ct-values of double vaccinated and boosted
3 individuals increased from 22.9 and 21.8 on day 0 after symptom onset, respectively,
4 to a threshold of 30 (2.96×10^4 copies/ml) after 8 and 9 days, respectively (**Fig. 1B**).
5 On day 9 after symptom onset, of the double vaccinated individuals, 79.4%, 99.0%,
6 and 100.0% were detected with Ct-values ≥ 30 , ≥ 25 , and ≥ 20 , respectively
7 ($\geq 2.96 \times 10^4$, $\geq 1.38 \times 10^6$, $\geq 2.96 \times 10^4$ copies/ml). Of the boosted individuals, 54.2%,
8 89.3%, and 99.5% were detected with Ct-values ≥ 30 , ≥ 25 , and ≥ 20 , respectively. On
9 day 14 after symptom onset, mean Ct-value was 37.7 (7.99×10^1 copies/ml) for double
10 vaccinated and 38.5 (4.32×10^1 copies/ml) for boosted individuals. (**Fig. 1B**). We
11 conclude that there are no significant differences in viral load trajectory between
12 double vaccinated and boosted individuals with Omicron breakthrough infection if
13 the initial viral load is similar in both groups.

14 Our data show that a booster vaccination reduces the viral load during the
15 early phase of infection with the Omicron variant compared to a double vaccination,
16 but does not shorten the duration of viral clearance.

17

18 **Discussion**

19 Epidemiological data show a reduced transmissibility for the Omicron VOC in
20 boosted compared to double vaccinated individuals [2] and infectious viral loads
21 were shown to be decreased by a booster vaccination [10]. In Delta infections, the
22 viral load in double vaccinated is reduced in comparison to that in unvaccinated
23 individuals. This effect is absent after 120 days, but can be temporarily restored by a
24 booster vaccination⁶. Nevertheless, a follow-up study by Levine-Tiefenbruch et al.
25 [11] shows that the restored effect by the booster vaccination also wanes and
26 vanishes after 60 days. While our study shows that booster vaccination reduces peak

1 viral loads, it does not answer if this effect is maintained over time in Omicron
2 breakthrough infections and thus, follow-up studies would be required.

3 Viral clearance in breakthrough infections is faster in immunized individuals
4 than in unvaccinated infected with variants other than the Omicron VOC [12,13].
5 However, we did not observe an analog effect in boosted vs double immunized
6 individuals with Omicron breakthrough infections with similar initial viral loads which
7 is in line with a study by Puhach et al. [10]. In accordance with that study, there might
8 be a difference in infectious viral loads rather than between the duration of viral
9 shedding between the two groups of our study.

10 Limitations of this study include a small sample and a selection-bias towards
11 lower initial Ct-values in the longitudinal cohort. However, these individuals represent
12 the vast majority of newly diagnosed cases as observed in the cross-sectional cohort.
13 Another limitation is the specimen quality due to the self-sampling of the
14 longitudinally tested individuals. However, we consider the determined systematic
15 difference of 1.13 Ct-values for the human β -Globin-gene between professional
16 sampling and self-sampling as admissible for the conclusions drawn from the data in
17 this study. The composition of both cohorts is not representative for the general
18 population with a selective distortion towards generally younger individuals. Because
19 the duration of viral shedding is positively associated with age [14], our study might
20 underestimate the duration of viral shedding in Omicron breakthrough infections.
21 Nevertheless, despite an age-dependency in viral shedding and a possible
22 underestimation in our study, viable SARS-CoV-2 was shown to be short-lived [14],
23 limiting the relevance of the age of the individuals of this study for the infection
24 dynamics in the population. Lastly, the limited availability of clinical data is one
25 limitation that is due to the retrospective nature of our study.

26

1 **Data availability**

2 All shown data will be made available on request.

3

4 **Author contributions**

5 Conceptualization, F.D., S.D. and F.K; methodology, F.D. and S.D.; investigation,
6 F.D., S.D. and M.H.; resources, E.H., F.K. and J.Z; formal analysis, F.D., S.D., M.P.,
7 M. Hellmich and F. Klein; writing—original draft, F.D. and S.D.; writing—review and
8 editing, all authors; visualization, F.D., S.D. and F.K; supervision, F. K. and J.Z.

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17

18 **Competing interest declaration**

19 The authors have no competing interests.

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1 **Figure legends**

2 **Fig. 1: Viral load kinetics in Omicron breakthrough-infections**

3 **a**, Viral load of Omicron breakthrough-infections was determined during day 0-3 after
4 symptom onset. The 206 samples are plotted by adjusted Ct-value and stratified by
5 vaccination status ($p < 0.029$, MWT). Bars and numbers above indicate mean Ct-
6 values (left y-axis) or geometric mean viral load (right y-axis). Fractions of Ct-values
7 < 25 or ≥ 25 are indicated by corresponding colors and the absolute numbers are
8 given in the pie charts. **b**, Vaccinated individuals with Omicron breakthrough-
9 infections obtained self-sampled aNp-/Op-swabs daily until 14 days after symptom
10 onset. Vaccination status is indicated by corresponding colors. Adjusted Ct-values
11 are plotted longitudinally and stratified by days after symptom onset (left panels).
12 Dashed lines indicate the limit of detection (left) or a Ct-value of 30 (right),
13 respectively. Loess regression was optimized for fitting of the means and is stratified
14 by days after symptom onset. 95% confidence intervals are indicated by
15 corresponding colored areas. Fractions of individuals with adjusted Ct-values ≥ 30 ,
16 25 or 20 are stratified by days after symptom onset and indicated by corresponding
17 colored lines.

18

19

1 **Table 1: Participants demographics**

A

Cross-sectional Omicron breakthrough infection cohort

Infected individuals at the COVID-19 test center n=368			n	368
	General information	<i>Citizens</i>	n (%)	278 (75.6%)
		<i>Employees</i>	n (%)	90 (24.4%)
	Symptoms	<i>Symptomatic</i>	n (%)	284 (77.1%)
<i>Asymptomatic</i>		n (%)	26 (7.1%)	
<i>NA</i>		n (%)	58 (15.8%)	
Symptomatic individuals n=284			n	284
	Days after symptom onset	<i>0-3 days</i>	n (%)	215 (75.7%)
		<i>>3 days</i>	n (%)	69 (24.3%)
	Immune status	<i>Double vaccinated</i>	n (%)	110 (38.7%)
<i>Boostered</i>		n (%)	156 (54.9%)	
<i>Other</i>		n (%)	18 (6.3%)	
Participants n=206			n	206
	Gender	<i>Female</i>	n (%)	101 (49%)
		<i>Male</i>	n (%)	105 (51%)
	Age		Median years (range)	29.5 (18-67)
Vaccination status	<i>Double vaccinated</i>	n (%)	86 (41.7%)	
	<i>Boostered</i>	n (%)	120 (58.3%)	

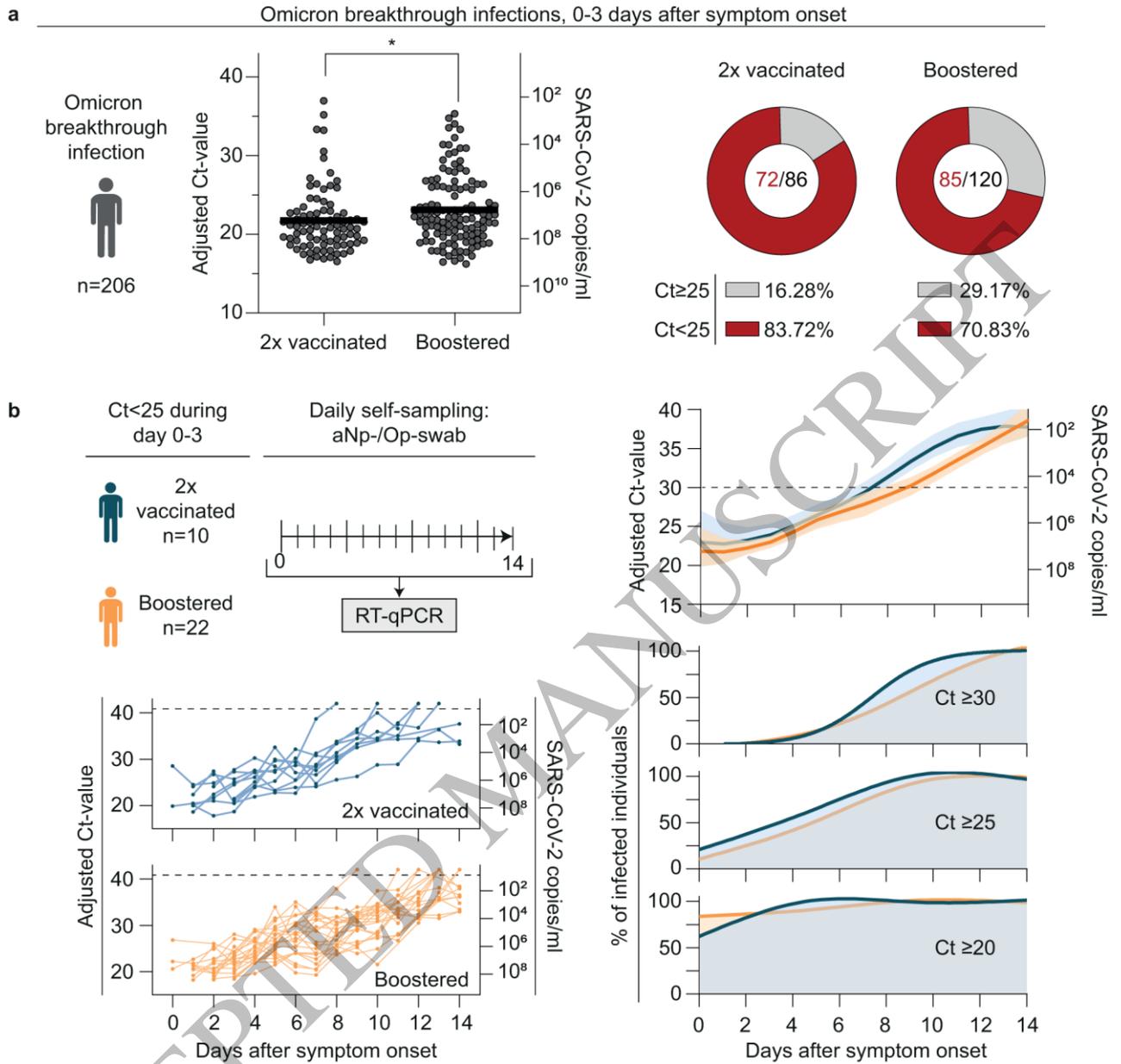
B

Longitudinal Omicron breakthrough cohort

Participants n=32			n	32
	Gender	<i>Female</i>	n (%)	19 (59.3%)
		<i>Male</i>	n (%)	13 (40.7%)
	Age		Median years (range)	29 (18-64)
	Vaccination status	<i>Double vaccinated</i>	n (%)	10 (31.2%)
		<i>Days between second dose and first positive RT-qPCR</i>	Median days (range)	160.5 (89-309)
		<i>Boostered</i>	n (%)	22 (68.8%)
<i>Days between third dose and first positive RT-qPCR</i>		Median days (range)	31 (13-91)	

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Figure 1
168x163 mm (x DPI)