

# Dimethyl fumarate–induced lymphopenia in MS due to differential T-cell subset apoptosis

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## ABSTRACT

**Objective:** To examine the mechanism underlying the preferential CD8<sup>+</sup> vs CD4<sup>+</sup> T-cell lymphopenia induced by dimethyl fumarate (DMF) treatment of MS.

**Methods:** Total lymphocyte counts and comprehensive T-cell subset analyses were performed in high-quality samples obtained from patients with MS prior to and serially following DMF treatment initiation. Random coefficient mixed-effects analysis was used to model the trajectory of T-cell subset losses in vivo. Survival and apoptosis of distinct T-cell subsets were assessed following in vitro exposure to DMF.

**Results:** Best-fit modeling indicated that the DMF-induced preferential reductions in CD8<sup>+</sup> vs CD4<sup>+</sup> T-cell counts nonetheless followed similar depletion kinetics, suggesting a similar rather than distinct mechanism involved in losses of both the CD8<sup>+</sup> and CD4<sup>+</sup> T cells. In vitro, DMF exposure resulted in dose-dependent reductions in T-cell survival, which were found to reflect apoptotic cell death. This DMF-induced apoptosis was greater for CD8<sup>+</sup> vs CD4<sup>+</sup>, as well as for memory vs naive, and conventional vs regulatory T-cell subsets, a pattern which mirrored preferential T-cell subset losses that we observed during in vivo treatment of patients.

**Conclusions:** Differential apoptosis mediated by DMF may underlie the preferential lymphopenia of distinct T-cell subsets, including CD8<sup>+</sup> and memory T-cell subsets, seen in treated patients with MS. This differential susceptibility of distinct T-cell subsets to DMF-induced apoptosis may contribute to both the safety and efficacy profiles of DMF in patients with MS. *Neurol Neuroimmunol Neuroinflamm* 2017;4:e340; doi: 10.1212/NXI.0000000000000340

## GLOSSARY

**DMF** = dimethyl fumarate; **EDSS** = Expanded Disability Status Score; **FAE** = fumaric acid ester; **HC** = healthy control; **MMF** = monomethyl fumarate; **PBMC** = peripheral blood mononuclear cells; **PI** = propidium iodide; **PML** = progressive multifocal leukoencephalopathy; **RM ANOVA** = repeated measures analysis of variance; **RRMS** = relapsing-remitting MS; **RTE** = recent thymic emigrant; **SOP** = standard operating procedure; **TLC** = total lymphocyte count.

Dimethyl fumarate (DMF; Tecfidera, Biogen, Weston, MA) is an oral fumaric acid ester (FAE) which has been shown to reduce clinical relapses and MRI measures of inflammatory disease activity in relapsing-remitting MS (RRMS).<sup>1,2</sup> The mechanism/s underlying the ability of DMF to reduce inflammatory disease in MS remains incompletely elucidated, although both cytoprotective and immunomodulatory actions of DMF and its major metabolite, monomethyl fumarate (MMF), have been postulated<sup>3–11</sup> (reviewed in references 12, 13).

Given its cytoprotective potential, it was somewhat surprising to observe that DMF treatment in the pivotal phase III trials resulted in approximately 30% decreases in total lymphocyte counts (TLCs), with 5% of patients experiencing grade 3 lymphopenia (TLC <0.5 × 10<sup>9</sup> cells/L).<sup>1,2</sup> Postmarketing studies also reported lymphopenia in up to 50% of patients, noting a preferential reduction of CD8<sup>+</sup> vs CD4<sup>+</sup> T-cell counts.<sup>14–16</sup> Rare cases of progressive multifocal

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leukoencephalopathy (PML) have occurred in patients taking DMF<sup>17,18</sup> and have been linked with, but not restricted to, sustained severe lymphopenia.<sup>18,19</sup>

Mechanisms underlying DMF-induced lymphopenia remain incompletely elucidated. Important questions include whether distinct mechanisms explain differential CD8<sup>+</sup> vs CD4<sup>+</sup> T-cell subset losses, and how cell subsets with specific immunologic roles are affected by DMF. A greater understanding of these issues will aid safer treatment decisions and monitoring of DMF use in patients. Here, using a combination of *in vivo* and *in vitro* approaches, we investigated the mechanism underlying the preferential losses of CD8<sup>+</sup> vs CD4<sup>+</sup> T cells induced by DMF treatment in patients with MS.

**METHODS Participants and study design.** Thirteen patients (11 women and 2 men) with RRMS and a mean age of 41 years (range 20–60 years) were prospectively followed at a single center in Montreal, Canada, prior to and following treatment initiation with DMF. Patients were assessed every 3 months with clinical review, physical examination and Expanded Disability Status Score (EDSS), and blood procurement with isolation of peripheral blood mononuclear cells (PBMC) when possible. At study entry, patients had an average EDSS of 2.5 (range 1.0–4.0), preceding annualized relapse rate of 0.8 (0–2) and disease duration of 9.6 years (range 1–27 years). Eleven of the 13 patients had previously been treated with either interferon or glatiramer acetate, 1 had received a single dose of ofatumumab 18 months prior to recruitment, and 1 was treatment naive. Ten healthy controls were recruited for *in vitro* studies.

**Blood sample processing and cell culture.** Complete blood counts including TLC were performed by a certified clinical laboratory. T-cell subset absolute counts were estimated using the clinical laboratory TLC results and flow cytometry gating of individual subsets within the total lymphocyte populations. High-quality PBMC were separated by density centrifugation using Ficoll (GE Healthcare, Little Chalfont, UK), and a portion was cryopreserved using strict standard operating procedures for all phases of sample procurement, processing, freezing, storage, and subsequent thawing. Where indicated, magnetic bead sorting (Miltenyi Biotec, Bergisch Gladbach, Germany) was used to negatively select CD3<sup>+</sup> T cells from freshly isolated or thawed PBMC with purities of typically >94% as confirmed by flow cytometry. For measurement of FAE-induced apoptosis, freshly isolated PBMC and T cells were cultured in serum-free X-vivo 10 medium (Lonza, Basel, Switzerland) at  $3 \times 10^5$  cells/well in 24-well plates for 3 days. Cell cultures were treated with medium alone, vehicle (dimethyl sulfoxide [DMSO]), MMF, or DMF (Sigma-Aldrich, Oakville, ON, Canada). MMF and DMF were added to individual wells across a concentration range (10, 25, or 50  $\mu$ M), with DMSO control added at the highest (50  $\mu$ M) equivalent concentration. Given the short half-life of DMF,<sup>20</sup> a second identical dose of DMF, MMF, or vehicle was added to each well after a 60-minute incubation. For dexamethasone-induced apoptosis assays, T cells purified from thawed patient

PBMC samples were cultured at  $10^5$  cells/well in 96-well plates for 3 days with the addition of medium alone, dexamethasone (Sigma-Aldrich) at concentrations between  $3.125 \times 10^6$  M and  $5 \times 10^5$  M, or equivalent vehicle (ethanol) concentrations.

**Antibodies and flow cytometry.** Antibodies to phenotype T-cell subsets were directed against CD3 (BD Biosciences and Biolegend, San Diego, CA), CD4, CD8, CCR7, CD45RO, CD45RA, CD25, CD31, CLA, and CCR4 (BD Biosciences), CD127 (Beckman Coulter, Brea, CA), Integrin  $\beta$ 7, CCR9, CCR5, and CCR2 (Biolegend). Antibodies to detect intracellular targets were directed against IL-22 (eBioscience, San Diego, CA), IL-4, IL-10, IL-17, IFN $\gamma$ , GM-CSF, and FOXP3 (BD Biosciences), BIM, BAK, and BCL-XL (Cell Signaling Technology, Danvers, MA), BAX and BCL-2 (Biolegend), and PUMA (Abcam, Cambridge, UK). For apoptosis assays, cells were stained with Annexin V and propidium iodide (PI; BD Biosciences) following the cell surface staining. Staining combinations and reagent details are provided in table e-1 at [Neurology.org/nn](http://Neurology.org/nn). Counting beads (CountBright; Thermo Fischer Scientific, Waltham, MA) were added to obtain cell counts for T-cell survival assays, and samples were analyzed immediately using flow cytometry. For intracellular cytokine staining, phorbol 12-myristate 13-acetate (20 ng/mL; Sigma-Aldrich, St. Louis, MO), ionomycin (1  $\mu$ g/mL; Sigma-Aldrich), and GolgiStop (Monensin; BD Biosciences) were added 4 hours prior to staining. Cells were processed and stained as previously described<sup>21</sup> and according to the manufacturer's recommendations (BD Biosciences and eBioscience). Cells were analyzed using an LSRFortessa Flow cytometer (BD Biosciences) and FlowJo software (Tree Star Inc., Ashland, OR). A representative example of T-cell staining and the flow cytometry gating strategy is provided in figure e-1.

**Statistical analyses.** For analysis of longitudinal data from serially sampled patients with MS, we used a random coefficient mixed-effects analysis (SAS Software, Cary, NC), allowing efficient utilization of repeated measures data and modeling of treatment-related changes (fixed effects), while also accounting for between-patient variability (random effects).<sup>22</sup> We used the Akaike Information Criterion (AIC) to compare the performance of 3 candidate models for the trajectories of immune cell subset losses following treatment initiation: a 12-month linear decay model (in which changes occurred uniformly over the 12-month follow-up); an exponential decay model (in which 75% of changes occurred in the first 6 months of treatment); and a 6-month linear decay model (in which 100% of changes occurred in the first 6 months of treatment, with no changes thereafter).

For *in vitro* studies, a 2-way repeated measures analysis of variance (RM ANOVA) with Dunnett multiple comparisons test was used to compare the effects of different DMF and MMF doses across distinct T-cell subsets. Relative changes in cell viability were corrected for the differing basal viability in culture of each cell subset using the viability of the untreated condition (i.e., relative change in viability for subset A with DMF exposure = (%viability subset A<sub>DMF-exposed</sub> - %viability subset A<sub>Untreated</sub>)/%viability subset A<sub>Untreated</sub>). One-way RM ANOVA with Sidak multiple comparisons tests were used to compare a single DMF dose between 3 or more T-cell subsets, and paired *t* tests were used for the same analyses when only 2 T-cell subsets were compared. One-way RM ANOVA or paired *t* tests were used for all other pre- vs post-treatment comparisons. *p* Values, adjusted for

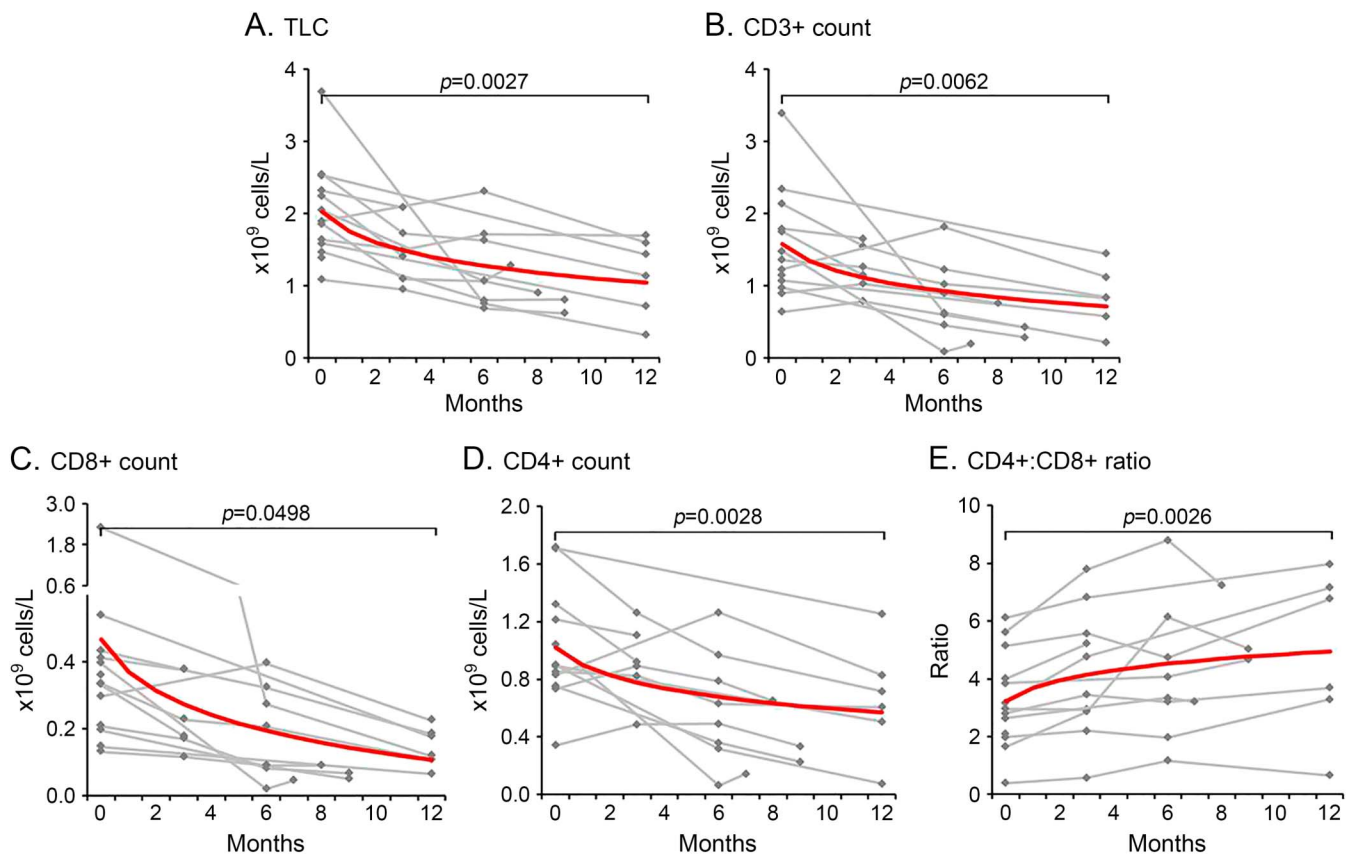
multiple comparisons, of  $\leq 0.05$  were considered statistically significant. Analyses were performed using Prism 7 (Graph-Pad Software, La Jolla, CA).

**Standard protocol approvals, registrations, and patient consents.** The study was approved by the Institutional Review Board of McGill University. All participants provided written informed consent.

**RESULTS In vivo kinetics of DMF-induced lymphopenia and early preferential loss of CD8<sup>+</sup> cells in patients with MS.** We considered whether assessing the trajectories of T-cell subset losses, based on serial sampling of DMF-treated patients with MS and a random coefficient mixed-effects analysis, would provide clues into the mechanism/s underlying the previously reported<sup>14–16</sup> differential effect of treatment on CD8<sup>+</sup> vs CD4<sup>+</sup> T-cell subsets. Comparing the performance of the 3 candidate models tested using the AIC, we found that the exponential decay model (in which 75% of changes occur in the first 6 months of treatment) was best fit in 72% of T-cell trajectories tested. The second best performing model (best fit in only 22% of cases) was the 6-month linear decay model. The superiority of

the exponential model and the 6-month linear decay model over the 12-month linear decay model in 94% of cases indicates that the majority (between 75% and 100%) of T-cell losses are occurring in the first 6 months of treatment. For simplicity, we used the best performing exponential decay model for all subsequent analyses. By 12 months, TLCs decreased by an average of 48%, from  $2.02 \times 10^9$  cells/L pretreatment to  $1.04 \times 10^9$  cells/L at 12 months ( $p = 0.0027$ ; figure 1A), with total CD3<sup>+</sup> T-cell counts decreasing by an average of 54% ( $p = 0.0062$ ; figure 1B). Within the CD3<sup>+</sup> T-cell population, CD8<sup>+</sup> counts decreased from an average of  $0.47 \times 10^9$  cells/L pretreatment to  $0.11 \times 10^9$  cells/L at 12 months, representing a 77% decrease ( $p = 0.0498$ ; figure 1C), whereas CD4<sup>+</sup> counts decreased by only 44%, from  $1.02$  to  $0.57 \times 10^9$  cells/L ( $p = 0.0028$ ; figure 1D), leading to an increase in the CD4:CD8 ratios from 3.2 pretreatment to 4.9 by month 12 ( $p = 0.0026$ ; figure 1E). Our results suggested that although the magnitude of DMF-induced T-cell loss was greater for the CD8<sup>+</sup> T cells than CD4<sup>+</sup> T cells

**Figure 1** DMF treatment in vivo reduces lymphocyte and T-cell counts



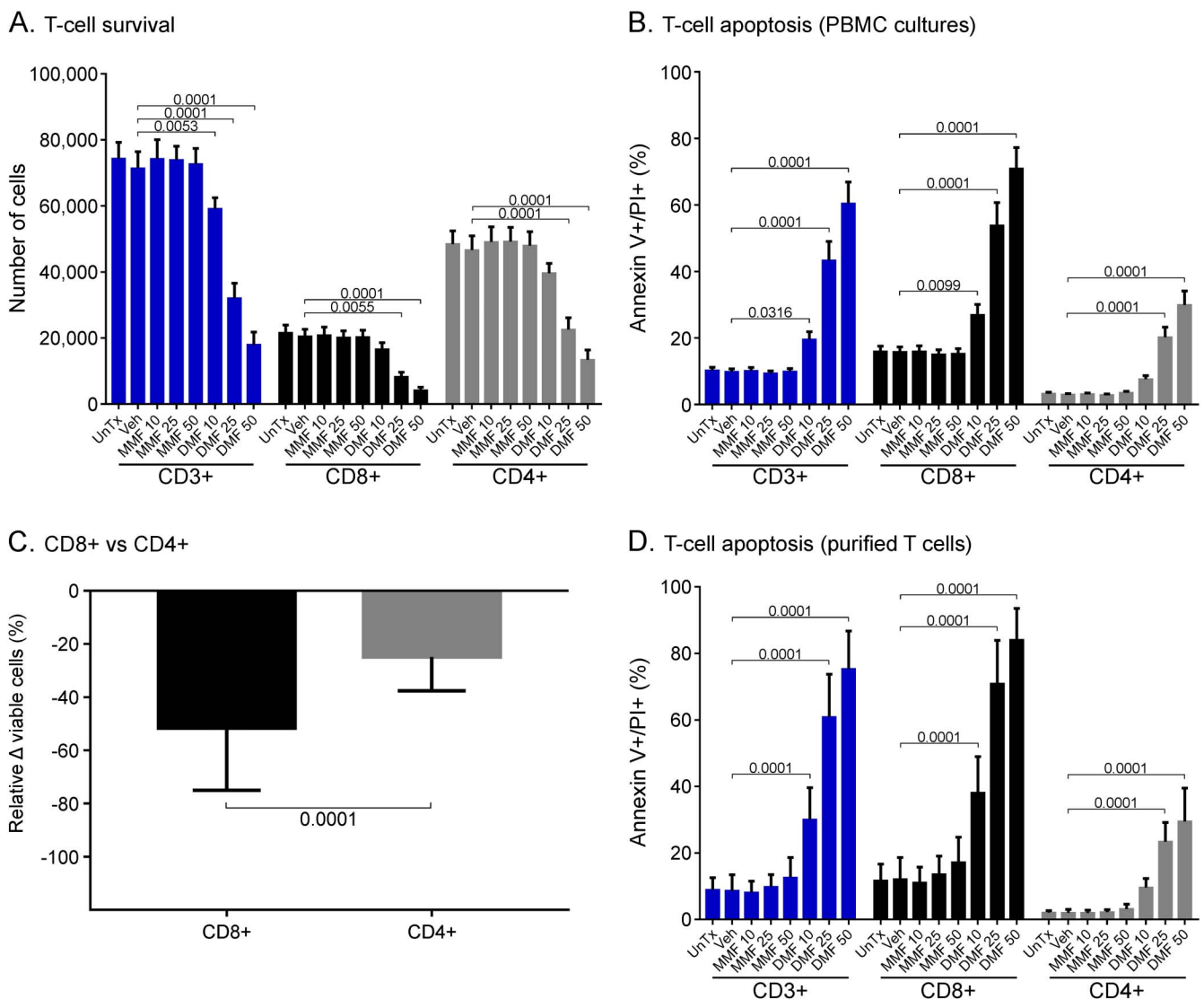
TLCs (A), CD3<sup>+</sup> (B), CD8<sup>+</sup> (C), and CD4<sup>+</sup> (D) counts all decreased on DMF treatment. The greater relative decrease in CD8<sup>+</sup> vs CD4<sup>+</sup> T cells on DMF treatment resulted in an increase in the CD4<sup>+</sup>:CD8<sup>+</sup> ratio (E). Data shown are from patients with MS ( $n = 13$ ) pretreatment (month 0) and up to 12 months following DMF treatment initiation. The  $p$  values displayed represent the statistical significance of the exponential decay trajectory (shown in red) in a random coefficient mixed-effects model. Individual patient trajectories are shown in gray. DMF = dimethyl fumarate; TLC = total lymphocyte count.

(as previously reported<sup>14–16</sup>), the similar trajectories of decreased counts are compatible with a common mechanism underlying losses of both cell subsets.

**DMF induces direct T-cell apoptosis in vitro, with differential effects on CD8<sup>+</sup> compared with CD4<sup>+</sup> T cells.** Examination of the in vitro effects of DMF and MMF on T-cell survival within PBMC revealed that exposure to DMF, but not MMF, caused dose-dependent decreases in survival of CD3<sup>+</sup> T cells, including both CD8<sup>+</sup> and CD4<sup>+</sup> T cells (figure 2A). This dose-dependent decreased survival reflected apoptotic cell death (figure 2B), such that

the frequency of apoptotic (Annexin V+/PI+) CD3<sup>+</sup> cells was 9.8% with vehicle alone, vs 19.5% for DMF 10 μM; 43.3% for DMF 25 μM; and 60.4% for DMF 50 μM (all  $p = 0.0001$ ). Mirroring the preferential losses of CD8<sup>+</sup> vs CD4<sup>+</sup> T cells that we observed in treated patients, the in vitro apoptotic cell death was approximately twice as marked for CD8<sup>+</sup> T cells compared with CD4<sup>+</sup> T cells. For example, at the DMF 25 μM exposure, the decrease in cell viability (relative to the untreated condition) was 52% for CD8<sup>+</sup> T cells vs 25% for CD4<sup>+</sup> T cells ( $p = 0.0001$ ; figure 2C). A representative example of Annexin V/PI staining of

**Figure 2** DMF causes T-cell apoptosis in vitro with a preferential effect on CD8<sup>+</sup> T cells



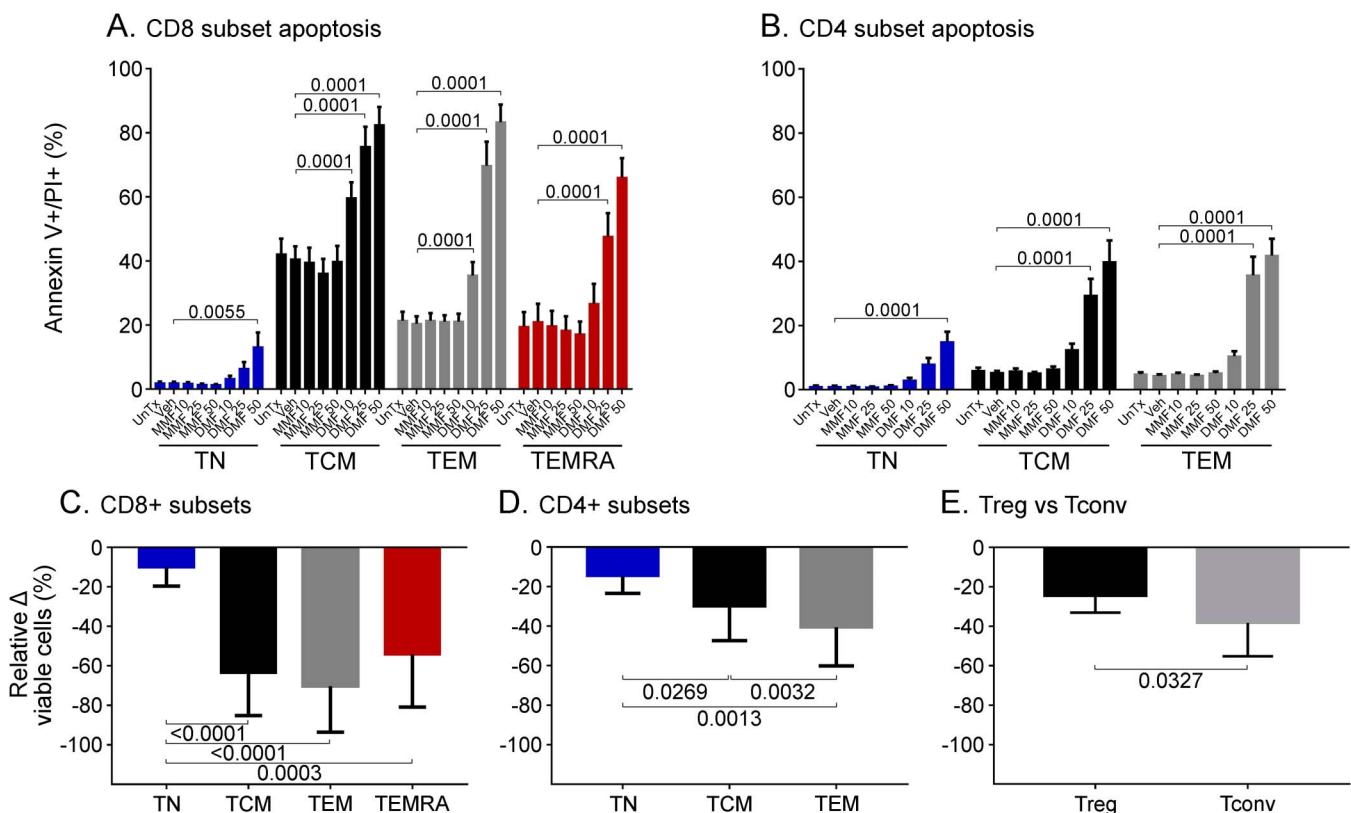
Healthy control ( $n = 10$ ) PBMC were cultured with the addition of DMF, MMF, vehicle alone, or medium alone. Total CD3<sup>+</sup>, CD4<sup>+</sup>, and CD8<sup>+</sup> T-cell subsets showed a dose-dependent decrease in survival after the addition of DMF, whereas MMF and vehicle alone had no effect (A). The proportion of apoptotic cells within CD3<sup>+</sup>, CD4<sup>+</sup>, and CD8<sup>+</sup> subsets increased with increasing DMF exposure (B). Relative to viability in untreated cultures, there was significantly greater DMF-induced loss of viability among CD8<sup>+</sup> vs CD4<sup>+</sup> T cells following a 25 μM DMF exposure (C). The pattern of DMF-induced apoptosis of CD3<sup>+</sup>, CD4<sup>+</sup>, and CD8<sup>+</sup> T cells was also seen in purified T-cell cultures ( $n = 4$ ) (D). Statistical analyses used were a 2-way repeated measures ANOVA with adjustment for multiple comparisons using Dunnett test (A, B, and D) and a paired  $t$  test (C). ANOVA = analysis of variance; DMF = dimethyl fumarate; MMF = monomethyl fumarate; PBMC = peripheral blood mononuclear cells; UnTx = untreated; Veh = vehicle alone.

CD8<sup>+</sup> and CD4<sup>+</sup> T-cell subsets under each condition is provided in figure e-2. Because DMF is known to affect survival and function of myeloid cells, we sought to distinguish a direct pro-apoptotic effect of DMF on T cells from an indirect effect through supporting myeloid cells within the PBMC. We repeated the above experiments on purified CD3<sup>+</sup> T cells and observed the same dose-dependent pattern of DMF-induced apoptosis among total CD3<sup>+</sup> T cells and the preferential loss of CD8<sup>+</sup> compared with CD4<sup>+</sup> T cells (figure 2D).

**Memory T cells exhibit greater susceptibility to DMF-induced apoptosis in vitro.** To assess the relative susceptibility of distinct subsets of CD4<sup>+</sup> and CD8<sup>+</sup> T cells to DMF-induced apoptosis, staining with CD45RA and CCR7 was used to distinguish CD4<sup>+</sup>- and CD8<sup>+</sup>-naive T cells (TN; CD45RA+CCR7+), central memory (TCM; CD45RA-CCR7+), and effector memory (TEM; CD45RA-CCR7-) T cells, as well as terminally differentiated effector

memory (TEMRA; CD45RA+CCR7-) CD8<sup>+</sup> cells within PBMC. Although all subsets within both CD8<sup>+</sup> and CD4<sup>+</sup> T cells exhibited a degree of dose-dependent DMF-induced apoptosis (and no effect of MMF) (figure 3, A and B), decreased viability was more pronounced for the memory compared with the naive T-cell subsets. Among CD8<sup>+</sup> T cells exposed to 25 μM DMF, decreased viability was least pronounced for TN (-10%), compared with all memory subsets including TCM (-63%, *p* < 0.0001), TEM (-70%, *p* < 0.0001), and TEMRA (-54%, *p* = 0.0003) (figure 3C). Similarly, the decrease in CD4<sup>+</sup> T-cell viability following 25 μM DMF exposure was least among TN (-15%) compared with both TCM (-30%, *p* = 0.0269) and TEM (-41%, *p* = 0.0013) subsets (figure 3D). The same differential effects on memory and naive T-cell subsets were seen in experiments using purified T cells alone (data not shown). Across the same concentration range of DMF, regulatory T cells (defined as CD4<sup>+</sup>CD25

**Figure 3** Memory T-cell subsets and conventional T-cell subsets are most susceptible to in vitro DMF-induced apoptosis



Healthy control (*n* = 10) peripheral blood mononuclear cells were cultured with the addition of DMF, MMF, vehicle alone, or medium alone. All CD8<sup>+</sup> (A) and CD4<sup>+</sup> (B) naive and memory subsets underwent a degree of DMF-induced apoptosis, whereas MMF and vehicle alone had no effect. Relative to viability in untreated cultures, there was significantly greater DMF-induced loss of cell viability among memory vs naive CD8<sup>+</sup> (C) and CD4<sup>+</sup> T cells (D) following a 25 μM DMF exposure, as well as among conventional vs regulatory CD4<sup>+</sup> T cells following a 50 μM DMF exposure (E). Statistical analyses used were repeated measures 2-way ANOVA with adjustment for multiple comparisons using Dunnett test (A and B), repeated measures 1-way ANOVA with Sidak multiple comparisons test (C and D), and a paired *t* test (E). ANOVA = analysis of variance; DMF = dimethyl fumarate; MMF = monomethyl fumarate; TCM = central memory T-cells; Tconv = conventional T-cells; TEM = effector memory T-cells; TEMRA = terminally differentiated effector memory T-cells; TN = naive T-cells; Treg = regulatory T-cells; UnTx = untreated; Veh = vehicle alone.

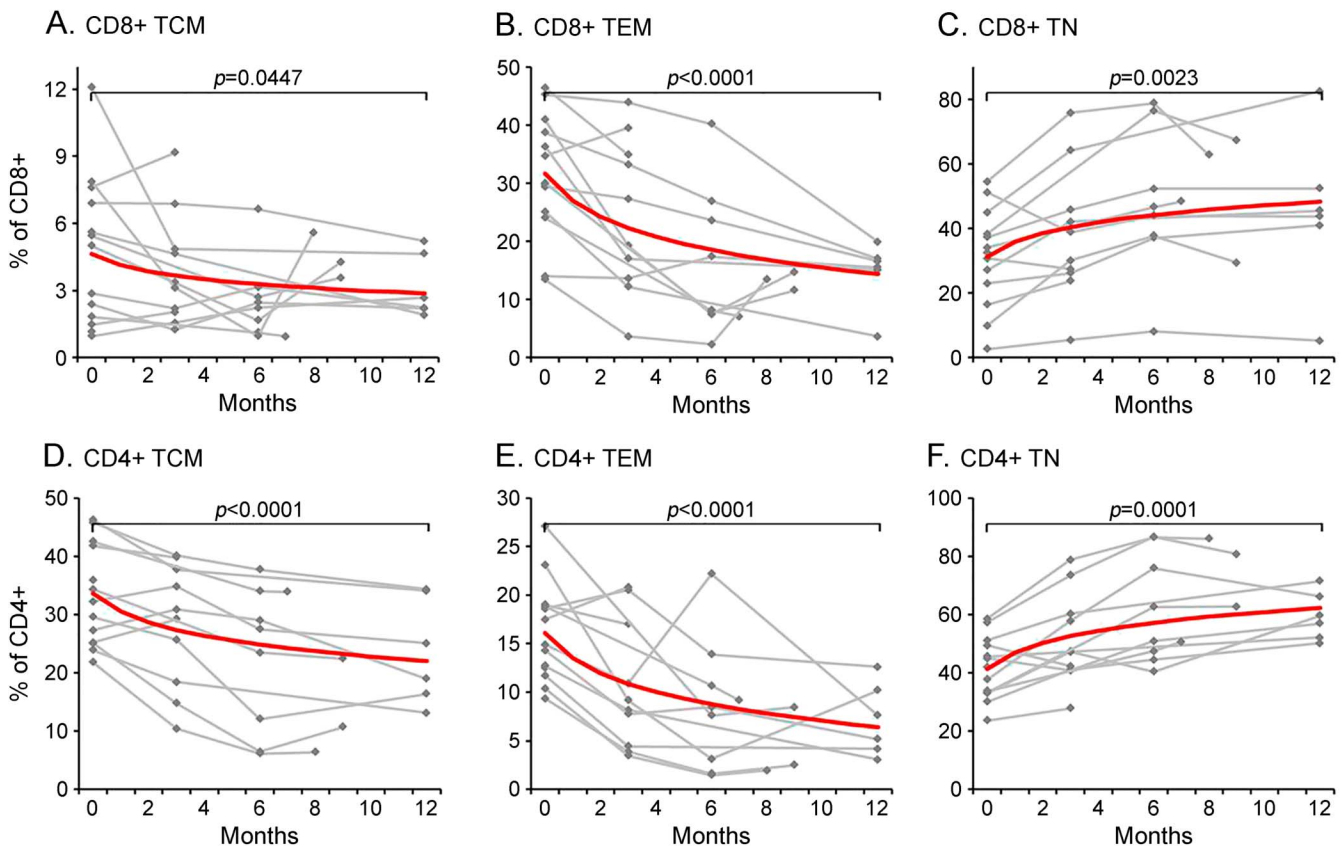
$^{hi}CD127^{low}$  Tregs) exhibited lesser susceptibility to DMF-mediated apoptosis compared with conventional ( $CD4^{+}CD25^{-}$ ) T cells, most notably at the higher DMF concentration (figure 3E).

**The in vivo pattern of distinct T-cell subset losses in DMF-treated patients with MS recapitulates their preferential in vitro susceptibility to DMF-mediated apoptosis.** We next observed that the in vitro patterns of DMF-mediated apoptosis in distinct T-cell subsets were recapitulated during in vivo DMF treatment of patients with MS, which induced greater losses of memory T-cell subsets compared with naive T cells, leading to preferential decreases in frequencies of circulating TCM and TEM subsets (and relative increases in the frequencies of TN cells) within both the  $CD8^{+}$  and  $CD4^{+}$  T-cell pools (figure 4, A–F). Examination of the kinetics of  $CD4^{+}$  recent thymic emigrants (RTEs;  $CD31^{+}CD45RO^{-}$ ) emerging into the circulation of DMF-treated patients revealed that although RTE frequencies increased during treatment (figure 5A), their absolute counts remained

relatively stable (figure 5B). In vivo treatment also resulted in decreased frequencies of the effector (Teff)  $CD4^{+}IFN\gamma^{+}$  (Th1) T cells (figure 5C) and  $CD8^{+}IFN\gamma^{+}$  (Tc1) T cells (figure 5D), in the face of lesser decreases in frequencies of T cells with regulatory phenotype ( $CD4^{+}CD25^{hi}CD127^{low}$  Tregs; figure 5E), recapitulating the relative resistance of Tregs to the DMF-mediated apoptosis observed in vitro. Although DMF treatment of patients thus generally resulted in decreased absolute counts of T-cell subsets, the differential losses of these subsets in DMF-treated patients resulted in increased ratios of putatively anti-inflammatory subsets to putatively proinflammatory subsets (figure e-3). For all T-cell subsets examined, the majority of in vivo changes occurred within the first 6 months of DMF treatment, again consistent with best-fit exponential decay trajectories.

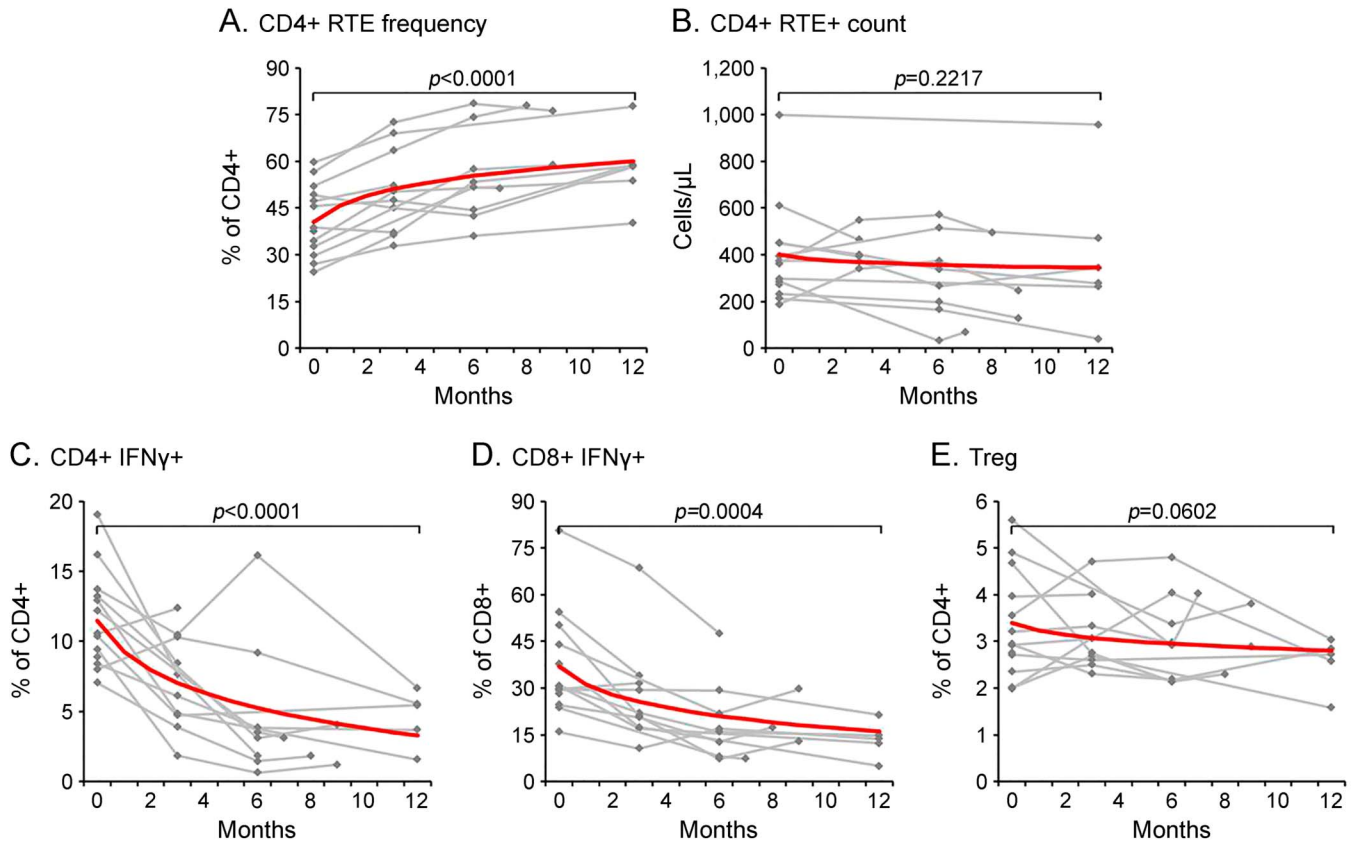
**DISCUSSION** Using a combination of in vivo and in vitro approaches, our present study explored potential mechanisms underlying the preferential

**Figure 4** DMF treatment leads to decreased memory T-cell subset frequencies and increased naive T-cell subset frequencies



Among  $CD8^{+}$  T-cell subsets, central memory (A) and effector memory (B) populations decreased in frequency, whereas naive cells increased in frequency (C) with DMF treatment. This pattern was mirrored within the  $CD4^{+}$  T-cell pool: central memory (D) and effector memory (E) frequencies decreased, whereas a reciprocal increase in naive T-cell frequencies (F) was seen. Data shown are from patients with MS ( $n = 13$ ) pretreatment (month 0) and up to 12 months following DMF treatment initiation. The  $p$  values displayed represent the statistical significance of the exponential decay trajectory (shown in red) in a random coefficient mixed-effects model. Individual patient trajectories are shown in gray. DMF = dimethyl fumarate; TCM = central memory T cell; TEM = effector memory T cell; TN = naive T cell.

**Figure 5** DMF treatment in vivo affects RTEs, effector T-cell populations, and regulatory T cells



DMF treatment resulted in an increased frequency of circulating RTEs (A), although absolute counts of RTEs did not change (B). Proinflammatory IFN $\gamma$ -expressing Th1 (C) and Tc1 (D) populations decreased in frequency with DMF treatment, whereas regulatory T-cell frequency remained relatively stable (E). Data shown are from patients with MS ( $n = 13$ ) pretreatment (month 0) and up to 12 months following DMF treatment initiation. The  $p$  values displayed represent the statistical significance of the exponential decay trajectory (shown in red) in a random coefficient mixed-effects model. Individual patient trajectories are shown in gray. DMF = dimethyl fumarate; RTE = recent thymic emigrant; Treg = regulatory T cell.

losses of CD8 $^{+}$  vs CD4 $^{+}$  T cells observed in DMF-treated patients. We applied a random coefficient mixed-effects model that incorporated serial data collected over the 12-month study period, enabling us to assess the kinetics of cell subset losses in individual patients. The best-fit model for both CD4 $^{+}$  and CD8 $^{+}$  T-cell subsets involved the majority of losses (>75%) occurring during the first 6 months of treatment, with lesser ongoing losses continuing to occur thereafter. These common trajectories suggested that although DMF treatment results in greater losses of CD8 $^{+}$  compared with CD4 $^{+}$  T cells, a shared mechanism may underlie both subset losses.

We considered whether apoptotic cell death may represent such a common mechanism because FAEs have been shown to induce apoptosis in a number of cell types.<sup>4,9,23,24</sup> Assessment of the in vitro effects of both MMF and DMF on human T-cell subset survival and apoptotic cell death within PBMC demonstrated that exposure to DMF (but not MMF) induced dose-dependent apoptotic T-cell losses. Although early work with FAEs suggested that the

only active metabolite was MMF (with DMF rapidly converting to MMF in vivo),<sup>25</sup> our findings are consistent with multiple studies that have since attributed important biological activities also to DMF.<sup>4,9,24,26</sup> A degree of systemic penetrance of DMF has been reported, with DMF-glutathione conjugates being measureable in the plasma and brain of rats following oral administration of DMF,<sup>27</sup> and similar DMF-derived conjugates found in the urine of DMF-treated psoriasis patients.<sup>28</sup> Since Michell-Robinson et al.<sup>9</sup> recently reported that DMF can be cytotoxic to human monocytes, we assessed the effects of DMF on purified T cells and documented that the dose-dependent induction of T-cell apoptosis could occur as a direct effect, rather than reflecting an indirect effect mediated by myeloid cells within the PBMC.

A main discovery was that the propensity to DMF-induced apoptosis varied substantially across human T-cell subsets, with CD8 $^{+}$  T cells exhibiting greater susceptibility than CD4 $^{+}$  T cells, and with memory CD4 $^{+}$  and CD8 $^{+}$  T-cell subsets also being

disproportionately affected as compared to naive subsets. These differential *in vitro* susceptibilities to apoptosis directly mirrored our findings in patients treated *in vivo* with DMF, which are consistent with the previously reported preferential reductions in CD8<sup>+</sup><sup>14–16</sup> and memory<sup>15,16</sup> T-cell subsets. In this regard, several prior studies have suggested that different T-cell subsets may be more or less prone to death, including apoptotic cell death.<sup>29–31</sup> For example, TN and TCM subsets of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells have been shown to be more susceptible than TEM and TEMRA subsets to major apoptotic pathways (death receptor, mitochondrial and endoplasmic reticulum pathways).<sup>29</sup>

We considered whether *in vivo* treatment with DMF might render circulating T cells more susceptible to apoptosis, although found no significant differences between the degree of dexamethasone-induced T-cell apoptosis comparing pre- and post-DMF treatment samples across a range of dexamethasone concentrations (figure e-4). This suggests that the T cells that continue to circulate in DMF-treated patients are not more susceptible to apoptosis, rather they represent surviving cells that may have been relatively less sensitive to DMF-induced cell death (i.e., relatively treatment resistant). Differences in the balance and timing of expression of pro-apoptotic and antiapoptotic molecules have also been implicated in differential susceptibilities to apoptosis.<sup>29,31</sup> When examining circulating T-cell expression levels of such apoptosis-related molecules' pre- and post-DMF treatment, we found no significant changes in the pro-apoptotic molecules BIM, PUMA, BAX or BAK, although noted a marginal increase in their expression of the antiapoptotic molecule BCL-2 (table e-2). This would be consistent with the possibility that the T cells that remain in the circulation in DMF-treated patients are less susceptible to *in vivo* DMF-induced apoptotic cell death.

One notes that an alternative explanation for differential T-cell apoptosis could include different degrees of T-cell exposure to DMF in different anatomic compartments *in vivo*. For example, one might expect greater exposure to DMF for T-cells homing to the gut, the site of DMF hydrolysis to MMF. We examined relative reductions in circulating T cells expressing homing markers for the gut (CCR9+beta7integrin+), skin (CLA+CCR4+), and brain (CCR2+CCR5+) following DMF treatment initiation and found no evidence of a preferential effect of DMF on gut-homing T cells (figure e-5). Inhibition of proliferation could represent another alternate explanation for the differential lymphopenia observed with DMF treatment. Memory and naive T cells are known to proliferate at

different rates, with a greater steady-state proliferation rate of memory T cells,<sup>32</sup> and antiproliferative effects of FAEs (including both DMF and MMF) have been reported.<sup>5,33</sup>

To assess the compensatory response to DMF-induced lymphopenia, we examined the kinetics of emergence of CD4<sup>+</sup> RTEs into the circulation of treated patients. Although RTE frequencies appeared to increase during treatment, their absolute counts in fact remained relatively stable. This suggests that, on one hand, DMF treatment does not entirely abrogate the generation and release of RTEs, but that the numbers of released RTEs are nonetheless insufficient to replete the DMF-induced losses of T cells during 12 months of treatment.

The relevance of understanding mechanisms underlying DMF-induced lymphopenia is underscored by recent reports of PML developing as a rare complication of DMF treatment in patients with MS.<sup>17,18</sup> Such occurrences of DMF-associated PML in both patients with MS and psoriasis have been associated with severe lymphopenia, typically defined as TLC <500 cells/mm<sup>3</sup>, which occurs in only ~5% of treated patients. Although particular mechanisms underlying DMF-induced lymphopenia may be common in all treated patients, additional features likely underlie the particularly severe lymphopenia experienced by a small proportion of patients, which would not be captured in this study and merit further investigation. Lesser grades of lymphopenia remain important, however, as highlighted by the recent report<sup>19</sup> of PML in a DMF-treated psoriasis patient with a documented TLC nadir of only 792 cells/mm. This raises the possibility that subset-specific losses, such as the disproportionate losses of CD8<sup>+</sup> T cells or possibly of memory T-cell subsets as observed in our study and recently by Longbrake et al.,<sup>15</sup> may be more important to monitor than global lymphopenia.

Given the important and opposing roles ascribed to effector T cells and regulatory T cells in the pathogenesis of MS, we investigated whether DMF may differentially affect these subsets, both *in vivo* and *in vitro*. We found regulatory T cells to be more resistant to apoptosis following DMF exposure *in vitro* compared with conventional T cells and found correspondingly greater losses of proinflammatory cytokine-expressing effector T cells vs regulatory T cells *in vivo*, in keeping with a recent study reporting that the ratio of Tregs to chemokine-defined Th1 and Th17 populations was increased in DMF-treated patients.<sup>16</sup> Our results raise the possibility that differential susceptibility to apoptosis, and the resultant change in the balance between regulatory and effector cells in patients, may contribute to the therapeutic mode of action of DMF in MS.



We propose that differential susceptibility of distinct T-cell subsets to DMF-induced apoptosis may contribute to both the efficacy and safety profiles of DMF in patients with MS. Although such a mechanism need not exclude previously implicated modes of action of DMF,<sup>3–11</sup> it will be interesting to observe whether future studies monitoring distinct T-cell subsets (rather than just TLCs) will provide more meaningful insights into both the safety and efficacy of DMF treatment.

### AUTHOR CONTRIBUTIONS

M.G., A.R., and R.L. contributed to the design of the study, analysis and interpretation of the data, and drafting and revising of the manuscript for intellectual content. A.E. contributed to analysis and interpretation of the data and drafting and revising of the manuscript for intellectual content. F.L. and F.Z. contributed to the design of the study and revising of the manuscript for intellectual content. P.S.G., J.A., and A.B.-O. contributed to the design of the study, analysis and interpretation of the data, and drafting and revising of the manuscript for intellectual content.

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