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**Original Paper** 

# MRI-Based Neuroanatomical Predictors of Dysphagia, Dysarthria, and Aphasia in Patients with First Acute Ischemic Stroke

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# **Keywords**

Ischemic stroke · Magnetic resonance imaging · Aphasia · Dysphagia · Dysarthria · Rehabilitation · Clinical predictors

# Abstract

**Background:** Due to the high post-stroke frequency of dysphagia, dysarthria, and aphasia, we developed comprehensive neuroanatomical, clinical, and demographic models to predict their presence after acute ischemic stroke. **Methods:** The sample included 160 randomly selected first-ever stroke patients with confirmed infarction on magnetic resonance imaging from 1 tertiary stroke center. We documented acute lesions within 12 neuroanatomical regions and their associated volumes. Further, we identified concomitant chronic brain disease, including atrophy, white matter hyperintensities, and covert strokes. We developed predictive models using logistic regression with odds ratios (OR) and their 95% confidence intervals (95% CI) including demographic, clinical, and acute and chronic neuroanatomical factors. **Results:** Predictors of dysphagia included medullary (OR 6.2, 95% CI 1.5–25.8), insular (OR 4.8, 95% CI 2.0–11.8), and pontine (OR 3.6, 95% CI 1.2–10.1) lesions, followed by brain atrophy (OR 3.0, 95% CI 1.04–8.6), internal capsular lesions (OR 2.9, 95% CI 1.2–6.6), and increasing age (OR 1.4, 95% CI 1.1–1.8). Predictors of dysarthria included pontine (OR 7.8, 95% CI 2.7–22.9), insular (OR 4.5, 95% CI 1.8–11.4), and internal capsular (OR 3.6, 95% CI 1.6–7.9) lesions. Predictors

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of aphasia included left hemisphere insular (OR 34.4, 95% CI 4.2–283.4), thalamic (OR 6.2, 95% CI 1.6–24.4), and cortical middle cerebral artery (OR 4.7, 95% CI 1.5–14.2) lesions. **Conclusion:** Predicting outcomes following acute stroke is important for treatment decisions. Determining the risk of major post-stroke impairments requires consideration of factors beyond lesion localization. Accordingly, we demonstrated interactions between localized and global brain function for dysphagia and elucidated common lesion locations across 3 debilitating impairments.

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# Introduction

Dysphagia, dysarthria, and aphasia occur in isolation or concomitantly in two-thirds of all first-ever acute ischemic stroke patients [1]. Dysphagia may result in negative acute-stage outcomes such as an increased length of hospital stay [2] and aspiration pneumonia [3]. Patients with dysphagia are more likely to develop pneumonia than those without [4], whereby the presence of pneumonia incurs higher inpatient mortality rates [2, 5, 6]. In fact, a recent population study demonstrated an in-hospital mortality rate of 20% for stroke patients with pneumonia compared to 3.5% for those without [6]. Notwithstanding, dysphagia-related negative outcomes may be partially due to increased stroke severity [7] and/ or a decreased level of alertness [1]. Likewise, communication impairments, whether isolated or co-occurring with dysphagia, are also associated with poor outcomes. Dysarthria incurs negative social and emotional sequelae [8] and often persists for months beyond the acute presentation [9]. Aphasia is associated with negative acute-stage outcomes such as increased length of hospital stay [10] and in-hospital death [10]. Given the high frequency [1], co-occurrence [1], and negative sequelae of dysphagia, dysarthria, and aphasia after ischemic stroke [3, 8, 10], accurately identifying their presence is necessary to promote early and comprehensive management.

At stroke onset, dysarthria and/or aphasia may be the chief or sole symptomatic manifestations [9], facilitating a rapid diagnosis of stroke even prior to emergency room arrival [11]. Without measures for early detection, dysphagia may be less salient symptomatically than dysarthria and aphasia, thereby compromising timely medical interventions such as alternatives to oral medication [12] and increasing the risk of aspiration pneumonia [13]. Also, given the potential risks of thrombolytic or endovascular therapy for patients with acute ischemic stroke [14], it is important to be able to predict major post-stroke impairments based on initial imaging and clinical and demographic factors. Knowing the key factors associated with the presence of 1 or multiple debilitating impairments may facilitate decisionmaking for medical interventions where potential benefits outweigh risks. That is, patients at high risk of developing long-lasting sequelae and impaired functions would be more likely to have expedient and rapid treatment with time-dependent interventions such as endovascular [15] and/or tissue plasminogen activator [16] therapy.

Usual clinical practice in the diagnosis of ischemic stroke includes the documentation of symptoms and signs relative to stroke onset and evaluation by computerized tomography and/or magnetic resonance (MR) brain imaging. MR imaging (MRI) is particularly valuable in the diagnosis of acute ischemic stroke [17]. In addition to standard T1- and T2-weighted MR sequences, diffusion-weighted imaging (DWI) can confirm infarction within minutes of its onset [18], thereby providing a known time course for potential recovery. Knowing the MR-based predictors of post-stroke impairments such as dysphagia, dysarthria, and aphasia will aid in developing protocols for their early identification and ensuing management.



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To our knowledge, only 3 articles have demonstrated predictive models based on MRI, exclusively for dysphagia [19–21], with effects for pontine and medullary lesions in infratentorial regions [19] and for internal capsular [20, 21] and insular cortex lesions [20] in supratentorial regions. Despite attempting to account for demographics [19] and stroke severity [20, 21], the authors failed to identify effects apart from acute lesion localization in their models. However, equally important is the development of whole brain predictive models that include demographic, clinical, and chronic as well as acute brain factors relative to the expression of debilitating impairments following stroke. Consequently, our objective was to model demographic, clinical, and whole brain MRI-based acute and chronic neuroanatomical predictors of dysphagia, dysarthria, and aphasia early after first-ever ischemic stroke.

## **Subjects and Methods**

## Patient Selection

The current investigation involved a large consecutive cohort of acute ischemic stroke patients with MRI from the Ontario Stroke Registry (OSR). In a previous study, we randomly selected 250 patients from the OSR database, conducting a supplementary medical chart review of 221 available patient records at a tertiary care regional stroke center [1]. The study was approved as a medical record review by the institutional ethics board and the procedures were in accordance with institutional guidelines. The 221 patients composed the initial cohort for the present study, further restricted to patients with MR-confirmed acute cerebral infarction within 14 days of stroke onset. We then excluded patients with a previous history of dementia and those with MR evidence of existing nonstroke neurological lesions, such as brain tumors, abscesses, contusions, and previous brain surgery.

#### Demographic and Clinical Factors

The procedures and reliability in the detection of dysphagia, dysarthria, and aphasia were consistent with our previous investigation describing their frequency and co-occurrence [1]. Dysphagia identification resulted from clinical or instrumental assessment by speech-language pathologists or radiographic confirmation of enteral feed insertion [1]. Dysarthria and aphasia identification was based on clinical assessment by speech-language pathologists, physicians, or stroke nurses [1]. We extracted demographic (age and sex) and clinical factors (stroke risk factors, comorbidities, and stroke severity) from the OSR database.

#### **MR** Sequences

Standard acute stroke imaging involved a 1.5-T Signa EchoSpeed MR scanner (version 8.2.3; GE Healthcare) with a quadrature head coil. The imaging protocol included a sagittal T1-weighted sequence (TR/TE 450/20 ms) with a 7.5-mm section thickness and 2-mm spacing, an axial T2-weighted FLAIR (fluid-attenuated inversion recovery) sequence (TR/TE 9,000/165 ms; TI 2,200 ms) with a 5-mm section thickness and 2-mm spacing, and an isotropic axial diffusion sequence (B = 1,000 s/mm<sup>2</sup>; TR/TE 11,000/59 ms) with a 5-mm section thickness and 0-mm spacing.

#### Acute Lesion Variables

For lesion localization, we identified 12 regions of interest (ROIs) from the previous literature involving post-stroke MRI correlates of dysphagia [19–21], dysarthria [9], and aphasia [22]. We selected the first positive acute DWI scan, documenting all lesion projections onto affected ROIs and their laterality using a whole brain template (Fig. 1). For aphasia, we restricted analyses to left hemisphere or bilateral involvement. One rater (H.L.F.) manually





**Fig. 1.** Regions of interest throughout the brain based on the ch2better template in MRIcron [23]. ACA, anterior cerebral artery; MCA, middle cerebral artery; PCA, posterior cerebral artery.

traced the lesions on each DWI slice with MRIcron [23] and calculated their volumes in cubic centimeters multiplied by 5 to account for slice thickness.

# Chronic Neurological Disease Variables

The FLAIR image, along with any available MR and/or computed tomography modalities, served in identifying covert stroke, brain atrophy, and white matter hyperintensities. We classified covert strokes [24] into 4 categories: (1) a single lesion 10–20 mm in the longest diameter; (2) multiple lesions 10–20 mm in the longest diameter; (3) a single lesion >20 mm in the longest diameter; and (4) multiple lesions, with at least 1 of them >20 mm in the longest diameter. We rated brain atrophy as none, mild, moderate, or severe, from overall subjective determination of cortical contraction [25], lateral ventricular enlargement [26], Sylvian fissure enlargement [27], and/or localized gray tissue atrophy [28]. For white matter disease, we combined Fazekas scale [29] ratings of periventricular (PV) hyperintensities and deep white matter (DWM) hyperintensities. Scores of 0 indicated no PV or DWM hyperintensities,

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**Fig. 2.** Flow diagram of eligible patients from the Ontario Stroke Registry database (July 1, 2003, to March 31, 2008). MRI, magnetic resonance imaging; DWI, diffusion-weighted imaging.

1 scattered PV and/or DWM hyperintensities, 2 semiconfluent PV and/or DWM hyperintensities, and 3 confluent and extensive PV and/or DWM hyperintensities.

## MRI Scan Consensus

A trained rater (H.L.F.) and a neuroradiologist (M.A.A.) independently evaluated all MRI scans, remaining blind to clinical presentation, including dysphagia, dysarthria, and/or aphasia status. They documented the chronic brain disease and acute lesion attributes for all patients. Subsequently, the 2 raters and a senior neuroradiologist (D.M.) and/or senior neurologist (F.L.S.) resolved any discrepant items by consensus.

#### Statistical Analysis

For descriptive tabulations of the data, we suppressed total numbers of patients  $\leq 10$  (so that small cells of  $n \leq 5$  could not potentially be recomputed) according to privacy policies at the Institute for Clinical and Evaluative Sciences, which houses the OSR. We conducted multivariable logistic regression modeling to evaluate predictors of dysphagia, dysarthria, and aphasia, using a backward selection model with a p < 0.05 cutoff, to obtain odds ratios (OR) and their 95% confidence intervals (95% CI). Logistic regression modelling enabled us to simultaneously evaluate demographic, clinical, and neuroanatomical factors in a single model according to impairment status. Given neighboring affected ROIs in the models, we also computed variance inflation factors (VIFs) by reanalyzing the models with linear regression and associated collinearity diagnostics. We considered potential multicollinearity where the VIF was >6 [30]. We tested our logistic regression models for predictive accuracy using Nagelkerke's  $R^2$ , and for discriminative probability using the concordance (c) statistic for each impairment.



	All patients	Dysphagia		Dysarthria		Aphasia	
		present $(n = 76)$	absent $(n = 84)$	present $(n = 71)$	absent $(n = 89)$	present $(n = 52)$	absent $(n = 108)$
Mean age (SD), years Men, <i>n</i> (%)	66.7 (15.0) 91 (56.9)	69.9 (13.8) 46 (60.5)	63.6 (15.6) 45 (53.6)	67.4 (14.6) 41 (57.8)	66.1 (15.4) 50 (56.2)	70.8 (13.3) 26 (50.0)	64.7 (15.5) 65 (60.2)
Previous medical history, $n$ (%)							
Hypertension	111 (69.4) 28 (23 8)	59 (77.6) 22 (28 a)	52 (61.9) 16 (19 0)	45 (63.4) 14 (19 7)	66 (74.2) 24 (27 0)	37 (71.2) 14 (76 0)	74 (68.5)
Hyperlipidemia	55 (34.4)	26 (34.2) 26 (34.2)	29 (34.5)	14 (17.7) 18 (25.4)	24 (27.0) 37 (41.6)	14 (20.3) 21 (40.4)	24 (21.5) 34 (31.5)
Previous TIA	31(19.4)	14(18.4)	17 (20.2)	< <sup>a</sup>	>p ~	12(23.1)	19(17.6)
Atrial fibrillation	13(8.1)	∑ <sup>a</sup> 11.52.0.15	< <sup>b</sup>	> <sup>a</sup> 11 50 12	< <sup>b</sup>	^a	ې م
Other conditions = (02)	33 (20.6)	(7.91) 61	18 (21.4)	15 (21.1)	18 (20.2)	v	^
Outer COMMULUES, 17 [70] Asthma or COPD	<10	I	I	I	I	I	I
Cancer	≤20 ≤20	> <sup>a</sup>	 b	<a>g</a>	>b _	∧a	>b
Peripheral disease	≤10	I	I	I	I	I	I
Cause of ischemia, <sup>c</sup> n (%)							
Cardioembolic	27 (16.9)	11(14.5)	16(19.0)	<a>a</a>	>b	> <sup>a</sup>	 b
Large artery	41 (25.6)	28 (36.8)	13 (15.5)	22 (31.0)	19 (21.3)	19 (36.5)	22 (20.4)
Lacunar	29 (18.1)	11(14.5)	18 (21.4)	13 (18.3)	16 (18.0)	<a>s</a>	q <
Other <sup>d</sup>	≤20	<a>a</a>	^b	<a>g</a>	^p	<a>a</a>	>b
Mean CNS score (SD) <sup>e</sup>	8.0 (5.0)	6.7 (2.7)	9.2 (2.3)	7.5 (2.5)	8.4 (3.0)	6.3(3.1)	8.8 (2.3)
Alert level of consciousness, $n$ (%)	135 (84.4)	60 (78.9)	75 (89.3)	59 (83.1)	76 (85.4)	38 (73.1)	97 (89.8)
Physical symptoms, $n$ (%)						,	
Sensory	47 (29.4)	19 (25.0)	28 (33.3)	20 (28.2)	27 (30.3)	< <sup>a</sup>	> <sup>0</sup>
	12/ (/9.4)	0/ (222)	00 (/ T.4)	(7,07,10 0,1,00,10		38 (/ 3.1) 0 1 (01 0)	(47.0 (77.7)
Median LSN (IQK) - arrival, n M-3: I OC () e 3	(5.04) 0.51	(5.CT) 7.0 (7.7 0) 7.1	(0.04) 2.01	(C.U2) 1.2	10.0 (30.0)	7.0 07.1 (21.9)	13.9 (37.0)
Median LUS (range),' days Modian timo to MDI (10D) h	9 (U-133) 67 0 (72 0)	13 (U-133) E2 0 (00 2)	71 0 (6E M	$10 \left( 0 - 8/ \right)$	(201-0) C.8 71 2 (202) 7 7	(78-0) C.21	8.0 (8.0) 67 7 7 7
Thrombolvsis <i>n</i> (%)	(0,07,0,07,0) 16 (10 0)	رد. الارد الارد المراجع	/ 1.2 (0.2.0) </td <td>(1, ۲.0) ک. ۱۲ &gt;a</td> <td>ر <i>د.د</i>ر) ۲۰۲ / ح<sup>b</sup></td> <td>∪/./ (/ ⊥.∪) &gt;<sup>а</sup></td> <td>u/./ (/u./) ح<sup>b</sup></td>	(1, ۲.0) ک. ۱۲ >a	ر <i>د.د</i> ر) ۲۰۲ / ح <sup>b</sup>	∪/./ (/ ⊥.∪) > <sup>а</sup>	u/./ (/u./) ح <sup>b</sup>
Discharge status. <i>n</i> dead (%)	<pre> 10</pre>	. I	, 1	. 1	' I	. 1	, 1
Mean Rankin scale score (SD)	2.9 (1.5)	3.6 (1.2)	2.3 (1.4)	3.3 (1.2)	2.7 (1.5)	3.3(1.4)	2.8 (1.5)

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Aphasia in Patients with First Acute Ischemic Stroke

**Table 1.** Demographic and clinical characteristics for all patients (n = 160) according to impairment status

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in question.<sup>c</sup> If not described as cardioembolic, large artery, lacunar, or other, the cause of ischemic stroke was either unknown due to missing data or indeterminate (as per TOAST criteria) in the remaining patients.<sup>d</sup> Ischemic stroke classifications collapsed for dissection, cortical, prothrombotic, vasculitis, andother.<sup>e</sup> Missing data for 1–5 patients.<sup>f</sup> Sensory symptoms of cranial nerves (but not those for sight), including numbness, anesthesia, tingling, pin and needles, and paresthesia of the face, arms, and/

or legs. <sup>g</sup> Physiological weakness of the face, arms, and/orlegs.



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# Results

One hundred and sixty of the 221 patients [1] met our inclusion criteria for MRI scan review (Fig. 2). They had a mean age of 68 years, and 57% were men (Table 1). Dysphagia, dysarthria, and aphasia were present in 76 (48%), 71 (44%), and 52 (33%) of the patients, respectively (Table 2). Sixteen patients (10%) had all 3 impairments, while 110 (69%) had at least 1 of the 3 impairments. Dysphagia and dysarthria co-occurred in 51 (32%), dysphagia and aphasia in 29 (18%), and dysarthria and aphasia in 25 (16%) of the patients.

# MRI Characteristics

There was at least mild brain atrophy in 45% of the patients, whereas white matter hyperintensities occurred in 94% and prior covert stroke in 30% (Table 2). The median acute lesion volume was 23 cm<sup>3</sup> (Q1 5.5, Q3 78.2) (Table 2). One hundred and seventeen (73%) of the patients had isolated supratentorial lesions, 32 (20%) had isolated infratentorial lesions, and 11 (7%) had mixed lesions (supra- and infratentorial). Additionally, the 16 patients with all 3 impairments had supratentorial involvement.

# Predictive Models

The multivariable logistic regression analyses for demographic, clinical, and whole brain neuroanatomical predictors of dysarthria and dysphagia revealed the highest effects for pontine (OR 7.8, 95% CI 2.7–22.9) and medullary (OR 6.2, 95% CI 1.5–25.8) lesions, respectively (Table 3). The analyses for aphasia (excluding patients with right-sided lesions, n =102) revealed the highest effect for insular lesions (OR 34.4, 95% CI 4.2–283.4) (Table 3). The highest effects in patients with isolated supratentorial lesions (n = 117) included insular lesions for aphasia (OR 10.5, 95% CI 1.2–94.0), at least moderate brain atrophy for dysphagia (OR 6.2, 95% CI 1.8–21.1), and insular lesions for dysarthria (OR 5.0, 95% CI 1.8–13.4) (Table 4). All VIFs were below the preestablished threshold indicating absence of extreme multicollinearity. The Nagelkerke  $R^2$  values for the whole brain and supratentorial models were 0.28 and 0.36 for dysphagia, 0.23 and 0.22 for dysarthria, and 0.48 and 0.52 for aphasia, respectively. The corresponding c statistics for the whole brain and supratentorial models were 0.76 and 0.80 for dysphagia, 0.75 and 0.74 for dysarthria, and 0.83 and 0.90 for aphasia.

# Discussion

We demonstrated whole brain neuroanatomical predictors of dysphagia, dysarthria, and aphasia after first-ever acute ischemic stroke, with models inclusive of demographic, clinical, and acute and chronic neuroanatomical factors. As expected, acute lesion factors were the strongest predictors of all 3 impairments, yet with concomitant contributions of brain atrophy and increasing age for dysphagia. All 3 impairments showed high effects for insular involvement, suggesting its critical role in integrative function across motor and/or higher cognitive behaviors [31].

Acute stroke ROIs predictive of dysphagia involved an extensive neuroanatomical substrate, confirming the relationship between post-stroke dysphagia and pontine [19], medullary [19], internal capsular [20, 21], and insular [20] involvement. Additionally, we demonstrated critical factors beyond lesion location for dysphagia, including age and at least moderate premorbid brain atrophy. Swallowing involves integration of volitional and reflexive behaviors across motor and sensory modalities. Consequently, insults to both highly localized and globally mediated neuroanatomical regions may incur a high risk of post-stroke dysphagia. That is, the manifestation of dysphagia may represent influences of prior loss in



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Variables	All patients	Dysphagia		Dysarthria		Aphasia	
		present $(n = 76)$	absent $(n = 84)$	present $(n = 71)$	absent $(n = 89)$	present $(n = 52)$	absent $(n = 108)$
T2 neurological disease, n (%)							
Brain atrophy Abcont	מא נכב חו	(7 4 7) 72	[2 7 7 7 2]	ער אדו ער	18 (53 0)	(677) 26	ונ עצו צצ
Mild	46 (28.8)	23 (30.3)	23 (27.4)	17 (23.9)	70 (33.6) 29 (32.6)	23 (77.2) 14 (26.9)	32 (29.6)
Moderate	≤25	> <sup>a</sup>	q>	∕ <sup>a</sup>	4	> <sup>a</sup>	-q>
Severe	≤10	I	I	I	I	I	I
White matter disease							
0	≤10	I	I	I	I	I	I
1	83 (51.9)	39 (51.3)	44 (52.4)	39 (54.9)	44 (49.4)	23 (44.2)	60 (55.6)
2	58 (36.3)	27 (35.5)	31 (36.9)	25 (35.2)	33 (37.1)	21 (40.4)	37 (34.3)
Ω	≤10	I	I	I	I	I	I
Prior covert stroke							
Absent	112 (70.0)	56 (73.7)	56 (66.7)	52 (73.2)	60 (67.4)	38 (73.1)	74 (68.5)
Single <2 cm	23 (14.4)	< <sup>a</sup>	^b	<a>g</a>	>b	< <sup>a</sup>	>b
Multiple <2 cm	≤20	> <sup>a</sup>	 b	< <sup>a</sup>	>b	< <sup>a</sup>	>b
≥2 cm	≤10	I	I	I	I	I	I
DWI acute lesion attributes							
Side of lesions, $n$ (%)							
Left side	77 (48.1)	34 (44.7)	43 (51.2)	30 (42.3)	47 (52.8)	39 (75.0)	38 (35.2)
Right side	58 (36.3)	27 (35.5)	31(36.9)	28 (39.4)	30 (33.7)	<a>g</a>	>b
Bilateral	25 (15.6)	15 (19.7)	10(11.9)	13 (18.3)	12 (13.5)	< <sup>a</sup>	>b
Supratentorial involvement, <sup>c</sup> $n$ (%)							
Anterior cerebral artery cortex	≤10	I	I	I	I	I	I
Middle cerebral artery cortex	88 (55.0)	48 (63.2)	40 (47.6)	37 (52.1)	51 (57.3)	40 (76.9)	48 (44.4)
Posterior cerebral artery cortex	16(10.0)	< <sup>a</sup>	° <sup>b</sup>	∕a	  p	> <sup>a</sup>	 p
Basal ganglia	36 (22.5)	> <sup>a</sup>	q~	22 (31.0)	14(15.7)	16 (30.8)	20 (18.5)
Insula	38 (23.8)	> <sup>a</sup>	 b	22 (31.0)	16(18.0)	24 (46.2)	14(13.0)
Internal capsule	46 (28.8)	29 (38.2)	17 (20.2)	27 (38.0)	19(21.3)	17 (32.7)	29 (26.9)
Periventricular white matter	68 (42.5)	41 (53.9)	27 (32.1)	33 (46.5)	35 (39.3)	26 (50.0)	42 (38.9)
Thalamus	16(10.0)	۸à	^b	<a>s</a>	>p	<b>&gt;</b> <sup>a</sup>	 p
Infratentorial involvement, <sup>c</sup> $n$ (%)							
Cerebellum	≤20	> <sup>a</sup>	d^ A	$^{a}$	> <sup>b</sup>	$^{a}$	^b
Midbrain	≤10	I	I	I	I	I	ı ,
Pons and medulla	33 (20.6)	19	14	21	12	√a	^D

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<b>Table 3.</b> Whole brain neuroanatomical and o	demographic predictors of dysp	hagia, dysarthria, and aphasia
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Predictor variables	Dysphagia, OR (95% CI) (n = 76)	Dysarthria, OR (95% CI) ( <i>n</i> = 71)	Aphasia, OR (95% CI) ( <i>n</i> = 49) <sup>a</sup>
Demographic			
Age (10-year increments)	1.4 (1.1–1.8)	eliminated	eliminated
Sex (female)	eliminated	eliminated	eliminated
Clinical			
History of atrial fibrillation (yes)	eliminated	eliminated	eliminated
Chronic brain disease			
Brain atrophy (moderate or severe)	3.0 (1.04-8.6)	eliminated	eliminated
White matter hyperintensities (scores ≥2)	eliminated	eliminated	eliminated
Covert stroke (multiple or large)	eliminated	eliminated	eliminated
Neuroanatomical regions of interest			
Medulla (yes)	6.2 (1.5-25.8)	eliminated	not tested
Pons (yes)	3.6 (1.2–10.1)	7.8 (2.7–22.9)	not tested
Cerebellum (yes)	eliminated	eliminated	not tested
Thalamus (yes)	eliminated	not tested	6.2 (1.6-24.4)
Basal ganglia (yes)	eliminated	eliminated	eliminated
Internal capsule (yes)	2.9 (1.2-6.6)	3.6 (1.6–7.9)	eliminated
Insula (yes)	4.8 (2.0-11.8)	4.5 (1.8–11.4)	34.4 (4.2-283.4)
Periventricular white matter (yes)	eliminated	eliminated	eliminated
Anterior cerebral artery (yes)	eliminated	eliminated	eliminated
Middle cerebral artery (yes)	eliminated	eliminated	4.7 (1.5-14.2)
Posterior cerebral artery (yes)	eliminated	eliminated	eliminated
Lesion volume (increasing per mL)	eliminated	0.997 (0.995-1.000)	eliminated
Lesion laterality (right)	eliminated	eliminated	not tested

<sup>a</sup> Model included only left-sided lesions (n = 102).

# Table 4. Supratentorial predictors of dysphagia, dysarthria, and aphasia

Independent variables	Dysphagia, OR (95% CI) ( <i>n</i> = 54)	Dysarthria, OR (95% CI) (n = 49)	Aphasia, OR (95% CI) ( <i>n</i> = 44) <sup>a</sup>
Demographic			
Age (10-year increments)	eliminated	eliminated	eliminated
Sex (female)	eliminated	eliminated	eliminated
Clinical			
History of atrial fibrillation (yes)	eliminated	eliminated	eliminated
Chronic brain disease			
Brain atrophy (moderate or severe)	6.2 (1.8-21.1)	eliminated	eliminated
White matter hyperintensities (score ≥2)	eliminated	eliminated	eliminated
Covert stroke (multiple or large)	eliminated	eliminated	eliminated
Neuroanatomical regions of interest			
Thalamus (yes)	0.07 (0.01–0.8)	not tested	eliminated
Basal ganglia (yes)	eliminated	eliminated	eliminated
Internal capsule (yes)	3.0 (1.3-7.3)	4.0 (1.7–9.2)	eliminated
Insula (yes)	3.9 (1.6-10.0)	5.0 (1.8–13.4)	10.5 (1.2–94.0)
Periventricular white matter (yes)	eliminated	eliminated	eliminated
Anterior cerebral artery (yes)	eliminated	eliminated	eliminated
Middle cerebral artery (yes)	eliminated	eliminated	eliminated
Posterior cerebral artery (yes)	eliminated	eliminated	eliminated
Lesion volume (increasing per mL)	eliminated	0.997 (0.995–1.000)	1.03 (1.01–1.05)
Lesion laterality (right)	eliminated	eliminated	not tested

<sup>a</sup> Model included only left-sided lesions (total n = 75).

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tertiary mediation combined with acute stroke lesions, thereby grossly compromising the global and local pathways necessary for sensorimotor integration.

Lesioned neuroanatomical regions predictive of dysarthria were also represented throughout the brain. We confirmed the relationship between pontine [9, 32], internal capsular [32], and insular [32] lesions and dysarthria. Future research is necessary to investigate more discrete lesion locations relative to dysarthria subtypes, and to elucidate neuro-anatomical underpinnings for co-occurring dysarthria and dysphagia.

Lesioned neuroanatomical regions predictive of left hemisphere aphasia suggested cortical and subcortical integrative mechanisms. Our findings support a previous study involving a large cohort of MR-confirmed acute stroke patients [33]. Neuroanatomical regions associated with post-stroke aphasia included inferior frontal and insular involvement for Broca's aphasia, insular and temporoparietal involvement for Wernicke's aphasia, and large predominantly cortical frontal to posterior temporal involvement for global aphasia [33]. Moreover, after isolated subcortical strokes, patients with aphasia had thalamic involvement more frequently than those without [33]. Our whole brain predictive model of aphasia reflects similar gross neuroanatomical trends, given effects for lesions to superficial middle cerebral artery, insular, and thalamic regions. Continued research is necessary to investigate lesions within discretely localized regions, such as the temporal pole [34] and dorsal or ventral white matter paths [35], relative to specific language operations [34, 35]. We further suggest a delineation of insular involvement to develop an understanding of its function relative to isolated or co-occurring impairments. We may consequently ameliorate early management protocols and/or cross-system interventions targeting multiple impairments [36] early after stroke onset. Currently, our findings highlight the need for continued consideration of age, chronic brain disease, and lesion volume in the determination of risk of impairment and brain-behavior relationships.

The potential clinical application of our findings include careful attention to patients presenting with signs of left middle cerebral artery stroke (and likely insular involvement), given the high risk of both motor and higher cognitive impairments. Similarly, patients with stroke suggestive of medullary and/or pontine involvement should have early vigilant attention in the management of swallowing and speech. Whether or not there is access to MRI at a given institution, early screening for swallowing and communication with rapid referral to speech-language pathology for patients who fail screening should constitute the standard of care.

By extension, our findings provide an impetus for the continued use and development of rapid and accurate screening tools [37–39] to establish their concordance with stroke severity scales such as the National Institutes of Health Stroke Scale (NIHSS) [40]. The NIHSS is appropriate for identifying stroke severity, but extracted subscores may not be suitable for screening (e.g., for language or other domains), since single subtests may have poor reliability [41]. Consequently, separate rapid screening tools for swallowing and communication are highly advisable. To ensure monitoring of suspected rapid recovery or deterioration, repeat testing with validated rapid screening tools is essential [42].

Similarly, rapid assessment tools validated according to MRI indicators of impairment could provide surrogate information about stroke severity, volume, and/or lesion location. Consequently, such screening and assessment tools could permit the most accurate prognosis [20] and comprehensive management with a comparable standard of care in centers with and without MRI. Where possible, we advocate the use of MRI in ischemic stroke patients, as it remains the gold standard for identifying the presence and location of acute cerebral infarction. Computed tomography may fail to identify early infarction particularly within the brainstem or cerebellum [17, 43]. Continued clinical initiatives and research with MRI have the capacity to further inform practice. They will aid in establishing neuroanatomical prognostic indicators

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of recovery or indicators of continued risk and will inform therapeutic goal-setting, especially where a given intervention may confer distributed effects across multiple impairments [36].

Despite our inclusion of a large homogeneous sample of first-ever stroke patients with MR-confirmed infarction, our study has limitations. First, our retrospective design potentially led to restricted detection of patients with mild impairments or those difficult to assess. Second, we included only patients with MRI within 2 weeks of stroke onset. Older patients, those with multiple comorbidities (potentially also requiring intensive medical management), and those with severe strokes may not undergo MRI early after stroke onset. Finally, our broadly specified cortical regions did not permit a close exploration of neuroanatomical areas that might be particularly important in discrete functions, such as volitional control of swallowing, motor initiation of speech, and the localization and/or integration of receptive and expressive language. However, we have initially employed comprehensive models inclusive of factors beyond acute lesion location.

#### Conclusion

We provided comprehensive and clinically motivated models for predicting dysphagia, dysarthria, and aphasia in a homogeneous sample of first-ever ischemic stroke patients. We have shown that the neuroanatomical substrate for dysphagia is locally represented throughout the brain but further compromised by premorbid deficits in brain integrity. We confirmed discretely localized neuroanatomical substrates for dysarthria and aphasia with concomitant but weak effects for lesion volume. Future studies are necessary to prospectively evaluate physiologic and/or cognitive-linguistic domains of these 3 impairments to derive neuroanatomical predictive models relative to their severity and co-occurrence patterns. We shed new light on interactions between local and global brain function for dysphagia, found expected neuroanatomical predictors of dysarthria and aphasia, and identified common brain regions in the expression of multiple impairments.

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#### **Disclosure Statement**

The authors have no conflicts of interest to declare.

#### **Author Contributions**

The authors exclusively designed and conducted the study, including data collection, analysis, and interpretations.

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