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OPEN Development of an optimal imaging strategy for selection of patients for affibody-based PNAmediated radionuclide therapy

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Affibody molecules are engineered scaffold proteins, which demonstrated excellent binding to selected tumor-associated molecular abnormalities in vivo and highly sensitive and specific radionuclide imaging of Her2-expressing tumors in clinics. Recently, we have shown that peptide nucleic acid (PNA)-mediated affibody-based pretargeted radionuclide therapy using beta-emitting radionuclide ¹⁷⁷Lu extended significantly survival of mice bearing human Her2-expressing tumor xenografts. In this study, we evaluated two approaches to use positron emission tomography (PET) for stratification of patients for affibody-based pretargeting therapy. The primary targeting probe $Z_{\text{HER2:342}}$ -SR-HP1 and the secondary probe HP2 (both conjugated with DOTA chelator) were labeled with the positron-emitting radionuclide ⁶⁸Ga. Biodistribution of both probes was measured in BALB/C nu/nu mice bearing either SKOV-3 xenografts with high Her2 expression or DU-145 xenografts with low Her2 expression. ⁶⁸Ga-HP2 was evaluated in the pretargeting setting. Tumor uptake of both probes was compared with the $uptake\ of\ pretargeted\ ^{177}Lu\text{-HP2}.\ The\ uptake\ of\ both\ ^{68}Ga\text{-}Z_{HER2:342}\text{-}SR\text{-HP1}\ and\ ^{68}Ga\text{-HP2}\ depended$ on Her2-expression level providing clear discrimination of between tumors with high and low Her2 expression. Tumor uptake of 68Ga-HP2 correlated better with the uptake of 177Lu-HP2 than the uptake of 68 Ga-Z $_{
m HER2:342}$ -SR-HP1. The use of 68 Ga-HP2 as a theranostics counterpart would be preferable approach for clinical translation.

Standard treatment options for primary cancer include surgery and external radiation therapy, often in combination with (neo)adjuvant chemotherapy. However, patients with distant metastases at later stages of cancer need more advanced and selective systemic treatment approaches, such as targeted therapy.

Human epidermal growth factor 2 (Her2) is a transmembrane tyrosine kinase receptor which is overexpressed in about 20% of breast cancer and in 4-53% (average 18%) of gastric and gastroesophageal cancer cases^{1,2}. Several Her2-targeted treatments have been shown to be effective in patients with Her2-positive breast and gastroesophageal cancer and provided significantly improved patient survival³⁻⁶. Her2-targeted immunotherapy using monoclonal antibody (mAb) trastuzumab together with chemotherapy has become a standard line of treatment for patients with Her2-positive breast cancer. However, resistance is often developed during the first year of trastuzumab treatment⁸. In some cases, non-responders to trastuzumab treatment still have high Her2 expression.

Conjugation of mAbs to drugs, toxins or radionuclides is a way to potentiate their efficacy for targeted therapy of cancer. An advantage of radionuclides compared to drugs or toxins is the "crossfire" irradiation of cell clusters, which allows to overcome intratumoral target expression heterogeneity and necessity to deliver a cytotoxic agent to every single malignant cell9. Additionally, radionuclides can be used for treatment of multidrug-resistant tumors. Radiolabeled mAbs provided clinical benefits for patients with lymphoma, but did not improve the survival of

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Affibody – VD(GS)₃LPETGGGSS-agt ctg gat gta gtc-EK-[AEEA]-E-NH₂
DOTA

Secondary targeting agent HP2

DOTA - [AEEA]-SS-gac tac atc cag act-EEY-NH₂

Figure 1. Affibody-based PNA-mediated pretargeting system consists of the primary (Z_{HER2:342}-SR-HP1) and secondary (HP2) targeting agents.

patients with solid tumors¹⁰. The large size of mAbs leads to their long residence time in circulation, slow extravasation and slow accumulation in the tumor, which increases exposure of healthy tissues to radiation and limits the dose delivered to the tumor. Decreasing the size of the targeting protein generally decreases healthy tissue uptake, improves its tumor penetration and increases the tumor-to-normal tissue ratios¹¹. One approach to combine the excellent specificity and affinity of antibodies with the rapid clearance and good tissue penetration of small targeting agents is to use pretargeting¹². In pretargeting, the delivery of radionuclide to the tumor is achieved in two steps. In the first step, the primary agent targeting a tumor-associated antigen is administered. After accumulation in tumor and clearance from blood, a secondary agent, binding with high affinity to the primary agent and carrying a radionuclide is administered.

The pretargeting approach is mainly applied for antibody-mediated targeting. We have proposed to apply it for the affibody-mediated targeting^{13–15}. Affibody molecules are small (58 amino acids, 7 kDa) scaffold proteins engineered using one of the staphylococcal protein A domains. High-affinity binders against several cancer-associated targets, such as EGFR, Her2 and Her3 have been selected and successfully applied for molecular imaging of tumor xenografts *in vivo*¹⁶. In clinical studies, the anti-Her2 affibody molecule ABY-025 labeled with ⁶⁸Ga provided specific high-contrast imaging and allowed whole-body quantification of Her2 expression in metastatic breast cancer¹⁷.

Short residence time in circulation and optimal tumor targeting make affibody molecules potentially suitable for use in radionuclide therapy. However, when affibody molecules undergo renal clearance they are reabsorbed and rapidly internalized in proximal tubuli of kidneys. For radiometal-labeled affibody molecules, accumulation of radioactivity in kidneys is several-fold higher than in tumors¹⁸. To overcome high kidney accumulation of radiolabeled affibody molecules, pretargeting approaches were evaluated^{13–15,19}.

In one approach, the interaction between the primary and the secondary agent is mediated by peptide-nucleic acid (PNA) hybridization. Being an analogue of DNA with a pseudopeptide backbone, a PNA strand is capable of selective and rapid hybridization with a complementary PNA strand. In addition, PNAs are not immunogenic, not toxic, and stable to nuclease and protease degradation, meeting all requirements for a biocompatible system²⁰.

The primary targeting agent used in this study consists of an anti-Her2 affibody molecule $Z_{\rm HER2:342}$ conjugated to a 15-mer PNA strand (Hybridization Probe 1, HP1) resulting in $Z_{\rm HER2:342}$ -SR-HP1 (Fig. 1). The secondary agent is a complementary 15-mer PNA strand (Hybridization Probe 2, HP2). Both molecules have a DOTA chelator for labeling with radiometals. The primary agent $Z_{\rm HER2:342}$ -SR-HP1 binds specifically and with picomolar affinity to Her2¹⁴. After binding, the internalization of the primary agent in Her2-expressing cells is slow (ca. 20% over 24 h), which makes it readily available for the secondary agent. The interaction between $Z_{\rm HER2:342}$ -SR-HP1 and HP2 has a high association rate $(1.7 \times 10^5 \, {\rm M}^{-1} \, {\rm s}^{-1})$ and slow dissociation rate resulting in a quick and stable binding of the secondary probe to the primary probe.

We have previously demonstrated the feasibility of affibody molecule-based PNA-mediated pretargeting *in vivo*^{12,14}. Pre-injection of the primary probe Z_{HER2:342}-SR-HP1 enabled specific tumor uptake of the secondary probe HP2 radiolabeled with ¹²⁵I, ¹¹¹In and ¹⁷⁷Lu. In the case of therapeutic beta emitter ¹⁷⁷Lu, a tumor-to-kidney ratio of 5 was achieved. This has prompted us to apply this pretargeting system for the radionuclide therapy of Her2-expressing tumors¹⁹. Six cycles of treatment with ¹⁷⁷Lu-HP2 have resulted in significantly delayed tumor growth and improved median survival of mice with SKOV3 xenografts (66 days compared to 37 days in PBS-treated control). Importantly, this treatment protocol did not cause bone marrow or renal toxicity.

Given the successful outcome of the therapeutic study, this pretargeting approach is highly promising for theranostic applications in clinic. Labeling of the primary or secondary agents with the generator-produced positron-emitting radionuclide ⁶⁸Ga would allow to visualize accumulation of these probes in tumors. The use of whole-body PET would enable to quantitatively assess the tumor accumulation and to address potential heterogeneity of the target expression. This should permit the selection of those patients who could benefit from Her2-targeted therapy and avoid overtreatment of patients with low pretargeting efficiency.

The goal of this study was to compare two imaging approaches, when either the primary targeting agent $Z_{HER2:342}$ -SR-HP1 or the secondary targeting agent HP2 was labeled with 68 Ga, and to evaluate their efficiency for discrimination between high and low Her2 expression in a corresponding mouse model.

Results

Production, purification and labeling of PNA-based probes. A detailed description of production, synthesis and purification of the primary and secondary probes is reported in Westerlund *et al.*¹³. The primary targeting agent $Z_{HER2:342}$ -SR-HP1 bearing a DOTA chelator was labeled with ⁶⁸Ga. Labeling was performed in 1.25 M sodium acetate buffer (pH 3.6) at 95 °C for 15 min with the radiochemical yield of 94 \pm 1%. After incubation with 1000-fold molar excess of EDTA the radiochemical yield reduced to 85 \pm 2%. The compound was

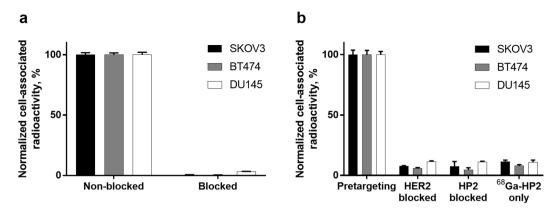


Figure 2. (a) In vitro binding specificity of the primary agent 68 Ga- $Z_{HER2:342}$ -SR-HP1. In the control group, Her2 was blocked by adding 500-fold molar excess of non-labeled anti-Her2 $Z_{HER2:342}$ affibody molecule. (b) In vitro binding specificity of the secondary agent 68 Ga-HP2 pretargeting to Her2-expressing SKOV3, BT474 and DU145 cells. In the control groups, Her2 was blocked by adding 500-fold molar excess of non-labeled anti-Her2 $Z_{HER2:342}$ affibody molecule, HP1 was blocked by adding 150-fold excess of non-labeled HP2. In the third control, no $Z_{HER2:342}$ -SR-HP1 was added. The data are presented as an average value from 3 samples \pm SD.

purified using NAP-5 size-exclusion column resulting in $99.6 \pm 0.4\%$ radiochemical purity and $71 \pm 4\%$ isolated yield. Maximum specific activity of 2.1 MBq/µg (27.7 GBq/µmol, at the end of purification) was obtained.

The secondary targeting agent HP2 bearing a DOTA chelator was labeled with 68 Ga. Labeling was performed in 1.25 M sodium acetate buffer (pH 3.6) at 95 °C for 15 min with the radiochemical yield of 94 \pm 1%. After incubation with 1200-fold molar excess of EDTA a radiochemical yield of 90 \pm 1% was obtained. Purification using NAP-5 size-exclusion column provided the compound with 98.6 \pm 0.3% radiochemical purity and 53 \pm 5% isolated yield. Maximum specific activity of 2.9 MBq/µg (15.0 GBq/µmol, at the end of purification) was obtained.

For biodistribution studies, HP2 was labeled with 177 Lu with the radiochemical yield of $93.8 \pm 0.4\%$ and isolated yield of $56 \pm 11\%$ after NAP-5 size-exclusion purification. The radiochemical purity was $97 \pm 1\%$.

Binding and processing by Her2-expressing cells in vitro. Her2-binding specificity of the primary agent 68 Ga-Z_{HER2:342}-SR-HP1 was tested using a saturation assay in Her2-expressing SKOV3, BT474 and DU145 cells. The binding was significantly (p < 0.000001 for all cell lines) decreased when the cells were pre-incubated with the parental anti-Her2 affibody molecule (Fig. 2), demonstrating that the binding was specific and Her2-mediated. The level of cell-associated radioactivity in non-blocked SKOV3 and BT474 cells was higher than in DU145 cells, which is consistent with their Her2 expression level.

The specificity of 68 Ga-HP2 *in vitro* binding to $Z_{\rm HER2:342}$ -SR-HP1-pretreated Her2-expressing cells was confirmed in SKOV3, BT474 and DU145 cells (Fig. 2). The binding of 68 Ga-HP2 was significantly (p < 0.00001 for all cell lines) decreased when Her2 receptors were saturated with parental anti-Her2 affibody molecule or when $Z_{\rm HER2:342}$ -SR-HP1-treated cells were pre-incubated with a large excess of non-labeled HP2. Additionally, the binding of 68 Ga-HP2 to cells without pre-incubation with the primary agent $Z_{\rm HER2:342}$ -SR-HP1 was significantly (p < 0.00001 for all cell lines) decreased. This assay demonstrated that the pretargeting of the secondary agent was Her2-specific, PNA-mediated and depended on the pre-treatment with the primary agent.

Cellular processing of 68 Ga- $Z_{HER2:342}$ -SR-HPI by SKOV3 and DU145 cells after interrupted incubation is shown in Fig. 3. The retention of the radiolabeled primary agent on the cell membrane was higher in high-expressing SKOV3 cells than DU145 cells with low Her2 expression ($77\pm1\%$ for SKOV3 vs. $31\pm2\%$ for DU145 at 3h time point). The internalization of 68 Ga- $Z_{HER2:342}$ -SR-HP1 was low in both cell lines. The internalized fraction in SKOV3 cells at 4h was $11\pm1\%$ and in DU145 at 3h was $4\pm1\%$, which is in agreement with the previously reported values for the 111 In-labeled analogue 14 (in SKOV3 cells at 4h it was $8.0\pm0.3\%$).

Cellular processing of the $Z_{HER2:342}$ -SR-HP1: 68 Ga-HP2 complex had a similar pattern as the processing of the primary agent alone (Fig. 3). Initial release from the membrane after 1 h was followed by a plateau resulting in high retention of radioactivity by SKOV3 cells (ca. 80% of initially bound activity at 4 h). However, in DU145 cells with low Her2 expression the complex was rapidly released from the membrane with only 10% of total cell-associated activity at 1 h.

The binding strength of $^{nat}Ga-Z_{HER2:342}-SR-HP1$ to Her2-expressing SKOV3 cells was compared to the binding strength of $^{nat}In-Z_{HER2:342}-SR-HP1$ in a competitive binding assay using $^{111}In-DOTA-Z_{HER2:349}^{-21}$ as the displacement radioligand. The IC $_{50}$ values for $^{nat}Ga-Z_{HER2:342}-SR-HP1$ and $^{nat}In-Z_{HER2:342}-SR-HP1$ were determined to be 24 ± 2 nM and 22 ± 1 nM, respectively (SI Fig. 1). No difference between the IC $_{50}$ values was observed, which suggested that the affinity of gallium-labeled $Z_{HER2:342}-SR-HP1$ is similar to the affinity of the indium-labeled conjugate.

Animal studies. Initial assessment of ⁶⁸Ga-HP2 biodistribution was performed in healthy NMRI mice. The biodistribution of ⁶⁸Ga-HP2 and ¹⁷⁷Lu-HP2 in NMRI mice at 1 and 2 h p.i. (SI Table 1) showed rapid and predominantly renal clearance of probes. The data for the ¹⁷⁷Lu-HP2 biodistribution was in a good agreement with the previously reported values ¹². As it was earlier observed for ¹¹¹In-HP2 and ¹⁷⁷Lu-HP2, the radionuclide had a

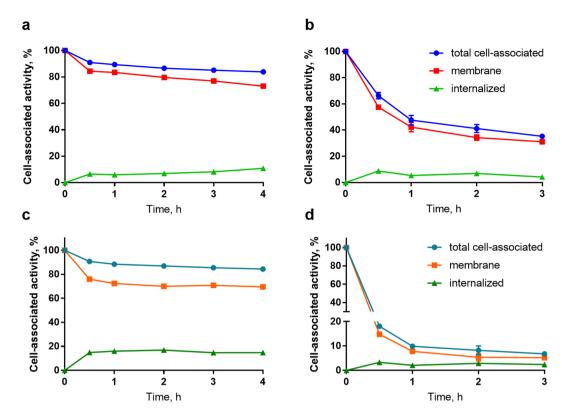


Figure 3. Cellular processing of 68 Ga-Z_{HER2:342}-SR-HP1 (**a,b**) and of Z_{HER2:342}-SR-HP1: 68 Ga-HP2 complex (**c,d**) by Her2-expressing SKOV3 (**a,c**) and DU145 (**b,d**) cells. The data are presented as an average value from 3 samples \pm SD.

noticeable influence on biodistribution of the secondary probe. At both time points, $^{68}\text{Ga-HP2}$ had significantly higher blood retention than $^{177}\text{Lu-HP2}$ (0.21 \pm 0.05 vs. 0.16 \pm 0.03% ID/g at 1 h, p = 0.03), as well as higher uptake in liver, lung and kidneys.

To compare two approaches, direct targeting and pretargeting, the biodistribution of 68 Ga-labeled primary and secondary agents was evaluated in BALB/C nu/nu mice bearing SKOV3 (high Her2 expression) and DU145 (low Her2 expression) xenografts (SI Fig. 3, Table 1). In both approaches, a high dose of the primary agent $Z_{HER2:342}$ -SR-HP1 (100 μ g) was administered to saturate Her2 receptors and provide maximum discrimination between high and low Her2-expressing tumors 22 . The pretargeting protocol used in this study has been previously optimized for the affibody-based PNA-mediated therapy using 177 Lu-HP2 19 . It was found that 16 h between the injections of primary and secondary agents and 3.5 μ g of the secondary agent provided the highest tumor accumulation, low kidney uptake and optimal dose delivered to the tumor.

In the direct targeting approach the biodistribution of 68 Ga-labeled primary probe, 68 Ga- $Z_{\rm HER2:342}$ -SR-HP1, at 1 h p.i. was typical of affibody molecules, i.e. rapid clearance from blood and normal tissues and a high level of renal reabsorption. Tumor-associated radioactivity in the SKOV3 group was about three times higher compared to the DU145 group (4.3 \pm 0.9 vs. 1.3 \pm 0.3% ID/g, p = 0.0006).

In the pretargeting approach, injection of the unlabeled primary agent 16 h before the administration of $^{68}\text{Ga-HP2}$ resulted in approximately twelve-fold higher tumor uptake in the SKOV3 group compared to the DU145 group (6.3 \pm 1.5 vs. 0.5 \pm 0.2% ID/g) at 1 h p.i. Pretargeting provided significantly (p < 0.0001, one-way ANOVA test) higher tumor-to-organ ratios, i.e. six-fold higher tumor-to-blood, four-fold higher tumor-to-liver and fifty four-fold higher tumor-to-kidney ratios, compared to direct targeting in mice bearing SKOV3 xenografts.

To further evaluate if pretargeted imaging with 68 Ga-HP2 could be used for the prediction of tumor uptake of therapeutic 177 Lu-HP2, the biodistribution of 177 Lu-HP2 was studied alongside with 68 Ga-HP2 in the same mice (Table 1, SI Fig. 2). In SKOV3-bearing mice pretargeted with $Z_{\text{HER2:342}}$ -SR-HP1, tumor uptake of 177 Lu-HP2 was about twice higher than 68 Ga-HP2 (12 ± 3 vs. $6.3\pm1.5\%$ ID/g, p=0.00006, one-way ANOVA test), while in DU145 tumors with low Her2 expression no difference in uptake was observed. No statistically significant differences (p>0.05, one-way ANOVA test) between uptake of 177 Lu-HP2 and 68 Ga-HP2 was observed in healthy organs and tissues in SKOV3 group.

Imaging. PET/CT imaging confirmed the results of the biodistribution studies. Both direct targeting using ⁶⁸Ga-Z_{HER2:342}-SR-HP1 and pretargeting using Z_{HER2:342}-SR-HP1 and ⁶⁸Ga-HP2 were able to visualize Her2-expressing xenografts in mice (Fig. 4). In agreement with the *ex vivo* data, the uptake of radioactivity in SKOV3 xenografts was higher than in DU145 xenografts. In the direct targeting approach high accumulation of

	⁶⁸ Ga-Z _{HER2:342} -SR-HP1		Z _{HER2:342} -SR-HP1 + ⁶⁸ Ga-HP2		Z _{HER2:342} -SR-HP1 + ¹⁷⁷ Lu-HP2	
	SKOV3	DU145	SKOV3	DU145	SKOV3	DU145
blood	0.7 ± 0.1	0.6 ± 0.1	0.17 ± 0.04^a	0.19 ± 0.03	0.19 ± 0.06	0.15 ± 0.03
lung	1.0 ± 0.2	0.8 ± 0.1	0.24 ± 0.04^a	0.31 ± 0.06	0.29 ± 0.06	0.28 ± 0.06
liver	2.4 ± 0.4	2.1 ± 0.1	$1.0 \pm 0.1^{a,b}$	1.5 ± 0.1	0.73 ± 0.03 ^d	1.09 ± 0.09
spleen	1.0 ± 0.3	1.0 ± 0.1	0.28 ± 0.03^a	0.34 ± 0.08	0.14 ± 0.02	0.16 ± 0.04
kidney	315±31	289±35	9.5 ± 0.2^a	12±1	7.5 ± 0.4	6.7 ± 0.6
tumor	4.3 ± 0.9	1.3 ± 0.3	$6.3 \pm 1.5^{b,c}$	0.5 ± 0.2	12 ± 3^d	0.5 ± 0.3
muscle	0.3 ± 0.1	0.24 ± 0.05	0.14 ± 0.08^a	0.07 ± 0.02	0.09 ± 0.06	0.05 ± 0.03
bone	0.5 ± 0.1	0.4 ± 0.1	0.13 ± 0.03^a	0.09 ± 0.01	0.05 ± 0.01	0.04 ± 0.03
GI tract	1.1 ± 0.3	0.8 ± 0.1	0.6 ± 0.2^a	0.3 ± 0.1	0.4 ± 0.3	0.3 ± 0.1
carcass	7 ± 1	6 ± 1	3 ± 1^a	3±2	2 ± 1	3 ± 2
Tumor-to-organ ratio						
blood	6.2 ± 0.5	2.3 ± 0.3	$40\pm17^{a,b}$	3 ± 1	56 ± 18	4±2
lung	4.5 ± 0.3	1.6 ± 0.3	$27\pm8^{a,b,c}$	2±1	42 ± 15	2 ± 1
liver	1.8 ± 0.3	0.6 ± 0.1	$7 \pm 1^{a,b,c}$	0.4 ± 0.1	16±4	0.5 ± 0.2
spleen	4 ± 1	1.3 ± 0.3	23±5	1.7 ± 0.5	88 ± 27	3 ± 1
kidney	0.013 ± 0.001	0.004 ± 0.001	$0.7 \pm 0.2^{a,b,c}$	0.04 ± 0.01	1.5 ± 0.3	0.08 ± 0.04
muscle	16±2	5 ± 1	71 ± 56	8 ± 2	176 ± 104^d	10±3
bone	9±1	3.5 ± 0.4	52 ± 25^c	6±2	257 ± 101	18 ± 13

Table 1. Biodistribution comparison of 68 Ga- $Z_{HER2:342}$ -SR-HP1 (100 μg), 68 Ga-HP2 and 177 Lu-HP2 in BALB/C nu/nu mice bearing SKOV3 (high Her2 expression) and DU145 (low Her2 expression) xenografts at 1 h p.i. $Z_{HER2:342}$ -SR-HP1 (100 μg) was injected 16 h prior to 68 Ga-HP2 and 177 Lu-HP2 (3.5 μg total) injections. The uptake is expressed as % ID/g and presented as an average value from 4 mice \pm SD (5 mice \pm SD for the pretargeting groups). Data for GI tract with content and carcass are presented as % of injected dose per whole sample. One-way ANOVA with Bonferroni's multiple comparisons test was performed to find significant differences. "Significant difference between 68 Ga-Z_{HER2:342}-SR-HP1 and 68 Ga-HP2 uptake in SKOV3 group. "Significant difference in 68 Ga-HP2 uptake between SKOV3 and DU145 groups. 'Significant difference between 68 Ga-HP2 and 177 Lu-HP2 uptake in SKOV3 group. d'Significant difference in 177 Lu-HP2 uptake between SKOV3 and DU145 groups.

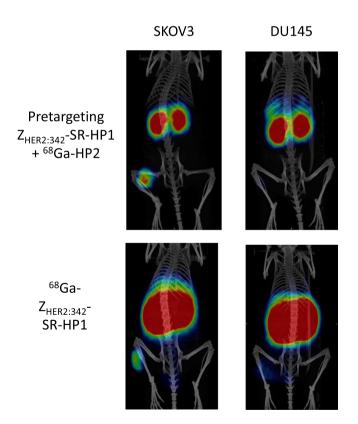


Figure 4. MicroPET/CT imaging of Her2-expressing SKOV3 (high Her2 expression) and DU145 (low Her2 expression) xenografts at 1 h after injection. Lower SUV threshold 0.07, upper SUV threshold 0.7.

radioactivity was observed in kidneys, while the pretargeting provided decreased kidney uptake and increased tumor uptake of radioactivity. No noticeable uptake in other organs was detected.

Discussion

Clinical PET/CT imaging using ⁶⁸Ga-labeled ABY-025 demonstrated that this affibody molecule provides non-invasive whole-body quantification of Her2 expression in patients with metastatic breast cancer¹⁷. We have recently demonstrated that affibody-mediated pretargeted radionuclide therapy using ¹⁷⁷Lu successfully delayed tumor growth and doubled median survival of mice bearing SKOV3 xenografts. Importantly, no acute toxicity or kidney damage was observed¹⁹. Promising results of pretargeted radionuclide therapy and clinical applicability of affibody molecules for imaging prompted us to develop a theranostic methodology for the affibody-based pretargeting treatment, where PET/CT imaging would guide the selection of patients for therapy.

The most straightforward way would be to base the decision concerning therapy on the results of quantitative imaging of Her2-expression using ⁶⁸Ga-ABY-025. The assumption would be that the patients with high expression would have high tumor accumulation of the therapeutic probe. However, this approach does not take into account the differences in structures of ABY-025 and Z_{HER2:342}-SR-HP1-DOTA leading to different capacity in penetrating the vasculature, potential differences in their accumulation in tumors and the differences in probe dosing between imaging and therapy. Another strategy would be the pretargeted theranostics using the positron-emitting radionuclide gallium-68. Such a strategy could be realized in two ways depending on the placement of the label. When ⁶⁸Ga is placed on Z_{HER2:342}-SR-HP1, PET/CT imaging would provide assessment of tumor accumulation of the primary agent and identification of patients with sufficiently high accumulation. Alternatively, the ⁶⁸Ga label could be placed on the secondary agent. In that case, unlabeled primary agent is injected the day before the diagnostic secondary agent ⁶⁸Ga-HP2. Patients with high tumor uptake of radioactivity would then proceed to pretargeted treatment. The first approach is simpler logistically, however, the second might better reflect the whole pretargeted delivery of a radionuclide to tumors.

In this study, we performed a side-by-side comparison of direct targeting using radiolabeled primary agent 68 Ga-Z_{HER2:342}-SR-HP1 and pretargeting using radiolabeled secondary agent 68 Ga-HP2 for imaging of Her2 expression. To address a clinically relevant problem of discrimination between tumors with high and low Her2 expression we compared these two approaches in mice bearing SKOV3 (high Her2) and DU145 (low Her2) tumor xenografts.

The primary $Z_{\rm HER2:342}$ -SR-HP1 and secondary HP2 probes carrying a DOTA chelator were labeled with 68 Ga with good yields. Minor release of 68 Ga under pre-purification EDTA challenge from both primary (ca. 10%) and secondary (ca. 5%) probes could be due to the presence of weak chelating sites that compete with DOTA for 68 Ga. Despite this initial release of 68 Ga, neither of the conjugates 68 Ga- $Z_{\rm HER2:342}$ -SR-HP1 and 68 Ga-HP2 showed any signs of label loss *in vivo* (e. g. elevated bone uptake).

Gallium-labeled primary probe 68 Ga- $Z_{\rm HER2:342}$ -SR-HP1 retained binding specificity to Her2-expressing cells (Fig. 2a). Pretargeting specificity of the secondary probe 68 Ga-HP2 was also confirmed (Fig. 2b). Ga- $Z_{\rm HER2:342}$ -SR-HP1 had the same half maximal inhibitory concentration (IC₅₀) (Supplementary Information (SI) Fig. 1) as In- $Z_{\rm HER2:342}$ -SR-HP1 used in earlier studies 14 . An important factor for the success of pretargeting is the availability of the primary probe on the cell surface for the reaction with the secondary probe. The retention of the primary probe 68 Ga- $Z_{\rm HER2:342}$ -SR-HP1 on the surface of SKOV3 cells was high, which is in accordance with the previously reported data for 111 In- $Z_{\rm HER2:342}$ -SR-HP1 14 and is typical for affibody molecules.

Labeling chemistry and the choice of radionuclide might have a profound effect on the biodistribution of peptides and small targeting molecules $^{12,23-26}$. In our previous studies the primary agent $Z_{HER2:342}$ -SR-HP1-DOTA was labeled with $^{111}In^{14}$.

Although both indium and gallium are trivalent metals, they have a different ionic radius and their complexes with DOTA have different coordination geometry. The complex of indium with the amide derivative of DOTA has a square-antiprismatic geometry with the amide oxygen involved in chelation, where indium is octacoordinated. The gallium-DOTA complex has a pseudo-octahedral geometry and gallium is hexacoordinated geometry and gallium is hexacoordinated. This leaves a free carboxyl group noninvolved in coordination and a different charge distribution compared to the complex with indium. Despite these differences, the uptake of the gallium-labeled primary agent in SKOV-3 xenografts $(4.3 \pm 0.9\% \ ID/g)$ was similar to the indium-labeled analogue 14 $(5.9 \pm 2.4\% \ ID/g)$.

The secondary pretargeting agent HP2-DOTA was previously radiolabeled with ¹¹¹In and ¹⁷⁷Lu¹². It was found that the radionuclide had a substantial effect on the biodistribution of this molecule. ¹⁷⁷Lu-HP2 had a faster clearance from blood and normal organs, except kidneys, compared to ¹¹¹In-HP2 already at 1 h p.i.

In this study, ⁶⁸Ga-HP2 was excreted from blood slower than ¹⁷⁷Lu-HP2 (Table 1 and SI Table 1) but faster than ¹¹¹In-HP2 at 1 h p.i. ¹². In general, the uptake of ⁶⁸Ga-HP2 in normal organs and tissues was higher than of ¹⁷⁷Lu-HP2 at both 1 and 2 h p.i. (SI Table 1). High kidney uptake of ⁶⁸Ga-HP2 (9 ± 2 vs. 4.6 ± 0.5% ID/g for ¹⁷⁷Lu-HP2 at 1 h, p = 0.008) also suggested higher reabsorption rate of this molecule in kidneys compared to ¹⁷⁷Lu-HP2. As discussed above, the difference in biodistribution could be attributed to the differences in ionic radii of the radionuclides and geometries of metal-DOTA complex. Lutetium has a larger ionic radius than gallium and the complex of Lu³⁺ and DOTA derivatives predominantly exists in a square antiprism geometry with different bond length compared to the indium-DOTA complex²¹. This phenomenon was also observed in preclinical studies of other theranostic pairs, e.g. ⁶⁸Ga/¹⁷⁷Lu-PSMA²⁸ and ⁶⁷Ga/¹¹¹In/¹⁷⁷Lu-NeoBOMB1²⁹ in direct targeting or ⁶⁸Ga/¹¹¹In- IMP288 in different pretargeting systems^{30,31}. Due to the differences in biodistribution in this mouse model, PET imaging with ⁶⁸Ga-HP2 would not precisely predict the uptake of the therapeutic ¹⁷⁷Lu-HP2, however, it should be further evaluated if these differences would translate to humans.

In this study, both direct use of 68 Ga-Z $_{\rm HER2:342}$ -SR-HP1 and pretargeted imaging using 68 Ga-HP2 allowed discrimination between tumors with high and low Her2 expression (Table 1, Fig. 4). Direct targeting provided 3.3-fold difference between uptake in SKOV-3 and DU-145 xenografts, while pretargeting provided 11.8-fold

difference. In clinical studies using ⁶⁸Ga-labeled anti-Her2 affibody molecule, Standard Uptake Values (SUV) in lesions with confirmed Her2-status were approximately five times higher in Her2-positive metastases than in Her2-negative¹⁷. Notably, the clinical study indicated that among the metastases defined as Her2-positive by biopsies and immunohistochemistry the SUVs ranged from 6 to more than 40 at 2 h after tracer injection. In clinical practice, a single whole-body scan performed early after tracer injection would then be able to characterize each individual metastasis on a continuous scale regarding Her2 protein expression. Access to this directly obtainable information on clonal heterogeneity and tumor burden might lead to more optimal and earlier treatment decisions. The dramatically improved tumor-to kidney uptake ratio obtained with pretargeting in this study is promising. In the clinical study using direct imaging tumor-to-kidney ratios ranging from 1/10 to 1/1 were recorded, which appears to be much lower than in mice, suggesting that pretargeting could reduce kidney uptake even further in humans and indicates that kidney-sparing treatment using endogenous radiation with a theranostic approach might be one of the available therapeutic options.

Overall, imaging using ⁶⁸Ga-HP2 provided better discrimination between tumors with high and low Her2 expression and better reflected the tumor uptake of the therapeutic counterpart, ¹⁷⁷Lu-HP2. This method should be considered as the most promising for clinical translation.

Methods

Buffers used for labeling were prepared from high-quality Milli-Q water and purified from metal contamination using Chelex 100 resin (Bio-Rad Laboratories, USA). 111 InCl $_3$ was purchased from Mallinckrodt Sweden AB (Stockholm, Sweden). Carrier-free 177 LuCl $_3$ was purchased from PerkinElmer (Waltham, MA, USA). Radioactivity was measured using an automated gamma-spectrometer with a NaI(TI) detector (1480 Wizard, Wallac, Finland). Her2-expressing SKOV3, BT474 and DU145 cells were purchased from the American Type Culture Collection (ATCC) and were cultured in complete RPMI-medium supplemented with 10% fetal bovine serum (FBS), 2 mM L-glutamine, 100 IU/ml penicillin and $100\,\mu\text{g/ml}$ streptomycin in a humidified incubator with 5% CO $_2$ at 37 °C, unless stated otherwise.

Production and purification of PNA-based probes have been described previously in Westerlund *et al.*¹³ and Altai *et al.*¹². Briefly, the PNA-based probes HP1 and HP2 were synthesized manually using solid phase synthesis with commercially available building blocks. The primary agent, $Z_{HER2:342}$ -SR-HP1, was produced by site-specifically attaching HP1 to the anti-HER2 affibody using a sortase A mediated ligation strategy. $Z_{HER2:342}$ -SR-HP1 and the secondary agent HP2 were both purified using reversed phase HPLC to a final purity of \geq 95% and kept lyophilized at $-20\,^{\circ}$ C until use.

Labeling. 68 Ge/ 68 Ga generator (Cyclotron Co., Obninsk, Russia) was eluted with 0.1 M HCl (prepared from 30% ultrapure HCl from Merck). The generator was eluted with $400\,\mu\text{L}$ fractions of 0.1 M HCl. Fraction 3 containing the maximum radioactivity (ca. 60% of total) was used for labeling.

Lyophilized $Z_{HER2.342}$ -SR-HP1 (50 μ g, 3.80 nmoles) was dissolved in 50 μ L 1.25 M sodium acetate buffer, pH 3.6, then 300 μ L of 68 Ga-containing eluate (165–185 MBq) was added and incubated at 95 °C for 15 min. Then 1000-fold molar excess of Na₄EDTA (1.44 mg, 3.80 μ moles, 72 μ L of 20 mg/mL in 0.625 M sodium acetate, pH 3.6) was added and incubated at 95 °C for 5 min. The radiolabeled compound was purified using NAP-5 size-exclusion column pre-equilibrated with 1% BSA in PBS.

Lyophilized HP2 ($50\,\mu g$, 9.7 nmoles) was dissolved in $50\,\mu L$ of $1.25\,M$ sodium acetate buffer, pH 3.6, then $350\,\mu L$ of 68 Ga-containing eluate ($178-222\,MBq$) was added and incubated at $95\,^{\circ}$ C for $15\,min$. Then 1200-fold molar excess of Na_4EDTA ($4.4\,mg$, $11.6\,\mu moles$, $110\,\mu L$ of $40\,mg/mL$ in $0.625\,M$ sodium acetate, pH 3.6) was added and incubated at $95\,^{\circ}$ C for $5\,min$. The radiolabeled compound was purified using NAP-5 size-exclusion column pre-equilibrated with $1\%\,BSA$ in PBS.

Labeling of HP2 with 177 Lu was performed as described by Altai and coworkers 32 . Briefly, 25 µg (4.9 nmoles) of HP2 was dissolved in 25 µL of 1 M ascorbate buffer, pH 5.5, then 15–20 µL of 177 LuCl $_3$ (7–21 MBq) was added and incubated at 95 °C for 60 min. The radiolabeled compound was purified using NAP-5 size-exclusion column pre-equilibrated with 1% BSA in PBS.

For labeling of affibody DOTA- $Z_{HER2:2395}$ with 111 In, $30\,\mu g$ (4.5 nmoles) was dissolved in $30\,\mu L$ of Milli-Q water, $10\,\mu L$ of $1.25\,M$ ammonium acetate buffer, pH 4.2, and $100\,\mu L$ of 111 InCl $_3$ (36–38 MBq) was added and incubated at $60\,^{\circ}$ C for $30\,\text{min}$. The radiochemical yield of $95\pm1\%$ was achieved.

Loading of $Z_{HER2:342}$ -SR-HP1 with nat Ga and nat In was performed using a 1 to 3 peptide to metal molar ratio. Briefly, to $Z_{HER2:342}$ -SR-HP1 (30 μ g, 2.3 nmoles) in 30 μ L of 1.25 M sodium acetate buffer, pH 3.6, 68 μ L of nat GaCl₃ (1.2 μ g, 6.9 nmoles) or 15 μ L of nat InCl₃ (1.5 μ g, 6.9 nmoles) in 0.1 M HCl was added and incubated at 95 °C for 15 min for nat Ga labeling and at 90 °C for 30 min for nat In labeling.

The labeling yield and purity were measured by radio-ITLC eluted with 0.2 M citric acid.

In vitro studies. Her2-expressing cell lines used for *in vitro* studies were SKOV3 $(1.6 \times 10^6 \text{ receptors/cell})^{33}$, BT474 $(1.2 \times 10^6 \text{ receptors/cell})^{34}$ and DU145 $(5 \times 10^4 \text{ receptors/cell})^{35}$. Cells were seeded in 3 cm petri dishes (ca. 10^6 cells/dish), a set of three dishes was used for each data set.

In Her2 binding specificity assay, two sets of dishes were used. A 500-fold excess of non-labeled anti-Her2 $Z_{\rm HER2:342}$ Affibody molecule (1000 nM) was added to the control group of cell dishes to saturate Her2 receptors 5 min before adding the labeled compound. Then 68 Ga- $Z_{\rm HER2:342}$ -SR-HP1 (2 nM) was added to both groups of dishes. The cells were incubated for 1 h in a humidified incubator at 37 °C. Then the medium was collected, the cells were washed and detached by trypsin, the radioactivity in medium and cells was measured to calculate the percent of cell-bound radioactivity.

Pretargeting specificity assay was performed using four sets of cell dishes. To demonstrate the pretargeting, one set of cells was incubated with $Z_{HER2:342}$ -SR-HP1 (1 nM) for 1 h at 37 °C and washed. Radiolabeled ⁶⁸Ga-HP2

(10 nM) was added and cells were incubated for 1 h at 37 °C. To show that the pretargeting was Her2-mediated, the second set of cell dishes was incubated with a 500-fold excess of $Z_{\rm HER2:342}$ (1000 nM) for 5 min before the addition of $Z_{\rm HER2:342}$ -SR-HP1. Radiolabeled ⁶⁸Ga-HP2 (10 nM) was added and cells were incubated for 1 h at 37 °C. To demonstrate that pretargeting was PNA-mediated, the third set of cell dishes was incubated with $Z_{\rm HER2:342}$ -SR-HP1 followed by incubation with a 150-fold excess of non-labeled HP2 (150 nM) for 30 min and then the radiolabeled ⁶⁸Ga-HP2 (10 nM) was added followed by 1 h incubation. In the fourth set the cells were incubated only with ⁶⁸Ga-HP2 (10 nM) to assess non-specific binding. After incubation with ⁶⁸Ga-HP2 the medium was collected, the cells were washed and detached by trypsin to calculate the percent of cell-bound radioactivity.

Cellular retention and processing of 68 Ga- $Z_{HER2:342}$ -SR-HP1 by SKOV3 and DU145 cells was studied during interrupted incubation by an acid-wash method 36 . Cells were incubated with 68 Ga- $Z_{HER2:342}$ -SR-HP1 (1 nM for SKOV3, 0.25 nM for DU145 cells) for 1 h at 4 °C. Then the medium was removed, the cells were washed, new medium was added and the cells were placed in a humidified incubator at 37 °C. At 0.5, 1, 2, 3 and 4 h the medium was collected, cells were washed and treated with 0.2 M glycine buffer containing 4 M urea, pH 2.0, for 5 min on ice. The acidic solution was collected and cells were additionally washed with glycine buffer. The cells were then incubated with 0.5 mL of 1 M NaOH at 37 °C for 10 min and collected with 1 mL of 1 M NaOH. The radioactivity in acidic fractions was considered as membrane-bound, and in the alkaline fractions as internalized.

Cellular retention and processing of $Z_{HER2:342}$ -SR-HP1: 68 Ga-HP2 adduct by SKOV3 and DU145 cells was studied analogously. The cells were incubated with $Z_{HER2:342}$ -SR-HP1 (1 nM) for 1 h at 4 °C, then the medium was removed, the cells were washed, 68 Ga-HP2 (10 nM) was added, and the cells were incubated for 30 min at 4 °C. Then the medium was removed, the cells were washed, new medium was added and the cells were placed in a humidified incubator at 37 °C. At 0.5, 1, 2, 3 and 4 h a group of three dishes was removed from the incubator and treated as described above.

To evaluate the relative binding strength of $^{\rm nat}$ Ga- $Z_{\rm HER2:342}$ -SR-HP1 and $^{\rm nat}$ In- $Z_{\rm HER2:342}$ -SR-HP1, the half maximal inhibitory concentration (IC $_{50}$) was measured using $^{\rm 111}$ In-DOTA- $Z_{\rm HER2:2395}$ in SKOV3 cells. The cells were incubated with $^{\rm nat}$ Ga- or $^{\rm nat}$ In- $Z_{\rm HER2:342}$ -SR-HP1 (0–200 nM) in the presence of 1 nM $^{\rm 111}$ In-DOTA- $Z_{\rm HER2:2395}$ for 4 h at 4 °C. After incubation the medium was collected, the cells were washed and collected using trypsin to calculate cell-associated activity. The IC $_{50}$ values were determined using GraphPad Prism 7 (GraphPad Software, San Diego, CA, USA).

Animal studies. Animal studies were planned in agreement with Swedish national legislation concerning protection of laboratory animals and were approved by the Ethics Committee for Animal Research in Uppsala (Permit C 4/2016). All experiments were performed in accordance with the guidelines of the European Community Council Directives 86/609/EEC.

For comparative biodistribution of 68 Ga-HP2 and 177 Lu-HP2 a dual-isotope approach was used. Eight female NMRI mice $(24\pm1\,\mathrm{g})$ were intravenously (i.v.) injected with a mixture of 68 Ga-HP2 and 177 Lu-HP2 (1 µg in 100 µL of 2% BSA in PBS/mouse, 70 kBq for 68 Ga-HP2, 45 kBq for 177 Lu-HP2). At 1 and 2 h mice were anesthesized by an intraperitoneal injection of Ketalar and Rompun solution, followed by euthanasia through cervical dislocation. Blood and organs were collected, weighed and the total radioactivity corresponding to the sum of 68 Ga and 177 Lu signals was measured using an open protocol. One day after the first radioactivity measurement (when 68 Ga has decayed), the 177 Lu radioactivity was measured in all samples using the same protocol. Subtraction of the 177 Lu decay-corrected radioactivity from the total signal measured on the first day was considered as 68 Ga radioactivity. The percent of injected dose per gram of sample (%ID/g) was calculated. Statistical analysis (two-tailed paired t test) was performed using Microsoft Excel 2016 (Microsoft, Redmond, WA, USA).

For tumor implantation, 10^7 SKOV3 cells or 5×10^6 DU145 cells were subcutaneously injected on the left hind leg of female BALB/c nu/nu mice. The biodistribution experiments were performed two weeks after cell implantation. The average animal weight was 18 ± 1 g in SKOV3 group, 19 ± 1 g in DU145 group. The average tumor weight was 0.08 ± 0.03 g for SKOV3 xenografts, 0.06 ± 0.03 g for DU145 xenografts.

For the biodistribution of the primary agent, mice bearing SKOV3 and DU145 xenografts were injected i.v. with 68 Ga-Z $_{HER2:342}$ -SR-HP1 (100 µg in 100 µL of PBS/mouse, 800 kBq/mouse in SKOV3 group, 1200 kBq/mouse in DU145 group). One hour later, the mice were sacrificed and treated as described above. In the pretargeting approach, mice bearing SKOV3 and DU145 xenografts were injected i.v. with $Z_{HER2:342}$ -SR-HP1 (100 µg in 100 µL of PBS/mouse). After 16 h, the mice were injected i.v. with a mixture of 68 Ga-HP2 and 177 Lu-HP2 (3.5 µg total in 100 µL of 2% BSA in PBS/mouse, 130 kBq for 68 Ga-HP2 and 45 kBq for 177 Lu-HP2). One hour later, the mice were sacrificed and treated as described above. Statistical analysis (two-tailed paired t test) was performed using Microsoft Excel 2016 (Microsoft, Redmond, WA, USA).

Imaging. Mice bearing SKOV3 and DU145 xenografts were injected i.v. with 100 μg of 68 Ga- $Z_{HER2:342}$ -SR-HP1 (8.2 MBq for SKOV3, 9.1 MBq for DU145). For pretargeting, mice bearing SKOV3 and DU145 xenografts were injected i.v. with 100 μg $Z_{HER2:342}$ -SR-HP1 16 h before injection of 3.5 μg of 68 Ga-HP2 (4.8 MBq for SKOV3, 7.6 MBq for DU145). Immediately before imaging (1 h p.i.), the animals were sacrificed by CO_2 asphyxiation. PET imaging was performed using Triumph Trimodality system (Gamma Medica). The CT scan was performed at the following parameters: field of view (FOV), 8 cm; magnification, 1.48; one frame and 512 projections for 2.13 min. PET data were acquired in list mode during 30 min and reconstructed using OSEM-3D. CT raw files were reconstructed by filter back projection using Nucline 2.03 Software (Mediso Medical Imaging Systems, Hungary). PET and CT dicom files were analyzed using PMOD v 3.12 software (PMOD Technologies, Switzerland).

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Author Contributions

A.V.-participation in the study design, labeling chemistry development, *in vitro* and *in vivo* studies, data treatment and interpretation, drafting of the first version of the manuscript; K.W.-production of hybridization probes, conjugation to the chelator, purification of the conjugates, biochemical and biophysical characterization of non-labeled conjugates; B.M., M.A., S.R., A.O.-participation in planning and performing *in vivo* studies including imaging, data treatment and interpretation, J.S.-data interpretation and critical revision of the text, enhancing intellectual content in the aspects of potential clinical translation; V.T.-participation in the study design, labeling chemistry development, *in vivo* studies, data treatment and interpretation, coordinating work at Uppsala site; A.E.K.-participation in molecular design of the tracers, supervising production of hybridization probes, conjugation to the chelator, purification of the conjugates, biochemical and biophysical characterization of non-labeled conjugates, and coordination of the project. All co-authors revised the manuscript and approved the final variant.

Additional Information

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