SCIENTIFIC REPORTS

Received: 5 April 2018 Accepted: 14 February 2019 Published online: 12 March 2019

OPEN Association between HOMA-IR and Frailty among U.S. Middle-aged and Elderly Population

Po-Sen Peng^{1,2}, Tung-Wei Kao^{3,4}, Pi-Kai Chang^{5,7}, Wei-Liang Chen^{3,4,7}, Po-Jui Peng⁶ & Li-Wei Wu^{3,4,7}

Previous literatures revealed that homeostasis model assessment-estimated insulin resistance (HOMA-IR) was one of the cardio-metabolic risk factors. This study was conducted to access the association between HOMA-IR and frailty in the United States of America (U.S.) middle-aged and elderly high-risk insulin-resistant population. In the National Health and Nutrition Examination Survey (NHANES III) from 1988 to 1994, the study included 3,893 participants. In order to exam the association between HOMA-IR and frailty in the middle-aged and elderly population through the regression model adjusted for multiple covariates, we divided the participants into middle aged group (Age <65 years) and elderly group (Age > = 65 years) in this study. Each group was then divided into tertiles depending on their HOMA-IR levels. Higher level of HOMA-IR was significantly associated with frailty in the elderly group, but this association was not seen in the middle-aged population. These results demonstrated that the HOMA-IR level can be a novel risk assessment of frailty in elderly high-risk insulin-resistant individuals (Age > = 65 years).

Frailty, a preventable geriatric syndrome, is a state of decline in physical function and decreased ability to deal with acute stress, which will lead to major adverse health events, including falls, disability, hospitalization, and death¹⁻⁴. The prevalence of frailty is getting higher in the aging society⁵. Among individuals with diabetes and other chronic comorbidities, frailty is even more widespread. Due to its serious consequences, numerous studies aiming to identify biological markers for preventing the onset of frailty had been conducted and several human biomarkers, such as C-reactive protein (CRP), interleukin (IL)-6, tumor necrosis factor-alpha (TNF- α), transferrin, fibrinogen, serum total bilirubin levels, serum uric acid concentrations, serum 25(OH)D concentrations, serum triglycerides levels, etc., had been identified to be associated with frailty⁶⁻¹¹.

Homeostasis model assessment-estimated insulin resistance (HOMA-IR) is a more convenient quantification tool for insulin resistance, compared with the gold standard hyperinsulinemic euglycemic clamp method. Several previous researches also used this tool to estimate the occurrence of frailty. Barzilay, J.I., et al.⁹ found that frailty syndrome was positively associated with IR, but the difference between middle-aged and elderly subgroup was still unknown. To further explore these questions, we enrolled high-risk insulin-resistant population and then divided the participants into middle-aged (age <65 years) and elderly groups (age >=65 years) and investigated the relationship between HOMA-IR and frailty from the third National Health and Nutrition Evaluation Survey (NHANES III) data in the United States of America (U.S.).

¹Division of Cardiology, Department of Internal Medicine, Zuoying Branch of Kaohsiung Armed Forces General Hospital, Kaohsiung, Taiwan, Republic of China. ²Division of Cardiology, Department of Internal Medicine, Tri-Service General Hospital; and School of Medicine, National Defense Medical Center, Taipei, Taiwan, Republic of China. ³Division of Family Medicine, Department of Family and Community Medicine, Tri-Service General Hospital; and School of Medicine, National Defense Medical Center, Taipei, Taiwan, Republic of China. ⁴Division of Geriatric Medicine, Department of Family and Community Medicine, Tri-Service General Hospital; and School of Medicine, National Defense Medical Center, Taipei, Taiwan, Republic of China. ⁵Division of Colon and Rectal Surgery, Department of Surgery, Tri-Service General Hospital; and School of Medicine, National Defense Medical Center, Taipei, Taiwan, Republic of China. ⁶Department of Psychiatry, Beitou Branch, Tri-Service General Hospital; and School of Medicine, National Defense Medical Center, Taipei, Taiwan, Republic of China. ⁷Graduate Institute of Medical Sciences, National Defense Medical Center, Taipei, Taiwan, Republic of China. Correspondence and requests for materials should be addressed to L.-W.W. (email: bigmouth0825@hotmail.com)

	Middle aged (Age <65) N = 1130				Elderly group (Age $> = 65$) N $= 2763$			P-value	
Variables	Non-frailty group N = 1092Frailty group N = 38		Total N = 1130	P-value	Non-frailty group N = 2598Frailty group N = 165		Total N = 2763		
Continuous Variables			1			1			
Age (years), mean (SD)	62.52 (1.72)	62.47 (1.72)	62.52 (1.72)	0.880	75.17 (6.44)	77.22 (6.68)	75.29 (6.47)	< 0.001	
Weight (kg) (2 months and over)	76.58 (15.75)	85.61 (23.22)	76.88 (16.13)	0.001	71.88 (15.08)	70.65 (16.85)	71.81 (15.19)	0.311	
Waist circumference (cm) (2+years)	98.15 (12.42)	107.35 (16.10)	98.46 (12.66)	< 0.001	96.57 (12.27)	98.30 (14.09)	96.67 (12.39)	0.082	
BMI (kg/m ²)	27.65 (4.84)	32.33 (8.99)	27.81 (5.10)	< 0.001	26.55 (4.78)	27.86 (6.29)	26.63 (4.89)	0.001	
Systolic BP (mmHg), mean (SD)	134.57 (21.20)	136.92 (20.29)	134.65 (21.17)	0.507	144.10 (23.99)	144.93 (25.17)	144.15 (24.05)	0.672	
Diastolic BP (mmHg), mean (SD)	73.74 (13.04)	75.62 (11.92)	73.81 (13.00)	0.388	71.97 (14.27)	69.48 (15.64)	71.82 (14.36)	0.034	
Serum triglycerides (mg/dL)	167.59 (113.11)	168.03 (115.37)	167.60 (113.13)	0.981	153.58 (91.02)	163.28 (124.93)	154.16 (93.40)	0.196	
Serum cholesterol (mg/ dL), mean (SD)	226.44 (44.92)	225.61 (51.31)	226.41 (45.13)	0.911	221.58 (43.82)	221.72 (45.09)	221.58 (43.89)	0.969	
Serum LDL-cholesterol (mg/dL), mean (SD)	144.91 (41.69)	142.39 (46.22)	144.82 (41.81)	0.802	139.08 (38.27)	134.26 (36.86)	138.84 (38.20)	0.337	
Serum HDL-cholesterol (mg/dL), mean (SD)	50.98 (16.31)	55.66 (17.90)	51.14 (16.38)	0.084	51.51 (16.35)	56.49 (18.91)	51.81 (16.55)	< 0.001	
Serum C-reactive protein (mg/dL), mean (SD)	0.57 (1.17)	1.14 (1.28)	0.58 (1.18)	0.003	0.55 (0.90)	0.51 (0.51)	0.54 (0.89)	0.581	
Serum total bilirubin (umol/L), mean (SD)	0.58 (0.32)	0.53 (0.43)	0.58 (0.33)	0.344	0.60 (0.28)	0.54 (0.29)	0.60 (0.28)	0.004	
Serum uric acid (mg/dL)	5.59 (1.45)	5.74 (1.96)	5.59 (1.47)	0.529	5.73 (1.55)	5.89 (1.66)	5.74 (1.56)	0.191	
Serum glucose (mg/dL), mean (SD)	105.25 (34.51)	108.29 (32.22)	105.36 (34.43)	0.593	104.79 (31.44)	107.53 (36.60)	104.95 (31.77)	0.283	
Serum total protein (g/ dL), mean (SD)	7.37 (0.47)	7.47 (0.54)	7.38 (0.47)	0.206	7.29 (0.48)	7.40 (0.55)	7.30 (0.48)	0.004	
Aspartate aminotransferase (U/L), mean (SD)	22.30 (12.47)	20.32 (8.15)	22.23 (12.35)	0.331	21.51 (10.41)	20.85 (7.92)	21.47 (10.28)	0.420	
Alanine aminotransferase (U/L), mean (SD)	16.52 (10.52)	14.47 (7.24)	16.45 (10.43)	0.235	13.51 (9.40)	12.70 (7.84)	13.46 (9.31)	0.283	
Insulin (uU/mL), mean (SE)	12.20 (7.29)	16.85 (12.54)	12.36 (7.57)	< 0.001	11.26 (7.29)	13.77 (8.74)	11.41 (7.41)	< 0.001	
HOMA-IR, mean (SD)	3.35 (2.56)	4.73 (3.66)	3.39 (2.61)	0.001	3.07 (2.40)	3.89 (3.20)	3.12 (2.47)	< 0.001	
Categorical Variables									
Non-Hispanic white, N(%)	482 (44.1)	13 (34.2)	507 (43.8)	0.120	1748 (67.3)	75 (45.5)	1823 (66.0)	< 0.001	
Stroke, N (%)	38 (3.5)	3 (7.9)	41 (3.6)	0.153	168 (6.5)	31 (18.8)	199 (7.2)	< 0.001	
DM, N (%)	131 (12)	4 (10.5)	135 (11.9)	0.929	300 (11.5)	40 (24.2)	340 (12.3)	< 0.001	
Smoker, N (%)	174 (15.9)	5 (13.2)	179 (15.8)	0.822	470 (18.1)	18 (10.9)	488 (17.7)	0.020	
Physical activity, ideal, N (%)	329 (30.1)	10 (26.3)	339 (30.0)	<0.001	963 (37.1)	28 (17.0)	991 (35.9)	< 0.001	

Table 1. Participant characteristics of frailty and non-frailty in middle-aged and elderly adults. Abbreviation: N, number; SD, standard deviation; BMI, body mass index; SBP, systolic blood pressure; LDL, low-density lipoprotein; ALT, alanine aminotransferase; CRP, C-reactive protein.

Results

A total of 3,893 adults in the NHANES III database were included in this study. Table 1 lists the clinical characteristics of frailty and non-frailty group within the middle aged (Age <65 years) and elderly population (Age \geq =65 years). Participants in middle aged (Age <65 years) frailty group tended to have higher body weight, higher waist circumference and body mass index (BMI), higher serum C-reactive protein, and higher insulin and HOMA-IR levels than those in non-frailty group. Fewer ideal physical activities were found in the frailty group.

Among the elderly population (Age >=65 years), age, BMI, serum high-density lipoprotein (HDL) levels, serum total protein levels, serum insulin and HOMA-IR levels were significantly greater in the frailty group. However, diastolic blood pressure, serum total bilirubin levels were lower in the frailty group. There were fewer non-Hispanic white, fewer smoker, fewer physical activities, but more stroke, and diabetes mellitus in the frailty group.

Positive associations between HOMA-IR tertiles and the risk of frailty were observed in elderly adults (Age > = 65 years) as shown in Table 2. The p-values for the trend in the elderly group in model 1, model 2, model 3, and model 4 were 0.001, 0.009, 0.060, and 0.056, respectively.

	Tertiles of HOMA-IR	β ^b (95% CI)	P value	P for trend	β ^b (95% CI)	P value	P for trend
Models ^a		Middle-aged grou	ıp (Age <6	65 years)	Elderly group (Age >=65 years)		
Model 1	T2 v.s. T1	0.58 (0.17-2.07)	0.403	0.081	1.93 (1.14–3.28)	0.015	0.001
	T3 v.s. T1	1.87 (0.73-4.79)	0.193	0.001	2.72 (1.63-4.55)	< 0.001	
Model 2	T2 v.s. T1	0.36 (0.10-1.30)	0.119	0.296	1.70 (0.99–2.93)	0.056	0.009
	T3 v.s. T1	0.65 (0.23-1.84)	0.415	0.290	2.42 (1.37-4.29)	0.002	
Model 3	T2 v.s. T1	0.38 (0.10-1.40)	0.146	0.332	1.61 (0.93-2.80)	0.090	0.060
	T3 v.s. T1	0.73 (0.22–2.37)	0.597	0.332	2.12 (1.14-3.95)	0.018	
Model 4	T2 v.s. T1	0.37 (0.10-1.37)	0.136	0.304	1.62 (0.93-2.82)	0.086	0.056
	T3 v.s. T1	0.75 (0.23-2.49)	0.643	0.504	2.14 (1.15-4.00)	0.016	0.050

Table 2. Associations between the frailty and tertiles of HOMA-IR level in middle-aged and elderly adults. ^aAdjusted covariates: Model 1 = Unadjusted. Model 2 = Model 1 + age, sex, race/ethnicity, BMI, systolic blood pressure. Model 3 = Model 2 + serum fasting glucose, serum uric acid, serum C-reactive protein. Model 4 = Model 3 + history of stroke, smoking, physical activity. ^b β coefficients was interpreted as change of frailty for each increase in the HOMA-IR level.

However, the association between HOMA-IR tertiles and the risk of frailty in the middle-aged (Age <65 years) group all showed statistically insignificant. The p-values for the trend among middle-aged group were 0.081 in model 1 and 0.296, 0.332, 0.304 in model 2, model 3, and model 4, respectively.

With regard to frailty components associated with HOMA-IR tertiles (Table 3), there were positive associations between HOMA-IR tertiles and the frailty components of weakness, exhaustion, and low physical activity in elderly adults (Age >=65 years). Concerning frailty components associated with HOMA-IR tertiles in the middle aged group (Age <65 years), only low physical activity was positively associated with HOMA-IR level.

Discussion

In the non-institutionalized U.S. high-risk insulin-resistant population, we investigated the relationship between HOMA-IR level and frailty. No previous study had comprehensively evaluated the link between HOMA-IR level and frailty in US middle-aged and elderly participants. The most remarkable finding was a positive correlation between HOMA-IR level and frailty in elderly adults. However, we did not find such association among the middle-aged group.

Frailty is a dynamic state affecting an individual who experiences accelerated decline in several domains of homeostatic mechanisms (physical, psychological, and social)¹². One previous study showed the predictive value of HOMA-IR on habitual gait speed, one of the domains of frailty¹³. Furthermore, Joshua I. Barzilay *et al.*⁹ also reported that frailty syndrome was positively associated with IR, but the difference between middle-aged and elderly population was not analyzed.

The physiopathology of frailty syndrome is multifactorial and includes nutritional, physical and hormonal elements. The first possible mechanism is that chronic low-grade inflammation, and oxidative status has an effect on frailty syndrome. Darvin, K. *et al.* found that frailty adults had higher plasma concentrations in inflammatory markers such as transferrin, fibrinogen, and IL-6¹¹. A meta-analysis also demonstrated that in frail participants dwelling in the community, the CRP and IL-6 levels were significantly higher than the non-frail population¹⁴. Chronic inflammation leads to increased IR and muscle dysfunction, subsequently results in frailty syndrome in elderly adults¹⁵.

Another possible mechanism is that the decline in insulin sensitivity causes a defect in muscle mass catabolism and muscle quality, resulting in sarcopenia and loss of strength^{1,16–18}. Lower muscle mass also results in poorer blood glucose control through lower peripheral glucose uptake by skeletal muscle and this will cause hyperinsulinemia status and insulin resistance¹⁶. The crosstalk interaction increases frailty incidence.

The alternative explanation may be the endocrine theory. Muscle mass is thought to be a metabolic tissue and endocrine organ, and several endocrines are released from muscle mass, called myokines. These myokines can increase insulin sensitivity, elevate mitochondrial activity and modulate body composition, such as playing an anabolic effect on skeletal muscle, and decreasing muscle protein degradation¹⁹. The increase of IR causes loss of muscle mass, resulting in the defect of myokines. Accordingly, the virtuous cycle of IR and sarcopenia may subsequently result in impaired body energy regulation and performance, and increase the frailty incidence. Other studies^{20,21} had also highlighted that three major circulating hormones were decreased in ageing body, including insulin-like growth factor-1(IGF-1), sex hormone, dehydroepiandrosterone and dehydroepiandrosterone sulfate production. IGH-1 can improve insulin sensitivity mediated through skeletal muscle and the deficiency of the IGF-1 actions cause to insulin resistance^{22,23}. These hormones changes are considered to cause frailty in elderly people.

A cross-sectional study researched the relationship between cumulative physiological dysfunction in six different systems and frailty (hormonal, micronutrients, haematological, inflammatory, adiposity, and neuromuscular system), which reported three or more systems dysfunction were able to predict frailty, independent of age and comorbidity²⁴. The elderly people may have poorer nutritional status than younger population and poor nutrition can also be a mediating factor to frailty³. Furthermore, anemia is more frequently observed in aging population and the etiologies are often associated with the presence of chronic diseases through several pathway²⁵. Anemia can contribute to functional decline by means of the restriction of oxygen delivery to muscle, and

		β ^b (95% CI)	P value	P for trend	β ^b (95% CI)	P value	<i>P</i> for trend
Models ^a	Tertiles of HOMA-IR	Middle-aged gro	up (Age <6	65 years)	Elderly group (A	ge > = 65 y	vears)
Slow walk	ting						
	T2 v.s. T1	0.76 (0.35-1.64)	0.479	0.034	1.09 (0.85-1.41)	0.499	
Model 1	T3 v.s. T1	1.70 (0.91-3.19)	0.097		1.23 (0.95-1.58)	0.113	0.277
	T2 v.s. T1	0.58 (0.26-1.27)	0.172		1.02 (0.78-1.33)	0.875	
Model 2	T3 v.s. T1	0.97 (0.49-1.94)	0.935	0.269	1.25 (0.94-1.67)	0.125	0.199
	T2 v.s. T1	0.57 (0.26-1.26)	0.165		0.97 (0.74-1.27)	0.081	- 0.843
Model 3	T3 v.s. T1	0.91 (0.42-1.98)	0.803	0.304	1.05 (0.76-1.45)	0.780	
	T2 v.s. T1	0.54 (0.24-1.21)	0.135		0.96 (0.74-1.26)	0.791	
Model 4	T3 v.s. T1	0.86 (0.39-1.90)	0.713	0.271	1.04 (0.95-1.44)	0.810	0.850
Weakness	6		I	1	1	I	ļ
	T2 v.s. T1	1.16 (0.88–1.52)	0.291		1.11 (0.89–1.38)	0.363	1
Model 1	T3 v.s. T1	1.63(1.27-2.09)	< 0.001	< 0.001	1.47 (1.19–1.82)	< 0.001	0.001
	T2 v.s. T1	1.03 (0.78-1.37)	0.842		1.10 (0.88-1.39)	0.396	+
Model 2	T3 v.s. T1	1.35 (1.01-1.80)	0.040	0.053	1.64 (1.29-2.10)	< 0.001	< 0.001
	T2 v.s. T1	1.03 (0.78–1.38)	0.820		1.07 (0.84-1.35)	0.590	
Model 3	T3 v.s. T1	1.38 (1.01–1.88)	0.042	0.058	1.53 (1.17-2.01)	0.002	0.002
	T2 v.s. T1	1.04 (0.78–1.38)	0.789		1.06 (0.84–1.34)	0.620	
Model 4	T3 v.s. T1	1.36 (1.00–1.86)	0.050	0.077	1.52 (1.15–1.99)	0.003	0.003
Exhaustic	n			1		1	1
	T2 v.s. T1	1.52 (0.88-2.64)	0.136		1.84 (1.18-2.88)	0.007	
Model 1	T3 v.s. T1	1.49 (0.87-2.56)	0.147	0.256	2.43 (1.58-3.75)	< 0.001	< 0.001
	T2 v.s. T1	1.27 (0.72-2.26)	0.410	- 0.668	1.60 (1.01-2.53)	0.044	
Model 2	T3 v.s. T1	1.08 (0.58-2.01)	0.813		2.11 (1.30-3.41)	0.002	0.010
	T2 v.s. T1	1.24 (0.70-2.21)	0.463		1.61 (1.01-2.57)	0.045	
Model 3	T3 v.s. T1	1.03 (0.53-2.01)	0.927	0.685	2.06 (1.22-3.50)	0.007	0.026
	T2 v.s. T1	1.25 (0.70-2.23)	0.445		1.62 (1.02-2.58)	0.043	
Model 4	T3 v.s. T1	1.02 (0.52-1.99)	0.953	0.648	2.07 (1.22-3.50)	0.007	0.026
Low phys	ical activity		1	1		1	1
	T2 v.s. T1	1.14 (0.94–1.38)	0.191		1.58 (1.15-2.16)	0.005	
Model 1	T3 v.s. T1	1.87 (1.57-2.22)	< 0.001	< 0.001	2.36 (1.74-3.18)	< 0.001	< 0.001
	T2 v.s. T1	1.03(0.84-1.25)	0.799		1.45 (1.05-2.01)	0.025	
Model 2	T3 v.s. T1	1.48 (1.20–1.81)	< 0.001	< 0.001	2.16 (1.54-3.03)	< 0.001	< 0.001
Model 3	T2 v.s. T1	1.01 (0.83-1.24)	0.905		1.35 (0.97-1.87)	0.077	- 0.012
	T3 v.s. T1	1.39 (1.11–1.74)	0.004	0.002	1.75 (1.21-2.54)	0.003	
Model 4	T2 v.s. T1	1.01 (0.83–1.24)	0.908		1.34 (0.96–1.87)	0.082	- 0.012
	T3 v.s. T1	1.39 (1.11–1.74)	0.004	0.003	1.75 (1.21-2.53)	0.003	
Low body	v weight	1	1		1	1	
Model 1	T2 v.s. T1	0.36 (0.25-0.53)	< 0.001		0.23 (0.15-0.37)	< 0.001	
	T3 v.s. T1	0.09 (0.05-0.19)	< 0.001	< 0.001	0.07 (0.03-0.16)	< 0.001	< 0.001
Model 2	T2 v.s. T1	1.41 (0.94–2.12)	0.097		1.60 (0.95-2.69)	0.077	- 0.147
	T3 v.s. T1	1.62 (0.77-3.42)	0.202	0.155	0.81 (0.35-1.88)	0.620	
Model 3	T2 v.s. T1	1.44 (0.94–2.21)	0.096	L	1.27 (0.73-2.20)	0.397	- 0.107
	T3 v.s. T1	1.61 (0.64-4.03)	0.310	0.212	0.42 (0.14–1.21)	0.107	
	T2 v.s. T1	1.46 (0.95-2.24)	0.086		1.26 (0.73-2.18)	0.415	1
Model 4	T3 v.s. T1	1.53 (0.61-3.82)	0.368	0.207	0.41 (0.14–1.21)	0.106	0.109
		1	1		,	1	1

Table 3. Associations between the frailty components and tertiles of HOMA-IR level in middle-aged and elderly adults. ^aAdjusted covariates: Model 1 = Unadjusted. Model 2=Model 1 + age, sex, race/ethnicity, BMI, systolic blood pressure. Model 3 = Model 2 + serum fasting glucose, serum uric acid, serum C-reactive protein. Model 4 = Model 3 + history of stroke, smoking, physical activity. ^b β coefficients was interpreted as change of frailty components for each increase in the HOMA-IR level. Abbreviation: ADL, activities of daily living; GPA, general physical activities; IADL, instrumental activities of daily living; LEM, lower extremity mobility; LSA, leisure and social activities.

.....

some vital organ, such as brain. Anemia can be a risk factor to the frailty syndrome and even be an independent risk factor in community-dwelling elderly women^{26–28}. The elderly people who have overlapping comorbidities are more frequent than middle-aged and the contribution of subclinical diseases may be associated to increase the incidence of frailty²⁹.

Several cross interactions between insulin resistance, certain systemic diseases, and frailty deserve to be mentioned. Insulin resistance is positively associated with the development of heart failure^{30,31} independent of coronary artery disease³². In advanced stage of heart failure, the cardiomyocytes are reversed to fetal form and the use of glucose as a fuel is increased instead of free fatty acids³³. Impairment in glucose use and higher fasting insulin level during insulin resistance status will result in heart failure due to energy starvation. Several evidence also implicated that insulin resistance accompanied with hyperinsulinemia was a predictor of cancers^{34,35}. Insulin has effects on cell proliferation, and may promote cancer through this mechanism³⁶. In addition, loss of lean muscle mass and alteration in body adipose tissue are frequently found in cancer cachexia. The cachexia associated metabolic derangements will promote pro-inflammatory status and insulin resistance³⁷. Further evidence also showed that insulin resistance was an independent and significant risk factor for ischemic stroke through enhancing platelet aggregation and atherosclerosis change^{38–40}. In our study, we had excluded the participants who had congestive heart failure, cancer, and asthma, because these diseases may directly contribute to frailty, instead of owing to insulin resistance pathway.

Some characteristic differences between non-frailty and frailty group in the elderly population showed statistically significant in our study. The lower total bilirubin level and higher HDL level in the frailty group of elderly population were worthy of being discussed. The pathophysiology of frailty is a complex interaction involved oxidative stress and inflammation⁴¹. Emerging evidence supported the anti-inflammatory and antioxidant effects of serum total bilirubin^{42,43} and reported that serum total bilirubin was inversely associated with likelihood of functional dependence in elderly adults⁴⁴. Functional impairment and frailty are closely associated with lower HDL level⁴⁵. In contrast to previous evidence, the HDL level was higher in our frailty group of elderly population. The possible explanation was the benefits of higher HDL level may be overtaken by other comorbidities in our frailty group of elderly population.

This study had several potential limitations. Firstly, the sample size of middle aged individuals was relatively smaller to elderly group, which may have caused some biases. We will continue to expand the sample size of middle aged individuals to study the relationship of HOMA-IR and frailty. Secondly, the study using NHANES III database was a cross-sectional study, that cannot determine the causality between HOMA-IR level and increased risk of frailty. Only prospective study can overcome this limitation. Thirdly, there may be some comorbidities in participants that limited their human functions and some drugs which can contribute to frailty and insulin resistance we didn't adjust. Although we had adjusted multiple potential confounding variables, it's difficult to adjust comprehensively due to limited by data available in the NHANES. Fourthly, recall bias is the inherited limitation of cross-sectional studies, and may not represent real conditions among the participants.

In conclusion, this study demonstrated that in the U.S. high-risk insulin-resistant population, HOMA-IR level can have good predictive ability of frailty in elderly population.

Methods

Study population. The data were obtained from NHANES III of the U.S. non-institutionalized population, from 1988 to 1994, who underwent comprehensive household interview and health examination performed at a mobile examination center. A total of 3,893 participants with aged 40–90 years were enrolled in this cross-sectional study. The examined data in NHANES III included several contents: demographic data, extensive household interview (information on age, sex, race, and medical history), physical examination, blood sampling, anthropometric measurement, and body-composition assessment. Written informed consents of all participants were obtained before beginning.

HOMA-IR Level Tertiles-based Subgroups. HOMA-IR index was estimated using the following formula: HOMA-IR = [fasting serum insulin (mU/L) × fasting plasma glucose (mmol/L)]/22.5⁴⁶. We separated all participants into two groups based on age: age <65 years (middle-aged group), age >=65 years (elderly group). Each group was then categorized into three tertiles according to their HOMA-IR level. The tertiles were as follows: T1 (0.34–1.75), T2 (1.76–3.09), T3 (3.10–16.17) in the middle-aged group and T1 (0.30–1.80), T2 (1.81–3.08), T3 (3.09–16.23) in the elderly group.

Follow-up data on frailty. Frailty was defined based on the previously validated frailty criteria originally reported by Fried *et al.*³. We followed the definition and modified the criteria for application to NHANES III data. The main modifications of frailty were that the nutritional status was based on low BMI instead of weight loss in the preceding months, and weakness/ Low physical activity were self-reported, not measured by direct assessment of grip strength and physical activity.

The five modified domains of frailty criteria were as follows: (a) slow walking was defined as in the 8 foot walking speed test, participants within the worst quintile adjusted for sex; (b) weakness, defined as present if participants were asked the question "How much difficulty you have while lifting or carrying something as heavy as 10 pounds", they responded this question as some difficulty, much difficulty, or unable to do it; (c) exhaustion, defined as present if participants had the answer as some difficulty, much difficulty, or unable to do it to the question "How much difficulty you have while walking from one room to the other on the same level?"; (d) low physical activity, defined as present if participants answered less active to the question "When compared to most men/women of your age, would you say that you are more active, less active or about the same?"; (e) BMI less than 18 kg/m² was defined as low body weight.

Participants who met 3 or more of 5 domains were considered as frailty. We dichotomized all participants into "frailty group" (3 or more frailty criteria) and "non-frailty group" (0–2 frailty criteria) for the purposes of our analyses.

Covariates. Questionnaire information that may act as potential confounders independently to the outcome included age, sex, race/ethnicity, medical conditions (stroke, type 2 diabetes mellitus), smoking status, and physical activity. Self-reported race/ethnicity was separated into non-Hispanic white and others. Smoking status was obtained during the interview and was categorized into smokers and non-smokers. Chronic disease, such as stroke was ascertained from self-reports. Diabetes mellitus was defined if they had been diagnosed by a doctor, or using anti-diabetic drugs (insulin injections and/or oral anti-diabetic agents), or random serum glucose level $\geq 200 \text{ mg/dL}$, or the fasting glucose level was $\geq 126 \text{ mg/dL}$.

Systolic and diastolic blood pressure were measured three times at 1–2 min intervals and to get the average of these readings after the participant seated for 5 min using a mercury sphygmomanometer with an appropriate sized cuff. Weight and height were measured in standardized conditions, and were used to calculate BMI as weight in kilogram divided by squared height in meters. The serum biochemical profiles analyses were performed in standard protocols and the documented accuracy was approved by the Centre for Disease Control and Prevention (CDC). All detailed information about standardized protocols was available on the NHANES website.

The following physical activity questionnaires were collected: which and how frequently they got involved in leisure time physical activities during the past month. The physical activities included riding a bicycle, swimming, jogging or running (≥ 1 mile), weight training and yard work. Metabolic equivalent tasks (METs), the ratio of the metabolic rate during the activity to the basal metabolic rate were used as a means of expressing the energy cost of physical activities among persons of different weight. We measured the energy expenditure in physical activity using the summary of METs and participants were further classified as ideal and non-ideal group in Table 1 in this article. The ideal group was defined as that they got involved in any physical activity 3.0 or more times per week with the intensity of 3 to 5.9 METs per times or in any physical activity 3.0 or more times per week with the intensity of 6 or more METs per times.

Statistical analysis. Statistical Product and Service Solutions (SPSS) version 18 (SPSS, Inc., Chicago, IL, USA) were used for all data management. Characteristics of the study population were calculated according to the survey design. Continuous variables were presented as mean value and standard deviations (SD), and qualitative variables were presented as numbers with percentages. Differences in continuous data were compared by the independent t-test, and comparisons of categorical variables were conducted by Chi-square test. Multivariable logistic regression models were used to calculate the odds ratios of frailty based on the tertiles of HOMA-IR in middle aged and elderly population. The significance tests were two-sided and p-values < 0.05 were considered to indicate statistical significance. We used the HOMA-IR level as a continuous variable to examine the associations between an increase of HOMA-IR level and frailty, and p-values for the trend were analyzed. The model-adjusted method was used to adjust the potential confounding effects of covariates: in model 1, there were no variables adjusted; Model 2 was further adjusted for age, sex, race/ethnicity, BMI, systolic blood pressure; Model 3 consisted of Model 2 and was additionally adjusted for serum fasting glucose, serum uric acid, serum C-reactive protein. Model 4 was additionally adjusted for history of stroke, smoking, physical activity.

Ethics statement. The National Center for Health Statistics Institutional Review Board (IRB) approved the NHANES study protocol before beginning. Before data collection procedures and NHANES health examinations, informed consents had been obtained from all participants. All methods in this study were performed in the light of the relevant guidelines and regulations.

References

- Clegg, A., Young, J., Iliffe, S., Rikkert, M. O. & Rockwood, K. Frailty in elderly people. *Lancet (London, England)* 381, 752–762, https://doi.org/10.1016/s0140-6736(12)62167-9 (2013).
- Shardell, M. et al. Association of low vitamin D levels with the frailty syndrome in men and women. The journals of gerontology. Series A, Biological sciences and medical sciences 64, 69–75, https://doi.org/10.1093/gerona/gln007 (2009).
- 3. Fried, L. P. et al. Frailty in older adults: evidence for a phenotype. The journals of gerontology. Series A, Biological sciences and medical sciences 56, M146–156 (2001).
- Khan, H. et al. Frailty and risk for heart failure in older adults: the health, aging, and body composition study. American heart journal 166, 887–894, https://doi.org/10.1016/j.ahj.2013.07.032 (2013).
- Rantanen, T. et al. Muscle strength as a predictor of onset of ADL dependence in people aged 75 years. Aging clinical and experimental research 14, 10-15 (2002).
- Garcia-Esquinas, E. et al. Serum uric acid concentrations and risk of frailty in older adults. Experimental gerontology 82, 160–165, https://doi.org/10.1016/j.exger.2016.07.002 (2016).
- Smit, E. et al. The effect of vitamin D and frailty on mortality among non-institutionalized US older adults. European journal of clinical nutrition 66, 1024–1028, https://doi.org/10.1038/ejcn.2012.67 (2012).
- Liaw, F. Y. et al. Components of Metabolic Syndrome and the Risk of Disability among the Elderly Population. Scientific reports 6, 22750, https://doi.org/10.1038/srep22750 (2016).
- Barzilay, J. I. et al. Insulin resistance and inflammation as precursors of frailty: the Cardiovascular Health Study. Archives of internal medicine 167, 635–641, https://doi.org/10.1001/archinte.167.7.635 (2007).
- Langmann, G. A. et al. Inflammatory Markers and Frailty in Long-Term Care Residents. Journal of the American Geriatrics Society 65, 1777–1783, https://doi.org/10.1111/jgs.14876 (2017).
- Darvin, K. et al. Plasma protein biomarkers of the geriatric syndrome of frailty. The journals of gerontology. Series A, Biological sciences and medical sciences 69, 182–186, https://doi.org/10.1093/gerona/glt183 (2014).
- 12. Ferrucci, L. et al. Biomarkers of frailty in older persons. Journal of endocrinological investigation 25, 10-15 (2002).
- Kuo, C. K., Lin, L. Y., Yu, Y. H., Wu, K. H. & Kuo, H. K. Inverse association between insulin resistance and gait speed in nondiabetic older men: results from the U.S. National Health and Nutrition Examination Survey (NHANES) 1999–2002. *BMC geriatrics* 9, 49, https://doi.org/10.1186/1471-2318-9-49 (2009).
- Soysal, P. et al. Corrigendum to "Inflammation and frailty in the elderly: A systematic review and meta-analysis" [Ageing Res Rev. 31 (2016) 1–8]. Ageing research reviews 35, 364–365, https://doi.org/10.1016/j.arr.2016.12.007 (2017).
- 15. Visser, M. *et al.* Relationship of interleukin-6 and tumor necrosis factor-alpha with muscle mass and muscle strength in elderly men and women: the Health ABC Study. *The journals of gerontology. Series A, Biological sciences and medical sciences* **57**, M326–332 (2002).

- De Martinis, M., Franceschi, C., Monti, D. & Ginaldi, L. Inflammation markers predicting frailty and mortality in the elderly. Experimental and molecular pathology 80, 219–227, https://doi.org/10.1016/j.yexmp.2005.11.004 (2006).
- 17. Gysel, T. *et al.* Lower insulin sensitivity is related to lower relative muscle cross-sectional area, lower muscle density and lower handgrip force in young and middle aged non-diabetic men. *Journal of musculoskeletal & neuronal interactions* **16**, 302–309 (2016).
- Sala, D. & Zorzano, A. Differential control of muscle mass in type 1 and type 2 diabetes mellitus. *Cellular and molecular life sciences:* CMLS 72, 3803–3817, https://doi.org/10.1007/s00018-015-1954-7 (2015).
- 19. Li, F. et al. Myokines and adipokines: Involvement in the crosstalk between skeletal muscle and adipose tissue. Cytokine & growth factor reviews 33, 73-82, https://doi.org/10.1016/j.cytogfr.2016.10.003 (2017).
- Bishop, N. A., Lu, T. & Yankner, B. A. Neural mechanisms of ageing and cognitive decline. Nature 464, 529–535, https://doi. org/10.1038/nature08983 (2010).
- 21. Lamberts, S. W. The endocrinology of aging and the brain. Archives of neurology 59, 1709–1711 (2002).
- 22. Yakar, S. et al. Liver-specific igf-1 gene deletion leads to muscle insulin insensitivity. Diabetes 50, 1110-1118 (2001).
- Friedrich, N. *et al.* The association between IGF-I and insulin resistance: a general population study in Danish adults. *Diabetes care* 35, 768–773, https://doi.org/10.2337/dc11-1833 (2012).
- Fried, L. P. et al. Nonlinear multisystem physiological dysregulation associated with frailty in older women: implications for etiology and treatment. The journals of gerontology. Series A, Biological sciences and medical sciences 64, 1049–1057, https://doi.org/10.1093/ gerona/glp076 (2009).
- Ershler, W. B. Biological interactions of aging and anemia: a focus on cytokines. Journal of the American Geriatrics Society 51, S18–21 (2003).
- 26. Roy, C. N. Anemia in frailty. Clinics in geriatric medicine 27, 67-78, https://doi.org/10.1016/j.cger.2010.08.005 (2011).
- Chaves, P. H. *et al.* Impact of anemia and cardiovascular disease on frailty status of community-dwelling older women: the Women's Health and Aging Studies I and II. *The journals of gerontology. Series A, Biological sciences and medical sciences* 60, 729–735 (2005).
- Leng, S., Chaves, P., Koenig, K. & Walston, J. Serum interleukin-6 and hemoglobin as physiological correlates in the geriatric syndrome of frailty: a pilot study. *Journal of the American Geriatrics Society* 50, 1268–1271 (2002).
- 29. Sanders, J. L. et al. Measurement of organ structure and function enhances understanding of the physiological basis of frailty: the Cardiovascular Health Study. Journal of the American Geriatrics Society 59, 1581-1588, https://doi.org/10.1111/j.1532-5415.2011.03557.x (2011).
- Banerjee, D. et al. Insulin resistance and risk of incident heart failure: Cardiovascular Health Study. Circulation. Heart failure 6, 364–370, https://doi.org/10.1161/circheartfailure.112.000022 (2013).
- Riehle, C. & Abel, E. D. Insulin Signaling and Heart Failure. Circulation research 118, 1151–1169, https://doi.org/10.1161/ circresaha.116.306206 (2016).
- Doehner, W. et al. Impaired insulin sensitivity as an independent risk factor for mortality in patients with stable chronic heart failure. Journal of the American College of Cardiology 46, 1019–1026, https://doi.org/10.1016/j.jacc.2005.02.093 (2005).
- Opie, L. H. & Knuuti, J. The adrenergic-fatty acid load in heart failure. *Journal of the American College of Cardiology* 54, 1637–1646, https://doi.org/10.1016/j.jacc.2009.07.024 (2009).
- 34. Tsugane, S. & Inoue, M. Insulin resistance and cancer: epidemiological evidence. *Cancer science* 101, 1073–1079, https://doi.org/10.1111/j.1349-7006.2010.01521.x (2010).
- Orgel, E. & Mittelman, S. D. The Links Between Insulin Resistance, Diabetes, and Cancer. Current Diabetes Reports 13, 213–222, https://doi.org/10.1007/s11892-012-0356-6 (2013).
- Godsland, I. F. Insulin resistance and hyperinsulinaemia in the development and progression of cancer. *Clinical Science* 118, 315–332, https://doi.org/10.1042/cs20090399 (2010).
- Dev, R., Bruera, E. & Dalal, S. Insulin resistance and body composition in cancer patients. Annals of oncology: official journal of the European Society for Medical Oncology 29, ii18–ii26, https://doi.org/10.1093/annonc/mdx815 (2018).
- Deng, X. L., Liu, Z., Wang, C., Li, Y. & Cai, Z. Insulin resistance in ischemic stroke. Metabolic brain disease 32, 1323–1334, https:// doi.org/10.1007/s11011-017-0050-0 (2017).
- Jing, J. et al. Insulin Resistance and Prognosis of Nondiabetic Patients With Ischemic Stroke: The ACROSS-China Study (Abnormal Glucose Regulation in Patients With Acute Stroke Across China). Stroke 48, 887–893, https://doi.org/10.1161/strokeaha.116.015613 (2017).
- 40. Rundek, T. *et al.* Insulin resistance and risk of ischemic stroke among nondiabetic individuals from the northern Manhattan study. *Archives of neurology* **67**, 1195–1200, https://doi.org/10.1001/archneurol.2010.235 (2010).
- Namioka, N. et al. Oxidative stress and inflammation are associated with physical frailty in patients with Alzheimer's disease. Geriatrics & gerontology international 17, 913–918, https://doi.org/10.1111/ggi.12804 (2017).
- Sedlak, T. W. et al. Bilirubin and glutathione have complementary antioxidant and cytoprotective roles. Proceedings of the National Academy of Sciences of the United States of America 106, 5171–5176, https://doi.org/10.1073/pnas.0813132106 (2009).
- Mazzone, G. L. et al. Bilirubin inhibits the TNFalpha-related induction of three endothelial adhesion molecules. Biochemical and biophysical research communications 386, 338–344, https://doi.org/10.1016/j.bbrc.2009.06.029 (2009).
- Kao, T. W. et al. Associations between serum total bilirubin levels and functional dependence in the elderly. Internal medicine journal 42, 1199–1207, https://doi.org/10.1111/j.1445-5994.2011.02620.x (2012).
- Ramsay, S. E. et al. Cardiovascular risk profile and frailty in a population-based study of older British men. Heart (British Cardiac Society) 101, 616–622, https://doi.org/10.1136/heartjnl-2014-306472 (2015).
- 46. Wallace, T. M., Levy, J. C. & Matthews, D. R. Use and abuse of HOMA modeling. Diabetes care 27, 1487–1495 (2004).

Author Contributions

P.S.P. and L.W.W. conceived and designed the study. P.S.P., T.W.K., P.K.C., W.L.C., P.J.P., L.W.W. performed the experiments. P.S.P., L.W.W. analyzed the data. P.S.P., T.W.K., P.K.C., W.L.C., P.J.P., L.W.W. contributed analysis tools and data interpretation. P.S.P. and L.W.W. wrote the paper

Additional Information

Competing Interests: The authors declare no competing interests.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2019